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## 6. Trees

- Trees and forests
- Binary search trees
- Path length
- **Other types of trees**

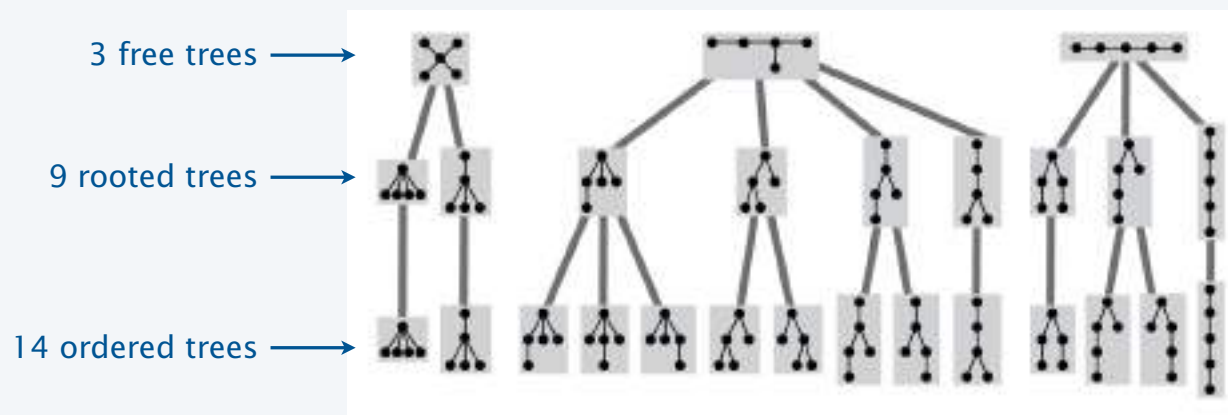
## Other types of trees in combinatorics

Classic tree structures:

- The **free tree**, an acyclic connected graph.
- The **rooted tree**, a free tree with a distinguished root node.
- The **ordered tree**, a rooted tree where the order of the subtrees is significant.



Ex. 5-node trees:

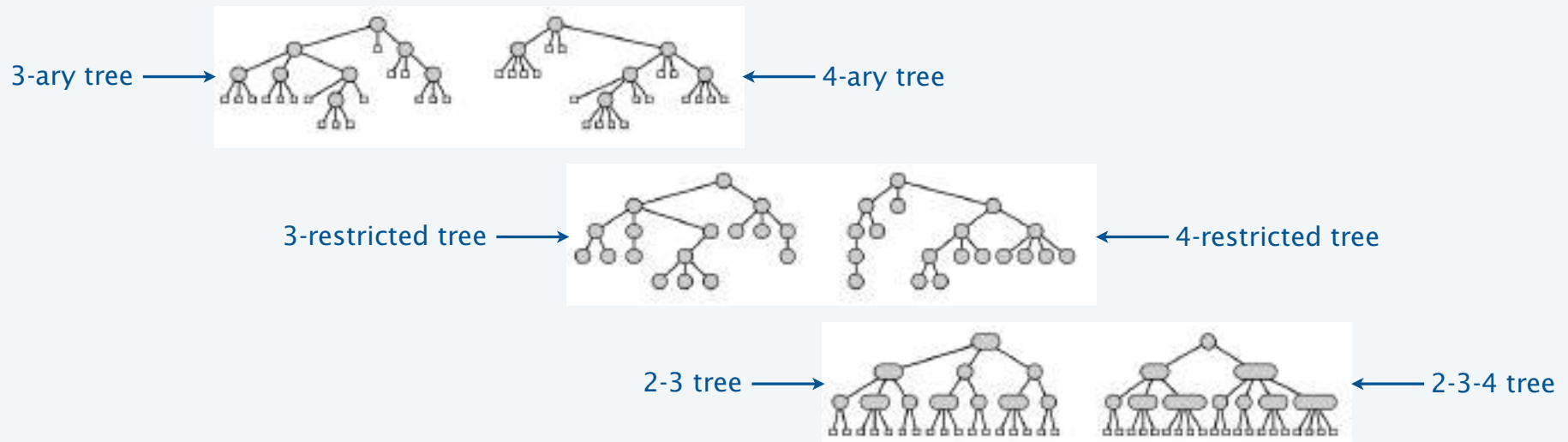


Enumeration? Path length? Stay tuned for *Analytic Combinatorics*

## Other types of trees in algorithmics

Variations on binary trees:

- The  $t$ -ary tree, where each node has *exactly*  $t$  children.
- The  $t$ -restricted tree, where each node has *at most*  $t$  children.
- The 2-3 tree, the method of choice in symbol-table implementations.



Enumeration? Path length? Stay tuned for *Analytic Combinatorics*

## An unsolved problem

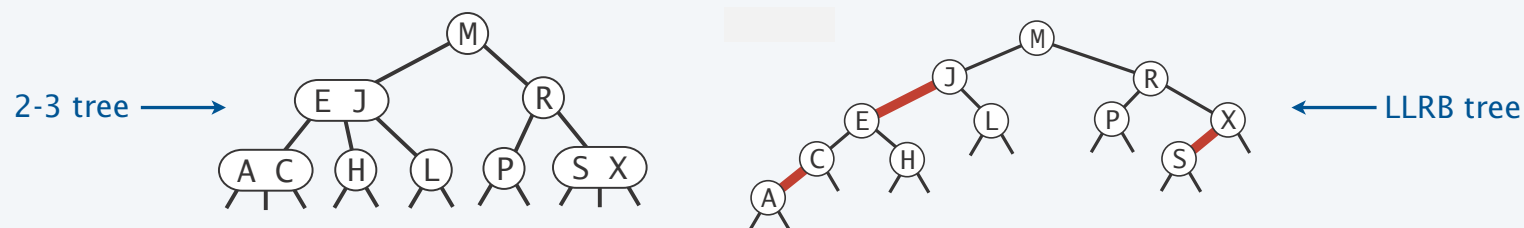
*Balanced trees* are the method of choice for symbol tables

- Same search code as BSTs.
- Slight overhead for insertion.
- Guaranteed height  $< 2\lg N$ .
- Most algorithms use 2-3 or 2-3-4 tree representations.

Ex. LLRB (left-leaning red-black) trees.



Section 3.3

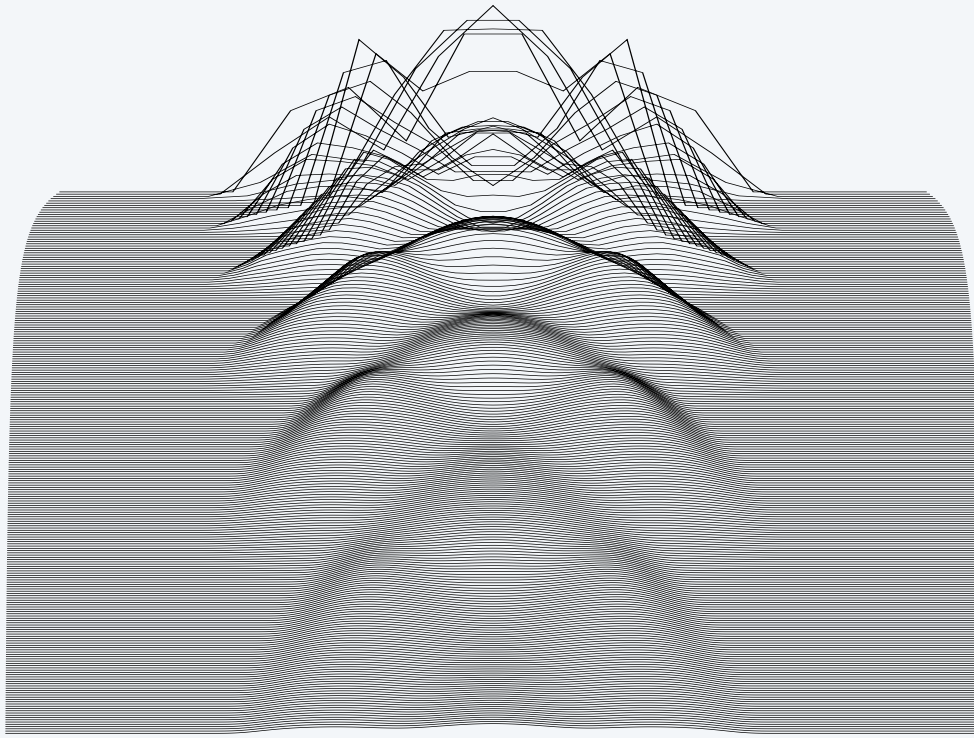


Q. Path length of balanced tree built from a random permutation?

← a property of permutations, not trees

## Balanced tree distribution

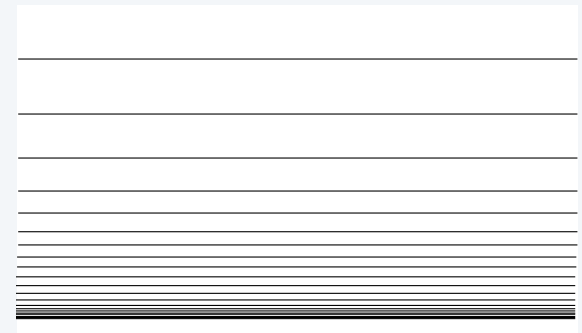
Probability that the root is of rank  $k$  in a randomly-chosen AVL tree.



Random binary tree



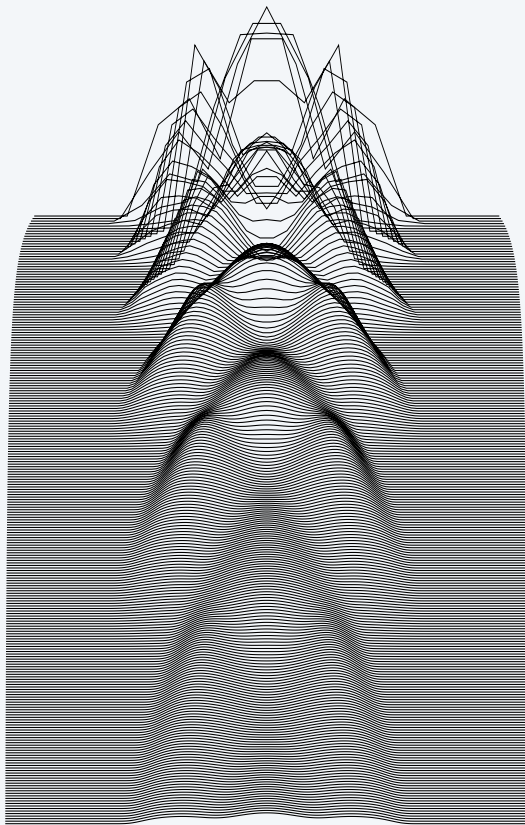
BST built from a random permutation



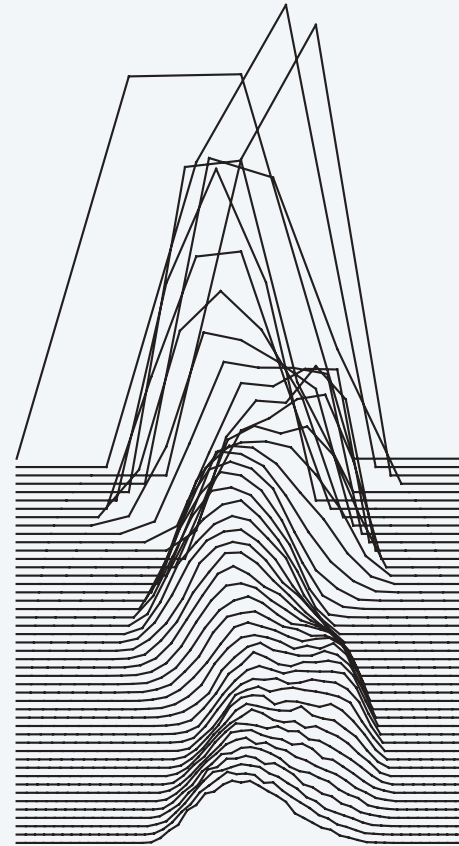
## An unsolved problem

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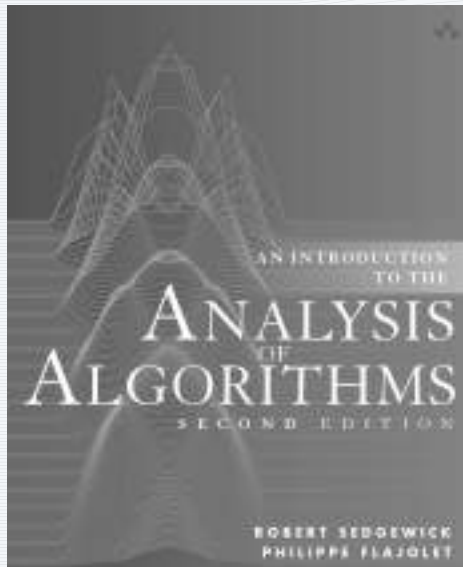
Q. Path length of balanced tree built from a random permutation?



random AVL tree



LLRB tree built from random perm (empirical )



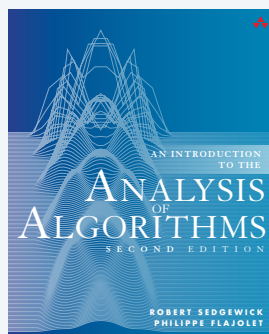
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## 6. Trees

- Trees and forests
- Binary search trees
- Path length
- Other types of trees
- Exercises

## Exercise 6.6

Tree enumeration via the symbolic method.



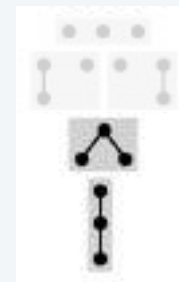
**Exercise 6.6** What proportion of the forests with  $N$  nodes have no trees consisting of a single node? For  $N = 1, 2, 3$ , and  $4$ , the answer is  $0, 1/2, 2/5$ , and  $3/7$ , respectively.



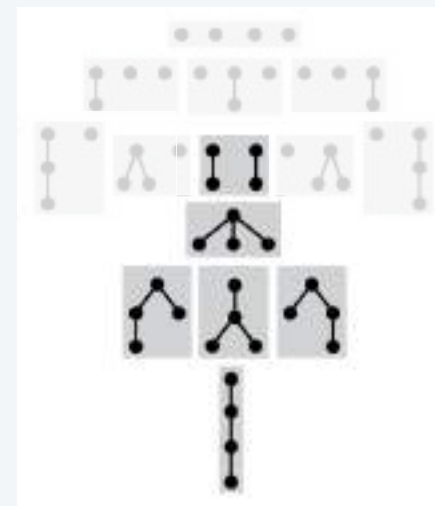
1/1



1/2



2/5



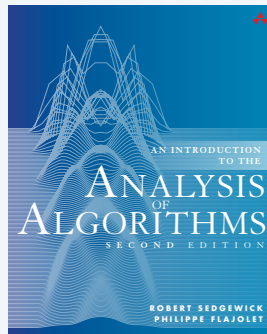
6/14



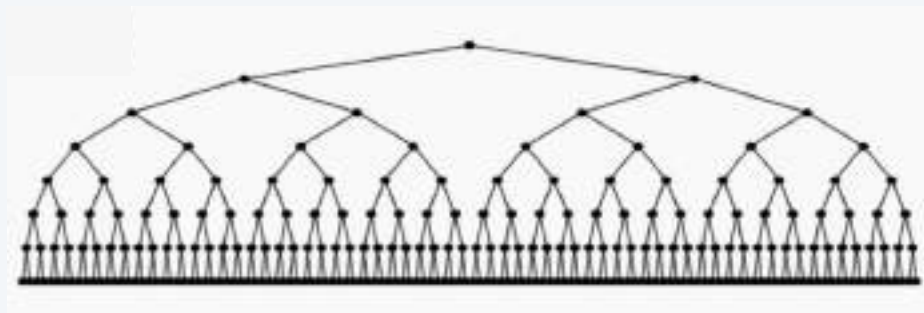
## Exercise 6.27

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Compute the probability that a BST is perfectly balanced.

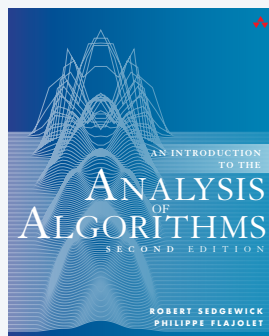


**Exercise 6.27** For  $N = 2^n - 1$ , what is the probability that a perfectly balanced tree structure (all  $2^n$  external nodes on level  $n$ ) will be built, if all  $N!$  key insertion sequences are equally likely?



## Exercises 6.43

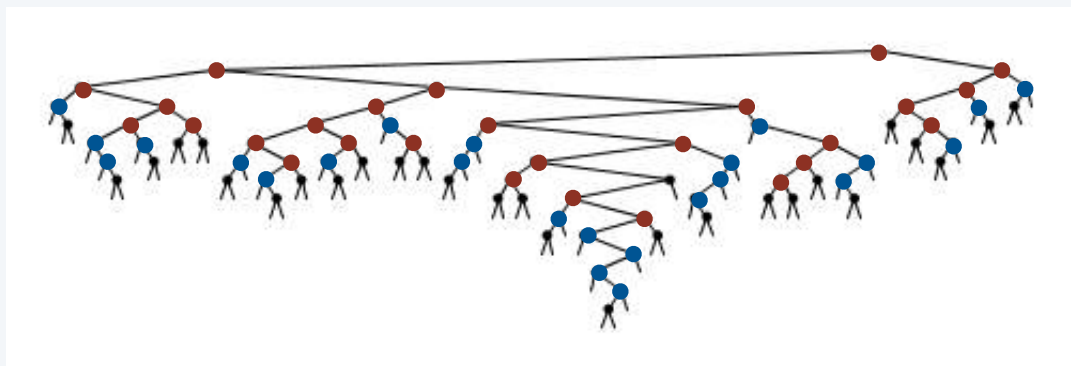
Parameters for BSTs built from a random permutation.



Answer these questions for BSTs built from a random permutation.

**Exercise 5.15** Find the average number of internal nodes in a binary tree of size  $n$  with both children internal. ●

**Exercise 5.16** Find the average number of internal nodes in a binary tree of size  $n$  with one child internal and one child external. ●



## Assignments for next lecture

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1. Read pages 257-344 in text.

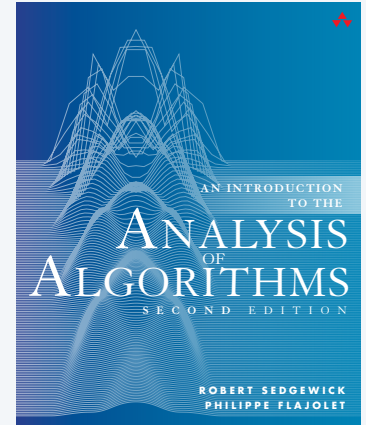


2. Run experiments to validate mathematical results.



**Experiment 1.** Generate 1000 random permutations for  $N = 100$ , 1000, and 10,000 and compare the average path length and height of the generated trees with the values predicted by analysis.

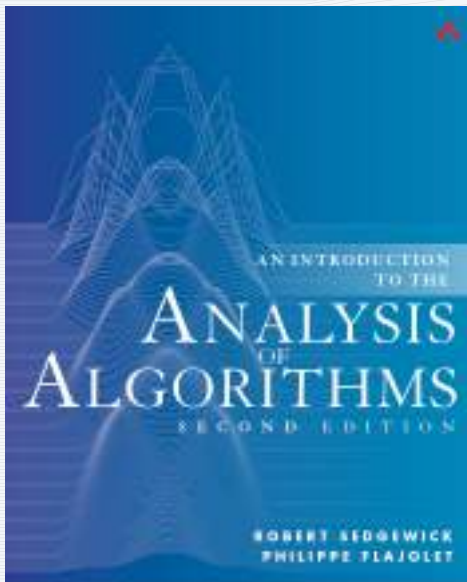
**Experiment 2.** *Extra credit.* Do the same for random binary trees.



3. Write up solutions to Exercises 6.6, 6.27, and 6.43.

# ANALYTIC COMBINATORICS

## PART ONE



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## 6. Trees