# CSP FAST TRACK

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#### 1. Introduction

Let R be a set of relation symbols. Let A be a relational structure over R. Let X be a countable set of variables. By the **constraint satisfaction problem**  $CSP(A)^1$  we mean the following decision problem: given a finite set  $\Sigma$  of atomic formulas over R, decide whether there is an assignment  $(-)^A: X \to A$  such that  $A \models \Sigma$ ; i.e. for all  $r \in R_n$  and for all  $x, y, x_1, \ldots, x_n \in X$ 

(1) 
$$r(x_1, \dots, x_n) \in \Sigma \implies (x_1^A, \dots, x_n^A) \in r^A$$

$$(2) x \equiv y \in \Sigma \implies x^{\mathcal{A}} = y^{\mathcal{A}}$$

Clearly, it is enough to find an assignment only for those variables that appear in  $\Sigma$ .

Remark 1.1. Usually we deal with *indexed* relational structures, that is we fix a set of relation symbols R and we consider a set A with a set P of relations on A indexed by the elements of R. Sometimes is useful to deal with *non-indexed* relational structures (A, P). In this case P will serve as the index set as well. The same applies to algebraic structures (i.e. algebras) and function symbols.

**Definition 1.2.** Let  $\rho \in A^k$ , and  $\varphi : A^n \to A$ . We say that  $\varphi$  preserves  $\rho$  if given a matrix

$$\begin{bmatrix} a_1^1 & \cdots & a_n^1 \\ \vdots & \ddots & \vdots \\ a_1^k & \cdots & a_n^k \end{bmatrix}$$

with 
$$(a_1^1, ..., a_1^k) \in \rho, ..., (a_1^n, ..., a_n^k) \in \rho,$$

$$(\varphi(a_1^1,\ldots,a_n^1),\ldots,\varphi(a_1^k,\ldots,a_n^k))\in\rho$$

**Definition 1.3.** If  $\Gamma$  is a set of relations on A and  $\Phi$  is a set of operations on A we denote by

- (1)  $\operatorname{Inv}(\Phi)$  the set of relations on A that are preserved by all the elements of  $\Phi$ :
- (2)  $Pol(\Gamma)$  the set of operations on A that preserve all the elements of  $\Gamma$ .

Moreover, if **A** is an algebra and  $\mathcal{A}$  a relational structure on the same set A:

- (1) Inv(**A**) is the set of relations that are preserved by all the  $f^{\mathbf{A}}$ ;
- (2) Pol(A) is the set of operations that preserve all the  $r^A$ .

<sup>&</sup>lt;sup>1</sup>More often denoted by CSP(P).

Let **A** be an algebra. Let  $\mathcal{A} := (A, \operatorname{Inv}(\mathbf{A}))$ . By  $\operatorname{CSP}(\mathbf{A})$  we mean the decision problem  $\operatorname{CSP}(\mathcal{A})$ .

**Definition 1.4.** Let F be a set of function symbols and  $\mathbf{A}$  be an algebra over F. We denote by  $Clo(\mathbf{A})$  the smallest set containing

$$\{f^{\mathbf{A}}: f \in F\}$$
 and  $\{\pi_i^n: A^n \to A, 1 \le i \le n, n \in \omega\}$ 

and closed under composition. The elements of  $Clo(\mathbf{A})$  are called **term** operations. We say that two algebras  $\mathbf{A}$  and  $\mathbf{B}$  on the same carrier are **term equivalent** if  $Clo(\mathbf{A}) = Clo(\mathbf{B})$ .

Recall that a variety is a class of algebras closed under homomorphic images, subalgebras and products. If V is a variety, we define  $\mathrm{Clo}(\mathsf{V})$  to be  $\mathrm{Clo}(\mathbf{F}_\mathsf{V}(\omega))$ , where  $\mathbf{F}_\mathsf{V}(\omega)$  is the V-free algebra generated by a countable number of generators. When V is Set, the variety of sets,  $\mathrm{Clo}(\mathsf{Set})$  is the clone of projections  $\{\pi_i^n: 1 \leq i \leq n, n \in \omega\}$ , that we denote by  $\mathbf{N}$ .

Goal: prove

**Theorem 1.5.** Let **A** be a finite idempotent algebra. Then the following are equivalent:

- (1) CSP(**A**) is polynomial-time decidable;
- (2)  $Clo(\mathbf{A})$  contains a weak near-unanimity operation;
- (3) for every  $\mathbf{B} \in HS(\mathbf{A})$ ,  $Clo(\mathbf{B}) \neq \mathbf{N}$ .

Otherwise,  $CSP(\mathbf{A})$  is NP-complete.

## 2. Kinds of Operations

**Definition 2.1.** An operation  $\varphi: A^n \to A$  is called

(1) **essentially unary** if there is an index i and a non-constant function  $\psi$ :  $A \to A$  such that

$$\varphi(a_1,\ldots,a_n)=\psi(a_i)$$

for all  $a_1, \ldots, a_n \in A$ .

- (2) **idempotent** if  $\varphi(a, \ldots, a) = a$  for all  $a \in A$ .
- (3) a **near unanimity** operation if for all  $a, b \in A$

$$\varphi(b, a, \dots, a) = \varphi(a, b, \dots, a) = \dots = \varphi(a, \dots, a, b) = a$$

Example 2.2. A ternary near unanimity operation is called a **majority** operation. For instance the ternary function defined as

$$\delta(a, b, c) = \begin{cases} b & \text{if } b = c \\ a & \text{otherwise} \end{cases}$$

is a majority operation called dual discriminator.

#### 3

#### 3. Relational Clones

**Definition 3.1.** Let R be a set of relation symbols and A be a relational structure over R. We denote by Clo(A) the smallest set containing

$$\{r^{\mathcal{A}}: r \in R\}$$
 and  $\{\Delta^{(n)}: n \in \omega\}$ 

and closed under

(1) **permutation**: if  $\rho \in Clo(\mathcal{A})$ , then also

$$\{(a_{\sigma(1)},\ldots,a_{\sigma(n)}): \sigma \in S_n, (a_1,\ldots,a_n) \in \rho\} \in \operatorname{Clo} A$$

(2) **extension**: if  $\rho \in Clo(A)$ , then also

$$\{(a_1,\ldots,a_n,a_{n+1}):(a_1,\ldots,a_n)\in\rho,a_{n+1}\in A\}\in\operatorname{Clo}\mathcal{A}$$

(3) **truncation**: if  $\rho \in Clo(\mathcal{A})$ , then also

$$\{(a_1, \dots, a_{n-1}) : (a_1, \dots, a_{n-1}, a_n) \in \rho, \text{ for some } a_n \in A\} \in \text{Clo } A$$

(4) intersection.

Remark 3.2. Observe that  $\operatorname{Clo}(\mathcal{A})$  is given by all the relations  $\rho$  of A definable by a first-order primitive positive formula (that is, involving only conjunctions and existential quantifications). Recall that  $\rho \subseteq A^n$  is definable if there is a formula  $\varphi(x_1,\ldots,x_n)$  such that

$$\mathcal{A} \models \varphi(a_1, \dots, a_n) \iff (a_1, \dots, a_n) \in \rho$$

**Theorem 3.3** ([2]). For any pair of relational structures  $\mathcal{A} = (A, \Gamma)$  and  $\mathcal{B} = (A, H)$  such that H is finite and  $H \subseteq \text{Clo}(\mathcal{A})$ ,  $\text{CSP}(\mathcal{B})$  is polynomial-time reducible to  $\text{CSP}(\mathcal{A})$ .

*Proof.* Let  $\Sigma$  be a set of atomic formulas over H. Let  $\eta(x_1, \ldots, x_n) \in \Sigma$ . Then there is  $\varphi(x_1, \ldots, x_n)$  of the form

$$\exists y_1, \ldots, y_m \left( \gamma_1(z_1^1, \ldots, z_{n_1}^1) \wedge \cdots \wedge \gamma_k(z_1^k, \ldots, z_{n_k}^k) \right)$$

(where  $\gamma_1, \ldots, \gamma_k \in \Gamma$  and  $z_j^i \in \{x_1, \ldots, x_n, y_1, \ldots, y_m\}$ ) such that for  $a_1, \ldots, a_n \in A$ 

(3) 
$$\mathcal{B} \models \eta(a_1, \dots, a_n) \iff \mathcal{A} \models \varphi(a_1, \dots, a_n)$$

We can assume (up to renaming of variables) that  $y_1, \ldots, y_m$  do not appear in any formula of  $\Sigma$ .

Now, for each  $\eta(x_1,\ldots,x_n)\in\Sigma$  perform the following steps:

- add  $\{\gamma_1(z_1^1, \dots, z_{n_1}^1), \dots, \gamma_k(z_1^k, \dots, z_{n_k}^k)\}$  to  $\Sigma$ ;
- remove  $\eta(x_1,\ldots,x_n)$  from  $\Sigma$ .

At the the end we obtain a set of equations T over G. This is a polynomial-time reduction. By (3) it is clear that we can find an assignment  $X \to A$  such that  $\mathcal{B} \models \Sigma$  iff we can find an assignment such that  $\mathcal{A} \models T$ .

Remark 3.4. We observe that in the above result it is not necessary that A is a finite set.

**Corollary 3.5.** Let A be a relational structure and B = (A, Clo(A)). Then

- (1) CSP(A) is polynomial-time decidable iff CSP(B) is.
- (2) CSP(A) is NP-complete iff CSP(B) is.

### 4. Surjective and Idempotent Algebras

**Definition 4.1.** An algebra **A** is **surjective** if all the element of  $Clo(\mathbf{A})$  are surjective.

Remark 4.2. Let **A** be a finite algebra over F. Every element of  $Clo(\mathbf{A})$  is surjective iff every element of  $Clo_1(\mathbf{A})$  is. In this case  $Clo_1(\mathbf{A})$  is a group. It is enough to show that for every  $f \in F$ , there is  $\varphi \in Clo_1(\mathbf{A})$  such that  $f^{\mathbf{A}}\varphi = 1_{\mathbf{A}}$ . Let m := |A|. Then  $(f^{\mathbf{A}})^{m!} = 1_{\mathbf{A}}$ . Let  $n_f$  be the least n such that  $(f^{\mathbf{A}})^{n_f} = 1_{\mathbf{A}}$ . Let  $\varphi := (f^{\mathbf{A}})^{n_f-1}$ .

Let **A** be a finite algebra and let B be a subset of A. Recall that if  $\varphi: A^n \to A$ ,  $\varphi|B$  is the function  $B^n \to A$ ,  $(b_1, \ldots, b_n) \mapsto \varphi(b_1, \ldots, b_n)$ ; Let

$$\operatorname{Clo}(\mathbf{A})|B := \{\varphi|B : \varphi \in \operatorname{Clo}(\mathbf{A}) \text{ and } \varphi[B^n] \subseteq B\}$$

We denote by  $\mathbf{A}|B$  the algebra  $(B, \operatorname{Clo}(\mathbf{A})|B)$ .

**Lemma 4.3** ([2]). Let A = (A, P) be a relational structure. Let  $\varphi \in \operatorname{Pol}_1(A)$ . For  $\rho \in P_n$  let

$$\varphi(\rho) := \{ (\varphi(a_1), \dots, \varphi(a_n)) : (a_1, \dots, a_n) \in \rho \}$$

Let  $\mathfrak{B}:=(A,\varphi(P))$  where  $\varphi(P):=\{\varphi(\rho):\rho\in P\}$ . Then

- (1) CSP(A) is polynomial-time decidable iff CSP(B) is;
- (2) CSP(A) is NP-complete iff CSP(B) is.

*Proof.* We show that CSP(A) is polynomial-time equivalent to CSP(B). Let  $\Sigma$  be a finite set of atomic formulas over P. Replace every occurrence of  $\rho(x_1, \ldots, x_n)$  with  $\varphi(\rho)(x_1, \ldots, x_n)$ , obtaining a set of formulas T over  $\varphi(P)$ . Given any assignment  $(-)^B: X \to A$  such that  $B \models T$ , define  $(x)^A := (x)^B$ ; then  $A \models \Sigma$ . Indeed, given  $\rho(x_1, \ldots, x_n) \in \Sigma$ , since  $\varphi \in Pol_1(A)$ :

$$\mathcal{B} \models \varphi(\rho)(x_1, \dots, x_n) \implies (x_1^{\mathcal{B}}, \dots, x_n^{\mathcal{B}}) \in \varphi(\rho)$$

$$\implies (x_1^{\mathcal{B}}, \dots, x_n^{\mathcal{B}}) \in \rho$$

$$\implies (x_1^{\mathcal{A}}, \dots, x_n^{\mathcal{A}}) \in \rho$$

$$\implies \mathcal{A} \models \rho(x_1, \dots, x_n)$$

Conversely, given any assignment  $(-)^{\mathcal{A}}: X \to A$  such that  $\mathcal{A} \models \Sigma$ , defining  $(x)^{\mathcal{B}} := \varphi(x^{\mathcal{A}})$  is enough to have  $\mathcal{B} \models T$ . Indeed, given  $\varphi(\rho)(x_1, \ldots, x_n) \in T$ , by

definition of  $\varphi(P)$ :

$$\mathcal{A} \models \rho(x_1, \dots, x_n) \implies (x_1^{\mathcal{A}}, \dots, x_n^{\mathcal{A}}) \in \rho 
\implies (\varphi(x_1^{\mathcal{A}}), \dots, \varphi(x_n^{\mathcal{A}})) \in \varphi(\rho) 
\implies (x_1^{\mathcal{B}}, \dots, x_n^{\mathcal{B}}) \in \varphi(\rho) 
\implies \mathcal{B} \models \varphi(\rho)(x_1, \dots, x_n) \qquad \square$$

**Theorem 4.4.** Let **A** be a finite algebra. Then there is  $B \subseteq A$  such that  $\mathbf{A}|B$  is surjective and

- (1)  $CSP(\mathbf{A})$  is polynomial-time decidable iff  $CSP(\mathbf{A}|B)$  is;
- (2)  $CSP(\mathbf{A})$  is NP-complete iff  $CSP(\mathbf{A}|B)$ .

*Proof.* Assume that **A** is not surjective. Then by Remark 4.2 there is  $\psi \in \operatorname{Clo}_1(\mathbf{A})$  not surjective. Let  $\varphi \in \operatorname{Clo}_1(\mathbf{A})$  such that  $\varphi$  is not surjective and  $\varphi[A]$  has minimal cardinality. Define  $B := \varphi[A]$ . We show that  $\mathbf{A}|B$  is surjective. Let  $\psi \in \operatorname{Clo}_1(\mathbf{A}|B) = \operatorname{Clo}_1(\mathbf{A})|B$ ; if, towards a contradiction,  $\psi[B] \subset B$ , then  $\psi\varphi[A] \subset \varphi[A] \subset A$  contradicting the minimality. The last part of the statement follows immediately from Lemma 4.3.

**Definition 4.5.** Let **A** be an algebra. Let id(A) be the set of idempotent operations on A. We define  $Clo_{id}(\mathbf{A}) := Clo(\mathbf{A}) \cap id(A)$ . We say that **A** is **idempotent** of all the elements of  $Clo(\mathbf{A})$  are.

Remark 4.6. Let **A** be an algebra. Observe that an operation  $\varphi \in \text{Clo}(\mathbf{A})$  is idempotent iff it preserves the relations in  $\Delta^{(1)} = \{\{a\} : a \in A\}$ . Hence  $\text{Inv}(\text{Clo}_{\text{id}}(\mathbf{A})) = \text{Clo}(\mathcal{A})$  where  $\mathcal{A} = (A, \text{Inv}(\mathbf{A}) \cup \Delta^{(1)})$ .

**Lemma 4.7.** Let  $A = \{a_1, \ldots, a_k\}$  be a finite set. Let **A** be an algebra over F. Then the relation

(4) 
$$\sigma := \{ (\psi(a_1), \dots, \psi(a_k)) : \psi \in \operatorname{Clo}_1(\mathbf{A}) \}$$

belongs to  $Inv(\mathbf{A})$ .

*Proof.* We show that for every  $f \in F_n$  and for every matrix M

$$\begin{bmatrix} a_1^1 & \cdots & a_n^1 \\ \vdots & \ddots & \vdots \\ a_1^k & \cdots & a_n^k \end{bmatrix}$$

such that  $(a_1^1, \ldots, a_1^k) \in \sigma, \ldots, (a_1^n, \ldots, a_n^k) \in \sigma$  we have

$$(f^{\mathbf{A}}(a_1^1,\ldots,a_n^1),\ldots,f^{\mathbf{A}}(a_1^k,\ldots,a_n^k))\in\sigma$$

By hypothesis we can write M as

$$\begin{bmatrix} \psi_1(a_1) & \cdots & \psi_n(a_1) \\ \vdots & \ddots & \vdots \\ \psi_1(a_k) & \cdots & \psi_n(a_k) \end{bmatrix}$$

but then

6

$$(f^{\mathbf{A}}(a_1^1, \dots, a_n^1), \dots, f^{\mathbf{A}}(a_1^k, \dots, a_n^k))$$

$$= (f^{\mathbf{A}}(\psi_1(a_1), \dots, \psi_n(a_1)), \dots, f^{\mathbf{A}}(\psi_1(a_k), \dots, \psi_n(a_k)))$$

$$= (f^{\mathbf{A}}[\psi_1, \dots, \psi_n](a_1), \dots, f^{\mathbf{A}}[\psi_1, \dots, \psi_n](a_k))$$

and we conclude since  $f^{\mathbf{A}}[\psi_1,\ldots,\psi_n] \in \mathrm{Clo}_1(\mathbf{A})$ .

**Theorem 4.8.** Let  $\mathbf{A} = A$  be a finite surjective algebra. Let  $\mathbf{B} := (A, \operatorname{Clo}_{\operatorname{id}}(\mathbf{A}))$ . Then

- (1)  $CSP(\mathbf{A})$  is polynomial-time decidable iff  $CSP(\mathbf{B})$  is.
- (2) CSP(A) is NP-complete iff CSP(B) is.

*Proof.* Let  $A = \{a_1, \ldots, a_k\}$  and let  $\Gamma := \Delta^{(1)}$ . Let  $\mathcal{A} := (A, \operatorname{Inv}(\mathbf{A}))$  and  $\mathcal{B} := (A, \operatorname{Inv}(\mathbf{A}) \cup \Gamma)$ .

By definition and by Remark 4.6  $CSP(\mathbf{B})$  is polynomial-time equivalent to  $CSP(\mathbf{A})$  iff  $CSP(\mathcal{B})$  is polynomial-time equivalent to  $CSP(\mathcal{A})$ .

That  $\mathrm{CSP}(\mathcal{A})$  is polynomial-time reducible to  $\mathrm{CSP}(\mathcal{B})$  is obvious. Let  $\Sigma$  be a finite set of atomic formulas over  $\mathrm{Inv}(\mathbf{A}) \cup \Gamma$  and let  $\{x_1,\ldots,x_k\}$  be variables that do not appear in  $\Sigma$ . By Remark 4.2, since  $\mathbf{A}$  is surjective,  $\mathrm{Clo}_1(\mathbf{A})$  forms a group. Moreover, the relation  $\sigma$  of Lemma 4.7 belongs to  $\mathrm{Inv}(\mathbf{A})$ . Now, perform the following steps:

- replace every formula  $\gamma_i(x)$  with  $x \equiv x_i$ ;
- add the formula  $\sigma(x_1,\ldots,x_k)$ .

At the end we obtain a set of equations T over  $\text{Inv}(\mathbf{A})$ . This is a polynomial-time reduction. We finally show that we can find an assignment such that  $\mathcal{A} \models T$  iff we can find an assignment such that  $\mathcal{B} \models \Sigma$ . Let  $(-)^{\mathcal{B}} : X \to A$  be an assignment such that  $\mathcal{B} \models \Sigma$ . Consider the assignment

$$x^{\mathcal{A}} = \begin{cases} x^{\mathcal{B}} & \text{if } x \neq x_i \\ a_i & \text{if } x = x_i \end{cases}$$

Then  $(-)^{\mathcal{A}}$  is such that  $\mathcal{A} \models T$ . Conversely, assume that there is an assignment  $(-)^{\mathcal{A}}$  such that  $\mathcal{A} \models T$ . By definition of  $\sigma$ , there is  $\psi \in \text{Clo}_1(\mathbf{A})$  such that  $x_i^{\mathcal{A}} = \psi(a_i)$  for all i. Consider  $(-)'^{\mathcal{A}} := \psi^{-1} \circ (-)^{\mathcal{A}}$ . Define  $(x)^{\mathcal{B}} := (x)'^{\mathcal{A}}$ . Let  $\rho(y_1, \ldots, y_n), \gamma_i(x) \in \Sigma$ . Every relation in  $\text{Inv}(\mathbf{A})$  is invariant under  $\psi^{-1}$ , hence

$$\mathcal{A} \models \rho(y_1, \dots, y_n) \implies (y_1^{\mathcal{A}}, \dots, y_n^{\mathcal{A}}) \in \rho \qquad \qquad \mathcal{A} \models x \equiv x_i \implies x^{\mathcal{A}} = x_i^{\mathcal{A}} \\
\implies (\psi^{-1}(y_1^{\mathcal{A}}), \dots, \psi^{-1}(y_n^{\mathcal{A}})) \in \rho \qquad \qquad \implies x^{\mathcal{A}} = \psi(a_i) \\
\implies (y_1^{\mathcal{B}}, \dots, y_n^{\mathcal{B}}) \in \rho \qquad \qquad \implies \psi^{-1}(x^{\mathcal{A}}) = a_i \\
\implies \mathcal{B} \models \rho(y_1, \dots, y_n) \qquad \implies x^{\mathcal{B}} = a_i$$

Thus  $\mathfrak{B} \models \Sigma$ .

Remark 4.9. By the above result, given a finite algebra  $\mathbf{A}$ , to the purpose of the study of  $\mathrm{CSP}(\mathbf{A})$ , we can assume without loss of generality that  $\mathbf{A}$  is idempotent.

# 5. Subalgebras and Images

Theorem 5.1. Let A be a finite algebra.

- (1) if CSP(A) is polynomial-time decidable, so is CSP(B) for every  $B \leq A$ ;
- (2) if there is  $\mathbf{B} \leq \mathbf{A}$  such that  $CSP(\mathbf{B})$  is NP-complete, so is  $CSP(\mathbf{A})$ .

*Proof.* Let  $\mathcal{A} = (A, \operatorname{Inv}(\mathbf{A}))$  and  $\mathcal{B} = (A, \operatorname{Inv}(\mathbf{B}))$ . By definition  $\operatorname{CSP}(\mathbf{B})$  is polynomial-time reducible to  $\operatorname{CSP}(\mathcal{A})$  iff  $\operatorname{CSP}(\mathcal{B})$  is polynomial-time reducible to  $\operatorname{CSP}(\mathcal{A})$ . But that  $\operatorname{CSP}(\mathcal{B})$  is polynomial-time reducible to  $\operatorname{CSP}(\mathcal{A})$  is obvious since  $\operatorname{Inv}(\mathbf{B}) \subseteq \operatorname{Inv}(\mathbf{A})$ .

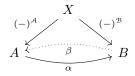
**Theorem 5.2.** Let A, B be two finite algebras of the same type.

- (1) if CSP(**A**) is polynomial-time decidable, so is CSP(**B**) for every surjective homomorphism  $\alpha : \mathbf{A} \to \mathbf{B}$ ;
- (2) if there is a surjective homomorphism  $\alpha : \mathbf{A} \to \mathbf{B}$  such that  $CSP(\mathbf{B})$  is NP-complete, so is  $CSP(\mathbf{A})$ .

*Proof.* Let  $\mathcal{B} = (B, \operatorname{Inv}(\mathbf{B}))$ . We show that there is  $\Gamma \subseteq \operatorname{Inv}(\mathbf{B})$  such that, definining  $\mathcal{A} = (A, \Gamma)$ ,  $\operatorname{CSP}(\mathcal{B})$  is polynomial-time reducible to  $\operatorname{CSP}(\mathcal{A})$ . For every  $\rho \in \operatorname{Inv}(\mathbf{B})_n$  let

$$\alpha^{-1}(\rho) := \{ (a_1, \dots, a_n) \in A^n : (\alpha(a_1), \dots, \alpha(a_n)) \in \rho \}$$

Clearly,  $\alpha^{-1}(\rho) \in \text{Inv}(\mathbf{A})_n$  and therefore, letting  $\Gamma := \{\alpha^{-1}(\rho) : \rho \in \Gamma\}$ ,  $\Gamma \subseteq \text{Inv}(\mathbf{A})$ . Let  $\Sigma$  be a set of atomic formulas over  $\text{Inv}(\mathbf{B})$ . Replace every formula  $\rho(x_1, \ldots, x_n)$  with  $\alpha^{-1}(\rho)(x_1, \ldots, x_n)$ . We obtain a set of equations T over  $\Gamma$  by a polynomial-time reduction. Let  $\beta$  be a section of  $\alpha$ .



Referring to the assignments in the picture, each defined in terms of the other so that the diagram commute, it is clear that  $\mathcal{A} \models T$  iff  $\mathcal{B} \models \Sigma$ .

**Lemma 5.3** ([2]). Let A be a relational structure. If Pol(A) contains essentially unary operations only, CSP(A) is NP-complete.

**Corollary 5.4.** Let **A** be a finite algebra. If there is  $\mathbf{B} \in HS(\mathbf{A})$  such that  $Clo(\mathbf{B}) = \mathbf{N}$ , then  $CSP(\mathbf{A})$  is NP-complete.

*Proof.* If **B** is such that  $Clo(\mathbf{B}) = \mathbf{N}$ , then  $CSP(\mathbf{B})$  is NP-complete by Lemma 5.3. We conclude using the second clusses of Theorems 5.1 and 5.2.

#### 6. Omitting Types and Complexity

Refer to the Appendices.

**Theorem 6.1.** Let **A** be a finite idempotent algebra. If  $CSP(\mathbf{A})$  is decidable in polynomial-time, then  $1 \notin typ\{\mathbf{A}\}$ .

*Proof.* Assume for the sake of contradiction that  $\mathbf{1} \in \operatorname{typ}\{\mathbf{A}\}$ . We show that  $\operatorname{CSP}(\mathbf{A})$  is NP-complete. If  $\mathbf{1} \in \operatorname{typ}\{\mathbf{A}\}$ , then there are  $\alpha, \beta \in \operatorname{Con}(\mathbf{A})$  such that  $(\alpha, \beta)$  is tame and  $\operatorname{typ}(\alpha, \beta) = \mathbf{1}$ . Let N be a  $(\alpha, \beta)$ -trace. Then  $\mathbf{M} := (\mathbf{A}||N)/(\alpha|N)$  is minimal and  $\operatorname{typ}(\mathbf{M}) = \mathbf{1}$ . Thus  $\mathbf{M}$  is polynomial equivalent to a  $\mathbf{G}$ -set for some finite group  $\mathbf{G}$ . By construction  $\mathbf{M}$  is term equivalent to a  $\mathbf{G}$ -set, and therefore, by Theorem 5.3, NP-complete.

By definition there is  $U \in \mathcal{M}_{\mathbf{A}}(\alpha, \beta)$  with  $N \subseteq U$ . By Lemma ??, there is  $\varepsilon \in \mathcal{E}(\mathbf{A})$  such that  $\varepsilon[A] = U$ . By Lemma ??,  $\mathbf{M} = ((\mathbf{A}||U)||N)/((\alpha|U)|N)$ . Consider the algebra  $\mathbf{A}' = (A, \operatorname{Clo}(\mathbf{A}) \cup \{\varepsilon\})$ . Let  $\mathbf{M}' := (\mathbf{A}'||N)/(\alpha|N)$ .

The third implication is justified as follows: since N is an  $(\alpha, \beta)$ -trace is closed under the operations of  $\mathbf{A}'$ ,

$$Clo((\mathbf{A}'||U)|N) = Clo(Pol(\mathbf{A}'|U)|N)$$

$$= Clo(Pol(\mathbf{A}'|U))$$

$$= Pol(\mathbf{A}'|U)$$

$$= Clo(\mathbf{A}'|U).$$

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