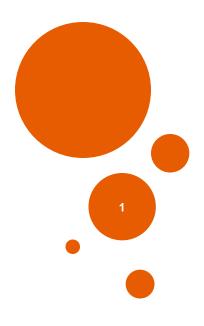
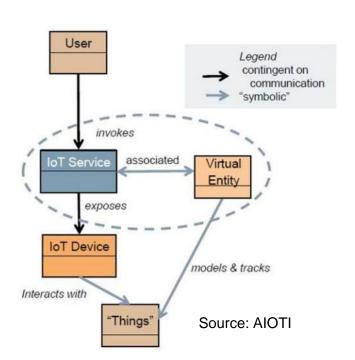
EIOT

Constrained Application Protocol (CoAP)

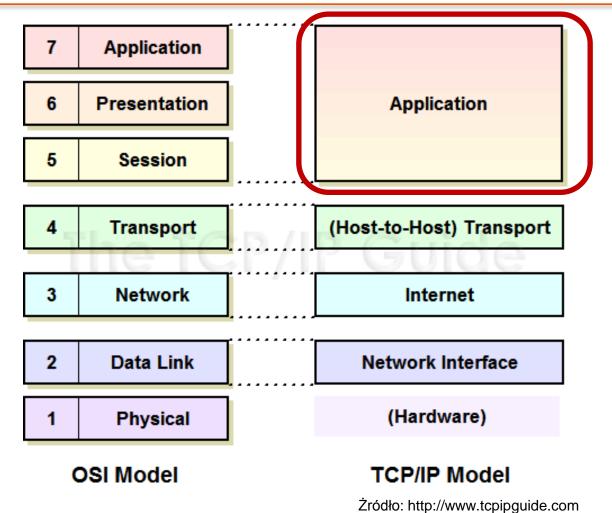
Jarosław Domaszewicz

Instytut Telekomunikacji Politechniki Warszawskiej





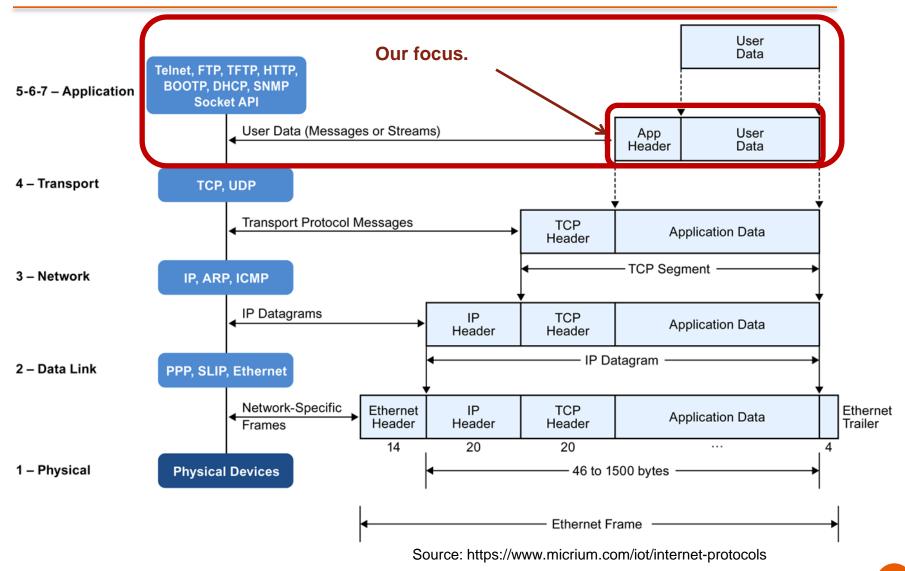
APPLICATION LAYER (1/3)



Ziodio. Intp.// www.topipguido.ok

Innovations? In the application layer!

APPLICATION LAYER (2/3)



APPLICATION LAYER (3/3)

Source:

Constrained Application Protocol (Web Protocol for IoT)

A. Chakrabarti, www.slideshare.net

IoT stack

IoT Device Management

Binary, JSON, CBOR

Internet / Web App stack

Web Applications

HTML, XML, JSON

HTTP, DHCP, DNS, TLS/SSL

Note: the application layer protocol is not the application!

UDP, DTLS TCP, UDP

IPv6 / IP Routing

6LowPAN

IPv6, IPv4, IPSec

IEEE 802.15.4 MAC

IEEE 802.15.4 PHY / Physical Radio

Ethernet (IEEE 802.3), DSL, ISDN, Wireless LAN (IEEE 802.11), Wi Fi

APPLICATION LAYER COMPETITORS (1/2)

- CoAP (Constrained Application Protocol)
 - developed by CoRE, Constrained RESTful Environments WG of IETF
 - an Internet (IETF) standard
 - runs on top of UDP
 - enables HTTP-like interactions in IoT: client/server, restful APIs





- MQTT (formerly Message Queuing Telemetry Transport, now MQTT)
 - developed by industry (IBM, Arcom)
 - supported by a major IBM product (MQ series)
 - now an OASIS standard and ISO standard
 - runs on top of TCP
 - based on the publish/subscribe interaction paradigm





APPLICATION LAYER COMPETITORS (2/2)

	MQTT	CoAP	
Application Layer	Single Layered completely	Single Layered with 2 conceptual sub layers (Messages Layer and Request Response Layer)	
Transport Layer	Runs on TCP	Runs on UDP	
Reliability Mechanism	3 Quality of Service levels	Confirmable messages, Non- confirmable messages, Acknowledgements and retransmissions	Message Layer (reliability)
Supported Architectures	Publish-Subscribe	Reguest-Response, Resource observe/Publish- Subscribe	Observe option

Source: Performance Evaluation of MQTT and CoAP via a Common Middleware,

D. Thangavel et al., 2014 IEEE Ninth Intl. Conf. on Intelligent Sensors, Sensor Networks and Information Processing, 2014

CORE (CONSTRAINED RESTFUL E.) CONSTRAINED?

Table 1: Classes of Constrained Devices (KiB = 1024 bytes) [RFC7228]

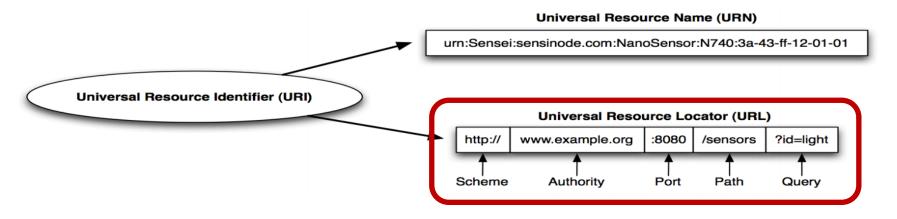
Source: Terminology for Constrained-Node Networks, RFC7228 C. Bormann, M. Ersue, A. Keranen , May 2014

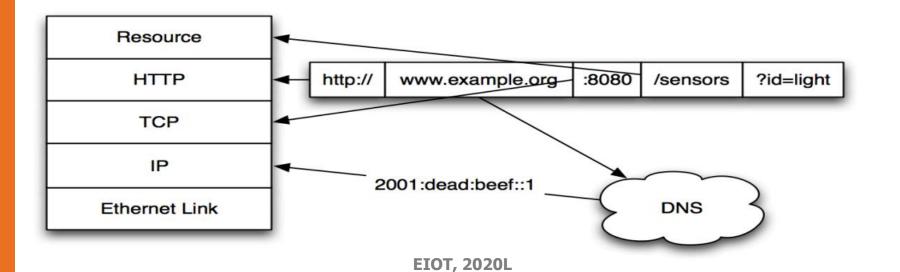
CORE (CONSTRAINED RESTFUL E.) RESTFUL?

- note: the description below is (somewhat) simplified
- there are resources (e.g., data items, sensor readings, ..., whatever)
- a resource has its URI
- a resource is hosted on a server
- a resource has its (possibly multiple) representation(s)
 - a resource representation has its media type
- the client uses the CRUD "verbs" (Create, Retrieve, Update, Delete) to transfer (work with) resource representations
 - these verbs are <u>resource and application neutral</u>
- no per-client state on the server (statelessness)
 - a request from a client must be understood by itself
 - the state is kept only on clients

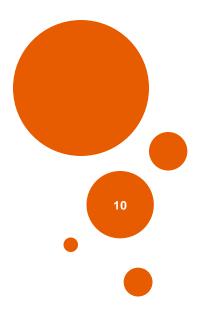
URIs

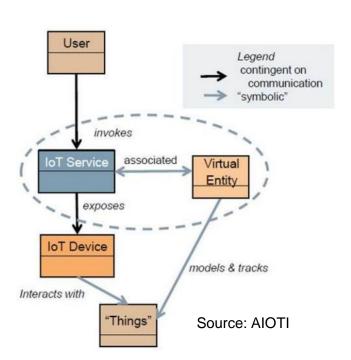
Source: CoAP: The Web of Things Protocol, ARM IoT Tutorial, Z. Shelby, 2014





CoAP – fundamentals





COAP: KEY RFCS

- [RFC7252] "The Constrained Application Protocol (CoAP)"
 - Z. Shelby, K. Hartke, C. Bormann, June 2014

the main CoAP specification, 112 pages

- [RFC7641] "Observing Resources in CoAP"
 - K. Hartke, September 2015

how to be up to date about the state of a resource without too many requests

- [RFC7959] "Blockwise Transfers in CoAP"
 - C. Bormann, Z. Shelby, August 2016

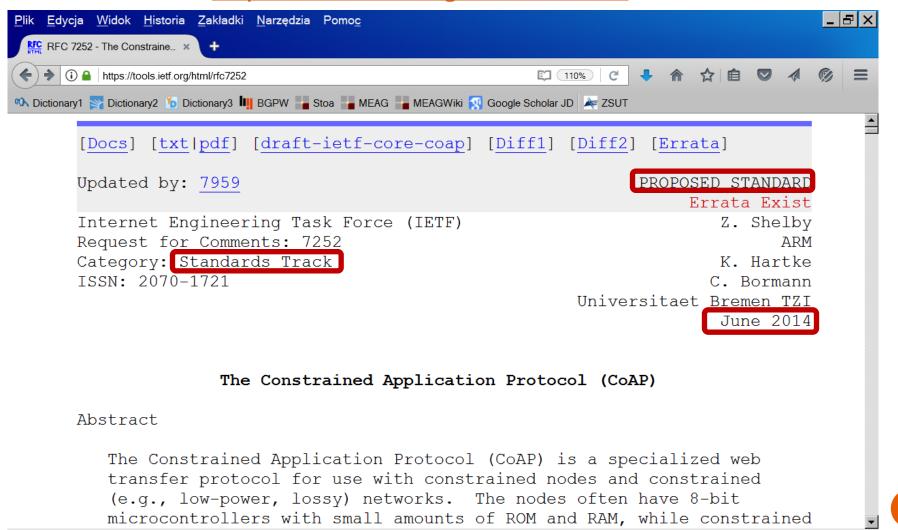
how to transfer big resource representations

- [RFC6690] "Constrained RESTful Environments (CoRE) Link Format"
 - Z. Shelby, August 2012

how to discover resources hosted by a server

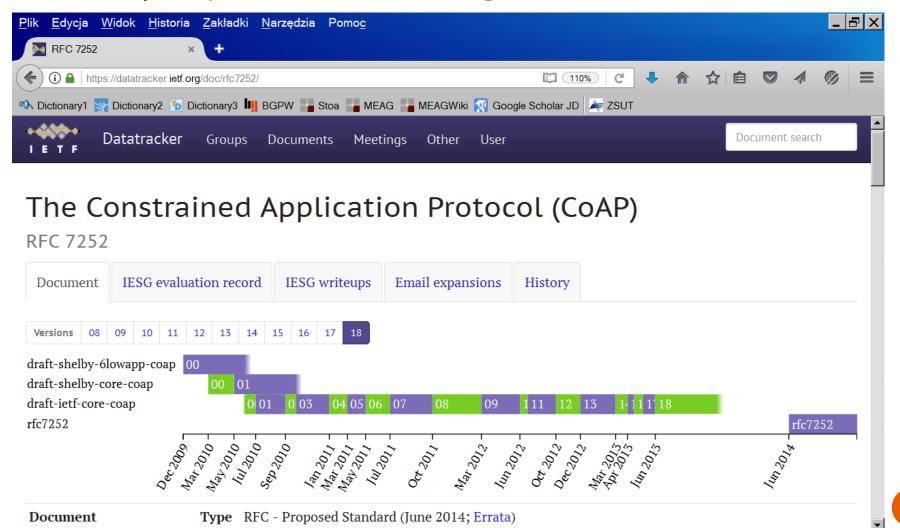
RFC 7252 (1/2)

Document: https://tools.ietf.org/html/rfc7252

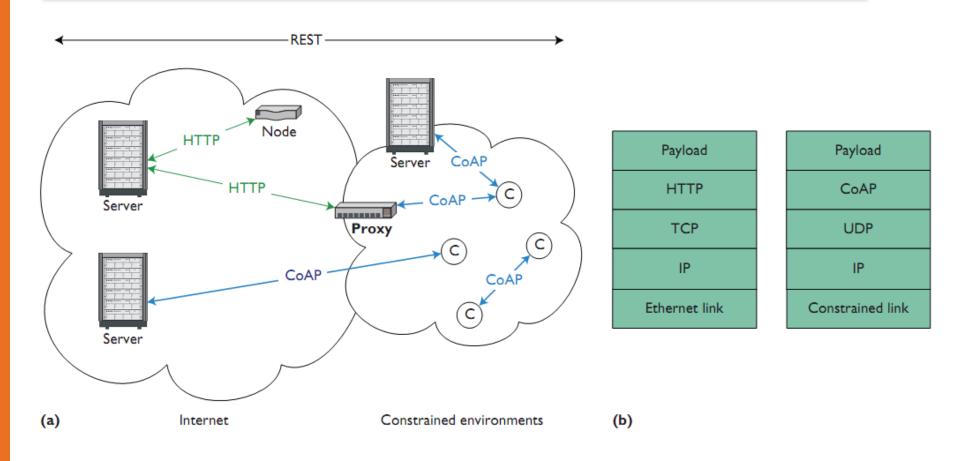


RFC 7252 (2/2)

History: https://datatracker.ietf.org/doc/rfc7252/

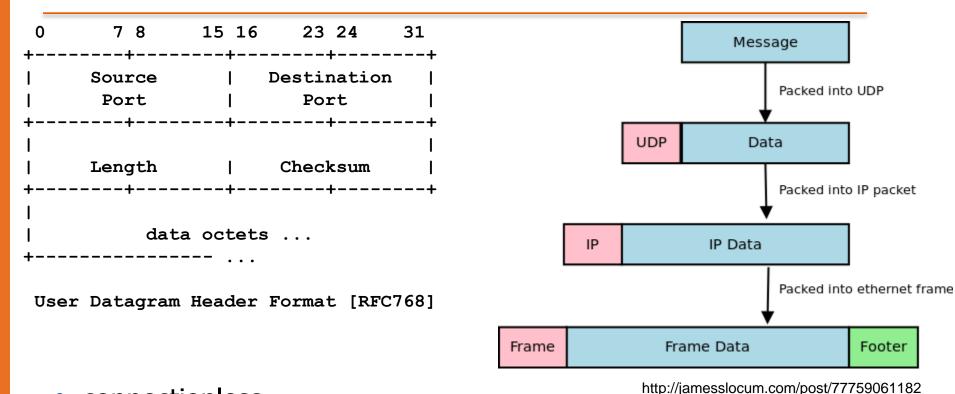


COAP SYSTEM ARCHITECTURE



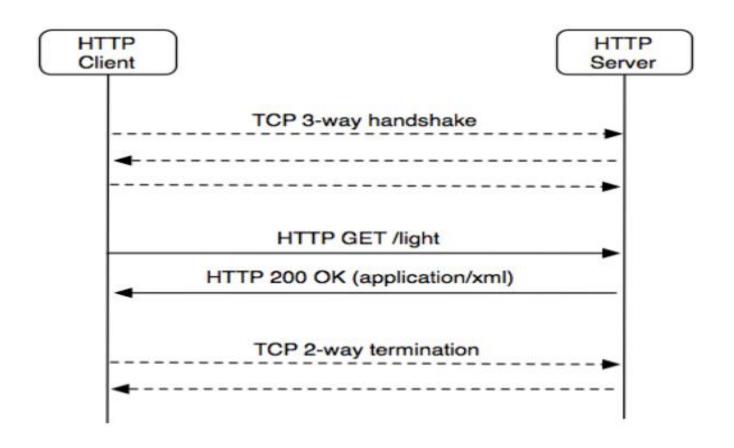
Source: CoAP: An Application Protocol for Billions of Tiny Internet Nodes C. Bormann, A. P. Castellani, Z. Shelby IEEE INTERNET COMPUTING, 2012

UDP BASICS



- connectionless
- each user datagram results in a single IP datagram
- delivery: out-of-order, duplicated, missing
- offers the port abstraction
- aside: why would anybody want to use UDP?

WHY NOT TCP?



Source: CoAP: The Web of Things Protocol, ARM IoT Tutorial, Z. Shelby, 2014

COAP IN THE PROTOCOL STACK

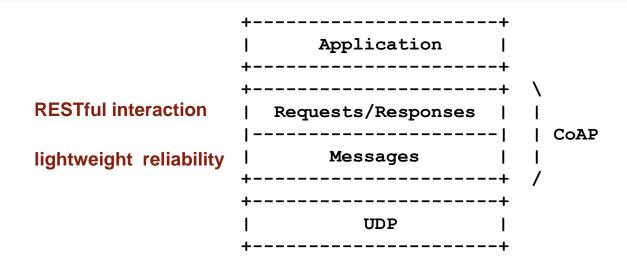


Figure 1: Abstract Layering of CoAP [RFC7252]

- CoAP endpoint = IP address + UDP port number, port 5683
- each CoAP message occupies the data section of one UDP datagram
- CoAP over TCP (RFC 8323) is also possible
- CoAP is <u>not</u> the application itself (the application logic is up to you!)

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COAP MESSAGES

- CoAP client and server (one node may play both roles)
- requests/responses:
 - requests: from client to server method code (which action to perform on the resource): GET, PUT, POST, DELETE
 - responses: from server to client response code (similar to the HTTP status code)
- CON (confirmable)/NON (non-confirmable)/ACK/RST
 - CON+ACK: lightweight reliability
 - RST: recipient unable to process the message

COAP MESSAGE FORMAT

Figure 7: Message Format[RFC7252]

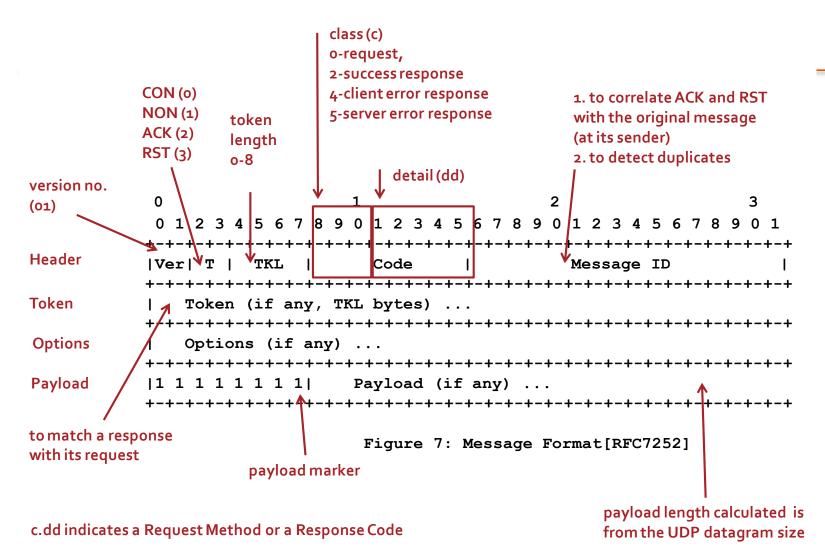
shortest CoAP message: 4B

COAP MESSAGE FORMAT

Consider a GET on a resource. Here is what different fields are for.

Figure 7: Message Format[RFC7252]

COAP MESSAGE FORMAT



o.oo Empty message

0.01 GET
 0.02 POST
 0.03 PUT
 0.04 DELETE
 2.dd success
 4.dd client error
 5.dd server error

NO RELIABILITY: NON-CONFIRMABLE MESSAGES

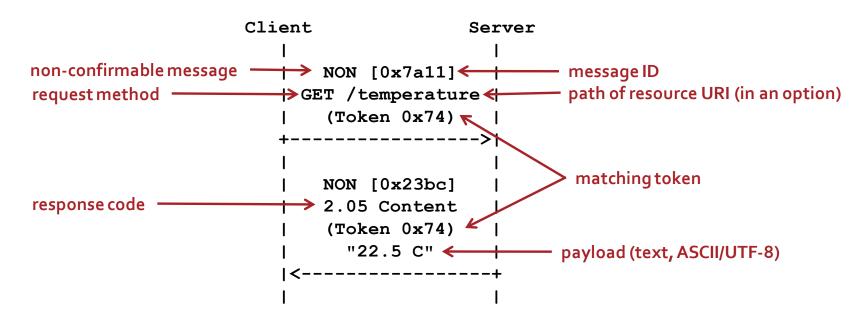


Figure 6: A Request and a Response Carried in Non-confirmable Messages [RFC7252]

- reception not acknowledged
- the token is used to match a response with its request
- RST when the recipient unable to process a non-confirmable message

WITH RELIABILITY: CONFIRMABLE MESSAGES

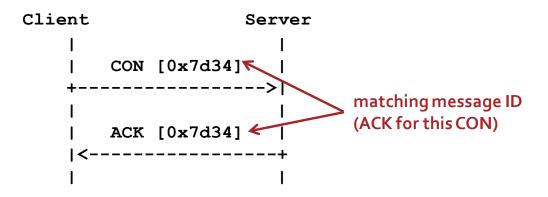


Figure 2: Reliable Message Transmission [RFC7252]

- simple stop-and-wait
- wait for ACK (or RST) with timeout
- if no ACK, retransmit
- exponential back-off: timeout doubled each time
- continue until you run out of attempts (MAX_RETRANSMIT)
- RST when the recipient unable to process a confirmable message
- note: ACK (by itself) is <u>not</u> a response

PIGGYBACKED RESPONSE

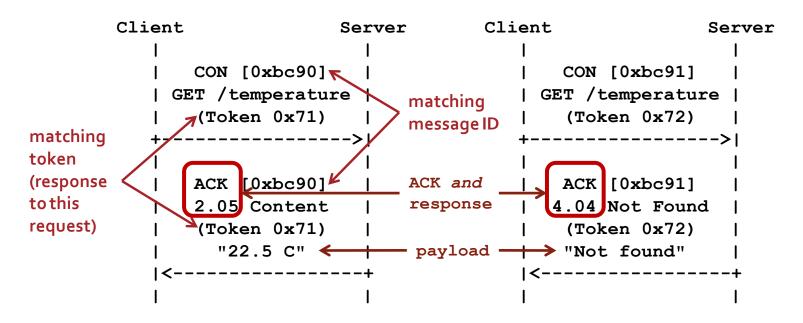


Figure 4: Two GET Requests with Piggybacked Responses[RFC7252]

the response carried in ACK (if available immediately)

EMPTY ACK AND SEPARATE RESPONSE

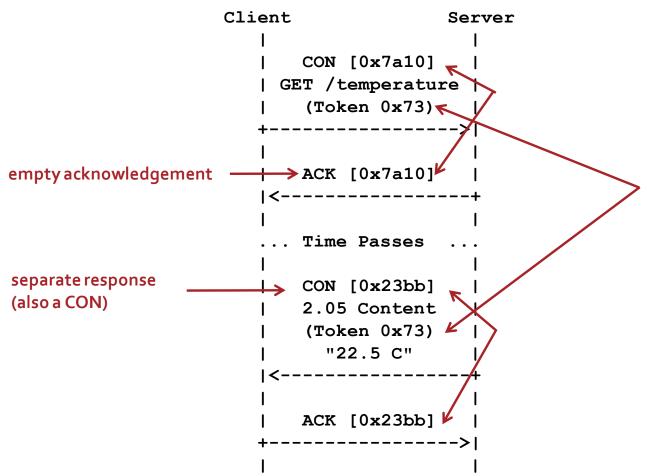
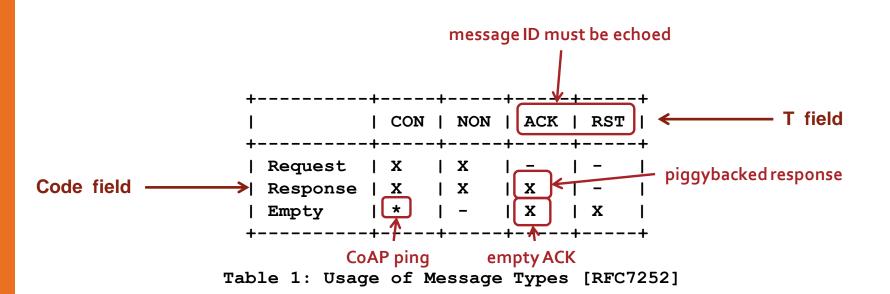


Figure 5: A GET Request with a Separate Response[RFC7252]

 if the response not available immediately (say, it takes some time to take a sensor reading)

USAGE OF MESSAGE TYPES



• CoAP ping: to elicit a reset message (RST), not in normal operation

CON, NON, ACK, RST, MESSAGE ID, TOKEN IN MESSAGE

```
CON (o)
      token
  NON (1)
      length
 ACK (2)
      0-8
0 RST (3)
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
|Ver| T |
      TKL
             Code
                        Message ID
Token (if any, TKL bytes) ...
Options (if any) ...
11 1 1 1 1 1 1 1 1
           Payload (if any) ...
```

Figure 7: Message Format[RFC7252]

REQUEST METHODS

- GET, PUT, POST, and DELETE
- these are similar to those of HTTP
- an URI (partially given in options) identifies a resource
- GET: retrieves a representation of the identified resource
- POST: requests that the representation enclosed in the request be processed
 - the actual function performed by the POST method is determined by the server and dependent on the target resource
 - it usually results in a new resource being created or the target resource being updated (the target resource may also be deleted)
- PUT: requests that the identified resource be updated or created with the enclosed representation
- DELETE: requests that the identified resource be deleted

METHOD CODES IN MESSAGE

```
0.01 GET
           0.02 POST
           0.03
           0.04 DELETE
0
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
|Ver| T |
      TKL
            Code
                        Message ID
Token (if any, TKL bytes) ...
Options (if any) ...
           Payload (if any) ...
|1 1 1 1 1 1 1 1 |
```

Figure 7: Message Format[RFC7252]

RESPONSES

- a response is matched to the request by means of a clientgenerated token
- three classes of Response Codes: kody odpowiedzi
 - 2 Success: the request was successfully received, understood, and accepted
 - 4 Client Error: the request contains bad syntax or cannot be fulfilled
 - 5 Server Error: the server failed to fulfill an apparently valid request

RESPONSE CODES IN MESSAGE: SUCCESS 2.XX

```
2.01
                    Created
                                     POST and PUT
            2.02
                    Deleted
                                     DELETE and POST
            2.03
                    Valid
                                     the response identified by the entity-tag is valid
                                     (used in validation for caching purposes)
                                     PUT and POST
            2.04
                    Changed
            2.05
                    Content
                                     GET
            2.31
                    Continue
                                     in block-wise transfers; a block has been received
                                     successfully, but the total update has not been completed yet
                0
                0 1 2 3 4 5 6 7
                                 c.dd
                                          2.05 ↔ binary: 010.00101 ↔ decimal: 64+4+1=69
               |class| detail |
               +-+-+-+-+-+-+
    Figure 9: Structure of a Response Code
0
          5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
          TKL
                     Code
                                        Message ID
|Ver| T |
Token (if any, TKL bytes)
Options (if any) ...
11 1 1 1 1 1 1 1 1
                   Payload (if any)
```

RESPONSE CODES IN MESSAGE: CLIENT ERROR 4.XX

```
4.00
                       Bad Request (generic response code)
             4.01
                       Unauthorized
             4.02
                       Bad Option
             4.04
                       Not Found
             4.05
                       Method Not Allowed
             4.15
                       Unsupported Content-Format
                  0
                                       c.dd
                 |class| detail |
                 +-+-+-+-+-+-+
    Figure 9: Structure of a Response Code
0
               7 8 9 0 1 2 3
                         Code
           TKL
                                                Message ID
   Token (if any, TKL bytes)
   Options (if any) ...
  1 1 1 1 1 1 1 1
                      Payload (if any)
```

RESPONSE CODES IN MESSAGE: SERVER ERROR 5.XX

Service Unavailable (uses the Max-Age Option to indicate

Internal Server Error (generic response code)

Not Implemented

```
the number of seconds after which to retry
                 0
                                    c.dd
                |class| detail |
                +-+-+-+-+-+-+
    Figure 9: Structure of a Response Code
0
            6 7 8 9 0 1 2 3
          TKL
                       Code
                                             Message ID
   Token (if any, TKL bytes)
   Options (if any) ...
  1 1 1 1 1 1 1 1
                     Payload (if any)
```

5.00

5.01

5.03

COAP OPTIONS

```
option = (option number, option value)
```

```
option -
              Name
                              Format | Length | Default
                                                      ... of option value
         No.
number
                            | opaque | 0-8
              If-Match
                                              (none)
               Uri-Host
                              string | 1-255
                                              (see
                                            | below)
           4 | ETag
                            | opaque | 1-8
                                            (none)
           5 | If-None-Match | empty | 0
                                            (none)
           7 | Uri-Port
                            | (see
                                            | below)
               Location-Path | string | 0-255
                                            (none)
                            | string | 0-255
          11 | Uri-Path
                                            (none)
          12 | Content-Format | uint | 0-2
                                              (none)
                                              60
          14 | Max-Age | uint | 0-4
          15 | Uri-Query
                            | string | 0-255
                                            (none)
          17 | Accept
                                    | 0-2
                            | uint
                                              (none)
          20 | Location-Query | string | 0-255
                                            (none)
          35 | Proxy-Uri | string | 1-1034 | (none)
          39 | Proxy-Scheme
                           | string | 1-255
                                              (none)
               Size1
                            | uint
          60
                                    1 0-4
                                              (none)
```

Table 4: Options [RFC7252]

SELECTED OPTIONS (1/2)

Content-Format

the representation format of the payload

Etag

 an entity-tag is intended for use as a resource-local identifier for a specific representation of a resource; generated by the server providing the resource; used for validation

Max-Age

 the maximum time a response may be cached before it is considered not fresh, default: 60s

Accept

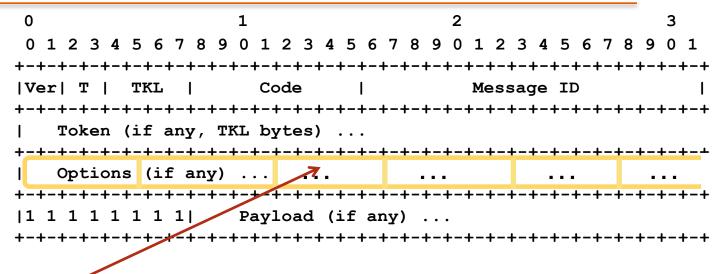
in a request, the client can indicate which content-format it prefers to receive

SELECTED OPTIONS (2/2)

coap-URI = "coap:" "//" host [":" port] path ["?" query]

- Uri-Host
 - default: the IP address of the request message
- Uri-Path
- Uri-Port
 - default: the destination UDP port
- Uri-Query

OPTIONS IN MESSAGE



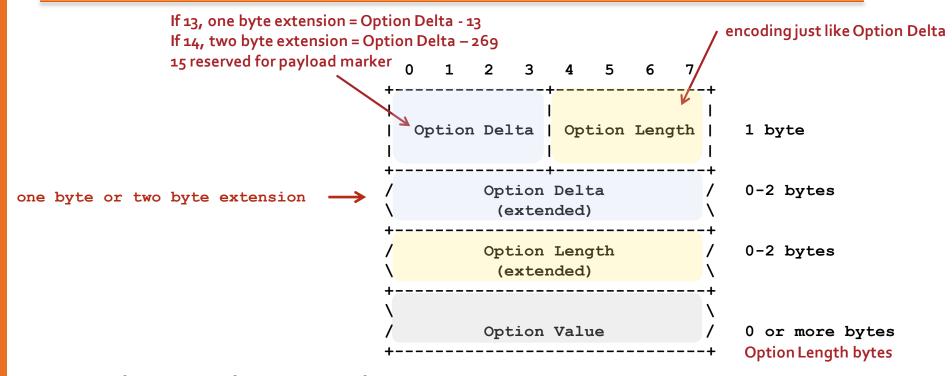
one option:

```
Option Delta | Option Length |
                                 1 byte
       Option Delta
                                 0-2 bytes
        (extended)
       Option Length
                                 0-2 bytes
        (extended)
      Option Value
                                 0 or more bytes
```

Figure 8: Option Format RFC[7252]

Obiekty Internetu Rzeczy, 2020 lato

OPTION FORMAT: NUMBER + VALUE



- each option has a number
- Figure 8: Option Format RFC[7252]
- a message may contain a sequence of options
- options are ordered according to their numbers (increasing order)
- Option Delta = no. of the current option no. of the previous one
 - for the first option, Option Delta = no of the first option

OPTION FORMAT: DECODING OPTION DELTA

- let D = Option Delta (to be determined when parsing a message)
- let d = the Option Delta field in the first byte of the option
- let e0 = the first byte of the Option Delta extended (if present)
- let e1 = the second byte of the Option Delta extended (if present)
- if d <= 12
 - D=d, e0 missing, e1 missing
- if d == 13
 - D=13+e0, e1 missing (so 13 <= D <= 268)</p>
- if d == 14
 - D=269+e0*256+e1 (so D >= 269)

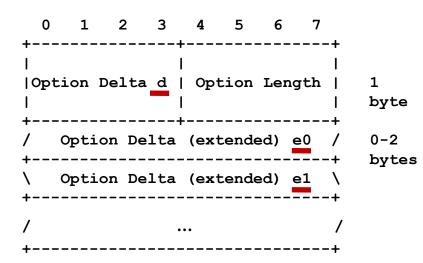
EIOT, 2020L

OPTION FORMAT: ENCODING OPTION DELTA

- let D = Option Delta (to be encoded when <u>assembling</u> a message)
- let d = the Option Delta field in the first byte of the option
- let e0 = the first byte of the Option Delta extended (if present)
- let e1 = the second byte of the Option Delta extended (if present)
- if D <= 12
 - d=D, e0 missing, e1 missing
- if 13 <= D <= 268
 - d=13, e0=D-13, e1 missing
- if D >= 269
 - d=14, e0=(D-269)/256, e1=(D-269)%256

OPTION FORMAT: OPTION DELTA EXAMPLES

D	d	e0	e1	
7	7	-	1	
13	13	0	-	
17	13	4	-	
268	13	255	-	
269	14	0	0	
270	14	0	1	
524	14	0	255	
525	14	1	0	



EIOT, 2020L

OPTIONS: ASSORTED CAVEATS

- If the <u>option value format is uint</u>, leading zero bytes should not be included in the value.
 - in particular, if the option value is zero, the value should be empty (no bytes,
 Option Length equal to zero)
- "each <u>Uri-Path Option</u> specifies one segment of the absolute path to the resource" RFC[7252]
 - one Uri-Path option per segment of the path (not the entire path)
 - for a path that includes multiple segments, a CoAP request will include multiple Uri-Path options (in the "path order")
 - the Option Delta for the second and following Uri-Path options is zero
 - we say that the Uri-Path option is repeatable (RFC[7262])

PAYLOAD

- possible payloads:
 - a resource representation
 - diagnostic payload (in case of error)
- resource representation
 - format is specified by the Internet media type given by the Content-Format
 Option
- diagnostic payload (when no Content-Format option is given)
 - the payload of responses indicating a client or server error is a brief human-readable diagnostic message, explaining the error situation

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CONTENT FORMATS (CONTENT-FORMAT OPTION)

Table 9: CoAP Content-Formats [RFC7252]

| 47 | [REC-exi-20140211]

50 | [RFC7159]

Efficient XML Interchange (binary)

application/exi

application/json

Concise Binary Object Representation

data

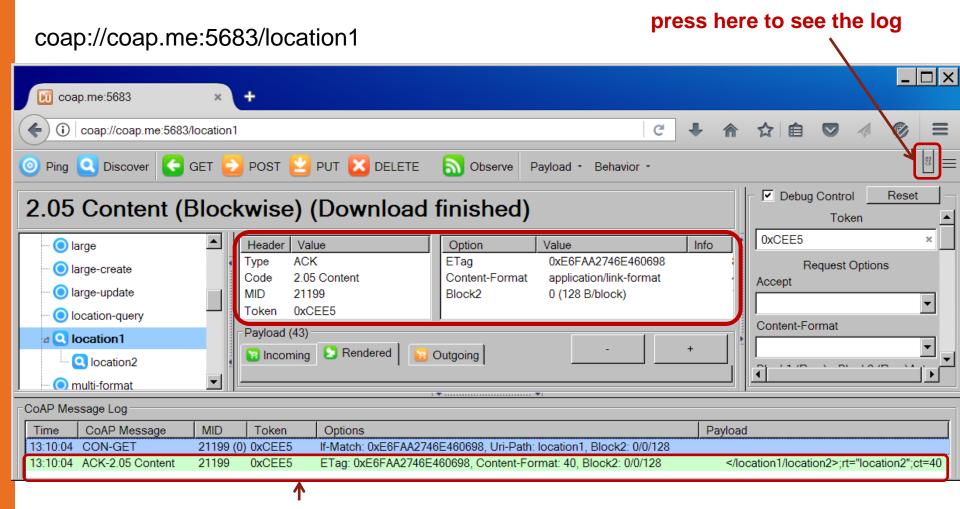
7.4. CoAP Content-Format

Media Type: application/cbor

Id: 60

Source: Concise Binary ObjectRepresentation (CBOR), [RFC7049]

PARSING EXAMPLE: MESSAGE



this is the message we are going to parse (it's a piggybacked response)

PARSING EXAMPLE: WHAT LOG SAYS

```
UDP: Received 63 bytes
PACKET (hex):
62,45,52,CF,CE,E5,48,E6,FA,A2,74,6E,46,6,98,81,28,B1,3,FF,
3C,2F,6C,6F,63,61,74,69,6F,6E,31,2F,6C,6F,63,61,74,69,6F,6
E,32,3E,3B,72,74,3D,22,6C,6F,63,61,74,69,6F,6E,32,22,3B,63
,74,3D,34,30
PARSE: Token length = 2
PARSE: Token = 0xCEE5
PARSE: Option ETag = 230,250,162,116,110,70,6,152
PARSE: Option Block2 = 3
```

PARSING EXAMPLE: HEADER, TOKEN, PAYLOAD

```
Code
     Token (if any, TKL bytes) ...
                                                                   MID=
    Options (if any) ...
                                                                   5 \times 16^3 + \frac{1}{5}
                 Payload (if any) ..
                                                                   2 \times 16^2 + \frac{1}{2}
                                                                   12x16+
                                                                           //C
          UDP: Received 63 bytes
                                                                   15=
                                                                            //F
                                             response code=
                                                                   21199
                         Ver=1 (ACK) TKL=2 2.05 (Content)
          PACKET (hex):
 4B+
          62, 45, 52, CF, header 0110 0010 0100 0101, 0101 0010, 1100 1111
 2B+
         CE, E5, token
13B+
          48, E6, FA, A2, 74, 6E, 46, 6, 98, 81, 28, B1, 3, options (next slide)
 1B+
          FF, payload marker
          3C, 2F, 6C, 6F, 63, 61, 74, 69, 6F, 6E, 31, 2F, 6C, 6F, 63, 61,
43B
          74,69,6F,6E,32,3E,3B,72,74,3D,22,6C,6F,63,61,74,
          69, 6F, 6E, 32, 22, 3B, 63, 74, 3D, 34, 30
63B
          payload:
                                                             0x30-ASCII '0'
         \rightarrow/location1/location2>:rt="location2";ct=40
```

0x3C-ASCII

PARSING EXAMPLE: OPTIONS

i	No.	+ Name +++	İ	Format	İ	Length	İ	Default	İ
•	4	ETag		opaque		•	_	(none)	1
1		 Content-Format	1	uint	1	0-2	1	(none)	1
1		 Block2	 	uint	 	0-3	 	(none)	

```
option delta
                              48
                                     option length
     option no. 0+4=4 (Etaq)
                              E6, FA, A2, 74, 6E, 46, 6, 98, option value (8B)
option delta option no. 4+8=12 (Content-F)
                              81
                                     option length
                              28
                                     option value (1B), 0x28=40 application/link-format
                 option delta
                              B1
                                     option length
option no. 12+11=23 (Block2)
                              3
                                     option value (1B), NUM/M/size= 0/0/128
                              FF
                                    payload marker – no more options
```

Note: the Block2 option is covered below.

M=0

0000

NUM=0

SZX=3, block size 2**(3+4)=128