

Graph Theoretical Algorithms For Structural Comparison Of Java Source And Byte Code

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Abstract

TODO:

This should be a 1-page (maximum) summary of your work. What environment for development has been used, experimental results An abstract is a summary in your own words of the Thesis It is not evaluative and must not include your personal opinions. The purpose of an abstract is to give a reader sufficient information for him or her to decide whether it would be worthwhile reading the entire article or book. An abstract should aim at giving as much information as possible in as few words as possible.

Goal of this work is to search out the most optimal ways to compare different pieces of code. So far there are two techniques for code comparison: a normal text comparison and visual compare. Normal text-compare can be not sufficient to analyse two pieces of code, or to find a similarity between them. For that reason a structural/graph compare opens a doors to discover more possibilities of comparison.

Acknowledgments

I would like to take this time to thank Frankfurt University of Applied Sciences for all of the resources which they provided me in order to pursuing my master study in computer science and make this thesis possible.

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Abbreviations

TDMC	Top Down Max Common
BUMC	Bottom Up Max Common
BCV	Byte Code Visualizer
SCV	Source Code Visualizer
CFGF	Control Flow Graph Factory
TC	Text Compare
MBM	Maximum Bipartite Matching

Chapter. 1

Introduction

Modern methods to compare of programming pieces of code are used to analyze code's changing, to explore development process and so on. Basically in current tools or plug-ins only text compare methods are used, that is not full sufficient to define code compare. Sometimes another techniques can be very helpful for such purposes. One of them is a structural code compare, based on building a trees, and methods to compare any similar or same structures.

TODO START: You can't write a good introduction until you know what the body of the paper says. Consider writing the introductory section(s) after you have completed the rest of the paper, rather than before. Be sure to include a hook at the beginning of the introduction. This is a statement of something sufficiently interesting to motivate your reader to read the rest of the paper, it is an important/interesting scientific problem that your paper either solves or addresses. You should draw the reader in and make them want to read the rest of the paper.

REDO: Code duplication or copying a code fragment and then reuse by pasting with or without any modifications is a well known code smell in software maintenance. Several studies show that about 5 to 20 percent of a software systems can contain duplicated code, which is basically the results of copying existing code fragments and using then by pasting with or without minor modifications. One of the major shortcomings of such duplicated fragments is that if a bug is detected in a code fragment, all the other fragments similar to it should be investigated to check the possible existence of the same bug in the similar fragments. Refactoring of the duplicated code is another prime issue in software maintenance although several studies claim that refactoring of certain clones are not desirable and there is a risk of removing them. However, it is also widely agreed that clones should at

least be detected.

Code duplicates represent a common problem for all the software systems and especially for the large ones, in the same time being a sign of bad design [1]. The analysis of the software systems has proven that a considerable amount of the source code is duplicated. According to [2], 5-10 pre of the source code consists of duplicated code and according to [3] this percentage rises to 13-18 pre. The main reason for which code clones appear is the way the programmers understand to reuse code. They tend to directly copy fragments of code that implement something similar to their needs and by performing small changes they adapt it to the new context and that piece of code is reused. Another reason for which programmers duplicate code is presented in [4]: it is easier and faster to copy a code fragment (which may be already tested) than writing the code from scratch. As presented in [5], the occurrence of software clones has many different causes: reusing code by copying existing idioms, coding styles, instantiations of definitional computations, failure to identify or use abstractions, performance enhancement, accidents.

REDO

Tips: A statement of the goal of the paper: why the study was undertaken, or why the paper was written. Do not repeat the abstract.

TODO: Similarity to FreeBSD, Linux, and NetBSD Systems + Grafik

Chapter. 2

Description of problem

In this chapter an issue of the master work is being explained. As usual a compare of two code fragments consider comparison of classes, functions or methods. Thereby a compare can be counted as examinations of two pieces of code, in the best case a methods or functions. They can have a similar implementation or alike syntax, however these two pieces of code are different.

There are many purposes to compare a code, to find out a similarity or determine a difference between them. One of the option is to search for plagiarism in case a code can be taken from external source and a variables have been changed. In addition to general search can be improved to look out a similar code in big projects.

Structural compare stands for comparing two graphs. There are many possibilities how to create a graph from java source. In order to find some structural similarities this two created graphs must be compared. For this purpose there are existing algorithms to figure out graph isomorphism. For example the maximum common sub-tree isomorphism algorithms. The purpose of them to seek out the largest common sub-tree between two trees. These algorithms are used not only to investigate code difference but also they are a fundamental problem with multiplicity of applications in nature sciences and engineering. But unfortunately it is possible only for trees but not for graphs. If two graphs are being isomorphic compared with each other, then it is an NP - complete problem and takes much times and efforts to be done.

In the complex theory the worst case running time of all known algorithms is of exponential order, and just for certain special types of graphs, polynomial-time algorithms have been devised[7].Maximum common sub-graph isomorphism is an optimization problem that is known to be NP-hard. The formal description of

the problem is as follows: There are two input graphs, respectively the maximum common sub-graph isomorphism MCSGI(G_1, G_2):

- Input: Two graphs G_1 and G_2 .
- Question: What is the largest sub-graph of G_1 isomorphic to a sub-graph of G_2 can be found?

The associated decision problem, i.e., given G_1, G_2 and an integer k , deciding whether G_1 contains a sub-graph of at least k edges isomorphic to a sub-graph of G_2 is NP-complete[7]. This type of graph comparison is very expensive from a computational point of view and thus, and some action must be taken into account to reduce the domain of comparison before performing the actual comparison. Therefore this problem must to be reduced. Luckily, a tree comparison is able to executed in polynomial time and moreover there are some existing algorithms to compare trees.

Thus there are no deterministic algorithms to compare them because of loops in graphs. In this case the input code can be transformed into graph firstly, after the graph creation, it must be converted into tree, using simple techniques removing back edges. The back edges in the input graph are edges, which point from a node to one of its ancestors. Under those circumstances the following techniques are searched and deployed in the paper. After all a few questions can be asked:

1. How to transform code into tree by optimal way?
2. How to compare these trees to get reasonable results?
3. How to reference code pieces and nodes, respectively how to put the code difference by the most elegant way?

Regards to the first question, the concept of idea is described in chapter **Graphs Transformation** 5. The second question comprehends existing algorithm and their combination and improvements in chapter **Existing algorithms** 3. The very last issue is about how to lead back the result of the code and is stated in chapter.

Possible result of this thesis is development of concept to find out code difference using graph theory, in the best case a tool in Project Dr. Garbage [1]. Can be implemented that highlights similarity/difference of input code snippet and represented respective graph.

To get started with a small example demonstrating, what kind of result gives text compare (text-to-text compare):

```
public void method1(){  
    if(i > 1) i++;  
}
```

```

}

public void method2(){
    if(i > 1)
        i++;
}

```

In this example, two pieces of code there one enter symbol after line (i > 1). The result therefore the codes look different. Using simple text compare approach, only these gap will be found, however this difference does not play any role regards business-logic. In Abstract Syntax View, these two graphs will be same, and no discrepancy will have been discovered.

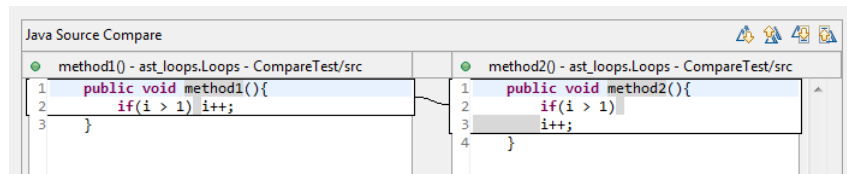


Figure 2.1: The simplest example of text-to-text comparison indicating that text compare sometimes is not enough to investigate code difference, however there are no changes regards the application logic

Since this article includes comparison not only of source code, but also Java byte code, where there is no syntax. Basically to have a look at byte code example, there are no bounds to hold a functions or methods. Based on this, control flow graph can be derived from byte code, that represents a graph, but not a spanning tree. Every node has a reference to byte code address.

To investigate code comparison, two algorithms of structural compare are required, in fact top-down maximum common sub-tree and bottom-up maximum common sub-tree algorithms. To make a contribution into development of structured code compare, the following tasks should be explored:

1. The existing algorithms must be investigated (The text-compare method is not sufficient to find a similarity in code)
2. The algorithms for the structured compare (Abstract syntax trees, Control flow graphs) must be explored
3. New methods and algorithms find a place to tried out. A prototypes of combination text-compare and structure-compare can be implemented.
4. Experimental results of compare must be derived.

Chapter. 3

Tree isomorphism algorithms

This chapter is concerned with the issue of an important generalization of tree isomorphism, mostly known as Maximum Common Sub-tree isomorphism. The goal of these algorithms is finding a largest common sub-tree between two trees. It plays a major role either in scientific fields or in fundamental problems. The trees can be searched for most common sub-tree from top to down, correspondingly from the head of tree till leaves, or from bottom to up, that means the search for largest sub-tree starts from leaves upwards. The algorithms are provided by Gabriel Valiente [3]. In his book *Algorithms on Trees and Graphs* he presented detailed information about Top Down Max Common Sub-tree and Bottom Up Max Common Sub-tree isomorphism. The algorithms have been implemented in dr. Garbage tools for plugin eclipse integrated development environment.

Further research in this area may include not only the implementation of algorithms but also their application field. On this grounds, that the algorithms can be very helpful for tree similarity investigation. The current chapter includes an explanation of how these two algorithms have implemented in the project, about auxiliary algorithms and how they can be helpful for code comparison.

3.1 Top-down maximum common sub-tree isomorphism algorithm

There are two types of top-down maximum common sub-tree isomorphism algorithms. One of them finds the largest common ordered sub-tree T between T_1 and T_2 such can found in both trees, by that when the sequence of edges from parent node does make a sense. On the contrary, the second type is the same top-down algorithm that takes into account the order of edges of parent node, during the

algorithm execution.

A top-down common sub-tree of two unordered trees T_1 and T_2 is an unordered tree T such that there are top-down unordered sub-tree isomorphisms of T into T_1 and into T_2 . A maximal top down common sub-tree of two unordered trees T_1 and T_2 is a top-down common sub-tree of T_1 and T_2 which is not a proper sub-tree of any other top-down common sub-tree of T_1 and T_2 . A top-down of two unordered trees T_1 and T_2 is a top-down common sub-tree of T_1 and T_2 with the largest number of nodes [3].

Definition 3.1. A **top-down common sub-tree** of an unordered tree $T_1 = (V_1, E_1)$ to another unordered tree $T_2 = (V_2, E_2)$ is a structure (X_1, X_2, M) , where $X_1 = (W_1, S_1)$ is a top down unordered subtree of T_2 and $M \subseteq W_1 \times W_2$ is an ordered tree isomorphism of X_1 to X_2 . A top-down common sub-tree (X_1, X_1, M) of T_1 to T_2 is **maximal** if there is no top-down common sub-tree of (X'_1, X'_2, M') of T_1 to T_2 such that X_1 is a proper top-down common sub-tree of X'_1 and X'_2 is a proper top-down sub-tree of X'_2 , and it is **maximum** if there is no top-down common sub-tree (X'_1, X'_2, M') of T_1 to T_2 with the $\text{size}[X_1] < \text{size}[X'_1]/3$.

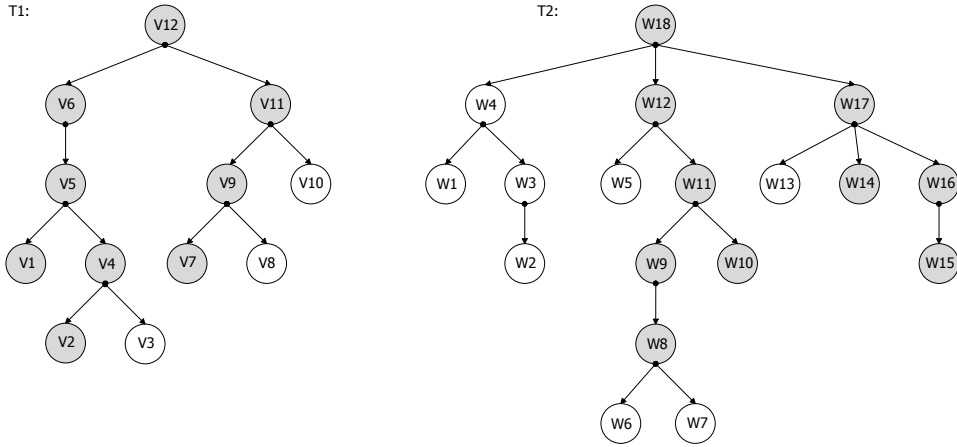


Figure 3.1: Top-down maximum common ordered sub-tree of two unordered trees T_1 and T_2 . Nodes are numbered according to the order in which they are visiting during a post order traversal. The gray highlighted nodes are shaped maximum common sub-tree starting from the root [3].

The figure 3.1 demonstrates a partial injection $M \subseteq W_1 \times W_2$ among trees $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ where $M = \{(v1, w10), (v2, w8), (v4, w9), (v5, w11), (v6, w12), (v7, w15), (v9, w16), (v10, w14), (v11, w17), (v12, w18)\}$ is unordered top-down maximum common sub-tree isomorphism T_1 and T_2 [3].

Important to realize that the injection $M \subseteq W_1 \times W_2$ can contain different pairs of nodes, as a result there are is only one unique maximum common sub-tree available. Nevertheless the number of pairs of nodes is constant and always maximal.

If nodes v is leaf T_1 and w is leaf of T_2 accordingly mapped to each other,

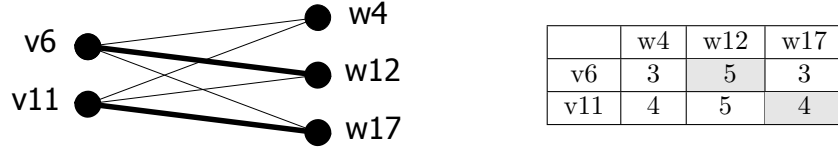


Figure 3.2: The solution MBM of bipartite graph brings $5 + 4 = 9$ weight from previous solutions

then the maximum common sub-tree gains size 1. Let suppose that p is number of children of T_1 and q is number of children of T_2 respectively. Consequently v_1, \dots, v_p and w_1, \dots, w_q are children of v and $w[3]$. Solving the algorithm needs to build a bipartite graph $G = (\{v_1, \dots, v_p, w_1, \dots, w_q\}, E)$ on $p + q$ vertices, with edge $v_i, w_i \in E$ if and only if the size of maximum common sub-tree of the sub-tree T_1 rooted at node v_i and the sub-tree of T_2 rooted at node w_i is non zero[3]. The bipartite graphs are built recursively the algorithm in one of trees T_1 or T_2 a leaf reaches. Let the leaf node of v_i of tree T_1 is found, then a bipartite graph can be formed with node w_i from T_2 at the same hierarchy level. Using the algorithm of *Maximum Bipartite Matching* the corresponding parent nodes w_{i+1} and v_{i+1} gains weight one plus *Maximum Bipartite Matching* (in short, MBM) of current bipartite graph.



Figure 3.3: The v_1 and v_4 are leaf nodes of the left branch of T_1 , and leaf node w_2 of T_2 . The edge (v_1, v_4) is selected with *MBM* algorithm.

As an example can be taken from figure 3.2. As said, the nodes are being traversed until leaf node in the trees T_1 or T_2 is found. Let consider the left branch of T_1 , namely leaves v_1 and v_4 and respectively the left branch of T_2 , namely leaf w_2 . A created bipartite graph is depicted at figure 3.3. The corresponding table shows weights of edges. Since these two are leaves they equal one. With algorithms *MBM* the edge v_1 and w_2 is being selected. Once the selection is done, the algorithm take their parent nodes, accordingly v_5 , w_3 and v_3 . The appropriate bipartite graph is constructed. The edges v_5 and w_3 have weight equal to one initially in table 3.5, but since from previous solution 3.3 the node v_1 has a parent v_5 , the edge (v_5, w_3) gets weight equal to two. Likewise the edge (v_6, w_4) in table

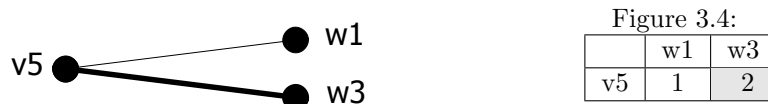


Figure 3.5: The edge (v_5, w_3) gains weight equal to two from previous solution 3.3, due to the parent node

3.2 has a value three based on previous result.

By the same way all entries in table 3.2 have been built. Passing through the tree T_1 at another left branch until leaves v_2 and w_3 the similar bipartite graph can be formed 3.6. As said before, the current edges get same weight equal to one. The edge (v_2, w_8) is selected regards the *MBM* algorithm. Under those circumstances the next bipartite graph can be built 3.7. Based on previous solution the edge (v_4, w_9) obtains weight equal to two.

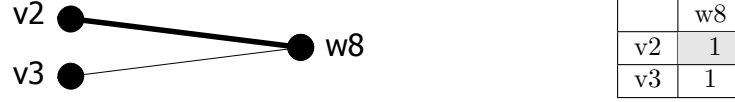


Figure 3.6: Starting from leaves select of both trees select edges with maximum weight. According to the algorithm the connection (v_2, w_8) has been selected.

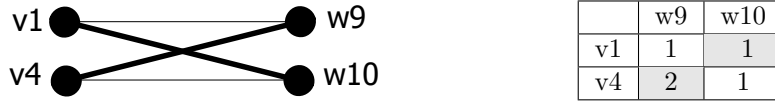


Figure 3.7: Since previous decision was (v_2, w_8) , and they respectively are parents of (v_4, w_9) its weight of edge gains one plus the decision equal to one.

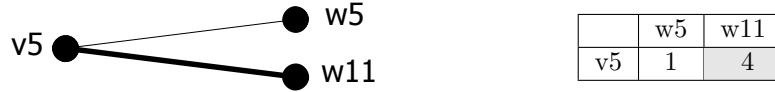


Figure 3.8: The the sum maximum matched edges from 3.7 equal to 3, in the same manner the edge (v_5, w_{11}) gains $3 + 1 = 4$ weight

Having a look at table in 3.2 in cell (v_6, w_{12}) where the value is 5. This has been formed due to the solution from table 3.8, because nodes (v_6, w_{12}) are direct parents of (v_5, w_{11}) . likewise all other leaves in both trees are investigated and on-fly the tables with weight are filled out. The table in 3.2 is completed by the same way as described above. At the end the maximum bipartite matching algorithm selects the edges (v_6, w_{12}) and (v_{11}, w_{17}) because they bring the maximum weight of the bipartite graph. In this case two branches in 3.1 are picked and the corresponding nodes are grey highlighted forming the maximum common sub-tree from the bottom. Pseudo code is represented below:

```
list<node, node> topDownMaxCommonSubtreeIsomorphism(const TREE T1, const TREE T2){
node r1 = root(T1);
node r2 = root(T2);
traverse(node r1, node r2);
M = list<node, node>
reconstruct(r1, M);
}
```

This method goes recursively simultaneously through T1 and T2 till leaves of them are founded.

```

void traverse(node v, node w){
int p = v.getNumberOfChildren();
int q = w.getNumberOfChildren();
if(p == 0 or q == 0) return 1;

Array matrix = new Array[p][q];

for(i = 0; i < p; i++){
    for(j = 0; j < q; j++){
        node vChild = v.getChild();
        node wChild = w.getChild();
        matrix[i][j] = <vChild, wChild, -1>
    }
}

int res = 1;
if(p != 0 and q != 0){
    bipartiteGraph bg = createBipartiteGraphFromMatrixEntry(matrix);
    if (bg.numberOfEdges == 0) return 0;

    list<edge> L = MaxWeightedBipartiteMatching(bg);
    forall(e, L){
        result += e.getCounter();
    }
    matching(list<edge> L);
    return res;
}
}

```

This method reconstructs the top-down max common unordered sub-tree isomorphism mapping.

```

reconstruct(node r1, list<node, node> M){
M[r1] = r2;
list<node> L;
preorder-tree-traversal(L, T1);
forall(node v, L){
    forall(node w, B[v]){
        if(M[T1.parent(v)] == T1.parent(w)){
            M[v] = w;
            break;
        }
    }
}
}

```

Puts into list B matched edges for further reconstruction

```

matching(list<edge> L){
forall(e, L){
B[r1].insert(r2);
}
}

```

3.2 Bottom-Up maximum common sub-tree isomorphism algorithm

There are two types of bottom-up maximum common sub-tree isomorphism algorithms. One of them finds the largest common ordered sub-tree T between T_1 and T_2 such can found in both trees, by that when the sequence of edges from parent node does make a sense. On the contrary, the second type is the same top-down algorithm that takes into account the order of edges of parent node, during the algorithm execution.

A bottom-up common sub-tree of two unordered trees T_1 and T_2 is an unordered tree T such that there are top-down unordered sub-tree isomorphisms of T into T_1 and into T_2 . A maximal bottom-up common sub-tree of two unordered trees T_1 and T_2 is a bottom-up common sub-tree of T_1 and T_2 which is not a proper sub-tree of any other bottom-up common sub-tree of T_1 and T_2 . A bottom-up of two unordered trees T_1 and T_2 is a bottom-up common sub-tree of T_1 and T_2 with the largest number of nodes [3].

Definition 3.1. A **bottom-up common sub-tree** of an unordered tree $T_1 = (V_1, E_1)$ to another unordered tree $T_2 = (V_2, E_2)$ is a structure (X_1, X_2, M) , where $X_1 = (W_1, S_1)$ is a bottom-up unordered subtree of T_2 and $M \subseteq W_1 \times W_2$ is an ordered tree isomorphism of X_1 to X_2 . A bottom-up common sub-tree (X_1, X_1, M) of T_1 to T_2 is **maximal** if there is no bottom-up common sub-tree of (X'_1, X'_2, M') of T_1 to T_2 such that X_1 is a proper bottom-up common sub-tree of X'_1 and X'_2 is a proper bottom-up sub-tree of X'_2 , and it is **maximum** if there is no bottom-up common sub-tree (X'_1, X'_2, M') of T_1 to T_2 with the $size[X_1] < size[X'_1]/3$.

The figure 3.9 demonstrates a partial injection $M \subseteq W_1 \times W_2$ among trees $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ where $M = \{(v1, w10), (v2, w6), (v3, w7), (v4, w8), (v5, w9), (v6, w11), (v7, w5), (v8, w12)\}$ is unordered bottom-up maximum common sub-tree isomorphism T_1 and T_2 [3].

The problem of finding a maximum common sub-tree isomorphism between two trees T_1 and T_2 , where T_1 has n_1 nodes and T_2 has n_2 respectively can be reduced to the problem of partitioning $V_1 \cup V_2$ into equivalent classes of bottom-up sub-tree isomorphism. Two nodes are equivalent if and only if the bottom-up unordered sub-tree rooted at them are isomorphic.

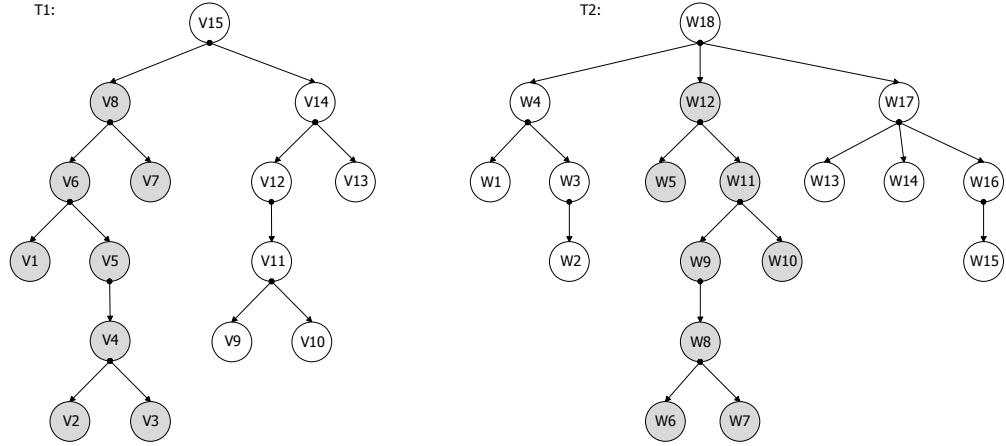


Figure 3.9: Bottom Up maximum common ordered sub-tree of two unordered trees T_1 and T_2 . Nodes are numbered according to the order in which they are visiting during a post order traversal. The gray highlighted nodes are shaped maximum common sub-tree starting from the leaves [3].

The algorithm start with a partition a tree into bottom-up equivalence classes. Let the number of known equivalence classes be initially equal to 1, corresponding to the equivalence class of all leaves in the trees. For all nodes v of T_1 and T_2 in post-order, set the equivalence class of V to 1 if node v is a leaf. Otherwise, look up in the dictionary the ordered list of equivalent classes to which the children of node v belong. If the ordered list (key) is found in the dictionary then set the equivalence class off node v to the value (element) found. Otherwise, increment by one the number of known equivalence classes, insert the ordered list together with the number of known equivalence classes in the dictionary, and set the equivalence class of node v to the number of known equivalence classes.

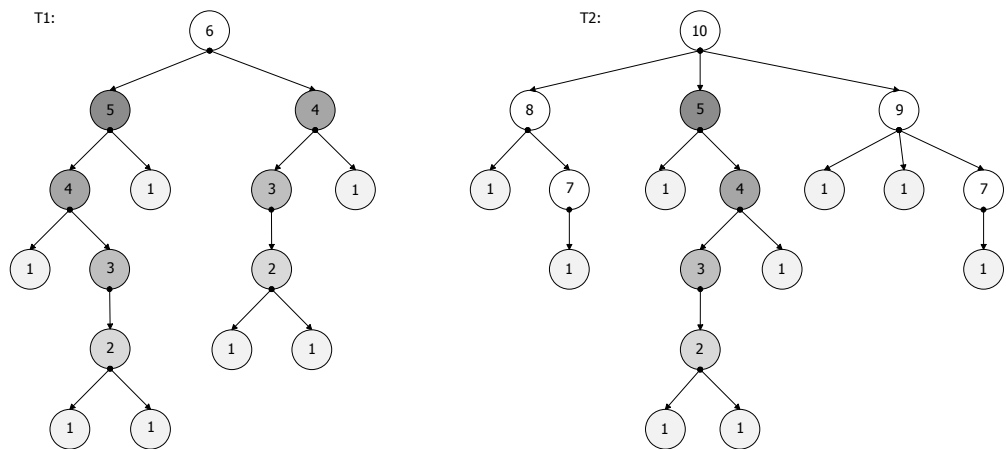


Figure 3.10: Bottom Up maximum common equivalence classes reflected to the figure 3.9. The node are numbered according to the equivalence class to which they belong and the equivalence classes are shown highlighted in the different shades of gray[3].

Chapter. 4

Code compare experiments

4.1 Introduction to experiments

For better understanding of possible "code compare" concept, possible ideas of implementation and following development an amount of experiments are required. In order to build a proper tool or at least a concept, in Eclipse plugins at Dr. Garbage Community® some hand experiments in code should be fulfilled.

All these test cases are performed in Eclipse IDE [14] and divided into blocks. These steps can be approached by following:

1. Research on Java source code using existing methods to compare:
 - (a) Normal text compare
 - (b) Spanning trees transformed from control flow graphs
2. Research on Java source code using existing methods to compare:
 - (a) Normal text compare
 - (b) Abstract syntax trees
3. Research on Java byte code using existing methods to compare:
 - (a) Normal text compare
 - (b) Control flow graphs

All test set are investigated under Java methods and functions. Playing around with the patterns of code changing variables, names, sequences of commands, adding loops or conditions and apply the simple "text to text" compare. This

comparison is already implemented in Eclipse IDE [14], so-called command "compare with each other by member". This type of comparison provides a pop-up window, where two pieces of code are compared, line by line.

In parallel a control flow graphs or source graphs from the functions are being created and compared using implemented algorithms Top-Down and Bottom-Up(following called: TD& BU). The further task is to figure out the difference/similarity from graphical visual comparison. Consequently these both results must be matched and recorded for succeeding research.

The derived results from can be as follows:

1. Text compare and TD & BU have same difference
2. Text compare and TD & BU give similar difference
3. Text compare and TD & BU five full difference

Hence, as it was declared in section description of problem, based on these results can be decided what kind of tool in Dr. Garbage Eclipse plug-ins can be built. In case similar or full difference results, a combination of both methods can be used for optimal comparison.

Small example can be demonstrated: there are two functions that look very similar but nevertheless they have different number of string and different functionality. The abstract results can be following:

1. Text compare shows that strings 1 and 5 are different
2. Graph compare shows that string 7 is different

Conclusion: a combination of two methods can explicit that strings 1,5 and 7 are distinguished and much more distinction has been found. Thus it provides an optimal way of investigation.

4.2 Experiments on Java source code Flowcharts

A flowchart is a type of diagram that represents an algorithm, workflow or process, showing the steps as boxes of various kinds, and their order by connecting them with arrows. This diagrammatic representation illustrates a solution model to a given problem. Flowcharts are used in analyzing, designing, documenting or managing a process or program in various fields[4].

Dr. Garbage tools[1] provides a solution how to represent sequential flowchart alongside to Java source code(see figure 4.1).

A depicted flowchart can be easily extracted into control flow graph (see figure 4.2). If there is another similar function, it can be transformed into next control

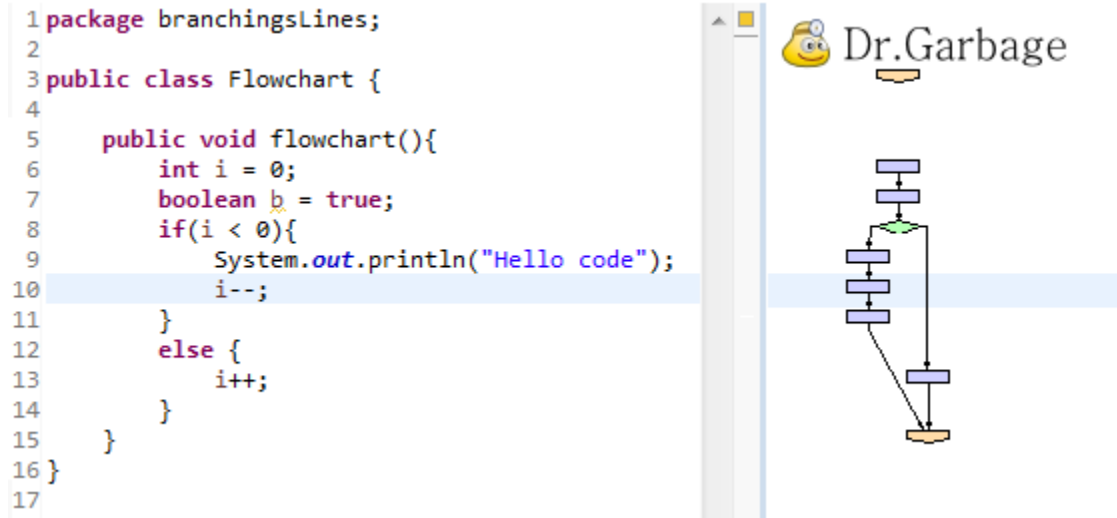


Figure 4.1: Example of source code visualizer

flow graph. These two graphs are being compared using existing TDMC[3.1] and BUMC[3.2] algorithms.

Unfortunately these two algorithms are applicable only for tree structures. For this reason this problem can be reduced, removing minimum number of edges to get a spanning tree. Thus the edges in the input graphs are reduced by Spanning Tree Algorithm[5.1]. The removed edges are red highlighted, hence this for this structure TDMC and BUMC[3] can be easily applied.

To conduct an experiments a sequence of actions and following statistic are needed. After conducted experiments, taking into account the derived statistic, a conclusion takes place. The steps are carried through sequence of action:

- Write two similar functions in Eclipse IDE
- Apply for them text-to-text comparison
- Declare the statistic, respectively how many lines are different
- Create a source-code graph for both
- Apply TD & BU algorithms to get structural difference
- Declare the statistic, respectively how many nodes are different

In this section all experiments have been executed by hand using plug-in tool "Graph Comparison" in Eclipse from dr. Garbage project. Before starting, a several rules how to evaluate code difference in text and in graph must be established. It is one of the crucial moment, because followed data statistic are used in further tool development.

For the estimation of structural difference there are criteria listed:

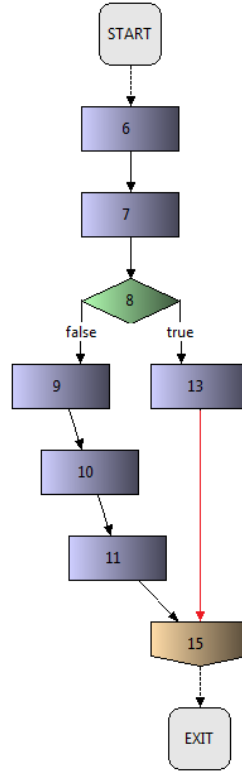


Figure 4.2: Extracted control flow graph from Java source code

- Each java operator is considered as simple node
- Changing a conditions of block on the contrary issues 100% difference of business logic, however the structure stays unchangeable.
- Availability of extra variables in second piece of code is calculated by division of number of extra variables to amount of all variables.

Mostly all calculations are performed by roughly, because there are many criteria how to evaluate the logic and structure difference. But from this perspective these rules are enough to examine graph's similarity.

For the text difference found via Eclipse tool a criteria to evaluate can be added:

- Percentage is computed by number of
- If in one line of code only one symbol has been covered as found, then it is division of one to amount of symbols in this line.

And after generation of two graphs, these both are compared(see the figure 4.4) using existing TDMC and BUMC[3] algorithms.

The table 4.1 shows results of java source code experiments. Existing algorithms [3] and Eclipse text-to-text comparison have been used to reveal the best approach of code comparison. As it said above, the difference code can be figured out

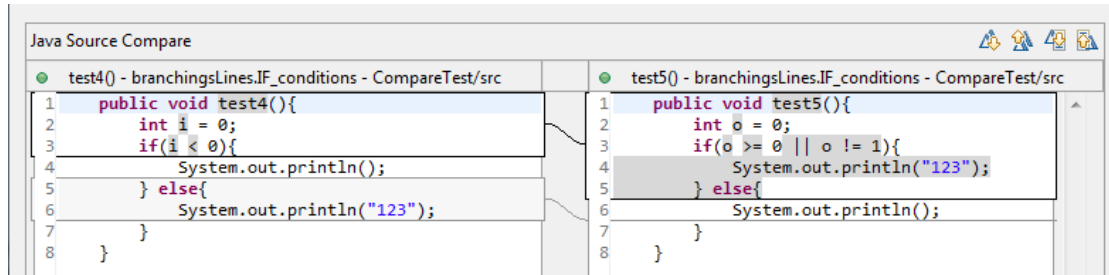


Figure 4.3: Two pieces of code are being compared with Eclipse Text Comparison

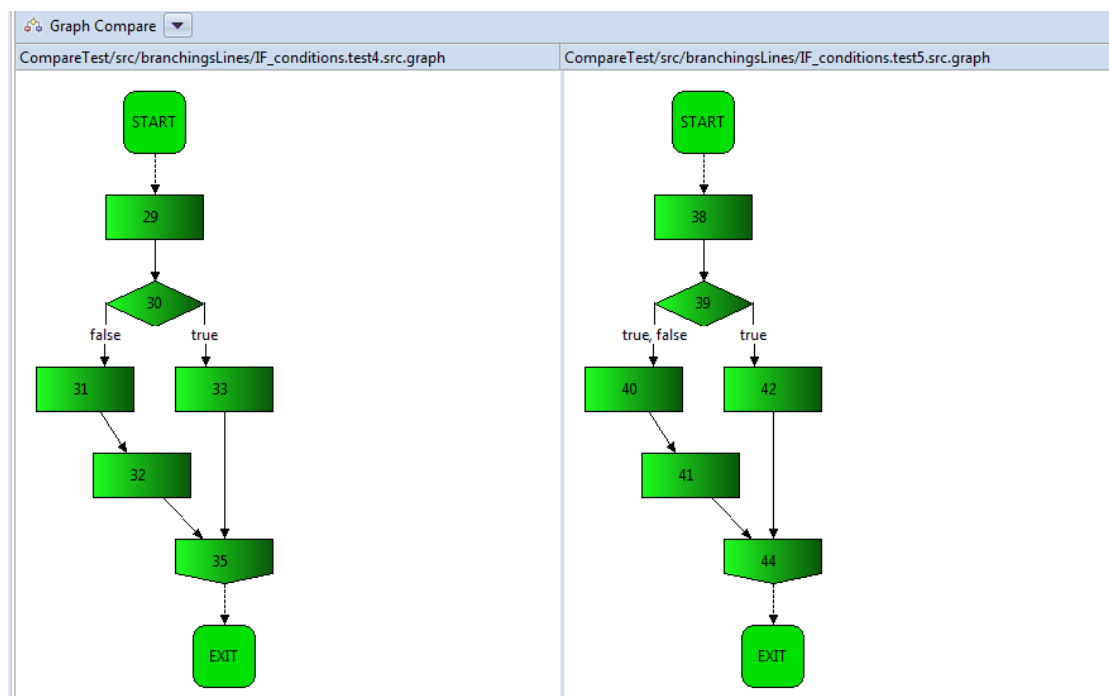


Figure 4.4: Compared source code graphs using TDMC algorithm

Compare experiments			Text -to-text compared	Graph compared
Test id	Name of functions	Real code difference %	Layout difference % found	TD&BU similarity % found
1	t1() and t2()	50	100	100
2	t1() and t3()	50	100	75
3	t1() and t4()	50	100	100
4	t1() and t2()	50	100	80
5	t1() and t5()	50	100	100
6	t6() and t7()	33	33	100
7	ti1() and ti2()	10	100	0
8	ti2() and ti3()	16	100	100
9	ti3() and ti4()	25	100	66
10	ti4() and ti5()	50	100	0
11	ti6() and ti7()	90	100	42
Overall results:			93.9 %	69%

Table 4.1: The table demonstrates results of Java Source comparison using text-to-text compare method and application of algorithms to their source code graphs

either structurally or simple text comparison. Based on the table 4.1 the apparent conclusion are composed:

- If the application logic is totally different (For example **if conditions**) then Eclipse Text Compare finds the difference, thus condition itself is highlighted. The graphical comparison with TD& BU are not able to see this distinction. It is obvious since graph theory in this case is able to find how similar structure of code fragments. The conducted example 4.4 above can testify this conclusion. In this way graph theory is not applicable to differentiate logic of application.
- In opposite said above, the graphical compare is quite useful instrument to investigate a code structure. For example, if another third party person has changed local variables, the structure remains same, thus TDMC& BUMC (especially TDMC) find high level of similarity. Unfortunately this approach is not enough to build a new concept allowing to investigate the difference more precisely.
- The graph is totally bound to lines of codes. If one brace is shifted, then it's considered one more block in the graph(Dr. Garbage Source Code Visualizer [1] generates extra node for each code operator). Hence TDMC is not able to find following branch where there an extra node and generated Java source code graph is not optimized for comparison.
- Text-to-text compare is enough to investigate a text difference because this tool finds every different sub-string in code line. But as stated above, changing variables, sequence of operators or even production same loops with different operators the text-to-text compare find too much unmatched strings.

Eventually the result of text compare looks like a disorder with same and unmatched sub-strings.

4.3 Experiments using Abstract Syntax Tree graphs

In this section the abstract syntax trees are generated from Java source code using Dr. Garbage plugins [1]. The most notable advantage of building AST trees is a direct converting Java source code into AST tree, thereby avoiding graphs with cycles. Thus there is no need to delete back edges(see Spanning tree algorithms).

TODO: short explanation about AST how it looks like and we need them;

In this section all experiments have been executed by hand using plug-in tool "Graph Comparison" in Eclipse from dr. Garbage project [1]. Before starting, a several rules how to evaluate code difference in text and in graph must be established. It one of the crucial moment, because followed data statistic are used in further tool development.

TODO: after this compares write a conclusion what is better to compare

4.4 Experiments on JavaByte Code

In this section an investigation regards java byte-code comparison is expound. Unlike Java source code, the corresponding byte code has practically no application logic. In spite of this the topic must be researched for the clone detection.

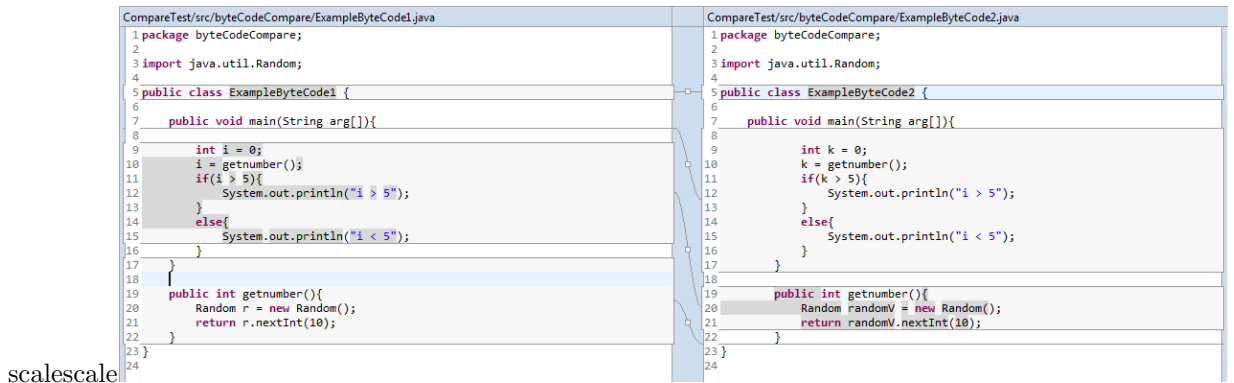


Figure 4.5: Java source code compared using text-to-text

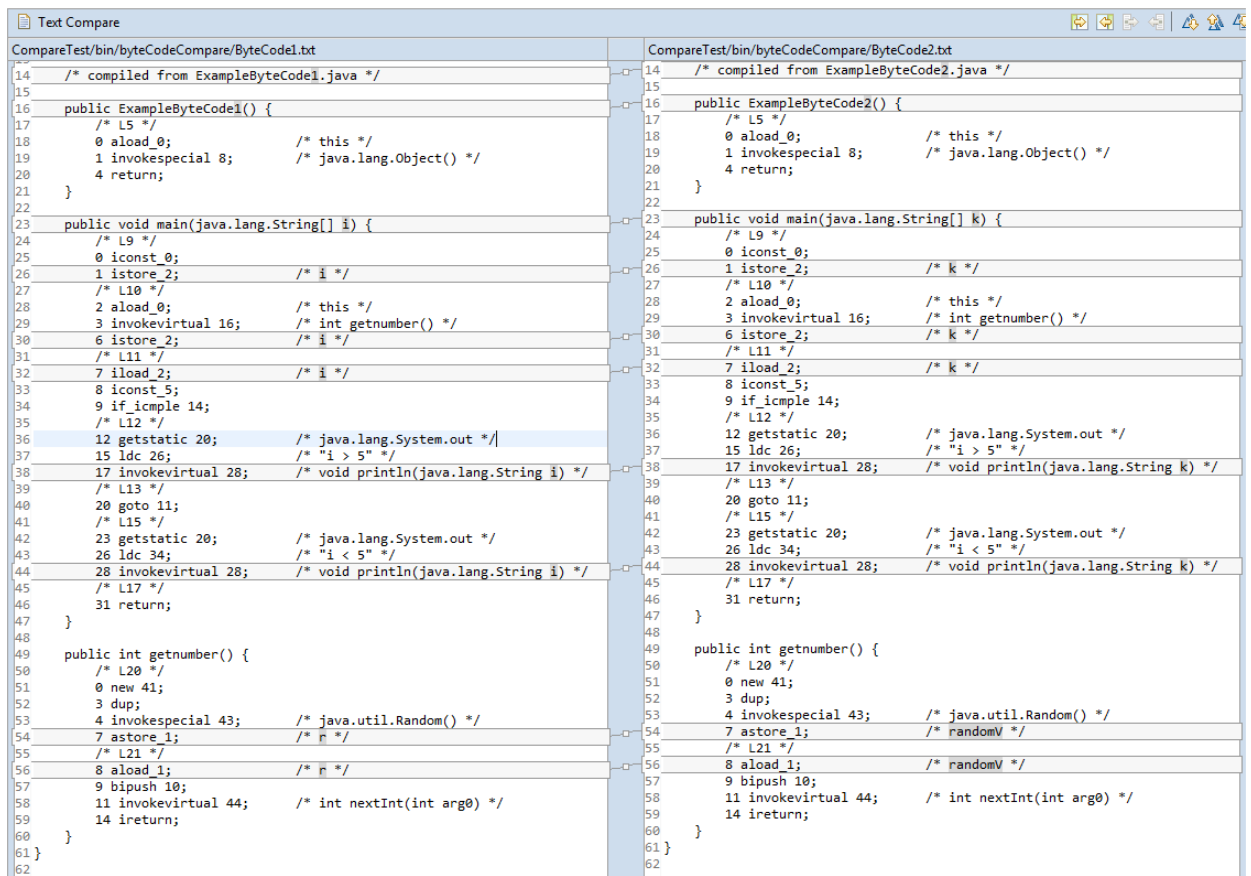


Figure 4.6: Java byte code compared using text-to-text compare in Eclipse environment

Chapter. 5

Graph transformation algorithms

5.1 Introduction to the graph transformation

TODO: explain how graph is generated, which libraries are used, plugins

5.2 Techniques to normalize AST improving structural comparison

Researches show that many big development projects have duplicate code, which is generally result of copying and pasting existing pieces of code. Moreover the code can be completely redone, changing name of variables, in some cases replace lines of code. But the most sophisticated part comes when a similar command can be in code substituted. It is known, that from programming perspective, for instance, a loop can be differently organized. There three fundamental ways to reproduce the iteration statements, namely: *pre - condition*, *post - conditions* and so-called *for-loop*. The function can be reworked by so delicate way, that none of any text-to-text compare finds similarity. However the functions execute the same application logic. And this can be found almost everywhere, the most notable example is clone pair between FreeBSD and Linux.

TODO: write that in for trees this transformation can be applied:

Karp-Rabin fingerprinting algorithm is used for calculating the fingerprints of all length n substrings of a text. First, a text-to-text transformation is performed on the considered source le for discarding the uninterested characters. Following this the entire text is subdivided to a set of substrings so that every character of the text appears in at least one substring. After that the matching substrings are identified. In that stage, a further transformation is applied on the raw matches

to obtain better results. Instead of applying a set of text-to-text transformations, he applies several different transformation scenarios from a combination of basic transformations such as For ifnding near-miss duplication he attempted to find a normalized/transformed text by removing all whitespace characters except line separators and by replacing each maximal sequence of alphanumeric characters with a single letter 'i'. For example, a line `for(k = 1; k != n; k++)f` is replaced by the line `i(i = i; i !=; i++)g` and the line `hash defineXDEF234` by `hash iii`.
TODO:

TODO: write from what this idea comes from: section token based technique: normalization Further research can also be carried out upon cantilever structures or continuous structures.

In the following example 5.2 these functions are similar and have same application logic. If these functions are compared with text compare, then definitely one line with increment of variable `frameGroupLine` is highlighted.

```

public void test1(){
    int frameGroupLine = 10;
    for(int Cnt = 1; Cnt < frameGroupLine; Cnt += 2)
    {
        if(Cnt*4 != 2){
            frameGroupLine++;
        }
    }
}

public void test2(){
    int frameGroupLine = 10;
    for(int Counter = 1; Counter < frameGroupLine; Counter += 2)
    {
        if(Counter*4 != 2){
            frameGroupLine = frameGroupLine + 1;
        }
    }
}

```

Listing 5.1: Clone pair between FreeBSD and Linux

If this line `frameGroupLine = frameGroupLine + 1;` will be converted into one format of sub-tree, that indicates the same as `frameGroupLine++;`. Thus this sub-tree can be found with TDMC or BUMC algorithms. Consequently it brings more covered nodes that signalize more similarity.

```

public void test3(){
    int frameGroupLine = 10;
    for(int Counter = 1; Counter < frameGroupLine; Counter += 2)
    {
        if(Counter*4 != 2){
            frameTeamLine++;
        }
    }
}

```

Listing 5.2: Normalized function `test3()` concerning variable `frameGroupLine`

In the function `test2()` one the increment of variable `frameTeamLine` is differently written. From text to text compare the functions are different at this point. If the text code similarity will be calculated, then these two fragments are not same (probably 90% similarity). Using Abstract Syntax Trees Optimization, this types of structure can be converted in the same sub-tree of whole AST tree. Thereby these two different text structures are represented as same sub-tree.

On figure 5.1 the example demonstrated how these two functions are compared using Eclipse Text comparison window. After creation and comparison these two

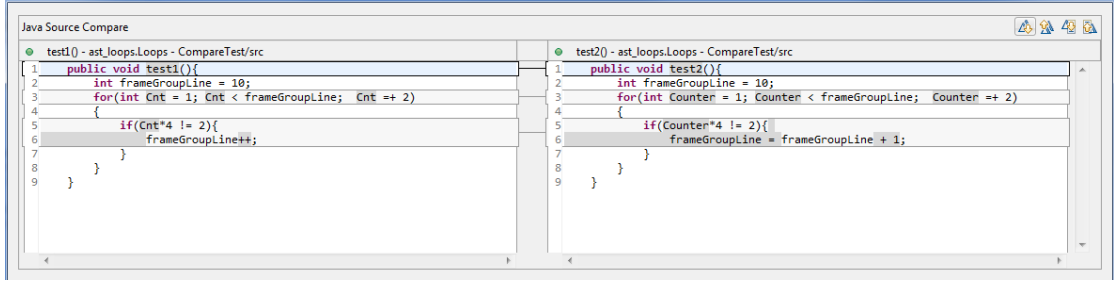


Figure 5.1: Example of standard text-to-text comparison of Java code

AST trees, it can be easily seen that sub-trees (the increment) are different.

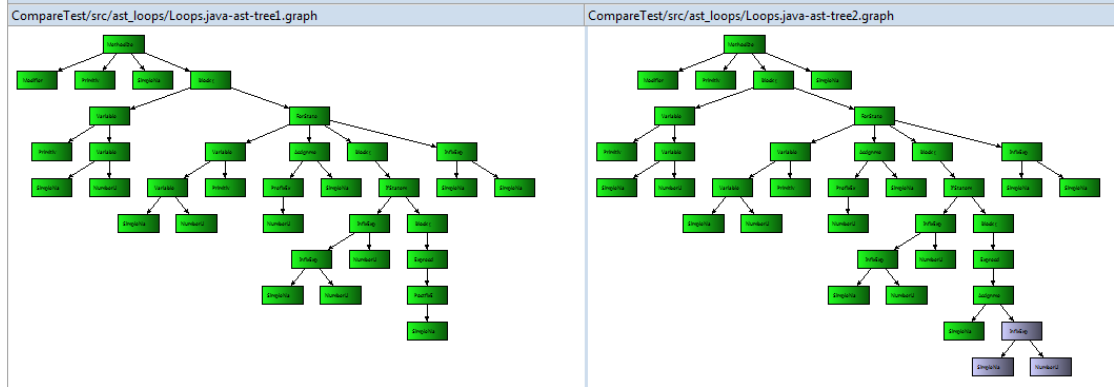


Figure 5.2: Graph comparison of function test1() and test2() using TDMC algorithm 3.1

From the figure 5.2 TDMC algorithm finds incomplete code similarity since not all nodes have been covered. From statistical point of view using simple math, the percentage of similarity is figured out: 37 nodes in the `test2()` and 3 of them are not covered. Thus, the calculation indicates $(1 - (\frac{3}{37})) \cdot 100 = 91\%$ code similarity according to AST trees and applied TDMC algorithm.

This mismatch can be optimized during AST tree production. These two lines of code `frameGroupLine++;` and `frameGroupLine = frameGroupLine + 1;` must be built as a same sub-tree, accordingly same structure and same number of nodes. Using this simple replacement it allows to built a similar AST trees, when logic is same but the source code text is different. Consequently the converted AST trees to some extent are independent from source code and can be compared to explicit the difference.

The example described above is relatively small, but exact showing how this logic can be circumvented. Also in pre-processing of AST tree can be included all possible java operators. This process called code normalization, and can be prepared before the AST tree will have been built.

The table 5.1 presents possible java string transformation depending on the

Operator	input	output
postfix	i++	i = i + 1
	i--	i = i - 1
prefix	++i	i = i + 1
	--i	i = i - 1
assignments	i *= n	i = i * n
	i /= n	i = i / n
	i %= n	i = i % n
	i -= n	i = i - n
	i += n	i = i + n
	i =+ n	i = i + n
	i =- n	i = i - n

Table 5.1: The table demonstrates proper string transformation for AST tree optimization. In event of occurrence of input operator, the original can be substituted before AST tree have been built.

input command. Thus the fragment of code will be better prepared for AST tree reformation. The trees of both both comparing functions will more similar that TDMC algorithm finds more similarity. Using statistical data to consider how function similar to each other the formula can be derived. Let NC is subset all of unmatched nodes then

$$N \subseteq T_1 : n \in NC \text{ and } M \subseteq T_2 : m \in NC$$

The trees are combined as set of vertexes and edges, thereby $T_1 = (V_1, E_1)$ and $T_2 = (V_2, E_2)$ respectfully, the the probability that two functions have same structure takes place:

$$similarity(\%) = \left(1 - \left(\frac{\max(|N|, |M|)}{\max(|V_1|, |V_2|)} \right) \right) \cdot 100$$

where $|V_1|$ and $|V_2|$ are cardinalities of their subsets. In other words the formula can be described as ratio of maximum number of unmatched nodes between T_1 and T_2 to maximum number of nodes in both trees T_1 and T_2 .

TODO: derive how it is possible to built same types of loops, (IDEA read about tokens techniques)

5.3 Convert graph to tree

TODO: decribe here how to remove edges in order to get spanning tree, DO WE NEED IT HERE??

5.4 Text comparison improvement with AST trees

IDEA: how search for precise difference using AST trees and reflect this to text

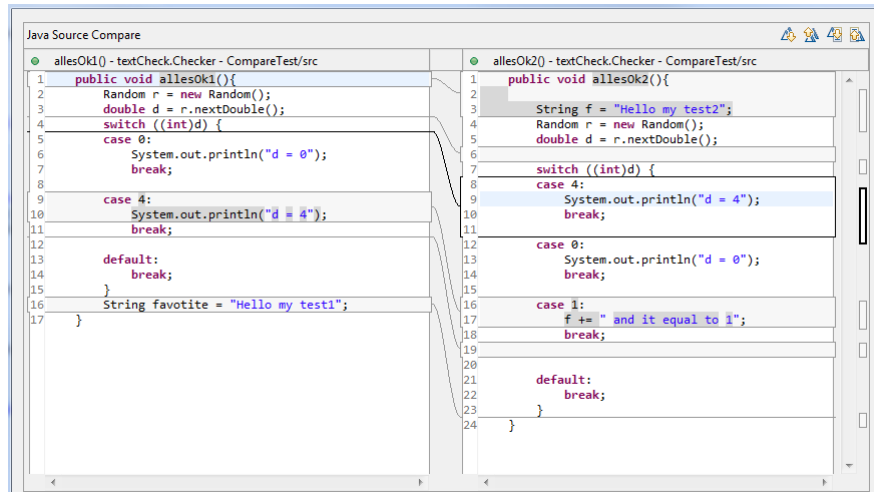


Figure 5.3: Example of standard text-to-text comparison of Java code

This example 5.3 demonstrates how text compare is not available to notice the string difference in case some operators have been simply replaced. Namely the operators: **case 0:** and **case 4:** from figure 5.3 have been replaced but text compare find this difference. Moreover when this code mostly changed, namely the names of variable, line position in code, thereby not impacting on the application logic of function then it is much more difficult to clarify the code difference. Thus comparing two function using text to text compare, it can be that the result is totally mixed, however this functions almost identical.

Why text-to-text cannot find these obvious differences? This algorithm for string search is described in chapter 6.2. Using suffix tree algorithm According to research of Roy, Chanchal Kumar [6] there are some disadvantages that can interpret the issue described above on picture 5.3 where some sub-strings are not found described in following citation:

This tools does not support exploration and navigation through the duplicated code. Detection accuracy is low e.g., cannot detect code clones written in different coding styles. For example "{'" position of if-statement or while-statement. Cannot detect code clones using different variable names, e.g., we want to identify the same logic code as code clones even if variable names are different[6].

Hypothesized that tree suffix algorithm is more negatively related to proper code compare in case if clone detection is being searched. This can be improved with help of tree algorithms techniques; As prerequisites let us take the following example depicted on the picture5.4. Considering the example very carefully, line by line, it is easy to get an idea how these two peaces of code organized. In line 2 the obvious mismatch variable **o:1** to **0** and **false** to **true** are gray highlighted.

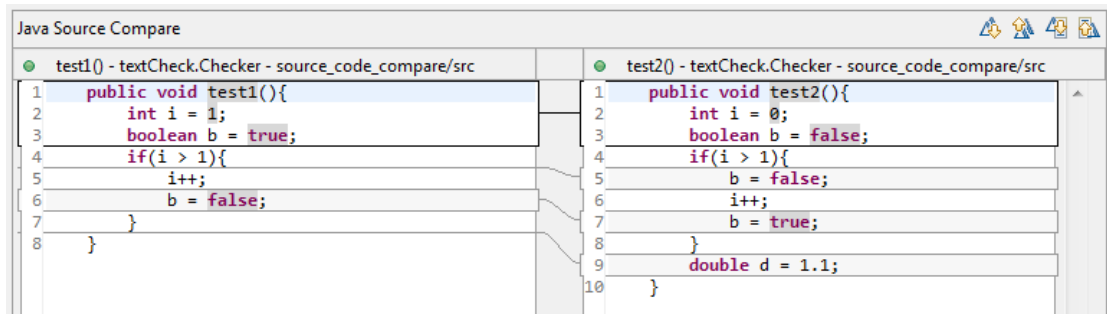


Figure 5.4: Example of text-to-text demonstrating the code difference using sub-suffix algorithm

Up next comes the `if` operator, where there are two and three blocks. They make almost the same logic however the `test2()` contains extra command `b = true;`. At the end of function `test2()` there is additional operator. Notice that, the example is relatively small and has not some much different commands and operators. It can be that two functions are so complex modified, thereby text compare yields a mix of various lines. To improve this, a code can be transformed into Abstract Syntax Tree(next AST tree) and both tree are traversed synchronously checking matched nodes. The biggest advantage of method that AST trees are built independently of sequence of commands. The difference in this trees is unordered range of nodes. It means that these AST trees are taken into consideration as unordered trees.

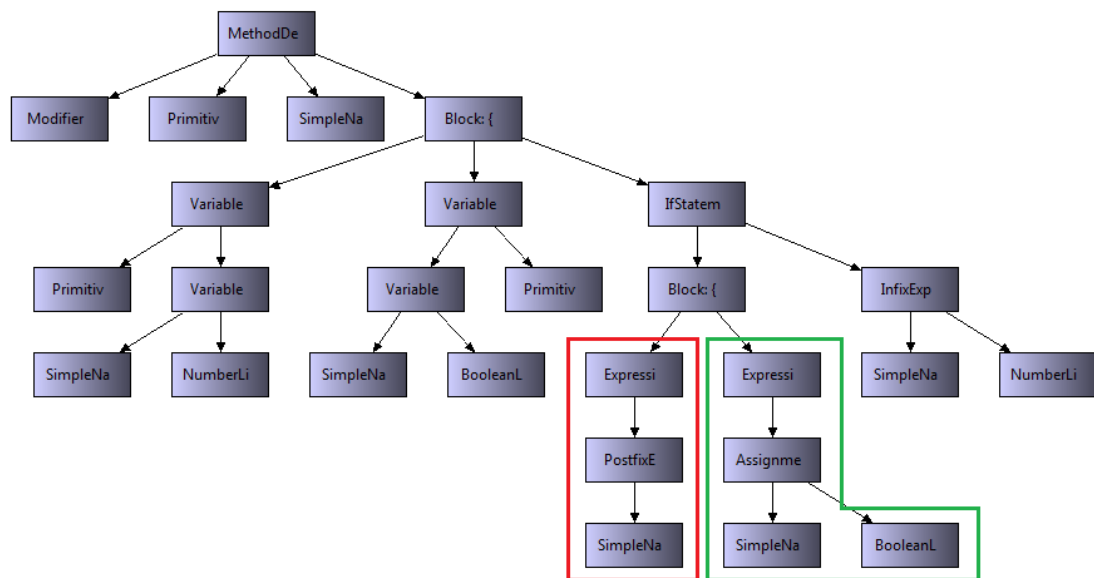


Figure 5.5: Abstract Syntax Graph of function `test1()`.

The picture 5.5 shows how AST tree from function `test1()` is being built from the source code. As said above the presented AST tree 5.5 is unordered, thus the replaced lines of code and the nested operators and independent from each

other. The trees traversal enables to identify the concrete difference in source code, independent how the code is organized. The evident mismatch must be referred to the source code. The idea is to keep the current command or operator in the tree node, i.e. to keep to current text position of command in the corresponding node. Obviously the proper node forming is kept during the AST tree convert process. After complete parallel tree traversal similar to Breadth-first search, the nodes of i level are compared for matched content, in this case operators and command. If in the level i a match if not found, the mismatched nodes are marked as mismatch. Each node contains information about text location of command or operator. During the second tree traversal on trees when mismatched node is visited, the corresponding text is highlighted in text compare. Therefore it helps to improve standard text-to-text compare.

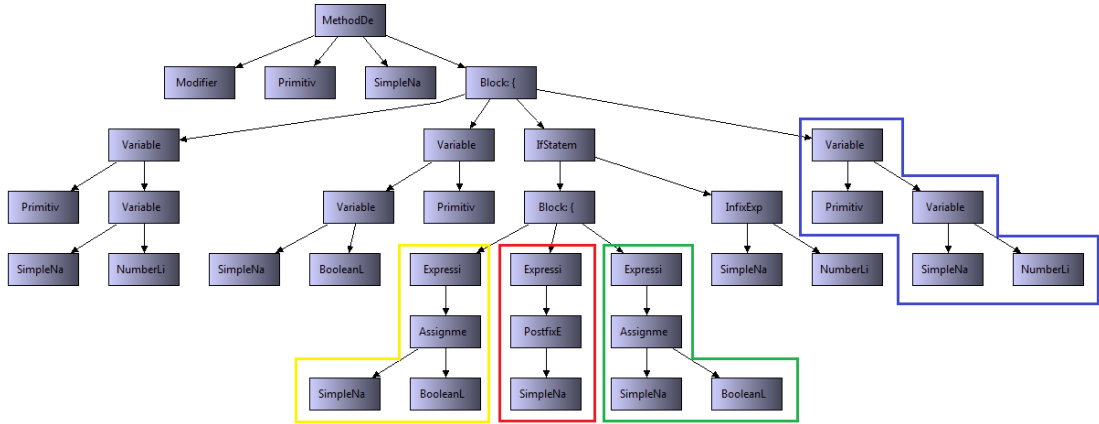


Figure 5.6: Abstract Syntax Graph of function `test2()`.

Example 5.4 and derived AST trees 5.5 and 5.6 indicate similarity and difference: the red and green framed branches are same(`i++` and `b = false` respectively), therefore the nodes in this branch are marked *matched*. The yellow frame marks out `b = true;` line, that is redundant in `test1()`. Since the trees are unordered and running over all nodes in `test1()` and `test2()` tree structure at same node depth level i using Cartesian Product among nodes search for different or missed nodes and mark them as mismatch.

TODO: write math formula taking i nodes and Cartesian set

The blue frame in picture 5.6 denotes a new command line, namely `double d = 1.1;`. In Cartesian product this parent node must be not matched, hence the whole branch is marked as mismatch.

TODO: example which strings must be highlighted in text as a reflex to mismatched nodes.

Possible IDEA 2 After second tree traversal to see the mismatch, a non-trivial statistic takes a place: Show in what parts of code the changes has been detected, For example:

- Conditions have been changed (percentage)
- Code added /removed (percentage)

Chapter. 6

Existing Comparison methods

6.1 Plagiarism detection methods

Task of plagiarism detection is an identification of text's similarity. Thus a research of existing methods is useful for this work regards code's comparison.

Plagiarism detection is the process of locating instances of plagiarism within a work or document. The widespread use of computers and the advent of the Internet has made it easier to plagiarize the work of others. Most cases of plagiarism are found in academia, where documents are typically essays or reports. However, plagiarism can be found in virtually any field, including scientific papers, art designs, and source code [5].

Mostly the task of plagiarism detection is considered for many fields, like text documents, software and source code. In this chapter source code plagiarism is being reviewed.

According to the article of Chanchal Kumar Roy and James R. Cordy [6], source-code similarity detection algorithms can be classified as following:

- Text-based Techniques
- Token-based Techniques
- Tree-based Techniques
- PDG-based Techniques
- Metrics-based Techniques

First, some detectors are based on lexical analysis. For instance, Baker's Dup [8] uses a sequence of lines as a representation of source code and detects line-by-line clones. Dup removes tabs, white spaces and comments; replaces identifiers of

functions, variables, and types with a special parameter; concatenates all lines to be analyzed into a single text line; hashes each line for comparison; and extracts a set of pairs of longest matches using a suffix tree algorithm[6].

6.2 Text-based Techniques

Text based techniques are widely used to compared pieces of text. For this purpose they can be valuable to compare java source code. This section shortly explains how it functions and derived advantages and disadvantages from chapter experiments 4. Used technique in text-to-text comparison applies so-called tree suffix algorithm, designed to compare strings. General idea of this suffix tree comparison algorithm: the code is splitted into strings, from these strings a suffix tree is being built, then using sub-suffix algorithm performs a search for substring from one code fragment to another. Building such kind of tree allows to find a sub-string in given string within $O(m)$ complexity, where m is the length of the sub-string (but with initial $O(n)$ time required to build the suffix tree for the string).

TODO: suffix tree example Thus the algorithm is being applied for normalized text after steps above. The text comparison splits each i line of code and compare the string with i line of code of other fragment. From the first string the suffix tree is built. The second line of code as a search-string is interpreted. The suffix tree is traversed looking for search string occurrence. From performed experiments from chapter of experiments 4 the next conclusion can be derived.

Advantages of text-to-text comparison:

- The difference direct in text represented.
- Every small mismatch is noticed and highlighted.
- High performance due to optimal formed suffix tree, that the match of search string is for $O(m)$ complexity executed, where m is length of searched sub-string.

Disadvantages of text-to-text comparison:

- If lines of the same command are different, comparison says it is mismatch.
- Changing the order of command or variable's names bring almost full mismatch.
- If sequence of commands and names of variable have been changed or modified then text highlight result is too jumbled.

In order to avoid line's mess, the graph theory can be very helpful to make application logic more independent from text, therefore to improve quality of text

difference representation. The paragraph 5.4 interprets an idea how AST tree assists to specify precisely match/mismatch of command .

6.3 Token-based Techniques

Using this technique the input code is firstly prepared with lexical analyzer. The entire code is lexed, parsed and transformed into sequence of tokens. This principle is advanced text-to-text comparison concept with steps before text comparison starts the preparation of code includes following steps:

1. **Comments Removal:** Ignores all kinds of comments in the source code depending on the language of interest.
2. **Whitespace Removal:** Removes tabs, and new line(s) and other blanks spaces.
3. **Normalization:** Some basic normalization can be applied on the source code.

One of the leading token-based techniques is CCFinder from Kamiya[10]. Firstly, each line of source files is divided into tokens by a lexer and the tokens of all source files are then concatenated into a single token sequence. The token sequence is then transformed, tokens are added, removed or changed based on the transformation rules of the language of interest aiming at regularization of identifiers and identification of structures. After that each identifier related to types, variables, and constants is replaced with a special token[10]. This identifier replacement makes code fragments with different variable names clone pairs [10]. A suffix-tree based sub-string matching algorithm is then used to the similar sub-sequences on the transformed token sequence where the similar sub-sequence pairs are returned as clone pairs/clone classes[10]. When the clone pair class is obtained according to the token-sequence, the original code must be mapped regards to clone pair/clone class information.

1. **Lexical analysis** - each line of code is divided into tokens depending on programming language. The tokens are parsed via lexical analyzer which performs formatting of tokens, the rules described above reconstructing the source code is.
2. **Transformation** - the process includes two sub-processes. During the sub-processes the meta information regards mapping to original source code is kept. Thus the original code is restructured without loss of link-identifiers.
 - 2.1. **Transformation according to determined rules** - the token sequence is reorganized pursuant to some rules. One of them is conversion of compound block. For example the code: `if(i == 1) i = i + 1;` is being

transformed into: `if(i == 1) {i = i + 1;}` covered within brackets. All other rules can be programming language dependent.

2.2. **Parameter replacement** - each identifier related to types, variables and constant is replaced with special token[10].

3. **Match detection** - the token sequences are searched for equivalent pairs and marked as clones with suffix-tree algorithm [6.2]. Each clone is divided by indices in four parts: LeftBegin, LeftEnd, RightBegin, RightEnd. These metrics are indices of leading clone for mapping for according positions in the following clone.

4. **Formatting** - the clones in original code are highlighted according results from previous step.

These results followed by [10] provide confirmatory evidence that native text comparison [6.2] can be significantly improved. Simple based normalization of code structure, namely transformation in tokens, brings better results as compare to native text comparison [6.2].

6.4 Tree-based Techniques

The research from Chanchal Roy [6] offers an alternative to figure out differences using trees. The complex trees are formed from source code and parsed to find mismatched nodes. The most remarkable distinction between text-to-text compare and trees is logic of tree building. In other words, the text compare assumes also a suffix-tree creation, however this technique is based on input strings, i.e the structure of the tree depends on letters and sub-string. The alternative approach is tree creation directly from source code, based on programming language. It encompasses building a branch of trees not by one string line, but by a combination of programming command structures. Such logic of tree creation called Abstract Syntax Tree (in short, AST). The abstract syntax tree analysis is more accurate than a line by line analysis or programming language token based approach 6.3 due to the fact that it builds the abstract syntax tree.

The proposed clone detection solution from [11] can be organized into few steps:

1. Parsing via source code and create non-optimized AST tree.
2. Each sub-tree of AST tree is hashed and grouped by in different buckets based on their hash value.
3. Apply the clone detection algorithm that three sub-algorithms:
 - 3.1. Basic Algorithm finds sub-tree clones compares every sub-tree with another sub-tree for equality. The algorithm uses parsing both AST trees

finding out clones with exact equality and excludes the possibility to find near-miss clones. The complexity of algorithm offered from Baxter [12] is $O(N^3)$. This can be reduced using hash-value in node parents of sub-tree. Thus each parent node keeps a hashed value about sub-tree itself. For example, a parent node contains following tree structure: `for(int i = 1; i <= 10; i++){ b = b + 1; }` that represents a complex sub-tree. The hash-value of structure is `f4caf1dd3e33c53d971f0e18f72249e0`. During tree traverse the hash values of corresponding nodes are compared. If these hash values are same there is no need to check the whole sub-tree. Otherwise sub search is being further proceed. This technique is used to optimize search of equal sub-structures between AST trees. In most cases it contributes up to 30% performance, since searched projects keep clones.

- 3.2. Sequence Detection - is a part of clone detection the algorithm that handles the detection of code clones within sequences of statements or declarations [11]. When parsing a source code fragment, all sequences are stored in a sequence list for future usage. The first step named sub-sequence algorithm, takes care of detecting code clones inside each sequence, and verifies if two sub-sequences of the same sequence are clones.
- 3.3. Generalization algorithm - the algorithm takes care about detection near-miss clones. It verifies whether the parent nodes of detected clones belong to near-miss clones.

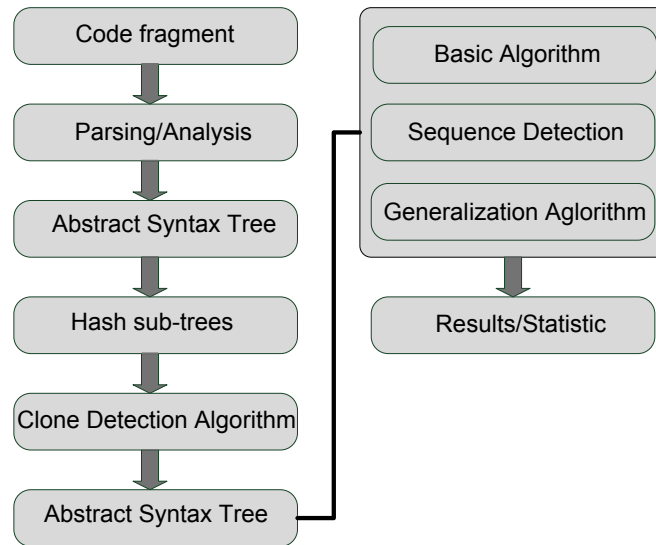


Figure 6.1: The flowchart demonstrates general steps of code comparison with AST trees. Once the Abstract Syntax tree has been created, a sequence of algorithms is applied.

In summary, tree-based approach is the most flexible tool to compare source code because only this fragment of code has a syntax and structure. Consequently

a flexible tree can be built based on syntax itself.

Advantages of Abstract syntax tree comparison:

- Possibility to investigate for clones in sub-expressions on highest abstract level
- Semantic analysis during tree assembling
- Independence from comments, spacing, or other non-semantic changes

Disadvantages of Abstract syntax tree comparison:

- Execution time, comparing sequences of trees the computational process is $O(N^4)$. But due to hashing of buckets it can be significantly reduced.
- Complexity of algorithms to parse trees and figure out clones.
- So far this algorithm have been used only to identify clones and add/remove sequences of clone to optimize projects. For small examples of code there are yet an algorithm to represent clones textually. This concept is described in section **Text comparison improvement with AST trees** 5.4.

As described above it allows to differ even small clones between two pieces of code. This technique is not suitable for pure text since text has no logic and structure. AST tree based technique is not fit with Java Byte code since Java byte-code has not so much complex structure to form an AST tree.

6.5 PDG-based Techniques

A program dependency graph (in short, PDG) is a directed graph $G = (V, E)$ contains the control flow and data flow and represents the dependencies between program elements (statements). Respectively a PDG nodes V_i is a program element and a PDG edge E_i indicates a dependency between two nodes. PDG is considered as a combination of two different layer sub-graphs: a data dependence sub-graph (in short, DDS) and a control dependence sub-graph (in short, CDS). After PDG graph creation, a matching algorithm is applied to find a similar sub-graphs which are returned as clones.

```
1  private static String sample(){
2      String text = null;
3      int i = 0;
4      while(i < 10){
5          i++;
6          text.compareTo("my text");
7          text.trim();
8      }
```

```

9     return text;
10  }

```

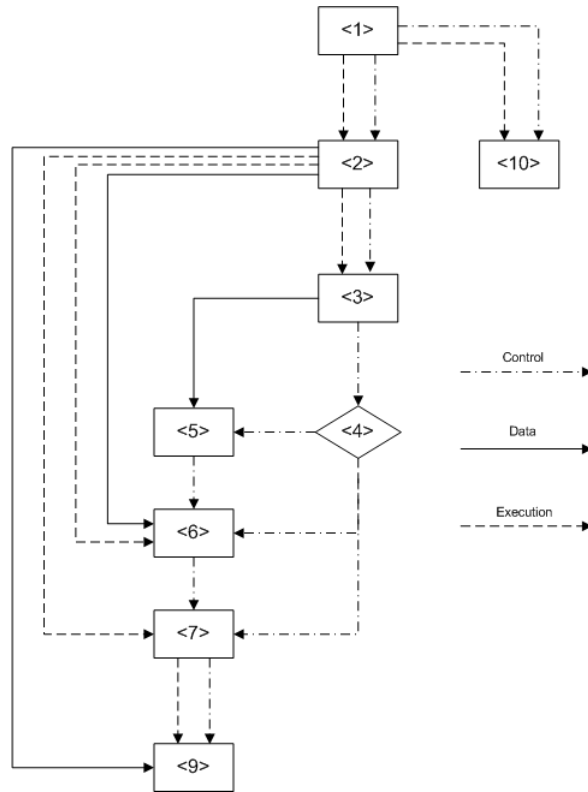


Figure 6.2: PDG

Chapter. 7

Conclusion

based on experimental results and own opinion, write here what results were derived From time to time write here combined conclusions or improvements Each detection technique has its own relative advantages and disadvantages, and no technique is superior to any of the other techniques in all aspects

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