



### The openEHR Reference Model

# **Support** Information Model

Editors: $\{T \ Beale, \ S \ Heard\}^1, \ \{D \ Kalra, \ D \ Lloyd\}^2$ 

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**Founding** David Ingram, Professor of Health Informatics, CHIME, University

Chairman College London

**Founding** Dr P Schloeffel, Dr S Heard, Dr D Kalra, D Lloyd, T Beale

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| 0.9.8 | CR-000068. Correct errors in INTERVAL class.   | T Beale                      | 20 Dec 2003 |
| 0.9.7 | CR-000032. Basic numeric type assumptions need to be stated CR-000041. Visually differentiate primitive types in openEHR documents. CR-000043. Move External package to Common RM and rename to Identification (incorporates CR-000036 - Add HIER_OBJECT_ID class, make OBJECT_ID class abstract.) | DSTC,<br>D Lloyd,<br>T Beale | 09 Oct 2003 |
| 0.9.6 | CR-000013. Rename key classes. Based on CEN ENV13606. CR-000038. Remove <i>archetype_originator</i> from multi-axial archetype id. CR-000039. Change archetype_id section separator from '.' to '-'.   | T Beale                      | 18 Sep 2003 |
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| 0.9.4 | CR-000022. Code TERM_MAPPING.purpose.  | G Grieve                     | 20 Jun 2003 |
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| 0.9.2 | Detailed review by Ocean, DSTC, Grahame Grieve. Updated valid characters in OBJECT_ID.namespace.   | G Grieve                     | 25 Mar 2003 |
| 0.9.1 | Added specification for BOOLEAN type. Corrected minor error in ISO 639 standard strings - now conformant to TERMINOLOGY_ID. OBJECT_ID.version_id now optional. Improved document structure.  | T Beale                      | 18 Mar 2003 |
| 0.9   | Initial Writing. Taken from Data types and Common Reference Models. Formally validated using ISE Eiffel 5.2.   | T Beale                      | 25 Feb 2003 |

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#### 1 Introduction

### 1.1 Purpose

This document describes the *open*EHR Support Reference Model, whose semantics are used by all *open*EHR Reference Models. The intended audience includes:

- Standards bodies producing health informatics standards;
- Software development organisations developing EHR systems;
- Academic groups studying the EHR;
- The open source healthcare community.

#### 1.2 Related Documents

Prerequisite documents for reading this document include:

- The *open*EHR Architecture Overview
- The *open*EHR Modelling Guide

#### 1.3 Status

This document is under development, and is published as a proposal for input to standards processes and implementation works.

This document is available at <a href="http://svn.openehr.org/specification/TAGS/Release-1.0/publishing/architecture/rm/support\_im.pdf">http://svn.openehr.org/specification/TAGS/Release-1.0/publishing/architecture/rm/support\_im.pdf</a>.

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Blue text indicates sections under active development.

#### 1.4 Peer review

Areas where more analysis or explanation is required are indicated with "to be continued" paragraphs like the following:

```
To Be Continued: more work required
```

Reviewers are encouraged to comment on and/or advise on these paragraphs as well as the main content. Please send requests for information to <u>info@openEHR.org</u>. Feedback should preferably be provided on the mailing list openehr-technical@openehr.org, or by private email.

#### 1.5 Conformance

Conformance of a data or software artifact to an *open*EHR Reference Model specification is determined by a formal test of that artifact against the relevant *open*EHR Implementation Technology Specification(s) (ITSs), such as an IDL interface or an XML-schema. Since ITSs are formal, automated derivations from the Reference Model, ITS conformance indicates RM conformance.

## 2 Support Package

#### 2.1 Overview

The Support Reference Model comprises types which are used throughout other *open*EHR models, but are defined elsewhere, either by standards organisations or which are accepted *de facto* standards. The package structure is illustrated in FIGURE 1.

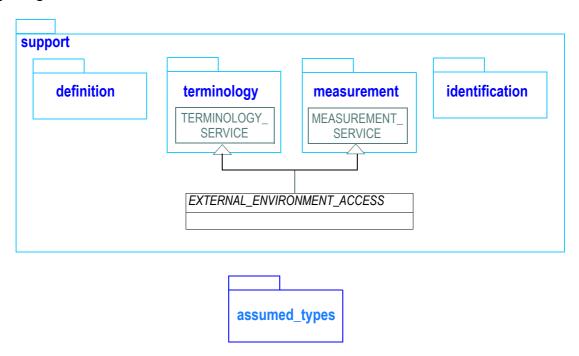


FIGURE 1 rm.support and assumed types Packages

The four Support packages define the semantics respectively for constants, terms, scientific measurement and identifiers, which are assumed by the rest of the *openEHR* specifications. The class EXTERNAL ENVIRONMENT ACCESS is a mixin class providing access to external services.

#### 2.2 Class Definitions

### 2.2.1 EXTERNAL\_ENVIRONMENT\_ACCESS Class

| CLASS     | EXTERNAL_ENVIRONMENT_ACCESS (abstract)                                  |  |
|-----------|---|--|
| Purpose   | A mixin class providing access to services in the external environment. |  |
| Functions | Signature   | Meaning  |
|           | eea_terminology_svc: TERMINOLOGY_SERVICE                                | Return an interface to the terminology service |
|           | eea_measurement_svc: MEASUREMENT_SERVICE                                | Return an interface to the measurement service |

| CLASS      | EXTERNAL_ENVIRONMENT_ACCESS (abstract)  |
|------------|---|
| Invariants | Terminology_service_exists: eea_terminology_svc /= Void Measurement_service_exists: eea_measurement_svc /= Void |

## 3 Assumed Types

#### 3.1 Overview

This section describes types assumed by all *open*EHR models. The set of types chosen here is based on a lowest common denominator set from various published sources, as follows.

- ISO 11404 (2003 revision).
- ISO 8601 (2004) date/time specification.
- Well-known interoperability formalisms, including OMG IDL, W3C XML-schema.
- Well-known object-oriented programming languages, including C++, Java, C#, and Eiffel.

The intention in *open*EHR is to make the minimum possible assumptions about types found in implementation formalisms, while making sufficient assumptions to both enable *open*EHR models to be conveniently specified, and to allow the typical basic types of these formalisms to be used in their normal way, rather than being re-invented by *open*EHR. The ISO 11404 (2003) standard contains basic semantics of "general purpose data types" (GPDs) for information technology, and is used here as a normative basis for describing assumptions about types. The operations and properties described here are compatible with those used in ISO 11404, but not always the same, as 11404 does not use object-oriented functions. For example, the notional function has (x:T) (test for presence of a value in a set) defined on the type Set<T> below is not defined on the ISO 11404 Set type; instead, the function IsIn (x: T; s: Set<T>) is defined. However, in object-oriented formalisms, the function IsIn defined on a Set type would usually mean "subset of", i.e. true if this set is contained inside another set. In the interests of clarity for developers, an object-oriented style of functions and properties has been used here.

ISO8601:2004 is used as the definitional basis for assumed date/time types, since it is commonly used around the world, and is also the basis for the date/time types in W3C XML-schema. See section 3.4 on page 19 below for details of dates and times.

Two groups of assumed types are identified: primitive types, which are those built in to a formalism's type system, and library types, which are assumed to be available in a (class) library defined in the formalism. Thus, the type Boolean is always assumed to exist in a formalism, while the type Array<T> is assumed to be available in a library. For practical purposes, these two categories do not matter that much - whether String is really a library class (the usual case) or an inbuilt type doesn't make much difference to the programmer. They are shown separately here mainly as an explanatory convenience.

The assumptions that *open*EHR makes about existing types are documented below in terms of interface definitions. Each of these definitions contains *only the assumptions required for the given type to be used in the openEHR Reference Model* - it is not by any means a complete interface definition. The name and semantics of any function used here for an assumed type might not be identical to those found in some implementation technologies, but should be very close. Any mapping required should be stated in the relevant ITS. The definitions are compatible with the ISO 11404 standard, 2003 revision. Operation semantics are described formally using pre- and post-conditions. The keyword "Current" stands for "the current instance" (known as "this" or "self" in various languages). The keyword "like" anchors the type of the reference to the type of the object whose reference follows *like*. Not all types have definition tables - only those which add features to their inheritance parent have a table.

### 3.2 Inbuilt Primitive Types

The following types consititute the minimum built in set of types assumed by *open*EHR of an implementation formalism.

| Type name in openEHR | Description  | ISO 11404<br>Type |
|----------------------|--|-------------------|
| Character            | represents a type whose value is a member of an 8-bit character-set (ISO: "repertoire").   | Character         |
| Boolean              | represents logical True/False values; usually physically represented as an integer, but need not be                                    | Boolean           |
|                      |  |                   |
| Integer              | represents 32-bit integers   | Integer           |
| Real                 | represents 32-bit real numbers in any interoperable representation, including single-width IEEE floating point                         |                   |
| Double               | type which represents 64-bit real numbers, in any inter-<br>operable representation including double-precision<br>IEEE floating point. |                   |

As shown in the table, *open*EHR assumes that Character is an 8-bit type. This is because the only use of Character in *open*EHR is in encapsulated data (*open*EHR Data Types), where the intention is to represent opaque data. Note that "octet" or "byte" may be the appropriate type names to map to in various programming languages.

FIGURE 2 illustrates the inbuilt types. Simple inheritance relationships are shown which facilitate the type descriptions below. A class "Any" is therefore used to stand for the usual top-level class in all object-oriented type systems, typically called something like "Any" or "Object". Inheritance from or substitutability for an Any class is not assumed at all in *open*EHR (hence the dotted lines in the UML). It is used to enable basic operations like '=' to be described once for the type Any, rather than in every subtype. The type Ordered\_numeric is on the other hand assumed for purposes of specification in the *open*EHR data\_types.quantity package, and is intended to be mapped to an equivalent type in a real type system (e.g. in Java, java.lang.Number). Here it is assumed that the operations defined on Ordered\_numeric are available on the types Integer, Real and Double in implementation type systems, where relevant. Data-oriented implementation type systems such as XML-schema are not expected to have such operations.

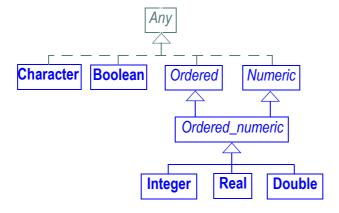


FIGURE 2 Primitive Types Assumed by openEHR

## 3.2.1 Any Type

| INTERFACE   | Any (abstract)   |  |
|-------------|--|--|
| Description | Abstract supertype. Usually maps to a type like "Any" or "Object" in an object system. Defined here to provide the value and reference equality semantics. |  |
| Abstract    | Signature Meaning  |  |
|             | is_equal (other: Any): Boolean   | Value equality   |
| Functions   | Signature  | Meaning  |
|             | infix '=' (other: Any): Boolean  | Reference equality   |
|             | <pre>instance_of (a_type: String)</pre>  | Dynamic type of object as a String. Used for type name matching. |
| Invariants  |  |  |

## 3.2.2 Ordered Type

| INTERFACE  | Ordered (abstract)  |   |
|------------|---|---|
| Purpose    | Abstract notional parent class of ordered, types i.e. types on which the '<' operator is defined. |   |
| Abstract   | Signature   | Meaning   |
|            | infix '<' (other: <i>like</i> Current): Boolean   | Arithmetic comparison. In conjunction with '=', enables the definition of the operators '>', '>=', '<=', '<>'. In real type systems, this operator might be defined on another class for comparability. |
| Invariants |   |   |

# 3.2.3 Numeric Type

| INTERFACE | Numeric (abstract)   |         |
|-----------|--|---------|
| Purpose   | Abstract notional parent class of numeric types, which are types which have various arithmetic and comparison operators defined. |         |
| Abstract  | Signature  | Meaning |

| INTERFACE  | Numeric (abstract)   |  |
|------------|--|--|
|            | <pre>infix "*" (other: like Current): like Current require other_exists: other /= void ensure result_exists: Result /= void</pre>  | Product by `other'. Actual type of result depends on arithmetic balancing rules.             |
|            | <pre>infix "+" (other: like Current): like Current require other_exists: other /= void ensure result_exists: Result /= void commutative: equal (Result, other + Current)</pre> | Sum with 'other' (commutative). Actual type of result depends on arithmetic balancing rules. |
|            | <pre>infix "-" (other: like Current): like Current require other_exists: other /= void ensure result_exists: Result /= void</pre>  | Result of subtracting `other'. Actual type of result depends on arithmetic balancing rules.  |
| Invariants |  |  |

# 3.2.4 Ordered\_numeric Type

| INTERFACE  | Ordered_numeric (abstract)   |         |
|------------|--|---------|
| Purpose    | Abstract notional parent class of ordered, numeric types, which are types with '<' and arithmetic operators defined. |         |
| Inherit    | ORDERED, NUMERIC   |         |
| Abstract   | Signature  | Meaning |
| Invariants |  |         |

## 3.2.5 Boolean Type

| INTERFACE | Boolean  |         |
|-----------|--|---------|
| Purpose   | Boolean type used for two-valued mathematical logic. |         |
| Abstract  | Signature  | Meaning |

| INTERFACE | Boolean   |  |
|-----------|---|--|
|           | <pre>infix "and" (other: Boolean): Boolean require other_exists: other /= void ensure de_morgan: Result = not (not Current or not other) commutative: Result = (other and Current)</pre>  | Logical conjunction                          |
|           | <pre>infix "and then" (other: Boolean): Boolean require other_exists: other /= void ensure de_morgan: Result = not (not Current or else not other)</pre>  | Boolean semi-strict conjunction with other   |
|           | <pre>infix "or" (other: Boolean): Boolean require other_exists: other /= void ensure de_morgan: Result = not (not Current and not other) commutative: Result = (other or Current) consistent_with_semi_strict: Result implies (Current or else other)</pre> | Boolean disjunction with other               |
|           | <pre>infix "or else" (other: Boolean): Boolean require other_exists: other /= void ensure de_morgan: Result = not (not Current and then not other)</pre>  | Boolean semi-strict disjunction with `other' |
|           | <pre>infix "xor" (other: Boolean): Boolean require other_exists: other /= void ensure definition: Result = ((Current or other) and not (Current and other))</pre>   | Boolean exclusive or with 'other'            |

| INTERFACE  | Boolean  |  |
|------------|--|--|
|            | <pre>infix "implies" (other: Boolean):   Boolean   require   other_exists: other /= void   ensure   definition: Result = (not Current or else   other)</pre> | Boolean implication of `other' (semi-strict) |
| Invariants | <pre>involutive_negation: is_equal (not (not Current)) non_contradiction: not (Current and (not Current)) completeness: Current or else (not Current)</pre>  |  |

### 3.3 Assumed Library Types

The types described in this section are also assumed to be fairly standard in implementation technologies by *open*EHR, but usually come from type libraries rather than be built into the type system of implementation formalisms.

| Type name in openEHR                 | Description  | ISO 11404:<br>2003 Type           |
|--------------------------------------|--|-----------------------------------|
| String                               | represents unicode-enabled strings   | Character-<br>String/<br>Sequence |
| Array <t></t>                        | physical container of items indexed by number  | Array                             |
| List <t></t>                         | container of items, implied order, non-unique member-<br>ship  | Sequence                          |
| Set <t></t>                          | container of items, no order, unique membership  | Set                               |
| Bag <t></t>                          | container of items, no order, non-unique membership  | Bag                               |
| Hash <t,<br>U:Comparable&gt;</t,<br> | a table of values of any type T, keyed by values of any basic comparable type U, typically String or Integer, but may be more complex types, e.g. a coded term type. |                                   |
| Interval <t></t>                     | Intervals  |                                   |

FIGURE 3 illustrates the assumed library types. As with the assumed primitive types, inheritance and abstract classes are used for convenience of the definitions below, but are not formally assumed in *open*EHR.

### 3.3.1 String Type

| INTERFACE   | String  |         |
|-------------|---|---------|
| Description | Strings of characters, as used to represent textual data in any natural or formal language. |         |
| Functions   | Signature   | Meaning |

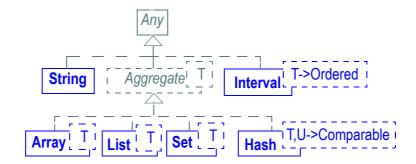


FIGURE 3 Library Types Assumed by openEHR

| INTERFACE  | String                                       |   |
|------------|--|---|
|            | <pre>infix '+' (other: String): String</pre> | Concatenation operator - causes 'other' to be appended to this string |
|            | is_empty: Boolean                            | True if string is empty, i.e. equal to "".                            |
|            | is_integer: Boolean                          | True if string can be parsed as an integer.                           |
| Invariants |  |   |

#### 3.3.1.1 UNICODE

It is assumed in the *open*EHR specifications that Unicode is supported by the type String. Unicode is needed for all Asian, Arabic and other script languages, for both data values (particularly plain text and coded text) and for many predefined string attributes of the classes in the *open*EHR Reference Model. It encompasses all existing character sets.

### 3.3.2 Aggregate Type

| INTERFACE   | Aggregate <t> (abstract)</t>   |                                |
|-------------|--|--------------------------------|
| Description | Abstract parent of of the aggregate types List <t>, Set<t>, Bag<t>, Array<t> and Hash<t, k="">.</t,></t></t></t></t> |                                |
| Functions   | Signature  | Meaning                        |
|             | has (V: T): Boolean  | Test for membership of a value |
|             | count: Integer   | Number of items in container   |
|             | is_empty: Boolean  | True if container is empty.    |
| Invariants  |  |                                |

## 3.3.3 List Type

| INTERFACE   | List <t> (abstract)</t>   |                       |
|-------------|---|-----------------------|
| Description | Ordered container that may contain duplicates.  |                       |
| Functions   | Signature Meaning   |                       |
|             | first: T  | Return first element. |
|             | last: T   | Return last element.  |
| Invariants  | First_validity: not is_empty implies first /= Void Last_validity: not is_empty implies last /= Void |                       |

## 3.3.4 Hash Type

| INTERFACE   | Hash <t, comparable="" u:=""></t,>  |  |
|-------------|---|--|
| Description | Type representing a keyed table of values. T is the value type, and U the type of the keys. |  |
| Functions   | Signature   | Meaning  |
|             | has_key (a_key: U): Boolean   | Test for membership of a key   |
|             | item (a_key: U): T  | Return item for key 'a_key'. Equivalent to ISO 11404 <i>fetch</i> operation. |
| Invariants  |   |  |

# 3.3.5 Interval Type

| INTERFACE  | Interval <t:ordered></t:ordered>    |   |
|------------|-------------------------------------|---|
| Purpose    | Interval of ordered items.          |   |
| Attributes | Signature                           | Meaning   |
|            | lower: T                            | lower bound   |
|            | upper: T                            | upper bound   |
|            | lower_unbounded: Boolean            | lower boundary open (i.e. = -infinity)                        |
|            | <pre>upper_unbounded: Boolean</pre> | upper boundary open (i.e. = +infinity)                        |
|            | lower_included: Boolean             | lower boundary value included in range if not lower_unbounded |

| INTERFACE  | Interval <t:ordered></t:ordered>   |  |
|------------|--|--|
|            | <pre>upper_included: Boolean</pre>   | upper boundary value included in range if not <i>upper_unbounded</i>   |
| Functions  | Signature  | Meaning  |
|            | has(e:T): Boolean  | True if (lower_unbounded or<br>((lower_included and v >= lower) or<br>v > lower)) and<br>(upper_unbounded or<br>((upper_included and v <= upper or v<br>< upper))) |
| Invariants | <pre>Lower_included_valid: lower_unbounded implies not lower_included Upper_included_valid: upper_unbounded implies not upper_included Limits_consistent: (not upper_unbounded and not lower_unbounded) implies lower &lt;= upper Limits_comparable: (not upper_unbounded and not lower_unbounded) implies lower.strictly_comparable_to(upper)</pre> |  |

### 3.4 Date/Time Types

Although the ISO 11404 (2003) standard defines a date-and-time type generator (section 8.1.6), and a timeinterval type (section 10.1.6), a more widely used specification of date/times is given by ISO 8601:2004, which is used as the normative basis for both string literal representation and properties used within *openEHR*. The types are shown in FIGURE 4.

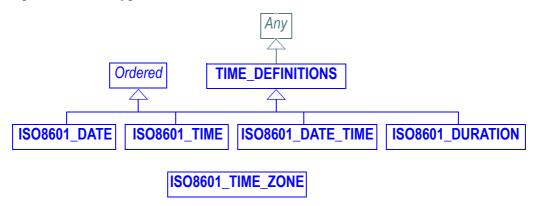


FIGURE 4 Date/Time types assumed by openEHR

ISO 8601 semantics not used in *openEHR* include:

- "expanded" dates, which have year numbers of greater than 4 digits, and may be megative; in *open*EHR, only 4-digit year numbers are assumed;
- the YYYY-WW-DD method of expressing dates (since this is imprecise and difficult to compute with due to variable week starting dates, and not required in health);
- partial dates with fractional minutes or hours, e.g. hh,hhh or mm,mm; in *openEHR*, only fractional seconds are supported when seconds are present.

• the interval form syntax forms. Intervals of date/times are supported in *open*EHR, but their syntax form is defined by ADL, and is standardised across all comparable types, not just dates and times.

Deviations from the published standard include the following:

• durations are supposed to take the form of PnnW or PnnYnnMnnDTnnHnnMnnS, but in *open*EHR, the W (week) designator can be used with the other designators, since it is very common to state durations of pregnancy as some combination of weeks and days.

The following class definitions provide an object-oriented expression of the semantics of the subset of ISO 8601:2004 used by *openEHR*.

See <a href="http://www.cl.cam.ac.uk/~mgk25/iso-time.html">http://www.cl.cam.ac.uk/~mgk25/iso-time.html</a> and the official ISO standard for ISO 8601 details. Note that in the date, time and date\_time formats shown below, 'Z' and 'T' are literals. In the duration class shown below, 'P', 'Y', 'M', 'W', 'D', 'H', 'S' and 'T' are literals.

### 3.4.1 TIME\_DEFINITIONS Class

| CLASS     | TIME_  | DEFINITIONS   |
|-----------|--|---|
| Purpose   | Definitions for date/time classes. Note that the timezone limits are set by where the international dateline is. Thus, time in New Zealand is quoted using +12:00, not -12:00. |   |
| Constants | Signature  | Meaning   |
| 11        | Seconds_in_minute: Integer = 60  |   |
| 11        | Minutes_in_hour: Integer = 60  |   |
| 11        | Hours_in_day: Integer = 24   |   |
| 11        | Nominal_days_in_month:<br>Integer = 30.42  | Used for conversions of durations containing months to days and / or seconds. |
| 11        | Max_days_in_month:<br>Integer = 31   | Used for validity checking.   |
| 11        | Days_in_year: Integer = 365  |   |
| 11        | Days_in_leap_year: Integer = 366   |   |
| 11        | Max_days_in_year: Integer = Days_in_leap_year  | Used for validity checking.   |
| 11        | Nominal_days_in_year:<br>Integer = 365.24  | Used for conversions of durations containing years to days and / or seconds.  |
| 11        | Days_in_week: Integer = 7  |   |
| 11        | Months_in_year: Integer = 12   |   |

| CLASS     | TIME_DEFINITIONS  |   |
|-----------|---|---|
| 11        | Min_timezone: DV_DURATION ensure Result.as_string = "-12:00"  |   |
| 11        | Max_timezone: DV_DURATION ensure Result.as_string = "+13:00"  |   |
| Functions | Signature   | Meaning   |
|           | <pre>valid_year (y: Integer): Boolean ensure Result = y &gt;= 0</pre>   | True if y >= 0  |
|           | <pre>valid_month (m: Integer): Boolean ensure Result = m &gt;= 1 and m &lt;= Months_in_year</pre>   | True if m >= 1 and m <= Months_in_year  |
|           | <pre>valid_day (y, m, d: Integer): Boolean ensure Result = d &gt;= 1 and d &lt;= days_in_month(m, y)</pre>                                      | True if d >= 1 and d <= days_in_month(m, y)                                     |
|           | <pre>valid_hour (h, m, s: Integer): Boolean ensure Result = (h &gt;= 0 and h &lt; Hours_in_day) or (h = Hours_in_day and m = 0 and s = 0)</pre> | True if (h >= 0 and h < Hours_in_day) or (h = Hours_in_day and m = 0 and s = 0) |
|           | <pre>valid_minute (m: Integer): Boolean ensure Result = m &gt;= 0 and m &lt; Minutes_in_hour</pre>  | True if m >= 0 and m < Minutes_in_hour  |
|           | <pre>valid_second (s: Integer): Boolean ensure Result = s &gt;= 0 and s &lt; Seconds_in_minute</pre>  | True if s >= 0 and s < Seconds_in_minute  |
|           | <pre>valid_fractional_second (fs: Dou- ble): Boolean ensure Result = fs &gt;= 0.0 and fs &lt; 1.0</pre>   | True if fs $\geq$ = 0.0 and fs < 1.0  |

| CLASS      | TIME_DEFINITIONS |
|------------|------------------|
| Invariants |                  |

## 3.4.2 ISO8601\_DATE Class

| CLASS    | ISO8601_DATE  |   |
|----------|---|---|
| Purpose  | Represents an absolute point in time, as measured on the Gregorian calendar, and specified only to the day. |   |
| Inherit  | ORDERED, TIME_DEFINITIONS   |   |
| Abstract | Signature   | Meaning   |
|          | as_string: String   | ISO8601 string for date, in format <b>YYYYMMDD</b> or <b>YYYY-MM-DD</b> , or a partial invariant. See <i>valid_iso8601_date</i> for validity. |
|          | year: Integer   | Year.   |
|          | month: Integer require not month_unknown  | Month in year.  |
|          | day: Integer require not day_unknown  | Day in month.   |
|          | month_unknown: Boolean  | Indicates whether month in year is unknown. If so, the date is of the form "YYYY".  |
|          | day_unknown: Boolean  | Indicates whether day in month is unknown. If so, and month is known, the date is of the form "YYYY-MM" or "YYYYMM".                          |
|          | is_partial: Boolean   | True if this date is partial, i.e. if day or more is missing.   |
|          | is_extended: Boolean  | True if this date uses '-' separators.  |
|          | infix '<' (other: <i>like</i> Current): Boolean   | Arithmetic comparison with other date. True if this date is closer to the origin than <i>other</i> .  |

| CLASS      | ISO8601_DATE   |  |
|------------|--|--|
|            | valid_iso8601_date (s: String): Boolean  | String is a valid ISO 8601 date, i.e. takes the complete form:  . YYYYMMDD or the extended form:  . YYYY-MM-DD or one of the partial forms:  . YYYYMM  . YYYY  or the equivalent extended form:  . YYYY-MM  Where:  . YYYY is the string form of any positive number in the range "0000" - "9999" (zero-filled to four digits)  . MM is "01" - "12" (zero-filled to two digits)  . DD is "01" - "31" (zero-filled to two digits)  The combinations of YYYY, MM, DD numbers must be correct with respect to the Gregorian calendar. |
| Invariants | <pre>Year_valid: valid_year(year) Month_valid: not month_unknown implies valid_month(month) Day_valid: not day_unknown implies valid_day(year, month, day) Partial_validity: month_unknown implies day_unknown</pre> |  |

## 3.4.3 ISO8601\_TIME Class

| CLASS    | ISO8601_TIME  |   |
|----------|---|---|
| Purpose  | Represents an absolute point in time from an origin usually interpreted as meaning the start of the current day, specified to the second. |   |
| Inherit  | ORDERED, TIME_DEFINITIONS   |   |
| Abstract | Signature   | Meaning   |
|          | as_string: String   | ISO8601 string for time, i.e. in form: hhmmss[,sss][Z ±hhmm] or the extended form: hh:mm:ss[,sss][Z ±hhmm], or a partial invariant. See <i>valid_iso8601_time</i> for validity. |
|          | hour: Integer   | Hour in day, in 24-hour time.   |

| CLASS | ISO8601_TIME   |   |
|-------|--|---|
|       | minute: Integer require not minute_unknown             | Minute in hour.   |
|       | second: Integer require not second_unknown             | Second in minute.   |
|       | fractional_second: Double require not second_unknown   | Fractional seconds.   |
|       | has_fractional_second: Boolean                         | True if the $fractional\_second$ part is significant (i.e. even if = 0.0).                                  |
|       | timezone:<br>ISO8601_TIMEZONE                          | Timezone; may be Void.  |
|       | minute_unknown: Boolean                                | Indicates whether minute is unknown. If so, the time is of the form "hh".                                   |
|       | second_unknown: Boolean                                | Indicates whether second is unknown. If so and month is known, the time is of the form "hh:mm" or "hhmm".   |
|       | is_partial: Boolean                                    | True if this date is partial, i.e. if seconds or more is missing.   |
|       | is_extended: Boolean                                   | True if this time uses ':' separators.  |
|       | <pre>infix '&lt;' (other: like Current): Boolean</pre> | Arithmetic comparison with other time. True if this date is closer to previous midnight than <i>other</i> . |

| CLASS      | ISO8601_TIME  |   |
|------------|---|---|
|            | <pre>valid_iso8601_time (s: String): Boolean</pre>  | String is a valid ISO 8601 date, i.e. takes the form:   |
|            |   | · hhmmss[,sss][Z   ±hhmm]   |
|            |   | or the extended form:   |
|            |   | · hh:mm:ss[,sss][Z   ±hhmm]   |
|            |   | or one of the partial forms:  |
|            |   | · hhmm or hh  |
|            |   | or the extended form:   |
|            |   | · hh:mm   |
|            |   | with an additional optional timezone indicator of:  |
|            |   | · <b>Z</b> or ± <b>hhmm</b>   |
|            |   | Where:  |
|            |   | • <b>hh</b> is "00" - "24" (0-filled to two digits)   |
|            |   | • <b>mm</b> is "00" - "59" (0-filled to two digits)   |
|            |   | • ss is "00" - "60" (0-filled to two digits)  |
|            |   | • sss is any numeric string, representing an optional fractional second   |
|            |   | • <b>Z</b> is a literal meaning UTC (modern replacement for GMT), i.e. timezone +0000                               |
|            |   | • ± <b>hhmm</b> , i.e. +hhmm or -hhmm, indicating the timezone.   |
|            |   | The only combination allowed when hh=24 is "24:00:00", meaning "end of the calendar day" according to the standard. |
| Invariants | Hour_valid: valid_hour(hour, minute, second) Minute_valid: not minute_unknown implies valid_minute(minute) Second_valid: not second_unknown implies valid_second(second) Fractional_second_valid: has_fractional_second implies (not second_unknown and valid_fractional_second(fractional_second)) Partial_validity: minute_unknown implies second_unknown |   |

## 3.4.4 ISO8601\_DATE\_TIME Class

| CLASS    | ISO8601_DATE_TIME  |         |
|----------|--|---------|
| Purpose  | Represents an absolute point in time, specified to the second. |         |
| Inherit  | ORDERED, TIME_DEFINITIONS                                      |         |
| Abstract | Signature  | Meaning |

| CLASS | ISO8601_DATE_TIME  |   |
|-------|--|---|
|       | as_string: String ensure valid_iso8601_date_time(Result) | ISO8601 string for date/time, in format YYYYMMDDThhmmss[,sss][Z ±hhmm] or in extended format YYYY-MM-DDThh:mm:ss[,sss][Z  ±hhmm] or a partial variant; see valid_iso8601_date_time() below. |
|       | year: Integer  | year  |
|       | month: Integer require not month_unknown                 | month in year   |
|       | day: Integer require not day_unknown                     | day in month  |
|       | hour: Integer require not hour_unknown                   | hour in day   |
|       | minute: Integer require not minute_unknown               | minute in hour  |
|       | second: Integer require not second_unknown               | second in minute  |
|       | fractional_second: Double require not second_unknown     | fractional seconds  |
|       | has_fractional_second: Boolean                           | True if the $fractional\_second$ part is signficant (i.e. even if = 0.0).   |
|       | timezone: ISO8601_TIMEZONE                               | Timezone; may be Void.  |
|       | month_unknown: Boolean                                   | Indicates whether month in year is unknown.   |
|       | day_unknown: Boolean                                     | Indicates whether day in month is unknown.  |
|       | hour_unknown: Boolean                                    | Indicates whether hour in day is known.   |
|       | minute_unknown: Boolean                                  | Indicates whether minute in hour is known.  |
|       | second_unknown: Boolean                                  | Indicates whether minute in hour is known.  |
|       | is_partial: Boolean                                      | True if this date is partial, i.e. if seconds or more is missing.   |

| CLASS      | ISO8601_DATE_TIME  |   |
|------------|--|---|
|            | infix '<' (other: <i>like</i> Current): Boolean  | Arithmetic comparison with other date/time. True if this date/time is closer to origin than <i>other</i> .  |
|            | is_extended: Boolean   | True if this date/time uses '-', ':' separators.  |
|            | <pre>valid_iso8601_date_time (s: String): Boolean</pre>  | String is a valid ISO 8601 date-time, i.e. takes the form:  YYYYMMDDThhmmss[,sss] [Z ±hhmm] or the extended form:  YYYY-MM-DDThh:mm:ss[,sss] [Z ±hhmm] or one of the partial forms:  YYYYMMDDThhmm  YYYYMMDDThh  YYYYMMDD  YYYYMMDD  YYYYMMD  YYYYMM  YYYYMM  YYYYMM  YYYY-MM-DDThh:mm  YYYY-MM-DDThh  YYYY-MM-DDThh |
| Invariants | ### The values in each field are valid.  ### Year_valid: valid_year(year)  ### Month_valid: not month_unknown implies valid_month(month)  ### Day_valid: not day_unknown and not month_unknown implies valid_day(year, month, day)  #### Hour_valid: valid_hour(hour, minute, second)  #### Minute_valid: not minute_unknown implies valid_minute(minute)  ### Second_valid: not second_unknown implies valid_second(second)  #### Fractional_second_valid: has_fractional_second implies (not second_unknown and valid_fractional_second(fractional_second))  ##### Partial_validity_month: month_unknown implies day_unknown  ####### Partial_validity_day: day_unknown implies minute_unknown  ################################## |   |

## 3.4.5 ISO8601\_TIMEZONE Class

| CLASS      | ISO8601_TIMEZONE  |   |
|------------|---|---|
| Purpose    | Represents a timezone as used in ISO 8601.  |   |
| Inherit    | TIME_DEFINITIONS  |   |
| Abstract   | Signature Meaning   |   |
|            | as_string: String   | ISO8601 timezone string, in format  • <b>Z</b>  ± <b>hhmm</b> |
|            | hour: Integer   | Hour part of timezone - in the range 00 - 12                  |
|            | minute: Integer   | Minute part of timezone. Generally 00 or 30.                  |
|            | sign: Integer   | Direction of timezone expresssed as +1 or -1.                 |
|            | is_gmt: Boolean   | True if timezone is GMT, i.e. +0000                           |
| Invariants | <pre>Hour_valid: hour &gt;= 0 and hour &lt;= Max_timezone_hour Minute_valid: minute &gt;= 0 and minute &lt; Minutes_in_hour Sign_valid: sign = 1 or sign = -1</pre> |   |

### 3.4.6 ISO8601\_DURATION Class

| CLASS    | ISO86  | 601_DURATION   |
|----------|--|--|
| Purpose  | Represents a period of time corresponding to a difference between two time-points. |  |
| Inherit  | ORDERED, TIME_DEFINITIONS  |  |
| Abstract | Signature  | Meaning  |
|          | as_string: String  | ISO8601 string for duration, in format • P[nnY][nnM][nnW][nnD][T[nnH][nnM][nnS]] |
|          | years: Integer   | number of years of nominal 365-day length  |
|          | months: Integer  | number of months of nominal 30 day length  |
|          | weeks: Integer   | number of 7 day weeks  |
|          | days: Integer  | number of 24 hour days   |
|          | hours: Integer   | number of 60 minute hours  |
|          | minutes: Integer   | number of 60 second minutes  |

| CLASS      | ISO8601_DURATION  |   |
|------------|---|---|
|            | seconds: Integer  | number of seconds   |
|            | fractional_second: Double   | fractional seconds  |
|            | infix '<' (other: <i>like</i> Current): Boolean   | Arithmetic comparison with other duration. True if this duration is smaller than <i>other</i> .   |
|            | <pre>is_valid_iso8601_duration (s: String): Boolean</pre>   | String is a valid ISO 8601 duration, i.e. takes the form:   |
|            |   | <ul> <li>P[nnY][nnM][nnW][nnD][T[nnH][nnM][nnS]</li> <li>Where each nn represents a number of years, months, etc. nnW represents a number of 7-day weeks.</li> <li>Note: allowing the W designator in the same expression as other designators is an exception to the published standard, but necessary in clinical information (typically for representing pregnancy duration).</li> </ul> |
| Invariants | <pre>years_valid: years &gt;= 0 months_valid: months &gt;= 0 weeks_valid: weeks &gt;= 0 days_valid: days &gt;= 0 hours_valid: hours &gt;= 0 minutes_valid: minutes &gt;= 0 seconds_valid: seconds &gt;= 0 fractional_second_valid: fraction</pre> | al_seconds >= 0.0 and fractional_seconds < 1.0  |

## 4 Identification Package

#### 4.1 Overview

The identification package describes a model of references and identifiers for information entities only and is illustrated in FIGURE 5. Real-world entity identifiers are defined in the *openEHR* Data Types information model.

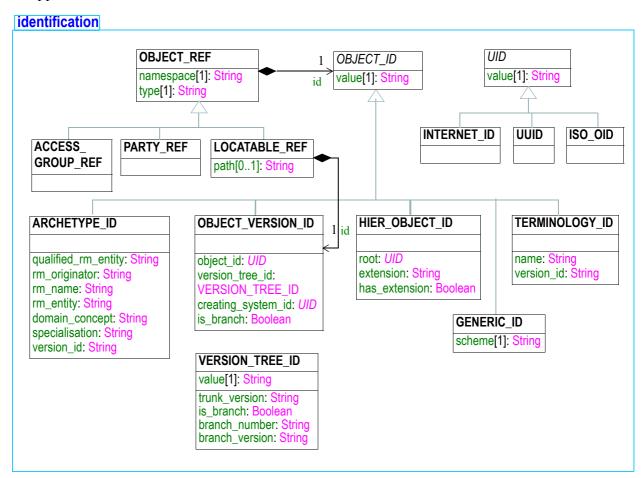


FIGURE 5 rm.support.identification Package

### 4.1.1 Requirements

Identification of entities both in the real world and in information systems is a non-trivial problem. The scenarios for identification across systems in a health information environment include the following:

- real world identifiers such as social security numbers, veterans affairs ids etc can be recorded as required by health care facilities, enterprise policies, or legislation;
- identifiers for informational entities which represent real world entities or processes should be unique;
- it should be possible to determine if two identifiers refer to information entities that are linked to the same real world entity, even if instances of the information entities are maintained in different systems;

- versions or changes to real-world entity-linked informational entities (which may create new information instances) should be accounted for in two ways:
  - it should be possible to tell if two identifiers refer to distinct versions of the same informational entity in the same version tree;
  - it should not be possible to confuse same-named versions of informational entities maintained in multiple systems which purport to represent the same real world entity. E.g. there is no guarantee that two systems' "latest" version of the Person "Dr Jones" is the same.

Medico-legal use of information relies on previous states of information being identifiable in some way.

- it should be possible for an entity in one system or service (such as the EHR) to refer to an entity in another system or service in such a way that:
  - the target of the reference is easily finable within the shared environment, and
  - the reference does is valid regardless of the physical architecture of servers and applications.

The following subsections describe some of the features and challenges of identification.

#### Identification of Real World Entities (RWEs)

Real world entities such as people, car engines, invoices, and appointments all have identifiers. Although many of these are designed to be unique within a jurisdiction, they are often not, due to data entry errors, bad design (ids which are too small or incorporate some non-unique characteristic of the identified entities), bad process (e.g. non-synchronised id issuing points); identity theft (e.g. via theft of documents of proof or hacking). In general, while some real world identifiers (RWIs) are "nearly unique", none can be guaranteed so. It should also be the case that if two RWE identifiers are equal, they refer to the same RWE.

#### Identification of Informational Entities (IEs)

As soon as information systems are used to record facts about RWEs, the situation becomes more complex because of the intangible nature of information. In particular:

- the same RWE can be represented simultaneously on more than one system ("spatial multiplicity");
- the same RWE may be represented by more than one "version" of the same IE in a system ("temporal multiplicity").

At first sight, it appears that there can also be purely informational entities, i.e. IEs which do not refer to any RWE, such as books, online-only documents and software. However, as soon as one considers an example it becomes clear that there is always a notional "definitive" or "authoritative" (i.e. trusted) version of every such entity. These entities can better be understood as "virtual RWEs". Thus it can still be said that multiple IEs may refer to any given RWE.

The underlying reason for the multiplicity of IEs is that "reality" - time and space - in computer systems is not continuous but discrete, and each "entity" is in fact just a snapshot of certain attribute values of a RWE.

If identifiers are assigned to IEs without regard to versions or duplicates, then no assertion can be made about the identified RWE when two IE ids are compared.

#### **Identification of Versions of Informational Entities**

The notion of "versioning" applies only to informational entities, i.e. distinct instances of content each representing a snapshot of some notional information. Where such instances are stored and managed in versioned containers, within a versioning system of some kind, explicit identification of the versions is required. The requirements are discussed in detail in the Common IM, change\_control package. They can be summarised as follows:

- it must be possible to distinguish two versions of the same notional entity, i.e. know from the identifier if they are the same or different versions of the same thing;
- it must be possible to tell the relationship between the items in a versioned lineage, from the version identifiers.

#### **Referencing of Informational Entities**

Within a distributed information environment, there is a need for entities not connected by direct references in the same memory space to be able to refer to each other. There are two competing requirements:

- that the separation of objects in a distributed computing environment not compromise the semantics of the model. At the limit, this mandates the use of proxy types which have the same abstract interface as the proxied type; i.e. the "static" approach of Corba.
- that different types of information can be managed relatively independently; for example EHR and demographic information can be managed by different groups in an organisation or community, each with at least some freedom to change implementation and model details.

#### 4.1.2 Identifying Real World Entities (RWE)

In *open*EHR, Real world entities are identified with a multipart identifier expressed in the data type DV\_IDENTIFIER. This type should be used to express lab result identifiers, veterans affairs numbers and so on, i.e. any identifier issued by an organisation and corresponding to a *continuant* (an entity that continues to exist even if its attributes change over time).

### 4.1.3 Identifying Informational Entities (IEs)

The class <code>OBJECT\_ID</code> is an abstract model of identifiers of IEs. It is assumed *a priori* that there can in general be more than one IE referring to the same underlying real world entity (RWE), such as a person or invoice; this is due to the possible existence of multiple copies, and also multiple versions. An <code>OBJECT\_ID</code> therefore implicitly refers to a version of something; two versions of a Person object must have two distinct <code>OBJECT\_IDs</code>. The rule for versioning is that if any attribute value of the IE changes, a new <code>OBJECT\_ID</code> must be generated. Some <code>OBJECT\_ID</code> subtypes explicitly model a version identifier. In practice, it can usually be omitted for ids of terminologies, where the terminology obeys the rule that a given code never changes its meaning through all versions of the terminology (i.e. ICD10 code F40.0 will mean "Agoraphobia" for all time (in English)).

The subtype <code>HIER\_OBJECT\_ID</code> defines a hierarchical identifier model, along the lines of ISO Oids; it includes the attributes *root* and *extension*, to make up a complete, unique identifier. The *root* attribute is of type <code>UID</code>, meaning it has the properties of a timeless unique object identifier. Subtypes of <code>UID</code> include the <code>ISO OID</code> and <code>UUID</code> types. The latter models a DCE UUID (also known as a GUID).

The other subtypes, ARCHETYPE\_ID and TERMINOLOGY\_ID define different kinds of identifier, the former being a multi-axial identifier for archetypes, and the latter being a globally unique single string identifier for terminologies.

email: info@openEHR.org web: http://www.openEHR.org

#### 4.1.4 Identifying Versions of Informational Entities

The scheme used in *open*EHR for identifying versions uses a three-part identifier, consisting of:

- the identifier of the version container, in the form of an OBJECT ID;
- the location in the version tree, as a 1- or 3-part numeric identifier, where the latter type expresses branching;
- the identifier of the system in which this version was created.

Under this scheme, multiple versions in the same container all have the same value for the first identifier, while their location in the version tree is given by the combination of the version tree identifier and the identifier of the creating system.

The format of the *creating\_system\_id* attribute is not currently fixed, hence its type is <code>HIER\_OBJECT\_ID</code>, allowing for various possibilities. The requirements on this identifier are that it be unique per system, and that it be easy to obtain or generate. It is also helpful if it is a meaningful identifier. The two most practical candidates appear to be GUIDs (which are not meaningful, but are easy to generate) and reverse internet domain identifiers, as recommended in [3] (these are easy to determine if the system has an internet address, and are meaningful and directly processible, however unconnected systems pose a problem). ISO Oids might also be used. All of these identifier types are accommodated via the use of <code>HIER\_OBJECT\_ID</code>.

A full explanation of the version identification scheme and its capabilities is given in the change control section of the Common IM.

#### 4.1.5 Referring to Informational Entities

All OBJECT\_IDS are used as identifier attributes within the thing they identify, in the same way as a database primary key. To *refer* to an identified object, an instance of the class <code>OBJECT\_REF</code> is required, in the same way as a database foreign key. <code>OBJECT\_REF</code> is provided as a means of distributed referencing, and includes the object namespace (typically 1:1 with some service, such as "terminology") and type. The general principle of object references is to be able to refer to an object available in a particular namespace or service. Usually they are used to refer to objects in other services, such as a demographic entity from within an EHR, but they may be used to refer to local objects as well. The type may be the concrete type of the referred-to object (e.g. "GP") or any proper ancestor (e.g. "PARTY"). The notion of object reference provided here is a compromise between the static binding notion of Corba (where each model is dependent on all the interface details of the classes in other models) and a purely dynamic referencing scheme, where the holder of a reference cannot even tell what type of object the reference points to.

### 4.2 Class Descriptions

### 4.2.1 OBJECT\_REF Class

| CLASS   | OBJECT_REF   |
|---------|--|
| Purpose | Class describing a reference to another object, which may exist locally or be maintained outside the current namespace, e.g. in another service. Services are usually external, e.g. available in a LAN (including on the same host) or the internet via Corba, SOAP, or some other distributed protocol. However, in small systems they may be part of the same executable as the data containing the Id. |

| CLASS      | OBJECT_REF   |   |
|------------|--|---|
| Attributes | Signature  | Meaning   |
|            | id: OBJECT_ID  | Globally unique id of an object, regardless of where it is stored.  |
|            | namespace: String  | Namespace to which this identifier belongs in the local system context (and possibly in any other <i>open</i> EHR compliant environment) e.g. "terminology", "demographic". These names are not yet standardised. Legal values for the namespace are "local"   "unknown"   "[a-zA-Z] [a-zA-Z0-9:/&+?]*" |
|            | type: String   | Name of the class (concrete or abstract) of object to which this identifier type refers, e.g. "PARTY", "PERSON", "GUIDELINE" etc. These class names are from the relevant reference model. The type name "ANY" can be used to indicate that any type is accepted (e.g. if the type is unknown).         |
| Invariant  | Id_exists: id /= Void Namespace_exists: namespace /= Void and then not namespace.empty Type_exists: type /= Void and then not type.empty |   |

## 4.2.2 ACCESS\_GROUP\_REF Class

| CLASS     | ACCESS_GROUP_REF  |         |
|-----------|---|---------|
| Purpose   | Reference to access group in an access control service. |         |
| Inherit   | OBJECT_REF  |         |
| Functions | Signature   | Meaning |
| Invariant | Type_validity: type.is_equal("ACCESS_GROUP")            |         |

## 4.2.3 PARTY\_REF Class

| CLASS     | P   | ARTY_REF |
|-----------|---|----------|
| Purpose   | Identifier for parties in a demographic or identity service. There are typically a number of subtypes of the PARTY class, including PERSON, ORGANISATION, etc. Abstract supertypes are allowed if the referenced object is of a type not known by the current implementation of this class (in other words, if the demographic model is changed by the addition of a new PARTY or ACTOR subtypes, valid PARTY_REFs can still be constructed to them). |          |
| Inherit   | OBJECT_REF  |          |
| Functions | Signature   | Meaning  |
| Invariant | Type_validity: type.is_equal("PERSON") or type.is_equal("ORGANISATION") or type.is_equal("GROUP") or type.is_equal("AGENT")or type.is_equal("ROLE") or type.is_equal("PARTY") or type.is_equal("ACTOR")   |          |

# 4.2.4 LOCATABLE\_REF Class

| CLASS             | LOC   | CATABLE_REF  |
|-------------------|---|--|
| Purpose           | Reference to a LOCATABLE instance inside the top-level content structure inside a VERSION <t>; the <i>path</i> attribute is applied to the object that VERSION.<i>data</i> points to.</t> |  |
| Inherit           | OBJECT_REF  |  |
| Attributes        | Signature   | Meaning  |
| 11<br>(redefined) | id: OBJECT_VERSION_ID   | The identifier of the Version.   |
| 01                | path: String  | The path to an instance in question, as an absolute path with respect to the object found at VERSION. data. An empty path means that the object referred to by id being specified. |
| Functions         | Signature   | Meaning  |
|                   | as_uri: String  | A URI form of the reference, created by concatenating the following: "ehr://" + id.value + "/" + path  |
| Invariant         | <pre>Path_valid: path /= Void implies not path.is_empty</pre>   |  |

# 4.2.5 OBJECT\_ID Class

| CLASS      | OBJECT_ID (abstract)  |  |
|------------|---|--|
| Purpose    | Ancestor class of identifiers of informational objects. Ids may be completely meaningless, in which case their only job is to refer to something, or may carry some information to do with the identified object.   |  |
| Use        | Object ids are used inside an object to identify that object. To identify another object in another service, use an <code>OBJECT_REF</code> , or else use a UID for local objects identified by UID. If none of the subtypes is suitable, direct instances of this class may be used. |  |
| Attributes | Signature   | Meaning  |
|            | value: String   | The value of the id in the form defined below. |
| Invariant  | Value_exists: value /= Void and then not value.empty  |  |

# 4.2.6 HIER\_OBJECT\_ID Class

| CLASS     | HIER_OBJECT_ID   |  |
|-----------|--|--|
| Purpose   | Hierarchical identifiers consisting of a root part and an optional extension.              |  |
| HL7       | The HL7v3 II Data type.  |  |
| Functions | Signature  | Meaning  |
|           | root: UID  | The identifier of the conceptual namespace in which the object exists, within the identification scheme. |
|           | has_extension: Boolean   | True if there is an extension part.  |
|           | extension: String  | Optional local identifier of the object within the context of the root identifier.                       |
| Invariant | <pre>Root_valid: root /= Void Extension_validity: has_extension xor extension = Void</pre> |  |

### 4.2.6.1 Identifier Syntax

The syntax of the *value* attribute by default follows the following production rules (EBNF):

value: root [ '::' extension ]

extension: alpha\_numeric\_string

# 4.2.7 OBJECT\_VERSION\_ID Class

| CLASS      | OBJECT_VERSION_ID   |  |
|------------|---|--|
| Purpose    | Globally unique identifier for one version of a versioned object.   |  |
| Inherit    | OBJECT_ID   |  |
| Functions  | Signature Meaning   |  |
| 11         | object_id: UID  | Unique identifier for logical object of which this identifier identifies one version; normally the <i>object_id</i> will be the unique identifier of the version container containing the version referred to by this <code>OBJECT_VERSION_ID</code> instance. |
| 11         | version_tree_id: VERSION_TREE_ID  | Tree identifier of this version with respect to other versions in the same version tree, as either 1 or 3 part dot-separated numbers, e.g. "1", "2.1.4".   |
| 11         | creating_system_id: UID   | Identifier of the system that created the Version corresponding to this Object version id.   |
| Functions  | Signature   | Meaning  |
|            | is_branch: Boolean  | True if this version identifier represents a branch.   |
| Invariants | Object_valid: object_id /= Void Version_tree_id: version_tree_id /= Void creating_system_id: creating_system_id /= Void |  |

### 4.2.7.1 Identifier Syntax

The string form of an <code>OBJECT\_VERSION\_ID</code> stored in its *value* attribute consists of three segments separated by double colons ("::"), i.e. (EBNF):

An example is as follows:

```
F7C5C7B7-75DB-4b39-9A1E-C0BA9BFDBDEC::87284370-2D4B-4e3d-A3F3-F303D2F4F34B::2
```

# 4.2.8 VERSION\_TREE\_ID Class

| CLASS   | VERSION_TREE_ID                          |
|---------|--|
| Purpose | Version tree identifier for one version. |

| CLASS      | VERSION_TREE_ID  |   |
|------------|--|---|
| Attributes | Signature  | Meaning   |
| 11         | value: String  | String form of this identifier.   |
| Functions  | Signature  | Meaning   |
|            | trunk_version: String  | Trunk version number.   |
|            | branch_number: String  | Number of branch from the trunk point.  |
|            | branch_version: String   | Version of the branch.  |
|            | is_branch: Boolean   | True if this version identifier represents a branch, i.e. has <i>branch_number</i> and <i>branch_version</i> parts. |
|            | is_first: Boolean  | True if this version identifier corresponds to the first version, i.e. <i>trunk_version</i> = "1"                   |
| Invariants | <pre>Value_valid: value /= Void and then not value.is_empty Trunk_version_valid: trunk_version /= Void and then trunk_version.is_integer Branch_number_valid: branch_number /= Void implies branch_version_valid: branch_version /= Void implies branch_version.is_integer Branch_validity: (branch_number = Void and branch_version = Void ) xor (branch_number /= Void and branch_version /= Void ) Is_branch_validity: is_branch xor branch_number = Void Is_first_validity: not is_first xor trunk_version.is_equal("1")</pre> |   |

#### 4.2.8.1 Syntax

The format of the value attribute is (EBNF):

## 4.2.9 ARCHETYPE\_ID Class

| CLASS     | ARCHETYPE_ID               |         |
|-----------|----------------------------|---------|
| Purpose   | Identifier for archetypes. |         |
| Inherit   | OBJECT_ID                  |         |
| Functions | Signature                  | Meaning |

| CLASS     | ARCHETYPE_ID   |  |
|-----------|--|--|
|           | qualified_rm_entity: String  | Globally qualified reference model entity, e.g. "openehr-composition-OBSERVA-TION".  |
|           | domain_concept: String   | Name of the concept represented by this archetype, including specialisation, e.g. "biochemistry_result-cholesterol".   |
|           | rm_originator: String  | Organisation originating the reference model on which this archetype is based, e.g. "openehr", "cen", "h17".   |
|           | rm_name: String  | Name of the reference model, e.g. "rim", "ehr_rm", "en13606".  |
|           | rm_entity: String  | Name of the ontological level within the reference model to which this archetype is targeted, e.g. for openEHR, "folder", "composition", "section", "entry". |
|           | specialisation: String   | Name of specialisation of concept, if this archetype is a specialisation of another archetype, e.g. "cholesterol".   |
|           | version_id: String   | Version of this archetype.   |
| Invariant | Qualified_rm_entity_valid: qualified_rm_entity /= Void and then not qualified_rm_entity.is_empty  Domain_concept_valid: domain_concept /= Void and then not domain_concept.is_empty  Rm_originator_valid: rm_originator /= Void and then not rm_originator.is_empty  Rm_name_valid: rm_name /= Void and then not rm_name.is_empty  Rm_entity_valid: rm_entity /= Void and then not rm_entity.is_empty  Specialisation_valid: specialisation /= Void implies not specialisation.is_empty  Version_id_valid: version_id /= Void and then not version_id.is_empty |  |

#### 4.2.9.1 Archetype ID Syntax

Archetype identifiers are "multi-axial", meaning that each identifier instance denotes a single archetype within a multi-dimensional space. In this case, the space is essentially a versioned 3-dimensional space, with the dimensions being:

- · reference model entity, i.e. target of archetype
- domain concept
- version

As with any multi-axial identifier, the underlying principle of an archetype id is that all parts of the id must be able to be considered immutable. This means that no variable characteristic of an archetype

(e.g. accrediting authority, which might change due to later accreditation by another authority, or may be multiple) can be included in its identifier. The syntax of an ARCHETYPE ID is as follows (EBNF):

```
archetype_id: qualified_rm_entity `.' domain_concept `.' version_id

qualified_rm_entity: rm_originator `-' rm_name `-' rm_entity
rm_originator: V_NAME
rm_name: V_NAME
rm_entity: V_NAME

domain_concept: concept_name { `-' specialisation }*
concept_name: V_NAME
specialisation: V_NAME
version_id: `v' V_NUMBER

NUMBER: [0-9]*
NAME: [a-z][a-z0-9()/%$#&]*
```

The field meanings are as follows:

*rm\_originator*: id of organisation originating the reference model on which this archetype is based;

rm name: id of the reference model on which the archetype is based;

rm entity: ontological level in the reference model;

domain concept: the domain concept name, including any specialisations;

version id: numeric version identifier;

Examples of archetype identifiers include:

- openehr-composition-SECTION.physical examination.v2
- openehr-composition-SECTION.physical examination-prenatal.v1
- hl7-rim-act.progress note.v1
- openehr-composition-OBSERVATION.progress note-naturopathy.v2

Archetypes can also be identified by other means, such as ISO oids.

# 4.2.10 TERMINOLOGY\_ID Class

| CLASS  | TERMINOLOGY_ID   |          |
|--|--|----------|
| Purpose  | Identifier for terminologies such accessed via a terminology query service. In this class, the value attribute identifies the Terminology in the terminology service, e.g. "SNOMED-CT". A terminology is assumed to be in a particular language, which must be explicitly specified. |          |
| The value if the id attribute is the precise terminology id is actual release (i.e. actual "version"), local modifications e |  | <u> </u> |
| Inherit  | OBJECT_ID  |          |
| Functions  | Signature  | Meaning  |

| CLASS      | TERMINOLOGY_ID   |  |
|------------|--|--|
|            | name: String   | Return the terminology id (which includes the "version" in some cases). Distinct names correspond to distinct (i.e. non-compatible) terminologies. Thus the names "ICD10AM" and "ICD10" refer to distinct terminologies. |
|            | version_id: String   | Version of this terminology, if versioning supported, else the empty string.   |
| Invariants | Name_valid: name /= Void and then not name.is_empty Version_id_valid: version_id /= Void |  |

#### 4.2.10.1 Identifier Syntax

The syntax of the *value* attribute is as follows:

Examples of terminology identifiers include:

- "snomed-ct"
- · "ICD9(1999)"

Versions should only be needed for those terminologies which break the rule that the thing being identified with a code loses or changes its meaning over versions of the terminology. This should not be the case for well known modern terminologies and ontologies, particularly those designed since the publication of Cimino's 'desiderata' [1] of which the principle of "concept permanance" is applicable here - "A concept's meaning cannot change and it cannot be deleted from the vocabulary". However, there maybe older terminologies, or specialised terminologies which may not have obeyed these rules, but which are still used; version ids should always be used for these.

# 4.2.11 GENERIC\_ID Class

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| CLASS      | GENERIC_ID  |   |
|------------|---|---|
| Purpose    | Generic identifier type for identifiers whose format is otherwise unknown to <i>open</i> EHR. Includes an attribute for naming the identification scheme (which may well be local). |   |
| Inherit    | OBJECT_ID   |   |
| attributes | Signature   | Meaning   |
| 11         | scheme: String  | Name of the scheme to which this identifier conforms. Ideally this name will be recognisable globally but realistically it may be a local <i>ad hoc</i> scheme whose name is not controlled or standardised in any way. |
| Invariants | Scheme_valid: scheme /= Void and then not scheme.is_empty   |   |

#### 4.2.12 **UID Class**

| CLASS      | UID (abstract)  |  |
|------------|---|--|
| Purpose    | Abstract parent of classes representing unique identifiers which identify information entities in a durable way. UIDs only ever identify one IE in time or space and are never re-used. |  |
| HL7        | The HL7v3 UID Data type.  |  |
| Attributes | Signature Meaning   |  |
|            | value: String The value of the id.  |  |
| Invariant  | Value_exists: value /= Void and then not value.empty  |  |

#### ISO\_OID Class 4.2.13

| CLASS     | ISO_OID   |  |
|-----------|---|--|
| Purpose   | Model of ISO's Object Identifier (oid) as defined by the standard ISO/IEC 8824. Oids are formed from integers separated by dots. Each non-leaf node in an Oid starting from the left corresponds to an assigning authority, and identifies that authority's namespace, inside which the remaining part of the identifier is locally unique. |  |
| HL7       | The HL7v3 OID Data type.  |  |
| Inherit   | UID   |  |
| Functions | Signature Meaning   |  |
| Invariant |   |  |

#### 4.2.14 **UUID Class**

| CLASS     | UUID  |         |
|-----------|---|---------|
| Purpose   | Model of the DCE Universal Unique Identifier or UUID which takes the form of hexadecimal integers separated by hyphens, following the pattern 8-4-4-12 as defined by the Open Group, CDE 1.1 Remote Procedure Call specification, Appendix A. Also known as a GUID. |         |
| HL7       | The HL7v3 UUID Data type.   |         |
| Inherit   | UID   |         |
| Functions | Signature   | Meaning |

| CLASS     | UUID |
|-----------|------|
| Invariant |      |

#### 4.2.15 **INTERNET\_ID Class**

| CLASS     | INTERNET_ID   |         |  |
|-----------|---|---------|--|
| Purpose   | Model of a reverse internet domain, as used to uniquely identify an internet domain. In the form of a dot-separated string in the reverse order of a domain name, specified by IETF RFC 1034 (http://www.ietf.org/rfc/rfc1034.txt). |         |  |
| Inherit   | UID   |         |  |
| Functions | Signature   | Meaning |  |
| Invariant |   |         |  |

#### 4.2.15.1 Syntax

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According to IETF RFC1034, the syntax of a domain name follows the BNF grammar:

```
domain: subdomain | ' '
     subdomain: label | subdomain '.' label
     label: letter [ [ ldh-str ] let-dig ]
     ldh-str: let-dig-hyp | let-dig-hyp ldh-str
     let-dig-hyp: let-dig | '-'
     let-dig: letter | digit
     letter: any one of the 52 alphabetic characters A through Z in
     upper case and a through z in lower case
     digit: any one of the ten digits 0 through 9
It can also be expressed using the regular expression:
     [a-zA-Z]([a-zA-Z0-9-]*[a-zA-Z0-9])?(\.[a-zA-Z]([a-zA-Z0-9-]*[a-zA-Z0-9]))*
```

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# 5 Terminology Package

#### 5.1 Overview

This section describes the terminology package, which contains classes for accessing the *open*EHR support terminology from within instances of classes defined in the reference model.

### 5.2 Service Interface

A simple terminology service interface is defined according to FIGURE 6, enabling *openEHR* terms to be referenced formally from within the Reference Model.

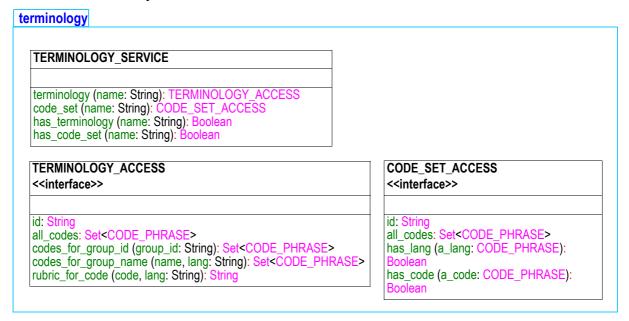


FIGURE 6 rm.support.terminology Package

Structural attributes in the Reference Model, such as FEEDER\_AUDIT.change\_type are defined by an invariant in the enclosing class, such as the following:

*Change\_type\_valid*: terminology("openehr").codes\_for\_group\_name("audit change type", "en").has(change type.defining code)

This is a formal way of saying that the attribute *change\_type* must have a value such that its *defining\_code* (its CODE\_PHRASE) is in the set of CODE\_PHRASEs in the *openEHR* Terminology which are in the group called (in english) "audit change type".

A similar invariant is used for attributes of type CODE\_PHRASE, which come from a code\_set:

Media\_type\_terminology: media\_type /= Void and then
code\_set("media types").all\_codes.has(media\_type)

## 5.2.1 Class Definitions

# 5.2.1.1 TERMINOLOGY\_SERVICE Class

| CLASS      | TERMINOLOGY_SERVICE   |   |
|------------|---|---|
| Purpose    | Defines an object providing proxy access to a terminology service.  |   |
| Functions  | Signature Meaning   |   |
|            | terminology (name: String): TERMINOLOGY_ACCESS require name /= Void and then has_terminology (name: String) ensure Result /= Void                     | Return an interface to the terminology named 'name'     |
|            | <pre>code_set (name: String):    CODE_SET_ACCESS    require    name /= Void and then    has_code_set (name: String)    ensure    Result /= Void</pre> | Return an interface to the code_set named 'name'        |
|            | has_terminology (name: String): Boolean require name /= Void and then not name.is_empty   | True if terminology named 'name' known by this service. |
|            | has_code_set (name: String): Boolean require name /= Void and then not name.is_empty  | True if code_set named 'name' known by this service.    |
| Invariants |   |   |

# 5.2.1.2 TERMINOLOGY\_ACCESS Class

| CLASS     | TERMINOLOGY_ACCESS   |                                    |
|-----------|--|------------------------------------|
| Purpose   | Defines an object providing proxy access to a terminology. |                                    |
| Functions | Signature  | Meaning                            |
|           | id: String   | Identification of this Terminology |

| CLASS      | TERMINOLOGY_ACCESS   |   |
|------------|--|---|
|            | all_codes: Set <code_phrase></code_phrase>   | Return all codes known in this terminology  |
|            | <pre>codes_for_group_id (group_id:     String): Set<code_phrase></code_phrase></pre> | Return all codes under grouper 'group_id' from this terminology                     |
|            | <pre>codes_for_group_name (name, lang: String): Set<code_phrase></code_phrase></pre> | Return all codes under grouper whose name in 'lang' is 'name' from this terminology |
|            | <pre>rubric_for_code (code, lang: String): String</pre>                              | Return all rubric of code 'code' in language 'lang'.                                |
| Invariants | id_exists: id /= Void and then not id.is_empty                                       |   |

# 5.2.1.3 CODE\_SET\_ACCESS Class

| CLASS      | CODE_SET_ACCESS   |  |
|------------|---|--|
| Purpose    | Defines an object providing proxy access to a code_set. |  |
| Functions  | Signature   | Meaning                                    |
|            | id: String  | Identification of this Terminology         |
|            | all_codes: Set <code_phrase></code_phrase>              | Return all codes known in this terminology |
|            | has_lang (a_lang:<br>CODE_PHRASE): Boolean              | True if code set knows about 'a_lang'      |
|            | has_code (a_code:<br>CODE_PHRASE): Boolean              | True if code set knows about 'a_code'      |
| Invariants | id_exists: id /= Void and then not id.is_empty          |  |

# 6 Measurement Package

### 6.1 Overview

The Measurement package defines a minimum of semantics relating to quantitative measurement, units, and conversion, enabling the Quantity package of the *openEHR* Data Types Information Model to be correctly expressed. As for the Terminology package, a simple service interface is assumed, which provides useful functions to other parts of the reference model. The definitions underlying measurement and units come from a variety of sources, including:

- CEN ENV 12435, Medical Informatics Expression of results of measurements in health sciences (see http://www.centc251.org);
- the Unified Code for Units of Measure (UCUM), developed by Gunther Schadow and Clement J. McDonald of The Regenstrief Institute (available in HL7v3 ballot materials; http://www.hl7.org).

These of course rest in turn upon a vast amount of literature and standards, mainly from ISO on the subject of scientific measurement.

### 6.2 Service Interface

A simple measurement data service interface is defined according to FIGURE 7, enabling quantitative semantics to be used formally from within the Reference Model. Note that this service as currently defined in no way seeks to properly model the semantics of units, conversions etc - it provides only the minimum functions required by the *openEHR* Reference Model.

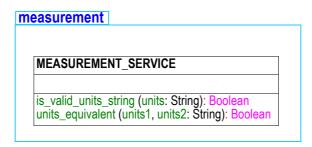


FIGURE 7 rm.support.measurement Package

### 6.2.1 Class Definitions

#### 6.2.1.1 MEASUREMENT\_SERVICE\_ACCESS Class

| CLASS     | MEASUREMENT_SERVICE  |   |
|-----------|--|---|
| Purpose   | Defines an object providing proxy access to a measurement information service.         |   |
| Functions | Signature  | Meaning   |
|           | <pre>is_valid_units_string (units:    String): Boolean   require   units /= Void</pre> | True if the units string 'units' is a valid string according to the HL7 UCUM specification. |

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| CLASS      | MEASUREMENT_SERVICE   |   |
|------------|---|---|
|            | <pre>units_equivalent (units1, units2:     String): Boolean require units1 /= Void and then is_valid_units_string(units1) units2 /= Void and then is_valid_units_string(units2)</pre> | True if two units strings correspond to the same measured property. |
| Invariants |   |   |

# 7 Definition Package

### 7.1 Overview

The definition package, illustrated in FIGURE 8, describes symbolic definitions used by the *open*EHR models.

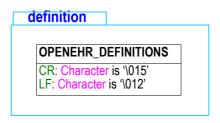


FIGURE 8 rm.support.definition Package

### 7.1.1 Class Definitions

### 7.1.1.1 OPENEHR\_DEFINITIONS Class

| CLASS      | OPENEHR_DEFINITIONS                    |                           |
|------------|--|---------------------------|
| Purpose    | Defines globally used constant values. |                           |
| Attributes | Signature Meaning                      |                           |
|            | CR: Character is '\015'                | Carriage return character |
|            | LF: Character is '\012'                | Linefeed character        |
| Invariants |  | ,                         |

# **A** References

## A.1 General

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### **END OF DOCUMENT**