

PULLING BACK A GALOIS CORRESPONDENCE

ARPON RAKSIT

original: October 25, 2014
updated: January 19, 2015

1. Definition. For G a topological group, we denote by Sub_G the set of open normal subgroups of G , which is a poset under inclusion.

2. Proposition. Let G be a profinite group. Suppose we have a morphism of topological groups $\phi: A \rightarrow G$ such that for every $N \in \text{Sub}_G$ the induced map $\phi_N: A/\phi^{-1}(N) \rightarrow G/N$ is an isomorphism. Then:

- (a) the preimage map $\text{Sub}_G \rightarrow \text{Sub}_A$, defined by $N \mapsto \phi^{-1}(N)$, is injective;
- (b) $\phi^{-1}(N_1 N_2) = \phi^{-1}(N_1) \phi^{-1}(N_2)$ for $N_1, N_2 \in \text{Sub}_G$.

Proof. Let $N_1, N_2 \in \text{Sub}_G$. To prove (a) it suffices to show that $N_1 \subseteq N_2$ if $\phi^{-1}(N_1) \subseteq \phi^{-1}(N_2)$, so assume the latter. Then

$$(3) \quad \phi^{-1}(N_1 \cap N_2) = \phi^{-1}(N_1) \cap \phi^{-1}(N_2) = \phi^{-1}(N_2).$$

Now consider the commutative diagram

$$\begin{array}{ccccc} A & \longrightarrow & A/\phi^{-1}(N_1 \cap N_2) & \longrightarrow & A/\phi^{-1}(N_2) \\ \downarrow \phi & & \downarrow \phi_{N_1 \cap N_2} & & \downarrow \phi_{N_2} \\ G & \longrightarrow & G/(N_1 \cap N_2) & \longrightarrow & G/N_2 \end{array}$$

with the horizontal maps the projections. In the right-hand square, the vertical maps are isomorphisms by hypothesis, and the top horizontal map is an isomorphism by (3); thus the bottom horizontal map is an isomorphism, which implies $N_1 \subseteq N_2$.

We now prove (b). Certainly $\phi^{-1}(N_1 N_2) \supseteq \phi^{-1}(N_1) \phi^{-1}(N_2)$. And it's fairly easy to see we have the sequence of identifications

$$\begin{aligned} \frac{\phi^{-1}(N_1) \phi^{-1}(N_2)}{\phi^{-1}(N_1)} &\simeq \frac{\phi^{-1}(N_2)}{\phi^{-1}(N_1) \cap \phi^{-1}(N_2)} = \frac{\phi^{-1}(N_2)}{\phi^{-1}(N_1 \cap N_2)} \\ &= \phi_{N_1 \cap N_2}^{-1} \left(\frac{N_1}{N_1 \cap N_2} \right) \simeq \phi_{N_1}^{-1} \left(\frac{N_1 N_2}{N_1} \right) = \frac{\phi^{-1}(N_1 N_2)}{\phi^{-1}(N_1)}. \end{aligned}$$

But since $\phi^{-1}(N_1)$ has finite index in A , it follows that

$$[\phi^{-1}(N_1 N_2) : \phi^{-1}(N_1) \phi^{-1}(N_2)] = \left[\frac{\phi^{-1}(N_1 N_2)}{\phi^{-1}(N_1)} : \frac{\phi^{-1}(N_1) \phi^{-1}(N_2)}{\phi^{-1}(N_1)} \right] = 1,$$

proving the desired claim. \square

4. Example. If we take G to be a Galois group in (2), then the proposition says that when we have a suitable morphism $A \rightarrow G$, the Galois theory described by G is in fact controlled by A . This is what I meant by “pulling back a Galois correspondence” in the title. Let's state this in more detail in the motivating example.

Let K be a non-archimedean local field. Let K^{ab} be a maximal abelian extension of K . The Galois group $G := \text{Gal}(K^{\text{ab}}/K)$ is a profinite group, and Galois theory tells us that the poset Sub_G is (contravariantly) equivalent to the poset Ab_K of finite abelian extensions of K , i.e. the set of finite subextensions of K^{ab} ordered by inclusion. The “reciprocity” statement in local class field theory asserts:

- existence of a morphism $\phi_K: K^\times \rightarrow \text{Gal}(K^{\text{ab}}/K)$ satisfying the hypothesis of (2);
- if $N := \text{Stab}_L \in \text{Sub}_G$ is the subgroup corresponding to a finite abelian extension $K \hookrightarrow L$, then $\phi_K^{-1}(N)$ is the *norm group* $N_{L/K}(L^\times) \subseteq K^\times$.

Thus, putting (2) and Galois theory together gives us that the poset Ab_K is (contravariantly) equivalent to the poset of norm groups in K^\times by the correspondence $L \mapsto N_{L/K}(L^\times)$. Then there is an “existence” theorem in local class field theory stating that the norm groups are precisely the open subgroups of finite index in K^\times .