SCTP Sendbuffer Advertisement

A Thesis

submitted by

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Abstract

Network flows need to be classified based on their bandwidth requirements to improve flow completion time. Bandwidth estimation based on send buffer occupancy, i.e. the amount of backlogged data present in the sender's buffer has been proposed for TCP. This project proposes to advertise the same in SCTP and investigate whether this parameter is useful in classifying network flows and hence improve network performance.

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Chapter 1

Introduction

Stream Control Transport Protocol (SCTP) is a reliable transport protocol designed to transport Public Switched Telephone Network (PSTN) signaling messages over IP networks, but is capable of broader applications. Unlike TCP, SCTP offers sequenced delivery of user messages within multiple unidirectional logical channels called streams. Each SCTP endpoint is represented as a set of destination transport addresses, one of which is the primary address. If the primary address becomes unreachable SCTP chooses another destination transport address to route the messages thereafter. This provides network-level fault tolerance and is called multi-homing. It also employs a security cookie mechanism during association initialization to provide resistance to flooding and masquerade attacks.

Advertising the amount of backlogged data present in the sender's buffer can help network operators evaluate the end-to-end performance of a connection in a better way than that with the existing passive measurements. This information can also be used to infer whether a connection is limited by the network or the application.

1.1 Problem Statement

To propose a scheme to advertise send buffer occupancy information in SCTP, implement it in the Linux kernel and study the performance of the same in classifying the network flows in a congested network.

1.2 Literature Survey

RFC 3286 [7] provides a high level introduction to the capabilities supported by SCTP, while RFC 4960 [8] describes the complete protocol. Agache and Raiciu

[1] propose a scheme to advertise send buffer occupancy in TCP. [4] was used to study the state machine employed in the Linux SCTP implementation. It was also used to understand the SCTP packet flow within the kernel. [5] provided with an overview of the traffic control and routing mechanisms in the Linux kernel, along with the userspace tools available for shaping and controlling the traffic.

Chapter 2

Design

2.1 Prerequisite terms

- **SCTP packet** is the unit of data that is passed on to the lower layer protocol. It is composed of a common header followed by one or more chunks.

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Common Header
Chunk #1
...
Chunk #n

Figure 2.1: SCTP Packet Format

- **SCTP Chunk** is a unit of information within an SCTP packet, containing either control information or user data. It consists of the following fields:

  - **Chunk Type** identifies the type of information contained in the Chunk Value field. The chunk type values 16–62, 64–126, 129, 131, 133–190, 194–254 are currently unassigned[3].

    The highest-order 2 bits of this 8-bit field specify the action that must be taken if the processing endpoint does not recognize the Chunk Type.

    `00` – Stop processing this SCTP packet and discard it.

    `01` – Stop processing this SCTP packet and discard it and report the unrecognized chunk in an 'Unrecognized Chunk Type'.

    `10` – Skip this chunk and continue processing.

    `11` - Skip this chunk and continue processing, but report in an ERROR chunk using the ‘Unrecognized Chunk Type’ cause of error.

  – **Chunk Flags** usage depends on the Chunk Type.
  – **Chunk Length** represents the size of the chunk in bytes, including the Chunk Type, Chunk Flags, Chunk Length, and Chunk Value fields.
  – **Chunk Value** contains the actual information to be transferred in the chunk.

0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31

Chunk Type	Chunk Flags	Chunk Length
Chunk Value		

Figure 2.2: SCTP Chunk Format

- Network Flow - A sequence of packets in a transport connection.

2.2 Send buffer value

The kernel send buffer can be divided into 2 distinct parts:

- unacknowledged in-flight segments.
- segments waiting to be sent (backlog).

We propose to advertise the number of bytes in this backlog in the Chunk Value field of the proposed chunk.

In-flight segments	Backlogged segments

Figure 2.3: Send buffer structure

2.3 Previously Proposed Modification

For advertising the send buffer occupancy, we propose to add a new Chunk Type, with a 32-bit Chunk Value field containing the amount of backlogged data in the send buffer.

To ensure that hosts running an unmodified SCTP stack skip this chunk without returning an ERROR chunk, the highest-order 2 bits of the Chunk Type of this chunk should be `10` (as explained in section 2.1). This limits the choice of the Chunk Type value between 128 and 190.

This chunk is sent at a specified interval. The total size of this chunk is 8 bytes, which is 0.53% of a typical 1500 byte packet.

0 1 2 3 4 5 6 7	8 9 10 11 12 13 14 15	16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31
Chunk Type	Chunk Flags	Chunk Length
Send buffer size		

Figure 2.4: Proposed Chunk for send buffer advertisement

2.4 Currently Proposed Modification

For advertising the send buffer occupancy, we propose to add a new Chunk Type, with the Chunk Flags field containing the percentage occupancy of the send buffer.

To ensure that hosts running an unmodified SCTP stack skip this chunk without returning an ERROR chunk, the highest-order 2 bits of the Chunk Type of this chunk should be `10` (as explained in section 2.1). This limits the choice of the Chunk Type value between 128 and 190.

Each SCTP packet contains this chunk as the first chunk. The total size of this chunk is 4 bytes, which is 0.26% of a typical 1500 byte packet.

0 1 2 3 4 5 6 7	8 9 10 11 12 13 14 15	16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31
Chunk Type	Percentage send buffer occupancy	Chunk Length

Figure 2.5: Proposed Chunk for send buffer advertisement

2.5 Test bed design

A dumbbell shaped network topology was created with two routers in the center, and multiple hosts connected to one end of each router via a switch. This ensures that we have a bottleneck link in all flows between end hosts on either side.

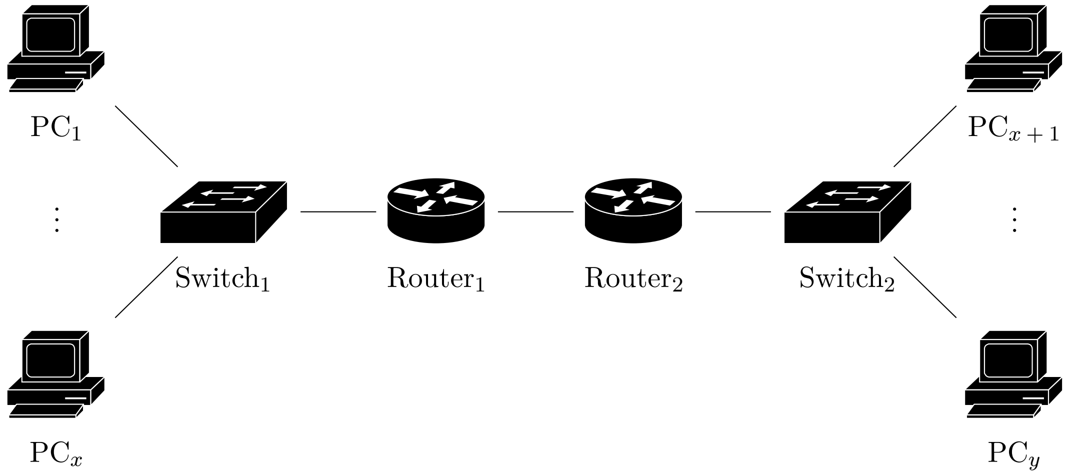

Figure 2.6: Test bed topology

Chapter 3

Implementation

3.1 Linux Kernel Modification

- A patch implementing the SCTP send buffer advertisement was created for Linux kernel `v4.6-rc4`.
- The send buffer advertisement chunk type value was set to 150.
- To modify the frequency at which send buffer advertisement chunks are sent, a `sysctl` interface was created. The default value was set to 5 seconds.
- A kernel timer was added corresponding to each SCTP association (within the `struct sctp_association`).
- A state table was created for this chunk, specifying the states in which the send buffer advertisement chunk should be generated and sent.

3.2 Test bed Implementation Details

Two Raspberry Pis were configured as routers and laptops were used as end hosts to achieve the dumbbell shaped topology.

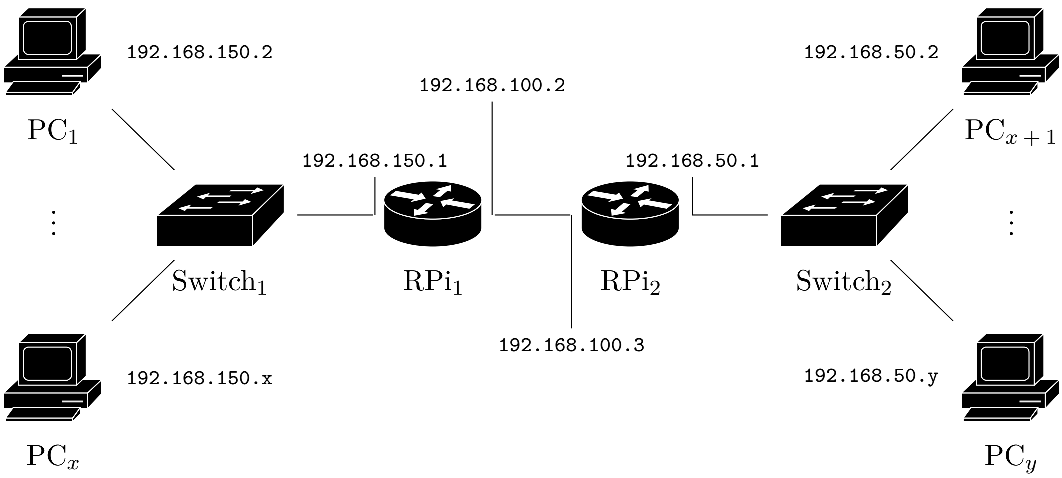

Figure 3.1: Test bed implementation

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