

HW 7

1. (6 pts) Let X and Y be two decision problems. Suppose we know that X reduces to Y in polynomial time. Which of the following can we infer? Explain.

- a. If Y is NP-complete then so is X .
- b. If X is NP-complete then so is Y .
- c. If Y is NP-complete and X is in NP then X is NP-complete.
- d. If X is NP-complete and Y is in NP then Y is NP-complete.
- e. If X is in P, then Y is in P.
- f. If Y is in P, then X is in P.

Answer: d and f only

Explain: X reduces to Y means that if you had a black box to solve Y efficiently, you could use it to solve X efficiently. X is no harder than Y .

2. (4 pts) Consider the problem COMPOSITE: given an integer y , does y have any factors other than one and itself? For this exercise, you may assume that COMPOSITE is in NP, and you will be comparing it to the well-known NP-complete problem SUBSET-SUM: given a set S of n integers and an integer target t , is there a subset of S whose sum is exactly t ? Clearly explain whether or not each of the following statements follows from that fact that COMPOSITE is in NP and SUBSET-SUM is NP-complete:

a. SUBSET-SUM \leq_p COMPOSITE.

No. SUBSET-SUM is NP-complete and so may be reduced to any other NP-complete problem. However, we don't know that COMPOSITE is NP-complete, only that it is in NP. Hence, we cannot say for sure that SUBSET-SUM reduces to COMPOSITE.

b. If there is an $O(n^3)$ algorithm for SUBSET-SUM, then there is a polynomial time algorithm for COMPOSITE.

Yes. The given running time is polynomial in n . Since SUBSET-SUM is NP-complete, this implies $P = NP$. Hence, every algorithm in NP, including COMPOSITE, would have a polynomial-time algorithm.

c. If there is a polynomial algorithm for COMPOSITE, then $P = NP$.

No. COMPOSITE is in NP, but it is not known to be NP-complete. Hence, a polynomial-time algorithm for COMPOSITE does not imply $P = NP$.

d. If $P \neq NP$, then no problem in NP can be solved in polynomial time.

No. The class P is a subset of NP, and it is clearly not empty! Proving $P \neq NP$ would show only that NP-complete problems cannot be solved in polynomial time.

3. (8 pts) A Hamiltonian path in a graph is a simple path that visits every vertex exactly once. P that $\text{HAM-PATH} = \{ (G, u, v) : \text{there is a Hamiltonian path from } u \text{ to } v \text{ in } G \}$ is NP-complete. You may use the fact that HAM-CYCLE is NP-complete.

Proof: We need to show that HAM-PATH can be verified in polynomial time. Let the input x be (G, u, v) and let the certificate y be a sequence of vertices $\{v_1, v_2, \dots, v_n\}$. An algorithm $A(x, y)$ verifies HAM-PATH by executing the following steps:

- 1) Check if $|G.V| = n$;
- 2) Check if $v_1 = u$ and $v_n = v$;
- 3) Check if $\forall i \in \{1, 2, \dots, n\}, v_i \in G.V$;
- 4) Check if $\forall i, j \in \{1, 2, \dots, n\}, v_i \neq v_j$;
- 5) Check if $\forall i \in \{1, 2, \dots, n-1\}, (v_i, v_{i+1}) \in G.E$;

If any of the above steps fail, return false. Else return True;

Time Complexity:

Steps 1 and 2 takes $O(1)$ time;

step 3 takes $O(V)$ time;

step 4 runs in $O(V^2)$ time, and

step 5 runs in $O(E)$ time.

Therefore the verification algorithm runs in $O(V^2)$ time. Hence **HAM-PATH \in NP**

4. (12 pts) K-COLOR. Given a graph $G = (V, E)$, a k -coloring is a function $c: V \rightarrow \{1, 2, \dots, k\}$ such that $c(u) \neq c(v)$ for every edge $(u, v) \in E$. In other words the number 1, 2, ..., k represent the k colors and adjacent vertices must have different colors. The decision problem K-COLOR asks if a graph can be colored with at most K colors.

a. The 2-COLOR decision problem is in P. Describe an efficient algorithm to determine if a graph has a 2-coloring. What is the running time of your algorithm?

- 1) Do a breadth-first search
- 2) assigning Color1 to the first layer, Color2 to the second layer, Color1 to the third layer, etc.
- 3) Then go over all the edges and check whether the two endpoints of this edge have different colors.

Time Complexity: This algorithm is $O(|V|+|E|)$, where v are vertices and e are edges

Resource: <https://www.cs.cornell.edu/courses/cs3110/2009fa/recitations/rec22.html>

b. It is known that the 3-COLOR decision problem is NP-complete by using a reduction from SAT. Use the fact that 3-COLOR is NP-complete to prove that 4-COLOR is NP-complete
Part 1. 4-COLOR is in NP.

The coloring is the certificate (i.e., a list of nodes and colors). The following is a verifier G for 4-COLOR. $V = \text{"On input } \langle G, c \rangle \text{"}$

- 1) Check that c includes ≤ 4 colors
- 2) Color each node of G as specified by c
- 3) For each node, check it has a unique color compared to its neighbors
- 4) If all checks pass, accept. Otherwise reject

Part 2. 4-Color is NP-hard

We can give a polynomial-time reduction from 3-COLOR to 4-COLOR. This reduction maps a graph into a new Graph such that $\text{graph} \in 3\text{-Color}$ IFF $\text{newGraph} \in 4\text{-Color}$. If the graph is 3-

colorable, then newGraph can be 4-colored exactly as the 3-colored graph but with an a new node Y and connecting Y to each node in newGraph. Y would then be colored with the new / additional color. This reduction takes linear time to add a single node and G edges. Since 4-COLOR is in NP and NP-hard, **proof that 4-COLOR is NP-complete.**