

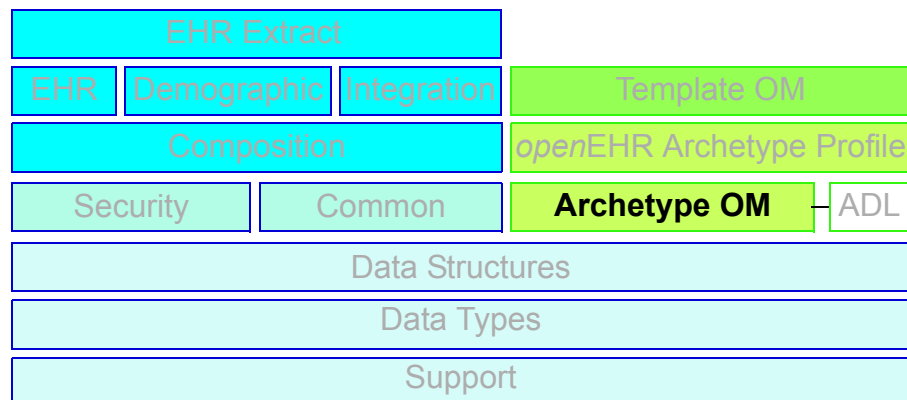


The *openEHR* Archetype Model

Archetype Object Model

| | | |
|--|------------------|-----------------------------------|
| <i>Issuer:</i> openEHR Specification Program | | |
| <i>Revision:</i> 2.1.5 | <i>Pages:</i> 93 | <i>Date of issue:</i> 04 Feb 2014 |
| <i>Status:</i> TRIAL | | |

Keywords: EHR, ADL, health records, archetypes, constraints



© 2004- The *openEHR* Foundation.

The *openEHR* Foundation is an independent, non-profit community, facilitating the sharing of health records by consumers and clinicians via open-source, standards-based implementations.

Affiliates Australia, Brazil, Japan, New Zealand, Portugal, Sweden

Licence  Creative Commons Attribution-NoDerivs 3.0 Unported.
creativecommons.org/licenses/by-nd/3.0/

Support **Issue tracker:** www.openehr.org/issues/browse/SPECPR
Web: www.openEHR.org

Amendment Record

| Issue | Details | Raiser | Completed |
|-----------------------|--|---|-------------|
| RELEASE 1.1 candidate | | | |
| 2.1.5 | <p>SPEC-??? Renamed ARCHETYPE_INTRENAL_REF to C_OBJECT_PROXY.</p> <p>SPEC-??? Renamed <i>ontology</i> to <i>terminology</i> and simplified.</p> <p>SPEC-??? Remove CONSTRAINT_REF, C_REFERENCE_OBJECT types; introduce new identification system.</p> <p>SPEC-??? Detailed Technical Review</p> <p>SPEC-??? Remove C_DOMAIN_TYPE; merge C_PRIMITIVE_OBJECT and C_PRIMITIVE; Add support for tuple constraints, replacing ADL 1.4 special Ordinal and Quantity constrainer types; Add new primitive type C_TERMINOLOGY_CODE. Added VSONIF, removed VSONCI (dup of VSONI).</p> <p>SPEC-??? Remove C_SINGLE_ATTRIBUTE and C_MULTIPLE_ATTRIBUTE classes.</p> <p>SPEC-270. Add specialisation semantics to ADL and AOM. Add various attributes and functions to ARCHETYPE_CONSTRAINT descendant classes.</p> <ul style="list-style-type: none"> • move C_PRIMITIVE.assumed_value to attribute slot in UML • rename C_DEFINED_OBJECT.default_value function to prototype_value • correct assumed_value definition to be like ; remove its entry from all of the C_PRIMITIVE subtypes • convert BOOLEAN flag representation of patterns to functions and add a String data member for the pattern value, thus matching the XSDs and ADL • add ARCHETYPE.is_template attribute. • add ARCHETYPE.is_component attribute. • allow computed as well as stored attributes. • make ONTOLOGY.terminologies_available computed. <p>SPEC-263. Change Date, Time etc classes in AOM to ISO8601_DATE, ISO8601_TIME etc from Support IM.</p> <p>SPEC-296. Convert Interval<Integer> to MULTIPLICITY_INTERVAL to simplify specification and implementation.</p> <p>SPEC-300. Archetype slot regular expressions should cover whole identifier. Added C_STRING.is_pattern.</p> <p>SPEC-303. Make existence, occurrences and cardinality optional in AOM.</p> <p>SPEC-308. Add validity rules to ARCHETYPE_TERMINOLOGY.</p> <p>SPEC-309. ARCHETYPE_CONSTRAINT adjustments.</p> <p>SPEC-178. Add template object model to AM.</p> <ul style="list-style-type: none"> • Add is_exhaustive attribute to ARCHETYPE_SLOT. • Add is_template attribute to ARCHETYPE. • Add terminology_extracts to ARCHETYPE_TERMINOLOGY. | <p>T Beale</p> <p>T Beale T Beale H Solbrig H Solbrig T Beale</p> <p>T Beale, S Garde, S Kobayashi D Moner T Beale</p> <p>T Beale</p> <p>T Beale</p> <p>A Flinton</p> <p>S Heard</p> <p>T Beale T Beale T Beale</p> | 04 Feb 2014 |
| RELEASE 1.0.2 | | | |

| Issue | Details | Raiser | Completed |
|----------------------|--|--|-------------|
| 2.0.2 | <p>SPEC-257. Correct minor typos and clarify text. Correct reversed definitions of <i>is_bag</i> and <i>is_set</i> in CARDINALITY class.</p> <p>SPEC-251. Allow both pattern and interval constraint on Duration in Archetypes. Add <i>pattern</i> attribute to C_DURATION class.</p> | C Ma, R Chen, T Cook S Heard | 20 Nov 2008 |
| RELEASE 1.0.1 | | | |
| 2.0.1 | <p>CR-000200. Correct Release 1.0 typographical errors. Table for missed class ASSERTION_VARIABLE added. Assumed_value assertions corrected; <i>standard_representation</i> function corrected. Added missed <i>adl_version</i>, <i>concept</i> rename from CR-000153.</p> <p>CR-000216: Allow mixture of W, D etc in ISO8601 Duration (deviation from standard).</p> <p>CR-000219: Use constants instead of literals to refer to terminology in RM.</p> <p>CR-000232. Relax validity invariant on CONSTRAINT_REF.</p> <p>CR-000233: Define semantics for <i>occurrences</i> on ARCHETYPE_INTERNAL_REF.</p> <p>CR-000234: Correct functional semantics of AOM constraint model package.</p> <p>CR-000245: Allow term bindings to paths in archetypes.</p> | <p>D Lloyd, P Pazos, R Chen, C Ma S Heard</p> <p>R Chen</p> <p>R Chen K Atalag</p> <p>T Beale</p> <p>S Heard</p> | 20 Mar 2007 |
| RELEASE 1.0 | | | |
| 2.0 | <p>CR-000153. Synchronise ADL and AOM attribute naming.</p> <p>CR-000178. Add Template Object Model to AM. Text changes only.</p> <p>CR-000167. Add AUTHORED_RESOURCE class. Remove description package to resource package in Common IM.</p> | <p>T Beale T Beale</p> <p>T Beale</p> | 10 Nov 2005 |
| RELEASE 0.96 | | | |
| 0.6 | <p>CR-000134. Correct numerous documentation errors in AOM. Including cut and paste error in TRANSLATION_DETAILS class in Archetype package. Corrected hyperlinks in Section 2.3.</p> <p>CR-000142. Update ADL grammar to support assumed values. Changed C_PRIMITIVE and C_DOMAIN_TYPE.</p> <p>CR-000146: Alterations to am.archetype.description from CEN MetaKnow</p> <p>CR-000138. Archetype-level assertions.</p> <p>CR-000157. Fix names of OPERATOR_KIND class attributes</p> | <p>D Lloyd</p> <p>S Heard, T Beale D Kalra</p> <p>T Beale T Beale</p> | 20 Jun 2005 |
| RELEASE 0.95 | | | |
| 0.5.1 | <p>Corrected documentation error - return type of ARCHETYPE_CONSTRAINT.<i>has_path</i>; add optionality markers to Primitive types UML diagram. Removed erroneous aggregation marker from ARCHETYPE_ONTOLOGY.<i>parent_archetype</i> and ARCHETYPE_DESCRIPTION.<i>parent_archetype</i>.</p> | D Lloyd | 20 Jan 2005 |

| Issue | Details | Raiser | Completed |
|-------|--|--|-------------|
| 0.5 | CR-000110. Update ADL document and create AOM document. Includes detailed input and review from: - DSTC - CHIME, Uuniversity College London - Ocean Informatics Initial Writing. Taken from ADL document 1.2draft B. | T Beale A Goodchild Z Tun T Austin D Kalra N Lea D Lloyd S Heard T Beale | 10 Nov 2004 |

Trademarks

Microsoft is a trademark of the Microsoft Corporation

Acknowledgements

The work reported in this document was funded by:

- Ocean Informatics;
- University College London (UCL), Centre for Health Informatics and Multi-professional Education (CHIME).

Table of Contents

| | | |
|----------|--------------------------------------|-----------|
| 1 | Introduction..... | 8 |
| 1.1 | Purpose | 8 |
| 1.2 | Related Documents..... | 8 |
| 1.3 | Nomenclature | 8 |
| 1.4 | Status | 8 |
| 1.5 | Tools | 8 |
| 1.6 | Changes from Previous Versions..... | 9 |
| 1.6.1 | Version 2.0 to 2.1..... | 9 |
| 1.6.2 | Version 0.6 to 2.0..... | 9 |
| 2 | Background | 11 |
| 2.1 | Architectural Context | 11 |
| 2.2 | Basic Semantics..... | 12 |
| 2.2.1 | Archetype Relationships | 12 |
| 2.2.2 | Templates | 12 |
| 2.3 | The Development Environment | 13 |
| 2.3.1 | Model / Syntax Relationship..... | 13 |
| 2.3.2 | The Development Process..... | 13 |
| 2.3.3 | Compilation..... | 14 |
| 2.3.4 | Optimisations | 16 |
| 3 | Model Overview | 17 |
| 3.1 | Package Structure | 17 |
| 4 | The Archetype Package..... | 18 |
| 4.1 | Overview | 18 |
| 4.1.1 | Archetype Identification..... | 18 |
| 4.1.2 | Descriptive Meta-data | 20 |
| 4.1.3 | Common Structural Parts | 20 |
| 4.1.4 | Structural Variants | 20 |
| 4.2 | Class Descriptions | 23 |
| 4.2.1 | ARCHETYPE Class..... | 23 |
| 4.2.2 | ARCHETYPE_HRID Class..... | 25 |
| 4.2.3 | VERSION_STATUS Class..... | 27 |
| 4.2.4 | DIFFERENTIAL_ARCHETYPE Class | 27 |
| 4.2.5 | FLAT_ARCHETYPE Class | 28 |
| 4.2.7 | VALIDITY_KIND Class..... | 29 |
| 4.3 | Validity Rules | 29 |
| 5 | Constraint Model Package..... | 31 |
| 5.1 | Overview | 31 |
| 5.2 | Semantics..... | 34 |
| 5.2.1 | All Node Types..... | 34 |
| 5.2.2 | Attribute Node Types | 34 |
| 5.2.3 | Object Node Types | 35 |
| 5.3 | Second Order Constraints..... | 39 |
| 5.3.1 | Tuple Constraints | 39 |
| 5.3.2 | Group Constraint..... | 41 |
| 5.3.3 | Assertions | 41 |

| | | |
|--|--|-----------|
| 5.4 | C_OBJECT Substitutions | 41 |
| 5.5 | Class Definitions | 42 |
| 5.5.12 | C_BOOLEAN Class | 61 |
| 5.5.13 | C_STRING Class | 61 |
| 5.5.14 | C_ORDERED Class | 62 |
| 5.5.15 | C_INTEGER Class | 63 |
| 5.5.16 | C_REAL Class | 63 |
| 5.5.17 | C_TEMPORAL Class | 63 |
| 5.5.18 | C_DATE Class | 63 |
| 5.5.19 | C_TIME Class | 64 |
| 5.5.20 | C_DATE_TIME Class | 65 |
| 5.5.21 | C_DURATION Class | 65 |
| 5.5.22 | C_TERMINOLOGY_CODE Class | 66 |
| 5.5.23 | TERMINOLOGY_CODE Class | 67 |
| 6 | The Assertion Package | 69 |
| 6.1 | Overview | 69 |
| 6.2 | Semantics | 70 |
| 6.3 | Class Descriptions | 70 |
| 6.3.1 | RULE_STATEMENT Class | 70 |
| 6.3.2 | ASSERTION Class | 70 |
| 6.3.3 | VARIABLE_DECLARATION Class | 71 |
| 6.3.4 | EXPR_VARIABLE Class | 71 |
| 6.3.5 | BUILTIN_VARIABLE Class | 72 |
| 6.3.6 | QUERY_VARIABLE Class | 72 |
| 6.3.7 | EXPR_ITEM Class | 73 |
| 6.3.8 | EXPR_LEAF Class | 73 |
| 6.3.9 | EXPR_CONSTANT Class | 74 |
| 6.3.10 | EXPR_CONSTRAINT Class | 74 |
| 6.3.11 | EXPR_ARCHETYPE_ID_CONSTRAINT Class | 74 |
| 6.3.12 | EXPR_MODEL_REF Class | 75 |
| 6.3.13 | EXPR_VARIABLE_REF Class | 75 |
| 6.3.14 | EXPR_OPERATOR Class | 76 |
| 6.3.15 | EXPR_UNARY_OPERATOR Class | 76 |
| 6.3.16 | EXPR_BINARY_OPERATOR Class | 76 |
| 6.3.17 | OPERATOR_KIND Class | 78 |
| 7 | vtTerminology Package | 80 |
| 7.1 | Overview | 80 |
| 7.2 | Semantics | 82 |
| 7.2.1 | Specialisation Depth | 82 |
| 7.3 | Class Descriptions | 82 |
| 7.3.1 | ARCHETYPE_TERMINOLOGY Class | 82 |
| 7.3.2 | ARCHETYPE_TERM Class | 85 |
| Algorithms 87 | | |
| Validation of Specialised Archetype 87 | | |
| Inheritance-flattening 90 | | |
| 7.3.3 | What is a Redefined Node? | 90 |

1 Introduction

1.1 Purpose

This document contains the definitive formal statement of archetype semantics, in the form of an object model for archetypes. The model presented here can be used as a basis for building software that processes archetypes, independent of their persistent representation; equally, it can be used to develop the output side of parsers that process archetypes in a linguistic format, such as the *openEHR* Archetype Definition Language (ADL) [4], XML-instance and so on. As a specification, it can be treated as an API for archetypes.

It is recommended that the *openEHR* ADL document [4] be read in conjunction with this document, since it contains a detailed explanation of the semantics of archetypes, and many of the examples are more obvious in ADL, regardless of whether ADL is actually used with the object model presented here or not.

1.2 Related Documents

Prerequisite documents for reading this document include:

- The *openEHR* Architecture Overview

Related documents include:

- The *openEHR* Archetype Definition Language (ADL)
- The *openEHR* Archetype Profile (oAP)
- The *openEHR* Template Object Model (TOM)

1.3 Nomenclature

In this document, the term ‘attribute’ denotes any stored property of a type defined in an object model, including primitive attributes and any kind of relationship such as an association or aggregation. XML ‘attributes’ are always referred to explicitly as ‘XML attributes’.

1.4 Status

This document is under development, and is published as a proposal for input to standards processes and implementation works.

The most recent official release of this document is available at <http://www.openehr.org/releases/latest>.

The development version of this document can be found at <http://www.openehr.org/releases/trunk/architecture/am/aom1.5.pdf>.

Blue text indicates sections under active development.

1.5 Tools

Various tools exist for creating and processing archetypes. The *openEHR* tools are available in source and binary form from the website (<http://www.openEHR.org>).

1.6 Changes from Previous Versions

1.6.1 Version 2.0 to 2.1

The changes in version 2.1 are made to better facilitate the representation of specialised archetypes. The key semantic capability for specialised archetypes is to be able to support a differential representation, i.e. to express a specialised archetype only in terms of the changed or new elements in its definition, rather than including a copy of unchanged elements. Doing the latter is clearly unsustainable in terms of change management. The 2.0 model already supported differential representation, but somewhat inconveniently.

The changes are as follows.

- The addition of two new classes `DIFFERENTIAL_ARCHETYPE` and `FLAT_ARCHETYPE` which are variants of `ARCHETYPE` class, which is now abstract.
- The addition of two attributes to the `C_ATTRIBUTE` class, allowing the inclusion of a path and a flag including that the matches (ϵ) operator is to be negated for this attribute. The former allows for specialised archetype redefinitions deep within a structure to be stated with respect to a path rather than having to include the ADL blocks to descend from the top to the point of redefinition. The matches negation flag allows specialised archetypes to state constraints by value exclusion rather than inclusion, which experience has shown is very convenient for some kinds of constraints. All the changes in this version are found in the `constraint_model` and `primitive` packages.
- The `C_DEFINED_OBJECT` *default_value* function has been renamed to `default_value`, in order to properly represent its meaning (it is a generated value, not a set value) and to avoid a name clash with the *openEHR* Template *default_value* attribute defined in a descendant of the `C_DEFINED_OBJECT` class.
- The addition of two new classes `DIFFERENTIAL_ARCHETYPE_TERMINOLOGY` and `FLAT_ARCHETYPE_TERMINOLOGY`, which are variants of `ARCHETYPE_TERMINOLOGY`, which is now abstract.
- The name of the *invariant* attribute has been changed to *rules*, to better reflect its purpose.

1.6.2 Version 0.6 to 2.0

As part of the changes carried out to ADL version 1.3, the archetype object model specified here is revised, also to version 2.0, to indicate that ADL and the AOM can be regarded as 100% synchronised specifications.

- added a new attribute *adl_version*: String to the `ARCHETYPE` class;
- changed name of `ARCHETYPE.concept_code` attribute to *concept*.

2 Background

2.1 Architectural Context

Archetypes form the second layer of the *openEHR* semantic architecture. They provide a way of creating models of domain content, expressed in terms of constraints on a reference model. Archetype paths provide the basis of querying in *openEHR* as well as bindings to terminology.

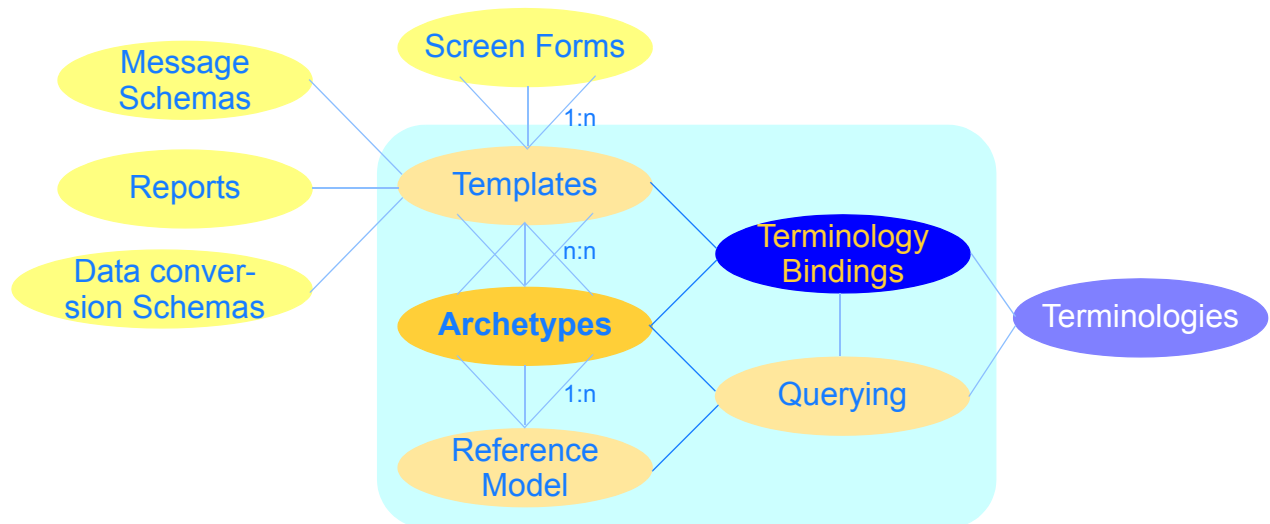


FIGURE 1 The *openEHR* Semantic Architecture

The semantics of archetypes are defined by the following specifications:

- the *openEHR* Archetype Object Model (AOM);
- the [openEHR Archetype Profile \(oAP\)](#);
- the [Archetype Definition Language \(ADL\)](#).

The AOM is the definitive formal expression of archetype semantics, and is independent of any particular syntax. **The main purpose of the AOM specification is to inform developers how to build software.**

The purpose of the *openEHR* Archetype Profile is to provide custom archetype classes for the *openEHR* reference model.

The *openEHR* archetype framework is described in terms of Archetype Definitions and Principles and *openEHR* Distributed Development Model documents..

The Archetype Definition Language (ADL) is a formal abstract syntax for archetypes, and can be used to provide a default serial expression of archetypes. It is the **primary document for understanding the semantics of archetypes.**

The semantics defined in the AOM and the Archetype Profile are used to express the object structures of source archetypes and flattened archetypes. With the addition of a small number of primitives defined in the Template Object Model (TOM), they also express the source and flattened form of *openEHR* templates. The two source forms are authored by users using tools, while the two flat forms are generated by tools. The rules for how to use the AOM for each of these forms is described in details in this specification.

2.2 Basic Semantics

Archetypes are topic- or theme-based models of domain content, expressed in terms of constraints on a reference information model. Since each archetype constitutes an encapsulation of a set of data points pertaining to a topic, it is of a manageable, limited size, and has a clear boundary. For example an ‘Apgar result’ archetype of the *openEHR* reference model class `OBSERVATION` contains the data points relevant to Apgar score of a newborn, while a ‘blood pressure measurement’ archetype contains data points relevant to the result and measurement of blood pressure. Archetypes are assembled by templates to form structures used in computational systems, such as document definitions, message definitions and so on.

2.2.1 Archetype Relationships

A ‘system’ of archetypes is a collection of archetypes covering all or part of a domain, such as clinical medicine. Apart from versioning, two kinds of relationship can exist between archetypes in the system: specialisation and composition. The specialisation relationship in particular affects the parsing and validation of archetypes in the system.

Archetype Specialisation

An archetype can be specialised in a descendant archetype in a similar way to a subclass in an object-oriented programming environment. Specialised archetypes are, like classes, expressed in a *differential* form with respect to the parent archetype. This is a necessary pre-requisite to sustainable management of specialised archetypes. An archetype is a specialisation of another archetype if it mentions that archetype as its parent, and only makes changes to its definition such that its constraints are ‘narrower’ than those of the parent. The chain of archetypes from a specialised archetype back through all its parents to the ultimate parent is known as an *archetype lineage*. For a non-specialised (i.e. top-level) archetype, the lineage is just itself.

In order for specialised archetypes to be used, the differential form used for authoring has to be *flattened* through the archetype lineage to create *flat-form archetypes*, i.e. the standalone equivalent of a given archetype, as if it had been constructed on its own. A flattened archetype is expressed in the same serial and object form as a differential form archetype, although there are some slight differences in the semantics.

Any data created via the use of an archetype conforms to the flat form of the archetype, and to the flat form of every archetype up the lineage.

The semantics of specialisation are described in detail in the *openEHR* ADL specification.

Archetype Composition

If the interests of re-use and clarity of modelling, archetypes can be composed to form larger structures semantically equivalent to a single large archetype. Composition allows two things to occur: for archetypes to be defined according to natural ‘levels’ or encapsulations of information, and for the re-use of smaller archetypes by higher-level archetypes. There are two mechanisms for expressing composition: direct reference, and archetype *slots* which are defined in terms of constraints. The latter, unlike an object model, allows an archetype to have a composition relationship with any number of archetypes matching some constraint pattern. Depending on what archetypes are available within the system, the archetypes matched may vary.

2.2.2 Templates

In practical systems, archetypes are assembled into larger usable structures by the use of *openEHR* templates. A template is expressed in a source form similar to that of a specialised archetype, and

processed against an archetype library to product an operational template. The latter is like a large flat-form archetype, and is the form used for runtime validation, and also for the generation of all computational artefacts derived from templates. Semantically, templates perform three functions: aggregating multiple archetypes, removing elements not needed for the use case of the template, and narrowing some existing constraints, in the same way as specialised archetypes. The effect is to re-use needed elements from the archetype library, arranged in a way that corresponds directly to the use case at hand.

2.3 The Development Environment

2.3.1 Model / Syntax Relationship

The AOM can be considered as the model of an in-memory archetype or a template, or equivalently, the syntax tree for any syntax form of the same. The abstract syntax form of an archetype is ADL, but an archetype may just as easily be parsed from and serialised to XML. The in-memory archetype representation may also be created by calls to a suitable AOM construction API, from an archetype or template editing tool. These relationships, and the relation between each form and its specification are shown in FIGURE 2.

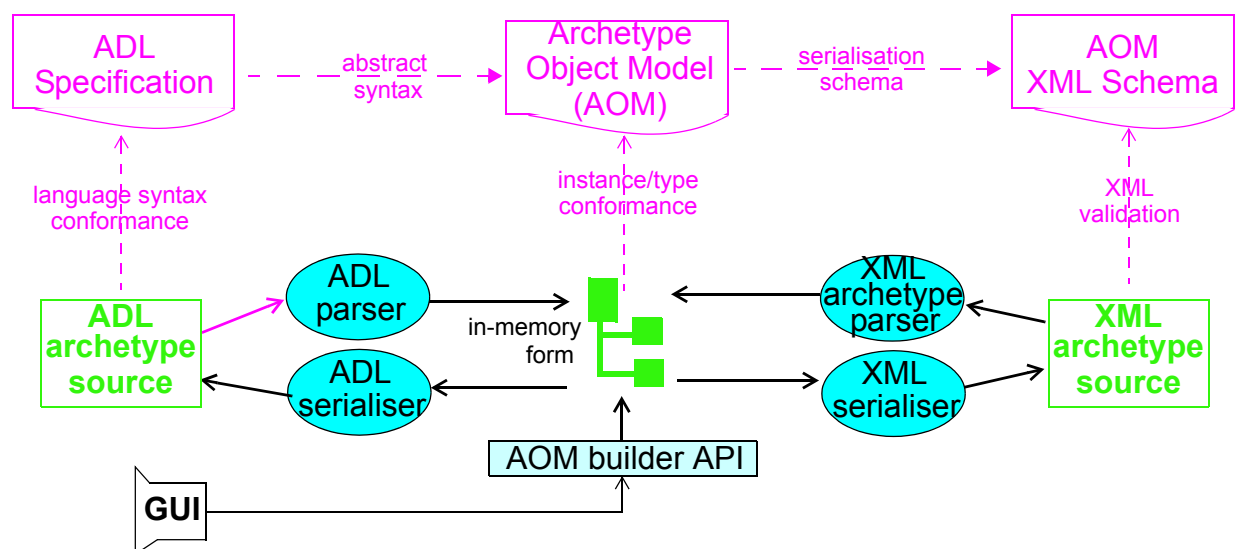


FIGURE 2 Relationship of archetype in-memory and syntax forms

The existence of source and flat form archetypes and templates, potentially in multiple serialised formats may initially appear confusing, although any given environment tends to use a single serialised form. FIGURE 3 illustrates all possible archetype and template artefact types, including file types, and shows which specifications they are defined by.

2.3.2 The Development Process

Archetypes and templates are authored and transformed according to a number of steps very similar to class definitions within an object-oriented programming environment. The activities in the process are as follows:

- *archetype authoring*: creates source-form archetypes, expressed in AOM objects;
- *archetype validation*: creates flattened archetypes;

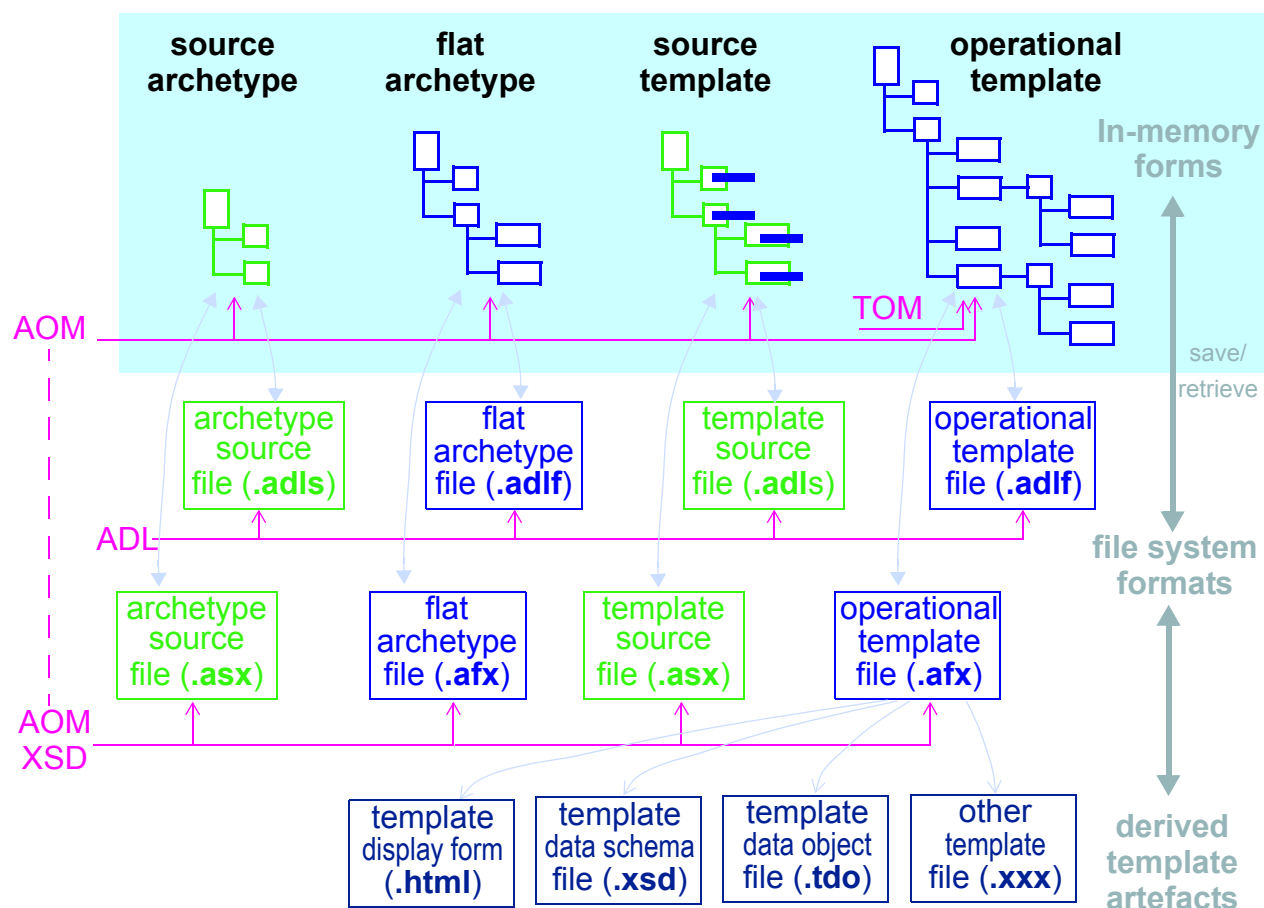


FIGURE 3 Relationship of computational artefacts and specifications

- *template authoring*: creates source-form templates that reference archetypes; also expressed as AOM / TOM objects;
- *operational template generation*: creates fully flattened archetype-based templates.

The tool chain for the process is illustrated in FIGURE 4. From a business point of view, template authoring is the starting point. A template references one or more archetypes, so its compilation (parsing, validation, flattening) involves both the template source and the validated, flattened forms of the referenced archetypes. With these as input, a template flattener can generate the final output, an operational template.

2.3.3 Compilation

A tool that parses, validates, flattens and serialises a library of archetypes is called a compiler. Due to archetype specialisation, *archetype lineages* rather than just single archetypes are processed - i.e. specialised archetypes can only be compiled in conjunction with their specialisation parents up to the top level. For any given lineage, compilation proceeds from the top-level archetype downward. Each archetype is validated, and if it passes, flattened with the parent in the chain. This continues until the archetype originally being compiled is reached. In the many cases of archetypes with no specialisations, compilation involves the one archetype only.

FIGURE 5 illustrates the object structures for an archetype lineage as created by a compilation process, with the elements corresponding to the top-level archetype bolded. Differential input file(s) are converted by the parser into differential object parse trees, shown at the right of the figure. The same structures would be created by an editor application.

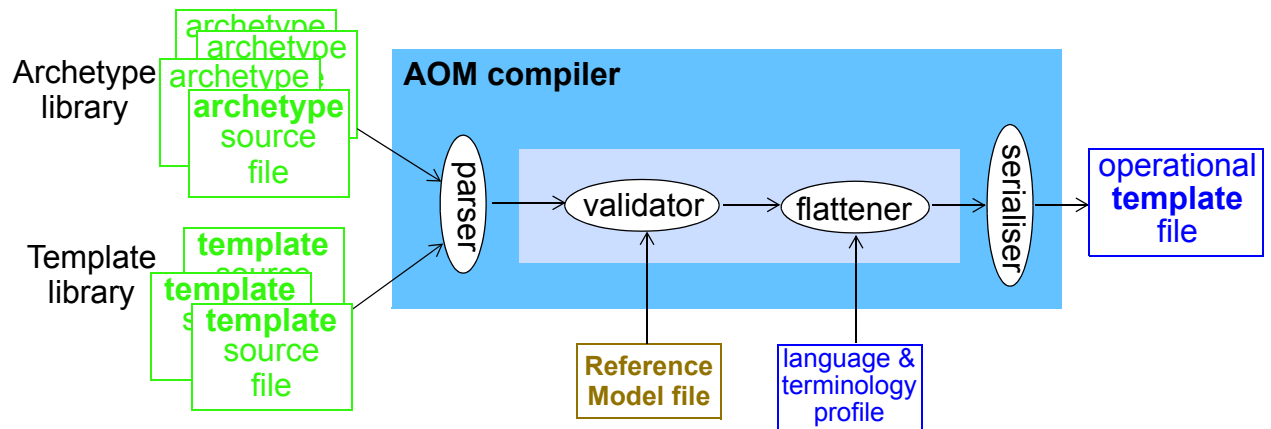


FIGURE 4 Archetype / template tool chain

The differential in-memory representation is validated by the semantic checker, which verifies numerous things, such as that term codes referenced in the definition section are defined in the terminology section. It can also validate the classes and attributes mentioned in the archetype against a specification for the relevant reference model¹.

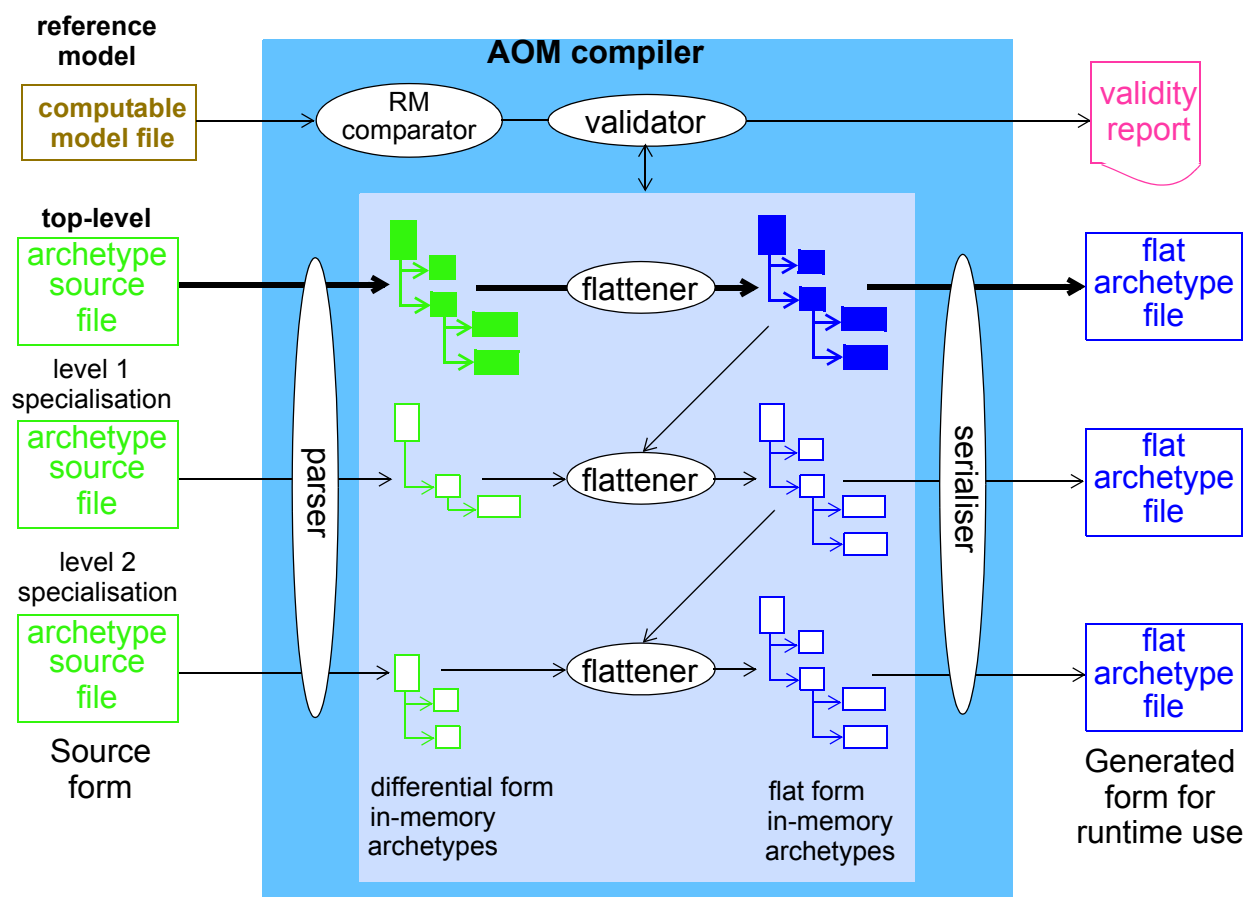


FIGURE 5 Computational model of archetype compilation

1. A dADL expression of the *openEHR* reference model is available for this purpose.

The results of the compilation process can be seen in the archetype visualisations in the *openEHR* ADL Workbench¹.

2.3.4 Optimisations

There is a subtlety in dealing with syntax and in-memory forms of archetypes and templates which becomes important in *openEHR* system design. Artefacts authored by whatever means, including by users with a tool (which may be as simple as a text editor), should always be considered ‘suspect’ until proven otherwise by reliable validation. This is true regardless of the original syntax - ADL, XML or something else. Once validated however, the flat form can be reserialised both in a format suitable for editor tools to use (ADL, XML, ...), and also in a format that can be regarded as a reliable pure object serialisation of the in-memory structure. The latter form is often XML-based, but can be any object representation form, such as JSON, the *openEHR* dADL syntax, a binary form, or a data-base structure. It will not be an abstract syntax form such as ADL, since there is an unavoidable semantic transformation required between the abstract syntax and object form.

The goal of this pure object serialisation is that it can be used as *persistence* of the validated artefact, to be converted to in-memory form using only generic object deserialisation, rather than the typical multi-pass compiler/validator that needs to be used for parsing an artefact of unreliable / unknown origin. This allows such validated artefacts to be used in both design environments and more importantly, runtime systems with no danger of compilation errors. It is the same principle used in creating .jar files from Java source code, and .Net assemblies from C# source code.

Within *openEHR* environments, managing the authoring and persisted forms of archetypes is achieved using various mechanisms including digital signing, which are described in the *openEHR* Distributed Development Model document.

1. See http://www.openehr.org/svn/ref_impl_eiffel/TRUNK/apps/doc/adl_workbench_help.htm

3 Model Overview

The model described here is a pure object-oriented model that can be used with archetype parsers and software that manipulates archetypes and templates. It is independent of any particular serialised expression of an archetype, such as ADL or OWL, and can therefore be used with any kind of parser.

It is dependent on the *openEHR* Support model (assumed types and identifiers), as small number of the *openEHR* Data types IM, and the `AUTHORED_RESOURCE` classes from the *openEHR* Common IM.

3.1 Package Structure

The *openEHR* Archetype Object Model is defined as the package `am.archetype`, as illustrated in FIGURE 6. It is shown in the context of the *openEHR* `am.archetype` packages.

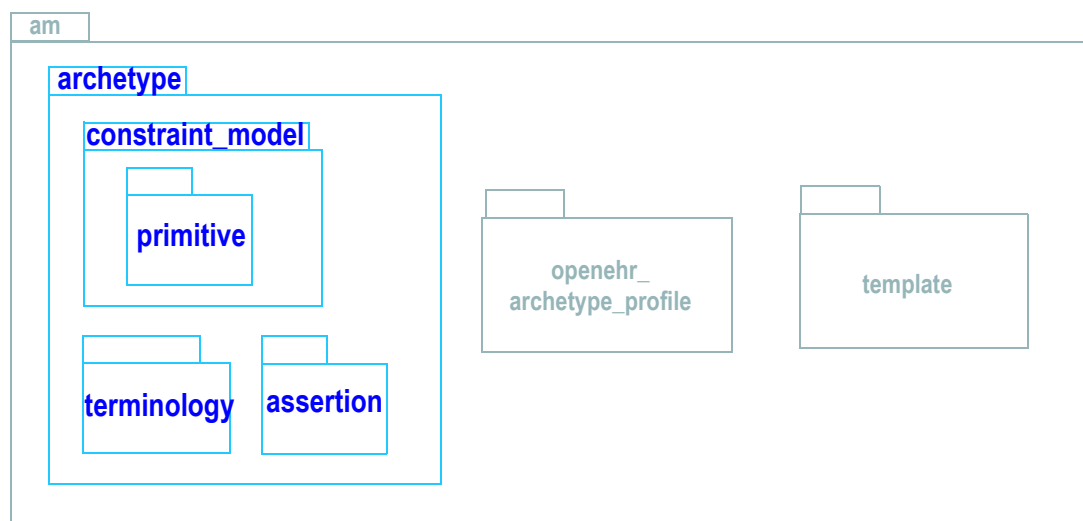


FIGURE 6 openehr.am.archetype Package

4 The Archetype Package

4.1 Overview

The top-level model of archetypes and templates (all variant forms) is illustrated in FIGURE 7. The model defines a standard structural representation of an archetype, typically deserialised from either the *openEHR* ADL format, or an ‘object graph’ serialisation in a format such as ODIN, XML, JSON or YAML. The class `ARCHETYPE` is a descendant of the `AUTHORED_RESOURCE` class, which provides a standardised model of descriptive meta-data, language information, annotations and revision history. The `ARCHETYPE` class adds a number of identifying attributes and flags, along with the substantive parts of the definition, namely *definition*, optional *rules* part, and *terminology*.

4.1.1 Archetype Identification

All archetype variants have a number of identifying properties defined by the `ARCHETYPE` and associated `ARCHETYPE_HRID` classes. The *archetype_id* attribute defines the mandatory multi-axial identifier of an archetype, while the optional *uid* attribute supports an ISO Oid or GUID style identifier. This will probably become mandatory in the future, in order to properly support lifecycle management. Archetypes created new in tools should be given a GUID as a default uid.

The class `ARCHETYPE_HRID` defines an atomised representation of the Archetype *archetype_id* (and also *archetype_parent_id*, for specialised archetypes), enabling variant forms to be used as needed. Its various parts can be understood from the following diagram, which also shows the computed *interface_id* and *physical_id* in various forms. A full explanation of the identification system is given in the openEHR Knowledge Artefact Identification specification.

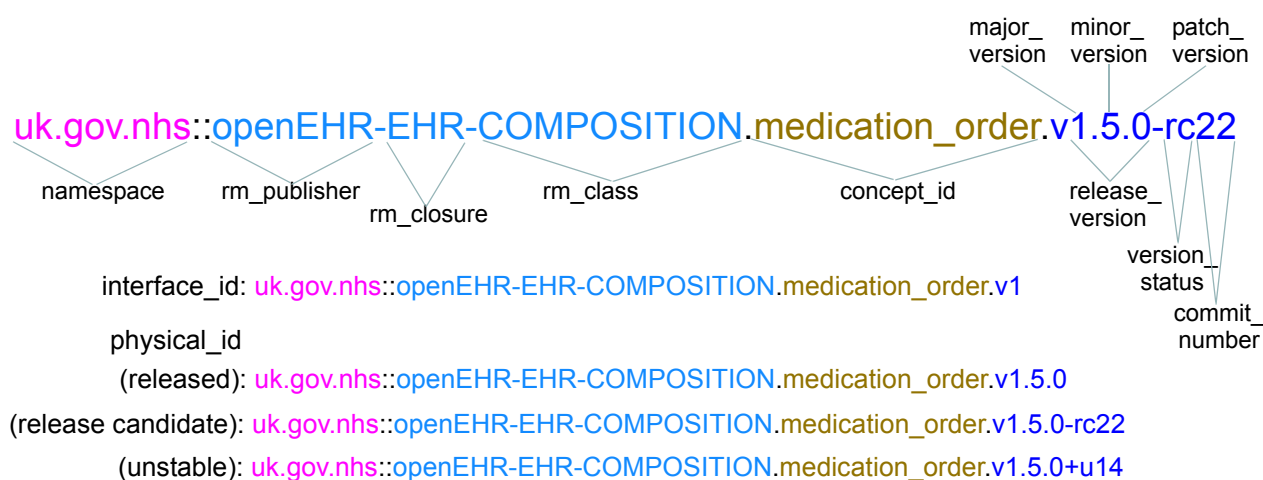


FIGURE 8 ARCHETYPE_HRID structure

The *adl_version* attribute in ADL 1.4 was used to indicate the ADL release used in the archetype-source file from which the AOM structure was created (the version number comes from the revision history of the *openEHR* ADL specification). In the current and future AOM and ADL specifications, the meaning of this attribute is generalised to mean ‘the version of the archetype formalism’ in which the current archetype is expressed. For reasons of convenience, the version number is still taken from the ADL specification, but now refers to all archetype-related specifications together, since they are always updated in a synchronised fashion.

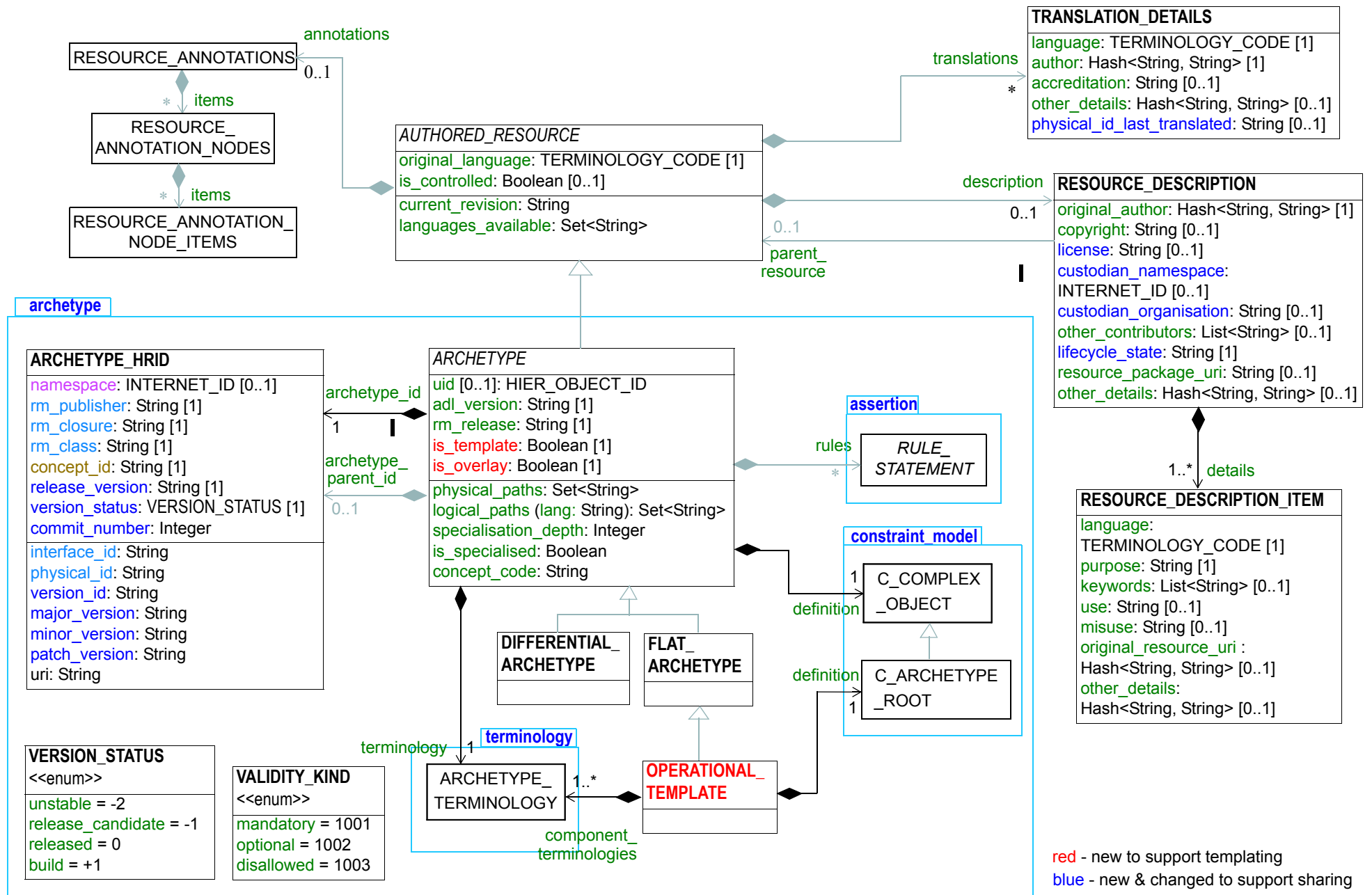


FIGURE 7 openehr.am.archetype Package

The *rm_release* property includes the release id of the reference model on which the archetype was based, in the archetype's current version. This should be in the same semver.org 3-part form as the `ARCHETYPE_HRID.release_version` property, e.g. "1.0.2". This property does not indicate conformance to any particular reference model versions, since most archetypes can easily conform to more than one. More minimal archetypes are likely to technically conform to more old and future releases than more complex archetypes.

4.1.2 Descriptive Meta-data

4.1.3 Common Structural Parts

The archetype *definition* is the main definitional part of an archetype and is an instance of a `C_COMPLEX_OBJECT` (or its descendant `C_ARCHETYPE_ROOT`, in the case of a template). This means that the root of the constraint structure of an archetype always takes the form of a constraint on a non-primitive object type.

The *terminology* section of an archetype is represented by its own classes, and is what allows archetypes to be natural language- and terminology-neutral.

In addition to this, an archetype may include one or more *rules*. Rules are statements expressed in a subset of predicate logic, which can be used to state constraints on multiple parts of an object. They are not needed to constrain single attributes or objects (since this can be done with an appropriate `C_ATTRIBUTE` or `C_OBJECT`), but are necessary for constraints referring to more than one attribute, such as a constraint that 'systolic pressure should be \geq diastolic pressure' in a blood pressure measurement archetype. They can also be used to declare variables, including external data query results, and make other constraints dependent on a variable value, e.g. the gender of the record subject.

A utility class, `VALIDITY_KIND` is included in the Archetype package. This class contains one integer attribute and three constant definitions, and is intended to be used as the type of any attribute in this constraint model whose value is logically 'mandatory', 'optional', or 'disallowed'. It is used in this model in the classes `C_Date`, `C_Time` and `C_Date_Time`.

Lastly, *annotations* and *revision history* sections, inherited from the `AUTHORED_RESOURCE` class, can be included as required. The annotations section is of particular relevance to archetypes and templates, and is used to document individual nodes within an archetype or template, and/or nodes in reference model data, that may not be constrained in the archetype, but whose specific use in the archetyped data needs to be documented. In the former case, the annotations are keyed by an archetype path, while in the latter case, by a reference model path.

4.1.4 Structural Variants

The model in FIGURE 7 defines the structures of a number of variants of the 'archetype' idea. All concrete instances are instances of one of the concrete descendants of `ARCHETYPE`. FIGURE 9 illustrates the typical object structure of a *source archetype* - the form of archetype created by an authoring tool - represented by a `DIFFERENTIAL_ARCHETYPE` instance. Mandatory parts are shown in bold.

Source archetypes can be specialised, in which case their definition structure is a partial overlay on the flat parent, or 'top-level', in which case the definition structure is complete. `C_ARCHETYPE_ROOT` instances may only occur representing direct references to other archetypes - 'external references'.

A *flat archetype* is generated from one or more source archetypes via the flattening process described in the next chapter of this specification, (also in the ADL specification). This generates a `FLAT_ARCHETYPE` from a `DIFFERENTIAL_ARCHETYPE` instance. The main two changes that occur in

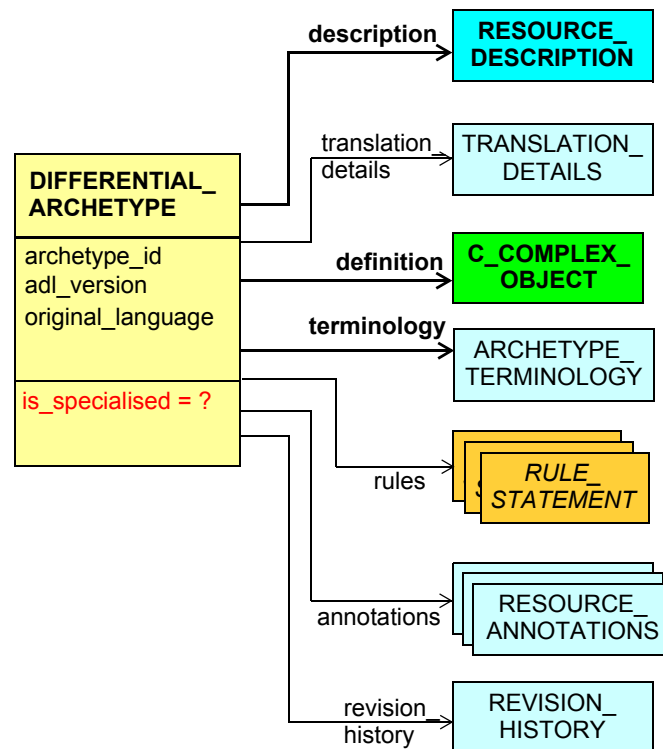


FIGURE 9 Source archetype instance structure

this operation are a) specialised archetype overlays are applied to the flat parent structure, resulting in a full archetype structure, and b) internal references (use_nodes) are replaced by their expanded form, i.e. a copy of the subtrees to which they point.

This form is used to represent the full ‘operational’ structure of a specialised archetype, and has two uses. The first is to generate backwards compatible ADL 1.4 legacy archetypes (always in flat form); the second is during the template flattening process, when the flat forms of all referenced archetypes and templates are ultimately combined into a single operational template.

For either immediate descendant of the `ARCHETYPE` class, if the property `is_template = True`, the structure is a template.

FIGURE 10 illustrates the structure of a *source template*, i.e. instances of `DIFFERENTIAL_ARCHETYPE` class with `is_template = True`. A source template is an archetype containing `C_ARCHETYPE_ROOT` objects representing slot fillers - each referring to an external archetype or template, or potentially an overlay archetype.

Another archetype variant, also shown in FIGURE 10 is the *template overlay*, i.e. `DIFFERENTIAL_ARCHETYPE` instances with `is_template`, `is_overlay` and `is_specialised` all set to `True`. These are purely local components of templates, and include only the *definition* and *terminology*. The definition structure is always a specialised overlay on something else, and may not contain any slot fillers or external references, i.e. no `C_ARCHETYPE_ROOT` objects. *No identifier, adl_version, languages or description are required, as they are considered to be propagated from the owning root template.* Accordingly, template overlays act like a simplified specialised archetype. Template overlays can be thought of as being similar to ‘anonymous’ or ‘inner’ classes in some object-oriented programming languages. (There is no technical reason why overlay *archetypes*, i.e. `is_template = False`, could not also exist, but as yet there seems no obvious practical use).

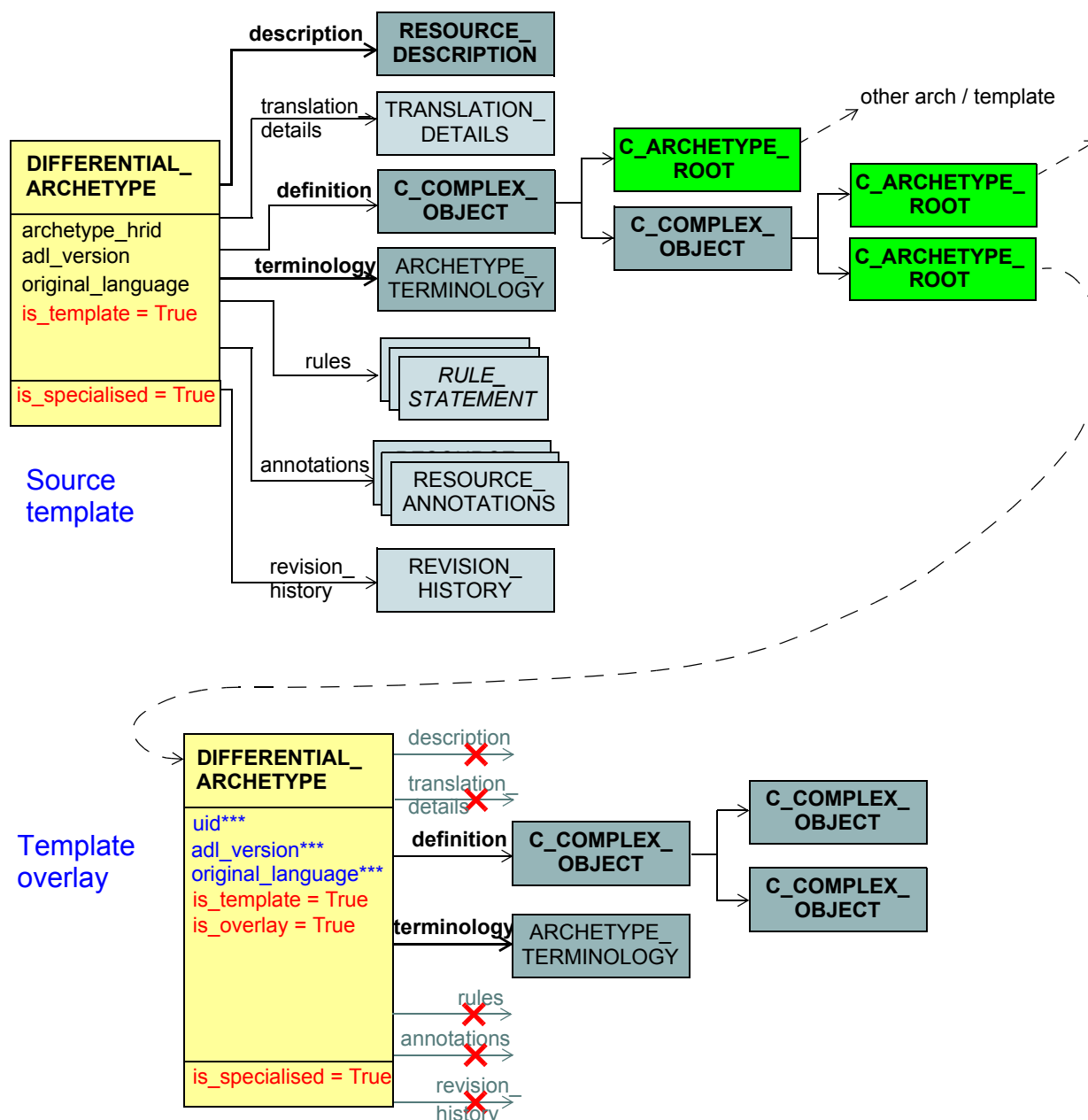


FIGURE 10 Source template instance structures

TBD_1: removal of the propagated parts has not been completely implemented yet

FIGURE 11 illustrates the resulting operational template, or compiled form of a template. This is created by building the composition of referenced archetypes and/or templates and/or template overlays, in their flattened form, to generate a single 'giant' archetype. The root node of this archetype, along with every archetype/template root node within, is represented using a **C_ARCHETYPE_ROOT** object. An operational template also has a *component terminologies* property containing the ontologies from every constituent archetype, template and overlay.

More details of template development, representation and semantics are described in the next section.

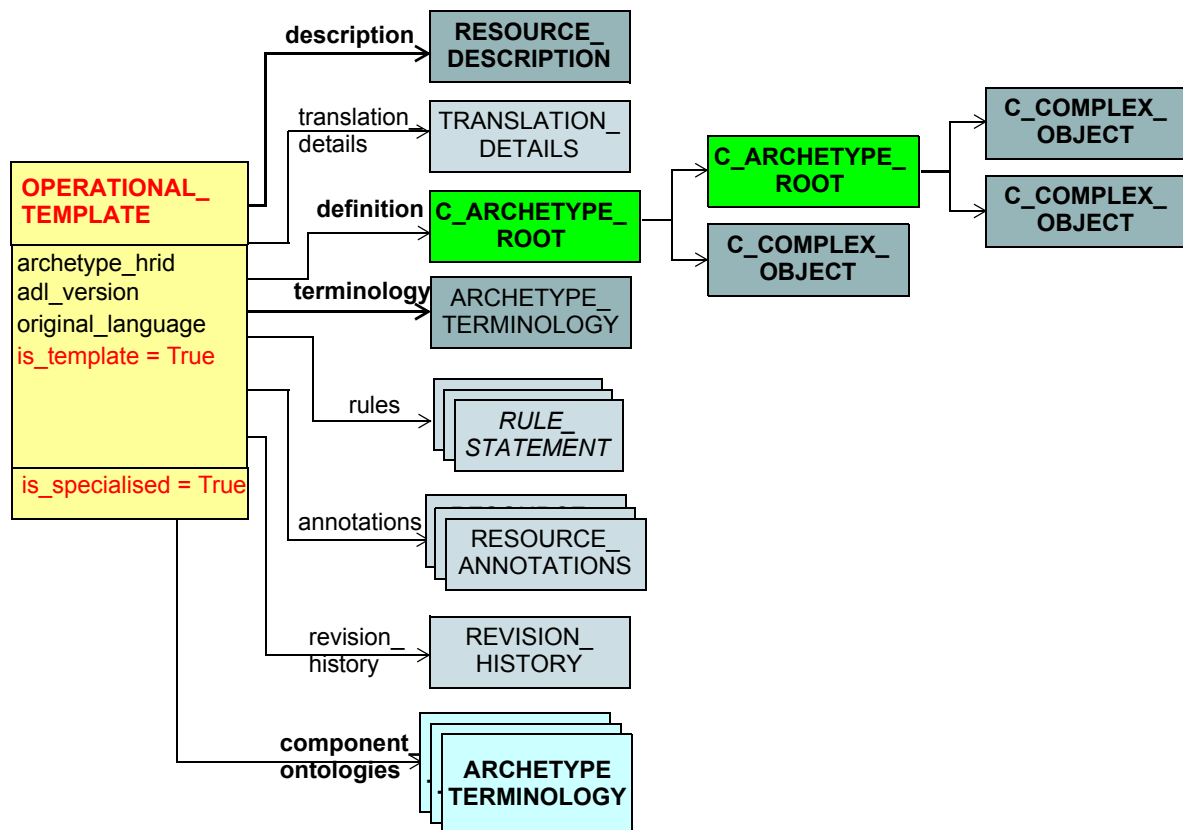


FIGURE 11 Operational template instance structure

4.2 Class Descriptions

4.2.1 ARCHETYPE Class

| CLASS | ARCHETYPE (abstract) | |
|------------|--|---|
| Purpose | Root object of an archetype. Defines semantics of identification, lifecycle, versioning, composition and specialisation. | |
| Inherit | AUTHORED_RESOURCE | |
| Attributes | Signature | Meaning |
| 0..1 | adl_version: String | ADL version if archetype was read in from an ADL shareable archetype. |
| 0..1 | uid: HIER_OBJECT_ID | OID identifier of this archetype. |

| CLASS | ARCHETYPE (<i>abstract</i>) | |
|-----------|--|--|
| 0..1 | rm_release: String | Semver.org compatible release of the most recent reference model release on which the archetype in its current version is based. This does not imply conformance only to this release, since an archetype may be valid with respect to multiple releases of a reference model. |
| 1 | archetype_id: ARCHETYPE_HRID | Identifier of this archetype. |
| 0..1 | parent_archetype_id: ARCHETYPE_HRID | Identifier of the specialisation parent of this archetype. |
| 1 | is_template: Boolean | Indicates the type of artefact, i.e. archetype, or template. If not present (e.g. in serialised forms), assumed to be False. |
| 1 | is_overlay: Boolean | Indicates the artefact to be an overlay of an archetype or template; if True, <i>is_specialised</i> must also be True. |
| 1 | definition: C_COMPLEX_OBJECT | Root node of this archetype |
| 1 | terminology: ARCHETYPE_TERMINOLOGY | The terminology of the archetype. |
| 0..1 | rules: List<RULE_STATEMENT> | Rules relating to this archetype. Statements are expressed in first order predicate logic, and usually refer to at least two attributes. |
| Functions | Signature | Meaning |
| 1 | concept_code: String <i>ensure</i> Result.is_equal (definition.node_id) | The concept code of the root object of the archetype, also standing for the concept of the archetype as a whole. |
| 1 | physical_paths: Set<String> | Set of language-independent paths extracted from archetype. Paths obey Xpath-like syntax and are formed from alternations of C_OBJECT. <i>node_id</i> and C_ATTRIBUTE. <i>rm_attribute_name</i> values. |
| 1 | logical_paths (a_lang: String): Set<String> | Set of language-dependent paths extracted from archetype. Paths obey the same syntax as <i>physical_paths</i> , but with <i>node_ids</i> replaced by their meanings from the terminology. |

| CLASS | ARCHETYPE (<i>abstract</i>) | |
|------------------|--|--|
| | is_specialised: Boolean <i>ensure</i> <i>Result implies</i> parent_archetype_hrid /= Void | True if this archetype is a specialisation of another. |
| | specialisation_depth: Integer <i>ensure</i> <i>Result =</i> terminology. specialisation_depth | Specialisation depth of this archetype; larger than 0 if this archetype has a parent. Derived from the specialisation depth of <i>concept_code</i> . |
| Invariant | -- invariants for all archetypes <i>Uid_validity:</i> uid /= Void implies not uid.is_empty <i>Template_validity:</i> is_template implies is_specialised <i>Overlay_validity:</i> is_overlay implies (is_specialised and is_template) <i>Original_language_valid:</i> original_language /= void and language /= Void and then code_set (Code_set_id_languages).has_code (original_language) <i>Concept_valid:</i> terminology.has_term_code (concept_code) <i>Definition_exists:</i> definition /= Void <i>Terminology_exists:</i> terminology /= Void <i>Specialisation_validity:</i> is_specialised implies specialisation_depth > 0 <i>Rules_valid:</i> rules /= Void implies not rules.is_empty -- template / overlay invariants <i>Archetype_id_validity:</i> is_overlay xor archetype_id /= Void <i>Template_uid_validity:</i> is_overlay implies uid /= Void <i>Description_existence:</i> is_overlay implies description = Void <i>Annotations_existence:</i> is_overlay implies annotations = Void <i>Rules_existence:</i> is_overlay implies rules = Void | |

4.2.2 ARCHETYPE_HRID Class

| CLASS | ARCHETYPE_HRID | |
|----------------|--|--|
| Purpose | Human_readable identifier (HRID) for an archetype or template. | |
| Attributes | Signature | Meaning |
| 0..1 | namespace: INTERNET_ID | Reverse domain name namespace identifier. |
| 1 | rm_publisher: String | Name of the Reference Model publisher. |
| 1 | rm_closure: String | Name of the package in whose closure the <i>rm_class</i> class is found (there can be more than one possibility in a reference model). |
| 1 | rm_class: String | Name of the root class of this archetype. |

| CLASS | ARCHETYPE_HRID | |
|-----------|---|--|
| 1 | concept_id : String | The short concept name of the archetype as used in the multi-axial <i>archetype_hrid</i> . |
| 1 | release_version : String | The full numeric version of this archetype consisting of 3 parts, e.g. 1.8.2. The <i>archetype_hrid</i> feature includes only the major version. |
| 1 | version_status : VERSION_STATUS | The status of the version. |
| 1 | commit_number : Integer | Commit number of this archetype. This is a number that advances from 1 and is reset for each new value of <i>release_version</i> . |
| Functions | Signature | Meaning |
| | interface_id : String | The 'interface' form of the HRID, i.e. down to the major version. |
| | physical_id : String | The 'physical' form of the HRID, i.e. with complete version information. |
| | version_id : String | Full version identifier string, based on <i>release_version</i> and <i>lifecycle</i> , e.g. 1.8.2-rc4. |
| | major_version : String | Major version of this archetype, extracted from <i>release_version</i> . |
| | minor_version : String | Minor version of this archetype, extracted from <i>release_version</i> . |
| | patch_version : String | Patch version of this archetype, extracted from <i>release_version</i> . Equivalent to patch version in patch version in semver.org sytem. |
| | uri : String | Generated URI based on <i>physical_id</i> . |
| Invariant | <i>Rm_publisher_validity</i> : rm_publisher != Void and then not rm_publisher.is_empty <i>Rm_closure_validity</i> : rm_closure != Void and then not rm_closure.is_empty <i>Rm_class_name_validity</i> : rm_class != Void and then not rm_class.is_empty <i>Concept_id_validity</i> : concept_id != Void and then not concept_id.is_empty <i>Base_version_validity</i> : release_version != Void and then not release_version.is_empty <i>Commit_number_validity</i> : commit_number > 0 | |

4.2.3 VERSION_STATUS Class

| CLASS | VERSION_STATUS | |
|-------------------|---|--|
| Purpose | Status of this version, as one of a number of possible values: uncontrolled, pre-release, release, build. | |
| Attributes | Signature | Meaning |
| | unstable: Integer = -2 | Value representing a version which is ‘unstable’, i.e. contains an unknown size of change with respect to its base version. Rendered with the build number as a string in the form “N.M.P+uB” e.g. “2.0.1+u154”. |
| | release_candidate: Integer = -1 | Value representing a version which is ‘release candidate’, i.e. contains only patch-level changes on the base version. Rendered as a string as “N.M.P-rcB” e.g. “2.0.1-rc27”. |
| | released: Integer = 0 | Value representing a version which is ‘released’, i.e. is the definitive base version. Rendered with the build number as a string in the form “N.M.P” e.g. “2.0.1”. |
| | build: Integer = 1 | Value representing a version which is a build of the current base release. Rendered with the build number as a string in the form “N.M.P+B” e.g. “2.0.1+33”. |
| Invariant | | |

4.2.4 DIFFERENTIAL_ARCHETYPE Class

| CLASS | DIFFERENTIAL_ARCHETYPE | |
|-------------------|---|----------------|
| Purpose | Root object of the differential form of an archetype or template. Also called the ‘source’ form, as this is the form of an archetype created by an editor tool. For non-specialised archetypes, this is the almost same as the flat form (apart from the expansion of internal references in the flat form). For specialised archetypes, only the differences with respect to the parent are represented. | |
| Inherit | ARCHETYPE | |
| Attributes | Signature | Meaning |
| Invariant | | |

4.2.5 FLAT_ARCHETYPE Class

| CLASS | FLAT_ARCHETYPE | |
|------------|--|---------|
| Purpose | Root object of the inheritance-flattened form of an archetype or template. | |
| Inherit | ARCHETYPE | |
| Attributes | Signature | Meaning |
| Invariant | | |

4.2.6 OPERATIONAL_TEMPLATE Class

| CLASS | OPERATIONAL_TEMPLATE | |
|------------------|--|--|
| Purpose | Root object of an operational template. An operational template is derived from a template definition and the archetypes mentioned by that template by a process of flattening, and potentially removal of unneeded languages and terminologies. | |
| Use | An operational template is used for generating and validating canonical openEHR data, and also as a source artefact for generating other downstream technical artefacts, including XML schemas, APIs and UI form definitions. | |
| Inherit | FLAT_ARCHETYPE | |
| Attributes | Signature | Meaning |
| 1 (redefined) | definition: C_ARCHETYPE_ROOT | Root node is replaced with a C_ARCHETYPE_ROOT. This has the effect that every interior node in the entire structure has a C_ARCHETYPE_ROOT above it. |
| 1 | component_terminologies: Hash <FLAT_ARCHETYPE_TERMINOLOGY, String> | Compendium of flattened ontologies of any archetypes externally referenced from this archetype, keyed by archetype identifier. This will almost always be present in a template. |
| Functions | Signature | Meaning |
| | component_terminology (an_id: String): FLAT_ARCHETYPE_TERMINOLOGY | Terminology for archetype or template component with identifier <i>an_id</i> . |
| Invariant | <i>Is_specialised</i> : is_specialised <i>Is_template</i> : is_template <i>Definition_existence</i> : definition != Void <i>Component_terminologies_existence</i> : component_terminologies != Void | |

4.2.7 VALIDITY_KIND Class

| CLASS | VALIDITY_KIND | |
|------------------|---|---|
| Purpose | An enumeration of three values which may commonly occur in constraint models. | |
| Use | Use as the type of any attribute within this model, which expresses constraint on some attribute in a class in a reference model. For example to indicate validity of Date/Time fields. | |
| Attributes | Signature | Meaning |
| 1 | mandatory : Integer = 1001 | Constant to indicate mandatory presence of something |
| 1 | optional : Integer = 1002 | Constant to indicate optional presence of something |
| 1 | disallowed : Integer = 1003 | Constant to indicate disallowed presence of something |
| Functions | Signature | Meaning |
| | valid_validity (n: Integer) : Boolean <i>ensure</i> n >= mandatory and n <= disallowed | Function to test validity values. |
| Invariant | | |

4.3 Validity Rules

The following validity rules apply to all varieties of `ARCHETYPE` object:

VARCN: archetype concept validity. The `node_id` of the root object of the archetype must be of the form `id1{.1}*`, where the number of `'1'` components equals the specialisation depth, and must be defined in the terminology.

VATDF: archetype term validity. Each archetype term ('at' code) of a given specialisation level used as a node identifier the archetype definition must be defined in the `term_definitions` part of the terminology of the current archetype or of a specialisation parent.

VACDF: constraint code validity. Each constraint code ('ac' code) of a given specialisation level used in the archetype definition part must be defined in the `constraint_definitions` part of the terminology of the current archetype or of a specialisation parent, according to specialisation level.

VETDF: external term validity. Each external term used within the archetype definition must exist in the relevant terminology (subject to tool accessibility; codes for inaccessible terminologies should be flagged with a warning indicating that no verification was possible).

VOTM: terminology translations validity. Translations must exist for term_definitions and constraint_definitions sections for all languages defined in the description / translations section.

VOKU: object key unique. Within any keyed list in an archetype, including the description, terminology, and annotations sections, each item must have a unique key with respect to its siblings.

VARDT: archetype definition typename validity. The typename mentioned in the outer block of the archetype definition section must match the type mentioned in the first segment of the archetype id.

VRANP: annotation path valid. Each path mentioned in an annotation within the annotations section must either be a valid archetype path, or a 'reference model' path, i.e. a path that is valid for the root class of the archetype.

VRRLP: rule path valid. Each path mentioned in a rule in the rules section must be found within the archetype, or be an RM-valid extension of a path found within the archetype.

The following validity rules apply to ARCHETYPE objects for which *is_overlay* = False:

VARID: archetype identifier validity. The archetype must have an identifier that conforms to the openEHR specification for archetype identifiers.

VDEOL: original language specified. An original_language section containing the meta-data of the original authoring language must exist.

VARD: description specified. A description section containing the main meta-data of the archetype must exist.

The following rules apply to specialised archetypes.

VASID: archetype specialisation parent identifier validity. The archetype identifier stated in the specialise clause must be the identifier of the immediate specialisation parent archetype.

VALC: archetype language conformance. The languages defined in a specialised archetype must be the same as or a subset of those defined in the flat parent.

VACSD: archetype concept specialisation depth. The specialisation depth of the concept code must be one greater than the specialisation depth of the parent archetype.

VATCD: archetype code specialisation level validity. Each archetype term ('at' code) and constraint code ('ac' code) used in the archetype definition part must have a specialisation level no greater than the specialisation level of the archetype.

5 Constraint Model Package

5.1 Overview

FIGURE 12 and FIGURE 13 illustrate the object model of constraints used in an archetype definition. This model is completely generic, and is designed to express the semantics of constraints on instances of classes which are themselves described in any orthodox object-oriented formalism, such as UML. Accordingly, the major abstractions in this model correspond to major abstractions in object-oriented formalisms, including several variations of the notion of ‘object’ and the notion of ‘attribute’. The notion of ‘object’ rather than ‘class’ or ‘type’ is used because archetypes are about constraints on data (i.e. ‘instances’, or ‘objects’) rather than models, which are constructed from ‘classes’. In this document, the word ‘attribute’ refers to any data property of a class, regardless of whether regarded as a ‘relationship’ (i.e. association, aggregation, or composition) or ‘primitive’ (i.e. value) attribute in an object model.

The definition part of an archetype is an instance of a `C_COMPLEX_OBJECT` and consists of alternate layers of *object* and *attribute* constrainer nodes, each containing the next level of nodes. At the leaves are primitive object constrainer nodes constraining primitive types such as `String`, `Integer` etc. There are also nodes that represent internal references to other nodes, constraint reference nodes that refer to a text constraint in the constraint binding part of the archetype terminology, and archetype constraint nodes, which represent constraints on other archetypes allowed to appear at a given point. The full list of concrete node types is as follows:

- `C_COMPLEX_OBJECT`: any interior node representing a constraint on instances of some non-primitive type, e.g. `OBSERVATION`, `SECTION`;
- `C_ATTRIBUTE`: a node representing a constraint on an attribute (i.e. UML ‘relationship’ or ‘primitive attribute’) in an object type;
- `C_PRIMITIVE_OBJECT`: an node representing a constraint on a primitive (built-in) object type;
- `C_COMPLEX_OBJECT_PROXY`: a node that refers to a previously defined object node in the same archetype. The reference is made using a path;
- `ARCHETYPE_SLOT`: a node whose statements define a constraint that determines which other archetypes can appear at that point in the current archetype. It can be thought of like a keyhole, into which few or many keys might fit, depending on how specific its shape is. Logically it has the same semantics as a `C_COMPLEX_OBJECT`, except that the constraints are expressed in another archetype, not the current one.
- `C_ARCHETYPE_ROOT`: stands for the root node of an archetype; enables another archetype to be referenced from the present one. Used in both archetypes and templates.

The constraints define which configurations of reference model class instances are considered to conform to the archetype. For example, certain configurations of the classes `PARTY`, `ADDRESS`, `CLUSTER` and `ELEMENT` might be defined by a Person archetype as allowable structures for ‘people with identity, contacts, and addresses’. Because the constraints allow optionality, cardinality and other choices, a given archetype usually corresponds to a set of similar configurations of objects.

The type-name nomenclature `C_XXX` used here is intended to be read as “constraint on objects of type XXXX”, i.e. a `C_COMPLEX_OBJECT` is a “constraint on a complex object (defined by a complex reference model type)”. These type names are used below in the formal model.

constraint_model

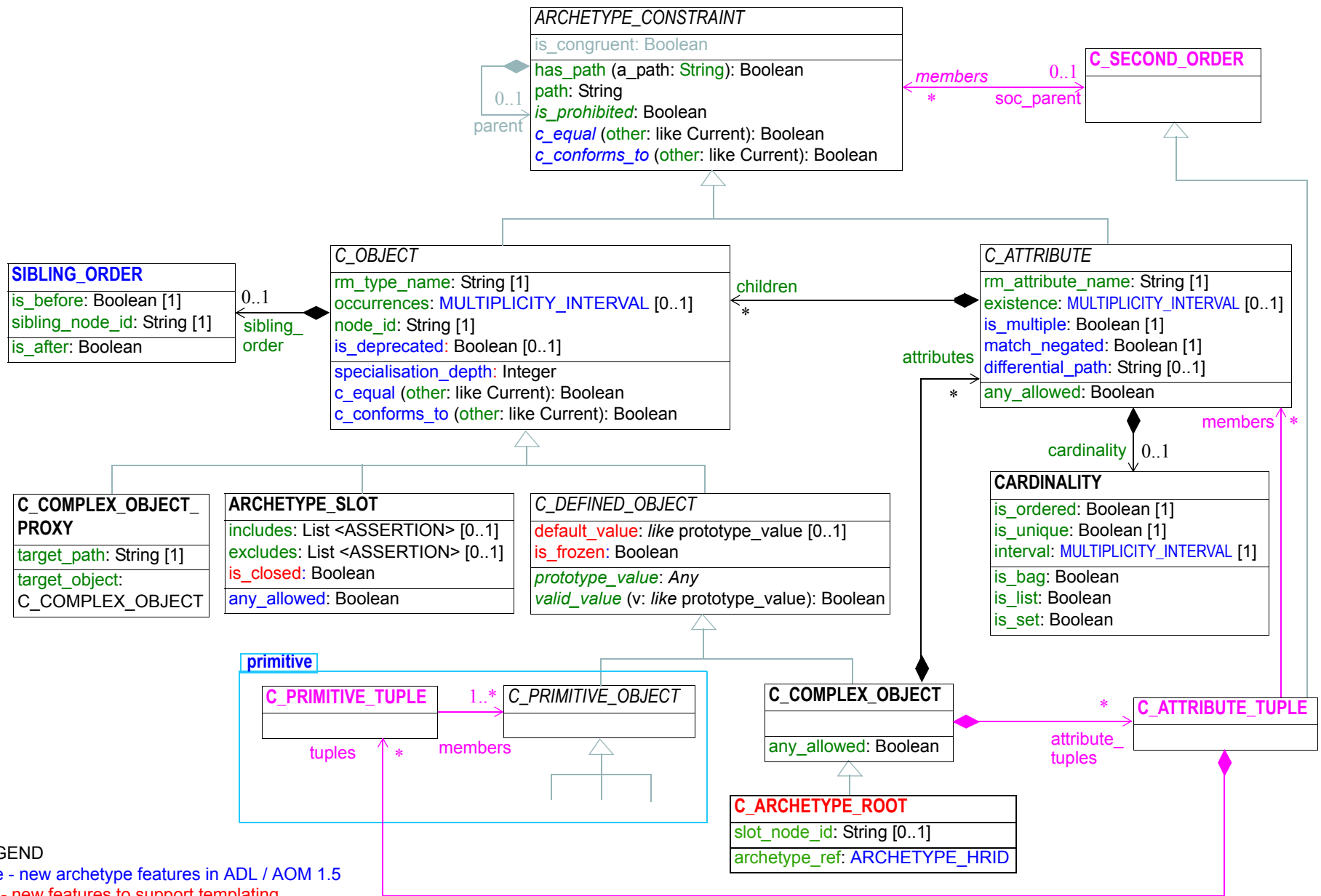


FIGURE 12 openehr.am.archetype.constraint_model Package

primitive

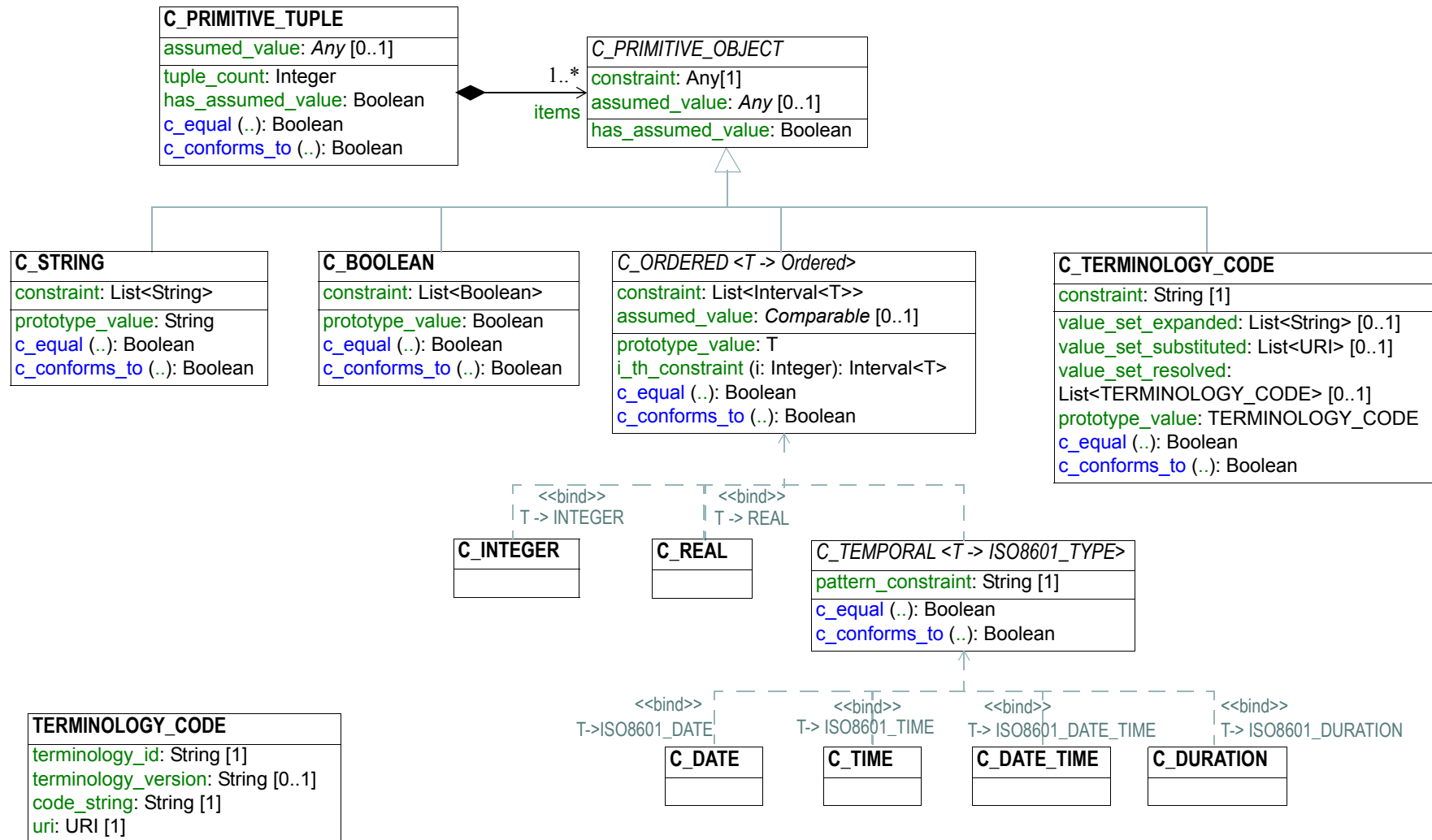


FIGURE 13 The openehr.am.archetype.primitive Package

5.2 Semantics

The effect of the model is to create archetype description structures that are a hierarchical alternation of object and attribute constraints. This structure can be seen by inspecting an ADL archetype, or by viewing an archetype in the *openEHR* ADL workbench [9], and is a direct consequence of the object-oriented principle that classes consist of properties, which in turn have types that are classes. (To be completely correct, types do not always correspond to classes in an object model, but it does not make any difference here). The repeated object/attribute hierarchical structure of an archetype provides the basis for using paths to reference any node in an archetype. Archetype paths follow a syntax that is a directly convertible in and out of the W3C Xpath syntax.

5.2.1 All Node Types

Path Functions

A small number of properties are defined for all node types. The *path* feature computes the path to the current node from the root of the archetype, while the *has_path* function indicates whether a given path can be found in an archetype.

Conformance Functions

All node types include two functions that formalise the notion of *conformance* of a specialised archetype to a parent archetype. Both functions take an argument which must be a corresponding node in a parent archetype, not necessarily the immediate parent. A ‘corresponding’ node is one found at the same or a *congruent* path. A congruent path is one in which one or more at-codes have been redefined in the specialised archetype.

The *c_conforms_to* function returns True if the node on which it is called is a valid specialisation of the ‘other’ node. The *c_congruent_to* function returns True if the node on which it is called is the same as the other node, with the possible exception of a redefined at-code. The latter may happen due to the need to restrict the domain meaning of node to a meaning narrower than that of the same node in the parent. The formal semantics of both functions are given in the section Class Definitions on page 42.

5.2.2 Attribute Node Types

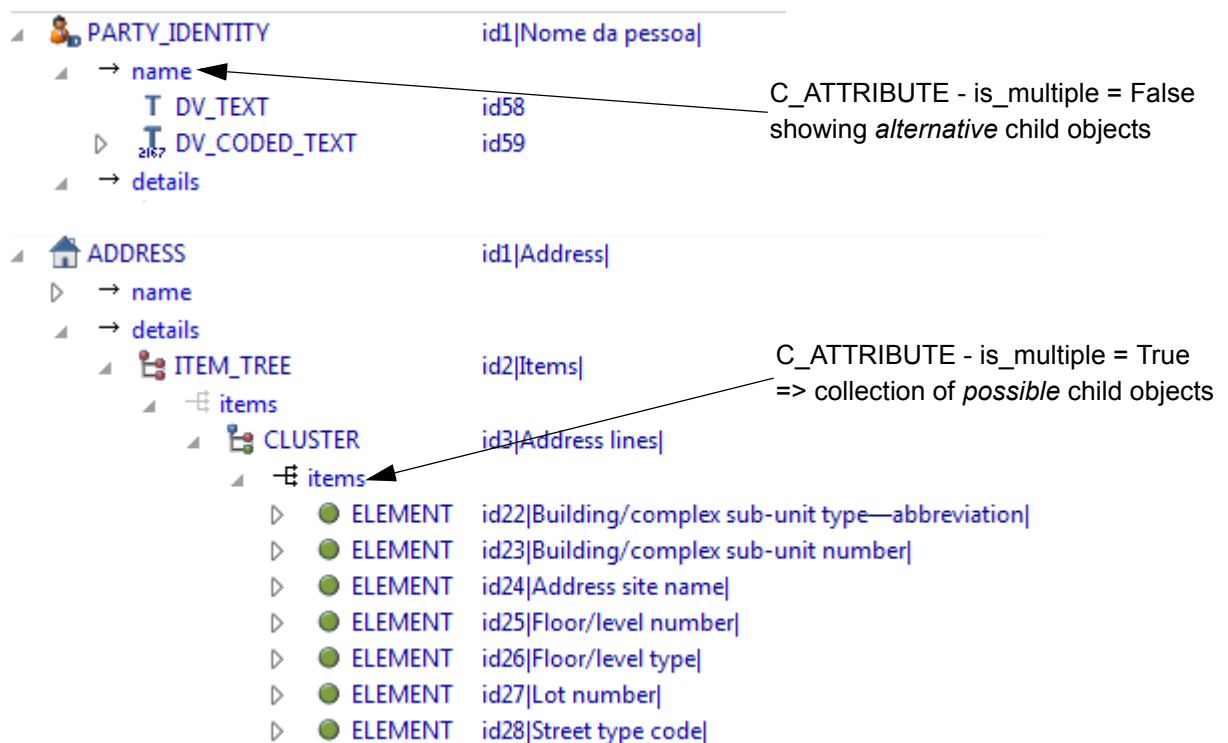
Constraints on reference model *attributes*, including computed attributes (represented by functions with no arguments in most programming languages), are represented by instances of *C_ATTRIBUTE*. The expressible constraints include:

- *is_multiple*: a flag that indicates whether the *C_ATTRIBUTE* is constraining a multiply-valued (i.e. container) RM attribute or a single-valued one;
- *existence*: whether the corresponding instance (defined by the *rm_attribute_name* attribute) must exist;
- *child objects*: representing allowable values of the object value(s) of the attribute.

In the case of single-valued attributes (such as *Person.date_of_birth*) the children represent one or more *alternative* object constraints for the attribute value.

For multiply-valued attributes (such as *Person.contacts: List<Contact>*), a *cardinality* constraint on the container can be defined. The constraint on child objects is essentially the same except that more than one of the alternatives can co-exist in the data. FIGURE 14 illustrates the two possibilities.

The appearance of both *existence* and *cardinality* constraints in *C_ATTRIBUTE* deserves some explanation, especially as the meanings of these notions are often confused in object-oriented literature. An

**FIGURE 14** Single and Multiple-valued C_ATTRIBUTES

existence constraint indicates whether an object will be found in a given attribute field, while a cardinality constraint indicates what the valid membership of a container object is. *Cardinality* is only required for container objects such as `List<T>`, `Set<T>` and so on, whereas *existence* is always possible. If both are used, the meaning is as follows: the existence constraint says whether the container object will be there (at all), while the cardinality constraint says how many items must be in the container, and whether it acts logically as a list, set or bag. Both existence and cardinality are optional in the model, since they are only needed to override the settings from the reference model.

5.2.3 Object Node Types

Node_id and Paths

The *node_id* attribute in the class `C_OBJECT`, inherited by all subtypes, is of key importance in the archetype constraint model. It has two functions:

- it allows archetype object constraint nodes to be individually identified, and in particular, guarantees sibling node unique identification;
- it provides a code to which a human-understanding terminology definition can be attached, as well as potentially a terminology binding.

The existence of *node_ids* in an archetype allows archetype paths to be created, which refer to each node. Every node in the archetype needs a *node_id*, but only *node_ids* for nodes under container attributes must have a terminology definition. For nodes under single-valued attributes, the terminology definition is optional (and typically not supplied), since the meaning is given by the reference model attribute definition.

Sibling Ordering

Within a specialised archetype, redefined or added object nodes may be defined within a container attribute. Since specialised archetypes are in differential form, i.e. only redefined or added nodes are expressed, not nodes inherited unchanged, the relative ordering of siblings can't be stated simply by the ordering of such items within the relevant list within the differential form of the archetype. An explicit ordering indicator is required if indeed order is specific. The `C_OBJECT.sibling_order` attribute provides this possibility. It can only be set on a `C_OBJECT` descendant within a multiply-valued attribute, i.e. an instance of `C_ATTRIBUTE` for which the cardinality is ordered.

5.2.3.1 Defined Object Nodes (C_DEFINED_OBJECT)

The `C_DEFINED_OBJECT` subtype corresponds to the category of `C_OBJECT`s that are defined in an archetype by value, i.e. by inline definition. Four properties characterise `C_DEFINED_OBJECT`s as follows.

Valid_value

The *valid_value* function tests a reference model object for conformance to the archetype. It is designed for recursive implementation in which a call to the function at the top of the archetype definition would cause a cascade of calls down the tree. This function is the key function of an 'archetype-enabled kernel' component that can perform runtime data validation based on an archetype definition.

Prototype_value

This function is used to generate a reasonable default value of the reference object being constrained by a given node. This allows archetype-based software to build a 'prototype' object from an archetype which can serve as the initial version of the object being constrained, assuming it is being created new by user activity (e.g. via a GUI application). Implementation of this function will usually involve use of reflection libraries or similar.

Default_value

This attribute allows a user-specified default value to be defined within an archetype. The *default_value* object must be of the same type as defined by the *prototype_value* function, pass the *valid_value* test. Where defined, the *prototype_value* function would return this value instead of a synthesised value.

Node Deprecation

It is possible to mark an instance of any defined node type as deprecated, meaning that by preference it should not be used, and that there is an alternative solution for recording the same information. Rules or recommendations for how deprecation should be handled are outside the scope of the archetype proper, and should be provided by the governance framework under which the archetype is managed.

'Frozen' Nodes

A node may be redefined into multiple child nodes in a specialised archetype. If the children are considered to exhaustively define the value space corresponding to the original node, the latter may be 'frozen', meaning no further children can be defined. This also has a runtime implication: a frozen node cannot have any instances, only its children can.

5.2.3.2 Complex Objects (C_COMPLEX_OBJECT)

Along with `C_ATTRIBUTE`, `C_COMPLEX_OBJECT` is the key structuring type of the `constraint_model` package, and consists of attributes of type `C_ATTRIBUTE`, which are constraints on the attributes (i.e. any property, including relationships) of the reference model type. Accordingly, each `C_ATTRIBUTE`

records the name of the constrained attribute (in *rm_attr_name*), the existence and cardinality expressed by the constraint (depending on whether the attribute it constrains is a multiple or single relationship), and the constraint on the object to which this *C_ATTRIBUTE* refers via its *children* attribute (according to its reference model) in the form of further *C_OBJECT*s.

Any_allowed

The *any_allowed* function on a node indicates that any value permitted by the reference model for the attribute or type in question is allowed by the archetype; its use permits the logical idea of a completely “open” constraint to be simply expressed, avoiding the need for any further substructure.

5.2.3.3 Primitive Types (*C_PRIMITIVE_OBJECT* descendants)

Constraints on primitive types are defined by the classes inheriting from *C_PRIMITIVE_OBJECT*, i.e. *C_STRING*, *C_INTEGER* and so on. The primitive types are represented in such a way as to accommodate both ‘tuple’ constraints and logically unary constraints, using a tuple array whose members are each a primitive constraint corresponding to each primitive type. Tuple constraints are second order constraints, described below, enable covarying constraints to be stated. In the unary case, the constraint is the first member of a tuple array.

The primitive constraint for each primitive type may itself be complex. Its type is given by the type of the *constraint* accessor in each *C_PRIMITIVE_OBJECT* descendant and is summarised in the following table.

| Primitive type | Primitive constrainer type | Explanation |
|-----------------------|--|---|
| Boolean | List <Boolean> | Can represent one or two Boolean values, enabling the logical constraints ‘true’, ‘false’ and ‘true or false’ to be expressed. |
| String | List <String> | A list of possible string values, which may include regular expressions, which are delimited by ‘/’ characters. |
| Terminology_code | String | A string containing either a single at-code or a single ac-code. In the latter case, the constraint represents either a locally defined value set or (via binding) an external value set. |
| <i>Ordered types</i> | List <Interval<T>> | Can represent a single value (which is a point interval), a list of values (list of point intervals), a list of intervals, which may be mixed proper and point intervals. |
| Integer | T -> Integer | As for Ordered type, with T = Integer |
| Real | T -> Real | As for Ordered type, with T = Real |
| <i>Temporal types</i> | List <Interval <T->ISO8601_TYPE>> OR String (pattern) | As for ordered types, with T being an ISO8601-based type, with the addition of a second type constraint - a pattern based on ISO8601 syntax., |

| Primitive type | Primitive constrainer type | Explanation |
|----------------|----------------------------|--|
| Date | T -> ISO8601_DATE | As for Temporal types with T = ISO8601_DATE |
| Time | T -> ISO8601_TIME | As for Temporal types with T = ISO8601_TIME |
| Date_time | T -> ISO8601_DATE_TIME | As for Temporal types with T = ISO8601_DATE_TIME |
| Duration | T -> ISO8601_DURATION | As for Temporal types with T = ISO8601_DURATION |

Terminology Constraints - C_TERMINOLOGY_CODE

The C_TERMINOLOGY_CODE type entails some complexity and merits further explanation. This is the only constrainer type whose constraint semantics are not self-contained, but located in the archetype terminology and/or in external terminologies.

To Be Continued:

Assumed_value

The ‘assumed_value’ concept is useful for archetypes containing any optional constraint. and provides an ability to define a value that can be assumed for a data item for which no data is found at execution time.

For example, an archetype for the concept ‘blood pressure measurement’ might contain an optional protocol section containing a data point for patient position, with choices ‘lying’, ‘sitting’ and ‘standing’. Since the section is optional, data could be created according to the archetype which does not contain the protocol section. However, a blood pressure cannot be taken without the patient in some position, so clearly there is an implied value for patient position. Amongst clinicians, basic assumptions are nearly always made for such things: in general practice, the position could always safely be assumed to be “sitting” if not otherwise stated; in the hospital setting, “lying” would be the normal assumption. The *assumed_value* feature of archetypes allows such assumptions to be explicitly stated so that all users/systems know what value to assume when optional items are not included in the data.

Note that the notion of assumed values is distinct from that of ‘default values’. The latter notion is that of a default ‘pre-filled’ value that is provided (normally in a local context by a template) for a data item that is to be filled in by the user, but which is typically the same in many cases. Default values are thus simply an efficiency mechanism for users. As a result, default values *do* appear in data, while assumed values don’t.

5.2.3.4 Reference Objects

The types ARCHETYPE_SLOT and C_COMPLEX_OBJECT_PROXY are used to express, respectively, a ‘slot’ where further archetypes can be used to continue describing constraints; a reference to a part of the current archetype that expresses exactly the same constraints needed at another point.

5.3 Second Order Constraints

All of the constraint semantics described above can be considered ‘first order’ in the sense that they define how specific object/attribute/object hierarchies are defined in the instance possibility space of some part of a reference model.

Some constraints however do not fit directly within the object/attribute/object hierarchy scheme, and are considered ‘second order constraints’ in the archetype formalism. The ‘rule’ constraints (‘invariants’ in ADL/AOM 1.4) constitute one such group. These constraints are defined in terms of first order predicate logic statements that can refer to any number of constraint nodes within the main hierarchy. These are described in section 6 on page 69.

Another type of second order constraint can be ‘attached’ to the object/attribute/object hierarchy in order to further limit structural possibilities. Although these constraints could also theoretically be expressed as rules, they are supported by direct additions to the main constraint model since they can be easily and intuitively represented ‘inline’ in ADL and corresponding AOM structures.

5.3.1 Tuple Constraints

Tuple constraints are designed to account for the very common need to constrain the values of more than one RM class attribute together. This effectively treats the attributes in question as a tuple, and the corresponding object constraints are accordingly modelled as tuples. Additions to the main constraint model to support tuples are shown below.

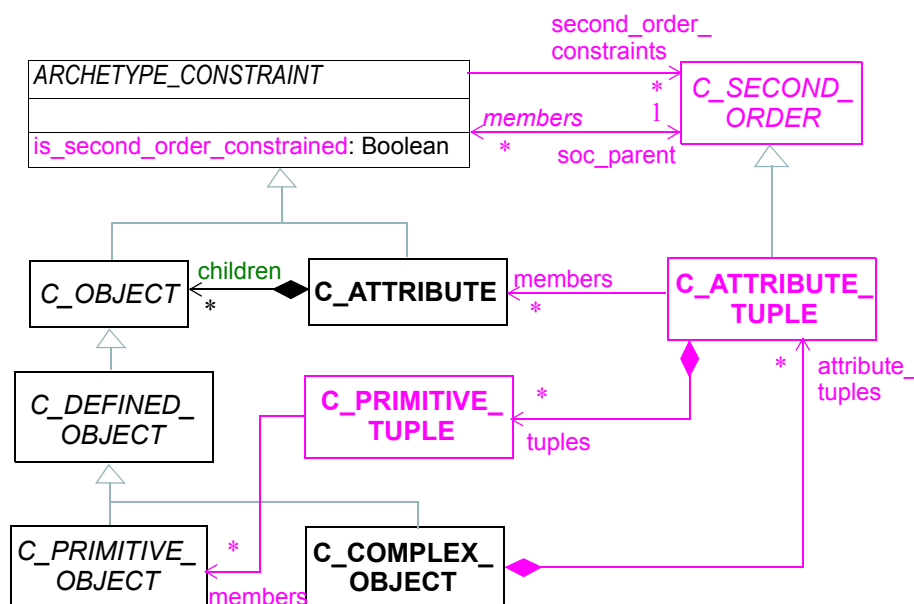


FIGURE 15 Tuple Constraint AOM Additions

In this model, the type `C_ATTRIBUTE_TUPLE` groups the co-constrained `C_ATTRIBUTES` under a `C_COMPLEX_OBJECT`. Currently the concrete type is limited to being `C_PRIMITIVE_OBJECT`, to reduce complexity, and since this caters for the known examples of tuple constraints. In principle, any `C_DEFINED_OBJECT` would be allowed, and this may change in the future.

The tuple constraint type replaces all domain-specific constraint types defined in ADL/AOM 1.4, including `C_DV_QUANTITY` and `C_DV_ORDINAL`.

These additions allow standard constraint structures (i.e. C_ATTRIBUTE / C_COMPLEX_OBJECT / C_PRIMITIVE_OBJECT hierarchies) to be ‘annotated’, while leaving the first order structure intact. The following example shows an archetype instance structure in which a notional ORDINAL type is constrained. The logical requirement is to constrain a ORDINAL to one of three instance possibilities, each of which consists of a pair of values for the attributes *value* and *symbol*, of type Integer and TERMINOLOGY_CODE respectively. Each of these three instance constraints should be understood as an alternative for the single valued owning attribute, ELEMENT.value. Tuple constraints achieve the requirement to express the constraints as pairs not just as allowable alternatives at the final leaf level, which would incorrectly allowing any mixing of the Integer and code values.

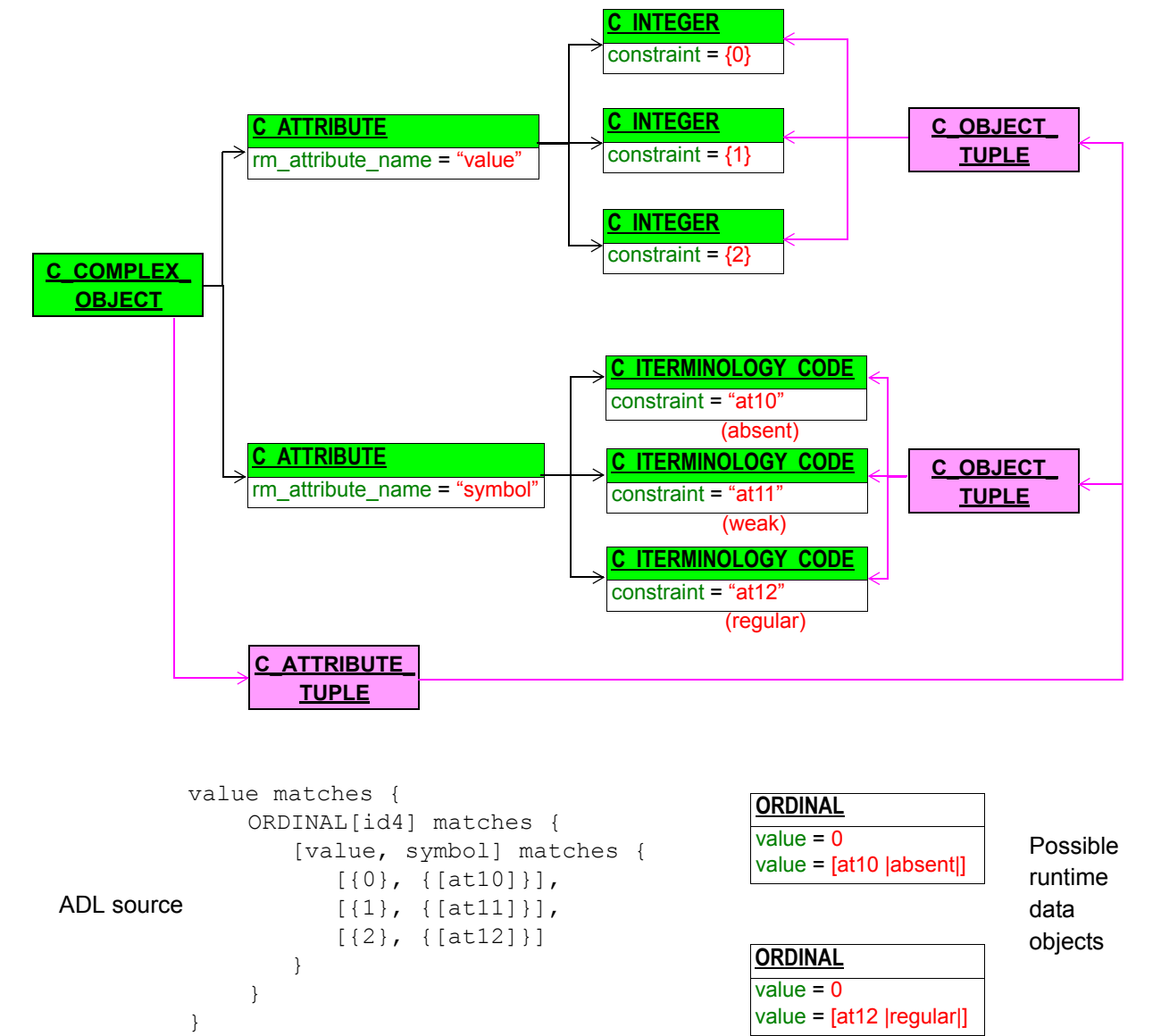


FIGURE 16 Example of tuple constraint

5.3.2 Group Constraint

TBD_2: The following should not be implemented right now; it may be changed.

Within a container attribute, it is not uncommon to need to define and constrain sub-groups within the overall list of objects in the container. Two types of constraint are needed:

- to control the total number of elements allowed in the group at runtime, from the total defined;
- to control the number of times the group repeats.

The first constraint is achieved by defining a cardinality of the group. A cardinality of ‘*’ means that in the data, the group may contain any number of objects, each conforming to one of the object types defined within that group in the archetype. A cardinality of ‘1’ specifies a ‘choice’ of 1 of N items in the group.

Control of repetition of the group as a whole is achieved with an occurrences constraint on the group, indicating the number of times the group can repeat within the data.

FIGURE 17 illustrates the group constraint part of the `constraint_model` package. A grouping constraint is represented as a `C_OBJECT_GROUP` instance attached to a `C_ATTRIBUTE`. The primary representation of the group is as two integer indices into the `C_ATTRIBUTE.children` list. The children actually referenced are computed by the functions *lower_child* and *upper_child*.

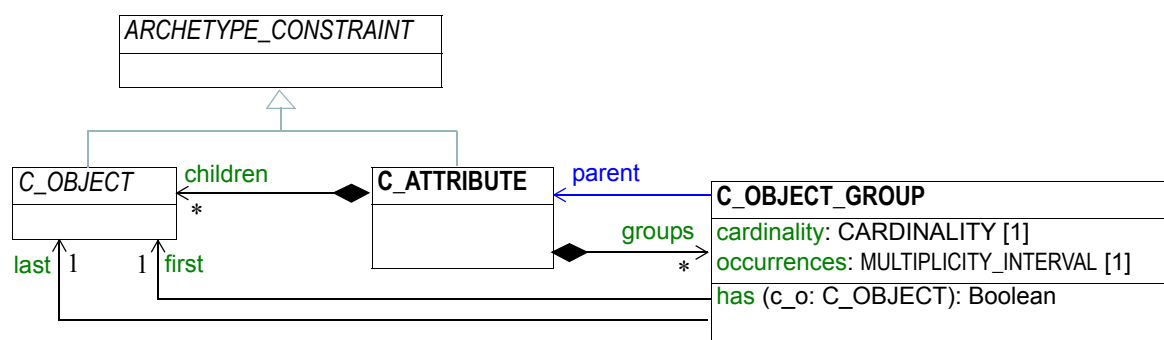


FIGURE 17 Group constraint

The integer range representation is used because it allows the validity conditions on `C_ATTRIBUTE` for groups to be easily stated. If there are multiple groups for a given container, all have to obey invariants that state either mutual exclusion or proper containment, i.e. overlapping is not possible.

5.3.3 Assertions

Assertions are also used in `ARCHETYPE_SLOTS`, in order to express the ‘included’ and ‘excluded’ archetypes for the slot. In this case, each assertion is an expression that refers to parts of other archetypes, such as its identifier (e.g. ‘include archetypes with short_concept_name matching xxxx’). Assertions are modelled here as a generic expression tree of unary prefix and binary infix operators. Examples of archetype slots in ADL syntax are given in the *openEHR ADL* document.

5.4 C_OBJECT Substitutions

The `C_OBJECT` types defined in FIGURE 12 are reproduced below, with concrete types that may actually occur in archetypes shown in dark yellow / non-italic.

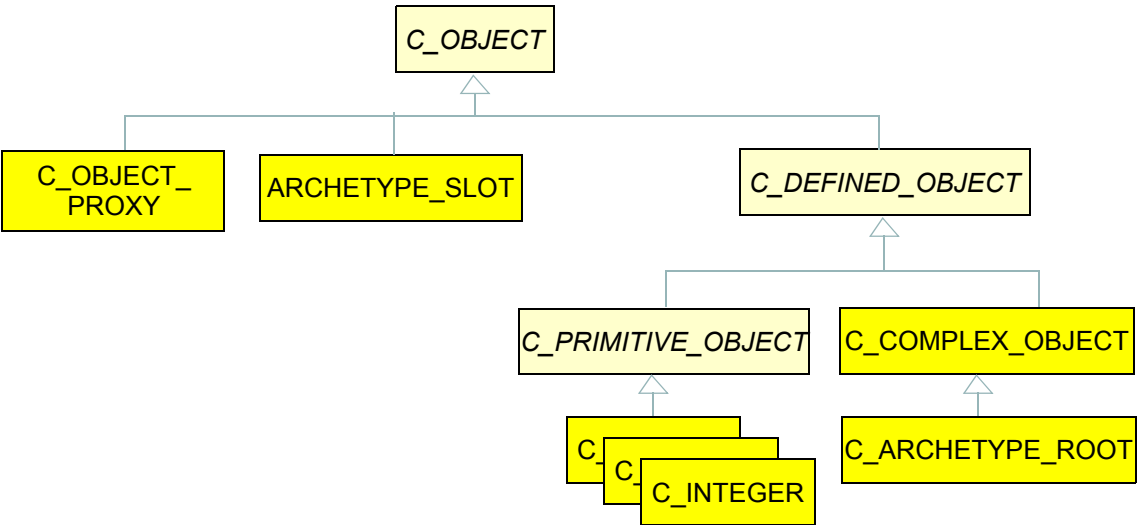


FIGURE 18 C_OBJECT classes

Within a specialised archetype, nodes that redefine corresponding nodes in the parent are normally of the same C_OBJECT type (we can think of this as a ‘meta-type’, since the RM type is the ‘type’ in the information model sense), but in some cases may also be of different C_OBJECT types.

The rules for meta-type redefinition are as follows:

- A node of each meta-type can be redefined by a node of the same meta-type;
- ARCHETYPE_SLOT can also be redefined by one or more C_ARCHETYPE_ROOT nodes taken together, considered to define a ‘filled’ version of the slot;
- A terminal C_COMPLEX_OBJECT node containing no constraint other than RM type, node_id (i.e. meaning) and possibly occurrences, can be redefined by:
 - An ARCHETYPE_SLOT node, indicating that the object will be defined by other archetypes;
 - A C_ARCHETYPE_ROOT, indicating that the node will be defined by another specific archetype;
 - A C_COMPLEX_OBJECT_PROXY node;

The ‘unconstrained terminal C_COMPLEX_OBJECT’ can be understood as a placeholder node primarily defined for the purpose of stating meaning.

5.5 Class Definitions

5.5.1 ARCHETYPE_CONSTRAINT Class

| CLASS | ARCHETYPE_CONSTRAINT (abstract) |
|---------|--|
| Purpose | Archetype equivalent to LOCATABLE class in openEHR Common reference model. Defines common constraints for any inheritor of LOCATABLE in any reference model. |

| CLASS | ARCHETYPE_CONSTRAINT (<i>abstract</i>) | |
|---------------------------------|--|---|
| Abstract | Signature | Meaning |
| | <i>is_prohibited</i> : Boolean | True if this node is prohibited. |
| | <i>c_equal</i> (other: like Current): Boolean <i>require</i> other /= Void | True if the constraints expressed locally (i.e. ignoring child nodes) by this node and other are the same. Typically used to support diffing operations. |
| | <i>c_conforms_to</i> (other: like Current): Boolean <i>require</i> other /= Void | True if constraints represented by this node, ignoring any sub-parts, are narrower or the same as <i>other</i> . Typically used during validation of specialised archetype nodes. |
| | <i>c_congruent_to</i> (other: like Current): Boolean <i>require</i> other /= Void | True if constraints represented by this node contain no further redefinitions with respect to the node <i>other</i> , with the exception of <i>node_id</i> redefinition in C_OBJECT nodes. Typically used to test if an inherited node locally contains any constraints. |
| Attributes | Signature | Meaning |
| 0..1 (non-persistent) | parent : ARCHETYPE_CONSTRAINT | Parent node in hierarchy. Void if root node. |
| 0..1 (non-persistent) | soc_parent : C_SECOND_ORDER | Where applicable, parent second-order constraint node for which this object is in the <i>members</i> . |
| 0..1 | second_order_constraints : Set <C_SECOND_ORDER> | Generate set of all second order constraints applying to this object and immediate children. |
| Functions | Signature | Meaning |
| | is_root : Boolean | True if this node is the root of constraint structure. |
| | path : String | Path of this node relative to root of archetype. |
| | has_path (a_path: String): Boolean <i>require</i> a_path /= Void | True if the relative path <i>a_path</i> exists at this node. |
| | is_second_order_constrained : Boolean | True if <i>soc_parent</i> /= Void or <i>parent.soc_parent</i> /= Void |

| CLASS | ARCHETYPE_CONSTRAINT (abstract) |
|-----------|-----------------------------------|
| Invariant | <i>path_exists</i> : path != Void |

5.5.2 C_ATTRIBUTE Class

| CLASS | C_ATTRIBUTE | |
|------------|---|--|
| Purpose | The model of a constraint on a reference model attribute (including computed attributes). | |
| Inherit | ARCHETYPE_CONSTRAINT | |
| Attributes | Signature | Meaning |
| 1 | rm_attribute_name : String | Reference model attribute within the enclosing type represented by a C_OBJECT. |
| 0..1 | differential_path : String | Path to the parent object of this attribute (i.e. doesn't include the name of this attribute). Used only for attributes in differential form, specialised archetypes. Enables only the redefined parts of a specialised archetype to be expressed, at the path where they occur. |
| 0..1 | existence : MULTIPLICITY_INTERVAL | Constraint on every attribute, regardless of whether it is singular or of a container type, which indicates whether its target object exists or not (i.e. is mandatory or not). Only set if it overrides the underlying reference model or parent archetype in the case of specialised archetypes. |
| 0..1 | children : List<C_OBJECT> | Child C_OBJECT nodes. Each such node represents a constraint on the type of this attribute in its reference model. Multiples occur both for multiple items in the case of container attributes, and alternatives in the case of singular attributes. |
| 1 | match_negated : Boolean | True if the match operator on this attribute is negated, i.e. the constraint structure below this C_ATTRIBUTE is <i>not</i> to be matched by the data rather than to be matched. |
| 0..1 | cardinality : CARDINALITY | Cardinality of this attribute constraint, if it constraints a container attribute. |
| 0..1 | groups : List<C_OBJECT_GROUP> | Group constraints applying to the children of this attribute. |

| CLASS | C_ATTRIBUTE | |
|--------------------|--|---|
| Functions | Signature | Meaning |
| | is_multiple : Boolean | True if this node logically represents a container attribute. |
| | is_single : Boolean | True if this node logically represents a single-valued attribute. |
| | is_mandatory : Boolean | True if this node mandates the existence of its corresponding RM attribute in data. |
| | is_prohibited : Boolean | True if this node prohibits the existence of its corresponding RM attribute in data. Used to remove nodes in templates. |
| | rm_attribute_path : String | Path of this attribute with respect to owning C_OBJECT, including differential path where applicable. |
| (redefined) | path : String | If <i>has_differential_path</i> , returns <i>rm_attribute_path</i> , else returns <i>path</i> as defined in ARCHETYPE_CONSTRAINT. |
| (effected) | c_equal (other: like Current): Boolean | Returns False if <i>cardinality</i> or <i>existence</i> in this object are not identical to their correspondents in <i>other</i> . |
| (effected) | c_conforms_to (other: like Current): Boolean | True if <i>existence</i> of this object conforms to <i>existence</i> of other, and if the nodes are both container nodes, if <i>cardinality</i> conforms. |
| (effected) | c_congruent_to (other: like Current): Boolean | True if <i>existence</i> is Void, and if this node and <i>other</i> are both container attribute nodes, if <i>cardinality</i> is Void. |
| | existence_conforms_to (other: like Current): Boolean <i>require</i> other != Void | True if the existence of this node conforms to existence of node <i>other</i> ; returns True if the existence of this attribute is Void. |
| | cardinality_conforms_to (other: like Current): Boolean <i>require</i> other != Void | True if the cardinality of this node conforms to cardinality of node <i>other</i> , returns True if the cardinality of this attribute is Void. |
| | any_allowed : Boolean <i>ensure</i> children.is_empty implies Result | True if no child nodes, i.e. children.count = 0 |

| CLASS | C_ATTRIBUTE | |
|------------------|---|---|
| | has_differential_path: Boolean | True if differential_path is not Void.. |
| | occurrences_lower_sum: INTEGER | Sum of lower bounds of occurrences of all child objects. |
| | minimum_child_count: INTEGER | Notional minimum number of possible children, counting 1 for each mandatory child and 1 further child to cover all optional children. |
| | groups_valid: Boolean | True if <i>groups</i> is valid. |
| Invariant | <p><i>Rm_attribute_name_valid:</i> rm_attribute_name /= Void and then not rm_attribute_name.is_empty</p> <p><i>Existence_valid:</i> existence /= Void implies (existence.lower >= 0 and existence.upper <= 1)</p> <p><i>Children_validity:</i> any_allowed xor children /= Void</p> <p><i>Cardinality_valid:</i> cardinality /= Void implies is_multiple</p> <p><i>Children_occurrences_lower_sum_validity:</i> (cardinality /= Void and then not cardinality.interval.upper_unbounded) implies occurrences_lower_sum <= cardinality.interval.upper</p> <p><i>Children_orphans_validity:</i> (cardinality /= Void and then not cardinality.interval.upper_unbounded) implies minimum_child_count <= cardinality.interval.upper</p> <p><i>Differential_path_valid:</i> differential_path /= Void implies not differential_path.is_empty</p> <p><i>Alternatives_valid:</i> not is_multiple implies children.for_all (co: C_OBJECT co.occurrences.upper <= 1)</p> <p><i>Groups_valid:</i> groups /= Void implies is_multiple</p> <p><i>Child_occurrences_validity:</i> cardinality /= Void implies cardinality.interval.intersects (occurrences_total_range)</p> | |

5.5.2.1 Conformance Semantics

The following functions formally define the conformance of an attribute node in a specialised archetype to the corresponding node in a parent archetype, where ‘corresponding’ means a node found at the same or a congruent path.

```

c_equal (other: like Current): Boolean
  require
    other /= Void
  do
    Result := existence ~ other.existence and
              cardinality ~ other.cardinality
  end

c_conforms_to (other: like Current): Boolean
  require
    other /= Void
  do

```

```

        Result := existence_conforms_to (other) and
            ((is_single and other.is_single) or
             (is_multiple and cardinality_conforms_to (other)))
    end

c_congruent_to (other: like Current): Boolean
    require
        other /= Void
    do
        Result := existence = Void and ((is_single and other.is_single) or
            (is_multiple and other.is_multiple and cardinality = Void))
    end

existence_conforms_to (other: like Current): Boolean
    require
        other_exists: other /= Void
    do
        if existence /= Void and other.existence /= Void then
            Result := other.existence.contains (existence)
        else
            Result := True
        end
    end

cardinality_conforms_to (other: like Current): Boolean
    require
        other_exists: other /= Void
    do
        if cardinality /= Void and other.cardinality /= Void then
            Result := other.cardinality.contains (cardinality)
        else
            Result := True
        end
    end
end

```

5.5.2.2 Validity Rules

The validity rules are as follows:

VCARM: attribute name reference model validity: an attribute name introducing an attribute constraint block must be defined in the underlying information model as an attribute (stored or computed) of the type which introduces the enclosing object block.

VCAEX: archetype attribute reference model existence conformance: the existence of an attribute, if set, must conform, i.e. be the same or narrower, to the existence of the corresponding attribute in the underlying information model.

VCAM: archetype attribute reference model multiplicity conformance: the multiplicity, i.e. whether an attribute is multiply- or single-valued, of an attribute must conform to that of the corresponding attribute in the underlying information model.

VDIFV: archetype attribute differential path validity: an archetype may only have a differential path if it is specialised..

The following validity rule applies to redefinition in a specialised archetype:

VDIFP: specialised archetype attribute differential path validity: if an attribute constraint has a differential path, the path must exist in the flat parent, and also be valid with respect to the reference model, i.e. in the sense that it corresponds to a legal potential construction of objects.

VSANCE: specialised archetype attribute node existence conformance: the existence of a redefined attribute node in a specialised archetype, if stated, must conform to the existence of the corresponding node in the flat parent archetype, by having an identical range, or a range wholly contained by the latter.

VSAM: specialised archetype attribute multiplicity conformance: the multiplicity, i.e. whether an attribute is multiply- or single-valued, of a redefined attribute must conform to that of the corresponding attribute in the parent archetype.

The following validity rules apply to single-valued attributes, i.e when `C_ATTRIBUTE.is_multiple` is False:

VACSO: single-valued attribute child object occurrences validity: the occurrences of a child object of a single-valued attribute cannot have an upper limit greater than 1.

VACSU: single-valued attribute child node uniqueness: any object node added as a child to a single-valued attribute must either have a node identifier or reference model type that is unique with respect to the node identifier or the reference model type of all other siblings.

VACSI: single-valued attribute child node identifier: any object node with a node identifier added as a child to a single-valued attribute must have a node identifier that is unique with respect to the node identifiers of all other siblings.

VACSIT: single-valued attribute child node reference model type: any object node without a node identifier added as a child to a single-valued attribute must have a reference model type that is unique with respect to the reference model types of all other siblings.

The following validity rules apply to container attributes, i.e when `C_ATTRIBUTE.is_multiple` is True:

VACMI: child node identification: any object node added as a child to a container attribute must have a node identifier.

VACMM: child node identifier uniqueness: the node identifier of an object node added as a child to a container attribute must be unique with respect to the siblings in the container.

VACMCU: cardinality/occurrences upper bound validity: where a cardinality with a finite upper bound is stated on an attribute, for all immediate child objects for which an occurrences constraint is stated, the occurrences must either have an open upper bound (i.e. `n..*`) which is interpreted as the maximum value allowed within the cardinality, or else a finite upper bound which is \leq the cardinality upper bound.

VACMCL: cardinality/occurrences lower bound validity: where a cardinality with a finite upper bound is stated on an attribute, for all immediate child objects for which an occurrences constraint is stated, the sum of occurrences lower bounds must be lower than the cardinality upper limit.

VACMCO: cardinality/occurrences orphans: it must be possible for at least one instance of one optional child object (i.e. an object for which the occurrences lower bound is 0) and one instance of every mandatory child object (i.e. object constraints for which the occurrences lower bound is ≥ 1) to be included within the cardinality range.

VCACA: archetype attribute reference model cardinality conformance: the cardinality of an attribute must conform, i.e. be the same or narrower, to the cardinality of the corresponding attribute in the underlying information model.

The following validity rule applies to cardinality redefinition in a specialised archetype:

VSANCC: specialised archetype attribute node cardinality conformance: the cardinality of a redefined (multiply-valued) attribute node in a specialised archetype, if stated, must conform to the cardinality of the corresponding node in the flat parent archetype by either being identical, or being wholly contained by the latter.

5.5.2.3 Groups validity algorithm

The following pseudo-code expresses the validity of the *groups* attribute, where it is non-Void:

```
groups.for_all ( TBC )
To Be Determined:
```

5.5.3 CARDINALITY Class

| CLASS | CARDINALITY | |
|-------------------|--|---|
| Purpose | Express constraints on the cardinality of container objects which are the values of multiply-valued attributes, including uniqueness and ordering, providing the means to state that a container acts like a logical list, set or bag. The cardinality cannot contradict the cardinality of the corresponding attribute within the relevant reference model. | |
| Attributes | Signature | Meaning |
| 1 | is_ordered: Boolean | True if the members of the container attribute on which this cardinality is defined are ordered. |
| 1 | is_unique: Boolean | True if the members of the container attribute on which this cardinality is defined are unique. |
| 1 | interval: MULTIPLICITY_INTERVAL | The interval of this cardinality. |
| Functions | Signature | Meaning |
| | is_set: Boolean <i>ensure</i> <i>Result</i> = not is_ordered and is_unique | True if the semantics of this cardinality represent a set, i.e. unordered, unique membership. |
| | is_list: Boolean <i>ensure</i> <i>Result</i> = is_ordered and not is_unique | True if the semantics of this cardinality represent a list, i.e. ordered, non-unique membership. |
| | is_bag: Boolean <i>ensure</i> <i>Result</i> = not is_ordered and not is_unique | True if the semantics of this cardinality represent a bag, i.e. unordered, non-unique membership. |

| CLASS | CARDINALITY |
|-----------|---|
| Invariant | <i>Validity:</i> not interval.lower_unbounded |

5.5.4 C_OBJECT Class

| CLASS | C_OBJECT (abstract) | |
|------------|--|--|
| Purpose | Abstract model of constraint on any kind of object node. | |
| Inherit | ARCHETYPE_CONSTRAINT | |
| Attributes | Signature | Meaning |
| 1 | rm_type_name: String | Reference model type that this node corresponds to. |
| 0..1 | occurrences: MULTIPLICITY_INTERVAL | Occurrences of this object node in the data, under the owning attribute. Upper limit can only be greater than 1 if owning attribute has a cardinality of more than 1). Only set if it overrides the parent archetype in the case of specialised archetypes, or else the occurrences inferred from the underlying reference model existence and/or cardinality of the containing attribute. |
| 1 | node_id: String | Semantic identifier of this node, used to distinguish sibling nodes. All nodes must have a <i>node_id</i> ; for nodes under a container C_ATTRIBUTE, the id must be an id-code must be defined in the archetype terminology. For valid structures, all node ids are id-codes. For structures in intermediate state, or structures originating from legacy archetypes, the special Anonymous_node_id value can be used. For C_PRIMITIVE_OBJECTs, it will have the special value Primitive_node_id. |
| 0..1 | parent: C_ATTRIBUTE | C_ATTRIBUTE that owns this C_OBJECT. |
| 0..1 | sibling_order: SIBLING_ORDER | Optional indicator of order of this node with respect to another sibling. Only meaningful in a specialised archetype for a C_OBJECT within a C_ATTRIBUTE with <i>is_multiple</i> = True. |
| 0..1 | is_deprecated: Boolean | True if this node and by implication all sub-nodes are deprecated for use. |

| CLASS | C_OBJECT (abstract) | |
|-------------------|--|--|
| Functions | Signature | Meaning |
| | is_addressable : Boolean | True if this node has a node_id that is not the Anonymous_node_id value. |
| | is_prohibited : Boolean <i>ensure</i> Result = occurrences != Void and then occurrences.is_prohibited | True if this node is prohibited due to its occurrences being set to 0..0. |
| (effected) | c_equal (other: <i>like Current</i>): Boolean | Returns True only when: - <i>rm_type_name</i> is the same as <i>rm_type_name</i> of other; - occurrences is same (including if Void); - node_id is the same. |
| (effected) | c_conforms_to (other: <i>like Current</i>): Boolean | Returns True only when: - <i>rm_type_name</i> is the same or a subtype of <i>rm_type_name</i> of other; - occurrences is same (= Void) or a sub-interval - node_id is the same, or redefined to a legal code at the level of the owning archetype |
| (effected) | c_congruent_to (other: <i>like Current</i>): Boolean | Returns True if: - <i>rm_type_name</i> is identical - <i>occurrences</i> is Void - <i>sibling_order</i> is Void |
| | rm_type_conforms_to (other: <i>like Current</i>): Boolean <i>require</i> other != Void | True if this node <i>rm_type_name</i> conforms to other. <i>rm_type_name</i> by either being equal, or by being a subtype, according to the underlying reference model. |
| | occurrences_conforms_to (other: <i>like Current</i>): Boolean <i>require</i> other != Void | True if this node occurrences conforms to other.occurrences. returns True if occurrences of this object is Void. |
| | node_id_conforms_to (other: <i>like Current</i>): Boolean <i>require</i> other != Void | True if this node id conforms to other.node_id. |

| CLASS | C_OBJECT (abstract) | |
|------------------|---|--|
| | specialisation_depth : Integer | Level of specialisation of this archetype node, based on its <i>node_id</i> . The value 0 corresponds to non-specialised, 1 to first-level specialisation and so on. The level is the same as the number of '.' characters in the <i>node_id</i> code. If <i>node_id</i> is not set, the return value is -1, signifying that the specialisation level should be determined from the nearest parent C_OBJECT node having a <i>node_id</i> . |
| Invariant | Rm_type_name_valid : rm_type_name != Void and then not rm_type_name.is_empty Node_id_valid : node_id != Void and then not node_id.is_empty Occurrences_validity : (occurrences != Void and parent != Void and parent.is_single) implies occurrences.upper <= 1 Sibling_order_validity : sibling_order != Void implies specialisation_depth > 0 and parent.is_multiple | |

5.5.4.1 Occurrences inferencing rules

The notion of 'occurrences' does not exist in an object model that might be used as the reference model on which archetypes are based, because it is a class model. However, archetypes make statements about how many objects conforming to a specific object constraint node might exist, within a container attribute. In an operational template, an occurrences constraint is required on all children of container attributes. Most such constraints come from the source template(s) and archetypes, but in some cases, there will be nodes with no occurrences. In these cases, the occurrences constraint is inferred from the reference model according to the following algorithm, where *c_object* represents any object node in an archetype.

```

if not c_object.is_root and c_object.occurrences = Void then
  if is_container_attribute_in_rm (c_object.parent) then
    if rm_parent_attr.cardinality.upper_unbounded then
      c_object.set_occurrences ({0..*})
    else
      c_object.set_occurrences ({0, rm_parent_attr.cardinality.upper})
    end
  else
    c_object.set_occurrences (rm_parent_attr.existence)
  end
end
end

```

Occurrences is not really required on children of single-valued attributes, because the notional occurrences is always the same as the existence constraint of the owning attribute in the flat parent structure, or else the reference model.

5.5.4.2 Conformance semantics

The following functions formally define the conformance of an object node in a specialised archetype to the corresponding node in a parent archetype, where 'corresponding' means a node found at the same or a congruent path.

```

c_conforms_to (other: like Current): Boolean
  require

```

```

        other /= Void
    do
        Result := node_id_conforms_to (other) and
            occurrences_conforms_to (other) and
            (rm_type_name.is_equal (other.rm_type_name) or else
            rm_types_conformant(rm_type_name, other.rm_type_name))
    end

c_congruent_to (other: like Current): Boolean
    -- True if this node makes no changes to 'other' (from a
    -- specialisation parent archetype) apart from possible
    -- change of node-id
    require
        other /= Void
    do
        Result := rm_type_name.is_equal (other.rm_type_name) and
            occurrences = Void and
            node_id_conforms_to (other) and sibling_order = Void
    end

rm_type_conforms_to (other: like Current): Boolean
    require
        other /= Void
    do
        Result := rm_type_name.is_equal (other.rm_type_name) or
            rm_checker.is_sub_type_of (rm_type_name, other.rm_type_name)
    end

occurrences_conforms_to (other: like Current): Boolean
    require
        other_exists: other /= Void
        other_is_flat: other.occurrences /= Void
    do
        if occurrences /= Void and other.occurrences /= Void then
            Result := other.occurrences.contains (occurrences)
        else
            Result := True
        end
    end

node_id_conforms_to (other: like Current): Boolean
    require
        other_exists: other /= Void
    do
        Result := node_id.starts_with (other.node_id)
    end

```

5.5.4.3 Validity Rules

The validity rules for all C_OBJECTs are as follows:

VCORM: object constraint type name existence: a type name introducing an object constraint block must be defined in the underlying information model.

VCORMT: object constraint type validity: a type name introducing an object constraint block must be the same as or conform to the type stated in the underlying information model of its owning attribute.

VC OCD: object constraint definition validity: an object constraint block consists of one of the following (depending on subtype): an 'any' constraint; a reference; an inline definition of sub-constraints, or nothing, in the case where occurrences is set to {0}.

VCOID: object node identifier validity: every object must have a node identifier.

The following validity rules govern C_OBJECTs in specialised archetypes.

VSONT: specialised archetype object node meta-type conformance: the meta-type of a redefined object node (i.e. the AOM node type such as C_COMPLEX_OBJECT etc) in a specialised archetype must be the same as that of the corresponding node in the flat parent, with the exceptions of the C_COMPLEX_OBJECT_PROXY and C_ARCHETYPE_ROOT meta-types (see validity rules VSUNT and VSCNR).

VSONCT: specialised archetype object node reference type conformance: the reference model type of a redefined object node in a specialised archetype must conform to the reference model type in the corresponding node in the flat parent archetype by either being identical, or conforming via an inheritance relationship in the relevant reference model.

Deprecated: **VSONIR: specialised archetype redefined object node identifier condition:** the node identifier of an object node in a specialised archetype that is a redefinition of a node in the flat parent must be redefined if any of reference model type, node identifier definition in the terminology, or occurrences of the immediate object constraint is redefined, with the exception of occurrences being redefined to {0}, i.e. exclusion.

Deprecated: **VSONI: specialised archetype redefined object node identifier validity:** if an object node in a specialised archetype is a redefinition of a node in the flat parent according to VSONIR, and the parent node carries a node identifier, it must carry a node identifier specialised at the level of the child archetype. Otherwise it must carry the same node identifier (or none) as the corresponding parent node.

VSONIN: specialised archetype new object node identifier validity: if an object node in a specialised archetype is a new node with respect to the flat parent, and it carries a node identifier, the identifier must be a 'new' node identifier, specialised at the level of the child archetype.

VSONIF: specialised archetype object node identifier validity in flat siblings: the identification (or not) of an object node in a specialised archetype must be valid with respect to any sibling object nodes in the flattened parent (see VACMI).

VSONCO: specialised archetype redefine object node occurrences validity: the occurrences of a redefined object node in a specialised archetype, if stated, must conform to the occurrences in the corresponding node in the flat parent archetype by either being identical, or being wholly contained by the latter.

VSSM: specialised archetype sibling order validity: the sibling order node id code used in a sibling marker in a specialised archetype must refer to a node found within the same container in the flat parent archetype.

5.5.5 SIBLING_ORDER Class

| CLASS | SIBLING_ORDER |
|----------------|---|
| Purpose | Defines the order indicator that can be used on an C_OBJECT within a container attribute in a specialised archetype to indicate its order with respect to a sibling defined in a higher specialisation level. |

| CLASS | SIBLING_ORDER | |
|------------|--|---|
| Misuse | This type cannot be used on a C_OBJECT other than one within a container attribute in a specialised archetype. | |
| Attributes | Signature | Meaning |
| 1 | is_before : Boolean | True if the order relationship is 'before', if False, it is 'after'. |
| 1 | sibling_node_id : String | Node identifier of sibling before or after which this node should come. |
| Functions | Signature | Meaning |
| | is_after : Boolean | True if the order relationship is 'after', computed as the negation of <i>is_before</i> . |
| Invariant | <i>sibling_node_id_validity</i> : sibling_node_id /= Void | |

5.5.6 C_DEFINED_OBJECT Class

| CLASS | C_DEFINED_OBJECT (abstract) | |
|------------|---|---|
| Purpose | Abstract parent type of C_OBJECT subtypes that are defined by value, i.e. whose definitions are actually in the archetype rather than being by reference. | |
| Inherit | C_OBJECT | |
| Abstract | Signature | Meaning |
| | <i>prototype_value</i> : Any | Generate a prototype value from this constraint object |
| | <i>valid_value</i> (a_value: like prototype_value): Boolean <i>require</i> a_value /= Void | True if a_value is valid with respect to constraint expressed in concrete instance of this type. |
| Attributes | Signature | Meaning |
| 0..1 | default_value : like prototype_value | Default value set in a template, and present in an operational template. Generally limited to leaf and near-leaf nodes. |
| 0..1 | is_frozen : Boolean | True if this node is closed for further redefinition. Any child nodes defined as siblings are considered to exhaustively represent the possible value space of this original parent node. |
| Functions | Signature | Meaning |

| CLASS | C_DEFINED_OBJECT (abstract) | |
|------------------|---|----------------------------------|
| | has_default_value: Boolean | True if there is a default value |
| Invariant | <i>Default_value_valid:</i> has_default_value implies default_value.conforms_to_type (rm_type_name) and valid_value (default_value) | |

5.5.7 C_COMPLEX_OBJECT Class

| CLASS | C_COMPLEX_OBJECT | |
|------------------|--|---|
| Purpose | Constraint on complex objects, i.e. any object that consists of other object constraints. | |
| Inherit | C_DEFINED_OBJECT | |
| Attributes | Signature | Meaning |
| 0..1 | attributes: Set<C_ATTRIBUTE> | List of constraints on attributes of the reference model type represented by this object. |
| 0..1 | attribute_tuples: List<C_ATTRIBUTE_TUPLE> | List of tuple constraints. |
| Functions | Signature | Meaning |
| | any_allowed: Boolean <i>ensure</i> Result = attributes.is_empty | True if any value of the reference model type being constrained is allowed. |
| Invariant | <i>Prohibited_validity:</i> not (any_allowed and is_prohibited) <i>Any_allowed_validity:</i> any_allowed xor not attributes.is_empty <i>Attributes_valid:</i> attributes != Void <i>Tuples_valid:</i> attribute_tuples != Void implies attribute_tuples.for_all (cat: C_ATTRIBUTE_TUPLE cat.members.for_all (ca: C_ATTRIBUTE) attributes.has(ca))) | |

5.5.7.1 Validity Rules

The validity rules for C_COMPLEX_OBJECTs are as follows:

VCATU: attribute uniqueness: sibling attributes occurring within an object node must be uniquely named with respect to each other, in the same way as for class definitions in an object reference model.

5.5.8 C_ARCHETYPE_ROOT Class

| CLASS | C_ARCHETYPE_ROOT | |
|----------------|--|--|
| Purpose | A specialisation of C_COMPLEX_OBJECT whose <i>node_id</i> attribute is an archetype identifier rather than the normal internal node code (i.e. at-code). | |

| CLASS | C_ARCHETYPE_ROOT | |
|------------|---|--|
| Use | <p>Used in two situations. The first is to represent an ‘external reference’ to an archetype from within another archetype or template. This supports re-use. The second use is within a template, where it is used as a slot-filler. In this situation, the <i>node_id</i> is set to the id of the slot being filled from the parent archetype or template (i.e. an at-code), allowing node matching to occur during flattening. In both uses within source archetypes and templates, the <i>children</i> attribute is Void.</p> <p>During flattening, the <i>node_id</i> is set to the <i>archetype_ref</i>, via a call to <i>convert_to_flat</i>. This call also sets the <i>slot_node_id</i> attribute to remember the <i>node_id</i> of the slot being filled.</p> <p>When used in a source archetype the <i>children</i> attribute is Void; when used in a source template, any attribute sub-structure is an ‘overlay’ of the same form as a specialised archetype.</p> <p>In an operational template, the structure contains the result of flattening any template overlay structure and the underlying flat archetype.</p> <p>The only formal difference from a normal C_COMPLEX_OBJECT is that the <i>node_id</i> attribute is an archetype or template identifier rather than an archetype-internal node code.</p> | |
| Inherit | C_COMPLEX_OBJECT | |
| Attributes | Signature | Meaning |
| 0..1 | slot_node_id : String | Node identifier of slot, if this archetype is being used to fill a slot. |
| 1 | archetype_ref : String | Reference to archetype(s) which can be used to fill the slot what this C_ARCHETYPE_ROOT specialises. |
| Functions | Signature | Meaning |
| | is_flat_form : Boolean | True if <i>convert_to_flat</i> has been called. |
| Procedures | Signature | Meaning |
| | convert_to_flat <i>ensure</i> is_flat_form | Convert this node to flat form, by replacing its <i>node_id</i> with the archetype id, and recording the <i>node_id</i> (which is the id of the slot in the parent archetype) in <i>slot_node_id</i> . |
| Invariant | <p><i>Node_id_validity</i>: is_flat_form implies archetype_ref.valid_id (node_id)</p> <p><i>Slot_node_id_validity</i>: slot_node_id != Void implies not slot_node_id.is_empty</p> | |

5.5.8.1 Validity Rules

The following validity rules apply to C_ARCHETYPE_ROOT objects:

VARXS: external reference conforms to slot: the archetype reference must conform to the archetype slot constraint of the flat parent and be of a reference model type from the same reference model as the current archetype.

VARXNC: external reference node identifier validity: if the reference object corresponds to a slot in the parent archetype, the slot_node_id must carry the node_id of the corresponding ARCHETYPE_SLOT; if there is no slot, the path of the node must exist in the flat parent.

VARXTV: external reference type validity: the reference model type of the reference object archetype identifier must be identical, or conform to the type of the slot, if there is one, in the parent archetype, or else to the reference model type of the attribute in the flat parent under which the reference object appears in the child archetype.

VARXR: external reference refers to resolvable artefact: the archetype reference must refer to an artefact that can be found in the current repository.

5.5.9 ARCHETYPE_SLOT Class

| CLASS | ARCHETYPE_SLOT | |
|------------------|--|--|
| Purpose | Constraint describing a 'slot' point at which one or more other archetypes matching the slot constraint can be included. | |
| Inherit | C_OBJECT | |
| Attributes | Signature | Meaning |
| 0..1 | includes: Set<ASSERTION> | List of constraints defining other archetypes that could be included at this point. |
| 0..1 | excludes: Set<ASSERTION> | List of constraints defining other archetypes that cannot be included at this point. |
| 1 | is_closed: Boolean | True if this slot specification in this artefact is closed to further filling either in further specialisations or at runtime. Default value False, i.e. unless explicitly set, a slot remains open. |
| Functions | Signature | Meaning |
| | any_allowed: Boolean <i>ensure</i> Result = not (has_includes or has_excludes) and not is_closed | True if no constraints stated, and slot is not closed. |
| Invariant | <i>includes_valid:</i> includes /= Void implies not includes.is_empty <i>excludes_valid:</i> excludes /= Void implies not excludes.is_empty | |

5.5.9.1 Validity Rules

The validity rules for ARCHETYPE_SLOTs are as follows:

VDFAI: archetype identifier validity in definition. Any archetype identifier mentioned in an archetype slot in the definition section must conform to the published *openEHR* specification for archetype identifiers.

VDSIV: archetype slot 'include' constraint validity. The 'include' constraint in an archetype slot must conform to the slot constraint validity rules.

VDSEV: archetype slot 'exclude' constraint validity. The 'exclude' constraint in an archetype slot must conform to the slot constraint validity rules.

The slot constraint validity rules are as follows:

```
if includes not empty and = 'any' then
    not (excludes empty or /= 'any') ==> VDSEV Error
elseif includes not empty and /= 'any' then
    not (excludes empty or = 'any') ==> VDSEV Error
elseif excludes not empty and = 'any' then
    not (includes empty or /= 'any') ==> VDSIV Error
elseif excludes not empty and /= 'any' then
    not (includes empty or = 'any') ==> VDSIV Error
end
```

The following validity rules apply to `ARCHETYPE_SLOTS` defined as the specialisation of a slot in a parent archetype:

VDSSM: specialised archetype slot definition match validity. The set of archetypes matched from a library of archetypes by a specialised archetype slot definition must be a proper subset of the set matched from the same library by the parent slot definition.

VDSSP: specialised archetype slot definition parent validity. The flat parent of the specialisation of an archetype slot must be not be closed (`is_closed = False`).

VDSSC: specialised archetype slot definition closed validity. In the specialisation of an archetype slot, either the slot can be specified to be closed (`is_closed = True`) or the slot can be narrowed, but not both.

5.5.10 C_COMPLEX_OBJECT_PROXY Class

| CLASS | C_COMPLEX_OBJECT_PROXY | |
|----------------|--|---|
| Purpose | <p>A constraint defined by proxy, using a reference to an object constraint defined elsewhere in the same archetype.</p> <p>Note that since this object refers to another node, there are two objects with available occurrences values. The local <i>occurrences</i> value on an <code>C_COMPLEX_OBJECT_PROXY</code> should always be used if set. When setting this from a serialised form, if no occurrences is mentioned, the target occurrences should be used; otherwise the locally specified occurrences should be used as normal.</p> | |
| Inherit | C_OBJECT | |
| Attributes | Signature | Meaning |
| 1 | target_path: String | Path to target object node under same ultimate root object. |

| CLASS | C_COMPLEX_OBJECT_PROXY | |
|-----------|---|--|
| Functions | Signature | Meaning |
| | target_object: C_COMPLEX_OBJECT | Reference to object found at path 'target_path'. |
| Invariant | Consistency: not any_allowed Target_path_valid: target_path != Void and then not target_path.is_empty -- and then ultimate_root.has_path(target_path) | |

5.5.10.1 Validity Rules

The following validity rules applies to internal references:

VUNT: use_node reference model type validity: the reference model type mentioned in an C_COMPLEX_OBJECT_PROXY node must be the same as or a super-type (according to the reference model) of the reference model type of the node referred to.

VUNP: use_node path validity: the path mentioned in a use_node statement must refer to an object node defined elsewhere in the same archetype or any of its specialisation parent archetypes, that is not itself an internal reference node, and which carries a node identifier if one is needed at the reference point.

The following validity rule applies to the redefinition of an internal reference in a specialised archetype:

VSUNT: use_node meta-type validity: a C_COMPLEX_OBJECT_PROXY node may be redefined in a specialised archetype by another C_COMPLEX_OBJECT_PROXY (e.g. in order to redefine occurrences), or by a node structure that legally redefines the node referred to by the reference, according to other validity rules.

5.5.11 C_PRIMITIVE_OBJECT Class

| CLASS | C_PRIMITIVE_OBJECT (abstract) | |
|------------|---|--|
| Purpose | Abstract parent of classes defining constraints on primitive types. | |
| Inherit | C_DEFINED_OBJECT | |
| Attributes | Signature | Meaning |
| 1 | constraint: Any | Primary representation of the constraint. Actual type is set in descendants. |
| 0..1 | assumed_value: like prototype_value | Value to be assumed if none sent in data |
| Functions | Signature | Meaning |
| | has_assumed_value: Boolean | True if there is an assumed value |

| CLASS | C_PRIMITIVE_OBJECT (abstract) |
|-----------|---|
| Invariant | <i>Representation_validity</i> : constraint /= Void <i>Assumed_value_valid</i> : has_assumed_value implies assumed_value.conforms_to_type (rm_type_name) and valid_value (assumed_value) |

5.5.11.1 Validity Rules

The validity rules for C_PRIMITIVE_OBJECTs are as follows:

VOBAV: object node assumed value validity: the value of an assumed value must fall within the value space defined by the constraint to which it is attached.

5.5.12 C_BOOLEAN Class

| CLASS | C_BOOLEAN | |
|-------------|--|--|
| Purpose | Constraint on instances of Boolean. | |
| Use | Both attributes cannot be set to False, since this would mean that the Boolean value being constrained cannot be True or False. | |
| Inherit | C_PRIMITIVE_OBJECT | |
| Attributes | Signature | Meaning |
| (redefined) | constraint : List<Boolean> | Boolean constraint - a list of Boolean values. |
| Functions | Signature | Meaning |
| | true_valid : Boolean | True if the value True is allowed |
| | false_valid : Boolean | True if the value False is allowed |
| (redefined) | prototype_value : Boolean | A generated prototype value from this constraint object. |
| Invariant | <i>Binary_consistency</i> : true_valid <i>or</i> false_valid <i>Prototype_value_consistency</i> : .value <i>and</i> true_valid <i>or else not</i> .value <i>and</i> false_valid | |

5.5.13 C_STRING Class

| CLASS | C_STRING | |
|------------|------------------------------------|---------|
| Purpose | Constraint on instances of STRING. | |
| Inherit | C_PRIMITIVE_OBJECT | |
| Attributes | Signature | Meaning |

| CLASS | C_STRING | |
|-------------|---------------------------------|---|
| (redefined) | constraint: List<String> | String constraint - a list of literal strings and / or regular expression strings delimited by the '/' character. |
| Functions | Signature | Meaning |
| (redefined) | prototype_value: String | A generated prototype value from this constraint object. |
| | is_pattern: Boolean | True if <i>pattern</i> is not Void. |
| Invariant | | |

5.5.14 C_ORDERED Class

| CLASS | C_ORDERED <T -> ORDERED> (abstract) | |
|----------------|--|--|
| Purpose | <p>Abstract parent of primitive constrainer classes based on ORDERED base types, i.e. types like Integer, Real, and the Date/Time types. The model constraint is a List of Intervals, which may include point Intervals, and acts as a efficient and formally tractable representation of any number of point values and/or contiguous intervals of an ordered value domain.</p> <p>In its simplest form, the <i>constraint</i> accessor returns just a single point Interval <T> object, representing a single value.</p> <p>The next simplest form is a single proper Interval <T> (i.e. normal two-sided or half-open interval).</p> <p>The most complex form is a list of any combination of point and proper intervals.</p> | |
| Inherit | C_PRIMITIVE_OBJECT | |
| Attributes | Signature | Meaning |
| (redefined) | constraint: List<Interval<T>> | Constraint on any Ordered type - a list of Intervals, including 'point' intervals. |
| Functions | Signature | Meaning |
| (redefined) | prototype_value: T | A generated prototype value from this constraint object. |
| Invariant | | |

5.5.15 C_INTEGER Class

| CLASS | C_INTEGER |
|-----------|-------------------------------------|
| Purpose | Constraint on instances of Integer. |
| Inherit | C_ORDERED <Integer> |
| Invariant | |

5.5.16 C_REAL Class

| CLASS | C_REAL |
|-----------|----------------------------------|
| Purpose | Constraint on instances of Real. |
| Inherit | C_ORDERED <Real> |
| Invariant | |

5.5.17 C_TEMPORAL Class

| CLASS | C_TEMPORAL <T -> ISO8601_TYPE> (abstract) | |
|------------|--|---|
| Purpose | Abstract parent of C_ORDERED types whose base type is an ISO date/time type. | |
| Inherit | C_ORDERED <T -> ISO8601_TYPE> | |
| Attributes | Signature | Meaning |
| 0..1 | pattern: String | Optional alternative constraint in the form of a pattern. |
| Invariant | | |

5.5.18 C_DATE Class

| CLASS | C_DATE |
|---------|---|
| Purpose | ISO 8601-compatible constraint on instances of Date in the form either of a set of validity values, or else date ranges based on the C_ORDERED <i>list</i> constraint. There is no validity flag for ‘year’, since it must always be by definition mandatory in order to have a sensible date at all. Syntax expressions of instances of this class include “YYYY-??-??” (date with optional month and day). |
| Use | Date ranges are probably only useful for historical dates. |
| Inherit | C_TEMPORAL <ISO8601_DATE> |

| CLASS | C_DATE | |
|------------------|---|---|
| Functions | Signature | Meaning |
| | month_validity: VALIDITY_KIND | Validity of month in constrained date. |
| | day_validity: VALIDITY_KIND | Validity of day in constrained date. |
| | timezone_validity: VALIDITY_KIND | Validity of timezone in constrained date. |
| Invariant | <i>Pattern_validity:</i> pattern /= Void implies valid_iso8601_date_constraint_pattern(pattern) | |

5.5.19 C_TIME Class

| CLASS | C_TIME | |
|------------------|--|--|
| Purpose | <p>ISO 8601-compatible constraint on instances of <code>Time</code> in the form either of a set of validity values, or else date ranges based on the <code>C_ORDERED list</code> constraint.</p> <p>There is no validity flag for ‘hour’, since it must always be by definition mandatory in order to have a sensible time at all. Syntax expressions of instances of this class include “HH:?:xx” (time with optional minutes and seconds not allowed).</p> | |
| Inherit | C_TEMPORAL <ISO8601_TIME> | |
| Functions | Signature | Meaning |
| (redefined) | prototype_value: ISO8601_TIME | A generated prototype value from this constraint object. |
| | minute_validity: VALIDITY_KIND | Validity of minute in constrained time. |
| | second_validity: VALIDITY_KIND | Validity of second in constrained time. |
| | millisecond_validity: VALIDITY_KIND | Validity of millisecond in constrained time. |
| | timezone_validity: VALIDITY_KIND | Validity of timezone in constrained date. |
| Invariant | <i>Pattern_validity:</i> pattern /= Void implies valid_iso8601_time_constraint_pattern(pattern) | |

5.5.20 C_DATE_TIME Class

| CLASS | C_DATE_TIME | |
|------------------|---|--|
| Purpose | ISO 8601-compatible constraint on instances of <code>Date_Time</code> . There is no validity flag for 'year', since it must always be by definition mandatory in order to have a sensible date/time at all. Syntax expressions of instances of this class include "YYYY-MM-DDT?:?:?:?" (date/time with optional time) and "YYYY-MM-DDTHH:MM:xx" (date/time, seconds not allowed). | |
| Inherit | C_TEMPORAL <ISO8601_DATE_TIME> | |
| Functions | Signature | Meaning |
| | month_validity: VALIDITY_KIND | Validity of month in constrained date. |
| | day_validity: VALIDITY_KIND | Validity of day in constrained date. |
| | hour_validity: VALIDITY_KIND | Validity of hour in constrained time. |
| | minute_validity: VALIDITY_KIND | Validity of minute in constrained time. |
| | second_validity: VALIDITY_KIND | Validity of second in constrained time. |
| | millisecond_validity: VALIDITY_KIND | Validity of millisecond in constrained time. |
| | timezone_validity: VALIDITY_KIND | Validity of timezone in constrained date. |
| Invariant | <i>Pattern_validity:</i> pattern /= Void implies valid_iso8601_date_time_constraint_pattern(pattern) | |

5.5.21 C_DURATION Class

| CLASS | C_DURATION | |
|----------------|--|---------|
| Purpose | ISO 8601-compatible constraint on instances of <code>Duration</code> . In ISO 8601 terms, constraints might be of the form "PWD" (weeks and/or days), "PDTHMS" (days, hours, minutes, seconds) and so on. In official ISO 8601:2004, the 'W' (week) designator cannot be mixed in; allowing it is an <i>openEHR</i> -wide exception. The allowed patterns are: P[Y y][M m][D d][T[H h][M m][S s]] and P[W w]. | |
| Inherit | C_TEMPORAL <ISO8601_DURATION> | |
| Attributes | Signature | Meaning |

| CLASS | C_DURATION | |
|------------------|---|---|
| 0..1 | range: Interval <ISO8601_DURATION> | Constraint expressed as a range of durations. |
| 0..1 | pattern: String | ISO8601-based pattern. |
| Functions | Signature | Meaning |
| (redefined) | prototype_value: ISO8601_DURATION | A generated prototype value from this constraint object. |
| | years_allowed: Boolean | True if years are allowed in the constrained Duration. |
| | months_allowed: Boolean | True if months are allowed in the constrained Duration. |
| | weeks_allowed: Boolean | True if weeks are allowed in the constrained Duration. |
| | days_allowed: Boolean | True if days are allowed in the constrained Duration. |
| | hours_allowed: Boolean | True if hours are allowed in the constrained Duration. |
| | minutes_allowed: Boolean | True if minutes are allowed in the constrained Duration. |
| | seconds_allowed: Boolean | True if seconds are allowed in the constrained Duration. |
| | fractional_seconds_allowed: Boolean | True if fractional seconds are allowed in the constrained Duration. |
| Invariant | <i>Pattern_valid:</i> pattern /= Void implies valid_iso8601_duration_constraint_pattern (pattern) | |

5.5.22 C_TERMINOLOGY_CODE Class

| CLASS | C_TERMINOLOGY_CODE | |
|----------------|--|---------|
| Purpose | Constrainer for TERMINOLOGY_CODE type. This class can represent a coded term constraint in various phases of expansion and resolution. | |
| Inherit | C_PRIMITIVE_OBJECT | |
| Attributes | Signature | Meaning |

| CLASS | C_TERMINOLOGY_CODE | |
|------------------|--|---|
| (redefined) | constraint: String | Type of individual constraint - a single string that can either be a local at-code, or a local ac-code signifying a locally defined value set. |
| Functions | Signature | Meaning |
| | value_set_expanded: List <String> | Member at-code(s) from archetype-local value set if <i>constraint</i> contains an ac-code. |
| | value_set_substituted: List <URI> | List of external URI(s) either substituted for local at-codes in <i>value_set_expanded</i> , or else an external ref-set URI substituted for the ac-code in <i>value_set_id</i> , via bindings, if they exist. |
| | value_set_resolved: List<TERMINOLOGY_CODE> | Final set of codes, which may be internal or external, to which value set is resolved. For internally defined value sets, the list is 1:1 with <i>value_set_substituted</i> . For external value-sets, the list is determined by a terminology service. |
| (redefined) | prototype_value: TERMINOLOGY_CODE | A generated prototype value from this constraint object. |
| Invariant | <i>Terminology_id validity:</i> terminology_id != Void <i>Code_list validity:</i> code_list != Void | |

5.5.23 TERMINOLOGY_CODE Class

| CLASS | TERMINOLOGY_CODE | |
|------------------|--|---------------------------------------|
| Purpose | Simple coded term abstraction sufficient for AOM reasoning. | |
| Inherit | Ordered | |
| Attributes | Signature | Meaning |
| 1 | terminology_id: String | Terminology identifier. |
| 0..1 | terminology_version: String | Terminology version identifier. |
| 1 | code_string: String | Code or code-phrase from terminology. |
| Invariant | <i>Terminology_id validity:</i> terminology_id != Void <i>Code_string validity:</i> code_string != Void | |

5.5.24 C_SECOND_ORDER Class

| CLASS | C_SECOND_ORDER (abstract) | |
|------------------|---|---|
| Purpose | Abstract parent of classes defining second order constraints. | |
| Functions | Signature | Meaning |
| | members: List <ARCHETYPE_CONSTRAINT> | Members of this second order constrainer. Normally redefined in descendants. |
| Invariant | | |

5.5.25 C_ATTRIBUTE_TUPLE Class

| CLASS | C_ATTRIBUTE_TUPLE | |
|------------------|---|---|
| Purpose | Type that represents a tuple constraint on 2 or more sibling C_ATTRIBUTES under a C_COMPLEX_OBJECT. | |
| Inherit | C_SECOND_ORDER | |
| Attributes | Signature | Meaning |
| (redefine) | members: List<C_ATTRIBUTE> | List of C_ATTRIBUTES forming the definition of the tuple. |
| Functions | Signature | Meaning |
| | tuple_count: Integer <i>require</i> is_second_order_constrained | Generate size of constrained tuples by inspecting first C_ATTRIBUTE member, and then in turn its first C_PRIMITIVE_OBJECT child, and calling its <i>list_count</i> feature. |
| Invariant | | |

6 The Assertion Package

6.1 Overview

Assertions are expressed in archetypes in typed first-order predicate logic (FOL). They are used in two places: to express archetype slot constraints, and to express rules in complex object constraints. In both of these places, their role is to constrain something *inside* the archetype. Constraints on external resources such as terminologies are expressed in the constraint binding part of the archetype terminology, described in section 7 on page 80. The assertion package is illustrated below in FIGURE 19.

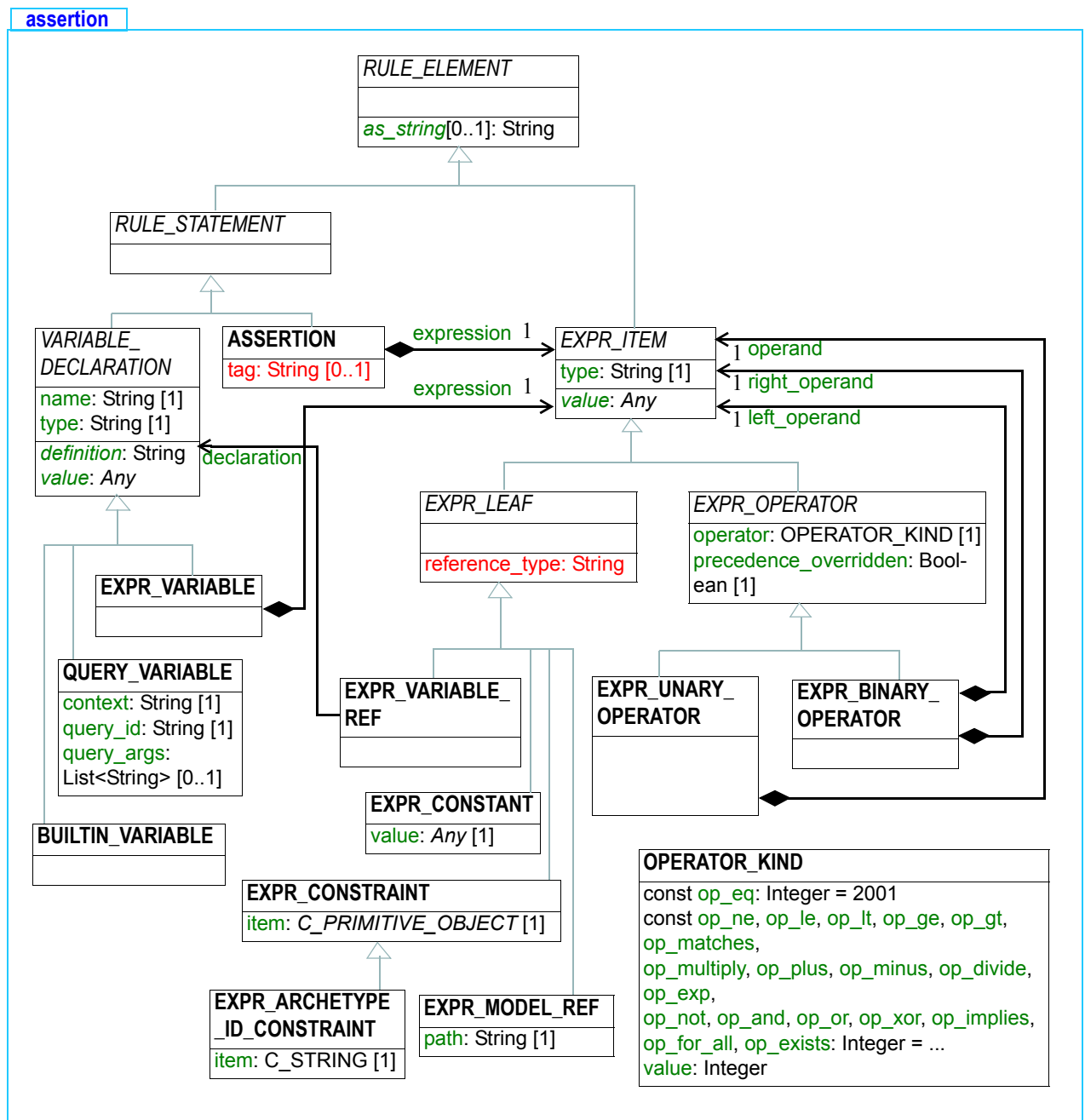


FIGURE 19 The openehr.am.archetype.assertion package

6.2 Semantics

Archetype assertions are statements which contain the following elements:

- *variables*, which are inbuilt, archetype path-based, or external query results;
- *manifest constants* of any primitive type, including the date/time types
- *arithmetic operators*: +, *, -, /, ^ (exponent), % (modulo division)
- *relational operators*: >, <, >=, <=, =, !=, **matches**
- *boolean operators*: **not**, **and**, **or**, **xor**
- *quantifiers* applied to container variables: **for_all**, **exists**

A syntax of assertions is defined in the *openEHR* ADL specification. The package described here is designed to allow the representation of a general-purpose expression tree, as generated by a parser. This relatively simple model of expressions is sufficiently powerful for representing the subset of FOL expressions required in archetypes and templates.

6.3 Class Descriptions

6.3.1 RULE_STATEMENT Class

| CLASS | RULE_STATEMENT (abstract) | |
|-----------|--|--------------------------------|
| Purpose | Abstract concept of any statement in a block of rule statements. | |
| Abstract | Signature | Meaning |
| | as_string : String | Serialised to ADL string form. |
| Invariant | | |

6.3.2 ASSERTION Class

| CLASS | ASSERTION | |
|------------|---|--|
| Purpose | Structural model of a typed first order predicate logic assertion, in the form of an expression tree, including optional variable definitions. | |
| Inherit | RULE_STATEMENT | |
| Attributes | Signature | Meaning |
| 0..1 | tag : String | Expression tag, used for distinguishing multiple assertions. |
| 1 | expression : EXPR_ITEM | Root of expression tree. |
| Invariant | <i>Tag_valid</i> : tag != Void implies not tag.is_empty <i>Expression_valid</i> : expression != Void and then expression.type.is_equal("BOOLEAN") | |

6.3.3 VARIABLE_DECLARATION Class

| CLASS | VARIABLE_DECLARATION (abstract) | |
|------------|--|--|
| Purpose | Definition of a named variable used in an assertion expression. | |
| Inherit | RULE_STATEMENT | |
| Abstract | Signature | Meaning |
| | <i>definition</i> : String | Formal definition of the variable. |
| | <i>value</i> : Any | Value of the variable once evaluated. |
| Attributes | Signature | Meaning |
| 1 | name : String | Name of variable. |
| 1 | type : String | Type of variable, from the <i>openEHR</i> assumed types or the <i>openEHR</i> reference model. |
| Invariant | <i>Name_valid</i> : name != Void and then not name.is_empty <i>Type_valid</i> : type != Void and then not type.is_empty | |

6.3.4 EXPR_VARIABLE Class

| CLASS | EXPR_VARIABLE | |
|------------|---|--------------------------------|
| Purpose | A variable whose definition is an expression, including atomic expressions such as constants and model references (i.e. path references). | |
| Inherit | VARIABLE_DECLARATION | |
| Attributes | Signature | Meaning |
| 1 | expression : EXPR_ITEM | Expression tree of expression. |
| Invariant | <i>Expression_valid</i> : expression != Void | |

6.3.5 BUILTIN_VARIABLE Class

| CLASS | BUILTIN_VARIABLE | |
|-------------------|--|----------------|
| Purpose | <p>A variable with a name and definition from a small set of assumed environmental variables. It is assumed that the implementation will correctly generate the appropriate values and types for these variables. The current set of built-in variables is as follows:</p> <ul style="list-style-type: none"> • <code>current_date</code>: ISO8601_DATE • <code>current_time</code>: ISO8601_TIME • <code>current_date_time</code>: ISO8601_DATE_TIME | |
| Inherit | VARIABLE_DECLARATION | |
| Attributes | Signature | Meaning |
| Invariant | | |

6.3.6 QUERY_VARIABLE Class

| CLASS | QUERY_VARIABLE | |
|-------------------|---|---|
| Purpose | <p>Definition of a variable whose value is derived from a query run on a data context in the operational environment. Typical uses of this kind of variable are to obtain values like the patient date of birth, sex, weight, and so on. It could also be used to obtain items from a knowledge context, such as a drug database.</p> | |
| Inherit | VARIABLE_DECLARATION | |
| Attributes | Signature | Meaning |
| 0..1 | context : String | Optional name of context. This allows a basic separation of query types to be done in more sophisticated environments. Possible values might be “patient”, “medications” and so on. Not yet standardised. |
| 1 | query_id : String | Identifier of query in the external context, e.g. “date_of_birth”. Not yet standardised. |
| 1 | query_args : List<String> | Optional arguments to query. Not yet standardised. |
| Invariant | <p><i>Context_valid</i>: context != Void implies not context.is_empty <i>Query_id_valid</i>: query_id != Void and then not query_id.is_empty</p> | |

6.3.7 EXPR_ITEM Class

| CLASS | EXPR_ITEM (abstract) | |
|------------------|---|---|
| Purpose | Abstract parent of all expression tree items. | |
| Attributes | Signature | Meaning |
| 1 | type: String | Type name of this item in the mathematical sense. For leaf nodes, must be the name of a primitive type, or else a reference model type. The type for any relational or boolean operator will be “Boolean”, while the type for any arithmetic operator, will be “Real” or “Integer”. |
| Invariant | <i>Type_valid:</i> type != Void and then not type.is_empty | |

6.3.8 EXPR_LEAF Class

| CLASS | EXPR_LEAF | |
|------------------|--|---|
| Purpose | Expression tree leaf item representing one of: <ul style="list-style-type: none"> • a manifest constant of any primitive type; • a path referring to a value in the archetype; • a constraint; • a variable reference. | |
| Inherit | EXPR_ITEM | |
| Functions | Signature | Meaning |
| | reference_type: String | Type of reference: “constant”, “attribute”, “function”, “constraint”. The first three are used to indicate the referencing mechanism for an operand. The last is used to indicate a constraint operand, as happens in the case of the right-hand operand of the ‘matches’ operator. |
| Invariant | | |

6.3.9 EXPR_CONSTANT Class

| CLASS | EXPR_CONSTANT | |
|-------------------|--|---------------------|
| Purpose | Constant expression tree leaf item. This can represent a manifest constant of any primitive type, i.e.: <ul style="list-style-type: none"> • Integer, • Real, • Boolean, • String, • Character, • Date, • Time, • Date_time, • Duration • an Interval of any of the above types that are Ordered (see Support IM) • a list of any of the above types. | |
| Inherit | EXPR_LEAF | |
| Attributes | Signature | Meaning |
| 1 | value: Any | The constant value. |
| Invariant | <i>Value_valid:</i> value != Void | |

6.3.10 EXPR_CONSTRAINT Class

| CLASS | EXPR_CONSTRAINT | |
|-------------------|---|-----------------|
| Purpose | Expression tree leaf item representing a constraint on a primitive type, expressed in the form of concrete subtype of C_PRIMITIVE_OBJECT. | |
| Inherit | EXPR_LEAF | |
| Attributes | Signature | Meaning |
| 1 | item: C_PRIMITIVE_OBJECT | The constraint. |
| Invariant | <i>Item_valid:</i> item != Void | |

6.3.11 EXPR_ARCHETYPE_ID_CONSTRAINT Class

| CLASS | EXPR_ARCHETYPE_ID_CONSTRAINT |
|----------------|---|
| Purpose | Expression tree leaf item representing a constraint on an archetype identifier. |

| CLASS | EXPR_ARCHETYPE_ID_CONSTRAINT | |
|------------|---|--|
| Inherit | EXPR_LEAF | |
| Attributes | Signature | Meaning |
| 1 | item: C_STRING | A constraint on ARCHETYPE_ID objects for use within ARCHETYPE_SLOTS. |
| Invariant | <i>Constraint_validity</i> : item.is_pattern -- and item.pattern matches ARCHETYPE_ID.pattern_template | |

6.3.12 EXPR_MODEL_REF Class

| CLASS | EXPR_MODEL_REF | |
|------------|--|-----------|
| Purpose | <p>Expression tree leaf item representing a reference to a value found in data at a location specified by a path in the archetype definition.</p> <ul style="list-style-type: none"> A path referring to a value in the archetype (paths with a leading ‘/’ are in the definition section. Paths with no leading ‘/’ are in the outer part of the archetype, e.g. “archetype_id/value” refers to the String value of the <i>archetype_id</i> attribute of the enclosing archetype. | |
| Inherit | EXPR_ITEM | |
| Attributes | Signature | Meaning |
| 1 | path: String | The path. |
| Invariant | <i>Path_valid</i> : path != Void | |

6.3.13 EXPR_VARIABLE_REF Class

| CLASS | EXPR_VARIABLE_REF | |
|------------|---|---------------------------|
| Purpose | Expression tree leaf item representing a reference to a defined variable. | |
| Inherit | EXPR_LEAF | |
| Attributes | Signature | Meaning |
| 1 | declaration: VARIABLE_DECLARATION | The variable referred to. |
| Invariant | <i>Declaration_valid</i> : declaration != Void | |

6.3.14 EXPR_OPERATOR Class

| CLASS | EXPR_OPERATOR (abstract) | |
|------------------|--|---|
| Purpose | Abstract parent of operator types. | |
| Inherit | EXPR_ITEM | |
| Attributes | Signature | Meaning |
| 1 | operator: OPERATOR_KIND | Code of operator. |
| 1 | precedence_overridden: Boolean | True if the natural precedence of operators is overridden in the expression represented by this node of the expression tree. If True, parentheses should be introduced around the totality of the syntax expression corresponding to this operator node and its operands. |
| Invariant | | |

6.3.15 EXPR_UNARY_OPERATOR Class

| CLASS | EXPR_UNARY_OPERATOR | |
|------------------|---------------------------------------|---------------|
| Purpose | Unary operator expression node. | |
| Inherit | EXPR_OPERATOR | |
| Attributes | Signature | Meaning |
| 1 | operand: EXPR_ITEM | Operand node. |
| Invariant | <i>operand_valid:</i> operand != Void | |

6.3.16 EXPR_BINARY_OPERATOR Class

| CLASS | EXPR_BINARY_OPERATOR | |
|----------------|----------------------------------|---------------------|
| Purpose | Binary operator expression node. | |
| Inherit | EXPR_OPERATOR | |
| Attributes | Signature | Meaning |
| 1 | left_operand: EXPR_ITEM | Left operand node. |
| 1 | right_operand: EXPR_ITEM | Right operand node. |

| CLASS | EXPR_BINARY_OPERATOR |
|-----------|---|
| Invariant | <i>left_operand_valid</i> : operand /= Void <i>right_operand_valid</i> : operand /= Void |

6.3.17 OPERATOR_KIND Class

| CLASS | OPERATOR_KIND | |
|----------------|---|--|
| Purpose | Enumeration type for operator types in assertion expressions | |
| Use | Use as the type of operators in the Assertion package, or for related uses. | |
| Constants | Signature | Meaning |
| | op_eq : Integer = 2001 | Equals operator ('=' or '==') |
| | op_ne : Integer = 2002 | Not equals operator ('!=' or '/=' or '<>') |
| | op_le : Integer = 2003 | Less-than or equals operator ('<=') |
| | op_lt : Integer = 2004 | Less-than operator ('<') |
| | op_ge : Integer = 2005 | Greater-than or equals operator ('>=') |
| | op_gt : Integer = 2006 | Greater-than operator ('>') |
| | op_matches : Integer = 2007 | Matches operator ('matches' or 'is_in') |
| | | |
| | op_not : Integer = 2010 | Not logical operator |
| | op_and : Integer = 2011 | And logical operator |
| | op_or : Integer = 2012 | Or logical operator |
| | op_xor : Integer = 2013 | Xor logical operator |
| | op_implies : Integer = 2014 | Implies logical operator |
| | op_for_all : Integer = 2015 | For-all quantifier operator |
| | op_exists : Integer = 2016 | Exists quantifier operator |
| | | |
| | op_plus : Integer = 2020 | Plus operator ('+') |
| | op_minus : Integer = 2021 | Minus operator ('-') |
| | op_multiply : Integer = 2022 | Multiply operator ('*') |
| | op_divide : Integer = 2023 | Divide operator ('/') |

| CLASS | OPERATOR_KIND | |
|------------|--|-----------------------------------|
| | op_exp : Integer = 2024 | Exponent operator ('^') |
| Attributes | Signature | Meaning |
| | value : Integer | Actual value of this instance |
| Functions | Signature | Meaning |
| | valid_operator (an_op: Integer) : Boolean <i>ensure</i> an_op >= op_eq and an_op <= op_exp | Function to test operator values. |
| Invariant | <i>Validity</i> : valid_operator(value) | |

7 vtTerminology Package

7.1 Overview

All local terminology as well as terminological and terminology binding elements of an archetype are represented in the terminology section of an archetype, whose semantics are defined by the `archetype.terminology` package, shown below.

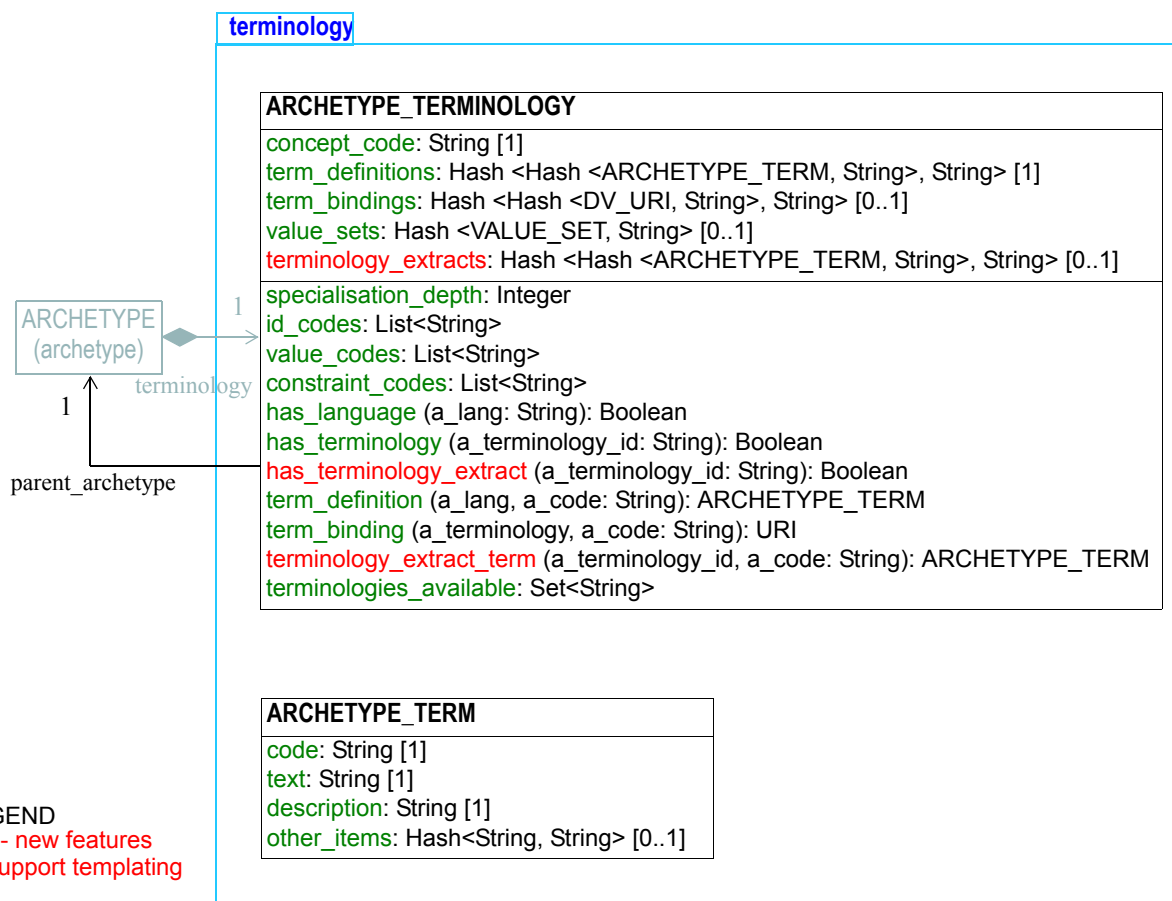


FIGURE 20 openehr.am.archetype.terminology Package

An archetype terminology consists of the following elements.

- *term_definitions*: a mandatory structure consisting of lists of term definitions defined local to the archetype, one list for each language of translation, as well as the original language of definition. The entries in this table include:
 - Some or all id-codes. One of these is a code of the form 'id1', 'id1.1', 'id1.1.1' etc, denoting the concept of the archetype as a whole. This particular code is recorded in the *concept_code* attribute and is used as the id-code on the root node in the archetype definition. Not all id-codes are required to be in the *term_definitions* structure - for nodes that are children of single-valued attribute, a term definition is optional (and not typically defined).

- At-codes used to define value terms and inline value sets/ All at-codes will appear within a `C_TERMINOLOGY_CODE` constraint object within the archetype. All at-codes must have a definition in the *term_definitions*.
- Ac-codes used to define external value set references. All ac-codes must have a definition in the *term_definitions*.
- *term_bindings*: an optional structure consisting of list of terms and bindings, one list for each external terminology (i.e. the terminology or ontology being 'bound to'). Each 'binding' is a URI to a target. For a binding of an id-code or an at-code, the target will be a single term, and for an ac-code, it will designate a ref-set or value set.
- *terminology_extracts*: an optional structure containing extracts from external terminologies such as SNOMED CT, ICDx, or any local terminology. These extracts include the codes and preferred term rubrics, enabling the terms to be used for both display purposes. This structure is normally only used for templates, enabling small value sets for which no external reference set or subset is defined to be captured locally in the template.

Depending on whether the archetype is in differential or flat form, an instance of the `ARCHETYPE_TERMINOLOGY` class contains terms, constraints, bindings and terminology extracts that were respectively either introduced in the owning archetype, or all codes and bindings obtained by

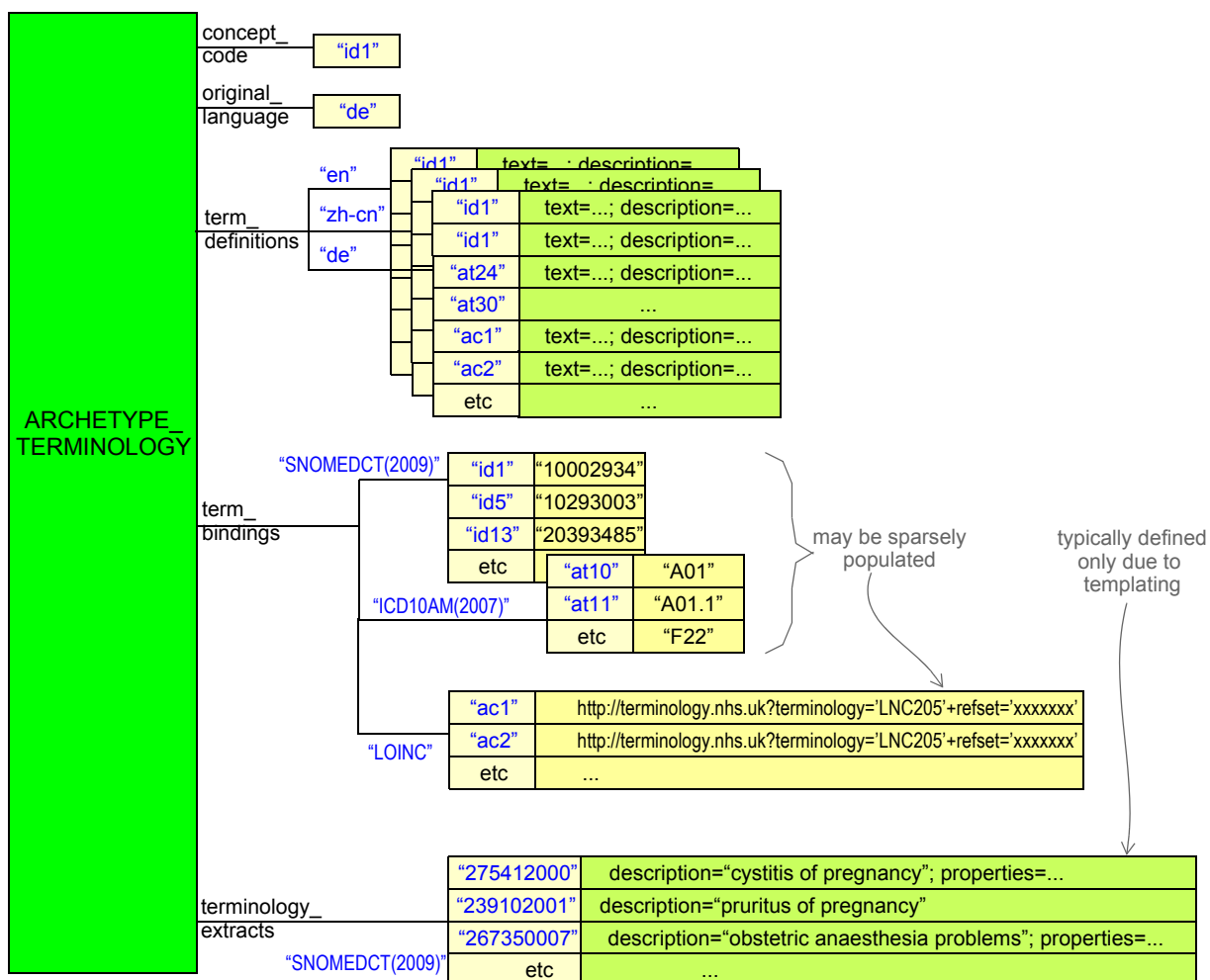


FIGURE 21 Archetype terminology structure.

compressing an archetype lineage through inheritance. A typical instance structure of `ARCHETYPE_TERMINOLOGY` is illustrated in FIGURE 21.

7.2 Semantics

7.2.1 Specialisation Depth

Any given archetype occurs at some point in a lineage of archetypes related by specialisation, where the depth is reflected by the *specialisation_depth* function. An archetype which is not a specialisation of another has a *specialisation_depth* of 0. Term and constraint codes *introduced* in the terminology of specialised archetypes (i.e. which did not exist in the terminology of the parent archetype) are defined in a strict way, using ‘.’ (period) markers. For example, an archetype of specialisation depth 2 will use term definition codes like the following:

- ‘id0.0.1’ - a new term introduced in this archetype, which is not a specialisation of any previous term in any of the parent archetypes;
- ‘id4.0.1’ - a term which specialises the ‘id4’ term from the top parent. An intervening ‘.0’ is required to show that the new term is at depth 2, not depth 1;
- ‘id25.1.1’ - a term which specialises the term ‘id25.1’ from the immediate parent, which itself specialises the term ‘id1’ from the top parent.

This systematic definition of codes enables software to use the structure of the codes to more quickly and accurately make inferences about term definitions up and down specialisation hierarchies. Constraint codes on the other hand do not follow these rules, and exist in a flat code space instead.

7.3 Class Descriptions

7.3.1 ARCHETYPE_TERMINOLOGY Class

| CLASS | ARCHETYPE_TERMINOLOGY | |
|------------|---|--|
| Purpose | Local terminology of an archetype. This class defines the semantics of the terminology of an archetype. | |
| Attributes | Signature | Meaning |
| 1 | concept_code : String | Term code defining the meaning of the archetype as a whole, and always used as the at-code on the root node of the archetype. Must be defined in the <i>term_definitions</i> property. |
| 1 | original_language : String | Original language of the terminology, as set at archetype creation or parsing time; must be a code in the ISO 639-1 2 character language code-set. |
| 1 | term_definitions : Hash <Hash <ARCHETYPE_TERM, String>, String> | Directory of term definitions as a two-level table. The outer hash keys are language codes, e.g. “en”, “de”, while the inner hash keys are term codes, e.g. “id17”, “at4”. |

| CLASS | ARCHETYPE_TERMINOLOGY | |
|-----------------------|---|--|
| 0..1 | term_bindings: Hash <Hash <DV_URI, String>, String> | Directory of bindings to external terminology codes and value sets, as a two-level table. The outer hash keys are terminology ids, e.g. "SNOMED_CT", and the inner hash keys are constraint codes, e.g. "at4", "ac13" or paths. The indexed DV_URI objects represent references to externally defined resources, either terms, ontology concepts, or terminology subsets / ref-sets. |
| 0..1 | terminology_extracts: Hash <Hash <ARCHETYPE_TERM, String>, String> | Directory of extracts of external terminologies, as a two-level table. The outer hash keys are terminology ids, e.g. "SNOMED_CT", while the inner hash keys are term codes or code-phrases from the relevant terminology, e.g. "10094842". |
| 1 (non-persistent) | parent_archetype: ARCHETYPE | Archetype which owns this terminology. |
| Functions | Signature | Meaning |
| | id_codes: List<String> | List of all id codes in the terminology., i.e. the "id" codes in an ADL archetype, which are the <i>node_ids</i> on C_OBJECT descendants. |
| | term_codes: List<String> | List of all value set member term codes in the terminology, i.e. the "at" codes in an ADL archetype, which are used as possible values on terminological constrainer nodes. |
| | constraint_codes: List<String> | List of all term codes in the terminology defining value sets. These correspond to the "ac" codes in an ADL archetype. |
| | specialisation_depth: Integer | Specialisation depth of this archetype. Unspecialised archetypes have depth 0, with each additional level of specialisation adding 1 to the specialisation_depth. |
| | term_definition (a_lang, a_code: String): ARCHETYPE_TERM <i>require</i> has_term_definition (a_lang, a_code) | Term definition for a code, in a specified language. |

| CLASS | ARCHETYPE_TERMINOLOGY | |
|-------|---|--|
| | term_binding (a_terminology_id, a_code: String): String <i>require</i> has_term_binding (a_terminology_id, a_code) | Binding of constraint corresponding to <i>a_code</i> in target external terminology <i>a_terminology_id</i> , as a string, which is usually a formal query expression. |
| | terminology_extract_term (a_terminology_id, a_code: String): ARCHETYPE_TERM <i>require</i> has_terminology_extract (a_terminology_id) and has_terminology_extract_code (a_code) | Return an ARCHETYPE_TERM from specified terminology extract, for specified term code. |
| | has_language (a_lang: String): Boolean <i>require</i> a_lang != Void | True if language 'a_lang' is present in archetype terminology. |
| | has_terminology (a_terminology_id: String): Boolean <i>require</i> a_terminology_id != Void | True if terminology <i>a_terminology</i> is present in archetype terminology. |
| | has_terminology_extract (a_terminology_id: String): Boolean <i>require</i> a_terminology_id != Void | True if there is a terminology extract for <i>a_terminology</i> is present in archetype terminology. |
| | terminologies_available: Set<String> <i>ensure</i> Result != Void | List of terminologies to which term or constraint bindings exist in this terminology, computed from <i>bindings</i> . |
| | languages_available: Set<String> <i>ensure</i> Result != Void | List of languages in which terms in this terminology are available. |

| CLASS | ARCHETYPE_TERMINOLOGY |
|-----------|--|
| Invariant | <p>original_language_validity: original_language != void and then code_set (Code_set_id_languages).has_concept_id (original_language)</p> <p>concept_code_validity: concept_code != void and then id_codes.has (concept_code)</p> <p>term_definitions_validity term_definitions != void</p> <p>term_bindings_validity: bindings != void implies not bindings.is_empty</p> <p>Parent_archetype_valid: parent_archetype != Void and then parent_archetype.terminology = Current</p> |

7.3.1.1 Validity Rules

The following validity rules apply to instances of this class in an archetype:

VONSD: specialisation level of codes. Term or constraint code defined in archetype terminology must be of the same specialisation level as the archetype (differential archetypes), or the same or a less specialised level (flat archetypes).

VONLC: language consistency. Languages consistent: all term codes and constraint codes exist in all languages.

VOTBK: terminology term binding key valid. Every term binding must be to either a defined archetype term ('at-code') or to a path that is valid in the flat archetype.

VOCBK: terminology constraint binding key valid. Every constraint binding must be to a defined archetype constraint code ('ac-code').

7.3.2 ARCHETYPE_TERM Class

| CLASS | ARCHETYPE_TERM | |
|------------|---|---|
| Purpose | Representation of any coded entity (term or constraint) in the archetype terminology. | |
| Attributes | Signature | Meaning |
| 1 | code: String | Code of this term. |
| 1 | text: String | Short term text, typically for display. |
| 1 | description: String | Full description text. |
| 0..1 | other_items: Hash <String, String> | Hash of keys and corresponding values for other items in a term, e.g. provenance. |
| Functions | Signature | Meaning |
| | keys: Set<String> ensure Result != Void | List of all keys used in this term. |

| CLASS | ARCHETYPE_TERM |
|-----------|--|
| Invariant | <i>code_valid</i> : code != void and then not code.is_empty <i>text_valid</i> : text != void <i>description_valid</i> : description != void |

Appendix A Algorithms

A.1 Validation of Specialised Archetype

TBD_3: The algorithm below is currently out of date and needs renewal from source

The following class provides an indicative algorithm that can be used to validate a specialised archetype against the flat form of its specialisation parent. It is expressed in a Pascal-style notation derived from the Eiffel reference implementation of the ADL compiler developed for the *openEHR* Foundation. The code and keywords should be self-explanatory, except possibly in the case of the ‘agent’ keyword. This is used in Eiffel to pass a routine as an object to another routine. The C# equivalent is the ‘delegate’; in Java there are various workarounds. The original code can be found at [THIS URL](#).

The design approach of the following class is quite simple: traverse the tree structure of the differential form of a specialised archetype with an agent (delegate) that finds the equivalent node in the flat parent, and determines whether the child node conforms or not.

```
class ARCHETYPE_VALIDATOR

    target: DIFFERENTIAL_ARCHETYPE
        -- differential archetype being validated

    flat_parent: FLAT_ARCHETYPE
        -- flat version of parent archetype, if target is specialised

    validate_specialised_definition is
        -- validate definition of specialised archetype against flat parent
    require
        Target_specialised: target.is_specialised
    local
        def_it: C_ITERATOR
    do
        create def_it.make(target.definition)
        def_it.do_while(agent specialised_node_validate, agent node_test)
    end

    node_test (a_c_node: ARCHETYPE_CONSTRAINT): BOOLEAN is
        -- return True if a conformant path of a_c_node within the differential archetype is
        -- found within the flat parent archetype - i.e. a_c_node is inherited or redefined from
        -- parent (but not new) and no previous errors encountered
    local
        apa: ARCHETYPE_PATH_ANALYSER
    do
        create apa.make_from_string(a_c_node.path)
        Result := passed and flat_parent.has_path (apa.path_at_level (flat_parent.specialisation_depth))
    end

    specialised_node_validate (a_c_node: ARCHETYPE_CONSTRAINT; depth: INTEGER)
        -- perform grafts of node from differential archetype on corresponding node in flat parent
```

```

-- only interested in C_COMPLEX_OBJECTs
local
  co_parent_flat, co_child_diff: C_OBJECT
  apa: ARCHETYPE_PATH_ANALYSER
  child_attr_name: STRING
  ca_parent, ca_child, ca_child_diff: C_ATTRIBUTE
do
  create apa.make_from_string (a_c_node.path)

  if a_c_node instance_of C_ATTRIBUTE then
    ca_child_diff := (C_ATTRIBUTE) a_c_node
    ca_parent_flat := flat_parent.definition.c_attribute_at_path (apa.path_at_level (flat_parent.specialisation_depth))
    if not ca_child_diff.c_conforms_to(ca_parent_flat) then
      if ca_child_diff.is_single /= ca_parent_flat.is_single then
        add_error("VSAM", <<ca_child_diff.path>>)
      elseif not ca_child_diff.existence_conforms_to (ca_parent_flat) then
        add_error("VSANCE", <<ca_child_diff.path, ca_child_diff.existence.as_string,
          ca_parent_flat.path, ca_parent_flat.existence.as_string>>)
      elseif not ca_child_diff.cardinality_conforms_to (ca_parent_flat) then
        add_error("VSANCC", <<ca_child_diff.path, ca_child_diff.cardinality.as_string,
          ca_parent_flat.path, ca_parent_flat.cardinality.as_string>>)
      end
    elseif ca_child_diff.c_congruent_to (ca_parent_flat) and ca_child_diff.parent.is_congruent then
      ca_child_diff.set_is_congruent
    end

  elseif a_c_node instance_of C_OBJECT then
    co_child_diff := (C_OBJECT) a_c_node

    -- find corresponding node in parent by using child node path, 'de-specialised' by one level
    co_parent_flat := flat_parent.c_object_at_path (apa.path_at_level (flat_parent.specialisation_depth))

    -- if the child is a redefine of a parent use_node, then have to do the comparison to the
    -- use_node target, unless they both are use_nodes, in which case leave them as is
    if co_parent_flat instance_of ARCHETYPE_INTERNAL_REF and
      not co_child_diff instance_of ARCHETYPE_INTERNAL_REF then
      co_parent_flat := flat_parent.c_object_at_path ((ARCHETYPE_INTERNAL_REF) co_parent_flat.path)
      if dynamic_type (co_child_diff) /= dynamic_type (co_parent_flat) then
        add_error("VSUNT", <<co_child_diff.path, co_child_diff.generating_type,
          co_parent_flat.path, co_parent_flat.generating_type>>)
      end
    end

    -- now determine if child object is same as or a specialisation of flat object
    if dynamic_type (co_child_diff) /= dynamic_type (co_parent_flat) then
      add_error("VSONT", <<co_child_diff.path, co_child_diff.type, co_parent_flat.path, co_parent_flat.type>>)
    elseif not co_child_diff.c_conforms_to(co_parent_flat) then
      if not co_child_diff.rm_type_conforms_to (co_parent_flat) then
        add_error("VSONCT", <<co_child_diff.path, co_child_diff.rm_type_name,

```



```

                                co_parent_flat.path, co_parent_flat.rm_type_name>>)
elseif not co_child_diff.occurrences_conforms_to (co_parent_flat) then
    add_error("", <<co_child_diff.path, co_child_diff.occurrences.as_string,
                                co_parent_flat.path, co_parent_flat.occurrences.as_string>>)
elseif co_child_diff.is_addressable then
    if not co_child_diff.node_id_conforms_to (co_parent_flat) then
        add_error("VSONCI", <<co_child_diff.path, co_child_diff.node_id, co_parent_flat.path,
                                co_parent_flat.node_id>>))
        elseif co_child_diff.node_id.is_equal(co_parent_flat.node_id) then
            add_error("VSONIR", <<co_child_diff.path, co_parent_flat.path, co_child_diff.node_id>>))
        end
    else
        add_error("VSONI", <<co_child_diff.rm_type_name, co_child_diff.path,
                                co_parent_flat.rm_type_name, co_parent_flat.path>>))
    end
else
    -- nodes are at least conformant; check for congruence for specialisation path replacement
    if co_child_diff instanceof C_COMPLEX_OBJECT then
        if co_child_diff.c_congruent_to (co_parent_flat) and
            (co_child_diff.is_root or else co_child_diff.parent.is_congruent) then
            co_child_diff.set_is_congruent
        end
    end

    if co_child_diff.sibling_order /= Void and then not
        co_parent_flat.parent.has_child_with_id (co_child_diff.sibling_order.sibling_node_id) then
        add_error("VSSM", <<co_child_diff.path, co_child_diff.sibling_order.sibling_node_id>>))
    end
end
end
end
end
end
end

```

A.2 Inheritance-flattening

7.3.3 What is a Redefined Node?

7.3.3.1 Correspondence of Redefined Nodes

Formally speaking, the correspondence of redefined nodes to the parent archetype nodes from which they are derived can be determined according to the following rules.

1. For an identified node in the parent archetype (i.e. at least any child of a container attribute and multiple same-typed children of single-valued attributes), the specialised archetype includes one or more nodes carrying a specialised node identifier, at a congruent path position.
2. For a non-identified node in the parent archetype (i.e. an unidentified child node of a single-valued attribute), the following conditions apply.
 - a) Where the node in the parent is the only child node of the attribute, the specialised archetype can include one or more nodes at the corresponding location, whose types *conform* (in the sense of the reference model) to that of the parent node,

To Be Determined: provided that for any type for which there is more than one such node, each node of that type carries a specialised node identifier. [Extension node code maybe?]

- b) Where more than one such child node exists in the parent (each of which must be of different reference model types, by the identification rules described in the ADL specification Summary of Object Node Identification Rules), a specialised node in the child is matched to the parent node of the same or most immediate parent type from the reference model.
 - c) Where there are multiple nodes in the parent under the single-valued attribute, and multiple nodes in the child at the same location, matching of specialised nodes to parent nodes may become ambiguous, if reference model subtypes are used.

The above rules are used to determine the lineage of a given node in a specialised archetype, which is required both for archetype validation and for archetype flattening. In case 2c, archetype authoring tools should indicate ambiguities to the authoring user, and potentially offer to add node identifiers in order to remove the ambiguity. For most archetypes and reference models, the use of non-identified nodes is likely to be limited, and such ambiguities will not arise. However for models and archetypes where single-valued attribute alternatives are heavily used and redefined, it is advisable that node identifiers be used both in the parent and specialised child archetypes.

References

Publications

- 1 Beale T. *Archetypes: Constraint-based Domain Models for Future-proof Information Systems*. OOPSLA 2002 workshop on behavioural semantics.
Available at <http://www.deepthought.com.au/it/archetypes.html>.
- 2 Beale T. *Archetypes: Constraint-based Domain Models for Future-proof Information Systems*. 2000.
Available at <http://www.deepthought.com.au/it/archetypes.html>.
- 3 Beale T, Heard S. The Archetype Definition Language (ADL). See http://www.openehr.org/repositories/spec-dev/latest/publishing/architecture/archetypes/language/ADL/REV_HIST.html.
- 4 Heard S, Beale T. Archetype Definitions and Principles. See http://www.openehr.org/repositories/spec-dev/latest/publishing/architecture/archetypes/principles/REV_HIST.html.
- 5 Heard S, Beale T. *The openEHR Archetype System*. See http://www.openehr.org/repositories/spec-dev/latest/publishing/architecture/archetypes/system/REV_HIST.html.
- 6 Rector A L. *Clinical Terminology: Why Is It So Hard?* Yearbook of Medical Informatics 2001.
- 7 W3C. *OWL - The Web Ontology Language*.
See <http://www.w3.org/TR/2003/CR-owl-ref-20030818/>.
- 8 Horrocks *et al.* *An OWL Abstract Syntax*.
See <http://www.w3.org/xxxx/>.

Resources

- 9 openEHR. EHR Reference Model. See <http://www.openehr.org/repositories/spec-dev/latest/publishing/architecture/top.html>.
- 10 OMG. The Object Constraint Language 2.0. Available at <http://www.omg.org/cgi-bin/doc?ptc/2003-10-14>.

END OF DOCUMENT