

A monolithic microrobotic arm in silicon

Microrobot monolithique en silicium

PROJET DE SEMESTRE (Automne 2009)

Section Microtechnique

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21 January 2013

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Chapter 1

Introduction

Chapter 2

Theoretical Background

Information Retrieval (IR) consists on the activity of identifying relevant documents from a collection based on some input parameters. Different models have been developed through the evolution of the field. In the subsequent sections, the most relevant to this work are presented.

2.1 Boolean Model

This model was the first one to be developed and is currently one of the most used in the current implementation of IR systems because of its simplicity.

2.2 Vector Space Model

2.3 Tree Edit Distance

As it will be discussed, one of the most common formats to represent mathematical equations, MathML, is a XML based format, and whose structure represents a rooted tree. Because of this, it makes sense to consider some models to compare and analyse mathematical expressions taking into account their natural tree structure. The most natural way of addressing this, is through the well studied abstract problem of Tree Edit Distance problem, where the idea is to compute a distance between a pair of trees. It should be noted that the development of this problem is very similar to the String Edit Distance problem. The Tree Edit Distance problem can be formulated as follows: Let Σ be a finite alphabet and let $\lambda \notin \Sigma$ be a special empty symbol. Finally let $\Sigma_\lambda = \Sigma \cup \lambda$. Given two trees T_1 and T_2 that are labelled (That is, each node N in the tree has assigned

a label $l(N) \in \Sigma$) and ordered (That is, we are provided an order between sibling nodes), we define the following operations ($l(N_1) \rightarrow l(N_2)$) where $(l(N_1), l(N_2)) \in ((\Sigma \cup \lambda) \times (\Sigma \cup \lambda) \setminus (\lambda, \lambda))$:

Relabelling: If $l(N_1) \neq \lambda \wedge l(N_2) \neq \lambda$. We change the label of a node in the tree T

Deletion: If $l(N_2) = \lambda$. The children of N_1 become the children of N_1 's parent (N_1 is not the root of the tree) and occupy its position in the sibling ordering.

Insertion: If $l(N_1) = \lambda$. This is the complement operation of deletion.

For each of this operations $\omega = (l(N_1) \rightarrow l(N_2))$, we assign a cost function $cost(\omega)$ and for a given sequence of this transformations $\Omega = (\omega_1, \omega_2, \dots, \omega_n)$ we assign a cost function $cost(\Omega) = \sum_{i=1}^n cost(\omega_i)$. We also assume that $cost(\omega)$ is a distance metric. With the previous definition, the distance between T_1 and T_2 can be expressed now as $dist(T_1, T_2) = \min \{cost(\Omega) | \Omega \text{ is a sequence of operations that transform } T_1 \text{ into } T_2\}$

A recursive formulation of the problem can be defined in the following way:

$$\begin{aligned} dist(T, T) &= 0 \\ dist(F_1, T) &= dist(F_1 - v, T) + cost(v \rightarrow \lambda) \\ dist(T, F_2) &= dist(T, F_2 - w) + cost(\lambda \rightarrow w) \\ dist(F_1, F_2) &= \begin{cases} dist(F_1 - v, F_2) + cost(v \rightarrow \lambda) \\ dist(F_1, F_2 - w) + cost(\lambda \rightarrow w) \\ dist(F_1(v), F_2(w)) + dist(F_1 - T_1(v), F_2 - T_2(w)) + cost(v \rightarrow w) \end{cases} \end{aligned}$$

Where F is a forest, v and w nodes from a free, $F - v$ the forest F with the deletion of the node v , $T(v)$ the tree rooted at v and $F - T(v)$ the forest obtained by removing all the nodes in $T(v)$ from F

The presented set of equations give a simple way to compute the edit distance between a pair of trees, and the most known algorithms like Zhang and Shasha's[1] whose worst time case time bound is $O(|T_1|^2|T_2|^2)$, Klein's[2] that achieves a lower bound of $O(|T_1|^2|T_2|\log|T_2|)$ or Demaine's[?], take into advantage the fact that the recursion relies in the solution of smaller sub-problems, so by carefully choosing which of this sub-problems to solve.

Chapter 3

Technical Considerations

In the previous chapter we presented different abstract models which can be suitable in the building of a math based search system. In this section, we present different aspects that need to be considered when mapping from these theoretical models to a concrete implementation.

3.1 Digital Representations of Mathematics

3.1.1 MathML

3.1.2 OpenMath

3.1.3 LaTeX

3.2 Math Extraction Tools

3.3 From LaTeX to MathML

3.3.1 From PDF to MathML

Maxtract

InftyReader

OCR analysis

3.3.2 Other projects

3.4 Computational Algebraic Systems

In the previous section we discussed about algorithmical ways to transform, simplify and detect patterns in mathematical equations. Here, we explore what tools are available for performing this type of operations and how they are suitable for our system.

3.4.1 Mathematica

3.4.2 Mapple

3.4.3 Matlab

3.4.4 Octane

3.4.5 SAGE

Chapter 4

Related Work

4.1 Status of MIR

4.1.1 TREC

4.1.2 TREC

4.1.3 CLEF

4.1.4 NTCIR

4.2 Mathematical based search projects

4.2.1 MIaS

MIaS[?] (Math Indexer and Searcher), currently, is one of the flagship projects in the indexing and searching of mathematical content. As most of the other projects, it processes documents in MathML format. It allows the user to include in the queries mathematical expressions and textual content. During indexing time, equations are transformed following a set of heuristics that include:

- Ordering of elements: Taking into account commutativity of certain operators (Addition, multiplication), elements are ordered such that $3 + a$ would be converted into $a + 3$ (Since the `<mi>` tag comes first than the `<mn>` tag)
- Unification of variables: This process takes into the account the structure of the equations in despite of the naming of the variables. Express-

sions like $a + b^a$ and $x + y^x$ would be converted into an expressions of the form $id_1 + id_2^{id_1}$ which would match.

- Unification of constants: This step consists of replacing all occurrences of constants (`<mn>` tags) by a `const` symbol.

The extracted tokens from a given equation consist of all its valid sub-expressions. The original expression is given a weight value of 1, and following sub-expressions are given smaller weights depending on how general or specific they are. The system, also as most of the current projects, is developed by using the Apache Lucene framework. The system adapts the default scoring equation such that the given weight is taken into account.

4.2.2 EgoMath

EgoMath[?] and its new version EgoMath²[?], is a system oriented to index the mathematical content from the Wikipedia.org database. The processing steps before indexing are similar to the ones in MIaS (Rearranging of symbols, unification of constants). The extraction process started from a complete dump of the Wikipedia database and filtering only the math articles, which are identified by the `<math>` tag. This consists on around thirty thousand articles, from which 240.000 equations were identified. The processing step includes translating the equation from LaTeX into MathML format and then indexing both representation. Some additional effort is done into splitting large mathematical blocks like tables into single mathematical expressions.

4.2.3 DLMFSearch

4.2.4 MathWebSearch

4.2.5 LaTeXSearch

LaTeXSearch <http://latexsearch.com/> is a system developed by the scientific publisher Springer that allows to search over a database of around eight million latex snippets extracted from their publications. The system, unfortunately, is proprietary and no details are provided about the internals of the system.

4.3 Other related projects and initiatives

4.3.1 arXMLiv

Chapter 5

CERN Math Explorer

In this chapter we propose our solution for a scalable math based oriented search engine. We present the key points of our system, and how they are related to previous built systems.

5.1 Information Retrieval Model

Our main goal is to develop a system that compliments the already available search capabilities in Invenio and in particular the CDS instance. Our system was developed using the Apache Solr/Lucene framework.

5.1.1 Notational Features

Notational features correspond to the elements that relate to the actual naming of the variables, constants and numeric quantities found in an equation. Here we extract tokens that represent single leaf nodes (E.g. `<mi>`, `<mn>`, `<mo>` tags), and simple constructs like subscript and superscript elements, fractions, roots. We also extract bi-grams and tri-grams as analogue to that is done for text indexing in current systems.

After examining a set of relevant documents from our dataset, we identified some heuristics that could be applied to improve the recall of our system:

- Unicode Normalizing:
- Numeric Approximation: Some equations relate specific numeric quantities. While most of the previously explorer systems, handled `<mn>` tags by only representing them as a constant identifier, this approach is not suitable. A lot of articles in CDS relate to specific experiments

under certain conditions, and require a high level of precision in the measurements.

- Grouping of similar operators:

5.1.2 Structural Features

5.1.3 Development with Lucene/Solr

5.1.4 Integration with Mathematica

This section describes some aspects that should be considered while implementing the integration with the Mathematica CAS.

Recursive interpretation

Error handling

Sometimes after certain time Mathematica will crash, launch an exception and following invocations would fail as well. Also, re-instantiating a `KernelLink` will yield an `Exception`. For this scenario, killing the associated processes to Mathematica was necessary:

```
killall -s 9 Mathematica
killall -s 9 MathKernel
```

or

```
taskkill /im Mathematica.exe
taskkill /im MathKernel.exe
```

Depending on the OS the system is running on.

With this, the next creation of a new `KernelLink` works properly and the subsequent invocations work as expected.

Timeout Handling

Unfortunately, checking the quality of a LaTeX document is a difficult job and users have a lot of freedom in the way they write their document since the only visible result is the rendered version. One example we found during our work is the following TeX snippet:

```
"\ \varphi\ are\ shown,\ as\ well\ as\ the\ weight\ and\ tension"
```

A human can easily identify that this corresponds to text and that should be inserted into a proper "mbox" or "text" tag. In this case, the snippet produced the following MathML code

```
<math><mrow><mi></mi><mo></mo><mrow><mi>a</mi><mo></mo><mi>r</mi>
<mo></mo><mi>e</mi></mrow><mo></mo><mrow><mi>s</mi><mo></mo>
<mi>h</mi><mo></mo><mi>o</mi><mo></mo><mi>w</mi><mo></mo><mi>n</mi>
</mrow><mo>,</mo><mrow><mi>a</mi><mo></mo>
<mi>s</mi></mrow><mo></mo><mrow><mi>w</mi><mo></mo>
<mi>e</mi><mo></mo><mi>l</mi><mo></mo><mi>l</mi>
</mrow><mo></mo><mrow><mi>a</mi><mo></mo>
<mi>s</mi></mrow><mo></mo><mrow><mi>t</mi><mo></mo>
<mi>h</mi><mo></mo><mi>e</mi></mrow><mo></mo>
<mrow><mi>w</mi><mo></mo><mi>e</mi><mo></mo>
<mi>i</mi><mo></mo><mi>g</mi><mo></mo><mi>h</mi>
<mo></mo><mi>t</mi></mrow><mo></mo><mrow>
<mi>a</mi><mo></mo><mi>n</mi><mo></mo><mi>d</mi>
</mrow><mo></mo><mrow><mi>t</mi><mo></mo><mi>e</mi>
<mo></mo><mi>n</mi><mo></mo><mi>s</mi><mo></mo>
<mi>i</mi><mo></mo><mi>o</mi><mo></mo><mi>n</mi>
</mrow></mrow></math>
```

Mathematica took around 10 minutes to interpret it. In the ideal case we would be able to identify the malformed expressions and pass to Mathematica only well-formed equations to be processed but as this simple example shows, that is a very challenging task and may require more work. As a remedial situation, we used a fixed timeout to stop the processing of a given expression.

5.1.5 Integration with CDS

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