

Overview. I study invariants of 3-manifolds and knots through symplectic geometry. Consider a 3-manifold Y , and a knot K inside it (possibly empty). Consider a decomposition

$$(*) \quad (Y, K) = (Y_1, T_1) \cup_{(\Sigma, 2k)} (Y_2, T_2)$$

where T_1 and T_2 are $2k$ -ended tangles, and $(\Sigma, 2k)$ is a $2k$ -punctured surface; see Figure 1 for an example of decomposition for the trefoil knot. Frequently, decomposition $(*)$ needs to satisfy special properties, for example being a Heegaard splitting for Y . Next, the main idea is to associate to the $2k$ -punctured surface a certain symplectic manifold $\mathcal{M}(\Sigma, 2k)$, and to associate to the two parts (Y_i, T_i) of decomposition $(*)$ two Lagrangian submanifolds L_i inside the symplectic manifold:

$$L_1 \rightarrow \mathcal{M}(\Sigma, 2k) \leftarrow L_2$$

Then sometimes, in favorable circumstances, the *Lagrangian Floer homology* $HF(L_1, L_2)$ (see the next page for the definition) is in fact a topological invariant of (Y, K) , i.e. does not depend on decomposition $(*)$ and other choices. This method of constructing invariants was pioneered by Ozsváth and Szabó: they used the symplectic manifold $\mathcal{M}(\Sigma_g) = \text{Sym}^g(\Sigma_g)$ to define a 3-manifold invariant called *Heegaard Floer homology* [8]. They, and independently Rasmussen, also extended the construction to knots, resulting in an invariant called *knot Floer homology* [7, 9]. These invariants are extraordinarily powerful, and much of the contemporary research focuses on studying these and other similarly constructed symplectic geometric invariants of (Y, K) .

Immersed curves and Khovanov homology. Currently, my research is centered around *Khovanov homology*, a homological knot invariant discovered by Khovanov [5], taking the form of a bigraded vector space $Kh^{h,q}(K)$. It is defined algebraically, and so it is natural to ask whether the strategy above applies to this invariant. In a joint work with Liam Watson and Claudius Zibrowius [6], we obtained the following symplectic geometric interpretation of Khovanov homology.

Theorem 1. *For any 4-ended tangle (D^3, T) there exist three immersed curves¹*

$$\widetilde{BN}(T), \widetilde{Kh}(T), Kh(T) \looparrowright (S^2, 4pt) = \partial(D^3, T)$$

whose homotopy classes are tangle invariants of T . Moreover, let $(S^3, K) = (D^3, T_1) \cup_{(S^2, 4pt)} (D^3, T_2)$ be a decomposition of a knot $K \subset S^3$ into two 4-ended tangles. Then reduced (\widetilde{Kh}) and full (Kh) Khovanov homology of the knot K , as well as reduced Bar-Natan's deformation (\widetilde{BN}) of Khovanov homology, are isomorphic to Lagrangian Floer homology:

$$\widetilde{BN}(K) \cong HF(\widetilde{BN}(mT_1), \widetilde{BN}(T_2))$$

$$\widetilde{Kh}(K) \cong HF(\widetilde{BN}(mT_1), \widetilde{Kh}(T_2))$$

$$Kh(K) \cong HF(\widetilde{BN}(mT_1), Kh(T_2))$$

where mT_1 is the mirror tangle.

For the depicted in Figure 1 decomposition of the trefoil $T_1 \cup_{(S^2, 4pt)} T_2$, the Lagrangian Floer intersection picture resulting in reduced Khovanov homology is illustrated in Figure 2. This theorem is central in our research, allowing us to study Khovanov homology from a new angle. To highlight one implication, we proved that Rasmussen's s -invariant is preserved under mutation. Further applications are currently being developed.

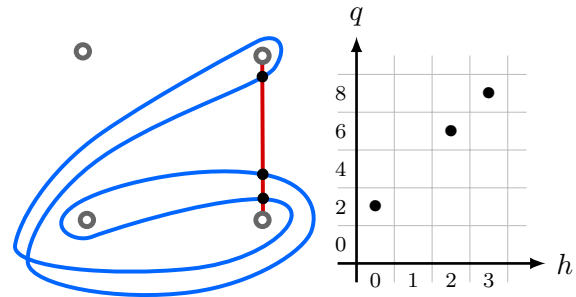


FIGURE 2. $HF(\widetilde{BN}(mT_1), \widetilde{Kh}(T_2)) \cong \widetilde{Kh}(T(2, 3)) \cong \mathbb{F}^3$

¹In this context, immersed curves always come with a choice of a local system. For the purposes of illustration it is not important, and so we sweep this detail under the rug.

Lagrangian Floer theory and the Fukaya category. Omitting a large amount of details and certain technical conditions [2], Lagrangian Floer homology $HF(L_1, L_2)$ is a homological invariant of two Lagrangian submanifolds inside a symplectic manifold $L_1, L_2 \hookrightarrow (M, \omega)$, which is invariant under Hamiltonian isotopies of each Lagrangian. The underlying chain complex is generated by intersections: $CF(L_1, L_2) = \langle L_1 \cap L_2 \rangle_{\mathbb{F}}$ (transversality can be achieved by a small generic Hamiltonian perturbation). After fixing a generic compatible with ω almost complex structure on M , the differential $\partial : CF(L_1, L_2) \rightarrow CF(L_1, L_2)$ is defined by counting rigid pseudo-holomorphic discs between the intersection points, with Lagrangian boundary conditions on L_1 and L_2 :

$$L_2 \begin{array}{c} \circlearrowright^y \\ \circlearrowleft^x \end{array} L_1 \text{ contributes } \pm 1 \text{ into coefficient } c_{xy} \text{ in } \partial(x) = \sum_y c_{xy} \cdot y$$

In the relevant for Theorem 1 case $\dim(M) = 2$, Lagrangians are curves on a surface, and counting rigid pseudo-holomorphic discs is equivalent to counting immersed discs with convex angles at intersections. As a result, the construction is easily generalized to *immersed* curves, and the dimension of Lagrangian Floer homology is almost always equal to the minimal intersection number of curves: $\dim HF(L_1, L_2) = \min \#(L_1 \cap L_2)$. An example of minimal intersection number 3 is depicted in Figure 2.

Another important symplectic geometric invariant, instrumental in the proof of Theorem 1, is the *Fukaya category* of a symplectic manifold $\mathcal{F}(M)$ [3, 4, 10] (see [1] for a survey). It is a unified structure, which captures how all Lagrangians intersect with each other. The objects in the Fukaya category are all Lagrangians $L_i \rightarrow M$, and morphism spaces are Lagrangian Floer complexes $CF(L_i, L_j)$. The composition in this category is defined by counting pseudo-holomorphic triangles:

$$\triangle: CF(L_i, L_j) \otimes CF(L_j, L_k) \rightarrow CF(L_i, L_k)$$

The composition is not associative on the nose, but is associative up to homotopy, which is given by counting pseudo-holomorphic rectangles. As such, $\mathcal{F}(M)$ is not a regular category, but rather is an A_∞ category, where higher operations are defined by counting pseudo-holomorphic polygons.

In the case $\dim(M) = 2$, where Lagrangians are curves on the surface M , the Fukaya category is similar to a curve complex, only it captures more information: minimal intersection numbers between the curves, and also all the immersed convex polygons with boundary on multiple curves.

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