

## Lecture 20

# Searching & Sorting

# Linear Search

---

```
def linear_search(v,b):
```

```
    """Returns: first occurrence of v in b (-1 if not found)
    Precond: b a list of number, v a number
    """
```

```
    # Loop variable
```

```
    i = 0
```

```
    while i < len(b) and b[i] != v:
```

```
        i = i + 1
```

```
    if i == len(b): # not found
```

```
        return -1
```

```
    return i
```

How many entries do  
we have to look at?

# Linear Search

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How many entries do  
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All of them!

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def linear_search(v,b):
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    """Returns: last occurrence of v in b (-1 if not found)
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```
    Precond: b a list of number, v a number
```

```
    """
```

```
    # Loop variable
```

```
    i = len(b)-1
```

```
    while i >= 0 and b[i] != v:
```

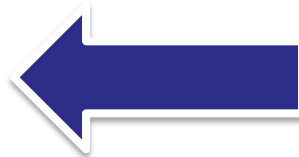
```
        i = i - 1
```

```
    # Equals -1 if not found
```

```
    return i
```

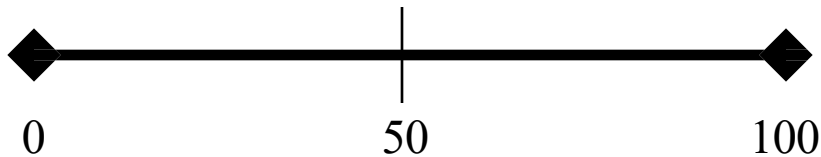
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All of them!



# Is There a Better Way?

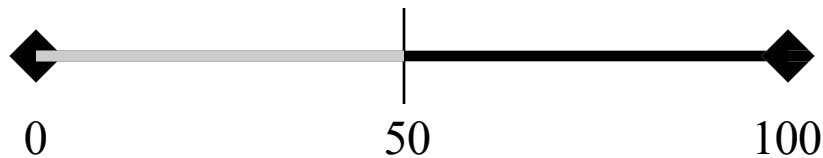
---



- Thinking of number 0..100
  - You get to guess number
  - I tell you higher or lower
  - Continue until get it right
- **Goal:** Keep # guesses low
  - Use my answers to help
- **Strategy?**
  - Start guess in the middle
  - Answer eliminates half
  - Go to middle of remaining

# Is There a Better Way?

---

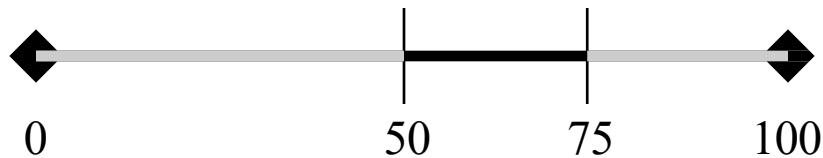


Higher!

- Thinking of number 0..100
  - You get to guess number
  - I tell you higher or lower
  - Continue until get it right
- **Goal:** Keep # guesses low
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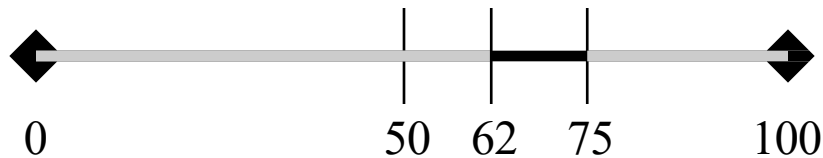


Lower!

- Thinking of number 0..100
  - You get to guess number
  - I tell you higher or lower
  - Continue until get it right
- **Goal:** Keep # guesses low
  - Use my answers to help
- **Strategy?**
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# Is There a Better Way?

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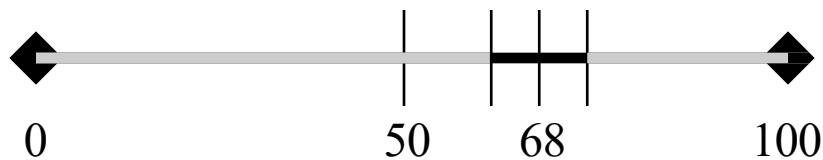
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# Is There a Better Way?

---



Correct!

- Thinking of number 0..100
  - You get to guess number
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- **Goal:** Keep # guesses low
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- **Strategy?**
  - Start guess in the middle
  - Answer eliminates half
  - Go to middle of remaining

# Binary Search

---

```
def binary_search(v,b):  
    # Loop variable(s)  
    i = 0, j = len(b) - 1  
    while i <= j:  
        mid = (i+j)//2
```

# Binary Search

---

```
def binary_search(v,b):  
    # Loop variable(s)  
    i = 0, j = len(b) - 1  
    while i <= j:  
        mid = (i+j)//2  
        if b[mid] < v:  
            i = mid+1  
        elif b[mid] > v:  
            j = mid-1  
        else:  
            return mid  
    return -1 # not found
```

Requires that the  
data is sorted!

But few checks!

# Observation About Sorting

---

- Sorting data can speed up searching
  - Sorting takes time, but do it once
  - Afterwards, can search many times
- Not just searching. Also speeds up
  - Duplicate elimination in data sets
  - Data compression
  - Physics computations in computer games
- Why it is a major area of computer science

# The Sorting Challenge

---

- **Given:** A list of numbers
- **Goal:** Sort those numbers using only
  - Iteration (while-loops or for-loops)
  - Comparisons ( $<$  or  $>$ )
  - Assignment statements
- Why? For proper **analysis**.
  - Methods/functions come with hidden costs
  - Everything above has no hidden costs
  - Each comparison or assignment is “1 step”

# This Requires Some Notation

---

- As the list is sorted...
  - Part of the list **will** be sorted
  - Part of the list will **not** be sorted
- Need a way to refer to portions of the list
  - Notation to refer to sorted/unsorted parts
- And have to do it **without** slicing!
  - Slicing makes a **copy**
  - Want to sort original list, not a copy

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- And have to do it **without** slicing!
  - Slicing makes a **copy**
  - Want to sort original list, not a copy

# Recall: Range Notation

---

- $m..n$  is a range containing  $n+1-m$  values
  - $2..5$  contains 2, 3, 4, 5. Contains  $5+1 - 2 = 4$  values
  - $2..4$  contains 2, 3, 4. Contains  $4+1 - 2 = 3$  values
  - $2..3$  contains 2, 3. Contains  $3+1 - 2 = 2$  values
  - $2..2$  contains 2. Contains  $2+1 - 2 = 1$  values
  - $2..1$  contains ???
- The notation  $m..n$ , always implies that  $m \leq n+1$ 
  - So you can assume that even if we do not say it
  - If  $m = n+1$ , the range has 0 values



# Recall: Range Notation

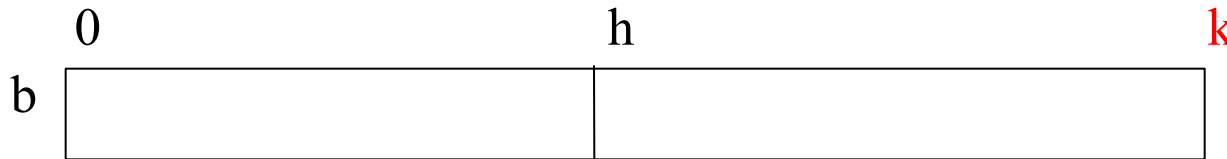
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  - So you can assume that even if we do not say it
  - If  $m = n+1$ , the range has 0 values

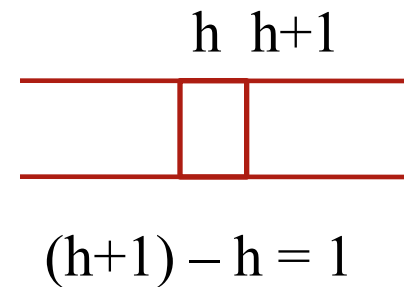
# Horizontal Notation

---

- Want a pictorial way to visualize this sorting
  - Represent the list as long rectangle
  - We saw this idea in divide-and-conquer



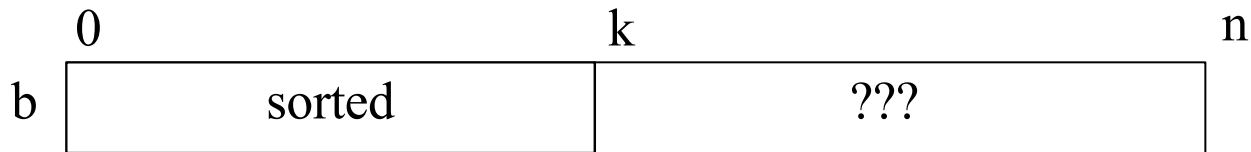
- Do **not** show individual boxes
  - Just dividing lines between regions
  - Label dividing lines with indices
  - But index is either left or right of dividing line



# Horizontal Notation

---


- Label regions with properties
  - **Example:** Sorted or ???




- $b[0..k-1]$  is sorted
  - $b[k..n-1]$  **unknown** (might be sorted)
- Picture allows us to track progress

# Visualizing Sorting

---

**Start:**  $b$   $0$   $n$   
A horizontal rectangle representing an array. Above the left end is the label '0' and above the right end is the label 'n'. Inside the rectangle, centered, is a question mark '?'.

**Goal:**  $b$   $0$   $n$   
A horizontal rectangle representing an array. Above the left end is the label '0' and above the right end is the label 'n'. Inside the rectangle, centered, is the word 'sorted'.

**In-Progress:**  $b$   $0$   $i$   $n$   
A horizontal rectangle representing an array. Above the left end is the label '0', above a point in the middle is the label 'i', and above the right end is the label 'n'. A vertical line divides the rectangle at index 'i'. The left portion of the rectangle contains the word 'sorted', and the right portion contains a question mark '?'.

# Insertion Sort

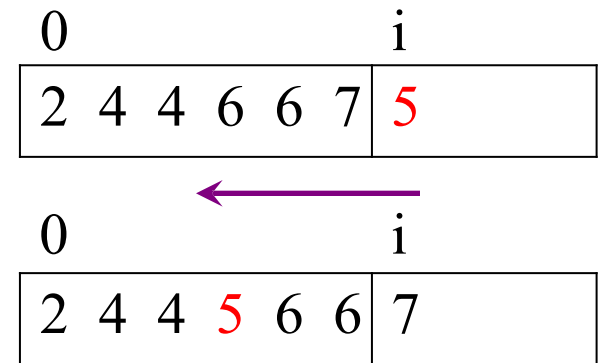
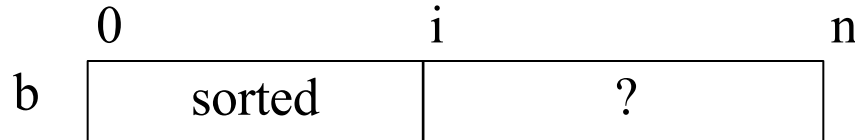
$i = 0$

while  $i < n$ :

# Push  $b[i]$  down into its

# sorted position in  $b[0..i]$

$i = i + 1$



Remember the restrictions!

# Insertion Sort: Moving into Position

```
i = 0
```

```
while i < n:
```

```
    push_down(b,i)
```

```
    i = i+1
```

```
def push_down(b, i):
```

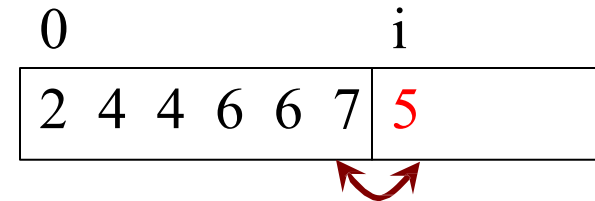
```
    j = i
```

```
    while j > 0:
```

```
        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```



swap shown in the  
lecture about lists

# Insertion Sort: Moving into Position

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```
while i < n:
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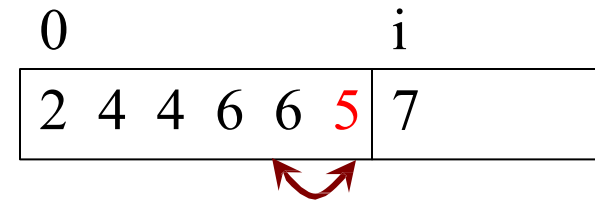
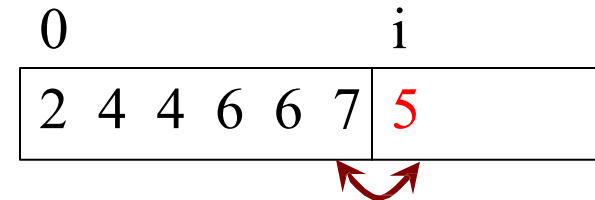
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    while j > 0:
```

```
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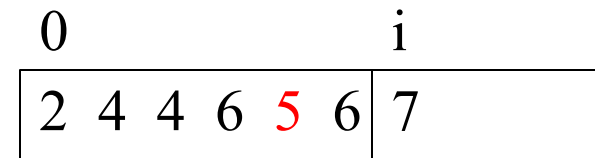
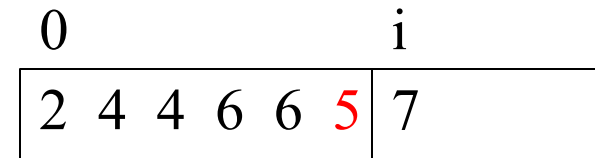
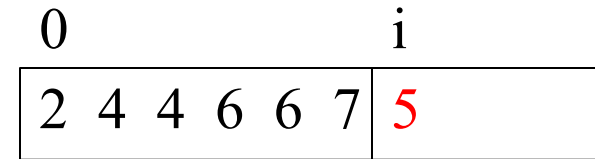
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# Insertion Sort: Moving into Position

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while i < n:
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    push_down(b,i)
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```

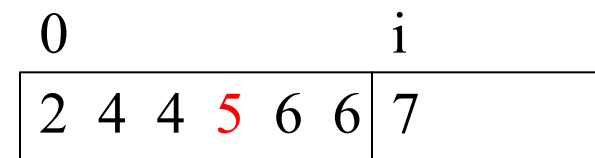
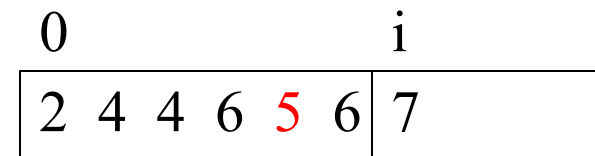
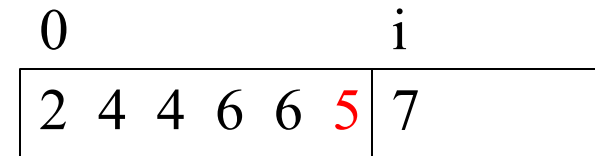
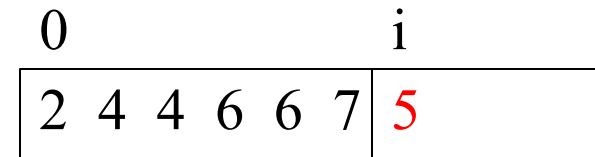
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```

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        if b[j-1] > b[j]:
```

```
            swap(b,j-1,j)
```

```
            j = j-1
```

swap shown in the  
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# The Importance of Helper Functions

```
i = 0
while i < n:
    push_down(b,i)
    i = i+1

def push_down(b, i):
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            swap(b,j-1,j)
        j = j-1
```

**VS**

```
i = 0
while i < n:
    j = i
    while j > 0:
        if b[j-1] > b[j]:
            temp = b[j]
            b[j] = b[j-1]
            b[j-1] = temp
        j = j - 1
    i = i + 1
```

Can you understand  
all this code below?

# Measuring Performance

---

- Performance is a tricky thing to measure
  - Different computers run at different speeds
  - Memory also has a major effect as well
- Need an independent way to measure
  - Measure in terms of “basic steps”
  - **Example:** Searching counted # of checks
- For sorting, we measure in terms of **swaps**
  - Three assignment statements
  - Present in all sorting algorithms

# Insertion Sort: Performance

```
def push_down(b, i):  
    """Push value at position i into  
    sorted position in b[0..i-1]"""  
    j = i  
    while j > 0:  
        if b[j-1] > b[j]:  
            swap(b, j-1, j)  
        j = j-1
```

- $b[0..i-1]$ :  $i$  elements
- Worst case:
  - $i = 0$ : 0 swaps
  - $i = 1$ : 1 swap
  - $i = 2$ : 2 swaps
- Pushdown is in a loop
  - Called for  $i$  in  $0..n$
  - $i$  swaps each time

**Total Swaps:**  $0 + 1 + 2 + 3 + \dots + (n-1) = (n-1)*n/2 = (n^2-n)/2$

# Insertion Sort: Performance

```
def push_down(b, i):  
    """Push value at position i into  
    sorted position in b[0..i-1]"""  
    j = i  
    while j > 0:  
        if b[j-1] > b[j]:  
            swap(b, j-1, j)  
        j = j-1
```

Insertion sort is  
an  $n^2$  algorithm

- $b[0..i-1]$ :  $i$  elements
- Worst case:
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# Algorithm “Complexity”

- **Given:** a list of length  $n$  and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	$n=10$	$n=100$	$n=1000$
$\log n$	0.003 s	0.006 s	0.01 s
$n$	0.01 s	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
$n^2$	0.1 s	10 s	16.7 m
$n^3$	1 s	16.7 m	11.6 d
$2^n$	1 s	$4 \times 10^{19}$ y	$3 \times 10^{290}$ y

# Algorithm “Complexity”

- **Given:** a list of length  $n$  and a problem to solve
- **Complexity:** *rough* number of steps to solve worst case
- Suppose we can compute 1000 operations a second:

Complexity	n=10	n=100	n=1000
$\log n$	Binary Search 0.001 s	0.006 s	0.01 s
$n$	Linear Search 0.01 s	0.1 s	1 s
$n \log n$	0.016 s	0.32 s	4.79 s
$n^2$	Insertion Sort 0.1 s	10 s	16.7 m
$n^3$	1 s	16.7 m	11.6 d
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# Algorithm “Complexity”

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$2^n$	1 s	$4 \times 10^{19}$ y	$3 \times 10^{290}$ y



# Insertion Sort is Not Great

---

- Typically  $n^2$  is okay, but not great
  - Will perform horribly on large data
  - Very bad when performance critical (games)
- We would like to do better than this
  - Can we get  $n$  swaps (**no**)?
  - How about  $n \log n$  (**maybe**)
- This will require a new algorithm
  - Let's return to horizontal notation

# A New Algorithm

---

**Start:**  $b$  0 n  

?

**Goal:**  $b$  0 n  

sorted

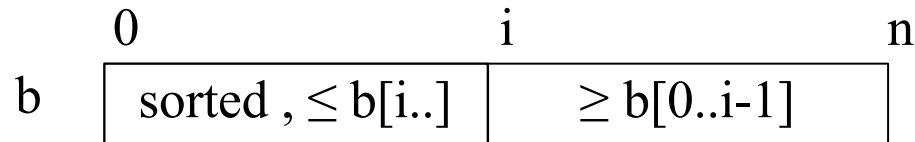
**In-Progress:**  $b$  0 i n  

sorted,  $\leq b[i..]$

$\geq b[0..i-1]$

First segment always  
contains smaller values

# Selection Sort



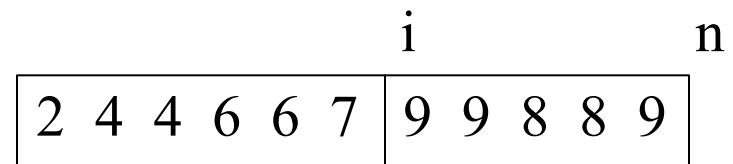
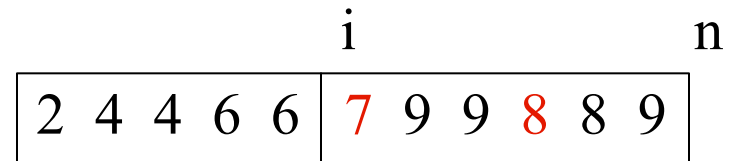
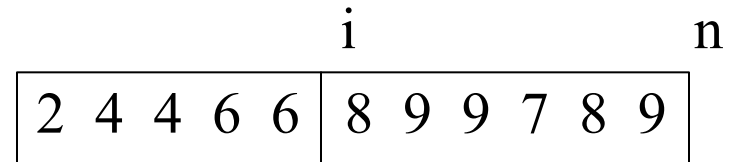
$i = 0$

**while**  $i < n$ :

# Find minimum in  $b[i..]$

# Move it to position  $i$

$i = i + 1$



**Remember the restrictions!**

# Selection Sort

How fast is this?

$i = 0$

**while**  $i < n$ :

$j = \text{index of min of } b[i..n-1]$

$\text{swap}(b, i, j)$

$i = i + 1$

					i							n
2	4	4	6	6	8	9	9	7	8	9		

					i						n
2	4	4	6	6	7	9	9	8	8	9	

							$i$				$n$
2	4	4	6	6	7		9	9	8	8	9

# Selection Sort

This is also  $n^2$ !

$i = 0$

while  $i < n$ :

$j = \text{index of min of } b[i..n-1]$

swap( $b, i, j$ )

$i = i + 1$

This is  $n$  steps

					i						n
2	4	4	6	6	8	9	9	7	8	9	

					i						n
2	4	4	6	6	7	9	9	8	8	9	

							$i$				$n$
2	4	4	6	6	7		9	9	8	8	9

# What is the Problem?

---

- Both insertion, selection sort are **nested loops**
  - **Outer loop** over each element to sort
  - **Inner loop** to put next element in place
  - Each loop is  $n$  steps.  $n \times n = n^2$
- To do better we must *eliminate* a loop
  - But how do we do that?
  - What is like a loop? **Recursion!**
  - Will see how to do this next lecture