



## ARCHETYPE MODEL

### The *openEHR* Archetype Profile

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1. Ocean Informatics Australia

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# 1 Introduction

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## 1.1 Purpose

This document describes the *openEHR* Archetype Profile (AP), which defines custom constraint classes for use with the generic archetype object model (AOM). The intended audience includes:

- Standards bodies producing health informatics standards
- Software development organisations using *openEHR*
- Academic groups using *openEHR*
- The open source healthcare community
- Clinical and domain modelling specialists.

## 1.2 Related Documents

Prerequisite documents for reading this document include:

- The *openEHR* Archetype Definition Language (ADL)
- The *openEHR* Archetype Object Model (AOM)

## 1.3 Status

This document is under development, and is published as a proposal for input to standards processes and implementation works.

The latest version of this document can be found in PDF and HTML formats at [http://svn.openehr.org/specification/TRUNK/publishing/architecture/am/openehr\\_archetype\\_profile.pdf](http://svn.openehr.org/specification/TRUNK/publishing/architecture/am/openehr_archetype_profile.pdf). New versions are announced on [openehr-announce@openehr.org](mailto:openehr-announce@openehr.org).

## 1.4 Peer review

Known omissions or questions are indicated in the text with a “to be determined” paragraph, as follows:

*TBD\_1:*        (example To Be Determined paragraph)

Areas where more analysis or explanation is required are indicated with “to be continued” paragraphs like the following:

*To Be Continued:*        more work required

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## 2 Overview

### 2.1 Design Background

An underpinning principle of *openEHR* is the use of archetypes and templates, which are formal models of domain concepts, used to controlling data structure and content of data. The elements of this architecture are twofold.

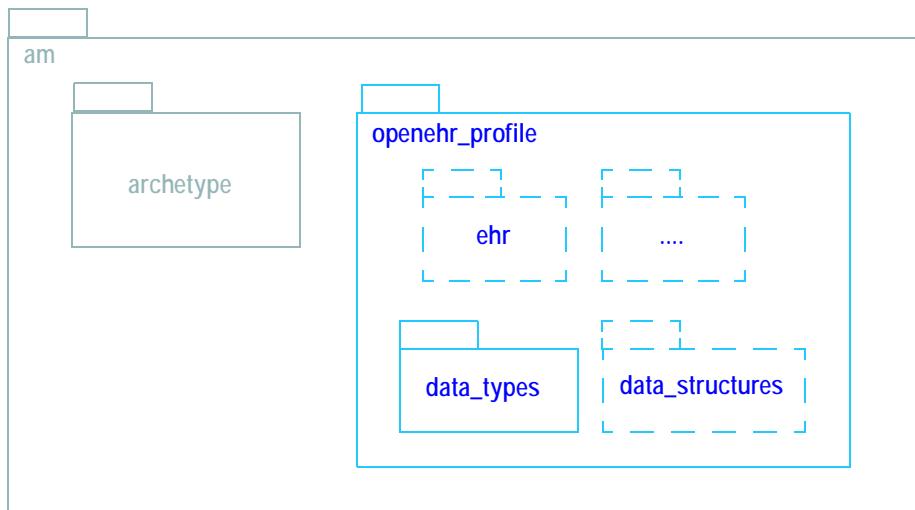
- The *openEHR* Reference Model (RM), defining the structure and semantics of information and service interfaces in terms of information models (IMs) and service models (SMs). These models correspond respectively to the ISP RM/ODP information and computational viewpoints. The information models define the data of *openEHR* EHR systems; meaning that every data instance in a system is an instance of a type defined in the Information Model (or to be completely correct, the corresponding type in the relevant ITS). The information model is designed to be invariant in the long term, to minimise the need for software and schema updates.
- The *openEHR* Archetype Model (AM), defining the structure and semantics of archetypes and templates. The AM consists of the archetype language definition language (ADL), the Archetype Object Model (AOM) and the *openEHR* Archetype profile (*openEHR* AP).

The purpose of the ADL is to provide an abstract syntax for textually expressing archetypes and templates. The AOM defines the object model equivalent, in terms of a UML model. It is a *generic* model, meaning that it can be used to express archetypes for any reference model in a standard way. ADL and the AOM are brought together in an ADL parser: a tool which can read ADL archetype texts, and whose parse-tree (resulting in-memory object representation) is instances of the AOM.

The purpose of the *openEHR* Archetype Profile, the subject of this document, is to define custom archetype classes which replace the use of generic classes for archotyping certain RM classes. By way of example, consider the *openEHR* RM type `DV_QUANTITY`. The generic AOM enables this to be archotyped with instances of `C_COMPLEX_OBJECT` and `C_ATTRIBUTE`. However, this does not always provide the most useful semantics for expressing constraints on `DV_QUANTITY`. The problem is solved by creating a class `C_DV_QUANTITY`, a class defining custom constraint semantics for `DV_QUANTITY` instances, which can be used as an optional replacement for the default `C_COMPLEX_OBJECT` and related objects. Custom archetype classes can be defined for any type in the reference model. A detailed discussion of this example can be found in the *openEHR* ADL document.

### 2.2 Package Structure

The *openEHR* Archetype Profile model is defined as the package `am.openehr_profile`, illustrated in FIGURE 1. It is shown in the context of the *openEHR* `am` and `am.archetype` packages. The internal structure of the package mimics the structure of the reference model it profiles, i.e. the *openEHR* reference model. This is done to make software development easier, even though the package structure may be very sparsely populated. In any case, packages need only be defined where there are custom types to be defined; the only ones currently defined are in the `data_types` package.



**FIGURE 1** openehr.am.openehr\_profile Package

### 3 Data\_types.basic Package

The `am.openehr_profile.basic` package, illustrated in FIGURE 2, defines custom types for constraining the RM type `DV_STATE`.

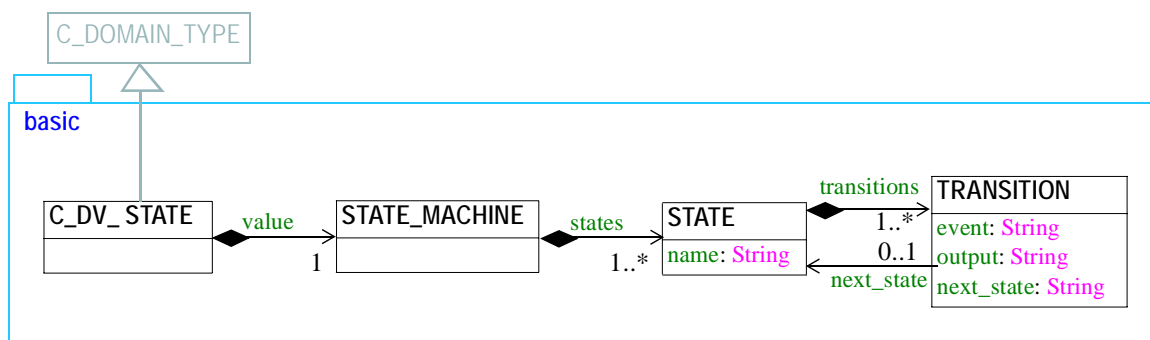


FIGURE 2 `am.openehr_profile.data_types.basic` Package

## 3.1 Class Descriptions

### 3.1.1 C\_DV\_STATE Class

CLASS	C_DV_STATE	
Purpose	Constrainer type for <code>DV_STATE</code> instances. The attribute <code>c_value</code> defines a state/event table which constrains the allowed values of the attribute <code>value</code> in a <code>DV_STATE</code> instance, as well as the order of transitions between values.	
Inherit	C_DATA_VALUE	
Attributes	Signature	Meaning
	<code>c_value: STATE_MACHINE</code>	
Invariants	<code>c_value_exists: c_value /= Void</code>	

A example of a state machine to model the state of a medication order is illustrated in FIGURE 3. This state machine is defined by an instance of the class `STATE_MACHINE`.

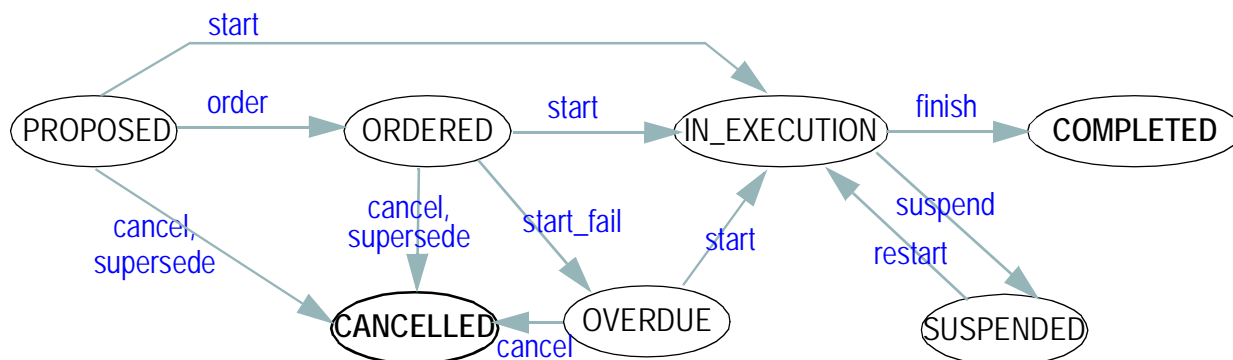


FIGURE 3 Example State Machine for Medication Orders

### 3.1.2 STATE\_MACHINE Class

CLASS	STATE_MACHINE	
<b>Purpose</b>	Definition of a state machine in terms of states, transition events and outputs, and next states.	
<b>Use</b>		
<b>Attributes</b>	<b>Signature</b>	<b>Meaning</b>
	<b>states:</b> Set <STATE>	
<b>Invariants</b>	<b>states_valid:</b> states /= Void <i>and then not</i> states.empty	

### 3.1.3 STATE Class

CLASS	STATE	
<b>Purpose</b>	Definition of one state in a state machine.	
<b>Use</b>		
<b>Attributes</b>	<b>Signature</b>	<b>Meaning</b>
	<b>name:</b> String	name of this state
	<b>transitions:</b> Set <TRANSITION>	
<b>Invariants</b>	<b>transitions_valid:</b> transitions /= Void <i>and then not</i> transitions.empty	

### 3.1.4 TRANSITION Class

CLASS	TRANSITION	
<b>Purpose</b>	Definition of a state machine transition.	
<b>Attributes</b>	<b>Signature</b>	<b>Meaning</b>
	<b>event:</b> String	Event which fires this transition
	<b>guard:</b> String	Guard condition which must be true for this transition to fire
	<b>action:</b> String	Side-effect action to execute during the firing of this transition
	<b>next_state:</b> STATE	Target state of transition

CLASS	TRANSITION
Invariants	<b>event_valid:</b> event /= Void <i>and then not</i> event.empty <b>action_valid:</b> action /= Void <i>implies not</i> action.empty <b>guard_valid:</b> guard /= Void <i>implies not</i> guard.empty

## 4 Data\_types.text Package

### 4.1 Overview

The `am.openehr_profile.data_types.text` package contains custom classes for expressing constraints on instances of the types defined in the `rm.data_types.text` package. Only one type is currently defined, enabling the constraining of `DV_CODED_TEXT` instances. It is illustrated in FIGURE 4.

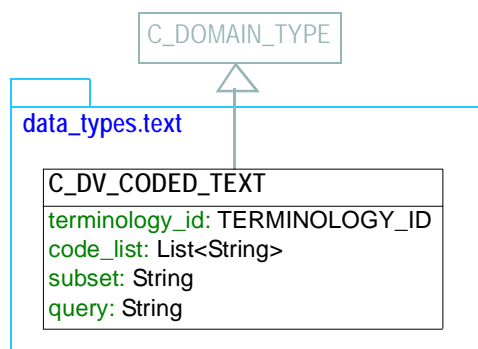


FIGURE 4 `am.openehr_profile.data_types.text` Package

### 4.2 Requirements

The primary requirement of constraints on coded terms in archetypes is to be able to state a logical constraint which does not limit the archetype to only being used with one particular vocabulary; in other words that *constraints on codes not limit the (re)usability of the archetype*. With respect to object models of data, the requirements for constraints on coded terms relate to their use as names and as values.

#### Constraints on Names

Where coded names occur in data e.g. in instances of `FOLDER.name`, `SECTION.name`, and `CLUSTER.name`, the following types of constraints are needed:

- require the term to be a particular one from a particular terminology, e.g. the ICD10 term “diabetes mellitus” (here the terminology is not limited to one value set);
- require the term to be any term from a particular terminology constrained by some relationship within the terminology, e.g. “is-a”; for example, “any term in ICD10 which is-a ‘tropical infection’”;
- require the term to be any term from a particular terminology, e.g. the HL7 PracticeSetting domain (here the terminology itself is limited to one value set);

#### Constraints on Values

The second kind of constraint on coded terms is used where terms appear as values. In this case, the intention is to specify a set of allowed terms, for example blood groups, diagnoses which may be relevant in the particular clinical setting, or the characteristics of a lump on palpation. More complex constraints specify that the set of terms is the union of two or more groups (the OR operator in queries), or is a member of a number of groups (the AND operator in queries), or even some more complex combination. In all cases, we can think of the constraint as returning a “candidate set of terms” when evaluated against real terminologies.

A candidate set of terms can be obtained from a terminology in a number of ways. First, via the use of *relationships* encoded in the terminology, such as: “X is-a-kind-of coronary disease”, where classification relationships such as “is-a-kind-of” are defined in the terminology of interest. Second, by identifying terms which belong in some kind of group or category. Consider a constraint such as “X has-category palpable-body-part” which will return the set of terms which describe palpable body parts. These two methods may be mixed as in “X is-a-kind-of body-part AND has-category palpable”, which uses both a relationship and a category - and is equivalent to the previous category described. Note that a constraint like “X is-a-kind-of body-part” is likely to return a long list of body parts, while the category of “palpable” body-parts would reduce this significantly. Such constraints should only be specified if there is likely to be a mechanism to implement the categorisation - this might not be in the terminology but must be available to the terminology service (i.e. it is an addition to the terminology proper, within the terminological knowledge environment accessible to the terminology service).

Further constraining can be achieved by the use of more boolean relationships on candidate sets produced by the method above, however it should always be understood that every time this is done, it in some sense usurps the role of knowledge / terminology. In theory only terminologies and ontologies can say that more than one candidate set of terms can be meaningfully intersected (AND operator) or unioned (OR operator) to produce a final meaningful set. However, the current reality is that very few terminologies implement even a small percentage of the possible knowledge relationships, and such constraints will indeed need to be made inside archetypes or other parts of the knowledge environment.

An example of such a constraint is:

```
X is-a 'surface body region' OR (X is-a 'organ' AND has-category 'palpable')
```

The general case for value sets of coded terms is nested boolean expressions, where each expression element is one of the following:

- a particular term
- a named relationship
- a named category

For such expressions to be safe, all terms, relationships and categories must come from the same version of the same terminology, or an intentionally designed adjunct to it. This is the only way that *intended* meanings can be accessed. To arbitrarily mix terms and relationships from different terminologies is effectively side-stepping the known semantics of each of the systems, and creating value sets based on semantics not defined by anyone.

## 4.3 Design

### 4.3.1 Standard ADL Approach

The generic kind of constraint that can be expressed for the DV\_CODED\_TEXT type can, like all standard archetype constraints, only include constraints on the attributes defined in the reference model type. This is illustrated by the following fragment of ADL:

```
DV_CODED_TEXT matches {
  defining_code matches {
    CODE_PHRASE matches {
      terminology_id matches { "xxxx" }
      code_string matches { "cccc" }
    }
  }
}
```

```
}
```

The standard approach allows the attributes *terminology\_id* and *code\_string* to be constrained independently, and would for example, allow *terminology\_id* to be constrained to ICD10|Snomed-ct|LOINC, while *code\_string* could be constrained to some particular fixed values. However, this make no sense; codes only make sense within a given terminology, not across them. It also makes no sense to allow codes from more than one terminology, as terminologies generally have quite different designs - LOINC and Snomed-CT are completely different in their conception and realisation.

### 4.3.2 Terminology-specific Code Constraints

A more appropriate kind of constraint for DV\_CODED\_TEXT instances is for *terminology\_id* to be fixed to one particular terminology, and for *code\_string* to be constrained to a set of allowed codes; an empty list indicates that any code is allowed. These semantics are formalised in the class definition, shown below. The following examples, expressed in the dADL data language, illustrate instances of C\_DV\_CODED\_TEXT expressing terminology-specific constraints.

- terminology\_id = <"ICD10">  
code\_list = <[F43.1]> -- post traumatic stress disorder
- terminology\_id = <"ICD10">  
subset = <[xxx]> -- acute stress reactions  
code\_list = <  
[F43.00], -- acute stress reaction, mild  
[F43.01], -- acute stress reaction, moderate  
[F32.02] -- acute stress reaction, severe  
>
- terminology\_id = <"SNOMED-CT">  
subset = <[xxx]> -- body structures

### 4.3.3 Terminology-neutral Code Constraints

The above approach to constraining term codes is only applicable when the particular terminology mentioned in the constraint is really the only sensible one for the purpose, and would not compromise the reusability of the archetype by the widest possible audience. It may be reasonable to constrain a value field in a particular archetype to e.g. an ICD10 code for "chronic obstructive pulmonary disease (COPD)"; this may be accepted globally as the right thing to do (given that one can reasonably call ICD10 a terminology of global availability and applicability). However, using e.g. LOINC codes for lab analyte names might not be appropriate - it may be accepted in the US and other countries using LOINC for laboratory result encoding, but probably not elsewhere.

A more sophisticated way of constraining codes is therefore needed for this situation. This can be done in three ways:

- defining coded terms inside the archetype itself - i.e. treating the archetype as a micro-vocabulary;
- without referring to any vocabulary at all (and assuming that the binding to a particular vocabulary would be done at some other place in the computing environment);
- or by allowing bindings to multiple vocabularies/terminologies to be explicitly stated somewhere in the archetype.

### Archetype-local Codes

A relatively simple way of using particular coded terms in the archetype, while guaranteeing that the archetype is re-usable is simple to define such terms in the archetype ontology and use them. This



treats the archetype as a small vocabulary in its own right, and avoids the problem of the mess of terminologies in the real world.

The following ADL examples illustrate the use of archetype-local coded terms:

```
code matches {[local::at0016]}

code matches {[hl7_ClassCode::EVN, OBS]}

code matches {
  [local::
    at1311, -- Colo-colonic anastomosis
    at1312, -- Ileo-colonic anastomosis
    at1313, -- Colo-anal anastomosis
    at1314, -- Ileo-anal anastomosis
    at1315] -- Colostomy
}
```

These can all be represented as instances of the class `C_DV_CODED_TEXT` by simply setting `terminology_id` to “local”.

### Abstract Inline Queries

The second approach above implies some kind of abstract terminology query language. Currently, no definitive language for this purpose exists, although there is research in this area. The `C_DV_CODED_TEXT` model above accommodates this as a future possibility, with the *query* attribute, which would allow a query to some service to be expressed.

### External bindings in the Archetype Ontology

The third approach above is already provided for in archetypes, via the use of “ac” coded nodes referring to concrete queries to particular terminologies, stored in the archetype ontology section. An equivalent query can be expressed for any number of terminologies by this method. Nothing is needed in the `C_DV_CODED_TEXT` type to support this, since a `CONSTRAINT_REF` object is used instead (see the openEHR AOM). An example in ADL of the use of “ac” codes is:

```
code matches {[ac0016]}      -- type of respiratory illness
property matches {[ac0034]} -- acceleration
```

Here, the `[acNNNN]` codes might refer to queries into a terminology and units service, respectively, such as the following (in dADL):

```
items("ac0016") = <query("terminology", "terminology_id = ICD10AM and ...")
items("ac0034") = <query("units", "X matches 'DISTANCE/TIME^2'")
```

## 4.4 Pre-evaluation

An archetype containing instances of `C_DV_CODED_TEXT` could be evaluated in advance against a terminology, to generate the actual sets of candidate terms, allowing the populated archetype to be distributed and used for coding even by sites without access to coding systems.

To Be Continued:

## 4.5 Class Descriptions

### 4.5.1 C\_DV\_CODED\_TEXT Class

CLASS	C_DV_CODED_TEXT	
<b>Purpose</b>	Express constraints on instances of DV_CODED_TEXT. The attributes <i>terminology_id</i> , <i>code_list</i> and <i>subset</i> are to be used when a particular terminology is targetted. The attribute <i>query</i> is reserved for future possible use, where abstract queries might be possible, which do not mention any terminology. If query is used, the other attributes have no meaning. Only one of the <i>terminology_id</i> and <i>query</i> attributes can be non-void.	
<b>Use</b>		
<b>Inherit</b>	C_DOMAIN_TYPE	
Attributes	Signature	Meaning
	<b>terminology_id:</b> TERMINOLOGY_ID	Syntax string expressing constraint on allowed primary terms
	<b>code_list:</b> List<String>	List of codes; may be empty
	<b>subset:</b> String	Optional name of subset in terminology from which codes must come. Only useful for terminologies which support subsetting.
	<b>query:</b> String	Constraint in terms of an abstract query expression to be addressed to a terminology server.
<b>Invariants</b>	<b>Terminology_id_valid:</b> terminology_id /= Void <b>implies not</b> (terminology_id.is_empty <b>or</b> code_list = Void) <b>Subset_valid:</b> subset /= Void <b>implies not</b> subset.is_empty <b>General_validity:</b> terminology_id /= Void <b>xor</b> query /= Void <b>Any_allowed_validity:</b> code_list.is_empty <b>implies</b> any_allowed	

## 5 Data\_types.quantity Package

### 5.1 Overview

The `am.openehr_profile.data_types.quantity` package is illustrated in FIGURE 5. Two custom types are defined: `C_DV_QUANTITY` and `C_DV_ORDINAL`.

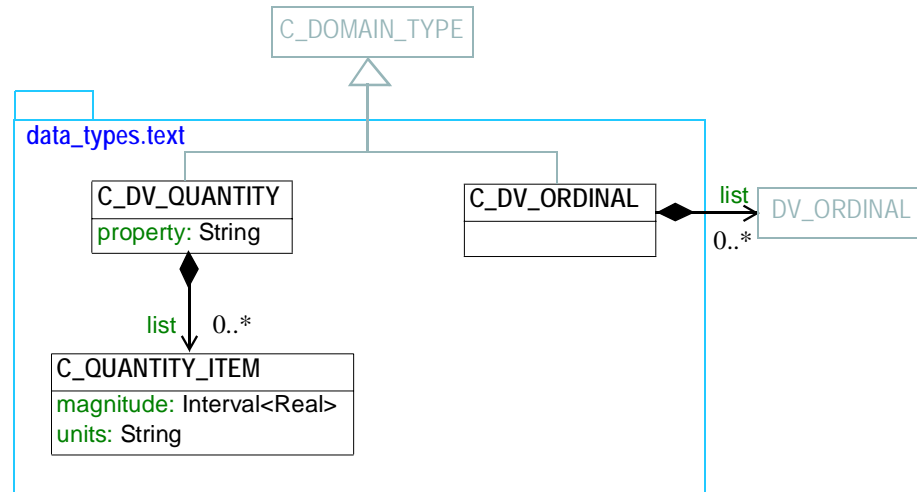


FIGURE 5 `am.openehr_profile.datatypes.quantity` Package

### 5.2 Ordinal Type Constraint

An ordinal value is defined as one which is ordered without being quantified, and is represented by a symbol and an integer number. The `DV_ORDINAL` class can be constrained in a generic way in ADL as follows:

```

item matches {
  ORDINAL matches {
    value matches {0}
    symbol matches {
      CODED_TEXT matches {
        code matches {[local::at0014]} -- no heartbeat
      }
    }
  }
  ORDINAL matches {
    value matches {1}
    symbol matches {
      CODED_TEXT matches {
        code matches {[local::at0015]} -- less than 100 bpm
      }
    }
  }
  ORDINAL matches {
    value matches {2}
    symbol matches {
      CODED_TEXT matches {
        code matches {[local::at0016]} -- greater than 100 bpm
      }
    }
  }
}
  
```

```
    }  
  }  
}
```

The above says that the allowed values of the attribute value is the set of `ORDINALs` represented by three alternative constraints, each indicating what the numeric value of the ordinal in the series, as well as its symbol, which is a `CODED_TEXT`.

A more efficient way of representing the same constraint is using the following ADL syntax:

```
item matches {0:[local::at0014], 1:[local::at0015], 2:[local::at0016]}
```

In the above expression, each item in the list corresponds to a single `ORDINAL`, and the list corresponds to an implicit definition of an `ORDINAL` type, in terms of the set of its allowed values. The object equivalent of this syntax is given by the custom class `C_DV_QUANTITY`, which efficiently allows a `DV_QUANTITY` to be constrained in terms of a set of `DV_ORDINALs`.

### 5.2.1 C\_DV\_ORDINAL Class Definition

CLASS	C_DV_ORDINAL	
Purpose	Class specifying constraints on instances of <code>DV_ORDINAL</code> . Custom constrainer type for instances of <code>DV_ORDINAL</code> .	
Inherit	<code>C_DV_ORDERED</code>	
Attributes	Signature	Meaning
	<b>list:</b> <code>Set&lt;DV_ORDINAL&gt;</code>	Set of allowed <code>DV_ORDINAL</code> values.
Invariants		

## 5.3 Quantity Type Constraint

Another situation in which standard ADL falls short is when the required semantics of constraint are different from those provided by the standard approach. Consider a simple type `QUANTITY`, shown at the top of FIGURE 6, which could be used to represent a person's age in data. A typical ADL constraint to enable `QUANTITY` to be used to represent age in clinical data is shown below, followed by its expression in ADL. The only way to do this in ADL is to use multiple alternatives. While this is a perfectly legal approach, it makes processing by software difficult, since the way such a constraint would be displayed in a GUI would be factored differently.

A more powerful possibility is to introduce a new class into the archetype model, representing the concept "constraint on `QUANTITY`", which we will call `C_QUANTITY` here. Such a class fits into the class model of archetypes (described in the *openEHR Archetype Model* document), inheriting from the class `C_DOMAIN_TYPE`. The `C_DV_QUANTITY` class is illustrated in FIGURE 7, and corresponds to the way constraints on `QUANTITY` objects are expressed in user applications, which is to say, a property constraint, and a separate list of units/magnitude pairs.

The question now is how to express a constraint corresponding to this class in an ADL archetype. The solution is logical, and uses standard ADL. Consider that a particular constraint on a `QUANTITY` must

reference model type	<table><tr><td>DV_QUANTITY</td></tr><tr><td>magnitude: Real</td></tr><tr><td>units: String</td></tr></table>	DV_QUANTITY	magnitude: Real	units: String
DV_QUANTITY				
magnitude: Real				
units: String				
desired constraint	property matches "time" units matches "years" or "months" if units is "years" then magnitude matches 0..200 if units is "months" then magnitude matches 3..36 etc			
standard ADL expression using alternates	<pre>age matches {   QUANTITY matches {     property matches {"time"}     units matches {"years"}     magnitude matches { 0.0..200.0 }   }   QUANTITY matches {     property matches {"time"}     units matches {"months"}     magnitude matches { 3.0..12.0 }   } }</pre>			

**FIGURE 6** Standard ADL for Constraint on Quantity

be *an instance* of a C\_QUANTITY; which can be expressed at the appropriate point in the archetype in the form of a section of dADL - the data syntax used in the archetype ontology.

```

value matches {
  C_QUANTITY <
    property = <"time">
    list = <
      items = <
        [1] = <
          units = <"yr">
          magnitude = <|0.0..200.0|>
        >
        [2] = <
          units = <"mth">
          magnitude = <|1.0..36.0|>
        >
      >
    >
  >
}

```

**FIGURE 7** Inclusion of a Constraint Object as Data

This approach can be used for any custom type which represents a constraint on a reference model type. The rules are as follows:

- the dADL section occurs inside the { } block where its standard ADL equivalent would have occurred (i.e. no other delimiters or special marks are needed);
- the dADL section must be 'typed', i.e. it must start with a type name, which should be a rule-based transform of a reference model type (as described in Adding Type Information on page 28);

- the dADL instance must obey the semantics of the custom type of which it is an instance.

It should be understood of course, that just because a custom constraint type has been defined, it does not need to be used to express constraints on the reference model type it targets. Indeed, any mixture of standard ADL and dADL-expressed custom constraints may be used within the one archetype.

### 5.3.1 Constraining Units

This type is used to represent measured continuous variables, and consists of a magnitude, units and property. Accuracy and precision can also be supplied if required. The following example shows a constraint corresponding to a blood pressure, expressed using any pressure unit.

```
definition
  QUANTITY matches {
    magnitude matches {|0.0..500.0|}
    units matches {[ac0001]}
  }
ontology
  ...
  items("ac0001") = <query("units", "unit matches 'FORCE/DISTANCE^2'")>
```

In the above, the expression "FORCE/DISTANCE^2" is an instance of a code phrase from a terminology called "units"; i.e. most likely a post-coordination from a units term engine.

### 5.3.2 C\_DV\_QUANTITY Class Definition

CLASS	C_DV_QUANTITY	
Purpose	Constrain instances of DV_QUANTITY.	
Inherit	C_DOMAIN_TYPE	
Attributes	Signature	Meaning
	<b>items:</b> List<C_DV_QUANTITY_ITEM>	List of value/units pairs.
	<b>property:</b> DV_CODED_TEXT	Optional constraint on units property
Invariants		

**5.3.3 C\_DV\_QUANTITY\_ITEM Class Definition**

CLASS	C_DV_QUANTITY_ITEM	
<b>Purpose</b>	Constrain instances of DV_QUANTITY.	
<b>Inherit</b>	C_DOMAIN_TYPE	
Attributes	Signature	Meaning
	<b>value:</b> Interval<Real>	Value must be inside the supplied interval.
	<b>units:</b> C_STRING	Constraint on units
<b>Invariants</b>	<i>units_valid</i> : units /= Void <b>and not</b> units.is_empty	





**END OF DOCUMENT**