These lecture notes include some material from Professors Bertossi, Kolaitis, Guagliardo, Vardi, Libkin, Barland, McMahan

Nested Queries

Lecture Handout

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Aggregate results in `WHERE`

The right way

Account

Number	Branch	CustID	Balance
111	London	1	1330.00
222	London	2	1756.00
333	Edinburgh	1	450.00

Accounts with a **higher balance** than the **average of all accounts**

```
SELECT  A.number
FROM    Account A
WHERE   A.balance > ( SELECT AVG(A1.balance)
                      FROM   Account A1 );
```

Answer:

Number
111
222

Aggregate results in WHERE

The wrong way

Accounts with a higher balance than the average of all accounts

```
SELECT  A.number
FROM    Account A
WHERE   A.balance > AVG( SELECT A1.balance
                         FROM   Account A1 );
```

ERROR in PostgreSQL

Aggregate functions can only be used in **SELECT** and **HAVING**

Check in SQLite

Comparisons with subquery results

SELECT
...
FROM
...
WHERE
term **op** (subquery) **;**

Allowed as long as subquery returns a **single value**

SELECT
...
FROM
...
WHERE
(term$_1$, ..., term$_n$) **op** (subquery) **;**

Allowed as long as subquery returns a **single row** with n columns

The WHERE clause revisited

term := attribute | value

comparison :=

- (term, ..., term) **op** (term, ..., term)
  with **op** ∈ {=, <>, <, > <=, >=}
- term **IS** [**NOT**] **NULL**
- (term, ..., term) **op** **ANY** (query)
- (term, ..., term) **op** **ALL** (query)
- (term, ..., term) [**NOT**] **IN** (query)
- **EXISTS** (query)

condition :=

- comparison
- condition1 **AND** condition2
- condition1 **OR** condition2
- **NOT** condition

Comparisons between tuples

$$(A_1, \ldots A_n) = (B_1, \ldots, B_n) \iff A_1 = B_1 \wedge \cdots \wedge A_n = B_n$$

$$(A_1, \ldots A_n) <> (B_1, \ldots, B_n) \iff A_1 \neq B_1 \vee \cdots \vee A_n \neq B_n$$

$(A_1, A_2, A_3) < (B_1, B_2, B_3)$ (generalizes to n elements)

$$\iff$$

$$A_1 < B_1 \vee \Big(A_1 = B_1 \wedge \big(A_2 < B_2 \vee (A_2 = B_2 \wedge A_3 < B_3)\big)\Big)$$

$(A_1, A_2, A_3) <= (B_1, B_2, B_3)$ (generalizes to n elements)

$$\iff$$

$$A_1 < B_1 \vee \Big(A_1 = B_1 \wedge \big(A_2 < B_2 \vee (A_2 = B_2 \wedge A_3 \leq B_3)\big)\Big)$$

ANY

(term, . . . , term) **op** **ANY** (query)

True if **there exists** a row $\bar{r}$ in the results of query such that (term, . . . , term) **op** $\bar{r}$ is true

Examples:

- $3 <$ **ANY**$(\{1, 2, 3\})$ is false
- $3 <$ **ANY**$(\{2, 3, 4\})$ is true
- What about $3 <$ **ANY**$(\{\})$?

ALL

(term, . . . , term) **op** **ALL** (query)

True if **for all** rows $\bar{r}$ in the results of query (term, . . . , term) **op** $\bar{r}$ is true

Examples:

- $3 <$ **ALL**$(\{5, 4, 6\})$ is true
- $3 <$ **ALL**$(\{4, 3, 5\})$ is false
- What about $3 <$ **ALL**$(\{\})$?

Examples with ANY / ALL

ID of customers from London who own an account

```
SELECT C.custid
FROM   customer C
WHERE  C.city = 'London'
  AND  C.custid = ANY( SELECT A.custid
                       FROM   Account A );
```

Customers living in cities without a branch

```
SELECT *
FROM   customer C
WHERE  C.city <> ALL ( SELECT A.branch
                       FROM   Account A );
```

IN / NOT IN

(term, ..., term) **IN** (query)

same as

(term, ..., term) = **ANY** (query)

(term, ..., term) **NOT IN** (query)

same as

(term, ..., term) <> **ALL** (query)

Examples with IN / NOT IN

ID of customers from London who own an account

```
SELECT C.custid
FROM   customer C
WHERE  C.city = 'London'
  AND  C.custid IN ( SELECT A.custid
                     FROM   Account A );
```

Customers living in cities without a branch

```
SELECT *
FROM   customer C
WHERE  C.city NOT IN ( SELECT A.branch
                       FROM   Account A );
```

EXISTS

EXISTS (query) is true if the result of query is **non-empty**

(Stupid) Example

Return all the customers if there are some accounts in London

```
SELECT *
FROM   Customer
WHERE  EXISTS ( SELECT 1
                FROM   Account
                WHERE  branch='London' );
```

Correlated subqueries

All nested queries can refer to attributes in the **parent queries**

(Smarter) Example

Return customers who have an account in London

```
SELECT *
FROM   Customer C
WHERE  EXISTS ( SELECT 1
                FROM   Account A
                WHERE  branch='London'
                  AND  A.custid = C.id );
```

parameters = attributes of a subquery that refer to outer queries

Examples with EXISTS / NOT EXISTS

ID of customers from London who own an account

```
SELECT C.custid
FROM   customer C
WHERE  C.city = 'London'
  AND  EXISTS ( SELECT *
                FROM   Account A
                WHERE  A.custid = C.id);
```

Customers living in cities without a branch

```
SELECT *
FROM   customer C
WHERE  NOT EXISTS ( SELECT *
                    FROM   Account A
                    WHERE  A.branch = C.city );
```

Scoping

A subquery has

- a **local scope** (its **FROM** clause)
- n **outer scopes** (where n is the level of nesting)
  (these are the **FROM** clauses of the parent queries)

For each reference to an attribute

1. Look for a binding in the local scope
2. If no binding is found, look in the **closest** outer scope
3. If no binding is found, look in the next closest outer scope
4. ...
5. If no binding is found, give error

Attribute bindings

```
SELECT *
FROM   table1
WHERE  EXISTS ( SELECT 1
                FROM   table2
                WHERE  A = B );
```

What A, B refer to depends on the attributes in table1 and table2

- Always give aliases to tables
- Always prefix the attributes with the tables they refer to

```
SELECT *
FROM   table1 T1
WHERE  EXISTS ( SELECT 1
                FROM   table2 T2
                WHERE  T2.A = T1.B );
```

The FROM clause revisited

FROM table$_1$ [[**AS**] T_1], ..., table$_n$ [[**AS**] T_n]

table :=

- base-table
- join-table
- (query)

join-table :=

- table **JOIN** table **ON** condition
- table **NATURAL JOIN** table
- table **CROSS JOIN** table

Subqueries in FROM

Must always be given a name (in standard SQL)

```
SELECT * FROM ( SELECT * FROM R );
```

```
ERROR:  subquery in FROM must have an alias
```

Cannot refer to attributes of other tables in the same **FROM** clause

```
SELECT *
FROM   R, ( SELECT * FROM S WHERE S.a=R.a ) S1 ;
```

```
ERROR:  invalid reference to FROM-clause entry for table "r"
```

Example: Queries with and without HAVING

Branches with a total balance (across accounts) of at least 500

```
SELECT    A.branch
FROM      Account A
GROUP BY  A.branch
HAVING    SUM(A.balance) >= 500 ;
```

Same query without **HAVING**:

```
SELECT subquery.branch
FROM   ( SELECT   A.branch, SUM(A.balance) AS total
         FROM     Account A
         GROUP BY A.branch ) AS subquery
WHERE  subquery.total >= 500 ;
```

Example: Aggregation on aggregates

Average of the total balances across each customer's accounts

1. Find the total balance across each customer's accounts
2. Take the average of the totals

```
SELECT    AVG(subquery.tot)
FROM      ( SELECT   A.custid, SUM(A.balance) AS tot
            FROM     Account A
            GROUP BY A.custid ) AS subquery ;
```

Other bits and pieces of SQL

Ordering

ORDER BY ⟨column$_1$⟩ [**DESC**], . . . , ⟨column$_n$⟩ [**DESC**]

Sorts the output rows according to the values of column$_1$

If two rows have the same value for column$_1$,
they are sorted by the values of column$_2$ and so on . . .

- Default ordering is **ascending** (can be specified with **ASC**)
- **Descending** ordering is specified by **DESC**

Ordering example (1)

Account

Number	Branch	CustID	Balance
111	London	1	1330.00
222	London	2	1756.00
333	Edinburgh	1	450.00

```
SELECT   *
FROM     Account
ORDER BY custid ASC, balance DESC ;
```

Number	Branch	CustID	Balance
111	London	1	1330.00
333	Edinburgh	1	450.00
222	London	2	1756.00

Ordering example (2)

Account

Number	Branch	CustID	Balance
111	London	1	1330.00
222	London	2	1756.00
333	Edinburgh	1	450.00

```
SELECT   *
FROM     Account
ORDER BY custid DESC, balance ASC ;
```

Number	Branch	CustID	Balance
222	London	2	1756.00
333	Edinburgh	1	450.00
111	London	1	1330.00

Casting

In most dialects of SQL:

CAST(term **AS** ⟨type⟩)

Rounding

CAST(102,4675 **AS NUMERIC**(5,2)) gives 102.47
Useful also to produce values in a specific format

Aggregation

AVG(**CAST**(term **AS NUMERIC**(p, s)))
avoids rounding errors in some systems

Acknowledgements

[1] Database Systems: The Complete Book, 2nd EditionHector Garcia-Molina, Jeffrey D. Ullman, Jennifer WidomPrentice Hall, 2009

[2] Database System Concepts, Seventh EditionAvi Silberschatz, Henry F. Korth, S. SudarshanMcGraw-Hill, March 2019www.db-book.com

Additional references and resources used in preparation of this course are listed on the course webpage or mentioned in slides.