

# CS228 Tutorial Solutions

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# 1 Tutorial 1

## Exercise 2.6

Let  $p_T$  be the propositional variable denoting if  $T$  is good, where  $T \in \{A, B, C\}$ . Then the puzzle can be encoded as

$$(p_A \Leftrightarrow (\neg p_A \wedge \neg p_B \wedge \neg p_C))$$

$$\bigwedge (p_B \Leftrightarrow ((p_A \wedge \neg p_B \wedge \neg p_C) \vee (\neg p_A \wedge p_B \wedge \neg p_C) \vee (\neg p_A \wedge \neg p_B \wedge p_C)))$$

## Exercise 2.7

Consider the “let”-expression

$$\text{let } p_0 = (\text{let } p_1 = (\text{let } p_2 = (\dots) \text{ in } p_2 \wedge p_2) \text{ in } p_1 \wedge p_1) \text{ in } p_0 \wedge p_0$$

This expression, in linear length, represents a formula of exponential size.

## Exercise 3.10

We will not write  $m(\cdot)$  in the top row for brevity.

(a)

$p$	$q$	$p \rightarrow q$	$\neg p$	$\neg p \vee q$
1	1	1	0	1
1	0	0	0	0
0	1	1	1	1
0	0	1	1	1

(b) DIY

(c)

$p$	$q$	$p \oplus q$	$\neg p$	$\neg q$	$\neg p \wedge q$	$p \wedge \neg q$	$(\neg p \wedge q) \vee (p \wedge \neg q)$
1	1	0	0	0	0	0	0
1	0	1	0	1	0	1	1
0	1	1	1	0	1	0	1
0	0	0	1	1	0	0	0

(d) DIY

## Exercise 3.23

Consider the model  $m$ , where  $m[p] = 1$  if and only if  $p \in \Sigma$ .

Assume for the sake of contradiction that  $m \neq \Sigma$ , and thus let  $H$  be a *smallest* <sup>1</sup> formula such that  $m \neq H$ . Note that if the root connective of  $H$  is  $\neg$ , then  $H$

<sup>1</sup>note that every formula in propositional logic can be generated in finitely many steps from the base cases. Consequently, for every formula  $F$ , there is a minimum number of steps ‘ $k$ ’ needed to generate  $F$ , and we call  $k$  the *size* of  $F$ . Once we have defined a finite size for every formula, we can talk about a smallest formula of some subset of formulae

has at least 2 connectives in it by the properties of  $m$ <sup>2</sup>, and then by the rules of generating formulae,  $H$  must be of the form  $\neg\neg F, F \wedge G, F \vee G, \neg(F \vee G)$  or  $\neg(F \wedge G)$  for some formulae  $F, G$ . Now,

1.  $H = \neg\neg F$ : Since  $H \in \Sigma, F \in \Sigma$ . But since  $m \not\models H, m \not\models F$ . But  $F$  is a strictly smaller formula than  $H$ . Thus this case is not possible.
2.  $H = F \wedge G$ : Since  $H \in \Sigma, F, G \in \Sigma$ . But since  $m \not\models H$ , either  $m \not\models F$  or  $m \not\models G$ . But  $F, G$  are strictly smaller than  $H$ . Thus this case is not possible.
3.  $H = F \vee G$ : Since  $H \in \Sigma$ , either  $F \in \Sigma$  or  $G \in \Sigma$ . But since  $m \not\models H, m \not\models F$  and  $m \not\models G$ . But  $F, G$  are strictly smaller than  $H$ . Thus this case is not possible.
4.  $H = \neg(F \vee G)$ : Since  $H \in \Sigma, \neg F, \neg G \in \Sigma$ . But since  $m \not\models H, m \models (F \vee G)$ , and thus  $m \models F$  or  $m \models G$ , implying  $m \not\models \neg F$  or  $m \not\models \neg G$ . But  $\neg F, \neg G$  are strictly smaller than  $H$ . Thus this case is not possible.
5.  $H = \neg(F \wedge G)$ : Since  $H \in \Sigma$ , either  $\neg F \in \Sigma$  or  $\neg G \in \Sigma$ . But since  $m \not\models H, m \models (F \wedge G)$ , and thus  $m \models F$  and  $m \models G$ , implying  $m \not\models \neg F$  and  $m \not\models \neg G$ . But  $\neg F, \neg G$  are strictly smaller than  $H$ . Thus this case is not possible.

Consequently, we arrive at a contradiction. Thus  $m \models \Sigma$ , ie:-  $\Sigma$  is satisfiable.

### Exercise 3.28

- (a)  $F$  and  $F[\neg p/p]$  are equisatisfiable: Suppose  $F$  is satisfiable. Let  $m$  be a model such that  $m \models F$ . Then note that  $m[p \rightarrow 1 - m[p]] \models F[\neg p/p]$ , ie:- if we flip the assignment of  $p$  in  $m$ , then we get a satisfying model for  $F[\neg p/p]$ , and consequently  $F[\neg p/p]$  is satisfiable.

Now suppose  $F[\neg p/p]$  is satisfiable: Then once again, for any satisfying model  $m'$  of  $F[\neg p/p]$ ,  $m'[p \rightarrow 1 - m'[p]] \models F$ , and thus  $F$  is satisfiable.

**Inductive “Rewriting” of the above solution:-** We proceed by induction, with our induction hypothesis being that  $m \models F \iff m' \models F[\neg p/p]$ , where the assignment of  $p$  in  $m'$  is the opposite of that in  $m$ . If  $F(p)$  is atomic, then we have two cases: Either  $F(p) = p$ , or  $F(p) = q$  for some other propositional variable  $q$ . In the first case  $F[\neg p/p] = \neg p$ , and in the second case  $F = F[\neg p/p] = q$ , and in both cases  $F, F[\neg p/p]$  are equisatisfiable.

Now assume  $F$  is not atomic: WLOG we can assume that its root connective is not  $\neg$ , because  $F, F[\neg p/p]$  are equisatisfiable iff  $\neg F, \neg F[\neg p/p]$  are. Then, let  $\circ$  be the root binary connective of  $F$ . Note that if  $F = F_1 \circ F_2$ , then  $F[\neg p/p] = F_1[\neg p/p] \circ F_2[\neg p/p]$ . After this point, we have to perform casework on  $\circ$  being  $\wedge, \vee, \Rightarrow, \Leftrightarrow$  or  $\oplus$ . I'll do the  $\wedge$  one, leaving

<sup>2</sup>If  $H$  is of the form  $\neg F$  and  $F$  doesn't have any further connectives, then  $H$  is  $\neg p$  for some propositional variable  $p$  and  $m$  satisfies it by definition

the others to you. If  $m \models F$ , then  $m_i \models F_i$  where  $m_i := m|_{\text{Vars}(F_i)}$  for  $i \in \{1, 2\}$ . Consequently,  $m'_i := m_i[1 - m_i[p]] \models F_i[\neg p/p]$  by the induction hypothesis. Further, since the  $m_i$ 's are the restrictions of the same model  $m$  to the domain  $\text{Vars}(F_i)$ , they can be “merged” safely back again, ie:-  $m_i[1 - m_i[p]] \hookrightarrow m[1 - m[p]] \models F[\neg p/p]$ , as desired.

- (b)  $F$  and  $F[(p \wedge q)/p]$  are not equisatisfiable: For  $F = p \wedge \neg q$ ,  $F$  is satisfiable but  $F[(p \wedge q)/p] = (p \wedge q) \wedge \neg q$  is not satisfiable.
- (c)  $F$  and  $F[(p \vee q)/p]$  are not equisatisfiable: For  $F = \neg p \wedge q$ ,  $F$  is satisfiable but  $F[(p \vee q)/p] = \neg(p \vee q) \wedge q \equiv (\neg p \wedge \neg q) \wedge q$  is not satisfiable.

## 2 Tutorial 2

### Exercise 3.15

We make some quick observations about the  $\neg, \oplus$  connectives. For any formulae  $F, G, H$ , we have:

1.  $\neg(F \oplus G) \equiv \neg F \oplus G$ : Indeed, if  $m \not\models \neg(F \oplus G)$ , then  $m \models F \oplus G$ , implying that  $m$  satisfies exactly one of the formulae among  $F, G$ . If  $m \models G$ , and thus  $m \not\models F \implies m \models \neg F$ , then  $m \not\models \neg F \oplus G$  because  $m$  satisfies both  $\neg F, G$ . If  $m \models F$ , then  $m \not\models G$ , and  $m \not\models \neg F$ , and consequently,  $m \not\models \neg F \oplus G$  since  $m$  doesn't satisfy either  $\neg F, G$ . Thus  $m \not\models \neg(F \oplus G) \implies m \not\models \neg F \oplus G$ .  
If  $m \models \neg(F \oplus G)$ , then  $m \not\models F \oplus G$ . Thus either  $m$  satisfies both  $F, G$ , in which case it satisfies exactly one formula in  $\{\neg F, G\}$ , and thus  $m \models \neg F \oplus G$ . Otherwise  $m$  doesn't satisfy  $F$  or  $G$ , and again  $m \models \neg F \oplus G$ . Consequently,  $m \models \neg(F \oplus G) \implies m \models \neg F \oplus G$ , and thus  $\neg(F \oplus G) \equiv \neg F \oplus G$ .
2.  $F \oplus G \equiv G \oplus F$ , ie:-  $\oplus$  is a commutative connective
3.  $(F \oplus G) \oplus H \equiv F \oplus (G \oplus H)$ , ie:-  $\oplus$  is an associative connective

Consequently, for any formula  $F$  consisting only of  $\neg, \oplus$ , we can push the  $\neg$ s inside by the first observation, and then we can flatten the parentheses out using the associativity of  $\oplus$ . Consequently, any formula consisting only of  $\neg, \oplus$  is equivalent to  $\bigoplus \ell_i$ , where  $\ell_i$  is either some propositional variable or the negation of a propositional variable. Also, note that  $p \oplus p = \perp, p \oplus \neg p = \top, \top \oplus p = \neg p, \perp \oplus p = p$ . Consequently, for any formula  $F$  built only from  $\neg, \oplus$ , we have that  $F \equiv \top$ , or  $F \equiv \perp$ , or  $F \equiv \bigoplus \ell_i$ , and furthermore,  $\text{Vars}(\ell_i) \neq \text{Vars}(\ell_j)$  for  $i \neq j$ . In all of these cases observe that the number of satisfying assignments of  $F$  is either 0 or a power of 2. Consequently,  $F$  can't represent, for example,  $p \vee q$ , since  $p \vee q$  has 3 satisfying assignments.

### Exercise 4.3

Consider the propositional variables  $G, S, D, P$  denoting if the laws are good, if the laws have strict enforcement, if crime diminishes, and if our problem is a practical one, respectively.

We have to show that  $\Sigma := \{(G \wedge S) \Rightarrow D, (S \Rightarrow D) \Rightarrow P, G\} \vdash P$ . Then

- |     |   |                               |
|-----|---|-------------------------------|
| 1.  | $\Sigma \vdash (G \wedge S) \Rightarrow D$            | Assumption                    |
| 2.  | $\Sigma \vdash (S \Rightarrow D) \Rightarrow P$       | Assumption                    |
| 3.  | $\Sigma \vdash G$                                     | Assumption                    |
| 4.  | $\Sigma \cup \{S\} \vdash S$                          | Assumption                    |
| 5.  | $\Sigma \cup \{S\} \vdash G$                          | Monotonic on (3)              |
| 6.  | $\Sigma \cup \{S\} \vdash (G \wedge S) \Rightarrow D$ | Monotonic on (1)              |
| 7.  | $\Sigma \cup \{S\} \vdash (G \wedge S)$               | $\wedge$ -intro in (5, 4)     |
| 8.  | $\Sigma \cup \{S\} \vdash D$                          | $\Rightarrow$ -elim in (6, 7) |
| 9.  | $\Sigma \vdash S \Rightarrow D$                       | $\Rightarrow$ -intro in (8)   |
| 10. | $\Sigma \vdash P$                                     | $\Rightarrow$ -elim in (2, 9) |

### Exercise 4.4.1

Find it on page number 26 in [here](#).

### Exercise 5.4

In this question, we shall let  $\Sigma$  be the set of formulae given on the left-hand side of the derivation.

(1)

- |    |                                 |                           |
|----|---------------------------------|---------------------------|
| 1. | $\Sigma \vdash p \Rightarrow q$ | Assumption                |
| 2. | $\Sigma \vdash \neg p \vee q$   | $\Rightarrow$ -def on (1) |
| 3. | $\Sigma \vdash p \vee q$        | Assumption                |
| 4. | $\Sigma \vdash q \vee q$        | Resolution on (2, 3)      |
| 5. | $\Sigma \cup \{q\} \vdash q$    | Assumption                |
| 6. | $\Sigma \vdash q$               | $\vee$ -elim on (4, 5, 5) |

(2)

Heuristic: Here we don't have any negation explicitly in our  $\Sigma$ , yet we have to derive  $\neg F$ . Your best bet here is to try to use ByContra somehow because it is one of the very few rules that introduce a negation in our formula.

1.  $\Sigma \vdash p \Rightarrow q$  Assumption
2.  $\Sigma \cup \{\neg r \wedge p\} \vdash p \Rightarrow q$  Monotonic on (1)
3.  $\Sigma \cup \{\neg r \wedge p\} \vdash \neg r \wedge p$  Assumption
4.  $\Sigma \cup \{\neg r \wedge p\} \vdash p \wedge \neg r$   $\wedge$ -symm on (3)
5.  $\Sigma \cup \{\neg r \wedge p\} \vdash p$   $\wedge$ -elim on (4)
6.  $\Sigma \cup \{\neg r \wedge p\} \vdash q$   $\Rightarrow$ -elim on (2, 5)
7.  $\Sigma \cup \{\neg r \wedge p\} \vdash \neg r$   $\wedge$ -elim on (3)
8.  $\Sigma \vdash q \Rightarrow r$  Assumption
9.  $\Sigma \vdash \neg q \vee r$   $\Rightarrow$ -def on (8)
10.  $\Sigma \cup \{\neg r \wedge p\} \vdash \neg q \vee r$  Monotonic on (9)
11.  $\Sigma \cup \{\neg r \wedge p\} \vdash r$  UnitRes on (10, 6)
12.  $\Sigma \vdash \neg(\neg r \wedge p)$  ByContra on (11, 7)

**(3)**

1.  $\Sigma \vdash (q \vee (r \wedge s))$  Assumption
2.  $\Sigma \vdash q \Rightarrow t$  Assumption
3.  $\Sigma \cup \{q\} \vdash q \Rightarrow t$  Monotonic on (2)
4.  $\Sigma \cup \{q\} \vdash q$  Assumption
5.  $\Sigma \cup \{q\} \vdash t$   $\Rightarrow$ -elim on (3, 4)
6.  $\Sigma \vdash t \Rightarrow s$  Assumption
7.  $\Sigma \cup \{q\} \vdash t \Rightarrow s$  Monotonic on (6)
8.  $\Sigma \cup \{q\} \vdash s$   $\Rightarrow$ -elim on (7, 5)
9.  $\Sigma \cup \{r \wedge s\} \vdash r \wedge s$  Assumption
10.  $\Sigma \cup \{r \wedge s\} \vdash s \wedge r$   $\wedge$ -symm on (9)
11.  $\Sigma \cup \{r \wedge s\} \vdash s$   $\wedge$ -elim on (10)
12.  $\Sigma \vdash s$   $\vee$ -elim on (1, 8, 11)

(4)

1.	$\Sigma \vdash p \vee q$	Assumption
2.	$\Sigma \vdash r \vee s$	Assumption
3.	$\Sigma \cup \{p\} \vdash r \vee s$	Monotonic on (2)
4.	$\Sigma \cup \{p, r\} \vdash p$	Assumption
5.	$\Sigma \cup \{p, r\} \vdash r$	Assumption
6.	$\Sigma \cup \{p, r\} \vdash p \wedge r$	$\wedge$ -intro in (4, 5)
7.	$\Sigma \cup \{p, r\} \vdash (p \wedge r) \vee (q \vee s)$	$\vee$ -intro in (6)
8.	$\Sigma \cup \{p, s\} \vdash s$	Assumption
9.	$\Sigma \cup \{p, s\} \vdash s \vee q$	$\vee$ -intro in (8)
10.	$\Sigma \cup \{p, s\} \vdash q \vee s$	$\vee$ -symm in (9)
11.	$\Sigma \cup \{p, s\} \vdash (q \vee s) \vee (p \wedge r)$	$\vee$ -intro in (10)
12.	$\Sigma \cup \{p, s\} \vdash (p \wedge r) \vee (q \vee s)$	$\vee$ -symm in (11)
13.	$\Sigma \cup \{p\} \vdash (p \wedge r) \vee (q \vee s)$	$\vee$ -elim in (3, 7, 12)
14.	$\Sigma \cup \{q\} \vdash q$	Assumption
15.	$\Sigma \cup \{q\} \vdash (q \vee s)$	$\vee$ -intro in (14)
16.	$\Sigma \cup \{q\} \vdash (q \vee s) \vee (p \wedge r)$	$\vee$ -intro in (15)
17.	$\Sigma \cup \{q\} \vdash (p \wedge r) \vee (q \vee s)$	$\vee$ -symm in (16)
18.	$\Sigma \vdash (p \wedge r) \vee (q \vee s)$	$\vee$ -elim in (1, 13, 17)

(5)

Heuristic: To show  $\Sigma \vdash F \Rightarrow G$  it is enough to show  $\Sigma \cup \{F\} \vdash G$ .

1.	$\{p\} \cup \{p \Rightarrow q\} \vdash p$	Assumption
2.	$\{p\} \cup \{p \Rightarrow q\} \vdash p \Rightarrow q$	Assumption
3.	$\{p\} \cup \{p \Rightarrow q\} \vdash q$	$\Rightarrow$ -elim on (2, 1)
4.	$\{p\} \vdash (p \Rightarrow q) \Rightarrow q$	$\Rightarrow$ -intro on (3)
5.	$\Sigma \vdash ((p \Rightarrow q) \Rightarrow q) \Rightarrow q$	Assumption
6.	$\Sigma \cup \{p\} \vdash ((p \Rightarrow q) \Rightarrow q) \Rightarrow q$	Monotonic on (5)
7.	$\Sigma \cup \{p\} \vdash (p \Rightarrow q) \Rightarrow q$	Monotonic on (4)
8.	$\Sigma \cup \{p\} \vdash q$	$\Rightarrow$ -elim on (6, 7)
9.	$\Sigma \vdash p \Rightarrow q$	$\Rightarrow$ -intro in (8)

(6)

Heuristic: The first clause becomes true when  $r$  is set to true, while the second clause becomes true when  $r$  is set to false. Thus we do casework on  $r$ , introducing  $\neg r \vee r$  through the Tautology rule. The reason we don't split on  $p$  or  $q$  is that  $q$  is absent from the second clause while setting  $p$  to true doesn't lead to an automatic truth assignment of the clauses.

1.	$\emptyset \vdash \neg r \vee r$	Tautology
2.	$\{\neg r\} \vdash \neg r$	Assumption
3.	$\{\neg r\} \vdash \neg r \vee \neg p$	$\vee$ -intro in (2)
4.	$\{\neg r\} \vdash r \Rightarrow \neg p$	$\Rightarrow$ -def in (3)
5.	$\{\neg r\} \vdash (r \Rightarrow \neg p) \vee (p \Rightarrow (q \vee r))$	$\vee$ -intro in (4)
6.	$\{\neg r\} \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	$\vee$ -symm in (5)
7.	$\{r\} \vdash r$	Assumption
8.	$\{r\} \vdash r \vee q$	$\vee$ -intro in (7)
9.	$\{r\} \vdash q \vee r$	$\vee$ -symm in (8)
10.	$\{r\} \vdash (q \vee r) \vee \neg p$	$\vee$ -intro in (9)
11.	$\{r\} \vdash \neg p \vee (q \vee r)$	$\vee$ -symm in (10)
12.	$\{r\} \vdash p \Rightarrow (q \vee r)$	$\Rightarrow$ -def in (11)
13.	$\{r\} \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	$\vee$ -intro in (12)
14.	$\emptyset \vdash (p \Rightarrow (q \vee r)) \vee (r \Rightarrow \neg p)$	$\vee$ -elim in (1, 6, 13)

(7)

1.	$\Sigma \vdash p$	Assumption
2.	$\Sigma \vdash p \vee \neg q$	$\vee$ -intro on (1)
3.	$\Sigma \vdash \neg q \vee p$	$\vee$ -symm on (2)
4.	$\Sigma \vdash q \Rightarrow p$	$\Rightarrow$ -def on (3)

(8)

1.	$\Sigma \vdash (p \Rightarrow (q \Rightarrow r))$	Assumption
2.	$\Sigma \cup \{p \Rightarrow q\} \vdash p \Rightarrow q$	Assumption
3.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash p \Rightarrow q$	Monotonic on (2)
4.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash p$	Assumption
5.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash q$	$\Rightarrow$ -elim on (3, 4)
6.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash (p \Rightarrow (q \Rightarrow r))$	Monotonic on (1)
7.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash q \Rightarrow r$	$\Rightarrow$ -elim on (4, 6)
8.	$\Sigma \cup \{p \Rightarrow q, p\} \vdash r$	$\Rightarrow$ -elim on (5, 7)
9.	$\Sigma \cup \{p \Rightarrow q\} \vdash p \Rightarrow r$	$\Rightarrow$ -intro on (8)
10.	$\Sigma \vdash ((p \Rightarrow q) \Rightarrow (p \Rightarrow r))$	$\Rightarrow$ -intro on (9)

(9)

A typical demonstration of the use of RevDoubleNeg.



1.  $\Sigma \vdash (\neg p \Rightarrow \neg q)$  Assumption
2.  $\Sigma \vdash \neg\neg p \vee \neg q$   $\Rightarrow$ -def on (1)
3.  $\Sigma \vdash \neg q \vee \neg\neg p$   $\vee$ -symm on (2)
4.  $\Sigma \cup \{\neg q\} \vdash \neg q$  Assumption
5.  $\Sigma \cup \{\neg q\} \vdash \neg q \vee p$   $\vee$ -intro in (4)
6.  $\Sigma \cup \{\neg\neg p\} \vdash \neg\neg p$  Assumption
7.  $\Sigma \cup \{\neg\neg p\} \vdash p$  RevDoubleNeg on (6)
8.  $\Sigma \cup \{\neg\neg p\} \vdash p \vee \neg q$   $\vee$ -intro in (7)
9.  $\Sigma \cup \{\neg\neg p\} \vdash \neg q \vee p$   $\vee$ -symm in (8)
10.  $\Sigma \vdash \neg q \vee p$   $\vee$ -elim on (3, 5, 9)
11.  $\Sigma \vdash q \Rightarrow p$   $\Rightarrow$ -def on (10)

(10)

Heuristic: Note that our formula to be proved,  $t \Rightarrow u$ , is independent of  $r$ . This is usually a tell-tale sign of ByCases being involved, with the casework being done on the variable which isn't involved in the final formula.

1.  $\Sigma \vdash (r \vee s) \Rightarrow (u \vee \neg t)$  Assumption
2.  $\Sigma \cup \{r\} \vdash r$  Assumption
3.  $\Sigma \cup \{r\} \vdash r \vee s$   $\vee$ -intro in (2)
4.  $\Sigma \cup \{r\} \vdash (r \vee s) \Rightarrow (u \vee \neg t)$  Monotonic on (1)
5.  $\Sigma \cup \{r\} \vdash u \vee \neg t$   $\Rightarrow$ -elim on (4, 3)
6.  $\Sigma \cup \{r\} \vdash \neg t \vee u$   $\vee$ -symm on (5)
7.  $\Sigma \cup \{r\} \vdash t \Rightarrow u$   $\Rightarrow$ -def on (6)
8.  $\Sigma \cup \{\neg r\} \vdash \neg r$  Assumption
9.  $\Sigma \vdash r \vee (s \wedge \neg t)$  Assumption
10.  $\Sigma \cup \{r\} \vdash \neg\neg r$  DoubleNeg on (2)
11.  $\Sigma \cup \{r\} \vdash \neg\neg r \vee (s \wedge \neg t)$   $\vee$ -intro in (10)
12.  $\Sigma \cup \{s \wedge \neg t\} \vdash s \wedge \neg t$  Assumption
13.  $\Sigma \cup \{s \wedge \neg t\} \vdash (s \wedge \neg t) \vee \neg\neg r$   $\vee$ -intro in (12)
14.  $\Sigma \cup \{s \wedge \neg t\} \vdash \neg\neg r \vee (s \wedge \neg t)$   $\vee$ -symm in (13)
15.  $\Sigma \vdash \neg\neg r \vee (s \wedge \neg t)$   $\vee$ -elim in (9, 11, 14)
16.  $\Sigma \cup \{\neg r\} \vdash \neg\neg r \vee (s \wedge \neg t)$  Monotonic on (15)
17.  $\Sigma \cup \{\neg r\} \vdash s \wedge \neg t$  UnitRes on (16, 8)
18.  $\Sigma \cup \{\neg r\} \vdash \neg t \wedge s$   $\wedge$ -symm on (17)
19.  $\Sigma \cup \{\neg r\} \vdash \neg t$   $\wedge$ -elim on (18)
20.  $\Sigma \cup \{\neg r\} \vdash \neg t \vee u$   $\vee$ -intro in (19)
21.  $\Sigma \cup \{\neg r\} \vdash t \Rightarrow u$   $\Rightarrow$ -def in (20)
22.  $\Sigma \vdash t \Rightarrow u$  ByCases on (7, 21)

### 3 Tutorial 3

#### Exercise 6.13

$$\underbrace{p \oplus \dots \oplus p}_n \oplus \underbrace{\neg p \oplus \dots \oplus \neg p}_k = \begin{cases} \top, & n \text{ odd}, k \text{ odd} \\ \perp, & n \text{ even}, k \text{ even} \\ p, & n \text{ odd}, k \text{ even} \\ \neg p, & n \text{ even}, k \text{ odd} \end{cases}$$

This can be formally established through a joint induction on  $n, k$ .

#### Exercise 6.16

- (a) Let  $m \models F \vee G(F)$ . If  $m \models F$ , then  $m \models F \vee G(\perp)$ . Otherwise, if  $m \not\models F$ , then we have  $(m \models F \Leftrightarrow m \models \perp)$ , which implies, by Theorem 6.1, that  $(m \models G(F) \Leftrightarrow m \models G(\perp))$ . However, since  $m \models F \vee G(F)$  yet  $m \not\models F$ , we have  $m \models G(F)$ , and consequently,  $m \models G(\perp)$ , further implying that  $m \models F \vee G(\perp)$ .

Thus  $m \models F \vee G(F) \Rightarrow m \models F \vee G(\perp)$ .

In the reverse direction, if  $m' \models F \vee G(\perp)$ , and if  $m' \models F$ , we have  $m' \models F \vee G(F)$ . Otherwise  $m' \not\models F, m' \models G(\perp)$ . As above, we can then conclude  $m' \models G(F)$ , and thus  $m' \models F \vee G(F)$ , implying  $m' \models F \vee G(\perp) \Rightarrow m' \models F \vee G(F)$ .

Consequently,  $F \vee G(F) \equiv F \vee G(\perp)$ .

- (b) Follows similarly as above.  
(c) Follows similarly as above.

#### Exercise 7.12

The flaw with the argument is that it assumes that the Tseitin encoding preserves validity, which is not the case. Indeed, consider

$$F := (p_1 \wedge p_2) \vee (\neg p_1 \wedge p_2) \vee (p_1 \wedge \neg p_2) \vee (\neg p_1 \wedge \neg p_2)$$

Then  $F$  is valid. However,

$$\text{Tseitin}(F) = (q_1 \vee q_2 \vee q_3 \vee q_4) \wedge (\neg q_1 \vee p_1) \wedge (\neg q_1 \vee p_2) \wedge (\neg q_2 \vee \neg p_1) \wedge (\neg q_2 \vee p_2)$$

$$\wedge (\neg q_3 \vee p_1) \wedge (\neg q_3 \vee \neg p_2) \wedge (\neg q_4 \vee \neg p_1) \wedge (\neg q_4 \vee \neg p_2)$$

is not valid, as is demonstrated by the model which assigns all the  $q_i$ s to 0.

## Exercise 7.20

We want to show that if  $\Sigma$  is unsatisfiable, then we can derive  $\perp$  from  $\Sigma$  without involving valid clauses in the derivation. We shall assume that  $\Sigma$  is finite, and consequently, we can then induct on the number of propositional variables in  $\Sigma$ , ie:-  $n := |\bigcup_{F \in \Sigma} \text{Vars}(F)|$ , to prove our assertion.

If  $n = 1$ , then  $\Sigma$  contains only one propositional variable, say  $p$ . Since  $\Sigma$  is unsatisfiable it must contain both  $\{p\}$  and  $\{\neg p\}$ , and then we derive  $\perp$  without involving  $p \vee \neg p = \{p, \neg p\}$ .

Let the above assertion be true for any  $\Sigma$  containing at most  $n$  variables in it, and consider any  $\Sigma$  with  $\bigcup_{F \in \Sigma} \text{Vars}(F) = \{p, p_1, \dots, p_n\}$ . Partition  $\Sigma$  into 4 sets:  $\Sigma_0, \Sigma_1, \Sigma_*, \Sigma_{\text{valid}}$ , where

$$\Sigma_0 := \{F \in \Sigma : p \in F, \neg p \notin F\}$$

$$\Sigma_1 := \{F \in \Sigma : p \notin F, \neg p \in F\}$$

$$\Sigma_* := \{F \in \Sigma : p \notin F, \neg p \notin F\}$$

$$\Sigma_{\text{valid}} := \{F \in \Sigma : p \in F, \neg p \in F\}$$

We further define

$$\Sigma'_0 := \{F \setminus \{p\} : F \in \Sigma_0\}$$

$$\Sigma'_1 := \{F \setminus \{\neg p\} : F \in \Sigma_1\}$$

Note that since  $\Sigma$  is unsatisfiable, so is  $\Sigma'_0 \cup \Sigma_*$ <sup>3</sup>. Indeed, if  $m \models \Sigma'_0 \cup \Sigma_*$ , then  $m[p \rightarrow 0] \models \Sigma$ . Similarly, one can see that  $\Sigma'_1 \cup \Sigma_*$  is unsatisfiable too. Further note that  $\Sigma'_i \cup \Sigma_*, i \in \{0, 1\}$  have at most  $n$  variables, and thus have a resolution proof for  $\perp$  *without involving valid clauses*, by the induction hypothesis. Now, if either of the proofs  $\Sigma'_i \cup \Sigma_* \vdash \perp$  uses clauses only from  $\Sigma_*$ , then we're done by the induction hypothesis. Otherwise adjoin  $p$  or  $\neg p$  to clauses in  $\Sigma'_0$  and  $\Sigma'_1$  respectively<sup>4</sup> to obtain  $\Sigma_0 \cup \Sigma_* \vdash \{p\}$ ,  $\Sigma_1 \cup \Sigma_* \vdash \{\neg p\}$ , and then finally resolve  $\{p\}, \{\neg p\}$  to get  $\perp$ . Note that we did not involve any clause from  $\Sigma_{\text{valid}}$  in this step, and we can be sure that no valid clause was invoked anywhere else in the proof by the induction hypothesis. Consequently, we have our desired proof of  $\Sigma \vdash \perp$  without valid clauses.

## Exercise 8.3

We use induction on  $n$ . For  $n = 1$ , as we observed in the earlier question, we can resolve  $\Sigma$  in at most  $1 \leq 2^{1+1} - 1$  steps. For any  $\Sigma$  with  $n$  variables, as above, we can derive  $\perp$  from  $\Sigma'_i \cup \Sigma_*$  in at most  $2^{(n-1)+1} - 1 = 2^n - 1$  steps. If any of these proofs use clauses only from  $\Sigma_*$ , then we're done in  $2^n - 1 \leq 2^{n+1} - 1$  steps. Otherwise, adjoin  $p, \neg p$  to these proofs, and resolve  $p, \neg p$  in the final step to get a derivation of at most  $2 \cdot (2^n - 1) + 1 = 2^{n+1} - 1$  steps, as desired.

<sup>3</sup>Note that  $\mathcal{T}_1 \cup \mathcal{T}_2 \equiv \mathcal{T}_1 \wedge \mathcal{T}_2$  for any two CNFs  $\mathcal{T}_1, \mathcal{T}_2$

<sup>4</sup>Note that adjoining  $p$  to a clause in  $\Sigma'_0$  doesn't make it valid: Indeed, if validity were to be introduced by the variable  $p$ , then one would have to adjoin both  $p$  and  $\neg p$ . Thus our proof  $\Sigma_0 \cup \Sigma_* \vdash \perp$  remains free of valid clauses after the adjoining process.

## Exercise 8.8

Note that if  $\ell = p$ , then  $F|_\ell = F'_1 \cup F_*$ , and if  $\ell = \neg p$ , then  $F|_\ell = F'_0 \cup F_*$ .

1. If  $F|_\ell \vdash \perp$  then we either have  $F_* \vdash \perp$ , in which case we're done since  $F_* \subseteq F \subseteq F \cup \{\ell\}$ , or we have  $F \vdash \{\bar{\ell}\}$ , as in Exercise 7.20. Consequently,  $F \wedge \ell \vdash \perp$ , where we derive  $\bar{\ell}$  from  $F$  and then resolve it using  $\ell$ .
2. For convenience assume  $\ell = p$  for some propositional variable  $p$ <sup>5</sup>. Consider the slimmest derivation  $F|_\ell \vdash \perp$  with width  $w_1$ . Note that if this proof uses clauses only from  $F_*$ , then we don't proceed further. Otherwise, when we adjoin  $\bar{\ell}$  to obtain the derivation  $F \vdash \bar{\ell}$ , the width becomes at most  $1 + w_1$ . Having obtained  $\bar{\ell}$ , resolve it with every clause in  $F_0$  to obtain  $F|_{\bar{\ell}}$ , and let the width of the derivation  $F_0 \vdash F|_{\bar{\ell}}$  be  $w_2$ . Finally, derive  $\perp$  from  $F|_{\bar{\ell}}$  in width  $w_3$  in the slimmest possible manner. Consequently, the width of the entire proof described above is at most  $\max(1 + w_1, w_2, w_3)$ . Now,  $w_3 \leq k$  by the problem hypothesis. Also,  $w_1 \leq k - 1 \Rightarrow 1 + w_1 \leq k$ , once again, by the problem hypothesis. Finally, note that  $w_2 = 1 + \text{width}(F_0) = 1 + \text{width}(F) - 1 \leq k$ , and thus  $\max(1 + w_1, w_2, w_3) \leq k$ , as desired.

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<sup>5</sup>the proof goes through exactly the same way if  $\ell = \neg p$