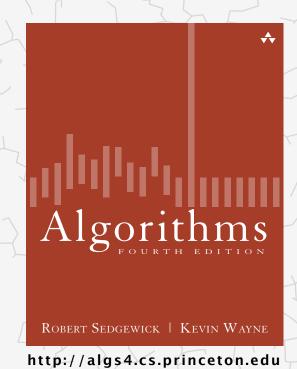
# Algorithms



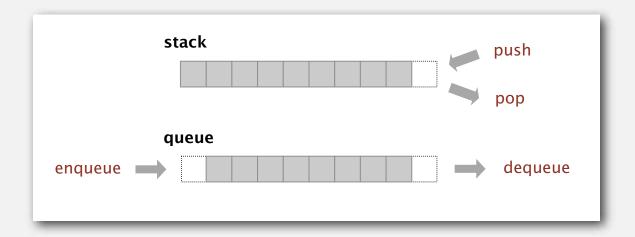
1.3 BAGS, QUEUES, AND STACKS

- > stacks
- resizing arrays
- queues
- generics
- iterators
- applications

# Stacks and queues

#### Fundamental data types.

- Value: collection of objects.
- Operations: insert, remove, iterate, test if empty.
- Intent is clear when we insert.
- Which item do we remove?



Stack. Examine the item most recently added. ← LIFO = "last in first out"

Queue. Examine the item least recently added. ← FIFO = "first in first out"

# Client, implementation, interface

#### Separate interface and implementation.

Ex: stack, queue, bag, priority queue, symbol table, union-find, ....

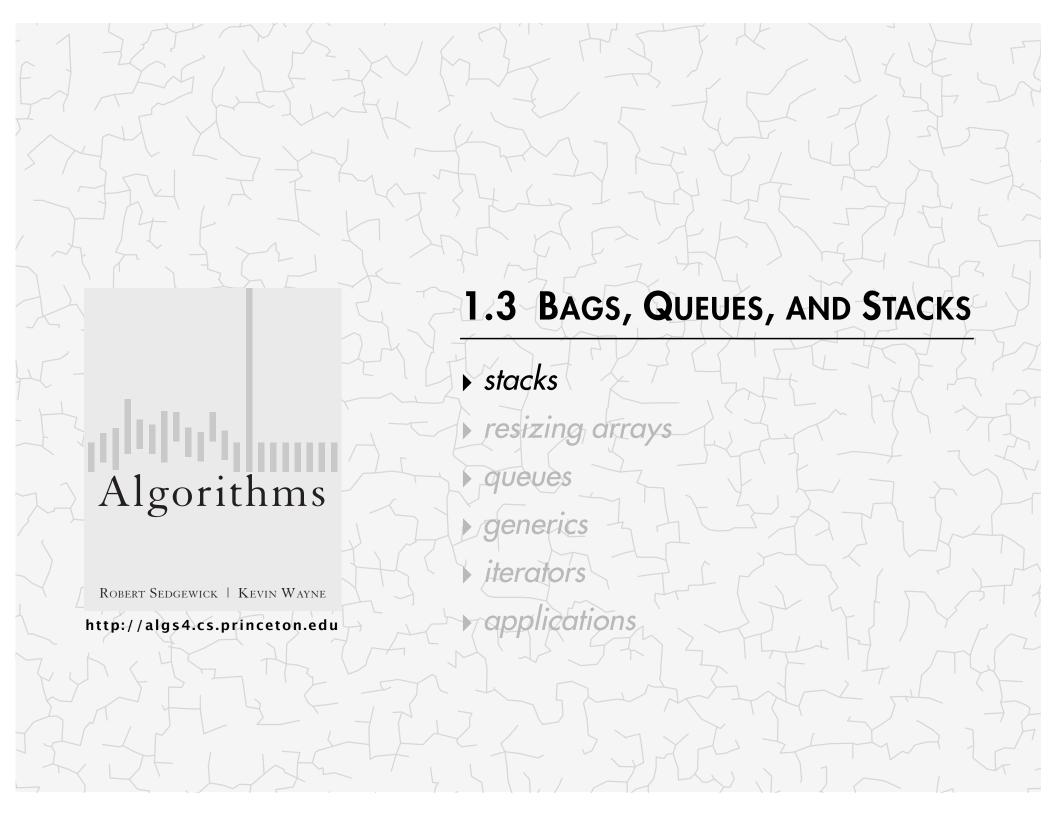
#### Benefits.

- Client can't know details of implementation ⇒
   client has many implementation from which to choose.
- Implementation can't know details of client needs ⇒
  many clients can re-use the same implementation.
- Design: creates modular, reusable libraries.
- Performance: use optimized implementation where it matters.

Client: program using operations defined in interface.

Implementation: actual code implementing operations.

Interface: description of data type, basic operations.



## Stack API

Warmup API. Stack of strings data type.

			push	pop
public class	StackOfStrings		1	
	StackOfStrings()	create an empty stack		
void	<pre>push(String item)</pre>	insert a new string onto stack		
String	pop()	remove and return the string most recently added		
boolean	isEmpty()	is the stack empty?		
int	size()	number of strings on the stack		

Warmup client. Reverse sequence of strings from standard input.

#### Stack test client

Read strings from standard input.

- If string equals "-", pop string from stack and print.
- Otherwise, push string onto stack.

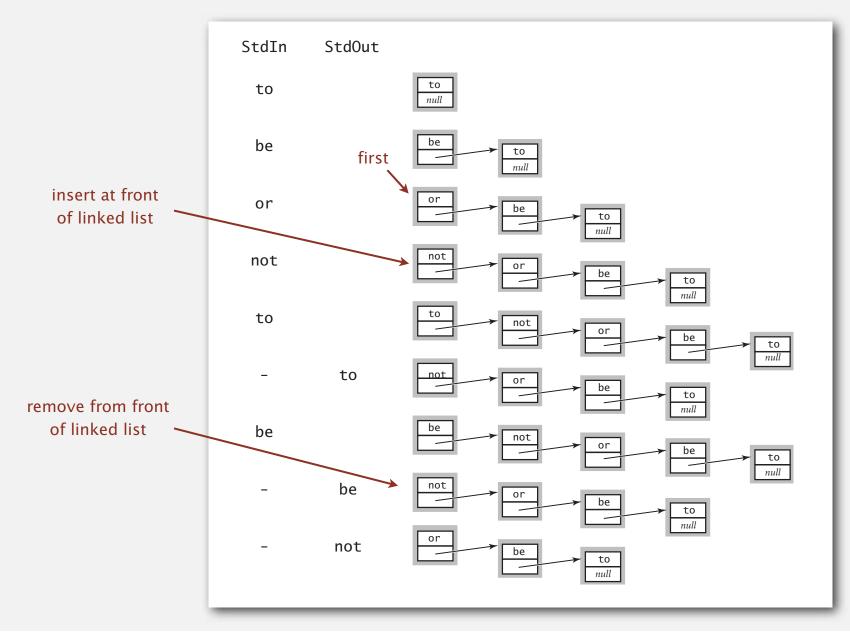
```
% more tobe.txt
to be or not to - be - - that - - - is
% java StackOfStrings < tobe.txt
to be not that or be</pre>
```

push pop



# Stack: linked-list representation

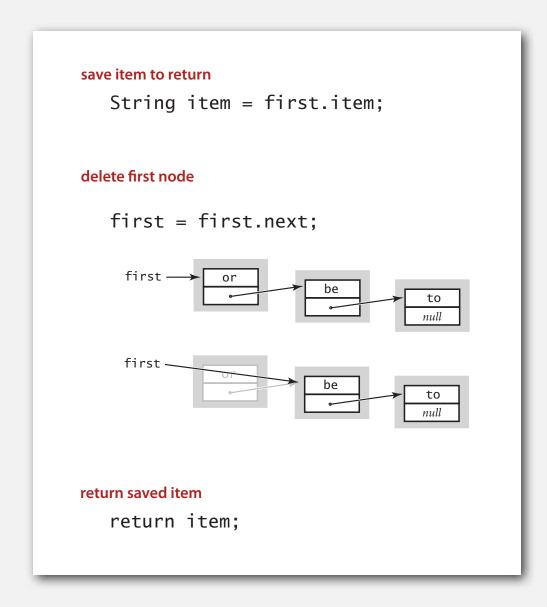
Maintain pointer to first node in a linked list; insert/remove from front.



# Stack pop: linked-list implementation

#### inner class

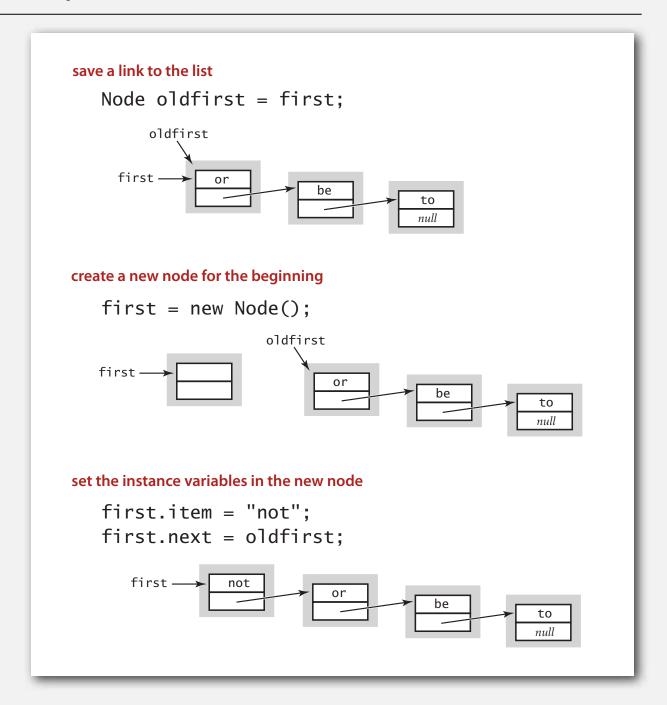
```
private class Node
{
    String item;
    Node next;
}
```



# Stack push: linked-list implementation

# inner class private class

```
private class Node
{
    String item;
    Node next;
}
```



# Stack: linked-list implementation in Java

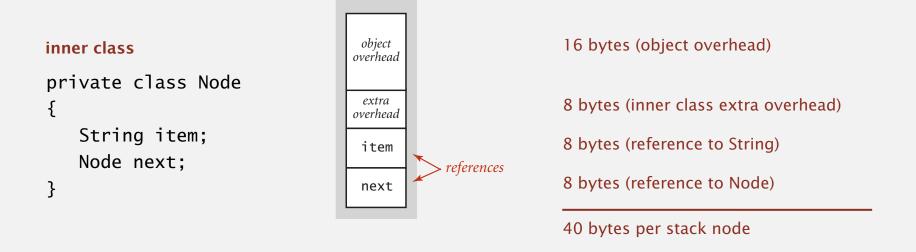
```
public class LinkedStackOfStrings
   private Node first = null;
   private class Node
      String item;
      Node next;
   public boolean isEmpty()
   { return first == null; }
   public void push(String item)
      Node oldfirst = first;
      first = new Node();
      first.item = item;
      first.next = oldfirst;
   public String pop()
      String item = first.item;
      first = first.next;
      return item;
```

private inner class (access modifiers don't matter)

# Stack: linked-list implementation performance

Proposition. Every operation takes constant time in the worst case.

Proposition. A stack with N items uses  $\sim 40 N$  bytes.



Remark. This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

# Stack: array implementation

#### Array implementation of a stack.

- Use array s[] to store N items on stack.
- push(): add new item at s[N].
- pop(): remove item from s[N-1].



Defect. Stack overflows when N exceeds capacity. [stay tuned]

# Stack: array implementation

```
public class FixedCapacityStackOfStrings
                                            a cheat
   private String[] s;
                                           (stay tuned)
   private int N = 0;
   public FixedCapacityStackOfStrings(int capacity)
   { s = new String[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
   public void push(String item)
     s[N++] = item; 
   public String pop()
      return s[--N]; }
}
```

use to index into array; then increment N

> decrement N; then use to index into array

#### Stack considerations

#### Overflow and underflow.

- Underflow: throw exception if pop from an empty stack.
- Overflow: use resizing array for array implementation. [stay tuned]

Null items. We allow null items to be inserted.

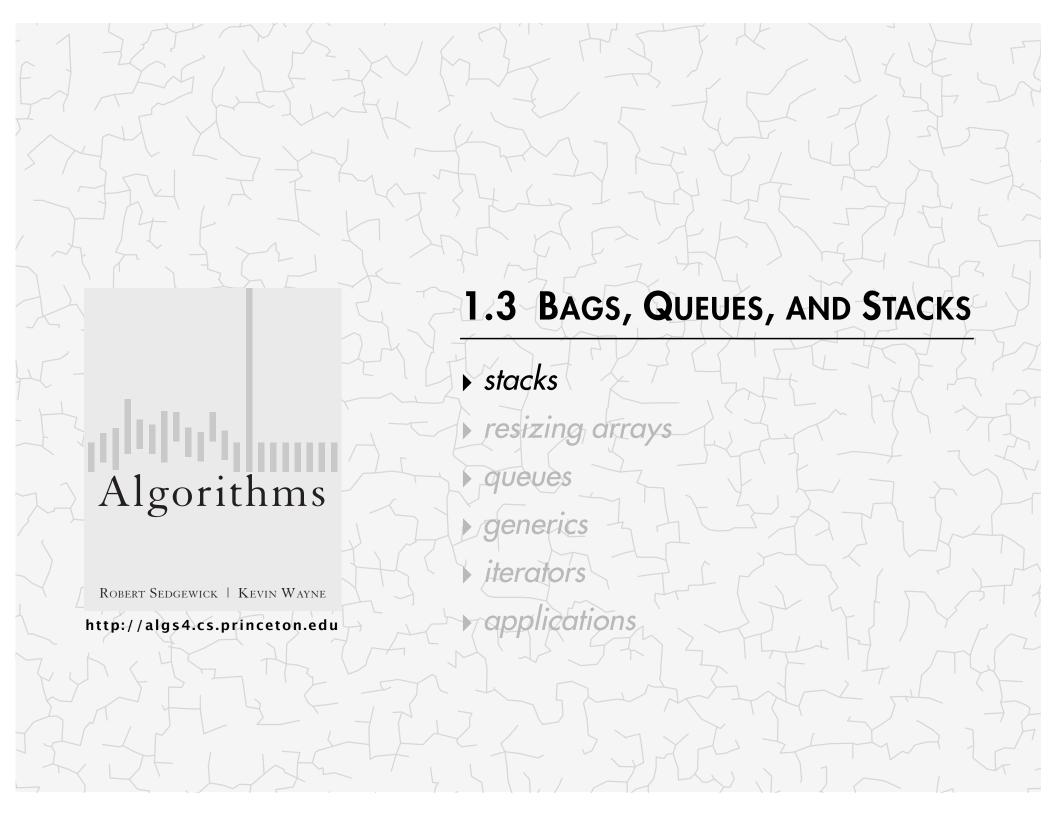
Loitering. Holding a reference to an object when it is no longer needed.

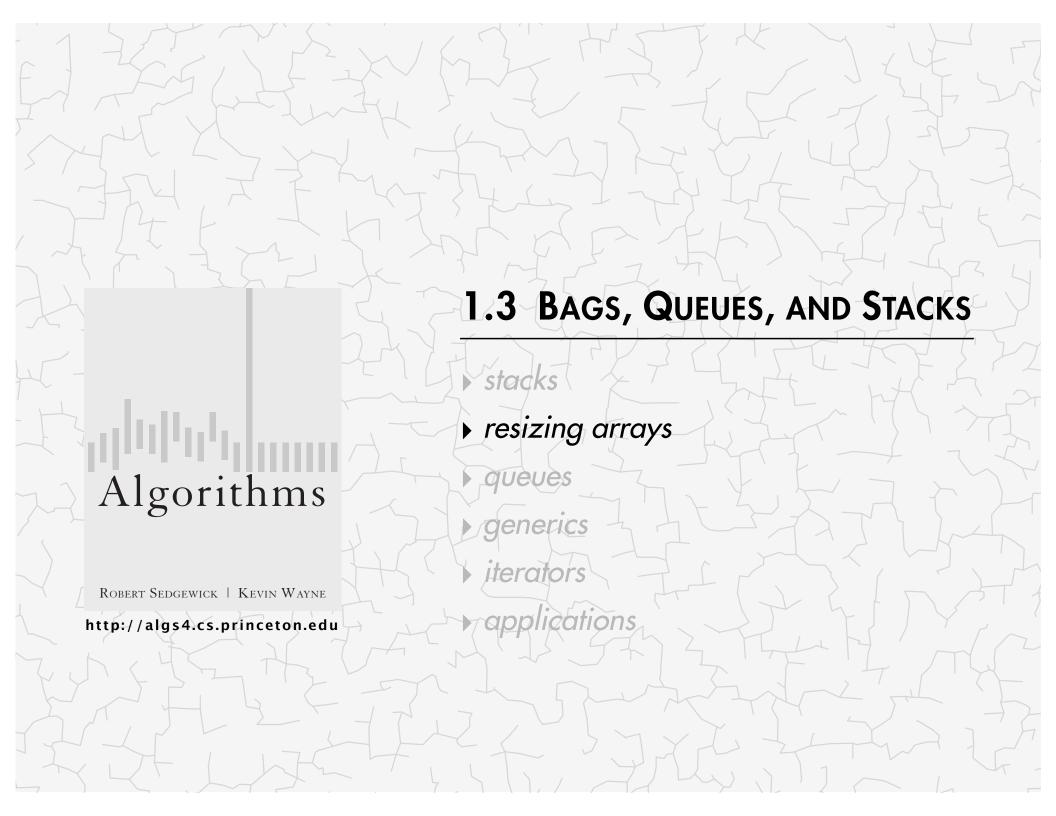
```
public String pop()
{ return s[--N]; }

loitering
```

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    return item;
}
```

this version avoids "loitering": garbage collector can reclaim memory only if no outstanding references





# Stack: resizing-array implementation

Problem. Requiring client to provide capacity does not implement API! Q. How to grow and shrink array?

#### First try.

- push(): increase size of array s[] by 1.
- pop(): decrease size of array s[] by 1.

#### Too expensive.

- Need to copy all items to a new array.
- Inserting first N items takes time proportional to  $1 + 2 + ... + N \sim N^2/2$ .

infeasible for large N

Challenge. Ensure that array resizing happens infrequently.

# Stack: resizing-array implementation

- Q. How to grow array?
- A. If array is full, create a new array of twice the size, and copy items.

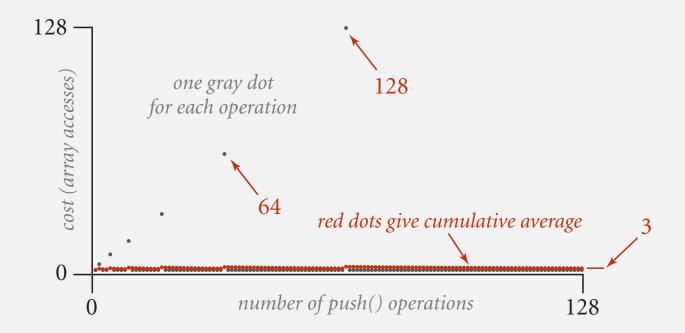
"repeated doubling"

```
public ResizingArrayStackOfStrings()
{ s = new String[1]; }
public void push(String item)
   if (N == s.length) resize(2 * s.length);
   s[N++] = item;
private void resize(int capacity)
   String[] copy = new String[capacity];
   for (int i = 0; i < N; i++)
      copy[i] = s[i];
   s = copy;
```

see next slide

Consequence. Inserting first N items takes time proportional to N (not  $N^2$ ).

# Stack: amortized cost of adding to a stack



# Stack: resizing-array implementation

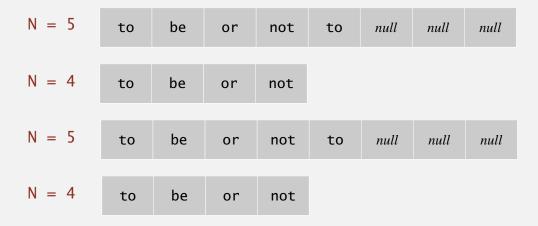
Q. How to shrink array?

### First try.

- push(): double size of array s[] when array is full.
- pop(): halve size of array s[] when array is one-half full.

#### Too expensive in worst case.

- Consider push-pop-push-pop-... sequence when array is full.
- Each operation takes time proportional to *N*.



# Stack: resizing-array implementation

Q. How to shrink array?

#### Efficient solution.

- push(): double size of array s[] when array is full.
- pop(): halve size of array s[] when array is one-quarter full.

```
public String pop()
{
    String item = s[--N];
    s[N] = null;
    if (N > 0 && N == s.length/4) resize(s.length/2);
    return item;
}
```

Invariant. Array is between 25% and 100% full.

# Stack: resizing-array implementation trace

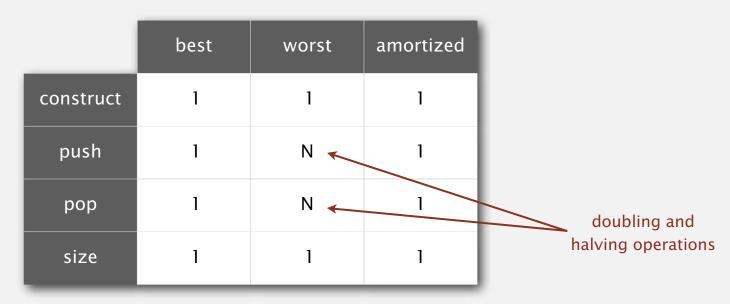
1.0	pop()	N	a.length	a[]							
push()				0	1	2	3	4	5	6	7
		0	1	null							
to		1	1	to							
be		2	2	to	be						
or		3	4	to	be	or	null				
not		4	4	to	be	or	not				
to		5	8	to	be	or	not	to	null	null	null
-	to	4		to	be	or	not	null			
be		5		to	be	or	not	be			
-	be	4		to	be	or	not	null			
-	not	3		to	be	or	null				
that		4		to	be	or	that				
-	that	3		to	be	or	null				
-	or	2	4	to	be	null	null				
-	be	1	2	to	null						
is		2		to	is						

Trace of array resizing during a sequence of push() and pop() operations

# Stack resizing-array implementation: performance

Amortized analysis. Average running time per operation over a worst-case sequence of operations.

Proposition. Starting from an empty stack, any sequence of M push and pop operations takes time proportional to M.



order of growth of running time for resizing stack with N items

### Stack resizing-array implementation: memory usage

Proposition. Uses between  $\sim 8 N$  and  $\sim 32 N$  bytes to represent a stack with N items.

- $\sim 8 N$  when full.
- ~ 32 N when one-quarter full.

```
public class ResizingArrayStackOfStrings
{
    private String[] s;
    private int N = 0;
    ...
}

    8 bytes (reference to array)
24 bytes (array overhead)
8 bytes × array size
4 bytes (int)
4 bytes (padding)
```

Remark. This accounts for the memory for the stack (but not the memory for strings themselves, which the client owns).

# Stack implementations: resizing array vs. linked list

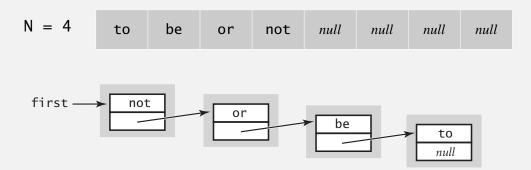
Tradeoffs. Can implement a stack with either resizing array or linked list; client can use interchangeably. Which one is better?

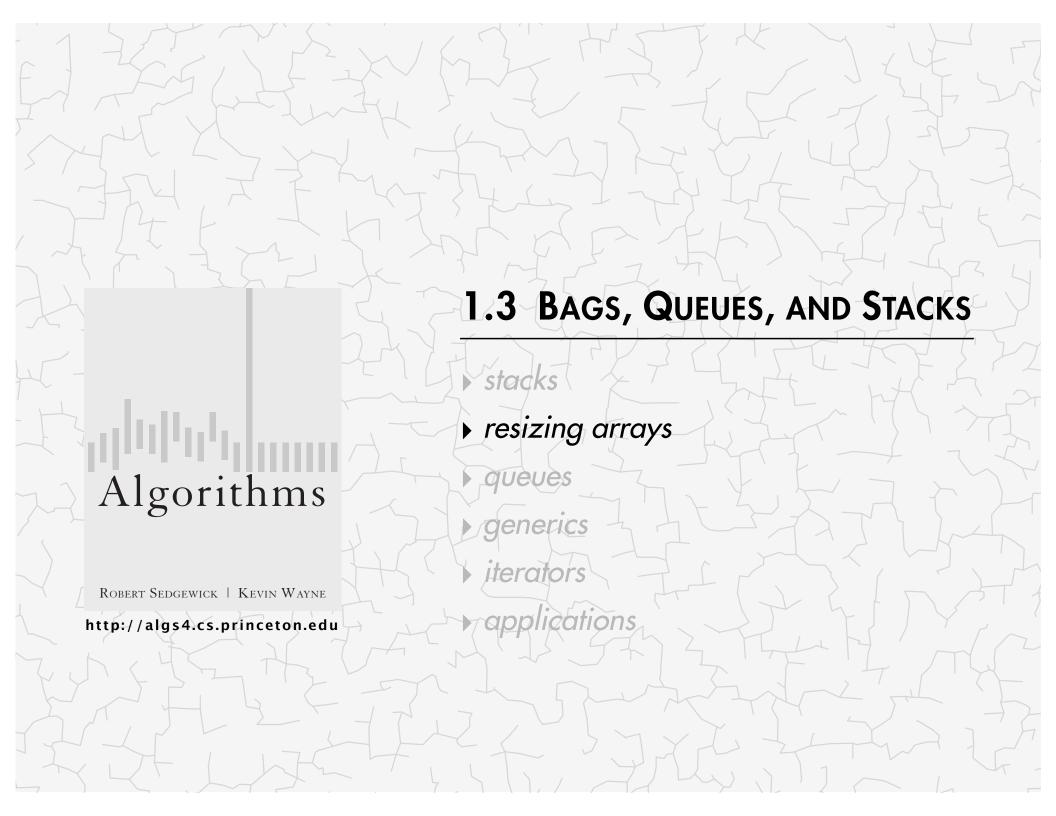
#### Linked-list implementation.

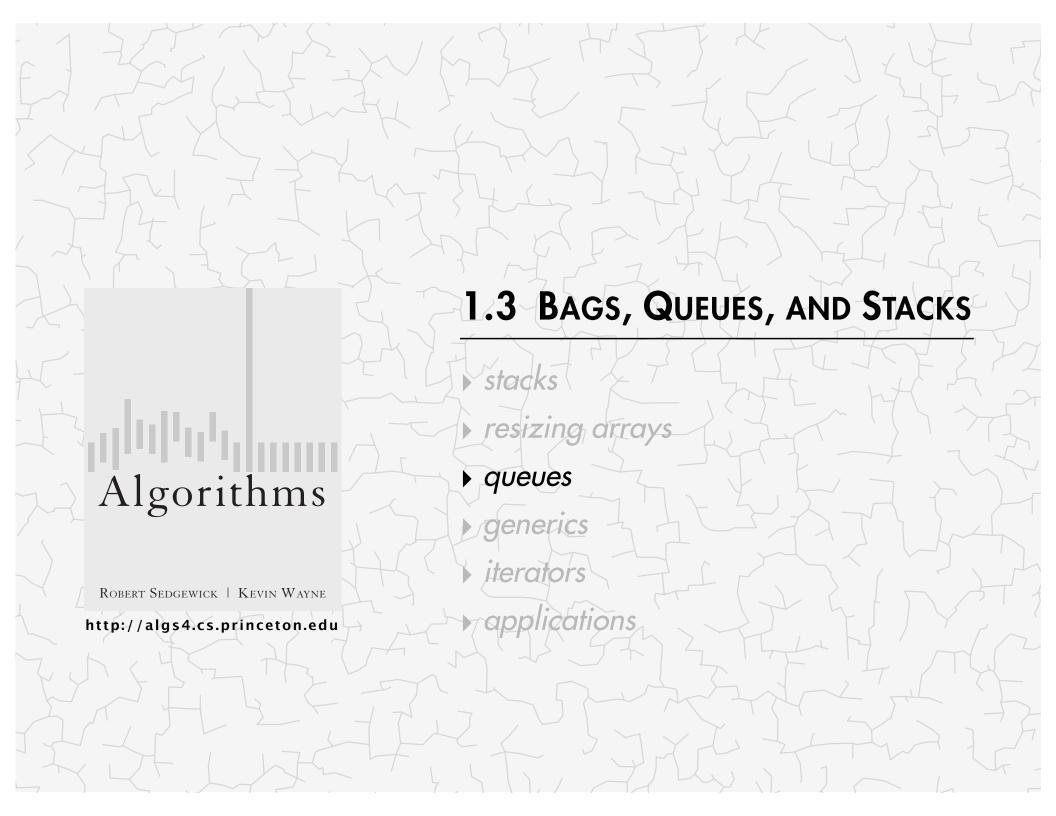
- Every operation takes constant time in the worst case.
- Uses extra time and space to deal with the links.

#### Resizing-array implementation.

- Every operation takes constant amortized time.
- Less wasted space.







### Queue API

public class QueueOfStrings

QueueOfStrings() create an empty queue

void enqueue(String item) insert a new string onto queue

String dequeue() remove and return the string least recently added

is the queue empty?

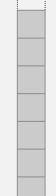
number of strings on the queue

boolean isEmpty()

int size()





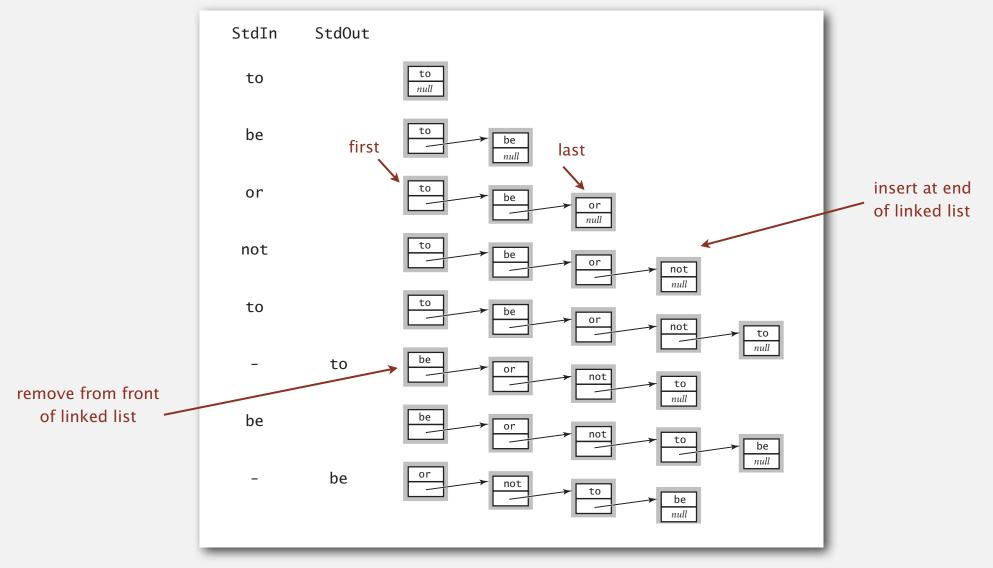






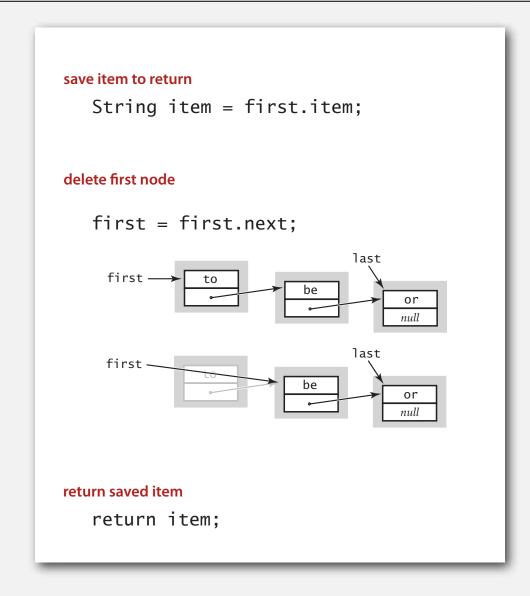
# Queue: linked-list representation

Maintain pointer to first and last nodes in a linked list; insert/remove from opposite ends.



# Queue dequeue: linked-list implementation

```
inner class
private class Node
{
    String item;
    Node next;
}
```

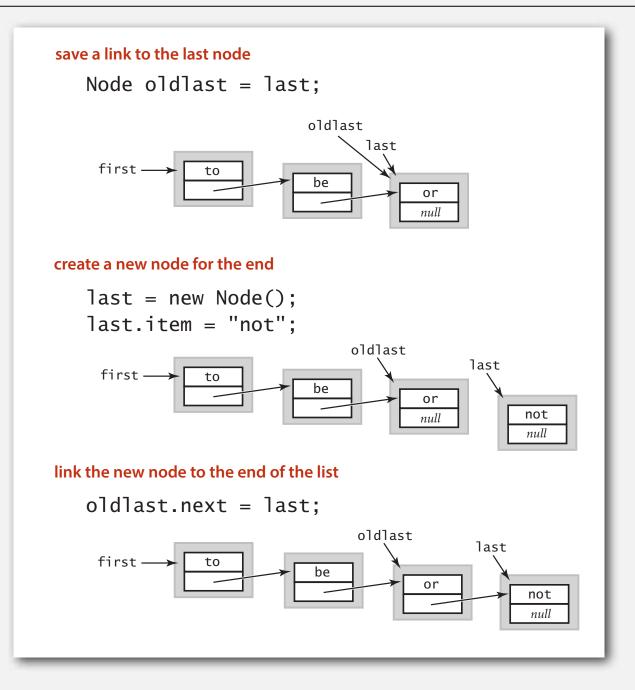


Remark. Identical code to linked-list stack pop().

# Queue enqueue: linked-list implementation

# inner class private cla

```
private class Node
{
    String item;
    Node next;
}
```



# Queue: linked-list implementation in Java

```
public class LinkedQueueOfStrings
   private Node first, last;
  private class Node
   { /* same as in StackOfStrings */ }
   public boolean isEmpty()
   { return first == null; }
   public void enqueue(String item)
      Node oldlast = last:
     last = new Node();
     last.item = item;
     last.next = null;
                                                       special cases for
     if (isEmpty()) first = last;
                                                        empty queue
      else
                    oldlast.next = last;
   public String dequeue()
     String item = first.item;
                 = first.next;
      first
     if (isEmpty()) last = null;
      return item;
```

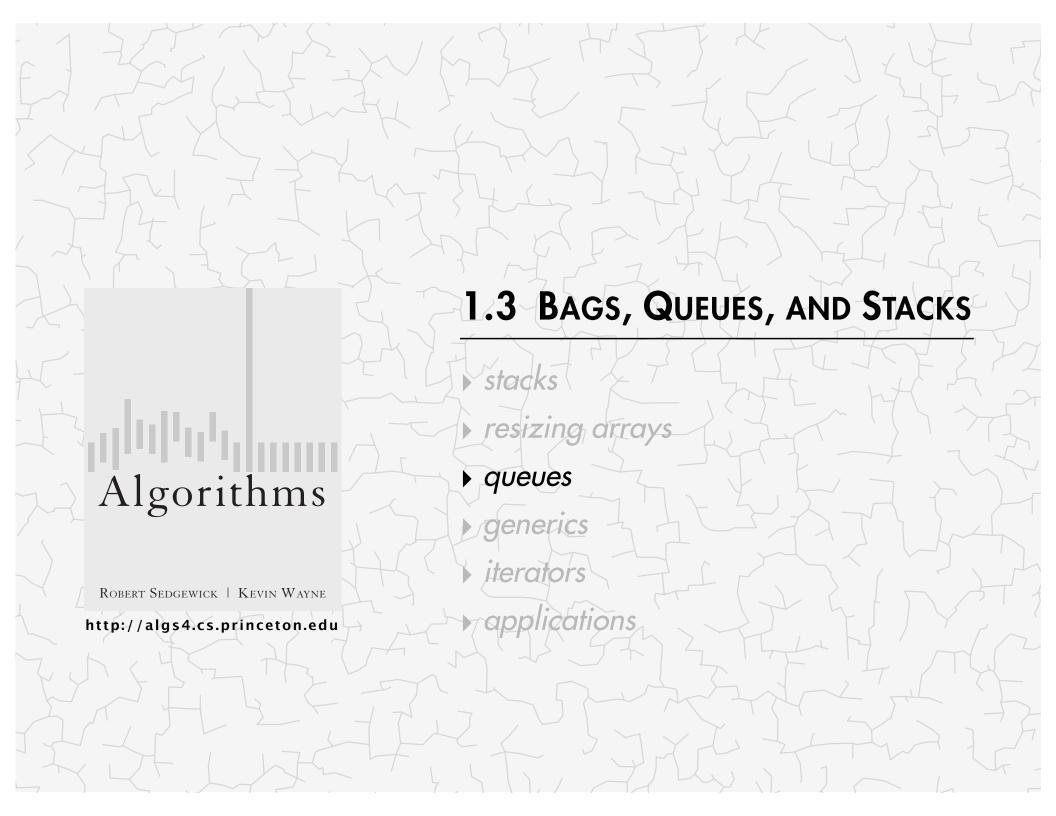
# Queue: resizing array implementation

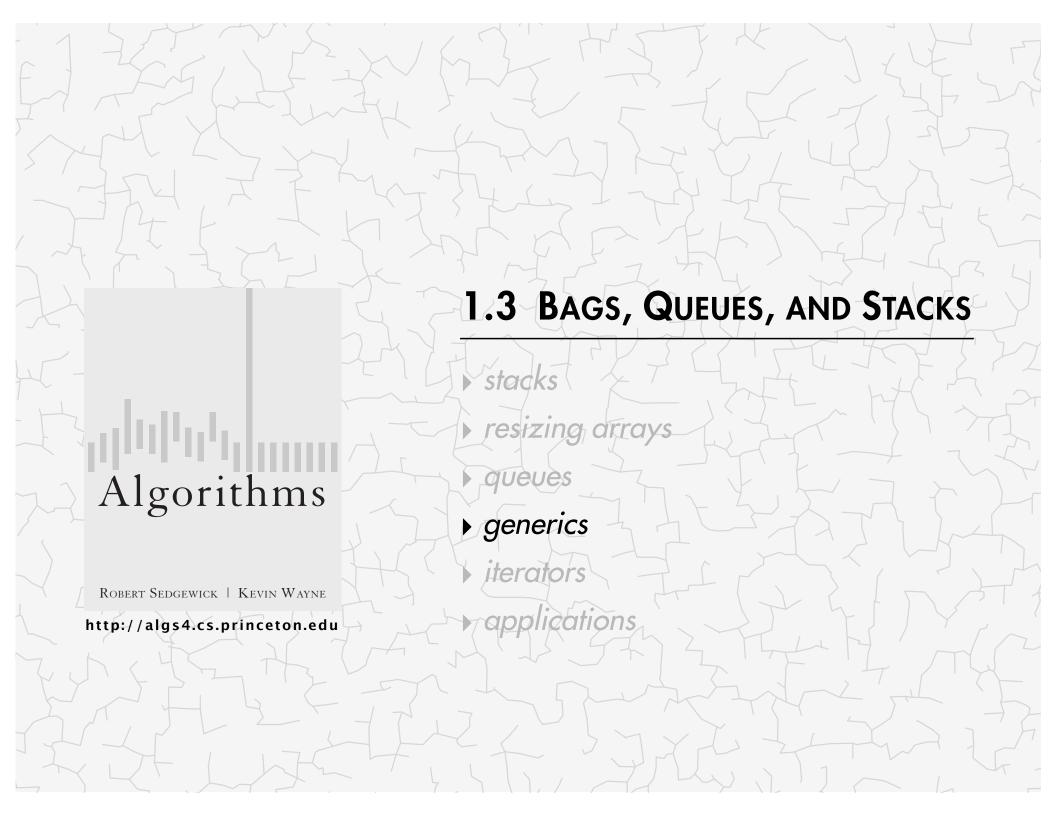
#### Array implementation of a queue.

- Use array q[] to store items in queue.
- enqueue(): add new item at q[tail].
- dequeue(): remove item from q[head].
- Update head and tail modulo the capacity.
- Add resizing array.

q[]	null	null	the	best	of	times	null	null	null	null		
,	0	1	2	3	4	5	6	7	8	9	,	
	head					tail				capacity = 10		

Q. How to resize?





### Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 1. Implement a separate stack class for each type.

- Rewriting code is tedious and error-prone.
- Maintaining cut-and-pasted code is tedious and error-prone.

@#\$\*! most reasonable approach until Java 1.5.



#### Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 2. Implement a stack with items of type Object.

- Casting is required in client.
- Casting is error-prone: run-time error if types mismatch.

```
StackOfObjects s = new StackOfObjects();
Apple a = new Apple();
Orange b = new Orange();
s.push(a);
s.push(b);
a = (Apple) (s.pop());
```



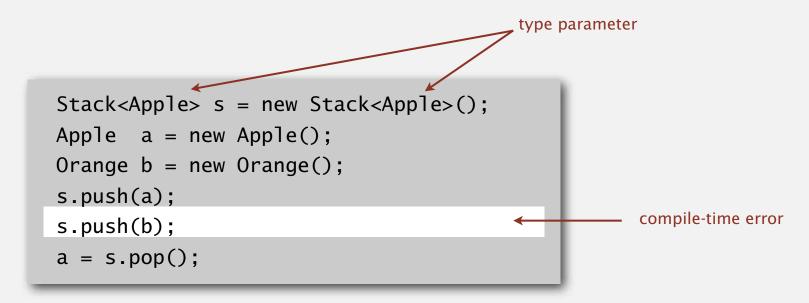
#### Parameterized stack

We implemented: StackOfStrings.

We also want: StackOfURLs, StackOfInts, StackOfVans, ....

Attempt 3. Java generics.

- Avoid casting in client.
- Discover type mismatch errors at compile-time instead of run-time.



Guiding principles. Welcome compile-time errors; avoid run-time errors.

## Generic stack: linked-list implementation

```
public class LinkedStackOfStrings
   private Node first = null;
   private class Node
      String item;
      Node next;
   public boolean isEmpty()
   { return first == null; }
   public void push(String item)
      Node oldfirst = first;
      first = new Node();
      first.item = item:
      first.next = oldfirst;
   public String pop()
      String item = first.item;
      first = first.next:
      return item;
```

```
public class Stack<Item>
   private Node first = nuN;
   private class Node
                                   generic type name
      Item item:
      Node next;
   public boolean is Empty
   { return first == nu///;
   public void push(I/tem item)
      Node oldfirs/t = first;
      first = new Node();
      first.item = item:
      first.next = oldfirst;
   public/Item pop()
      Item item = first.item;
      first = first.next;
      return item;
}
```

## Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
  private String[] s;
  private int N = 0;
  public ..StackOfStrings(int capacity)
   { s = new String[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
   public void push(String item)
   \{ s[N++] = item; \}
   public String pop()
   { return s[--N]; }
```

#### the way it should be

```
public class FixedCapacityStack<Item>
   private Item[] s;
   private int N = 0;
  public FixedCapacityStack(int capacity)
   { s = new Item[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
   publiq void push(Item item)
   \{ s/N++ \} = item; \}
   public Item pop()
      return s[--N]; }
```

@#\$\*! generic array creation not allowed in Java

## Generic stack: array implementation

```
public class FixedCapacityStackOfStrings
  private String[] s;
  private int N = 0;
  public ..StackOfStrings(int capacity)
   { s = new String[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
  public void push(String item)
   \{ s[N++] = item; \}
  public String pop()
  { return s[--N]; }
```

#### the way it is

```
public class FixedCapacityStack<Item>
   private Item[] s;
  private int N = 0;
  public FixedCapacityStack(int capacity)
   { s = (Item[]) new Object[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
   publifc void push(Item item)
   \{ s[N++] = item; \}
   public Item pop()
    return s[--N]; }
```

### Unchecked cast

## Generic data types: autoboxing

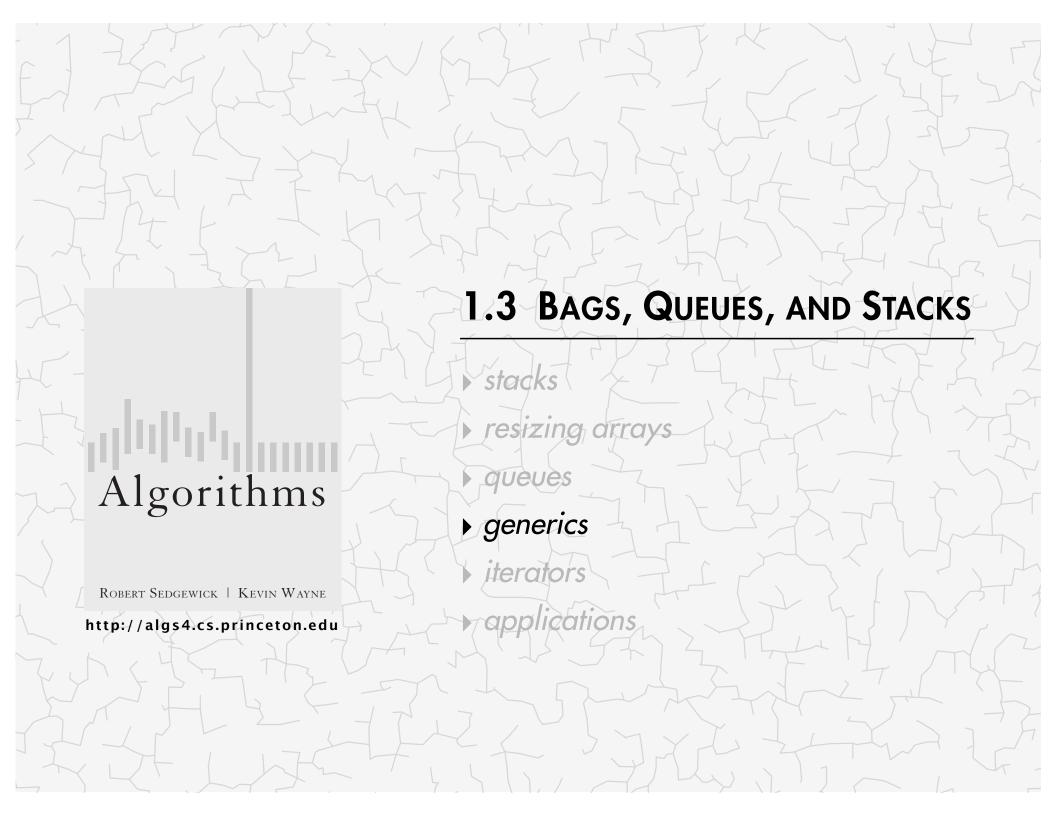
Q. What to do about primitive types?

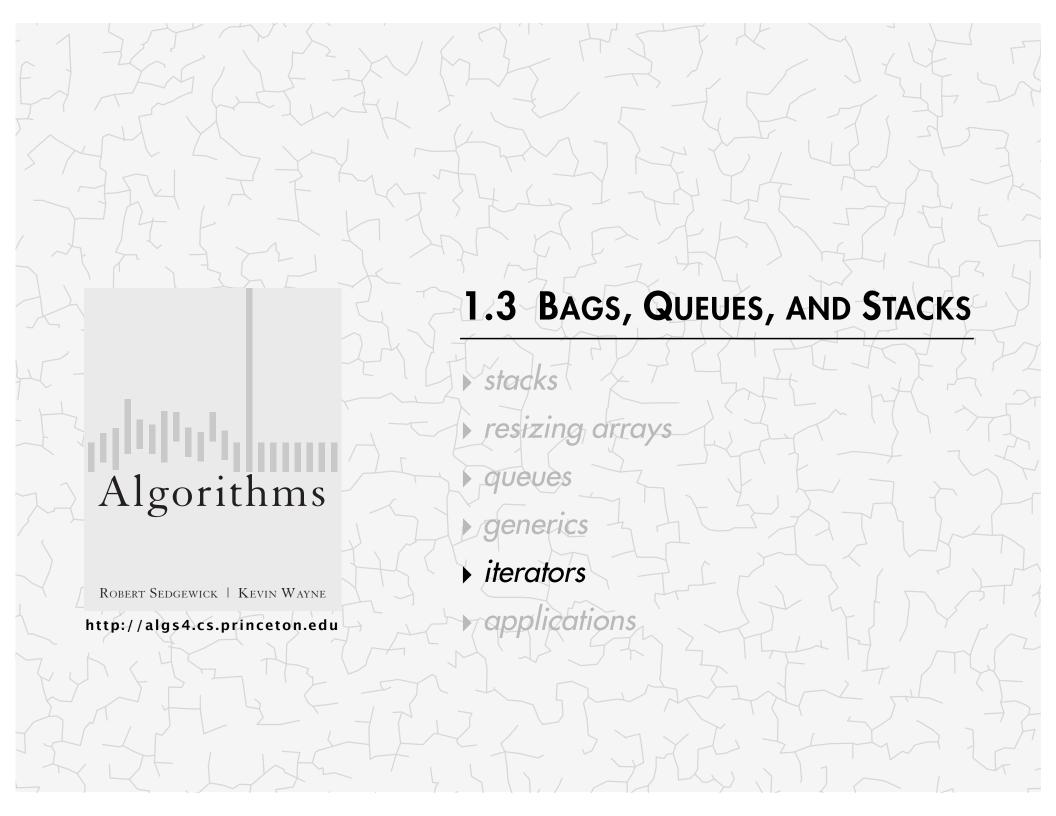
#### Wrapper type.

- Each primitive type has a wrapper object type.
- Ex: Integer is wrapper type for int.

Autoboxing. Automatic cast between a primitive type and its wrapper.

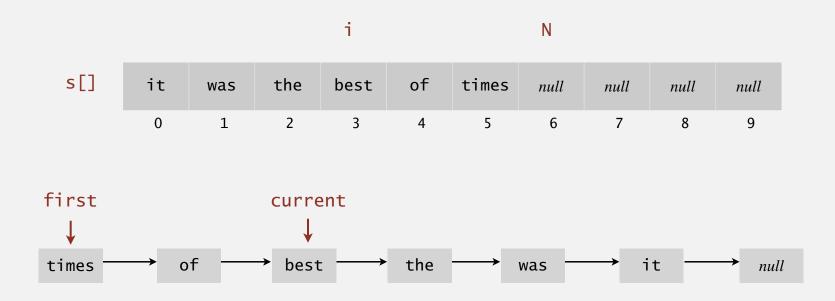
Bottom line. Client code can use generic stack for any type of data.





### **Iteration**

Design challenge. Support iteration over stack items by client, without revealing the internal representation of the stack.



Java solution. Make stack implement the java.lang.Iterable interface.

#### **Iterators**

- Q. What is an Iterable?
- A. Has a method that returns an Iterator.

- O. What is an Iterator?
- A. Has methods hasNext() and next().

- Q. Why make data structures Iterable?
- A. Java supports elegant client code.

#### Iterable interface

```
public interface Iterable<Item>
{
    Iterator<Item> iterator();
}
```

#### Iterator interface

#### "foreach" statement (shorthand)

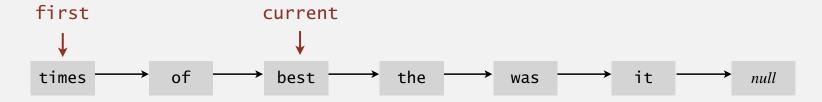
```
for (String s : stack)
   StdOut.println(s);
```

#### equivalent code (longhand)

```
Iterator<String> i = stack.iterator();
while (i.hasNext())
{
   String s = i.next();
   StdOut.println(s);
}
```

### Stack iterator: linked-list implementation

```
import java.util.Iterator;
public class Stack<Item> implements Iterable<Item>
    public Iterator<Item> iterator() { return new ListIterator(); }
    private class ListIterator implements Iterator<Item>
        private Node current = first;
        public boolean hasNext() { return current != null; }
        public void remove() { /* not supported */
        public Item next() <</pre>
                                                 throw UnsupportedOperationException
             Item item = current.item;
                                                  throw NoSuchElementException
             current
                     = current.next;
                                                 if no more items in iteration
             return item;
```



## Stack iterator: array implementation

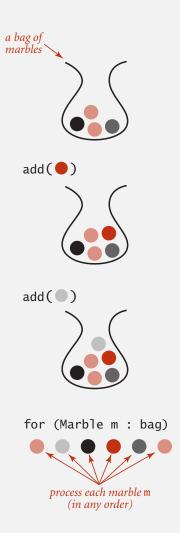
```
import java.util.Iterator;
public class Stack<Item> implements Iterable<Item>
   public Iterator<Item> iterator()
   { return new ReverseArrayIterator(); }
   private class ReverseArrayIterator implements Iterator<Item>
       private int i = N;
       public boolean hasNext() { return i > 0;
       public void remove() { /* not supported */ }
       public Item next() { return s[--i]; }
```

				'			IN				
s[]	it	was	the	best	of	times	null	null	null	null	
	0	1	2	3	4	5	6	7	8	9	

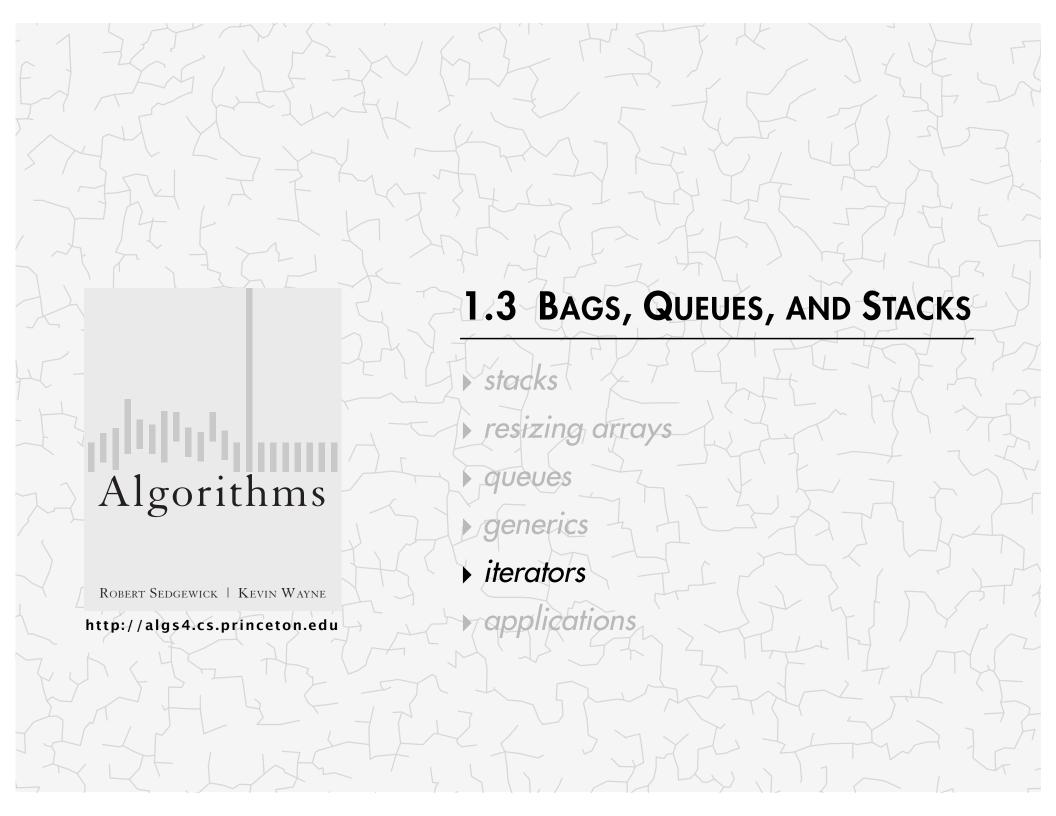
## Bag API

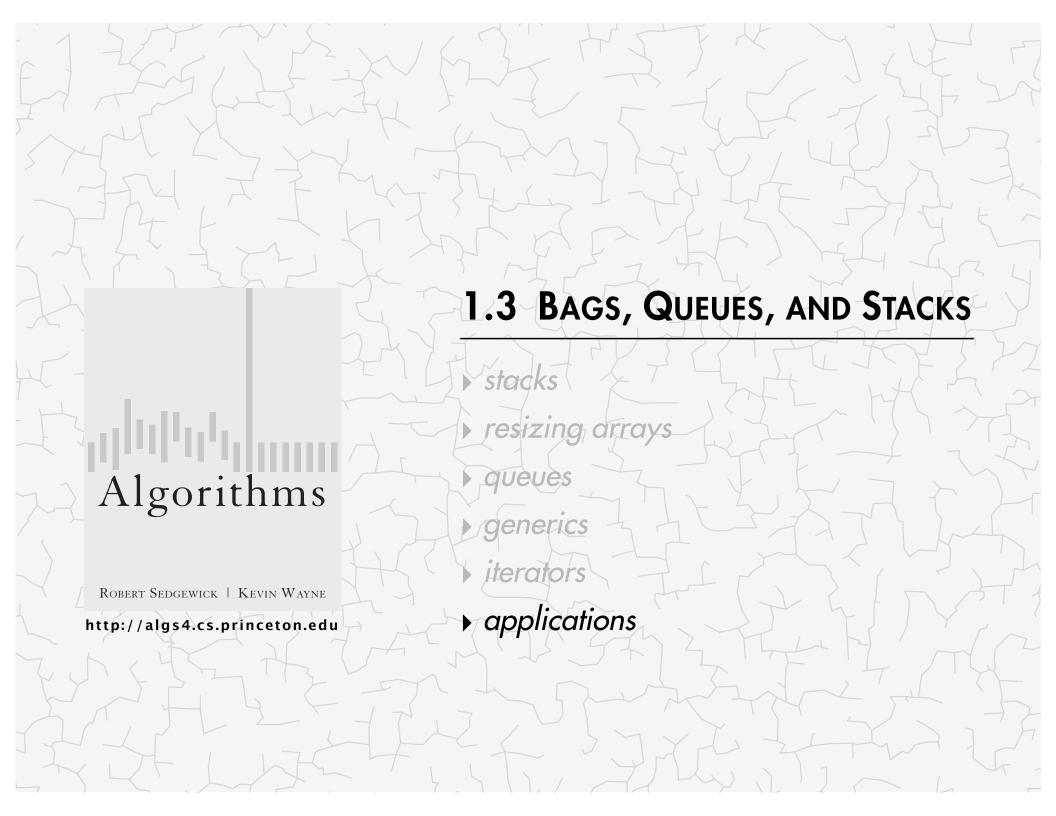
Main application. Adding items to a collection and iterating (when order doesn't matter).

public class	Bag <item> impleme</item>	ents Iterable <item></item>
	Bag()	create an empty bag
void	add(Item x)	insert a new item onto bag
int	size()	number of items in bag
Iterable <item></item>	iterator()	iterator for all items in bag



Implementation. Stack (without pop) or queue (without dequeue).





## Java collections library

List interface. java.util.List is API for an sequence of items.

<pre>public interface List<item> implements Iterable<item></item></item></pre>						
	List()	create an empty list				
boolean	isEmpty()	is the list empty?				
int	size()	number of items				
void	add(Item item)	append item to the end				
Item	<pre>get(int index)</pre>	return item at given index				
Item	remove(int index)	return and delete item at given index				
boolean	contains(Item item)	does the list contain the given item?				
Iterator <item></item>	iterator()	iterator over all items in the list				

Implementations. java.util.ArrayList uses resizing array; java.util.LinkedList uses linked list. caveat: only some operations are efficient

## Java collections library

#### java.util.Stack.

- Supports push(), pop(), and and iteration.
- Extends java.util.Vector, which implements java.util.List interface from previous slide, including, get() and remove().
- Bloated and poorly-designed API (why?)



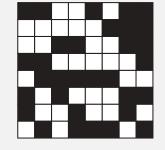
java.util.Queue. An interface, not an implementation of a queue.

Best practices. Use our implementations of Stack, Queue, and Bag.

## War story (from Assignment 1)

#### Generate random open sites in an N-by-N percolation system.

- Jenny: pick (i, j) at random; if already open, repeat. Takes ~  $c_1 N^2$  seconds.
- Kenny: create a java.util.ArrayList of  $N^2$  closed sites. Pick an index at random and delete.



Takes ~  $c_2 N^4$  seconds.



Lesson. Don't use a library until you understand its API!

This course. Can't use a library until we've implemented it in class.

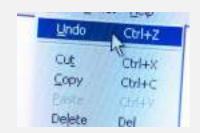
## Stack applications

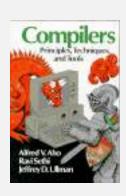
- Parsing in a compiler.
- Java virtual machine.
- Undo in a word processor.
- Back button in a Web browser.
- PostScript language for printers.
- Implementing function calls in a compiler.

• ...









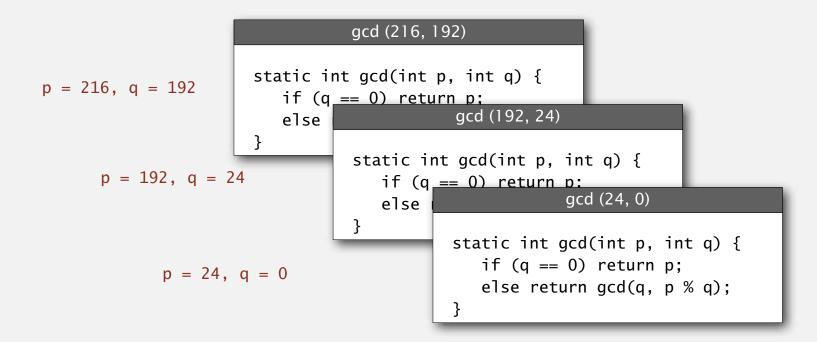
#### Function calls

#### How a compiler implements a function.

- Function call: push local environment and return address.
- Return: pop return address and local environment.

Recursive function. Function that calls itself.

Note. Can always use an explicit stack to remove recursion.



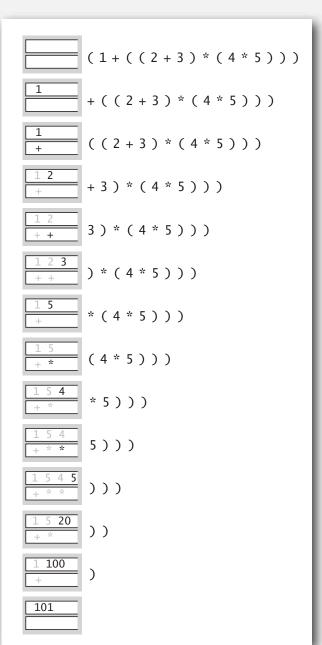
## Arithmetic expression evaluation

Goal. Evaluate infix expressions.

Two-stack algorithm. [E. W. Dijkstra]

- Value: push onto the value stack.
- Operator: push onto the operator stack.
- Left parenthesis: ignore.
- Right parenthesis: pop operator and two values; push the result of applying that operator to those values onto the operand stack.

Context. An interpreter!



value stack

operator stack

## Dijkstra's two-stack algorithm demo





infix expression(fully parenthesized)



### Arithmetic expression evaluation

```
public class Evaluate
  public static void main(String[] args)
     Stack<String> ops = new Stack<String>();
     Stack<Double> vals = new Stack<Double>();
     while (!StdIn.isEmpty()) {
        String s = StdIn.readString();
           (s.equals("("))
        else if (s.equals("+")) ops.push(s);
        else if (s.equals(")"))
          String op = ops.pop();
              (op.equals("+")) vals.push(vals.pop() + vals.pop());
          else if (op.equals("*")) vals.push(vals.pop() * vals.pop());
        else vals.push(Double.parseDouble(s));
     StdOut.println(vals.pop());
               % java Evaluate
               (1+((2+3)*(4*5)))
               101.0
```

#### Correctness

- Q. Why correct?
- A. When algorithm encounters an operator surrounded by two values within parentheses, it leaves the result on the value stack.

as if the original input were:

Repeating the argument:

Extensions. More ops, precedence order, associativity.

## Stack-based programming languages

Observation 1. Dijkstra's two-stack algorithm computes the same value if the operator occurs after the two values.

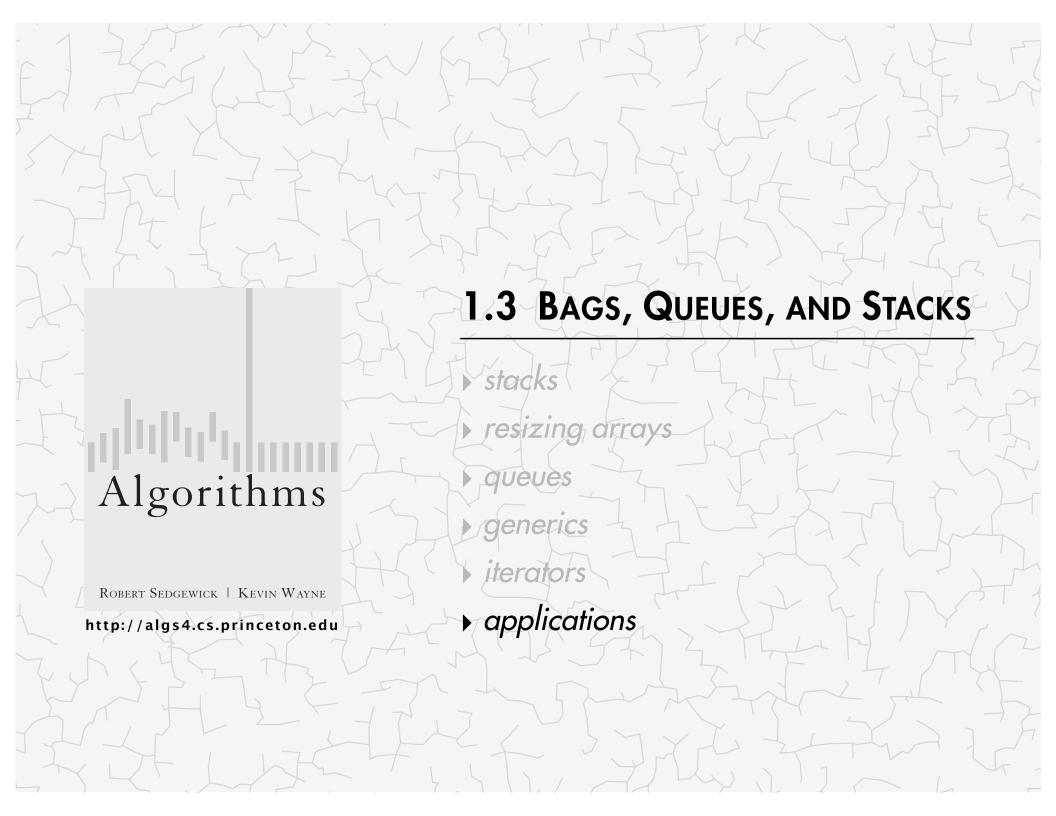
Observation 2. All of the parentheses are redundant!



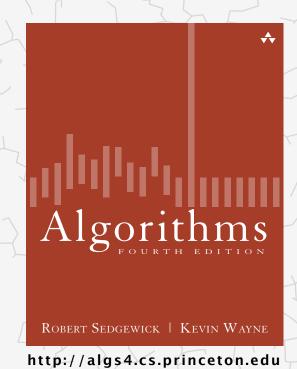
Ian Lukasiewicz

Bottom line. Postfix or "reverse Polish" notation.

Applications. Postscript, Forth, calculators, Java virtual machine, ...



# Algorithms



1.3 BAGS, QUEUES, AND STACKS

- > stacks
- resizing arrays
- queues
- generics
- iterators
- applications