

CS228 Tutorial Solutions

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1 Tutorial 1

Exercise 2.6

Let p_T be the propositional variable denoting if T is good, where $T \in \{A, B, C\}$. Then the puzzle can be encoded as

$$(p_A \Leftrightarrow (\neg p_A \wedge \neg p_B \wedge \neg p_C))$$

$$\bigwedge (p_B \Leftrightarrow ((p_A \wedge \neg p_B \wedge \neg p_C) \vee (\neg p_A \wedge p_B \wedge \neg p_C) \vee (\neg p_A \wedge \neg p_B \wedge p_C)))$$

Exercise 2.7

Consider the “let”-expression

$$\text{let } p_0 = (\text{let } p_1 = (\text{let } p_2 = (\dots) \text{ in } p_2 \wedge p_2) \text{ in } p_1 \wedge p_1) \text{ in } p_0 \wedge p_0$$

This expression, in linear length, represents a formula of exponential size.

Exercise 3.10

We will not write $m(\cdot)$ in the top row for brevity.

(a)

p	q	$p \rightarrow q$	$\neg p$	$\neg p \vee q$
1	1	1	0	1
1	0	0	0	0
0	1	1	1	1
0	0	1	1	1

(b) DIY

(c)

p	q	$p \oplus q$	$\neg p$	$\neg q$	$\neg p \wedge q$	$p \wedge \neg q$	$(\neg p \wedge q) \vee (p \wedge \neg q)$
1	1	0	0	0	0	0	0
1	0	1	0	1	0	1	1
0	1	1	1	0	1	0	1
0	0	0	1	1	0	0	0

(d) DIY

Exercise 3.23

Consider the model m , where $m[p] = 1$ if and only if $p \in \Sigma$.

Assume for the sake of contradiction that $m \neq \Sigma$, and thus let H be a *smallest* ¹ formula such that $m \neq H$. Note that if the root connective of H is \neg , then H

¹note that every formula in propositional logic can be generated in finitely many steps from the base cases. Consequently, for every formula F , there is a minimum number of steps ‘ k ’ needed to generate F , and we call k the *size* of F . Once we have defined a finite size for every formula, we can talk about a smallest formula of some subset of formulae

has at least 2 connectives in it by the properties of m ², and then by the rules of generating formulae, H must be of the form $\neg\neg F, F \wedge G, F \vee G, \neg(F \vee G)$ or $\neg(F \wedge G)$ for some formulae F, G . Now,

1. $H = \neg\neg F$: Since $H \in \Sigma$, $F \in \Sigma$. But since $m \not\models H$, $m \not\models F$. But F is a strictly smaller formula than H . Thus this case is not possible.
2. $H = F \wedge G$: Since $H \in \Sigma$, $F, G \in \Sigma$. But since $m \not\models H$, either $m \not\models F$ or $m \not\models G$. But F, G are strictly smaller than H . Thus this case is not possible.
3. $H = F \vee G$: Since $H \in \Sigma$, either $F \in \Sigma$ or $G \in \Sigma$. But since $m \not\models H$, $m \not\models F$ and $m \not\models G$. But F, G are strictly smaller than H . Thus this case is not possible.
4. $H = \neg(F \vee G)$: Since $H \in \Sigma$, $\neg F, \neg G \in \Sigma$. But since $m \not\models H$, $m \models (F \vee G)$, and thus $m \models F$ or $m \models G$, implying $m \not\models \neg F$ or $m \not\models \neg G$. But $\neg F, \neg G$ are strictly smaller than H . Thus this case is not possible.
5. $H = \neg(F \wedge G)$: Since $H \in \Sigma$, either $\neg F \in \Sigma$ or $\neg G \in \Sigma$. But since $m \not\models H$, $m \models (F \wedge G)$, and thus $m \models F$ and $m \models G$, implying $m \not\models \neg F$ and $m \not\models \neg G$. But $\neg F, \neg G$ are strictly smaller than H . Thus this case is not possible.

Consequently, we arrive at a contradiction. Thus $m \models \Sigma$, ie:- Σ is satisfiable.

Exercise 3.28

- (a) F and $F[\neg p/p]$ are equisatisfiable: Suppose F is satisfiable. Let m be a model such that $m \models F$. Then note that $m[p \rightarrow 1 - m[p]] \models F[\neg p/p]$, ie:- if we flip the assignment of p in m , then we get a satisfying model for $F[\neg p/p]$, and consequently $F[\neg p/p]$ is satisfiable.
Now suppose $F[\neg p/p]$ is satisfiable: Then once again, for any satisfying model m' of $F[\neg p/p]$, $m'[p \rightarrow 1 - m'[p]] \models F$, and thus F is satisfiable.
- (b) F and $F[(p \wedge q)/p]$ are not equisatisfiable: For $F = p \wedge \neg q$, F is satisfiable but $F[(p \wedge q)/p] = (p \wedge q) \wedge \neg q$ is not satisfiable.
- (c) F and $F[(p \vee q)/p]$ are not equisatisfiable: For $F = \neg p \wedge q$, F is satisfiable but $F[(p \vee q)/p] = \neg(p \vee q) \wedge q \equiv (\neg p \wedge \neg q) \wedge q$ is not satisfiable.

2 Tutorial 2

Exercise 3.15

We make some quick observations about the \neg, \oplus connectives. For any formulae F, G, H , we have:

²If H is of the form $\neg F$ and F doesn't have any further connectives, then H is $\neg p$ for some propositional variable p and m satisfies it by definition

1. $\neg(F \oplus G) \equiv \neg F \oplus G$: This can be shown by verifying³ $\neg(p \oplus q) = \neg p \oplus q$ for propositional variables p, q , and then using structural induction.
2. $F \oplus G \equiv G \oplus F$, ie:- \oplus is a commutative connective
3. $(F \oplus G) \oplus H \equiv F \oplus (G \oplus H)$, ie:- \oplus is an associative connective

Consequently, for any formula F consisting only of \neg, \oplus , we can push the \neg s inside by the first observation, and then we can flatten the parentheses out using the associativity of \oplus . Consequently, any formula consisting only of \neg, \oplus is equivalent to $\bigoplus \ell_i$, where ℓ_i is either some propositional variable or the negation of a propositional variable. Also, note that $p \oplus p = \perp, p \oplus \neg p = \top, \top \oplus p = \neg p, \perp \oplus p = p$. Consequently, for any formula F built only from \neg, \oplus , we have that $F \equiv \top$, or $F \equiv \perp$, or $F \equiv \bigoplus \ell_i$, and furthermore, $\text{Vars}(\ell_i) \neq \text{Vars}(\ell_j)$ for $i \neq j$. In all of these cases observe that the number of satisfying assignments of F is either 0 or a power of 2. Consequently, F can't represent, for example, $p \vee q$, since $p \vee q$ has 3 satisfying assignments.

Exercise 4.3

Consider the propositional variables G, S, D, P denoting if the laws are good, if the laws have strict enforcement, if crime diminishes, and if our problem is a practical one, respectively.

We have to show that $\Sigma := \{(G \wedge S) \Rightarrow D, (S \Rightarrow D) \Rightarrow P, G\} \vdash P$. Then

- | | | |
|-----|---|-------------------------------|
| 1. | $\Sigma \vdash (G \wedge S) \Rightarrow D$ | Assumption |
| 2. | $\Sigma \vdash (S \Rightarrow D) \Rightarrow P$ | Assumption |
| 3. | $\Sigma \vdash G$ | Assumption |
| 4. | $\Sigma \cup \{S\} \vdash S$ | Assumption |
| 5. | $\Sigma \cup \{S\} \vdash G$ | Monotonic on (3) |
| 6. | $\Sigma \cup \{S\} \vdash (G \wedge S) \Rightarrow D$ | Monotonic on (1) |
| 7. | $\Sigma \cup \{S\} \vdash (G \wedge S)$ | \wedge -intro in (5, 4) |
| 8. | $\Sigma \cup \{S\} \vdash D$ | \Rightarrow -elim in (6, 7) |
| 9. | $\Sigma \vdash S \Rightarrow D$ | \Rightarrow -intro in (8) |
| 10. | $\Sigma \vdash P$ | \Rightarrow -elim in (2, 9) |

Exercise 4.4.1

Find it on page number 26 in [here](#).

Exercise 5.4

In this question, we shall let Σ be the set of formulae given on the left-hand side of the derivation.

³using truth tables

(1)

1. $\Sigma \vdash p \Rightarrow q$ Assumption
2. $\Sigma \vdash \neg p \vee q$ \Rightarrow -def on (1)
3. $\Sigma \vdash p \vee q$ Assumption
4. $\Sigma \vdash q \vee q$ Resolution on (2, 3)
5. $\Sigma \cup \{q\} \vdash q$ Assumption
6. $\Sigma \vdash q$ \vee -elim on (4, 5, 5)

(2)

Heuristic: Here we don't have any negation explicitly in our Σ , yet we have to derive $\neg F$. Your best bet here is to try to use ByContra somehow because it is one of the very few rules that introduce a negation in our formula.

1. $\Sigma \vdash p \Rightarrow q$ Assumption
2. $\Sigma \cup \{\neg r \wedge p\} \vdash p \Rightarrow q$ Monotonic on (1)
3. $\Sigma \cup \{\neg r \wedge p\} \vdash \neg r \wedge p$ Assumption
4. $\Sigma \cup \{\neg r \wedge p\} \vdash p \wedge \neg r$ \wedge -symm on (3)
5. $\Sigma \cup \{\neg r \wedge p\} \vdash p$ \wedge -elim on (4)
6. $\Sigma \cup \{\neg r \wedge p\} \vdash q$ \Rightarrow -elim on (2, 5)
7. $\Sigma \cup \{\neg r \wedge p\} \vdash \neg r$ \wedge -elim on (3)
8. $\Sigma \vdash q \Rightarrow r$ Assumption
9. $\Sigma \vdash \neg q \vee r$ \Rightarrow -def on (8)
10. $\Sigma \cup \{\neg r \wedge p\} \vdash \neg q \vee r$ Monotonic on (9)
11. $\Sigma \cup \{\neg r \wedge p\} \vdash r$ UnitRes on (10, 6)
12. $\Sigma \vdash \neg(\neg r \wedge p)$ ByContra on (11, 7)

(3)

1. $\Sigma \vdash (q \vee (r \wedge s))$ Assumption
2. $\Sigma \vdash q \Rightarrow t$ Assumption
3. $\Sigma \cup \{q\} \vdash q \Rightarrow t$ Monotonic on (2)
4. $\Sigma \cup \{q\} \vdash q$ Assumption
5. $\Sigma \cup \{q\} \vdash t$ \Rightarrow -elim on (3, 4)
6. $\Sigma \vdash t \Rightarrow s$ Assumption
7. $\Sigma \cup \{q\} \vdash t \Rightarrow s$ Monotonic on (6)
8. $\Sigma \cup \{q\} \vdash s$ \Rightarrow -elim on (7, 5)
9. $\Sigma \cup \{r \wedge s\} \vdash r \wedge s$ Assumption
10. $\Sigma \cup \{r \wedge s\} \vdash s \wedge r$ \wedge -symm on (9)
11. $\Sigma \cup \{r \wedge s\} \vdash s$ \wedge -elim on (10)
12. $\Sigma \vdash s$ \vee -elim on (1, 8, 11)

(4)

1.	$\Sigma \vdash p \vee q$	Assumption
2.	$\Sigma \vdash r \vee s$	Assumption
3.	$\Sigma \cup \{p\} \vdash r \vee s$	Monotonic on (2)
4.	$\Sigma \cup \{p, r\} \vdash p$	Assumption
5.	$\Sigma \cup \{p, r\} \vdash r$	Assumption
6.	$\Sigma \cup \{p, r\} \vdash p \wedge r$	\wedge -intro in (4, 5)
7.	$\Sigma \cup \{p, r\} \vdash (p \wedge r) \vee (q \vee s)$	\vee -intro in (6)
8.	$\Sigma \cup \{p, s\} \vdash s$	Assumption
9.	$\Sigma \cup \{p, s\} \vdash s \vee q$	\vee -intro in (8)
10.	$\Sigma \cup \{p, s\} \vdash q \vee s$	\vee -symm in (9)
11.	$\Sigma \cup \{p, s\} \vdash (q \vee s) \vee (p \wedge r)$	\vee -intro in (10)
12.	$\Sigma \cup \{p, s\} \vdash (p \wedge r) \vee (q \vee s)$	\vee -symm in (11)
13.	$\Sigma \cup \{p\} \vdash (p \wedge r) \vee (q \vee s)$	\vee -elim in (3, 7, 12)
14.	$\Sigma \cup \{q\} \vdash q$	Assumption
15.	$\Sigma \cup \{q\} \vdash (q \vee s)$	\vee -intro in (14)
16.	$\Sigma \cup \{q\} \vdash (q \vee s) \vee (p \wedge r)$	\vee -intro in (15)
17.	$\Sigma \cup \{q\} \vdash (p \wedge r) \vee (q \vee s)$	\vee -symm in (16)
18.	$\Sigma \vdash (p \wedge r) \vee (q \vee s)$	\vee -elim in (1, 13, 17)

(5)

Heuristic: To show $\Sigma \vdash F \Rightarrow G$ it is enough to show $\Sigma \cup \{F\} \vdash G$.

1.	$\{p\} \cup \{p \Rightarrow q\} \vdash p$	Assumption
2.	$\{p\} \cup \{p \Rightarrow q\} \vdash p \Rightarrow q$	Assumption
3.	$\{p\} \cup \{p \Rightarrow q\} \vdash q$	\Rightarrow -elim on (2, 1)
4.	$\{p\} \vdash (p \Rightarrow q) \Rightarrow q$	\Rightarrow -intro on (3)
5.	$\Sigma \vdash ((p \Rightarrow q) \Rightarrow q) \Rightarrow q$	Assumption
6.	$\Sigma \cup \{p\} \vdash ((p \Rightarrow q) \Rightarrow q) \Rightarrow q$	Monotonic on (5)
7.	$\Sigma \cup \{p\} \vdash (p \Rightarrow q) \Rightarrow q$	Monotonic on (4)
8.	$\Sigma \cup \{p\} \vdash q$	\Rightarrow -elim on (6, 7)
9.	$\Sigma \vdash p \Rightarrow q$	\Rightarrow -intro in (8)

(6)

Heuristic: The first clause becomes true when r is set to true, while the second clause becomes true when r is set to false. Thus we do casework on r , introducing $\neg r \vee r$ through the Tautology rule. The reason we don't split on p or q is that q is absent from the second clause while setting p to true doesn't lead to an automatic truth assignment of the clauses.

1.	$\emptyset \vdash \neg r \vee r$	Tautology
2.	$\{\neg r\} \vdash \neg r$	Assumption
3.	$\{\neg r\} \vdash \neg r \vee \neg p$	\vee -intro in (2)
4.	$\{\neg r\} \vdash r \Rightarrow \neg p$	\Rightarrow -def in (3)
5.	$\{\neg r\} \vdash (r \Rightarrow \neg p) \vee (p \Rightarrow (q \vee r))$	\vee -intro in (4)
6.	$\{\neg r\} \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	\vee -symm in (5)
7.	$\{r\} \vdash r$	Assumption
8.	$\{r\} \vdash r \vee q$	\vee -intro in (7)
9.	$\{r\} \vdash q \vee r$	\vee -symm in (8)
10.	$\{r\} \vdash (q \vee r) \vee \neg p$	\vee -intro in (9)
11.	$\{r\} \vdash \neg p \vee (q \vee r)$	\vee -symm in (10)
12.	$\{r\} \vdash p \Rightarrow (q \vee r)$	\Rightarrow -def in (11)
13.	$\{r\} \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	\vee -intro in (12)
14.	$\emptyset \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	\vee -elim in (1, 6, 13)

(7)

1.	$\Sigma \vdash p$	Assumption
2.	$\Sigma \vdash p \vee \neg q$	\vee -intro on (1)
3.	$\Sigma \vdash \neg q \vee p$	\vee -symm on (2)
4.	$\Sigma \vdash q \Rightarrow p$	\Rightarrow -def on (3)

(8)

1.	$\Sigma \vdash (p \Rightarrow (q \Rightarrow r))$	Assumption
2.	$\Sigma \cup \{p \Rightarrow q\} \vdash p \Rightarrow q$	Assumption
3.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash p \Rightarrow q$	Monotonic on (2)
4.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash p$	Assumption
5.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash q$	\Rightarrow -elim on (3, 4)
6.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash (p \Rightarrow (q \Rightarrow r))$	Monotonic on (1)
7.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash q \Rightarrow r$	\Rightarrow -elim on (4, 6)
8.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash r$	\Rightarrow -elim on (5, 7)
9.	$\Sigma \cup \{p \Rightarrow q\} \vdash p \Rightarrow r$	\Rightarrow -intro on (8)
10.	$\Sigma \vdash ((p \Rightarrow q) \Rightarrow (p \Rightarrow r))$	\Rightarrow -intro on (9)

(9)

A typical demonstration of the use of RevDoubleNeg.

1. $\Sigma \vdash (\neg p \Rightarrow \neg q)$ Assumption
2. $\Sigma \vdash \neg\neg p \vee \neg q$ \Rightarrow -def on (1)
3. $\Sigma \vdash \neg q \vee \neg\neg p$ \vee -symm on (2)
4. $\Sigma \cup \{\neg q\} \vdash \neg q$ Assumption
5. $\Sigma \cup \{\neg q\} \vdash \neg q \vee p$ \vee -intro in (4)
6. $\Sigma \cup \{\neg\neg p\} \vdash \neg\neg p$ Assumption
7. $\Sigma \cup \{\neg\neg p\} \vdash p$ RevDoubleNeg on (6)
8. $\Sigma \cup \{\neg\neg p\} \vdash p \vee \neg q$ \vee -intro in (7)
9. $\Sigma \cup \{\neg\neg p\} \vdash \neg q \vee p$ \vee -symm in (8)
10. $\Sigma \vdash \neg q \vee p$ \vee -elim on (3, 5, 9)
11. $\Sigma \vdash q \Rightarrow p$ \Rightarrow -def on (10)

(10)

Heuristic: Note that our formula to be proved, $t \Rightarrow u$, is independent of r . This is usually a tell-tale sign of ByCases being involved, with the casework being done on the variable which isn't involved in the final formula.

1. $\Sigma \vdash (r \vee s) \Rightarrow (u \vee \neg t)$ Assumption
2. $\Sigma \cup \{r\} \vdash r$ Assumption
3. $\Sigma \cup \{r\} \vdash r \vee s$ \vee -intro in (2)
4. $\Sigma \cup \{r\} \vdash (r \vee s) \Rightarrow (u \vee \neg t)$ Monotonic on (1)
5. $\Sigma \cup \{r\} \vdash u \vee \neg t$ \Rightarrow -elim on (4, 3)
6. $\Sigma \cup \{r\} \vdash \neg t \vee u$ \vee -symm on (5)
7. $\Sigma \cup \{r\} \vdash t \Rightarrow u$ \Rightarrow -def on (6)
8. $\Sigma \cup \{\neg r\} \vdash \neg r$ Assumption
9. $\Sigma \vdash r \vee (s \wedge \neg t)$ Assumption
10. $\Sigma \cup \{r\} \vdash \neg\neg r$ DoubleNeg on (2)
11. $\Sigma \cup \{r\} \vdash \neg\neg r \vee (s \wedge \neg t)$ \vee -intro in (10)
12. $\Sigma \cup \{s \wedge \neg t\} \vdash s \wedge \neg t$ Assumption
13. $\Sigma \cup \{s \wedge \neg t\} \vdash (s \wedge \neg t) \vee \neg\neg r$ \vee -intro in (12)
14. $\Sigma \cup \{s \wedge \neg t\} \vdash \neg\neg r \vee (s \wedge \neg t)$ \vee -symm in (13)
15. $\Sigma \vdash \neg\neg r \vee (s \wedge \neg t)$ \vee -elim in (9, 11, 14)
16. $\Sigma \cup \{\neg r\} \vdash \neg\neg r \vee (s \wedge \neg t)$ Monotonic on (15)
17. $\Sigma \cup \{\neg r\} \vdash s \wedge \neg t$ UnitRes on (16, 8)
18. $\Sigma \cup \{\neg r\} \vdash \neg t \wedge s$ \wedge -symm on (17)
19. $\Sigma \cup \{\neg r\} \vdash \neg t$ \wedge -elim on (18)
20. $\Sigma \cup \{\neg r\} \vdash \neg t \vee u$ \vee -intro in (19)
21. $\Sigma \cup \{\neg r\} \vdash t \Rightarrow u$ \Rightarrow -def in (20)
22. $\Sigma \vdash t \Rightarrow u$ ByCases on (7, 21)

3 Tutorial 3

Exercise 6.13

$$\underbrace{p \oplus \dots \oplus p}_n \oplus \underbrace{\neg p \oplus \dots \oplus \neg p}_k = \begin{cases} \top, & n \text{ odd}, k \text{ odd} \\ \perp, & n \text{ even}, k \text{ even} \\ p, & n \text{ odd}, k \text{ even} \\ \neg p, & n \text{ even}, k \text{ odd} \end{cases}$$

This can be formally established through a joint induction on n, k .

Exercise 6.16

- (a) Let $m \models F \vee G(F)$. If $m \models F$, then $m \models F \vee G(\perp)$. Otherwise, if $m \not\models F$, then we have $(m \models F \Leftrightarrow m \models \perp)$, which implies, by Theorem 6.1, that $(m \models G(F) \Leftrightarrow m \models G(\perp))$. However, since $m \models F \vee G(F)$ yet $m \not\models F$, we have $m \models G(F)$, and consequently, $m \models G(\perp)$, further implying that $m \models F \vee G(\perp)$.

Thus $m \models F \vee G(F) \Rightarrow m \models F \vee G(\perp)$.

In the reverse direction, if $m' \models F \vee G(\perp)$, and if $m' \models F$, we have $m' \models F \vee G(F)$. Otherwise $m' \not\models F, m' \models G(\perp)$. As above, we can then conclude $m' \models G(F)$, and thus $m' \models F \vee G(F)$, implying $m' \models F \vee G(\perp) \Rightarrow m' \models F \vee G(F)$.

Consequently, $F \vee G(F) \equiv F \vee G(\perp)$.

- (b) Follows similarly as above.
(c) Follows similarly as above.

Exercise 7.12

The flaw with the argument is that it assumes that the Tseitin encoding preserves validity, which is not the case. Indeed, consider

$$F := (p_1 \wedge p_2) \vee (\neg p_1 \wedge p_2) \vee (p_1 \wedge \neg p_2) \vee (\neg p_1 \wedge \neg p_2)$$

Then F is valid. However,

$$\text{Tseitin}(F) = (q_1 \vee q_2 \vee q_3 \vee q_4) \wedge (\neg q_1 \vee p_1) \wedge (\neg q_1 \vee p_2) \wedge (\neg q_2 \vee \neg p_1) \wedge (\neg q_2 \vee p_2)$$

$$\wedge (\neg q_3 \vee p_1) \wedge (\neg q_3 \vee \neg p_2) \wedge (\neg q_4 \vee \neg p_1) \wedge (\neg q_4 \vee \neg p_2)$$

is not valid, as is demonstrated by the model which assigns all the q_i s to 0.

Exercise 7.20

We want to show that if Σ is unsatisfiable, then we can derive \perp from Σ without involving valid clauses in the derivation. We shall assume that Σ is finite, and consequently, we can then induct on the number of propositional variables in Σ , ie:- $n := |\bigcup_{F \in \Sigma} \text{Vars}(F)|$, to prove our assertion.

If $n = 1$, then Σ contains only one propositional variable, say p . Since Σ is unsatisfiable it must contain both $\{p\}$ and $\{\neg p\}$, and then we derive \perp without involving $p \vee \neg p = \{p, \neg p\}$.

Let the above assertion be true for any Σ containing at most n variables in it, and consider any Σ with $\bigcup_{F \in \Sigma} \text{Vars}(F) = \{p, p_1, \dots, p_n\}$. Partition Σ into 4 sets: $\Sigma_0, \Sigma_1, \Sigma_*, \Sigma_{\text{valid}}$, where Σ_0 is the set of all clauses containing p but not $\neg p$, Σ_1 contains $\neg p$ but not p , Σ_* doesn't contain either p or $\neg p$, and Σ_{valid} contains both p and $\neg p$. We further define

$$\Sigma'_0 := \{F \setminus \{p\} : F \in \Sigma_0\}$$

$$\Sigma'_1 := \{F \setminus \{\neg p\} : F \in \Sigma_1\}$$

Note that since Σ is unsatisfiable, so is $\Sigma'_0 \cup \Sigma_*$ ⁴. Indeed, if $m \models \Sigma'_0 \cup \Sigma_*$, then $m[p \rightarrow 0] \models \Sigma$. Similarly, one can see that $\Sigma'_1 \cup \Sigma_*$ is unsatisfiable too. Further note that $\Sigma'_i \cup \Sigma_*, i \in \{0, 1\}$ have at most n variables, and thus have a resolution proof for \perp *without involving valid clauses*, by the induction hypothesis. Now, take the proofs $\Sigma'_i \cup \Sigma_* \vdash \perp$, and adjoin p or $\neg p$ to clauses in Σ'_0 and Σ'_1 respectively ⁵ to obtain $\Sigma_0 \cup \Sigma_* \vdash \{p\}$, $\Sigma_1 \cup \Sigma_* \vdash \{\neg p\}$, and then finally resolve $\{p\}, \{\neg p\}$ to get \perp . Note that we did not involve any clause from Σ_{valid} in this step, and we can be sure that no valid clause was invoked anywhere else in the proof by the induction hypothesis. Consequently, we have our desired proof of $\Sigma \vdash \perp$ without valid clauses.

Exercise 8.3

We use induction on n . For $n = 1$, as we observed in the earlier question, we can resolve Σ in at most $1 \leq 2^{1+1} - 1$ steps. For any Σ with n variables, as above, we can derive \perp from $\Sigma'_i \cup \Sigma_*$ in at most $2^{(n-1)+1} - 1 = 2^n - 1$ steps. If any of these proofs use clauses only from Σ_* , then we're done in $2^n - 1 \leq 2^{n+1} - 1$ steps. Otherwise, adjoin $p, \neg p$ to these proofs, and resolve $p, \neg p$ in the final step to get a derivation of at most $2 \cdot (2^n - 1) + 1 = 2^{n+1} - 1$ steps, as desired.

Exercise 8.8

Note that if $\ell = p$, then $F|_\ell = F'_1 \cup F_*$, and if $\ell = \neg p$, then $F|_\ell = F'_0 \cup F_*$.

⁴Note that $\mathcal{T}_1 \cup \mathcal{T}_2 \equiv \mathcal{T}_1 \wedge \mathcal{T}_2$ for any two CNFs $\mathcal{T}_1, \mathcal{T}_2$

⁵Note that adjoining p to a clause in Σ'_0 doesn't make it valid: Indeed, if validity were to be introduced by the variable p , then one would have to adjoin both p and $\neg p$. Thus our proof $\Sigma_0 \cup \Sigma_* \vdash \perp$ remains free of valid clauses after the adjoining process.

1. Then $F|_\ell \vdash \perp \Rightarrow F \vdash \{\bar{\ell}\}$, as in Exercise 7.20. Consequently, $F \wedge \ell \vdash \perp$, where we derive $\bar{\ell}$ from F and then resolve it using ℓ .
2. For convenience assume $\ell = p$ for some propositional variable p ⁶. Consider the slimmest derivation $F|_\ell \vdash \perp$ with width w_1 . When we adjoin $\bar{\ell}$ to obtain the derivation $F \vdash \bar{\ell}$, the width becomes at most $1 + w_1$. Having obtained $\bar{\ell}$, resolve it with every clause in F_0 to obtain $F|_{\bar{\ell}}$, and let the width of the derivation $F_0 \vdash F|_{\bar{\ell}}$ be w_2 . Finally, derive \perp from $F|_{\bar{\ell}}$ in width w_3 in the slimmest possible manner. Consequently, the width of the entire proof described above is at most $\max(1 + w_1, w_2, w_3)$. Now, $w_3 \leq k$ by the problem hypothesis. Also, $w_1 \leq k - 1 \Rightarrow 1 + w_1 \leq k$, once again, by the problem hypothesis. Finally, note that $w_2 = 1 + \text{width}(F_0) = 1 + \text{width}(F) - 1 \leq k$, and thus $\max(1 + w_1, w_2, w_3) \leq k$, as desired.

⁶the proof goes through exactly the same way if $\ell = \neg p$