



**POLITECNICO**  
MILANO 1863

# Three-Dimensional Bin Packing with Vertical Support

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# Case study

- Large warehouses
- Mixed-case palletization
- No control over items' shape (strongly heterogeneous)
- Pallets wrapped during loading procedure



Figure: Example of pit palletization  
(Schäfer Case Picking — SSI SCHÄFER)

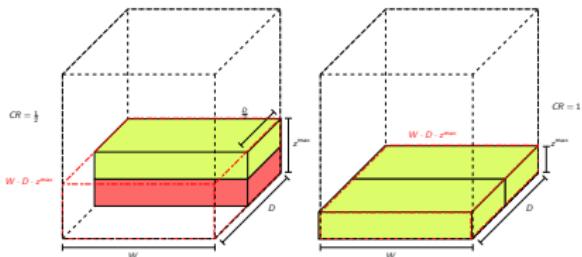


Figure: Cage ratio of two different bin configurations

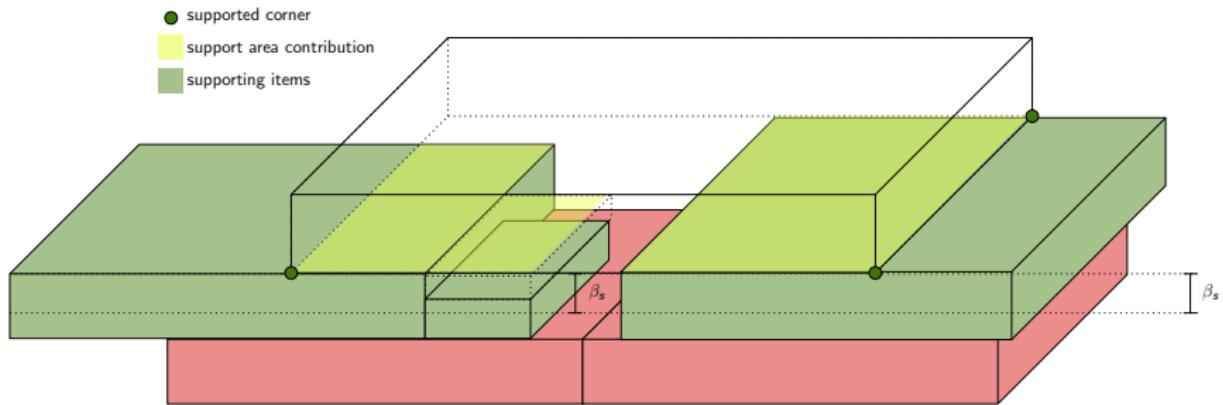
### Definition

An item has vertical support if one of the following conditions hold:

- **Condition 1:** at least a percentage  $\alpha_s$  of its base area is resting on other items
- **Condition 2:** at least 3 of its vertices are resting over other items and **Condition 1** holds with a lower percentage

# Introduction

## Vertical Support



**Figure:** Representation of an item with conditions 1 and 2 of vertical support given  
 $\alpha_s = 0.5, \beta_s$

- The problem is NP-Hard
- Exact methods only for small instances
- Existing 3D-BPP heuristics don't consider practical constraints
- Solutions for container loading and pallet loading problems are layer based

**minimize** number of used bins

**then, maximize** average cage ratio of the used bins

**subject to** all items are assigned to one and only one bin

all items are inside the bin's bounds

no overlaps between items in the same bin

all items have vertical support

## MILP Proxy Model - Objective Function

$$\begin{array}{ll}
 \text{min} & \sum_{b \in B} (Hv_b + z_b^{\max}) \\
 \text{s.t.} & \sum_{b \in B} u_{ib} + \sum_{b \in B} u_{jb} = 1 \quad \forall (i, j) \in I^{OR} \\
 & u_{ib} \leq v_b \quad \forall i \in I, \forall b \in B \\
 & v_b \geq v_c \quad \forall (b, c) \in B : b < c \\
 & x_i + w_i \leq W \quad \forall i \in I \\
 & y_i + d_i \leq D \quad \forall i \in I \\
 & z_i + h_i \leq H \quad \forall i \in I \\
 & (x_i + w_i) - x_j \leq W(1 - x_{ij}^P) \quad \forall i, j \in I \\
 & x_j - (x_i + w_i) + 1 \leq Wx_{ij}^P \quad \forall i, j \in I \\
 & (y_i + d_i) - y_j \leq D(1 - y_{ij}^P) \quad \forall i, j \in I \\
 & y_j - (y_i + d_i) + 1 \leq Dy_{ij}^P \quad \forall i, j \in I \\
 & (z_i + h_i) - z_j \leq H(1 - z_{ij}^P) \quad \forall i, j \in I \\
 & z_j - (z_i + h_i) + 1 \leq Hz_{ij}^P \quad \forall i, j \in I \\
 & x_{ij}^P + x_{ji}^P + y_{ij}^P + y_{ji}^P + z_{ij}^P + z_{ji}^P \geq u_{ib} + u_{jb} - 1 \quad \forall i, j \in I, \forall b \in B \\
 & z_b^{\max} \geq (z_i + h_i) - H(1 - u_{ib}) \quad \forall i \in I, \forall b \in B
 \end{array}$$

## MILP Proxy Model - Geometric Constraints 1

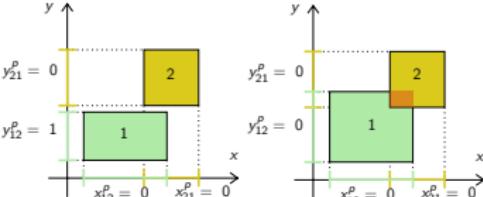
$$\begin{array}{ll}
 \min & \sum_{b \in B} (Hv_b + z_b^{max}) \\
 \text{s.t.} & \sum_{b \in B} u_{ib} + \sum_{b \in B} u_{jb} = 1 \quad \forall (i, j) \in I^{OR} \\
 & u_{ib} \leq v_b \quad \forall i \in I, \forall b \in B \\
 & v_b \geq v_c \quad \forall (b, c) \in B : b < c \\
 & x_i + w_i \leq W \quad \forall i \in I \\
 & y_i + d_i \leq D \quad \forall i \in I \\
 & z_i + h_i \leq H \quad \forall i \in I \\
 & (x_i + w_i) - x_j \leq W(1 - x_{ij}^P) \quad \forall i, j \in I \\
 & x_j - (x_i + w_i) + 1 \leq Wx_{ij}^P \quad \forall i, j \in I \\
 & (y_i + d_i) - y_j \leq D(1 - y_{ij}^P) \quad \forall i, j \in I \\
 & y_j - (y_i + d_i) + 1 \leq Dy_{ij}^P \quad \forall i, j \in I \\
 & (z_i + h_i) - z_j \leq H(1 - z_{ij}^P) \quad \forall i, j \in I \\
 & z_j - (z_i + h_i) + 1 \leq Hz_{ij}^P \quad \forall i, j \in I \\
 & x_{ij}^P + x_{ji}^P + y_{ij}^P + y_{ji}^P + z_{ij}^P + z_{ji}^P \geq u_{ib} + u_{jb} - 1 \quad \forall i, j \in I, \forall b \in B \\
 & z_b^{max} \geq (z_i + h_i) - H(1 - u_{ib}) \quad \forall i \in I, \forall b \in B
 \end{array}$$

minimize                    number of used bins  
 then, maximize            average cage ratio of the used bins  
**subject to**    all items are assigned to one and only one bin  
                   all items are inside the bin's bounds  
                   no overlaps between items in the same bin  
                   all items have vertical support

## MILP Proxy Model - Geometric Constraints 2

$$\begin{array}{ll}
 \min & \sum_{b \in B} (Hv_b + z_b^{\max}) \\
 \text{s.t.} & \sum_{b \in B} u_{ib} + \sum_{b \in B} u_{jb} = 1 \quad \forall (i, j) \in I^{OR} \\
 & u_{ib} \leq v_b \quad \forall i \in I, \forall b \in B \\
 & v_b \geq v_c \quad \forall (b, c) \in B : b < c \\
 & x_i + w_i \leq W \quad \forall i \in I \\
 & y_i + d_i \leq D \quad \forall i \in I \\
 & z_i + h_i \leq H \quad \forall i \in I \\
 & (x_i + w_i) - x_j \leq W(1 - x_{ij}^P) \quad \forall i, j \in I \\
 & x_j - (x_i + w_i) + 1 \leq Wx_{ij}^P \quad \forall i, j \in I \\
 & (y_i + d_i) - y_j \leq D(1 - y_{ij}^P) \quad \forall i, j \in I \\
 & y_j - (y_i + d_i) + 1 \leq Dy_{ij}^P \quad \forall i, j \in I \\
 & (z_i + h_i) - z_j \leq H(1 - z_{ij}^P) \quad \forall i, j \in I \\
 & z_j - (z_i + h_i) + 1 \leq Hz_{ij}^P \quad \forall i, j \in I \\
 & x_{ij}^P + x_{ji}^P + y_{ij}^P + y_{ji}^P + z_{ij}^P + z_{ji}^P \geq u_{ib} + u_{jb} - 1 \quad \forall i, j \in I, \forall b \in B \\
 & z_b^{\max} \geq (z_i + h_i) - H(1 - u_{ib}) \quad \forall i \in I, \forall b \in B
 \end{array}$$

minimize                    number of used bins  
 then, maximize            average cage ratio of the used bins  
**subject to**            all items are assigned to one and only one bin  
                           all items are inside the bin's bounds  
                           no overlaps between items in the same bin  
                           all items have vertical support

		$\min \quad \sum_{b \in B} (Hv_b + z_b^{max})$	
		$\text{s.t.} \quad \sum_{b \in B} u_{ib} + \sum_{b \in B} u_{jb} = 1 \quad \forall (i, j) \in I^{OR}$	
minimize then, maximize	number of used bins average cage ratio of the used bins	$u_{ib} \leq v_b \quad \forall i \in I, \forall b \in B$	
<b>subject to</b>	all items are assigned to one and only one bin all items are inside the bin's bounds no overlaps between items in the same bin all items have vertical support	$v_b \geq v_c \quad \forall (b, c) \in B : b < c$ $x_i + w_i \leq W \quad \forall i \in I$ $y_i + d_i \leq D \quad \forall i \in I$ $z_i + h_i \leq H \quad \forall i \in I$ $(x_i + w_i) - x_j \leq W(1 - x_{ij}^P) \quad \forall i, j \in I$ $x_j - (x_i + w_i) + 1 \leq Wx_{ij}^P \quad \forall i, j \in I$ $(y_i + d_i) - y_j \leq D(1 - y_{ij}^P) \quad \forall i, j \in I$ $y_j - (y_i + d_i) + 1 \leq Dy_{ij}^P \quad \forall i, j \in I$ $(z_i + h_i) - z_j \leq H(1 - z_{ij}^P) \quad \forall i, j \in I$ $z_j - (z_i + h_i) + 1 \leq Hz_{ij}^P \quad \forall i, j \in I$ $x_{ij}^P + x_{ji}^P + y_{ij}^P + y_{ji}^P + z_{ij}^P + z_{ji}^P \geq u_{ib} + u_{jb} - 1 \quad \forall i, j \in I, \forall b \in B$ $z_b^{max} \geq (z_i + h_i) - H(1 - u_{ib}) \quad \forall i \in I, \forall b \in B$	
			

**Figure:** Precedences variables (2D case)

**minimize** number of used bins

then, maximize average cage ratio of the used bins

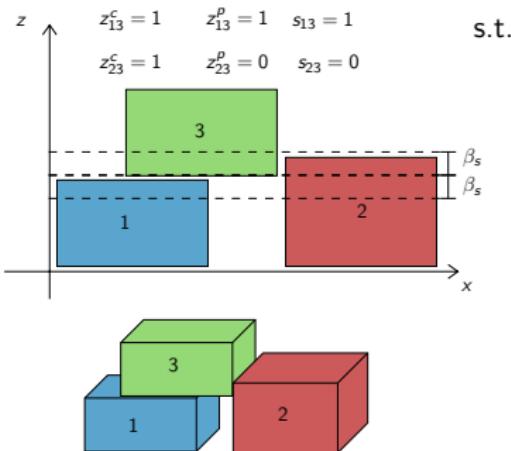
**subject to** all items are assigned to one and only one bin

all items are inside the bin's bounds

no overlaps between items in the same bin

all items have vertical support

## MILP Proxy Model - Closeness



$$\begin{array}{lll}
 z & z_{13}^c = 1 & z_{13}^p = 1 \quad s_{13} = 1 \\
 & z_{23}^c = 1 & z_{23}^p = 0 \quad s_{23} = 0
 \end{array}
 \quad \text{s.t.} \quad
 \begin{array}{ll}
 z_j - (z_i + h_i) \leq \beta_s + H(1 - z_{ij}^c) & \forall (i, j) \in I : i \neq j \\
 z_j - (z_i + h_i) \geq -\beta_s - H(1 - z_{ij}^c) & \forall (i, j) \in I : i \neq j \\
 s_{ij} \leq z_{ij}^p & \forall (i, j) \in I \\
 s_{ij} \leq z_{ij}^c & \forall (i, j) \in I \\
 s_{ij} \geq z_{ij}^p + z_{ij}^c - 2 & \forall (i, j) \in I : i \neq j \\
 \sum_{j \in I} s_{ij} \leq \sum_{b \in B} u_{ib} & \forall i \in I
 \end{array}$$

**Figure:** Closeness variables example

## MILP Proxy Model - Discretized Vertical Support

Pre-Computed Parameter

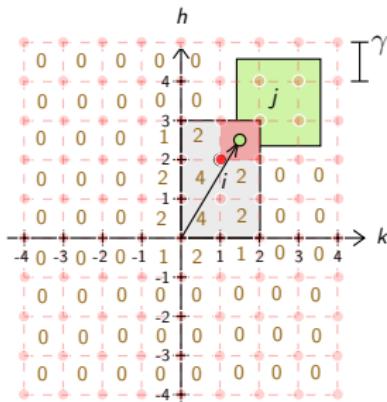
$$O(i, j, k, h)$$

$$O(i, j, 1, 2) = 1$$

Variables

$$s_{ijb}^{12} = 1$$

$$(x_j - x_i, y_j - y_i)$$



$$\begin{aligned}
 & \text{s.t.} && z_i \leq H(1 - g_i) && \forall i \in I \\
 & && \sum s_{ijb}^{kh} \leq s_{ij} && \forall (i, j) \in I \\
 & && \sum_{(k,h) \in \Delta: O(i,j,k,h) \neq 0} s_{ijb}^{kh} \leq u_{ib} && \forall (i, j, b) \in I^B \\
 & && \sum_{(k,h) \in \Delta: O(i,j,k,h) \neq 0} s_{ijb}^{kh} \leq u_{jb} && \forall (i, j, b) \in I^B \\
 & && x_j - x_i \geq \gamma k - 2W(1 - s_{ijb}^{kh}) && \forall (k, h) \in \Delta, \forall (i, j, b) \in I^B : O(i, j, k, h) \neq 0 \\
 & && x_j - x_i \leq \gamma(k+1) + 2W(1 - s_{ijb}^{kh}) && \forall (k, h) \in \Delta, \forall (i, j, b) \in I^B : O(i, j, k, h) \neq 0 \\
 & && y_j - y_i \geq \gamma h - 2D(1 - s_{ijb}^{kh}) && \forall (k, h) \in \Delta, \forall (i, j, b) \in I^B : O(i, j, k, h) \neq 0 \\
 & && y_j - y_i \leq \gamma(h+1) + 2D(1 - s_{ijb}^{kh}) && \forall (k, h) \in \Delta, \forall (i, j, b) \in I^B : O(i, j, k, h) \neq 0 \\
 & && \sum_{(k,h) \in \Delta, b \in B, j \in I: i \neq j \wedge O(i,j,k,h) \neq 0} O(i, j, k, h) s_{ijb}^{kh} \geq \alpha_s w_i d_i - w_i d_i g_i && \forall i \in I
 \end{aligned}$$

Figure: Space discretization

# Proposed Heuristic Overview

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Composed of:

- Constructive heuristic  
(Support Planes)
- Beam-Search

Each node is a partial solution, starts from the empty solution

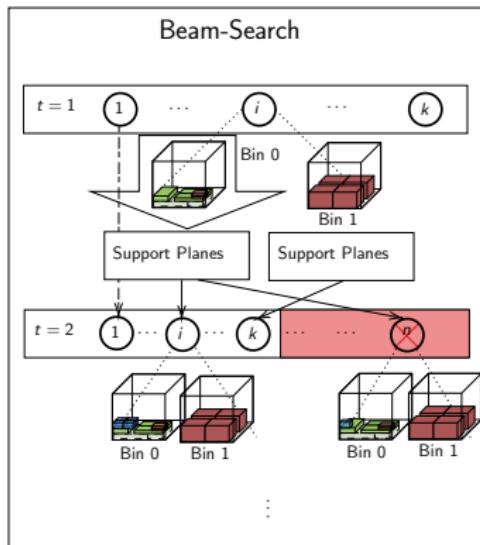
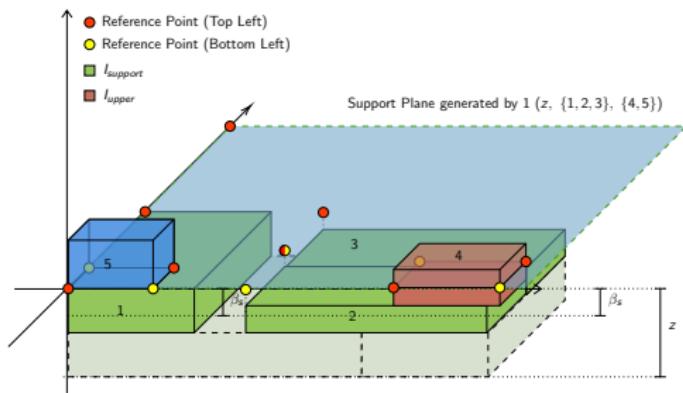


Figure: Conceptual representation of the proposed heuristic

# Proposed Heuristic Support Plane

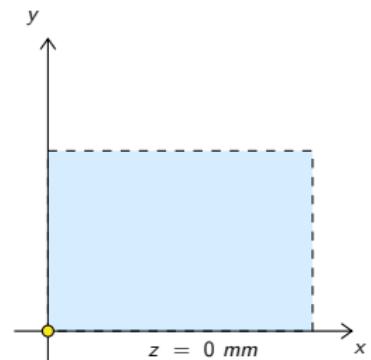
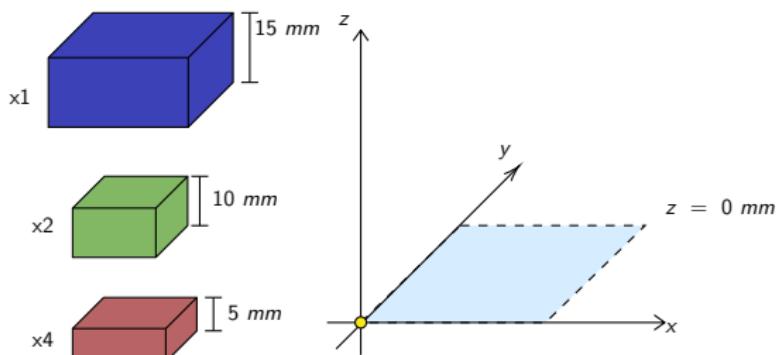
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- Operates on a single bin
- Each item inserted generates planes
- Insertions on the lowest possible level
- No explicit layers

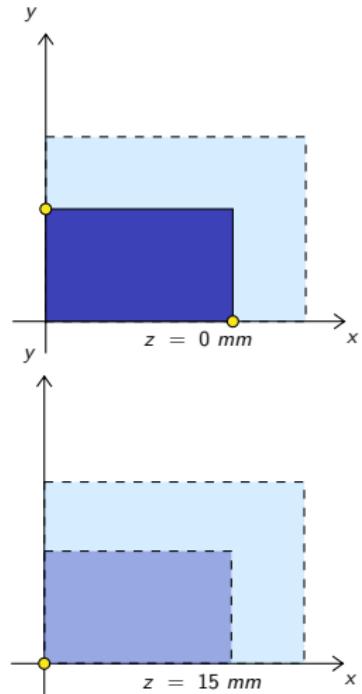
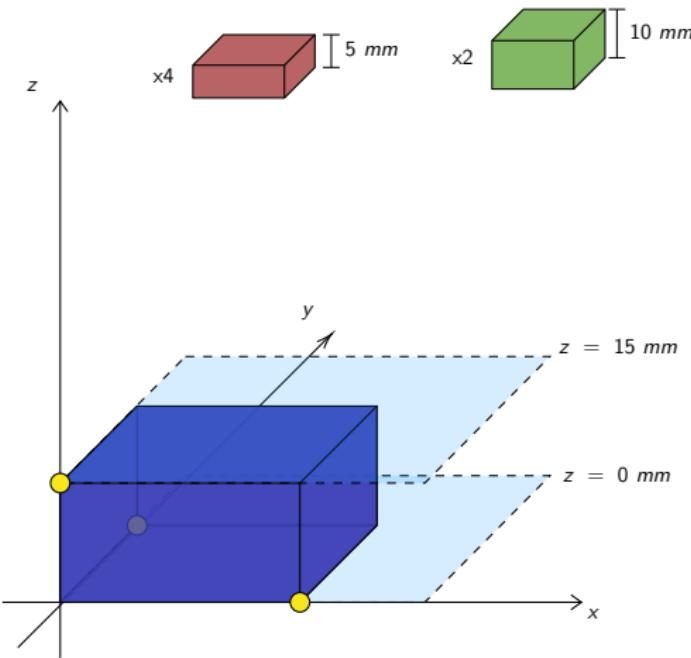


**Figure:** Conceptual representation of the proposed heuristic

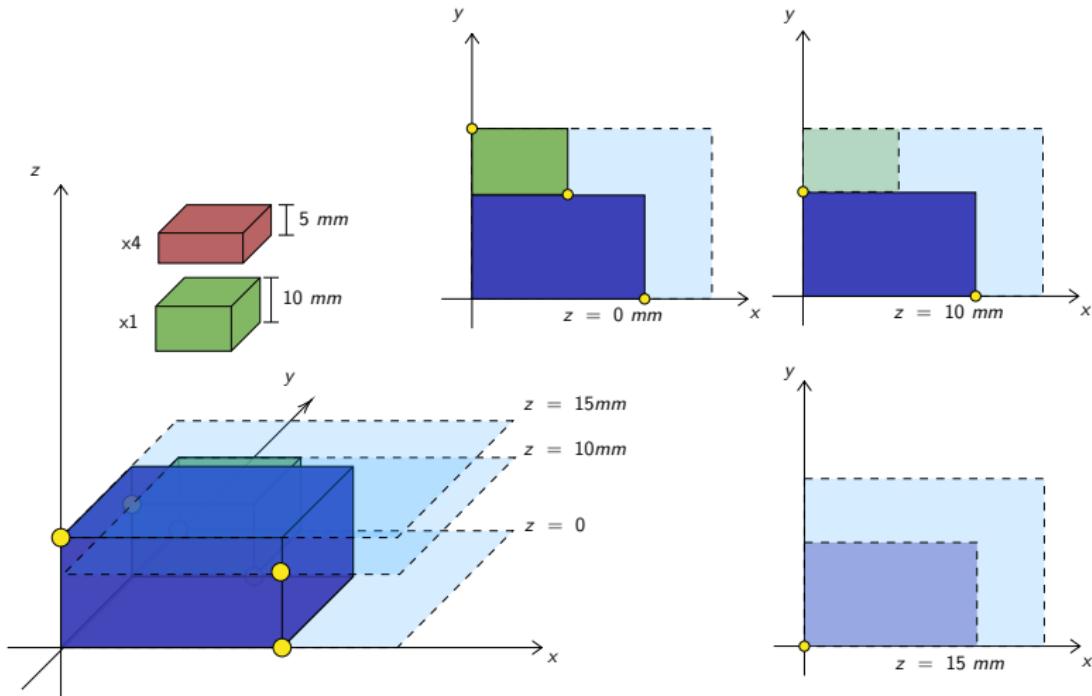
## Support Plane



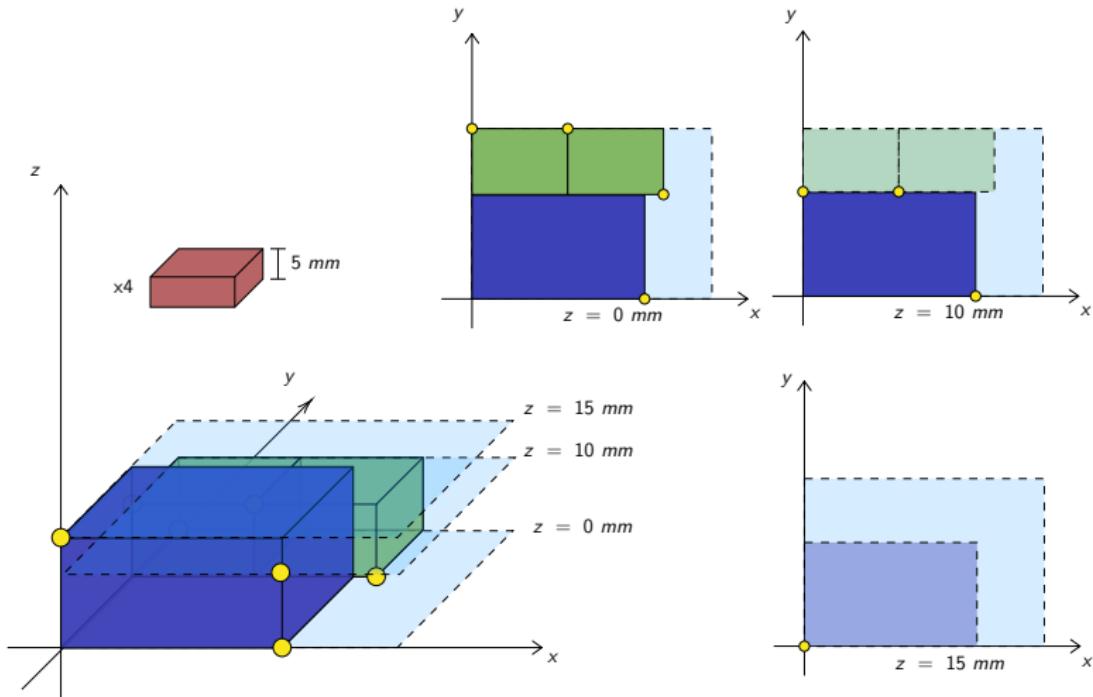
## Support Plane



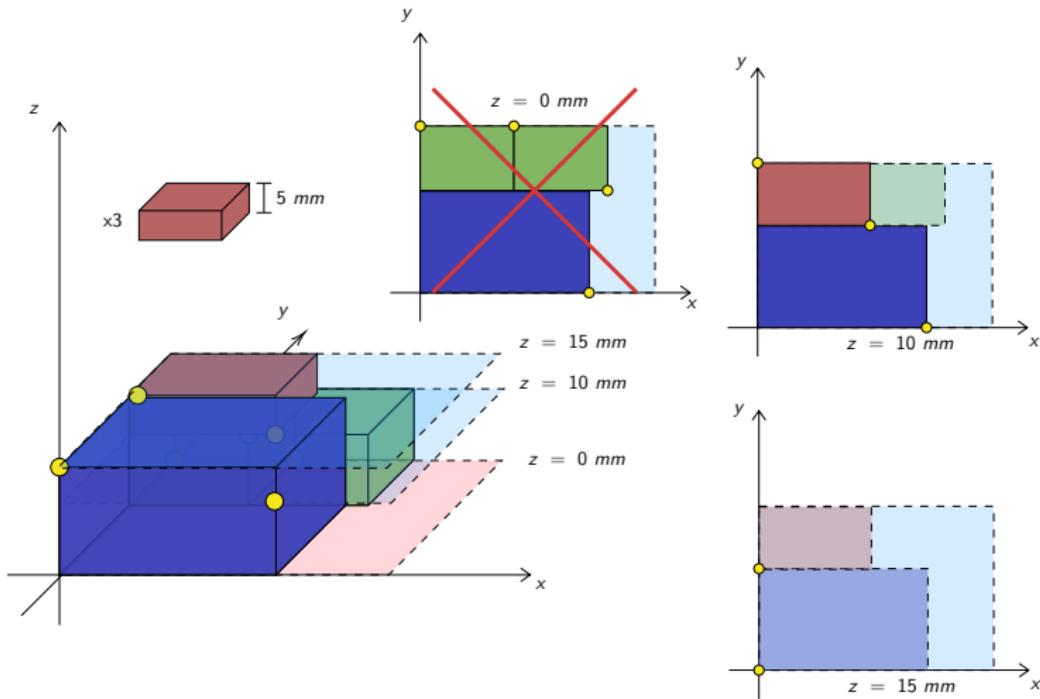
## Support Plane



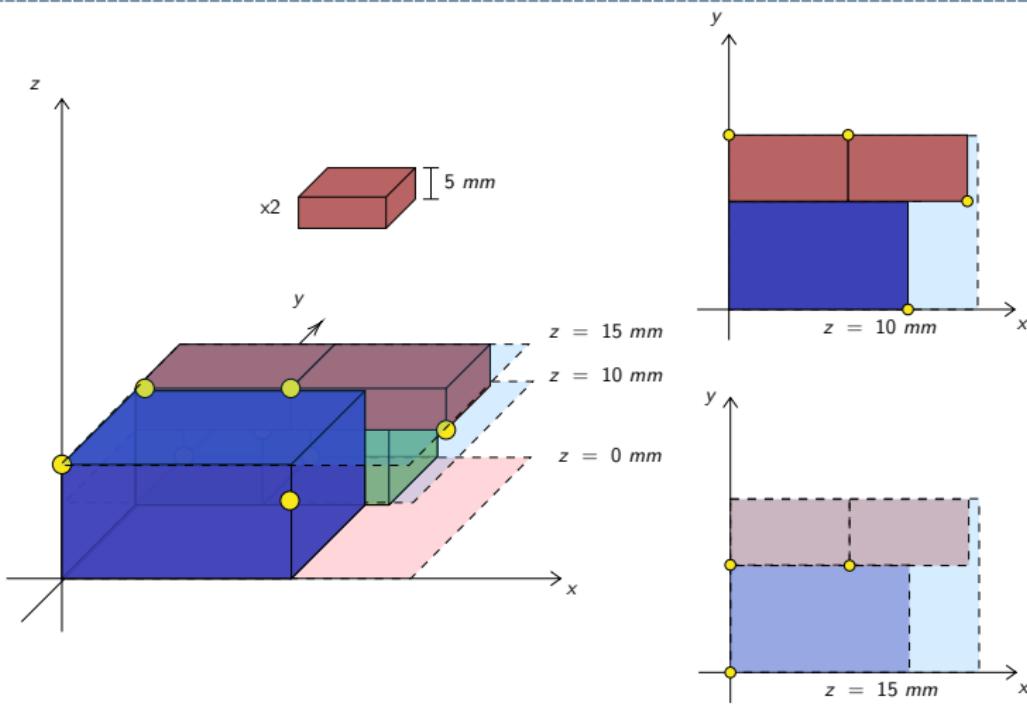
## Support Plane



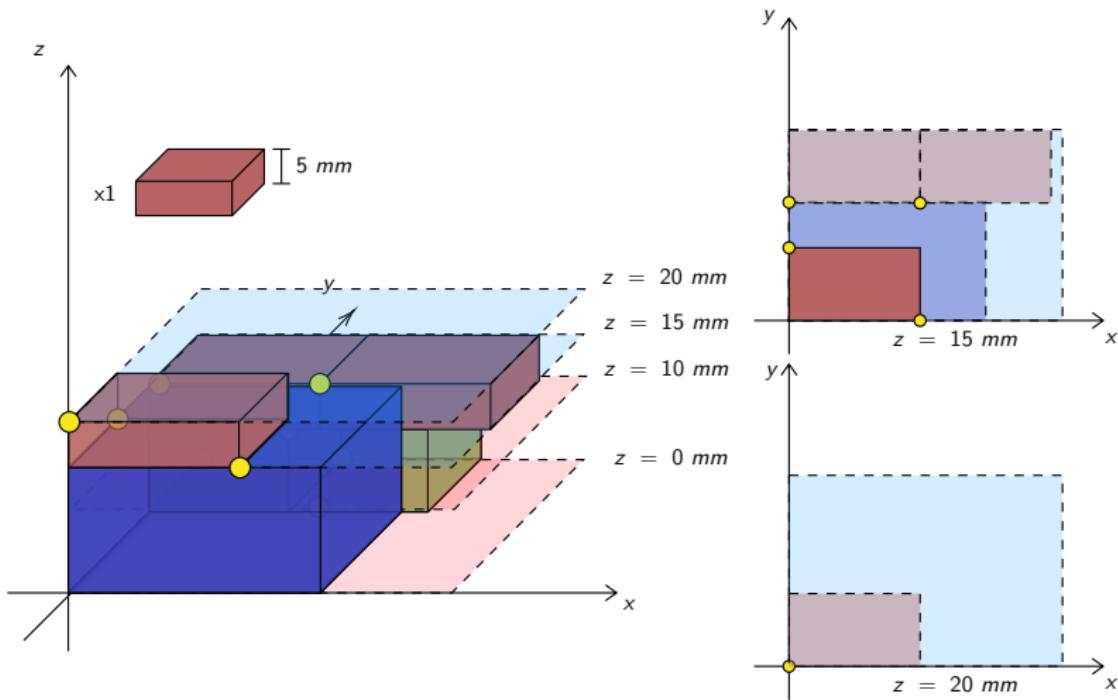
## Support Plane



## Support Plane

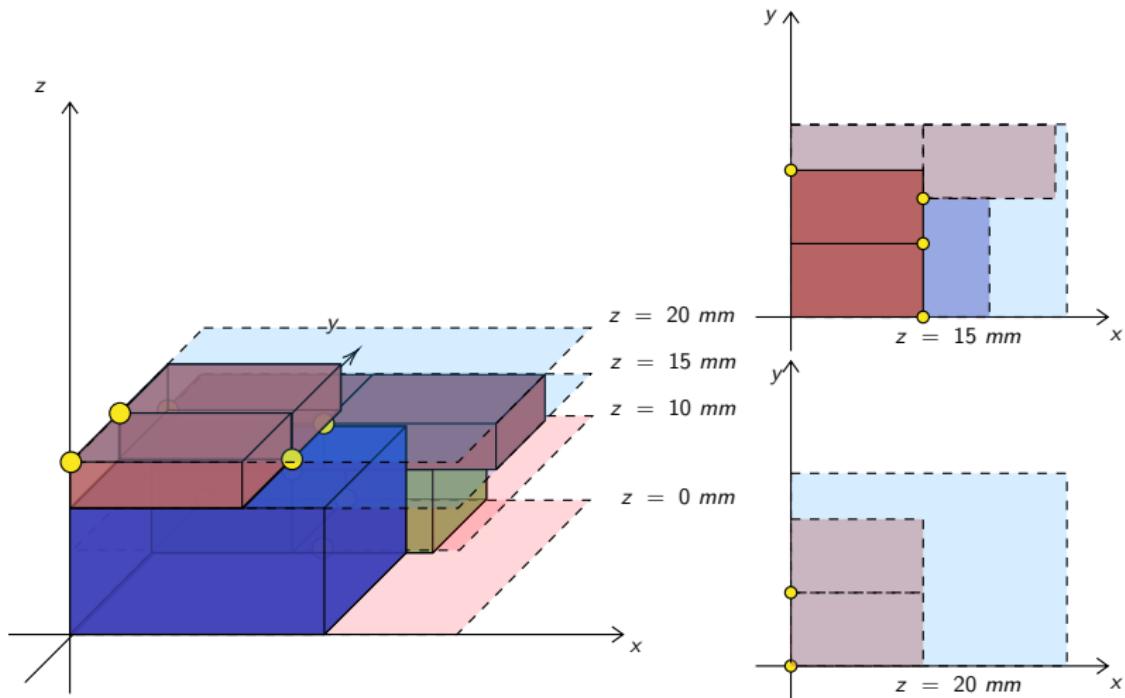


## Support Plane



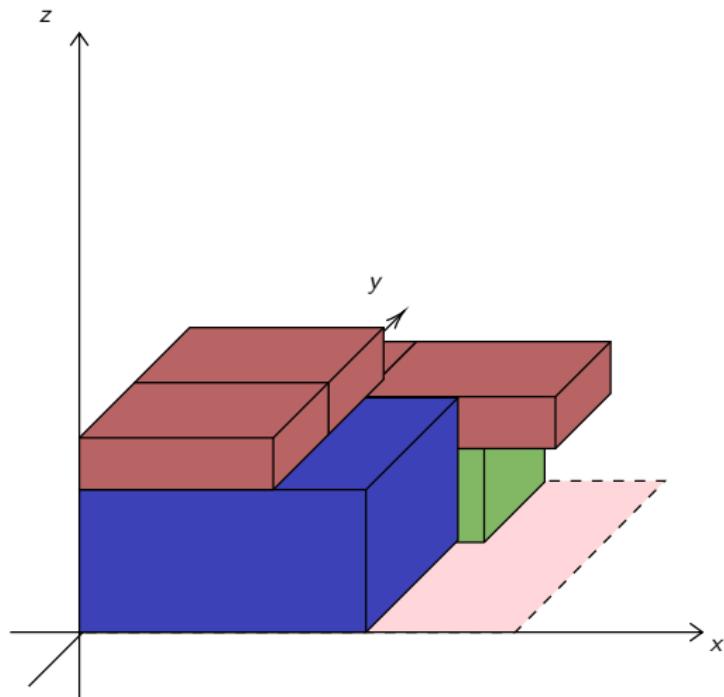
# Proposed Heuristic Support Plane

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# Proposed Heuristic Support Plane

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# Proposed Heuristic Optimizations

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- Duplicates removal
- Fast overlap checks
- Memory management

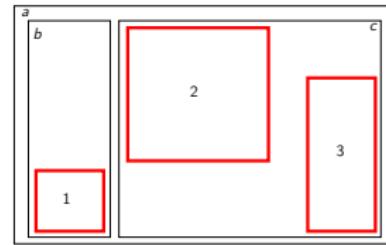
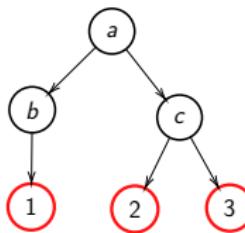


Figure: AABB Tree

# Computational Experiments

# Results & Future Developments