



## ***EvoEvo Deliverable 4.3***

# ***EvoMachina user documentation***

Due date: M30 (April 2016)  
Person in charge: Susan Stepney  
Partner in charge: University of York (UoY)  
Workpackage: WP4 (A computational EvoEvo framework)  
Deliverable description: A calibrated, tested and documented implementation of the platform specification (D4.2), suitable for developing the evoevo component of an open-ended application (WP5). A report containing a description of the platform and the CoSMoS approach “simulation platform”

Revisions:

Revision no.	Revision description	Date	Person in charge
0.1	Initial release for comment	28/04/16	Tim Hoverd (UoY)
0.2	Further user documentation	28/06/16	Tim Hoverd (UoY)
1.0	Release version	30/06/16	Susan Stepney (UoY)
1.1	Corrections and validation	15/09/16	G. Belon (INRIA)
2.0	Updated with clustering implementation	18/10/16	Tim Hoverd (UoY)
2.1	Release version 2	26/10/16	Susan Stepney (UoY)

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## 1. Introduction

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This document is user documentation for *EvoMachina*, an implementation of the conceptual model first developed and described as deliverable 4.1.

The conceptual model is a model for genetic algorithms that is based explicitly on a biological cell's reproductive machinery. The central notion of the model is the explicit use of *machines* to represent those parts of the cell machinery that carry out operations within the cell and that have an underlying structure that defines the details of their behaviour.

This document first summarises the D4.1 conceptual model. It then defines the implementation model (*platform model* in CoSMoS development parlance<sup>1</sup>) that satisfies the requirements of the conceptual model, and summarises how the implementation works. It then documents the process of specialising the implementation model into two specific problem domains (TSP and clustering), each with a specific, and well-known, problem to solve. These specialisations form guidelines for users who wish to use EvoMachina in other domains of application.

## 2. The *EvoMachina* conceptual model

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The basics concepts of EvoMachina are Structures (Machines, Templates, Repositories), Domains, and Spaces (individuals and Sites), see figure 1.

- **Structure.** A structure is a sequence of objects of a particular type, that stores information, and may additionally have behaviour.
  - **Machine.** A machine is an active structure; different machines perform the various operations in the system, such as mutation, replication, expression, and domain-specific activities. It is the analogue of the protein in a cell. Machines may degrade, and so need continual replenishment.
  - **Template.** Machines are described by Templates. Translator machines build a specific machine from its template description. A template is the analogue of mRNA in cells.
  - **Repository.** Templates are stored in a Repository. Transcriber machines extract individual templates from the repository. Kloner machines build mutated repositories on replication. Any information incorporated in associated machines can therefore evolve. The repository is the analogue of a DNA chromosome in cells.
- **Domain.** A domain captures the 'physics' of a particular collection of structures. There may be multiple domains, in particular, domains related to evolution, and domains related to problem-specific features.
- **Space**
  - **Individual.** An individual is a space that contains a collection of Structures, comprising various machines, templates, and repositories. Its behaviour is given by the activity of its various machines. It is the analogue of a cell. Individuals may contain other individuals, analogues of cells with compartments.
  - **Site.** A site is a space that can contain individuals; it is the implementation of physical location. A site may also contain others sites, allowing hierarchical spatial structures.

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<sup>1</sup> P. S. Andrews, S. Stepney, T. Hoverd, F. A. C. Polack, A. T. Sampson, J. Timmis, 2011, CoSMoS process, models, and metamodels. *CoSMoS 2011*, Luniver Press, pp.1-13.

An *Individual* is a candidate solution. Individuals replicate when some criterion is met, such as a clock tick and winning a tournament for generational algorithms, or a suitable energy level or machine concentration being reached in other approaches.

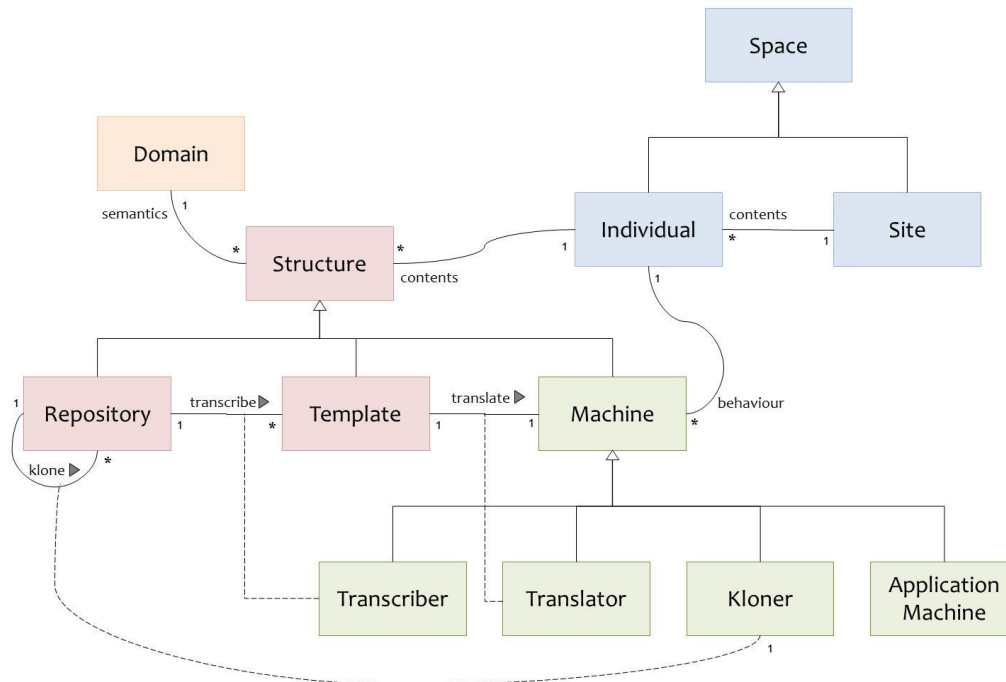


Figure 1. Conceptual model of EvoMachina: see text for details.

### 3. The *EvoMachina* implementation model

The D4.1 conceptual model summarised above has been translated into an implementation model, making design decisions suitable for implementation in a concurrent object-oriented language.

#### 3.1. Structures and Machines

The core idea contained in both the conceptual and the implementation model is that of a *machine*, which is a special case of a *structure* that contains information that defines the behaviour of the machine. This and related notions are summarised in the UML class diagram in Figure . This model shows that:

- 1) A **Structure** is some sequence of **Pearls** each of which is drawn from a particular **Domain**. Moreover, all of the pearls that form the code of a particular structure are required to be drawn from the same domain. Pearls are not mutable and in some implementations it might well be the case that a specific domain contains *prototype*<sup>2</sup> implementations of each possible Pearl.
- 2) A **Machine** is a special sort of structure that has some behaviour, exemplified by the presence of a *dolt* operation, the specific implementation of which carries out some function that is the specific machine's role.
- 3) Many machines, for example one tasked with translating a transcription unit into a functioning machine, might well have a specific *source* structure as the structure upon which it operates.

<sup>2</sup> E. Gamma; R. Helm; R. Johnson; J. Vlissides, et al. (1994). *Design Patterns: Elements of Reusable Object-Oriented Software*. Addison-Wesley Professional, 1 edn.

In this example the *Translator* machine would have a *source* structure that was the transcription unit in question.

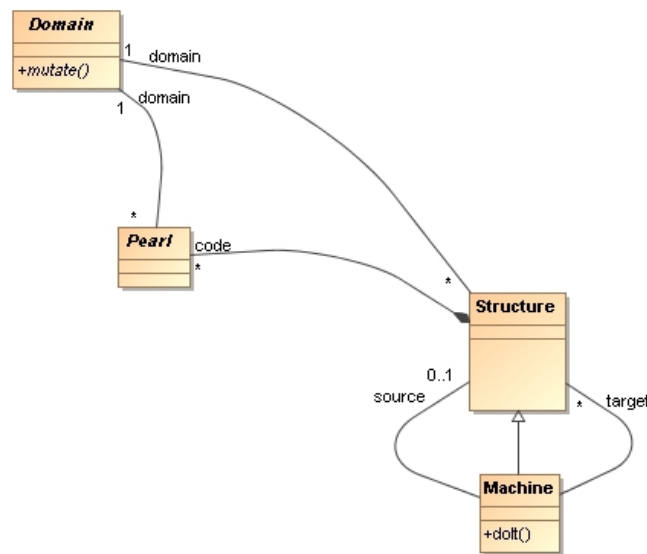


Figure 2: Structures and Machines

- 4) Similarly, some machines might produce some structures as a result of their activity. This is shown as the *target* structures in the figure.
- 5) The **Domain** represents the entire world of a set of related *Pearls*. As such, the notion of how to mutate a sequence of *Pearls*, likely the *code* of a *Structure*, is implemented by the *Domain* itself and represented here as the *mutate()* operation. Furthermore, the domain acts as a factory for new machines of the appropriate sort. Although the mutation operation itself is defined within the Domain, it is the case that that mutation is invoked by the *Machinery* of an individual.

### 3.2. Spaces and Individuals

Structures, and the specialised structures that are machines, always exist in the context of some **Space**. Figure shows the basics of this relationship.

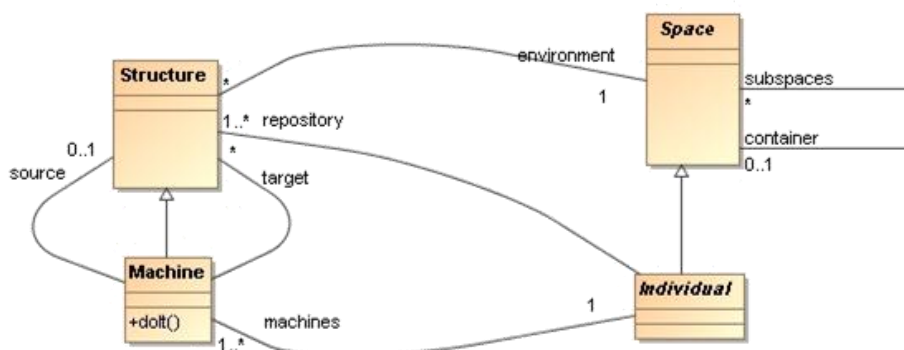


Figure 3: Structures within Spaces

Here a *Space* is the *environment* within which a collection of *Structures* exist. Specifically, Structures cannot exist outside of an environment. A space can have a hierarchical structure with a container having a set of possible subspaces.

There is a specific type of Space, **Individual**, which represents a set of structures, some of which are machines, that are cooperating to solve some task. That task might just be surviving in some environment or it could be a specific computation that is a component of an overall genetic algorithm. More particularly, an Individual has a collection of structures as its repositories which is the set of structures that are templates for the machines that can, and may, be expressed within the context of that Individual.

### 3.2.1. Searchable spaces

A particular problem being solved using the EvoMachina framework exists as a space that contains (directly or indirectly) Individuals, each of which is a putative solution to the problem being addressed. In order to guide implementation an interface **SearchableSpace** is defined that specifies the behaviour required of such spaces. In particular, a *search()* operation is defined that carries out some search for the currently best found solution. Different implementations might realise this operation, for example, to carry out one generation of reproduction of the individuals held in the experiment.

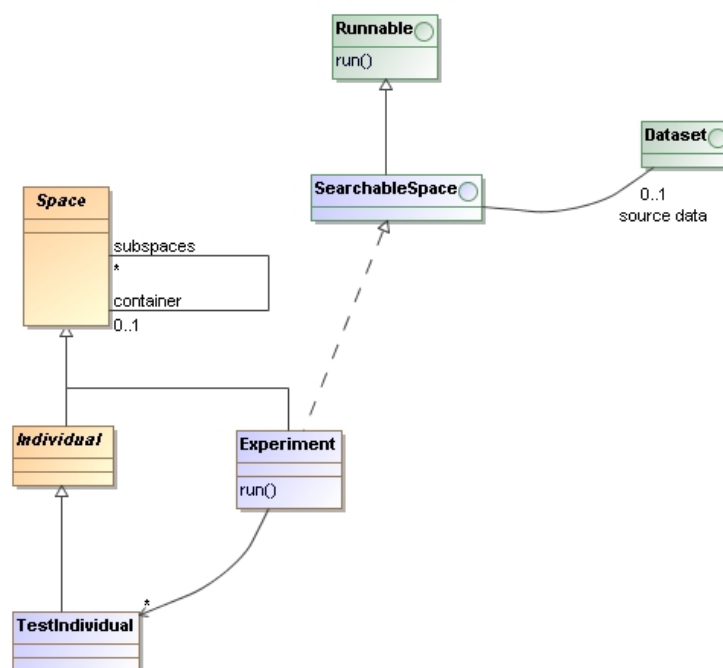


Figure 4: SearchableSpaces

**SearchableSpace** extends the standard **Runnable** interface, meaning that experiment classes also have to be able to be `run()` as a separate thread.

Many applications of EvoMachina will need a set of source data. For example, the data clustering application discussed in section 6 needs a set of observations. In order to support this requirement a **SearchableSpace** is optionally associated with a set of data that is the source data for such an experiment.

### 3.3. Types of machine

As machines provide the behaviour of an Individual, from replication of that individual to solving the task that is embodied task that is embodied by the individual, there is a need for various types of machine. As shown in

Figure , this is achieved by specialising the Machine class and overriding the functionality of the *dolt* operation.

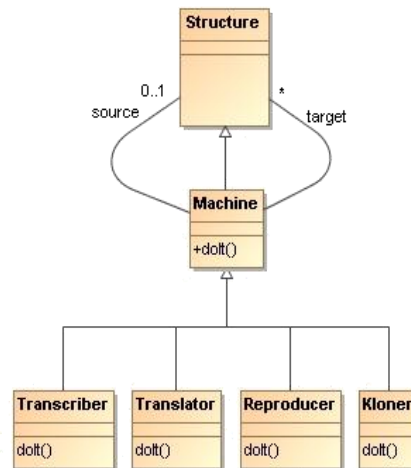


Figure 5: Machine varieties

The machines shown in this figure are the most basic set of machines necessary for replication of individuals:

#### Transcriber

Such a machine is responsible for turning some part of an Individual's repository into something which describes a machine that can be expressed. This is the computational analogue of DNA transcription into mRNA in the biological processes. The standard implementation of the Transcriber machine has hard coded functionality and essentially ignores its code; the sequence of Pearls that is mutated as Individuals are replicated. Future implementations of the Transcriber machine could remove this concept, opening up transcription to being itself mutated down the generations.

#### Translator

A translator machine is responsible for expressing a specific machine from the results of an antecedent transcriber machine. It uses the facilities of the domain of the source structure to actually create a machine of the appropriate type. Like the Transcriber, the behaviour of this machine is currently hard coded, but could in the future be encoded, and hence mutable, in a similar manner to the Transcriber machine.

#### Reproducer

A reproducer machine is responsible for the detailed actions required as an Individual is replicated. This is another hard coded machine, but again one whose future behaviour could be encoded and hence mutated.

#### Kloner

The kloner machine is responsible for mutating an individual's repositories into those structures that appear in a descendent Individual. In its simplest variant such a machine is again hard coded. However, the example implementations described elsewhere in this



document show approaches that have been used to effect some mutation of the underlying rules for the replication processes.

### 3.4. Domains and machine factories

Many aspects of execution of EvoMachina implementations create new instances of the various machine classes. Due to the soft nature of the definition of the types of machine it is necessary for some other object to act as a factory for new instances of specific types of machine. The EvoMachina design uses the Domain objects for this factory and, consequently, Domains must implement a `constructMachine` operation, a default version of which appears in the abstract superclass itself. Again, in new implementations some concrete subclass of Domain could implement this operation in a new manner. The built in structure is shown diagrammatically in Figure 6; note that a Domain has available to it the *type* of the machines that it is responsible for creating.

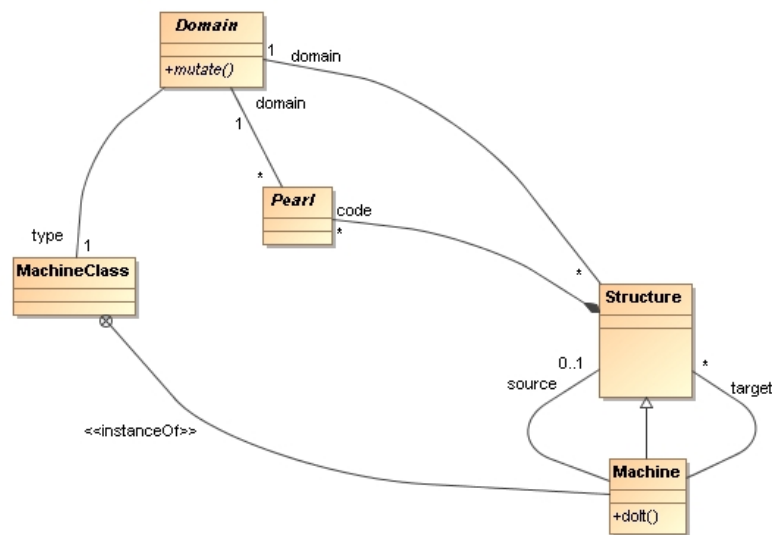


Figure 6: Domains as machine factories

Each domain has an instance of the *class* of the type of machine for which a particular structure is the machine template. The domain can use this class, by reflection, to construct instances of the required type of machine as they are required to be expressed by the Translation mechanism and in particular by Translator machines.

## 4. Implementation decisions

In order to implement the model described in section 3, a collection of implementation decisions have to be taken. The most important of these are described here:

### 4.1. Java

It is necessary to choose a programming language that has wide applicability, is easy for users to come to terms with and which is mostly insensitive to the implementation platform. The decision was therefore taken to use Java, using the most recent (at the time of writing) release: Java 8. Java 8 provides a good degree of platform independence and a number of sophisticated facilities that allow for concise programming. It is also available for just about every execution platform available.

One example of the use of Java is that the implementation of the abstract class *Individual* specifies that an *Individual* must implement the specialised interface: *Comparable<Individual>*. That is, all *Individual* object must be comparable with each other; the implementation elsewhere assumes that such a comparison yields the “best”, in terms of fitness, object.

## 4.2. Simple concurrency model

It is expected that implementations of many real world problems using EvoMachina will result in an execution context with a large number of *Individual* objects competing for machine resources. All modern execution contexts will be multi-core environments and, as such, managing the concurrent use of those processor cores will be essential to achieving efficient use of the machine. It would be possible to use many different mechanisms to manage this concurrency but many of them would obscure the essentially independent nature of each executing *Individual* object. As each *Individual* is modelled to some extent after a biological cell, which themselves act independently, then retaining that independence is an important part of this model.

As such, the EvoMachina implementation uses Environment Orientation<sup>3</sup> to simplify the concurrency model. Essentially, each *Individual* executes independently and the world within which they live manages the interdependencies such as competition for potentially shared resources. This matches what happens in the biological world where organisms are independent and inter-relationships are mediated by the (in this case real) world of physics.

## 4.3. Class library

The ideal ultimate implementation of EvoMachina would be something like a shared API or REST website so that users could easily access that implementation without having to incorporate it into their own code.

However, such a sophisticated approach has not, yet, been implemented. The current implementation is as a Java class library, encapsulated in a .jar file. It is expected that users will encode their own implementations as extensions of these standard classes.

## 4.4. Command line

The current EvoMachina implementation has not implemented any visualisations. In order to allow for simple execution, it is easy to build command line executables using property files for definition of many run time parameters. A logging framework, based on the standard `java.util.logging` framework provides for extensive logging to files or, ultimately, other data sources. Currently, analysis of the behaviour of an implementation must be done by textual analysis of the contents of log files using a tool such as `awk`.

## 5. Example implementation 1: TSP

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In order to explore the capability of the current implementation this section includes details of two example implementations. The first of these (this section) searches for solutions to the Travelling Salesperson Problem, the second (following section) implements an EvoEvo algorithm for subspace clustering.

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<sup>3</sup> Hoverd, T. & Stepney, S. (2015), 'Environment orientation: a structured simulation approach for agent-based complex systems.', *Natural Computing* **14** (1) , 83-97.

The implementation described in this section searches for solutions to the Travelling Salesperson Problem (TSP). This is a problem well known to computer science and, as such, there are known heuristics for solving the problem, or at least converging on a good enough solution.

The TSP problem is that given a set of locations of cities which a putative salesperson has to visit just once, what is the ideal route around those cities that minimises the distance that the salesperson has to travel?

So, for example, a typical TSP problem might be to find the shortest route that visited all the state capitals of the "lower 48" US states (that is, the contiguous states). Test data is readily available with which to set up this particular problem, as well as many others. The data used in the example discussed here was obtained from a website<sup>4</sup> that provides many different TSP data sets.

A TSP problem is essentially one of taking a list of cities and permuting it in many ways until a good solution is found. In this implementation the approach taken is to permute a list of cities using the Lin-Kernighan heuristic<sup>5</sup>. This is a *k-opt* generalisation of the approaches known as 2-opt, 3-opt, and so on. The heuristic is basically to cut a defined journey around all of the cities at a number of places in the journey (the value of *k*) and to reassemble the pieces in all possible ways and then to re-evaluate the time taken to traverse the newly assembled journeys.

Full details of the implementation approach to applying EvoMachina to the TSP problem are contained in the following sections. In general, the approach taken is to:

1. represent a journey around a set of cities as the code for a specialised machine which calculates the fitness of a specific individual
2. make the code of that machine a sequence of pearls, each of which represents a specific city in the journey
3. make the domain of those pearls understand both how to permute the list of cities and what the distance is between any pair of cities, thereby allowing the fitness machine to calculate the overall fitness
4. create a world which is a searchable space and which represents a number of possible solutions to the problem as a population of individuals journeys
5. mutate the journeys by both applying a *k-opt* permutation to the journeys and allowing the *k* itself to mutate.

## 5.1. Implementation details

A single possible solution to a TSP problem is represented as an Individual of some sort. The individual supports an operation that provides the journey time that it represents. The journey time is the fitness of the particular individual. These individuals exist in a world where a number of similar individuals exist, each representing a possibly different route around the cities of the problem. These individuals compete to take over more of the world, with successful individuals being replicated into new parts of the world and less successful ones being left to die off. Such replications mutate the route used, possibly leading to a better solution.

The world used for the implementation described here is a toroidal space. That is, every square site in that world has neighbours on all four sides. Each site in the toroidal space is constrained to

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<sup>4</sup> <https://people.sc.fsu.edu/~jburkardt/datasets/tsp/tsp.html>

<sup>5</sup> Lin, Shen; Kernighan, B. W. (1973). "An Effective Heuristic Algorithm for the Traveling-Salesman Problem". *Operations Research* **21**, 498–516.

having only one, or no, resident individuals. The described implementation handles concurrency in the following manner:

1. The toroidal space handles competition between individuals and as such is scheduled to periodically carry out competitions.
2. Each site in the space is periodically scheduled to run any resident individual.
3. When an individual is run it:
  - a. Decides whether to commit suicide, which is done on the basis of the number of times it has been run (essentially how old it is) and how many times it has replicated (which is some measure of its success).
  - b. If it is still alive, it looks to see if there an adjacent empty site (using a von Neumann neighbourhood) and, if so, tells the containing world that the empty site so found should be competed for.

These actions are carried out in a specified number of threads using Java thread scheduling features, in particular those provided by the standard *ScheduledExecutorService* class.

Given this structure, a particular TSP problem can be solved by seeding one or more individuals into the toroidal space with initial random routes and then letting those individuals replicate across the space. Success, or otherwise, is supported by the world itself providing the current “best” individual when required.

The following sections describe the specific extensions to various parts of the basic EvoMachina framework described above that have been made to support the TSP implementation. The overall structure is summarised in figure 7.

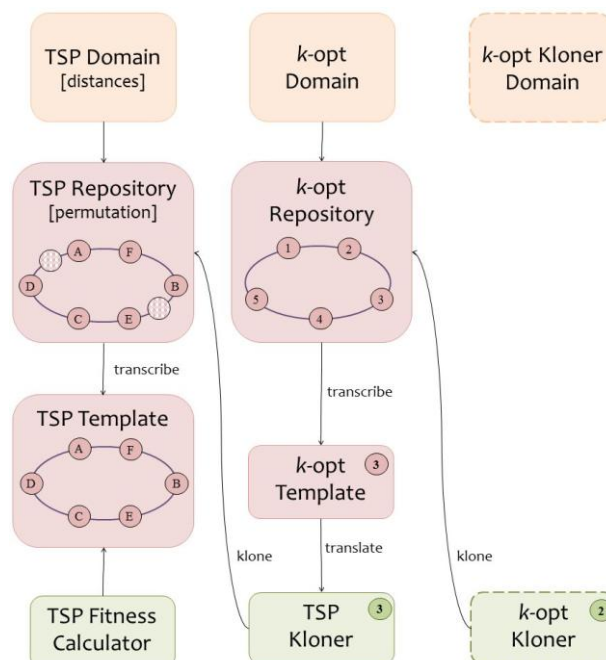


Figure 7. Instantiation of an EvoMachina Individual for the TSP: see text for details. (Some machines are omitted for clarity.)

### 5.1.1. Spaces

The TSP implementation realises the *SearchableSpace* interface, as shown in

Figure , to represent the space within which a particular problem is solved. This class is *ToroidalTSP2DSpace*. This space contains a number of implementations of the basic class *Site* (the *Journey2DSite* class), each of which contains, or not, an instance of the class *Journey*, a *Space* which represents a particular route around the cities of the problem.

### 5.1.2. Domains

The TSP implementation includes two subclasses of the *Domain* class that provide for TSP specific functionality. These are:

#### CityType

This domain represents the set of all possible cities through which the solution must make its journey. All cities in the implementation are instances of the application specific class *City*. A *CityType* object knows, in a particular experiment, what the distance between any pair of cities is. It is assumed that the distance from city A to city B, for example, is the same as that from B to A. One part of the initialisation of a particular problem is setting up the *CityType* instance with all the required inter-city distances. Also on initialisation of a *CityType* instance a mutation operator must be provided that implements the Lin-Kernigham heuristic as described above. The *CityType* class knows that the type of machine for which it is a factory is the TSPCalculator machine, see below.

#### KlonerDomain

This domain is the set of all possible *k* in a *k-opt* mutation operator. The current implementation uses all integers between 2 and 10 in this domain, with the particular value used for a particular *Kloner* machine being determined by which *Pearls* in the *Kloner* machine are set to be coding, as opposed to non-coding.

### 5.1.3. Pearls

The TSP implementation contains, necessarily considering the Domains, two new specialisations of Pearl:

#### City

This Pearl represents a specific City that is part of a Journey.

#### KlonerPearl

Such a Pearl represents a single value for *k* in the application of the *k-opt* approach to the permutation of the TSP Journey.

### 5.1.4. Machines

The set of machines available in this implementation is as follows:

#### Transcriber

This machine is responsible for taking one of the structures in an Individual's repository and transcribing it into a new *Structure* representing a transcription unit. In this initial implementation the only modification done here is to excluded non-coding *Pearls* from the transcription unit.

#### Translator

This machine is responsible for taking a transcription unit and generating the appropriate type of machine with the code of the transcription unit as the new machine's code. The new

machine is created by using the domain of the transcription unit which, by reflection, provides a facility to construct a new machine object of the appropriate class.

## Reproducer

This machine, which is an essential part of all *Individuals*, is responsible for coordinating the efforts of other machines in order to replicate an individual space. This approach is a deliberate *shortcut*<sup>6</sup>, with the replication encoded explicitly.

## TSPCalculator

This machine represents the fitness function of the containing *Individual*. Its code is the sequence of cities in a particular possible solution to the overall problem. This machine supports a specific operation to provide the journey time of the calculator machine in question. The method that implements this operation uses the facilities provided by the *CityType* domain to provide the answer and this operation is used by the specific individual space which contains this type of machine.

## Kloner

This machine is used explicitly by the reproduction process implemented by the *Reproducer* machine. Its action is to replicate the repositories of the “parent” individual into that of the “daughter” individual. However, this replication is potentially inaccurate in that each template in the repository is copied using the `mutate()` operation of its associated *Domain* object. The method invoked here may well mutate the code of the template in some domain-specific manner.

The code of the Kloner is a sequence of KlonerPearl objects and provides the mechanism for determining the value of *k* to use when permutating the code of the TSPCalculator machines.

EvoMachina code is available from [github.com/evoevo-york/evomachina](https://github.com/evoevo-york/evomachina)

All classes in the example TSP implementation, which includes the TestNG test suite, are in the package `EvoEvo.york.tspTest`.

## 5.2. Main class

In addition to all the classes described above, a particular command line invocation of the problem is encapsulated as the Main class in the package that contains all the TSP classes. The entire source code of the main method of that class is shown below, with interspersed commentary to describe its function.

Initialisation requires that the user invoke the application with the name of a Java properties file that describes various execution parameters:

```
public static void main(String[] args) {
    if (args.length != 1) {
        System.out.println(String.format("Usage: java -classpath <classpath> %s <property...>",
                                         Main.class.getName()));
        System.exit(1);
    }
    // Create the domain:
    Setup();
}
```

<sup>6</sup> Wolfgang Banzhaf, Bert Baumgaertner, Guillaume Beslon, René Doursat, James A. Foster, Barry McMullin, Vinicius Veloso de Melo, Thomas Miconi, Lee Spector, Susan Stepney, Roger White. Defining and Simulating Open-Ended Novelty: Requirements, Guidelines, and Challenges. *Theory in Biosciences*, **135**(3):131-161, 2016



The setup method, elsewhere in the Main class, sets up the necessary domains for the implementation including the *CityType* domain which knows the distances between the various cities in the problem.

The properties file is embedded in a singleton class, Simulation, that is used elsewhere in the code to determine the value of execution time parameters. The parameters in this file are used to create the world within which the problem will be solved. Here it is using a two dimensional toroidal space, each cell of which contains an instance of the class *JourneySite* which can contain an instance of the *Journey* class itself.

```
// Load the Properties files into the Simulation class that is referred to by...
try {
    Properties properties = new Properties();
    properties.load(new FileInputStream(args[0]));
    Simulation.SetProperties(properties);
} catch (IOException e) {
    e.printStackTrace();
    System.exit(2);
}
// Create the toroidal TSP world. In this case it's 9x9 cells by default:
int xSize = Simulation.GetValue("xSize", 9);
int ySize = Simulation.GetValue("ySize", 9);
ToroidalTSP2Dspace world = new ToroidalTSP2Dspace(xSize, ySize);
```

Here the *KlonerDomain* is created and an initial journey, with a random route through the world, is created. This particular *Journey* object uses a *Kloner* object which mutates the *k* in the *k-opt* used to mutate the journey itself.

```
// Create a single journey in the centre of the new world
_KDomain = new KlonerDomain("Kloner domain", Kloner.class);
List<Pearl> route = CreateInitialRandomRoute();
Space js = world.getSubspace(xSize/2, ySize/2);
Journey j = MakeJourneyWithMutatingCopier(route, js, _CityDomain, _KDomain);
```

Here the executor service, which will manage a number of separate threads, is created and each site in the world, and the world itself, are submitted to the executor service to manage.

```
// Create scheduled executor and give it all the sites in the world to look after:
ScheduledExecutorService executor =
    Executors.newScheduledThreadPool(Simulation.GetValue("numThreads", 20));
world.getSubspaces()
    .forEach(s -> executor.scheduleWithFixedDelay(
        (Journey2Dsite)s,
        0,
        Simulation.GetValue("siteExecutionDelayInMicroseconds",
            100),
        TimeUnit.MICROSECONDS));

// Allow the world itself to be run and retain a Future object:
ScheduledFuture result = executor.scheduleWithFixedDelay(
    world,
    0,
    Simulation.GetValue("worldExecutionDelayInMicroseconds", 50),
    TimeUnit.MICROSECONDS);
```

Here the execution is run, periodically printing out the current best journey, until either the best journey is better than some threshold or a maximum execution time is reached.

```
Optional<Individual> best;
long time = System.currentTimeMillis();

do {
    try {
        Thread.sleep(Simulation.GetValue("reportingDelayInMilliseconds", 100));
    } catch (InterruptedException e) {}
    best = world.best();
    String message;
    if (best.isPresent()) {
        message = String.format("Best of %d is: %s",
                                world.numJourneys(),
                                best.get().getContainer().get());
    } else {
        message = String.format("Best of %d is: nobody",
                                world.numJourneys());
    }
    System.out.println(message);
} while ((best.isPresent() ? ((Journey)(best.get())).journeyTime() : 10000000) >
        Simulation.GetValue("targetTime", 35500)
        &&
        System.currentTimeMillis() < (time + Simulation.GetValue("totalRunTimeInMilliseconds",
                                                                    60000))
        &&
        !result.isDone());
```

Finally, the executor is shutdown and the final result both logged and printed out.

```
if (result.isDone()) {
    try {
        Object r = result.get();
        System.out.println("result is " + r);
    } catch (Exception e) {
        e.printStackTrace();
    }
}
executor.shutdownNow();
try {
    executor.awaitTermination(5, TimeUnit.SECONDS);
} catch (InterruptedException e) {}

Machine.FlushLogger();
Logger.info("Completed, best is " + best.get().getContainer().get());
Machine.FlushLogger();

System.out.println("Best: " + best);
}
```

### 5.3. Properties file

This section contains details of the properties file, which must be provided to execute the example EvoMachina code. The properties file is in the format of a normal textual Java properties file. For example, a part of one file could be:

```
logFileName = evoevoc1.log
logLevel = FINE
numThreads = 120
targetTime = 34500
```

The complete set of possible properties is as follows:

#### logFileName

The name of a file, possibly including a path, to which the EvoMachina code will log events.

#### logLevel

The name of the logging level. The set of names is defined in the standard Java class *java.util.logging.Level*.



### **numThreads**

The number of virtual machine threads that should be scheduled for this problem by the Java *Executor* used. This number should be at least, and probably greater, than the number of hardware threads available due to the number of processor cores present.

### **targetTime**

The targetTime for journeys by the travelling salesperson. Execution will cease once this journey time has been reached.

### **xSize, ySize**

The sizes of the two dimensions of toroidal world used in terms of the number of sites in that world. So, having a value of 9 for each property would imply there were 81 sites in that world.

### **programmedDeath**

true if the code of the *Journey* objects should determine whether, or not, a specific *Journey* should die.

### **deathByOldAge**

true if *Journey* objects die as a consequence of being run, and replicated, a certain number of times. If false then there is a probability that a *Journey* will be killed off on every run of the *Individual*.

### **replicationMultiplier**

A number that is multiplied by the number of times that an individual *Journey* has been replicated. If the number of times a *Journey* has been run is greater than the result, then the *Journey* will be killed off.

### **minRunCount**

If the deathByOldAge parameter is true, then this is number of times that a Journey must be run before being killed off. If deathByOldAge is false, and programmedDeath is true, then this is indicative of the probability of a Journey being killed on each run. That is, if the value is 100 then the chance of a Journey being killed on each iteration is 0.01.

### **minKOpt**

The minimum value of  $k$  in  $k$ -opt as is used by the code

### **maxKOpt**

The maximum value for  $k$  that may be used.

### **initialKOpt**

The initial value for the  $k$  in  $k$ -opt.

### **siteExecutionDelayInMicroseconds**

The time in milliseconds between individual *Sites* in the world becoming due for execution. Whether they are executed or not depends on whether other run clients have been executed, the number of threads available and the performance of the host machine.

### **worldExecutionDelayInMicroseconds**

The time in microseconds between executions of the overall world object. The same considerations as those for siteExecutionDelayInMicroseconds apply here.

### reportingDelayInMilliseconds

The delay between console reports about the progress of the overall execution.

### totalRunTimeInMilliseconds

The total time that an execution will run, in milliseconds, before being terminated.

### numToroidalJourneys

The initial number of journeys that will be populated, at random positions, in to the toroidal space.

## 5.4. Execution

The EvoMachina code is provided in the jar file, which may be executed, on a computer that has Java installed, using the command line:

```
java -jar <path to jar file> <path to execution properties file>
```

This will cause the code to search for a route around the state capitals of the lower 48 US states stopping either when an acceptable solution has been found or the execution exceeds some value as determined by the properties file. Along the way the code will generate output on the console of this form:

```
Best of 6 is: Journey2DSite: <1> [5:3] [Journey: [0, 148179, 5] (1,2,3,4,5,36,14,15,16,35,32
Best of 32 is: Journey2DSite: <1> [4:1] [Journey: [0, 135732, 4] (1,2,3,4,5,6,7,8,9,45,23,24
Best of 67 is: Journey2DSite: <1> [6:3] [Journey: [0, 128766, 5] (1,2,3,4,5,28,29,13,12,11,1
Best of 80 is: Journey2DSite: <66> [6:3] [Journey: [1, 128766, 5] (1,2,3,4,5,28,29,13,12,11,
Best of 72 is: Journey2DSite: <16> [6:4] [Journey: [0, 126291, 5] (1,2,3,4,5,28,29,13,12,11,
Best of 76 is: Journey2DSite: <45> [5:4] [Journey: [0, 117255, 4] (1,2,3,4,5,6,7,8,9,45,23,2
Best of 73 is: Journey2DSite: <8> [1:3] [Journey: [0, 108651, 2] (1,2,3,9,8,7,6,5,4,45,23,29
Best of 76 is: Journey2DSite: <0> [2:4] [Journey: [1, 108000, 2] (1,2,3,9,38,37,46,47,21,20,
```

Each line here is a report of the best solution at a particular point in time. Each row here consists of a summary of how many individuals are in the current (9x9) toroid and the result of invoking the Java toString() operation on the best site in the current world. So, in the first row above, the components are:

**6**

There are currently 6 solutions in the toroid.

**<1>**

This particular site has been run() 1 time since its contents last replicated.

**[5:3]**

This site is at position (5,3) in the toroid.

**[0**

This journey has been replicated 0 times

**148179**

The journey time represented by this journey

**5**

The value of  $k$  in  $k_{opt}$  in this journey. Note that this value is the  $k$  in the code of the Kloner machines in this Journey. This value might well be different from the value in the journey that

was the parent of this one, but this is the value that will be used when mutating the route of the current journey.

### (1,2,3,4...

This is the sequence of the cities in this specific object.

In addition to this output, running the jar file will also result in the generation of a log file. This may contain a very large amount of data recording every single replication and suicide event. The "level" of the data recorded<sup>7</sup> in the log file, and the name of the log file, may be changed using the parameters in the properties file.

## 5.5. Logging

The log file produced by the TSP code includes the following components. Below there is an (often truncated) example of a log file line and an explanation of the underlying cause.

Jun 28, 2016 12:23:35 PM EvoEvo.york.machineMetaModel.Machine Initialise

In general, each record in the log file appears on a separate line. Each such line is preceded by another line that contains information about what specific method is being run and at what time it was run. In this case the static initialiser component of the *Machine* class is being executed.

INFO: Initial population: 20

This record, which is produced in the INFO log level and all finer ones, records the initial Journey population of this run.

FINE: {1467113015468} Adding destination Journey2DSite: <0> [3:1] as replication target of source site Journey2DSite: <1> [2:0] [Journey: [0, 163706, 5] (1,11,...)]

This line is produced when some *Journey* informs its containing world that it would like to be considered for replication into another site. The other information provided is:

1. The result of *System.currentTimeMillis()*.
2. The description of the target site (in this case [3:1] which is currently empty).
3. The description of the site wishing to replicate, this includes the exact information discussed earlier in this section.

FINE: {1467113015605} Committing suicide: Journey2DSite: <3> [7:8] [Journey: [0, 170924, 5] (1,10,11,41,19,18,39,42,31,22,5,21,...)]

Here the journey in a particular site is committing suicide. This particular execution is using the programmedDeath concept discussed in section 5.3. Similar lines appear if programmedDeath is not in use, but the line records that the journey is being killed by code outside the *Journey* itself.

FINE: {1467113015618} Replicated parent Journey2DSite: <0> [2:0] [Journey: [1, 163706, 5] (1,11,12,43,5,36,...)] into child Journey2DSite: <1> [1:0] [Journey: [0, 165450, 4] (1,11,12,...22,1)]

Here a particular site (the one in [2:0]) is being replicated into the adjacent site at [1:0]. As usual, the same information is recorded for each Journey and here it can be seen that the route has been mutated and, in fact, produced a new Journey that is actually worse than the parent. (A journey time of 165450 whereas that for the parent was 163706.)

---

<sup>7</sup> Note that if a very large amount of the data is logged, then it has the parallel effect of making the entire execution run as a single thread, as flushing the logger takes overall precedence.

## 5.6. Alternative TSP approach

As a further example of the use of the EvoMachina framework, this section includes details of the extension of the existing example to support a new underlying mechanism: the use of the Microbial GA<sup>8</sup> approach to optimisation.

### Configuration parameter

In order to configure EvoMachina/TSP so that the Microbial genetic algorithm is used a new property is added so as to configure the code. As such, the properties file contains:

```
programmedDeath = false
```

This parameter tells the EvoMachina/TSP code that the usual "programmedDeath" approach to death of Journey individuals is not to be used.

### Remove programmed death

The code for the Journey class, the specialisation of Individual, is modified to that the Journey objects do not decide for themselves whether, or not, to die when they are run in the case where programmedDeath is set to false:

```
boolean shouldDie = false;
if (Simulation.GetValue("programmedDeath", false)) {
    // This code, which decides whether a Journey should die, is
    // ignored if programmedDeath is set to false. By default, it's
    // assumed to be true
}
```

### Create a new test

It is essential that all extensions of the framework are properly tested. So, the first thing to do is to write a test, and ensure that it fails.

The code for this test is all shown here. The first thing to do is to define that a new test exists using the annotation that allows the TestNG code to see that it's a test:

```
// Annotation that defines the next method to be a TestNG test with a
// specific priority
@Test (priority = 100)
```

At the start of the code for the test, which will be done in a "bucket" space which is just a collection of Journey objects with no notion of position in a world, the space is created (which is a new class MicrobialGATSPSpace) and populated with a specified number of Journey objects, each with a random initial route. The number of journeys created is defined by the numMGAJourneys parameter in the execution properties.

---

<sup>8</sup> Harvey, I. (2011), The Microbial Genetic Algorithm., in George Kampis; István Karsai & Eörs Szathmáry, ed., *ECAL 2009*, Springer, pp. 126-133 .

```
// Define new test method:
public void singleThreadedSearchInBucketSpaceUsingMicrobialGA()
{
    // Create SearchableSpace for MGA approach using new space class:
    SearchableSpace world = new MicrobialGATSPSpace();

    // Create a complete set of journeys in the new space:
    int numJourneys = Simulation.GetValue("numMGAJourneys", 100);
    KlonerDomain kDomain = new KlonerDomain("Kloner domain", Kloner.class);
    for (int i = 0; i < numJourneys; i++)
    {
        List<Pearl> route = createInitialRandomRoute();
        this.makeJourneyWithMutatingCopier(route, world, _cityDomain, kDomain);
    }
}
```

Now we assert that the world has the expected number of journey objects:

```
assertEquals(world.numIndividuals(),
             numJourneys,
             "Number of journeys in MicrobialGA");
```

Now we loop around until a specific time has elapsed or the best journey is better than some threshold. On each iteration we ask the world object to provide the current best result and print out that result, using the toString() method implemented by the Journey class, every time that a predefined number of iterations has elapsed.

```
Journey best;
long time = System.currentTimeMillis();
int searchCount = 0;
do
{
    best = (Journey)world.search().get();
    if (searchCount++ % 100 == 0)
    {
        System.out.printf("Best of %d is: %s\n", world.numSubspaces(), best);
    }
} while (best.journeyTime() > Simulation.GetValue("targetTime", 35500)
        &&
        System.currentTimeMillis() <
        (time + Simulation.GetValue("totalRunTimeInMilliseconds",
        60000)));
```

The test concludes by logging the result, taking care to flush the logger queue, and asserting that the world still contains the same number of Journey objects and that the result of execution has shown at least some convergence on the expected solution:

```
Machine.FlushLogger();
_logger.fine(String.format("{%d} Completed, best is %s",
                          System.currentTimeMillis(), best));
Machine.FlushLogger();

assertEquals(world.numSubspaces(),
             numJourneys,
             "Number of journeys in MicrobialGA at end");
assertTrue(best.journeyTime() <
           Simulation.GetValue("microbialGASuccessThresholdTime",
                               50000),
           "MicrobialGA threshold time");
System.out.println("Best: " + best);
```

### Execute new test

Executing the new test, as expected as the new space class has not been defined over and above anything necessary for the code to compile, causes an immediate failure by exception. In this case, the failure is due to the fact that a default implementation of the search() operation required by the SearchableSpace interface answers null.

## Implement MicrobialGASpace class

This new class is an extension of the basic Space class, and implements the methods required by the SearchableSpace interface. Although the class was originally constructed as part of the TSP application it has no specific dependency on the TSP code. As such, this extension of the Space class is part of the machineMetaModel package.

Constructing an instance of the class ensures that it's the "topmost" space in a hierarchy:

```
/** A Searchable space that implements the Microbial GA algorithm for finding
TSP solutions */
public class MicrobialGATSPSpace extends Space implements SearchableSpace {
    public MicrobialGATSPSpace() {
        super(Optional.empty());
    }
}
```

One method provides the currently "best" solution within the space, which just compares all the subspaces of the object, which are all Journey objects, finding the one that provides the minimum journey time:

```
@Override
public Optional<Individual> best() {
    Optional<Space> best = this.getSubspaces()
        .stream()
        .min((j1, j2) ->
            ((Individual)j1).compareTo((Individual)j2));

    return Optional.of((Individual)best.get());
}
```

Note that this method uses the inherent *comparable* nature of *individual* objects to determine the currently most fit occupant of the space.

The code so far does not provide a searching capability though and the test, when executed, merely loops around providing the details of the initially best solution:

```
Best of 100 is: Journey: [0, 135815, 5] (1,2,3,4,5,6,7,8,9,10,11,12,13,14
Best of 100 is: Journey: [0, 135815, 5] (1,2,3,4,5,6,7,8,9,10,11,12,13,14
Best of 100 is: Journey: [0, 135815, 5] (1,2,3,4,5,6,7,8,9,10,11,12,13,14
Best of 100 is: Journey: [0, 135815, 5] (1,2,3,4,5,6,7,8,9,10,11,12,13,14

java.lang.AssertionError: MicrobialGA threshold time expected
                        [true] but found [false]

Expected :true
Actual   :false
```

Implementing the search() operation in the SearchableSpace interface provides the searching capability:

```
@Override
/** Implement the microbial GA algorithm which is to:
 * 1) Randomly select a pair of individuals
 * 2) Remove the least fit of that pair and replicate the other
 * which leaves the population of the space the same as it
 * was at the start of the process */
public Optional<Individual> search() {
  // Select two individuals:
  Journey j1 = (Journey)this.getSubspace(
    ThreadLocalRandom
      .current()
      .nextInt(this.numSubspaces()));

  Journey j2;
  do {
    j2 = (Journey)this.getSubspace(
      ThreadLocalRandom
        .current()
        .nextInt(this.numSubspaces()));
  } while (j1.equals(j2));

  // work out which is the best and which the worst of the two:
  Individual best = j1.compareTo(j2) < 0 ? j1 : j2;
  Individual worst = best.equals(j1) ? j2 : j1;

  // Remove the worst from the container and replicate the best:
  this.removeSubspace(worst);
  this.addSubspace(best.replicate());

  // Answer the best journey, which may now be better than when we started:
  return this.best();
}
```

Now, when executing the test useful results are returned:

```
Best of 100 is: Journey: [13, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [13, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [14, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [8, 36234, 3] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,2
Best of 100 is: Journey: [10, 36234, 3] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [14, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [12, 36234, 3] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [12, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [14, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [15, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [16, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [18, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [21, 36234, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [12, 36234, 3] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [15, 36234, 4] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [16, 36234, 4] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [18, 36234, 4] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [14, 36234, 4] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best of 100 is: Journey: [16, 36234, 4] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,10,24,45,35,42,
Best: Journey: [0, 35414, 2] (1,9,40,11,23,14,34,3,22,16,41,2,4,26,35,45,24,10,42,29,5,48,32,
```

```
=====
Default Suite
Total tests run: 1, Failures: 0, Skips: 0
=====
```

## 5.7. TSP results

Producing bulk results can be done by analysing the log files produced by EvoMachina. Figure 8 is a plot, produced using an awk script to analyse the log file and some subsequent Python code, that shows how the value for the journey time decreases over time. The plot shows how the value decreases for each new instance of Journey with time, in milliseconds on the x axis. The colour of the blobs indicates the value of  $k$  used to replicate the individual with darker colours representing smaller values of  $k$ .



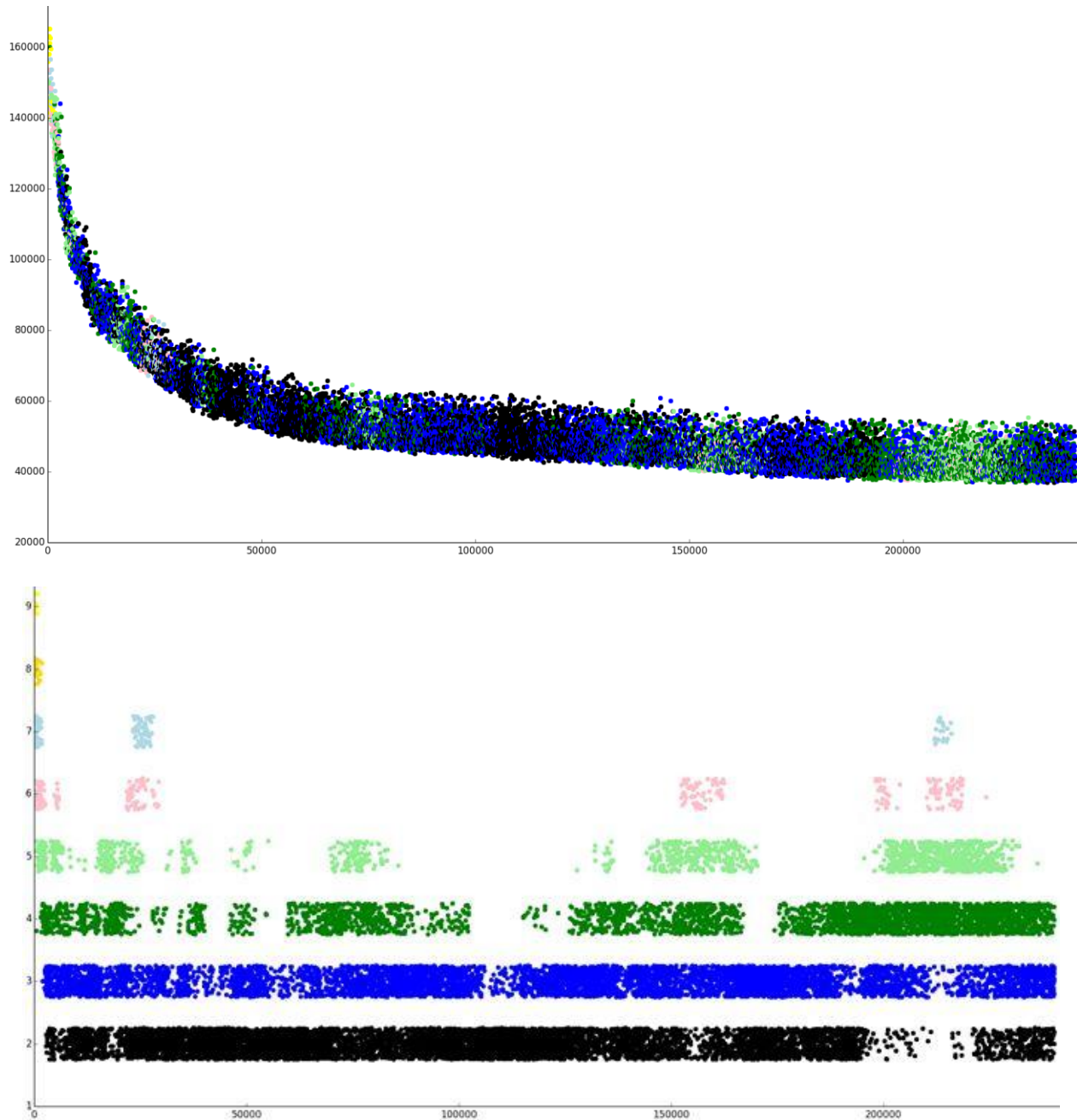


Figure 8. Results from a typical run of EvoMachina on the 48 US state capitals TSP: see text for details. Each dot represents the birth of an individual; the colour represents the  $k$ -value of the parent used to create this individual: darker colours represent lower  $k$  values. (top) fitness plotted against time of birth; (bottom)  $k$ -value of parent plotted against time of birth.

## 6. Example implementation 2: Subspace clustering

---

The second example implementation is noted here, again demonstrating how to extend EvoMachina for a specific application. The code repository should be consulted for the details of the implementation.

The problem is of clustering data in an  $n$ -dimensional space into a number of clusters, each of which is grouped around some point in that space, or a lower dimensional subspace. The intent is



that the clustering is done so as to minimise a measure of the distance between the data points and the notional centres of the clusters. The approach used is based on that described elsewhere<sup>9</sup>, which is closely followed, but is specialised for use with the EvoMachina framework

## 6.1. Overall description

The general approach taken is as follows:

1. A specific type of individual represents a single clustering, a set of core points, which are a putative set of centres for clusters around which the data are grouped.
2. In addition to the machines already described a new fitness calculation machine has a code which defines a set of *core points* which are the centres of the putative clusters in the data.
3. These core points are defined by a sequence of cluster pearls which encode the position of the core point in  $n$ -dimensional space. (The value of  $n$  is a constant in a particular experiment.) The particular encoding is closely based on that described in the source paper and each pearl includes 4 values:
  - a. a notion of whether a particular pearl codes, or not, into the resulting phenotype;
  - b. which core point is addressed by a pearl
  - c. which dimension within that core point is addressed by a pearl
  - d. what the contribution is to that dimension of that core point.
4. The domain of the clustering pearls defines a complex mutation procedure which mixes structural mutations (deletions, duplications and translocations) with point mutations to particular pearls. The mutation is hence controlled by four separate mutation rates each addressing one of these types of mutation.
5. The mutation rates are defined by the structure of the Kloner machine which, in a manner analogous to the mutation of the k-opt in the TSP application, mutates the mutation rates themselves. The mutation of mutation rates is itself controlled by a simulation parameter, definable in the simulation properties file already discussed.
6. A set of individuals compete to find that which is most fit when replicated and mutated in the normal manner. The competition can take place within any of the implementations of SearchableSpace that appear in the framework.
7. An individual is most fit when the value provided by the cluster calculator, which essentially sums the distances between the data points and their closest core point, is minimised.

## 6.2. Implementation details

In order to implement the clustering mechanism, the following additional classes, all of which are specialisations of standard parts of the EvoMachine framework, are part of the clustering code:

1. ClusterableDataset is a realisation of Dataset that contains a set of Observations, each of which represents a particular point in  $n$ -dimensional space.
2. ClusterPearl represents part of a core point, in particular a component of the core point's position in one of the dimensions of the data.
3. ClusterCalculator is the fitness machine for clustering. The code for this class, a sequence of ClusterPearls defines a set of core points and the calculated fitness measures the mismatch between those points and the data in the dataset.

---

<sup>9</sup> Sergio Peignier, Christophe Rigotti, and Guillaume Beslon. 2015. Subspace Clustering Using Evolvable Genome Structure. In *Proceedings of the 2015 Annual Conference on Genetic and Evolutionary Computation (GECCO '15)*, Sara Silva (Ed.). ACM, 575-582.

4. A Clustering is an Individual that represents a particular set of core points, as determined by the code for the ClusterCalculator machine that is part of the contents of the Clustering.
5. A ClusteringType is the domain of the ClusterPearls. Most importantly this class knows how to mutate the code of a particular ClusterCalculator in order to produce the code of an offspring Individual.

EvoMachina code is available from [github.com/evoevo-york/evomachina](https://github.com/evoevo-york/evomachina)

See the code repository for the specific implementation. Classes for the clustering example are in the package `EvoEvo.york.machinaClust`.

## 7. Specialising EvoMachina for a new problem

---

EvoMachina code is available from [github.com/evoevo-york/evomachina](https://github.com/evoevo-york/evomachina)

The process to be followed for configuring the EvoMachina framework to a new problem is outlined here. All framework classes are in the package `EvoEvo.york.machineMetaModel`.

The expected procedure is as follows. Many details can be seen by inspecting the source code, and the generated javadoc, for the `EvoEvo.york.tspTest` and `EvoEvo.york.machinaClust` packages.

1. Create a new package to contain all classes that use, and are extensions, of the framework, including application-specific domains, machines, and spaces as necessary.
2. Write TestNG tests to exercise the new domains, machines and spaces. Ensure that they all fail.
3. Write extension classes for:
  - a. The necessary domains and pearls.
  - b. The necessary machines, over and above the standard ones such as Translator.
  - c. The spaces and related topics such as site and searchable spaces. The framework contains three basic searchable space implementation which can be used as is, or as the basis for further development. These are: *ElitistSpace* which allows search by retaining an elite proportion of the population at each step, *MicrobialGASpace* which implements the Microbial GA algorithm as already described and *Toroidal2DSpace* which implements a 2D toroidal space with competition between neighbours on the toroid.
4. Execute all tests, including those defined for the framework classes themselves, and ensure they all pass. This will likely require some iteration of this list.
5. Write a harness, as exemplified by the TSP main class, for execution of the model.

## 8. Summary of implementation

---

The classes included in the base part of EvoMachina system (broadly those classes in the `EvoEvo.york.machineMetaModel` package) address the following aspects of the machine model:

### Domains and Pearls

Several basic classes are the abstract superclasses of classes that will be necessary in a new implementation.

## **Machines**

Several classes implement machines necessary for execution of EvoMachina algorithms (such as Transcriber) and are the superclasses for machines likely to be necessary in a new implementation.

## **Spaces**

Superclasses are provided for the spaces within which experiments execute and also for the individuals that are the putative solutions to a problem.

## **Dataset**

The basic requirements for a dataset over which an algorithm executes are specified here.

## **Utility**

Many utility classes exist, such as those to support execution time parameters and the superclass of EvoMachina exceptions.

## **9. Conclusion**

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The EvoMachina framework provides a collection of code that supports the implementation of genetic algorithms which execute according to a process that is inspired by the expression and mutation of biological genomes. Of particular interest is the fact that mutation itself is also encoded into the “genome”, meaning that mutation rates themselves can also mutate to allow an algorithm to adapt to changing situations.

Future research will investigate using EvoMachina in situations where the problem itself is changing, in particular where the environment for an experiment is changing.