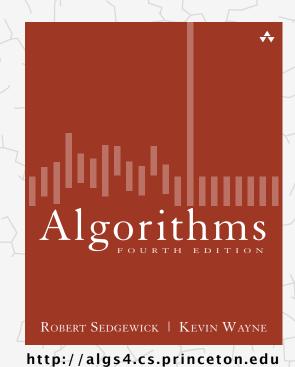
Algorithms



5.5 DATA COMPRESSION

- introduction
- run-length coding
- Huffman compression
- LZW compression

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Data compression

Compression reduces the size of a file:

- To save space when storing it.
- To save time when transmitting it.
- Most files have lots of redundancy.

Who needs compression?

- Moore's law: # transistors on a chip doubles every 18–24 months.
- Parkinson's law: data expands to fill space available.
- Text, images, sound, video, ...

"Everyday, we create 2.5 quintillion bytes of data—so much that 90% of the data in the world today has been created in the last two years alone."—IBM report on big data (2011)

Basic concepts ancient (1950s), best technology recently developed.

Applications

Generic file compression.

• Files: GZIP, BZIP, 7z.

• Archivers: PKZIP.

• File systems: NTFS, HFS+, ZFS.



Multimedia.

• Images: GIF, JPEG.

• Sound: MP3.

• Video: MPEG, Di∨X™, HDTV.







Communication.

• ITU-T T4 Group 3 Fax.

• V.42bis modem.

• Skype.





Databases. Google, Facebook,





Lossless compression and expansion

Message. Binary data B we want to compress.

Compress. Generates a "compressed" representation C(B).

Expand. Reconstructs original bitstream B.

uses fewer bits (you hope)



Basic model for data compression

Compression ratio. Bits in C(B) / bits in B.

Ex. 50–75% or better compression ratio for natural language.

Food for thought

Data compression has been omnipresent since antiquity:

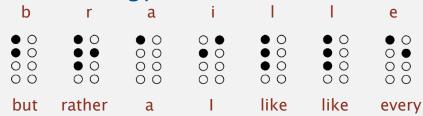
- Number systems.
- Natural languages.
- Mathematical notation.



$$\sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi^2}{6}$$

has played a central role in communications technology,

- Grade 2 Braille.
- Morse code.
- Telephone system.



- and is part of modern life.
 - MP3.
 - MPEG.



Q. What role will it play in the future?

Data representation: genomic code

Genome. String over the alphabet { A, C, T, G }.

Goal. Encode an N-character genome: ATAGATGCATAG...

Standard ASCII encoding.

- 8 bits per char.
- 8 N bits.

char	hex	binary
Α	41	01000001
С	43	01000011
Т	54	01010100
G	47	01000111

Two-bit encoding.

- 2 bits per char.
- 2 *N* bits.

char	binary
Α	00
С	01
Т	10
G	11

Fixed-length code. k-bit code supports alphabet of size 2^k . Amazing but true. Initial genomic databases in 1990s used ASCII.

Reading and writing binary data

Binary standard input and standard output. Libraries to read and write bits from standard input and to standard output.

```
public class BinaryStdIn

boolean readBoolean() read 1 bit of data and return as a boolean value

char readChar() read 8 bits of data and return as a char value

char readChar(int r) read r bits of data and return as a char value

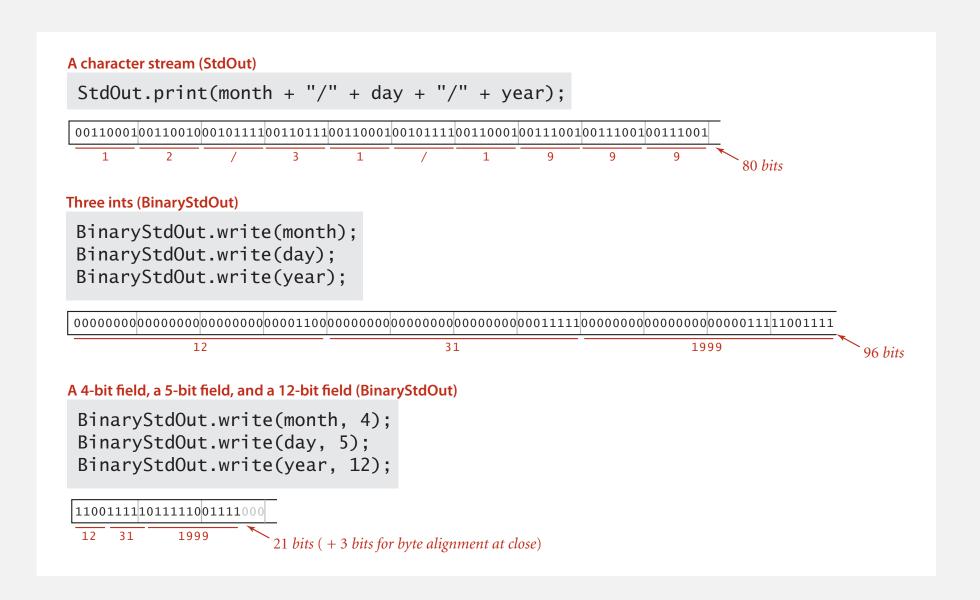
[similar methods for byte (8 bits); short (16 bits); int (32 bits); long and double (64 bits)]

boolean isEmpty() is the bitstream empty?

void close() close the bitstream
```

Writing binary data

Date representation. Three different ways to represent 12/31/1999.



Binary dumps

Q. How to examine the contents of a bitstream?

Bitstream represented with hex digits Standard character stream % more abra.txt % java HexDump 4 < abra.txt</pre> ABRACADABRA! 41 42 52 41 43 41 44 41 42 52 41 21 Bitstream represented as 0 and 1 characters 12 bytes % java BinaryDump 16 < abra.txt</pre> 0100000101000010 Bitstream represented as pixels in a Picture 0101001001000001 % java PictureDump 16 6 < abra.txt 0100001101000001 0100010001000001 16-by-6 pixel window, magnified 0100001001010010 0100000100100001 96 bits 96 bits

	0	1	2	3	4	5	6	7	8	9	Α	В	C	D	Ε	F
0	NUL	SOH	STX	ETX	EOT	ENQ	ACK	BEL	BS	НТ	LF	VT	FF	CR	S0	SI
1	DLE	DC1	DC2	DC3	DC4	NAK	SYN	ЕТВ	CAN	EM	SUB	ESC	FS	GS	RS	US
2	SP	!	"	#	\$	%	&	6	()	*	+	,	-		/
3	0	1	2	3	4	5	6	7	8	9	:	;	<	=	>	?
4	@	Α	В	С	D	Ε	F	G	Н	Ι	J	K	L	М	N	0
5	Р	Q	R	S	Т	U	٧	W	Χ	Υ	Z	[\]	٨	
6	`	a	b	С	d	e	f	g	h	i	j	k	1	m	n	0
7	р	q	r	S	t	u	V	W	х	у	z	{	Ī	}	~	DEL
	Hexadecimal to ASCII conversion table															

Universal data compression

US Patent 5,533,051 on "Methods for Data Compression", which is capable of compression all files.

Slashdot reports of the Zero Space Tuner™ and BinaryAccelerator™.

"ZeoSync has announced a breakthrough in data compression that allows for 100:1 lossless compression of random data. If this is true, our bandwidth problems just got a lot smaller...."

Universal data compression

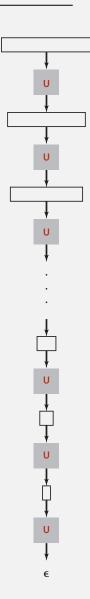
Proposition. No algorithm can compress every bitstring.

Pf 1. [by contradiction]

- Suppose you have a universal data compression algorithm ${\it U}$ that can compress every bitstream.
- Given bitstring B_0 , compress it to get smaller bitstring B_1 .
- Compress B_1 to get a smaller bitstring B_2 .
- Continue until reaching bitstring of size 0.
- Implication: all bitstrings can be compressed to 0 bits!

Pf 2. [by counting]

- Suppose your algorithm that can compress all 1,000-bit strings.
- 2¹⁰⁰⁰ possible bitstrings with 1,000 bits.
- Only $1 + 2 + 4 + ... + 2^{998} + 2^{999}$ can be encoded with ≤ 999 bits.
- Similarly, only 1 in 2^{499} bitstrings can be encoded with ≤ 500 bits!



Universal data compression?

Undecidability

```
% java RandomBits | java PictureDump 2000 500
```

A difficult file to compress: one million (pseudo-) random bits

```
public class RandomBits
{
    public static void main(String[] args)
    {
        int x = 11111;
        for (int i = 0; i < 1000000; i++)
        {
            x = x * 314159 + 218281;
            BinaryStdOut.write(x > 0);
        }
        BinaryStdOut.close();
    }
}
```

Rdenudcany in Enlgsih Inagugae

Q. How mcuh rdenudcany is in the Enlgsih Inagugae?

"... randomising letters in the middle of words [has] little or no effect on the ability of skilled readers to understand the text. This is easy to denmtrasote. In a pubiltacion of New Scnieitst you could ramdinose all the letetrs, keipeng the first two and last two the same, and reibadailty would hadrly be aftefeed. My ansaylis did not come to much beucase the thoery at the time was for shape and senquece retigcionon. Saberi's work sugsegts we may have some pofrweul palrlael prsooscers at work. The resaon for this is suerly that idnetiyfing coentnt by paarllel prseocsing speeds up regnicoiton. We only need the first and last two letetrs to spot chganes in meniang." — Graham Rawlinson

A. Quite a bit.

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Run-length encoding

Simple type of redundancy in a bitstream. Long runs of repeated bits.

40 bits

Representation. 4-bit counts to represent alternating runs of 0s and 1s: 15 0s, then 7 1s, then 7 0s, then 11 1s.

$$\frac{1111}{15} \frac{0111}{7} \frac{0111}{7} \frac{1011}{11}$$
 16 bits (instead of 40)

- Q. How many bits to store the counts?
- A. We'll use 8 (but 4 in the example above).
- Q. What to do when run length exceeds max count?
- A. If longer than 255, intersperse runs of length 0.

Applications. JPEG, ITU-T T4 Group 3 Fax, ...

Run-length encoding: Java implementation

```
public class RunLength
   private final static int R = 256;
                                                           maximum run-length count
   private final static int lgR = 8;
                                                           number of bits per count
   public static void compress()
   { /* see textbook */ }
   public static void expand()
      boolean bit = false;
      while (!BinaryStdIn.isEmpty())
      {
         int run = BinaryStdIn.readInt(lgR);
                                                      read 8-bit count from standard input
         for (int i = 0; i < run; i++)
             BinaryStdOut.write(bit);
                                                          write 1 bit to standard output
         bit = !bit;
      BinaryStdOut.close();
                                                           pad 0s for byte alignment
```

An application: compress a bitmap

Typical black-and-white-scanned image.

- 300 pixels/inch.
- 8.5-by-11 inches.
- $300 \times 8.5 \times 300 \times 11 = 8.415$ million bits.

Observation. Bits are mostly white.

Typical amount of text on a page.

40 lines \times 75 chars per line = 3,000 chars.

```
7 1s
% java BinaryDump 32 < q32x48.bin
32
                                  32
15 7 10
00000000000000011111110000000000
00000000000111111111111111100000
000000000111100001111111111100000
000000011110000000011111100000
0000000111000000000001111100000
00000011110000000000001111100000
00000111100000000000001111100000
0000111100000000000001111100000
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0000001111111110000000111111100000
0000000111111111111111111111100000
                                    11
                                         5 5
00000000111111111111001111100000
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00000000000000000000001111100000
00000000000000000000001111100000
000000000000000000000001111100000
00000000000000000000011111110000
                                  18 12 2
0000000000000000000111111111111100
                                  17 14 1
0000000000000000111111111111111
32
1536 bits
                           17 0s
```

A typical bitmap, with run lengths for each row

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David Huffman

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Variable-length codes

Use different number of bits to encode different chars.

Ex. Morse code: • • • Letters Numbers Issue. Ambiguity. SOS ? V7 ? IAMIE ? EEWNI ? In practice. Use a medium gap to separate codewords. codeword for S is a prefix of codeword for V

Variable-length codes

- Q. How do we avoid ambiguity?
- A. Ensure that no codeword is a prefix of another.
- Ex 1. Fixed-length code.
- Ex 2. Append special stop char to each codeword.
- Ex 3. General prefix-free code.

```
Codeword table

key value
! 101
A 0
B 1111
C 110
D 100
R 1110

Compressed bitstring
0111111100110010001111111100101 ← 30 bits
A B RA CA DA B RA !
```

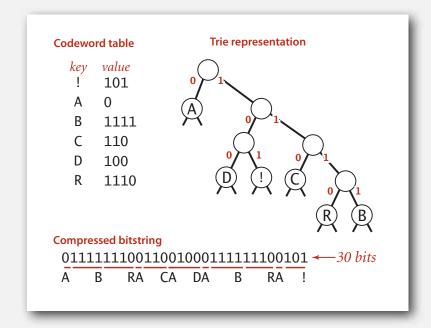
```
Codeword table

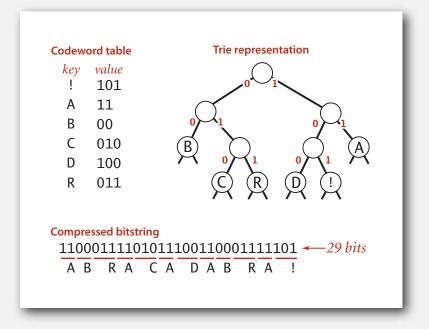
key value
! 101
A 11
B 00
C 010
D 100
R 011

Compressed bitstring
1100011110111100111001111101 ← 29 bits
A B R A C A D A B R A !
```

Prefix-free codes: trie representation

- Q. How to represent the prefix-free code?
- A. A binary trie!
 - · Chars in leaves.
 - Codeword is path from root to leaf.





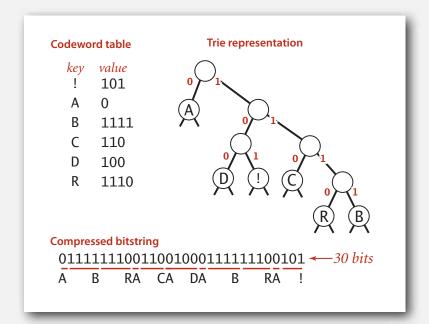
Prefix-free codes: compression and expansion

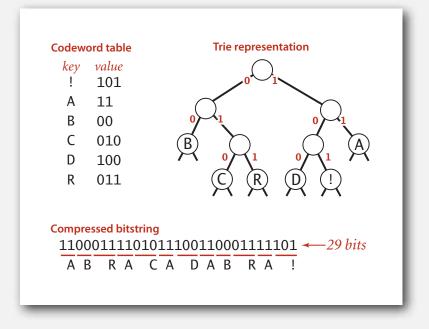
Compression.

- Method 1: start at leaf; follow path up to the root; print bits in reverse.
- Method 2: create ST of key-value pairs.

Expansion.

- Start at root.
- Go left if bit is 0; go right if 1.
- If leaf node, print char and return to root.





Huffman trie node data type

```
private static class Node implements Comparable<Node>
   private final char ch; // used only for leaf nodes
   private final int freq; // used only for compress
   private final Node left, right;
   public Node(char ch, int freq, Node left, Node right)
      this.ch = ch;
                                                                  initializing constructor
      this.freq = freq;
      this.left = left;
      this.right = right;
                                                                 is Node a leaf?
   public boolean isLeaf()
   { return left == null && right == null; }
                                                                  compare Nodes by frequency
   public int compareTo(Node that)
                                                                  (stay tuned)
   { return this.freq - that.freq; }
```

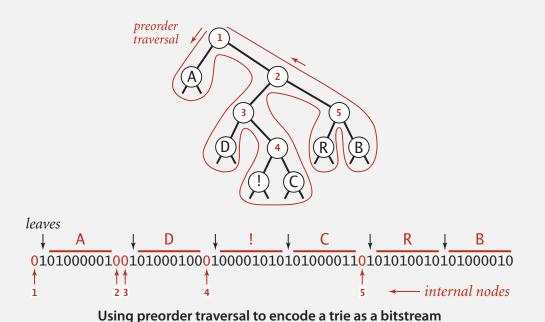
Prefix-free codes: expansion

```
public void expand()
                                                     read in encoding trie
   Node root = readTrie();
                                                     read in number of chars
   int N = BinaryStdIn.readInt();
   for (int i = 0; i < N; i++)
      Node x = root;
                                                     expand codeword for ith char
      while (!x.isLeaf())
         if (!BinaryStdIn.readBoolean())
            x = x.left;
         else
            x = x.right;
      BinaryStdOut.write(x.ch, 8);
   BinaryStdOut.close();
```

Running time. Linear in input size *N*.

Prefix-free codes: how to transmit

- Q. How to write the trie?
- A. Write preorder traversal of trie; mark leaf and internal nodes with a bit.

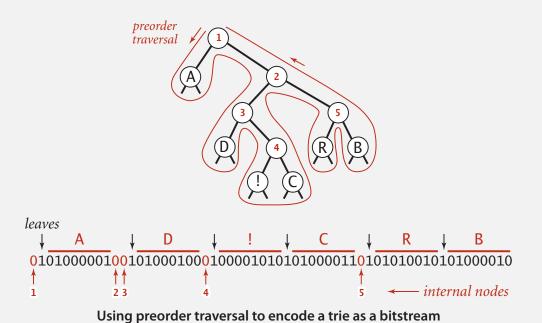


```
private static void writeTrie(Node x)
{
   if (x.isLeaf())
   {
     BinaryStdOut.write(true);
     BinaryStdOut.write(x.ch, 8);
     return;
   }
   BinaryStdOut.write(false);
   writeTrie(x.left);
   writeTrie(x.right);
}
```

Note. If message is long, overhead of transmitting trie is small.

Prefix-free codes: how to transmit

- Q. How to read in the trie?
- A. Reconstruct from preorder traversal of trie.



```
private static Node readTrie()
{
    if (BinaryStdIn.readBoolean())
    {
        char c = BinaryStdIn.readChar(8);
        return new Node(c, 0, null, null);
    }
    Node x = readTrie();
    Node y = readTrie();
    return new Node('\0', 0, x, y);
}

    used only for leaf nodes
```

Shannon-Fano codes

Q. How to find best prefix-free code?

Shannon-Fano algorithm:

- Partition symbols S into two subsets S_0 and S_1 of (roughly) equal freq.
- Codewords for symbols in S_0 start with 0; for symbols in S_1 start with 1.
- Recur in S_0 and S_1 .

char	freq	encoding
A	5	0
С	1	0

 $S_0 = codewords starting with 0$

char	freq	encoding
В	2	1
D	1	1
R	2	1
!	1	1

 S_1 = codewords starting with 1

Problem 1. How to divide up symbols?

Problem 2. Not optimal!

Huffman algorithm demo

• Count frequency for each character in input.

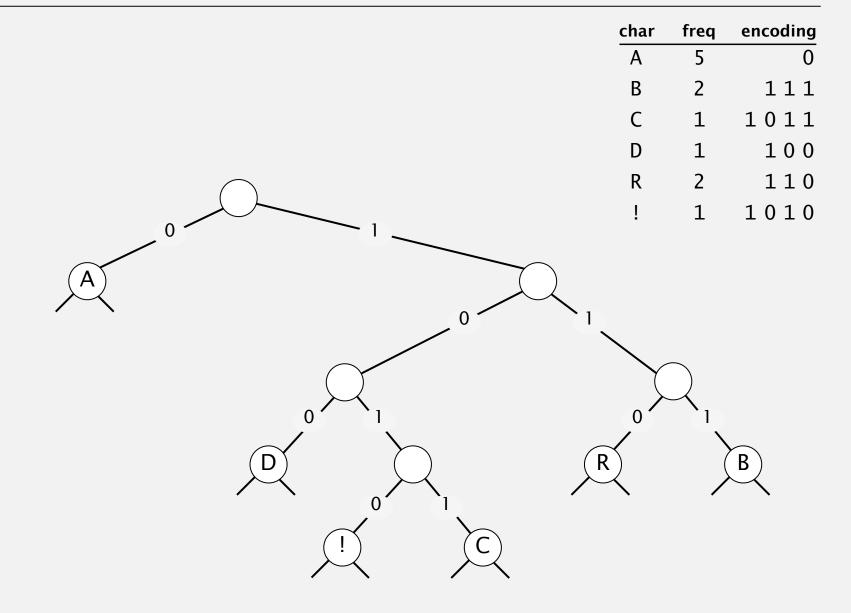
char	freq	encoding
Α	5	
В	2	
C	1	
D	1	
R	2	
!	1	



input

ABRACADABRA!

Huffman algorithm demo



Huffman codes

Q. How to find best prefix-free code?

Huffman algorithm:

- Count frequency freq[i] for each char i in input.
- Start with one node corresponding to each char i (with weight freq[i]).
- Repeat until single trie formed:
 - select two tries with min weight freq[i] and freq[j]
 - merge into single trie with weight freq[i] + freq[j]

Applications:











Constructing a Huffman encoding trie: Java implementation

```
private static Node buildTrie(int[] freq)
    MinPQ<Node> pq = new MinPQ<Node>();
    for (char i = 0; i < R; i++)
                                                                          initialize PQ with
       if (freq[i] > 0)
                                                                          singleton tries
           pq.insert(new Node(i, freq[i], null, null));
    while (pq.size() > 1)
                                                                          merge two
                                                                          smallest tries
       Node x = pq.delMin();
       Node y = pq.delMin();
       Node parent = new Node('\0', x.freq + y.freq, x, y);
       pq.insert(parent);
                               not used for
                                          total frequency
                                                        two subtries
    return pq.delMin();
                              internal nodes
}
```

Huffman encoding summary

Proposition. [Huffman 1950s] Huffman algorithm produces an optimal prefix-free code.

Pf. See textbook.

no prefix-free code uses fewer bits

Implementation.

- Pass 1: tabulate char frequencies and build trie.
- Pass 2: encode file by traversing trie or lookup table.

Q. Can we do better? [stay tuned]

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Abraham Lempel

Jacob Ziv

Statistical methods

Static model. Same model for all texts.

- Fast.
- Not optimal: different texts have different statistical properties.
- Ex: ASCII, Morse code.

Dynamic model. Generate model based on text.

- Preliminary pass needed to generate model.
- Must transmit the model.
- Ex: Huffman code.

Adaptive model. Progressively learn and update model as you read text.

- · More accurate modeling produces better compression.
- Decoding must start from beginning.
- Ex: LZW.

LZW compression example

 input
 A
 B
 R
 A
 C
 A
 D
 A
 B
 R
 A
 B
 R
 A
 B
 R
 A
 B
 R
 A
 B
 R
 A
 B
 R
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LZW compression for A B R A C A D A B R A B R A B R A

key	value	
:	÷	
Α	41	
В	42	
С	43	
D	44	
:	÷	

key	value	
AB	81	
BR	82	
RA	83	
AC	84	
CA	85	
AD	86	

key	value
DA	87
ABR	88
RAB	89
BRA	8A
ABRA	8B

codeword table

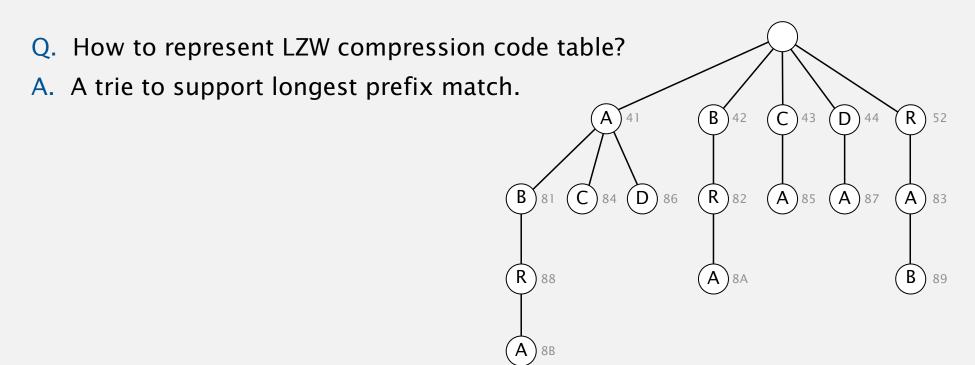
Lempel-Ziv-Welch compression

LZW compression.

- Create ST associating W-bit codewords with string keys.
- Initialize ST with codewords for single-char keys.
- Find longest string s in ST that is a prefix of unscanned part of input.
- Write the *W*-bit codeword associated with *s*.

longest prefix match

• Add s + c to ST, where c is next char in the input.



LZW compression: Java implementation

```
public static void compress()
   String input = BinaryStdIn.readString();
                                                                     read in input as a string
   TST<Integer> st = new TST<Integer>();
                                                                      codewords for single-
   for (int i = 0; i < R; i++)
                                                                      char, radix R keys
        st.put("" + (char) i, i);
   int code = R+1:
   while (input.length() > 0)
                                                                      find longest prefix match s
      String s = st.longestPrefixOf(input);
                                                                      write W-bit codeword for s
       BinaryStdOut.write(st.get(s), W);
      int t = s.length();
      if (t < input.length() && code < L)</pre>
                                                                     add new codeword
           st.put(input.substring(0, t+1), code++);
       input = input.substring(t);
                                                                      scan past s in input
                                                                      write "stop" codeword
   BinaryStdOut.write(R, W);
                                                                      and close input stream
   BinaryStdOut.close();
```

LZW expansion example

 value
 41
 42
 52
 41
 43
 41
 44
 81
 83
 82
 88
 41
 80

 output
 A
 B
 R
 A
 C
 A
 D
 A
 B
 R
 A
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LZW expansion for 41 42 52 41 43 41 44 81 83 82 88 41 80

key	value	
÷	÷	
41	Α	
42	В	
43	С	
44	D	
÷	÷	

key	value
81	AB
82	BR
83	RA
84	AC
85	CA
86	AD

key	value
87	DA
88	ABR
89	RAB
8A	BRA
8B	ABRA

codeword table

LZW expansion

LZW expansion.

- Create ST associating string values with W-bit keys.
- Initialize ST to contain single-char values.
- Read a W-bit key.
- Find associated string value in ST and write it out.
- Update ST.
- Q. How to represent LZW expansion code table?
- A. An array of size 2^{W} .

:	÷
65	Α
66	В
67	С
68	D
:	÷
129	AB
130	BR
131	RA
132	AC
133	CA
134	AD
135	DA
136	ABR
137	RAB
138	BRA
139	ABRA
÷	÷

value

LZW example: tricky case

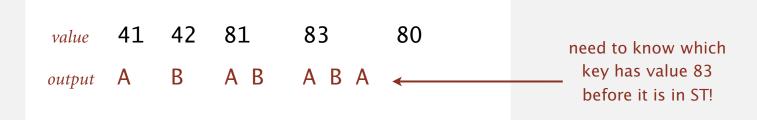
LZW compression for ABABABA

key	value	
:	:	
А	41	
В	42	
С	43	
D	44	
÷	i	

key	value	
AB	81	
BA	82	
ABA	83	

codeword table

LZW example: tricky case



LZW expansion for 41 42 81 83 80

key	value	key	value
÷	:	81	AB
41	Α	82	ВА
42	В	83	ABA
43	С		
44	D		
÷	÷		

codeword table

LZW implementation details

How big to make ST?

- How long is message?
- Whole message similar model?
- [many other variations]

What to do when ST fills up?

- Throw away and start over. [GIF]
- Throw away when not effective. [Unix compress]
- [many other variations]

Why not put longer substrings in ST?

• [many variations have been developed]

LZW in the real world

Lempel-Ziv and friends.

- LZ77.
- LZ78.
- LZW.

Deflate / zlib = LZ77 variant + Huffman.

Welch [54] HIGH SPEED DATA COMPRESSION AND DECOMPRESSION APPARATUS AND

United States Patent [19]

METHOD [75] Inventor: Terry A. Welch, Concord, Mass.

[73] Assignee: Sperry Corporation, New York, N.Y.

[21] Appl. No.: 505,638

[22] Filed: Jun. 20, 1993

Int. Cl.4 G06F 5/00 U.S. Cl. 340/347 DD; 235/310

Field of Search 340/347 DD; 235/310, 235/311; 364/200, 900

U.S. PATENT DOCUMENTS

References Cited

OTHER PUBLICATIONS

Ziv, "IEEE Transactions on Information Theory", IT-24-5, Sep. 1977, pp. 530-537. Ziv, "IEEE Transactions on Information Theory", IT-23-3, May 1977, pp. 337-343.

Primary Examiner-Charles D. Miller Attorney, Agent, or Firm-Howard P. Terry; Albert B. Cooper

[57] ABSTRACT

A data compressor compresses an input stream of data character signals by storing in a string table strings of data character signals encountered in the input stream. The compressor searches the input stream to determine [11] Patent Number:

4,558,302

[45] Date of Patent:

Dec. 10, 1985

LZ77 not patented ⇒ widely used in open source

LZW patent #4,558,302 expired in U.S. on June 20, 2003

the longest match to a stored string. Each stored string comprises a prefix string and an extension character where the extension character is the last character in the string and the prefix string comprises all but the extension character. Each string has a code signal associated therewith and a string is stored in the string table by, at least implicitly, storing the code signal for the string, the code signal for the string prefix and the extension character. When the longest match between the input data character stream and the stored strings is determined, the code signal for the longest match is transmitted as the compressed code signal for the encountered string of characters and an extension string is stored in the string table. The prefix of the extended string is the longest match and the extension character of the extended string is the next input data character signal following the longest match. Searching through the string table and entering extended strings therein is effected by a limited search hashing procedure. Decompression is effected by a decompressor that receives the compressed code signals and generates a string table similar to that constructed by the compressor to effect lookup of received code signals so as to recover the data character signals comprising a stored string. The decompressor string table is updated by storing a string having a profix in accordance with a prior received code signal and an extension character in accordance with the first character of the currently recovered string.

181 Claims, 9 Drawing Figures



LZW in the real world

Lempel-Ziv and friends.

- LZ77.
- LZ78.
- LZW.
- Deflate / zlib = LZ77 variant + Huffman.



Unix compress, GIF, TIFF, V.42bis modem: LZW. zip, 7zip, gzip, jar, png, pdf: deflate / zlib. iPhone, Sony Playstation 3, Apache HTTP server: deflate / zlib.







Lossless data compression benchmarks

year	scheme	bits / char
1967	ASCII	7.00
1950	Huffman	4.70
1977	LZ77	3.94
1984	LZMW	3.32
1987	LZH	3.30
1987	move-to-front	3.24
1987	LZB	3.18
1987	gzip	2.71
1988	PPMC	2.48
1994	SAKDC	2.47
1994	PPM	2.34
1995	Burrows-Wheeler	2.29
1997	BOA	1.99
1999	RK	1.89

next programming assignment

data compression using Calgary corpus

Data compression summary

Lossless compression.

- Represent fixed-length symbols with variable-length codes. [Huffman]
- Represent variable-length symbols with fixed-length codes. [LZW]

Lossy compression. [not covered in this course]

- JPEG, MPEG, MP3, ...
- FFT, wavelets, fractals, ...

Theoretical limits on compression. Shannon entropy: $H(X) = -\sum_{i}^{n} p(x_i) \lg p(x_i)$

Practical compression. Use extra knowledge whenever possible.

5.5 DATA COMPRESSION

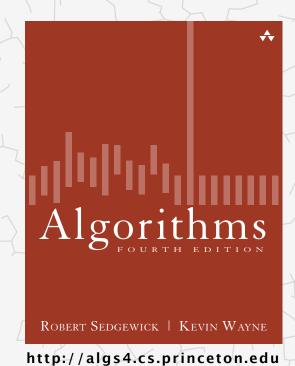
- introduction
- run-length coding
- Huffman compression
- ▶ LZW compression

Algorithms

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http://algs4.cs.princeton.edu

Algorithms



5.5 DATA COMPRESSION

- introduction
- run-length coding
- Huffman compression
- LZW compression