

# PULLING BACK A GALOIS CORRESPONDENCE

ARPON RAKSIT

original: October 25, 2014  
updated: December 27, 2015

**1. Definition.** For  $G$  a topological group, we denote by  $\text{Sub}_G$  the set of open normal subgroups of  $G$ , which is a poset under inclusion.

**2. Proposition.** Let  $G$  be a profinite group. Suppose we have a morphism of topological groups  $\phi: A \rightarrow G$  such that for every  $N \in \text{Sub}_G$  the induced map  $\phi_N: A/\phi^{-1}(N) \rightarrow G/N$  is an isomorphism. Then:

- (2.1) the preimage map  $\text{Sub}_G \rightarrow \text{Sub}_A$ , defined by  $N \mapsto \phi^{-1}(N)$ , is injective;
- (2.2)  $\phi^{-1}(N_1 N_2) = \phi^{-1}(N_1) \phi^{-1}(N_2)$  for  $N_1, N_2 \in \text{Sub}_G$ .

**Proof.** Let  $N_1, N_2 \in \text{Sub}_G$ . To prove (2.1) it suffices to show that  $N_1 \subseteq N_2$  if  $\phi^{-1}(N_1) \subseteq \phi^{-1}(N_2)$ , so assume the latter. Then

$$(3) \quad \phi^{-1}(N_1 \cap N_2) = \phi^{-1}(N_1) \cap \phi^{-1}(N_2) = \phi^{-1}(N_2).$$

Now consider the commutative diagram

$$\begin{array}{ccccc} A & \longrightarrow & A/\phi^{-1}(N_1 \cap N_2) & \longrightarrow & A/\phi^{-1}(N_2) \\ \downarrow \phi & & \downarrow \phi_{N_1 \cap N_2} & & \downarrow \phi_{N_2} \\ G & \longrightarrow & G/(N_1 \cap N_2) & \longrightarrow & G/N_2 \end{array}$$

with the horizontal maps the projections. In the right-hand square, the vertical maps are isomorphisms by hypothesis, and the top horizontal map is an isomorphism by (3); thus the bottom horizontal map is an isomorphism, which implies  $N_1 \subseteq N_2$ .

We now prove (2.2). Certainly  $\phi^{-1}(N_1 N_2) \supseteq \phi^{-1}(N_1) \phi^{-1}(N_2)$ . And it's fairly easy to see we have the sequence of identifications

$$\begin{aligned} \frac{\phi^{-1}(N_1) \phi^{-1}(N_2)}{\phi^{-1}(N_1)} &\simeq \frac{\phi^{-1}(N_2)}{\phi^{-1}(N_1) \cap \phi^{-1}(N_2)} = \frac{\phi^{-1}(N_2)}{\phi^{-1}(N_1 \cap N_2)} \\ &= \phi_{N_1 \cap N_2}^{-1} \left( \frac{N_1}{N_1 \cap N_2} \right) \simeq \phi_{N_1}^{-1} \left( \frac{N_1 N_2}{N_1} \right) = \frac{\phi^{-1}(N_1 N_2)}{\phi^{-1}(N_1)}. \end{aligned}$$

But since  $\phi^{-1}(N_1)$  has finite index in  $A$ , it follows that

$$[\phi^{-1}(N_1 N_2) : \phi^{-1}(N_1) \phi^{-1}(N_2)] = \left[ \frac{\phi^{-1}(N_1 N_2)}{\phi^{-1}(N_1)} : \frac{\phi^{-1}(N_1) \phi^{-1}(N_2)}{\phi^{-1}(N_1)} \right] = 1,$$

proving the desired claim.  $\square$

**4. Example.** If we take  $G$  to be a Galois group in (2), then the proposition says that when we have a suitable morphism  $A \rightarrow G$ , the Galois theory described by  $G$  is in fact controlled by  $A$ . This is what I meant by “pulling back a Galois correspondence” in the title. Let's state this in more detail in the motivating example.

Let  $K$  be a non-archimedean local field. Let  $K^{\text{ab}}$  be a maximal abelian extension of  $K$ . The Galois group  $G := \text{Gal}(K^{\text{ab}}/K)$  is a profinite group, and Galois theory tells us that the poset  $\text{Sub}_G$  is (contravariantly) equivalent to the poset  $\text{Ab}_K$  of

finite abelian extensions of  $K$ , i.e. the set of finite subextensions of  $K^{\text{ab}}$  ordered by inclusion. The “reciprocity” statement in local class field theory asserts:

- existence of a morphism  $\phi_K: K^\times \rightarrow \text{Gal}(K^{\text{ab}}/K)$  satisfying the hypothesis of (2);
- if  $N := \text{Stab}_L \in \text{Sub}_G$  is the subgroup corresponding to a finite abelian extension  $K \hookrightarrow L$ , then  $\phi_K^{-1}(N)$  is the *norm group*  $N_{L/K}(L^\times) \subseteq K^\times$ .

Thus, putting (2) and Galois theory together gives us that the poset  $\text{Ab}_K$  is (contravariantly) equivalent to the poset of norm groups in  $K^\times$  by the correspondence  $L \mapsto N_{L/K}(L^\times)$ . Then there is an “existence” theorem in local class field theory stating that the norm groups are precisely the open subgroups of finite index in  $K^\times$ .