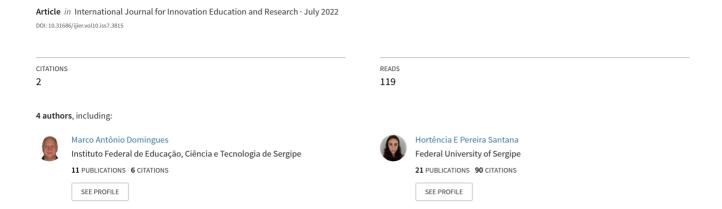
Metadata analysis of systematic literature reviews on academic spin-offs: an overview of reviews





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Systematic Literature Review on Academic Entrepreneurship by Bibliometric Metadata Analysis

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Abstract

The purpose of this article is to map the field of Academic Entrepreneurship focusing on the search for models that evaluate the viability of intellectual property as a product. The study was based on articles retrieved from the Web of Science database covering the period from 1988 to 2020, where the metadata data analysis was carried out using the RStudio software, bibliometrix package, and the web interface Biblioshiny, and a systematic review was conducted following the PRISMA protocol, Extension for Scoping Reviews. The findings revealed that the main objectives of studies on academic entrepreneurship are related to the analysis of human (training, leadership, and motivation), physical and management resources, as they are pointed out as the most necessary incentives to improve universities AE. Therefore, it was concluded that most models on AE are for the evaluation of the development of entrepreneurship in the academic environment and there is a research gap to develop models aiming at the commercialization of intellectual property.

Keywords: academic entrepreneurship, technology transfer, systematic review, metadata, bibliometrics

1. Introduction

Given the efforts of universities to improve their productivity and stimulate the capitalization of scientific knowledge, the issues of academic entrepreneurship have been the center of several theoretical and empirical research. Such importance of this subject can be perceived by the number of documents indexed in scholarly databases, only in the Web of Science database the search for "academic entrepreneurship", in all fields, results in 9526 documents. In that regard, due to the extensive literature, when it is necessary to identify, evaluate, and interpret the available papers to address a determined question on the subject, the manipulation of efficient tools to guide the systematic literature review is extremely useful. Without setting a reproducible method, with a clear protocol, the credibility of the findings can be compromised, which leads to biased and inefficient outcomes.

In recent years, health-related areas have sought to improve their review processes to become more systematic, transparent, and replicable, developing systematic review procedures that are being adopted by other areas, e.g., engineering. Those methods first include the formulation of a clear research question, the development of search strategies, description of inclusion and exclusion criteria, and a quality assessment of selected documents. Guides and protocols to conduct a systematic literature review are presented by Brereton et al (2007) e Kitchenham et al (2007; 2002) on the engineering field and by Tranfield, Denye and Smart (2003), Sampaio e Mancini (2007), Moher et al. (2009), and Page et al. (2021) on health fields.

To study the forms of measuring information and scientific knowledge, Vanti (2002) proposed to analyze the quantitative metric methods of bibliometrics, scientometrics, informatics, and webometrics under a theoretical conceptual discussion to explore and extend these concepts, while revising and discussing their main applications. The study stated some of the similarities and differences linking those subfields, showing that each one can be more adequate to obtain a reliable base

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for the different types of literature review, and reviewed their theoretical history, revealing that the term bibliometrics was first introduced in literature by Paul Otlet (1934) and popularized by Alan Pritchard (1969).

Within the application of quantitative methods, the bibliometric analysis presented by multiple authors has as objects of study: books, documents, journals, papers, authors, or users; as variables: number of circulations and citations or sentence length frequency; as methods: ranking or distribution frequency; as others (Munim et al., 2020; Roldan-Valadez et al., 2019; Teles et al., 2020; Zhang et al., 2016). In addition, can be reported Lotka's Law of author productivity (Lotka, 1926), Zipf's Law of Word Occurrence (Zipf, 1949), and Bradford's law of Scattering of Scientific papers (Brandford, 1948) and citation analyses, that comprises: Most Cited Authors, Most Productive Authors, Author Impact, Corresponding Author's Country, Author Institutional Affiliation, Most Influential Authors on the specific research field, Most Common Document Type, Mean Age of References, Obsolescence of the literature, Geographic origin of the bibliography, Institutional Affiliations of the Bibliography, Most Cited Journals, and set of journals in a specific area of knowledge.

In that context, the indicators measured by bibliometrics are best suitable for covering the purpose of the present work, which is to develop a systematic literature review searching for evidence of models that evaluate the viability of products generated from academic intellectual property. The paper proposes to use the metadata analysis to clarify or answer the question of what documents should compose the theoretical framework in a literature review on EA, besides suggesting secondary questions on AE related to main research information and its evolution over time, who is leading the research, most relevant authors and current limitations on the topic.

2. Methods

The study was carried out using the PRISMA protocol recommendation, Extension for Scoping Reviews (PRISMA-ScR), an extension of Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) (Moher et al., 2009; Page et al., 2021; A. C. Tricco et al., 2018) and bibliometric analysis followed as suggested by (Aria & Cuccurullo, 2019, p. 38) (Table 1).

Table 1. Bibliometric Analysis for Systematic Literature Reviews focused on the domain

Main data results	Level of analysis	Metrics
Time span		Bradford's law H index
Sources Types	Sources	Source dynamics
		Most relevant sources
Author Appearances		Most relevant authors
Authors of single-authored documents Authors of multi-authored documents		Annual production per author
	Authors	Loka's Law
Authors per Document	Authors	h index
Co-Authors per Documents		Most relevant affiliations
Collaboration Index		Countries
Document Types		Most cited documents
Document Contents		Cited references
References	Documents	Words: Keywords Plus®,
Documents per Author		Author Keywords,
Single-authored documents		Document Title, Abstract

Source: Adapted from (Aria & Cuccurullo, 2019).

The data used for this work was retrieved from the Core Collection of the database Web of Science (WoS), which among the databases supported in Bibliometrix provides better data quality to export to package biblioshiny in "plain text" format (Aria & Cuccurullo, 2017, p. 17). The WoS database was accessed from CAPES/MEC Journal Portal via Federal University of Sergipe, then the search was performed by employing the term "academic entrepreneurship" and selecting the field "TOPIC", which includes title, authors keywords, and Keywords Plus.

Based on the eligibility criteria defined by Tricco, Lillie, Zarin, O'Brien, Colquhoun, Levac, et al., 2018, p. 22), this study searched for documents in all sources of the database WoS, covering the whole period up to 2020 and selecting only articles published in English from scientific peer-reviewed journals in the areas of "Business economics" and "Operations research management science".

The workflow to export the data from WoS to Biblioshiny can be described in four stages: (1) refining the results by applying the search strategy to export them in the form of Plain text file; (2) completing the record of cited references with the variables necessary for analysis; (3) exportation of txt files; and (4) uploading metadata from files to biblioshiny interface.

3. Results and Discussion

As initial results, from the conducted search on academic entrepreneurship in the database Web of Science, by using the

predefined keywords and filters, it was found 882 records. For extracting the files with consolidated data, without duplicates (certified by the individual analysis of each document), the data were exported to biblioshiny for bibliometricx and filtered from 1988 to 2020, which results in 848 as bases documents. Then, the main results were synthesized into three groups: (1) Main data information; (2) Document information; (3) Author information (Table 2).

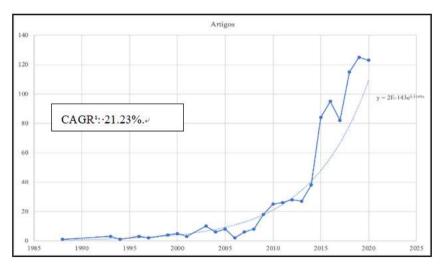
For the period covered in the research, it was observed that from 1988, the year of the first publication with the keyword AE, to the next 21 years (2008), the annual scientific production was not superior to ten per year, having had only 13 productive years and a total of 62 publications over that time. On the other hand, the following period of 9 years, from 2009 to 2017, and the last 3 consolidated years (2018-2020) ended up, respectively, with 423 and 363 published articles. For the selected period, a constant growth rate of 21.23% per year was measured by the Compound Annual Growth Rate (CAGR), while the exponential growth, which better represents the trend of the curve, is described by the expression $y = 2E-143e^{0.1649}$ (Figure 1 and Table 3).

Table 2. Main collection information from searching the keyword "academic entrepreneurship", WoS database

Information		Results
	Period	1988:2020
	Sources (journals)	228
	Articles	848
(1) Main data information	Average Years since Published	5.49
	Average Citations per Paper	31.03
	Average Citations per Year per Paper	3.079
	References	37.614
2) Document information	Articles	848
	Keywords Plus	1371
	Author's keywords	2186
2) Document information	Authors	1.911
	Authorship Appearances	2.231
	Authors of single-authored papers	149
	Authors of multiple-authored papers	1.762
(3) Author's information	Single-authored paper	162
	Multi-authored paper	0.444
	Authors per documents	2.25
	Co-authors per paper	2.63
	Collaborative Index	2.57

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix, *Dataset/Main Information about the collection*.

Figure 1. Profile and trend of annual scientific production on AE, WoS database



Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Dataset/Annual Scientific Production Compound Annual Growth Rate.

	Year	Articles	Year	Articles	Year	Articles	Year	Articles
1	1988	1	1999	4	2009	18	2018	115
2	1989		2000	5	2010	25	2019	125
3	1990		2001	3	2011	26	2020	123
4	1991		2002		2012	28		
5	1992		2003	10	2013	27		
6	1993	3	2004	6	2014	38		
7	1994	1	2005	8	2015	84		
8	1995		2006	2	2016	95		
9	1996	3	2007	6	2017	82		
10	1997	2	2008	8				
11	1998							
			Total 1	62	Total 2	423	Total	363
							Total	848

Table 3. Annual scientific production on AE, WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix, Dataset/Annual Scientific Production.

Analyzing the Average Citations Per Year present in Table 4, it is possible to observe that, together, the five articles published in 2000 have recorded the highest average of 28.72 citations per year since their publication, a clear indication that those articles should compose the theoretical framework on AE. Further, the papers from the following years were also heavily cited by other authors, for which was found an average of 13.86 in 2004, 12.75 in 2003, and 12.10 in 2005. Therefore, the outcomes revealed the beginning of the 00s as an important period for the solidification of AE knowledge. Another highlight is the only single publication of 1994 that has been cited 299 times.

Table 4. Average Citations per Year on AE, WoS database

Year	TC 1	Art ²	TC /Art	⁴ TC/Year	⁵ CY	Year	TC	TC 1	Art ²	TC/Art	⁴ TC /Year
1988	36	1	36.00	1.09	33	2005	1549	8	193.63	12.10	16
1989	0	0	0.00	0.00	32	2006	94	2	47.00	3.13	15
1990	0	0	0.00	0.00	31	2007	749	6	124.83	8.92	14
1991	0	0	0.00	0.00	30	2008	843	8	105.38	8.11	13
1992	0	0	0.00	0.00	29	2009	1743	18	96.83	8.07	12
1993	371	3	123.67	4.42	28	2010	928	25	37.12	3.37	11
1994	299	1	299.00	11.07	27	2011	2194	26	84.38	8.44	10
1995	0	0	0.00	0.00	26	2012	1122	28	40.07	4.45	9
1996	144	3	48.00	1.92	25	2013	1251	27	46.33	5.79	8
1997	268	2	134.00	5.58	24	2014	1629	38	42.87	6.12	7
1998	0	0	0.00	0.00	23	2015	1848	84	22.00	3.67	6
1999	264	4	66.00	3.00	22	2016	1574	95	16.57	3.31	5
2000	3016	5	603.20	28.72	21	2017	749	82	9.13	2.28	4
2001	458	3	152.67	7.63	20	2018	775	115	6.74	2.25	3
2002	0	0	0.00	0.00	19	2019	558	125	4.46	2.23	2
2003	2295	10	229.50	12.75	18	2020	144	123	1.17	1.17	1
2004	1414	6	235.67	13.86	17						

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix Dataset/Average Citations per Year. ¹Total Citations; Number of Articles; Mean TC/Article; ⁴Mean TC/year; ⁵Citable years.

Academic entrepreneurship has been reported in documents from different sources, such as journals, books, proceedings papers, and others, however, for this work, all the 848 documents selected were articles published in peer-reviewed journals. The 248 document sources (journals) were evaluated in terms of relevance and impact, measured by the number of publications (NP), the h-index (Hirsch, 2005), the analysis of Bradford's Law (1948), and publication growth dynamics.

The descending order of sources by the number of citations in Table 5, showed that the top 20 journals, 8.77% of the total, account for 80% of total citations, wherein the Research Policy and Journal of Business Venturing, first and second respectively, stand out with the highest h-indices and 41.91% of cumulative citations. In addition, the highest numbers of publications were 61 from Research Policy and 45 from Small Business Economics.

The 848 retrieved articles were divided into 3 groups (analyzes zones), each one containing approximately 1/3 of the documents: Zone1, with 288 articles distributed in 12 journals; Zone 2 with 283 articles distributed in 44 journals; and Zone 3, with 277 articles distributed in 177 journals. The journals in Zone 1 (Table 6) represent only 5.26% of total journals but cumulate 33.96% of the whole cited articles, therefore, according to Bradford's Law (1948), when considering the analysis of main journals on AE, zone 1 contains the most significant group of sources available on WoS.

Regarding the authors' analysis, the metrics used were: Most Relevant Authors, Most Cited Authors in the field of AE,

Authors' Production Per Year, Lotka's law, Author Impact (h-index), Most Relevant Affiliations, and Corresponding Author's Country. In terms of productivity, the Most Relevant Authors were: Wright with 10 articles, Meoli and Vismara with 8 articles, and Braunerhjelm with 7 articles (Table 7); while the Most Cited Authors were: Wright with 244 citations, Siegel with 110 citations, and Grimaldi with 100 citations (Table 8).

Table 5. Classification of articles' sources on academic entrepreneurship, WoS database

	Source	h_index	g_index	m_index	TC 1	NP 2	PY_start ³	TC_{c}^{4}	Par_c ⁵
1	Research Policy	39	61	2.05263	5970	61	2003	5970	22.69%
2	Journal of Business Venturing	22	25	0.75862	5059	25	1993	11029	41.91%
3	Small Business Economics	22	43	0.84615	1886	45	1996	12915	49.08%
4	Entrepreneurship Theory and Practice	11	15	0.64706	1146	15	2005	14061	53.43%
5	Academy of Management Journal	5	5	0.22727	902	5	2000	14963	56.86%
6	R & D Management	10	11	0.29412	822	11	1988	15785	59.98%
7	International Entrepreneurship and Management Journal	11	25	0.91667	639	26	2010	16424	62.41%
8	Strategic Entrepreneurship Journal	5	8	0.38462	621	8	2009	17045	64.77%
9	Strategic Management Journal	2	2	0.11111	607	2	2004	17652	67.08%
10	Organization Science	5	6	0.35714	463	6	2008	18115	68.84%
11	International Small Business Journal-Researching Entrepreneurship	13	21	0.92857	453	21	2008	18568	70.56%
12	Journal of Business Research	8	16	0.47059	432	16	2005	19000	72.20%
13	Industrial and Corporate Change	8	11	0.61538	386	11	2009	19386	73.67%
14	Management Decision	6	19	0.50000	362	19	2010	19748	75.04%
15	Journal of Small Business Management	7	7	0.53846	<i>308</i>	7	2009	20056	76.22%
16	International Business Review	5	7	0.35714	289	7	2008	20345	77.31%
<i>17</i>	California Management Review	2	2	0.25000	266	2	2014	20611	78.32%
18	British Journal of Management	4	4	0.57143	243	4	2015	20854	79.25%
19	Family Business Review	2	2	0.15385	218	2	2009	21072	80.08%
20	European Management Journal	4	6	0.33333	214	6	2010	21286	80.89%
		T 1 /	1 1 1	23.7	C .1	C* .	1.11	4 🔿	1

Source: Elaborated by the authors. ¹Total Citations; ²Number of publications; ³Year of the first publication; ⁴ Cumulative Total Citations; ⁵ Cumulative participations

Table 6. Sources (peer-reviews journals) aggregated to Zone 1, according to Bradford's Law for AE articles, WoS database

Sources	Classification	Freq	CFreq
Research Policy	1	61	61
Small Business Economics	2	45	106
International Entrepreneurship and Management Journal	3	26	132
Journal of Business Venturing	4	25	157
International Small Business Journal-Researching Entrepreneurship	5	21	178
Management Decision	6	19	197
International Journal of Entrepreneurial Behavior & Research	7	18	215
Jour. of Enterprising Communities-People and Places in The Global Economy	8	17	232
Journal of Business Research	9	16	248
Entrepreneurship Theory and Practice	10	15	263
Journal of International Entrepreneurship	11	13	276
Entrepreneurship Research Journal	12	12	288

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix, Sources/Bradford's Law ¹ Frequency; ²Cumulative frequency.

Table 7. Authors with the highest production of articles on AE up to 2020, WoS database

	Authors	Art 1	Art.F ²		Authors	Art	Art.F
1	Wright M	10	3.50	10	Cunningham JA	5	1.83
2	Meoli M	8	2.75	11	Czarnitzki D	5	1.87
3	Vismara S	8	2.92	12	Grimaldi R	5	1.67
4	Braunerhjelm P	7	2.50	13	Guerrero M	5	1.70
5	Fini R	6	1.92	14	Klofsten M	5	1.78
6	Link An	6	2.50	15	Rasmussen E	5	2.33
7	Urbano D	6	2.03	16	Siegel DS	5	1.58
8	Buenstorf G	5	3.50	17	Toole Aa	5	1.87
9	Carlsson B	5	2.42				

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix, Authors/Most Relevant Authors.

Number of articles; Fractionated articles represent the average participation of other authors in articles authorship; for single author paper = 1.

Table 8. Most Local Cited Authors on AE up to 2020, WoS database

Position	Author	Citations	Position	Author	Citations
1	Wright M	244	11	Rasmussen E	58
2	Siegel DS	110	12	Fini R	58
3	Grimaldi R	100	13	Shane S	57
4	Kenney M	95	14	Guerrero M	57
5	Klofsten M	74	15	Etzkowitz H	56
6	Feldman M	72	16	Tartari V	52
7	Bercovitz J	72	17	Jones-Evans D	52
8	Mosey S	66	18	Carsrud AL	51
9	Mcdougall PP	66	19	Reilly MD	49
10	Urbano D	58	20	Krueger NF	49

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix,

Authors/Most Local Cited Authors.

When analyzing the authors' production over time, it was noticed that Wright besides being one of the first authors to address AE, has also been demonstrated as one of the most constant producers (Figure 2): Clarysse et al., 2007; Estrin & Wright, 1999; Fini et al., 2019; Fryges & Wright, 2014; Grimaldi et al., 2011; Huyghe et al., 2016; Knockaert et al., 2011; Lockett et al., 2003; Lockett & Wright, 2005; Markman et al., 2008; Mosey & Wright, 2007; Mustar & Wright, 2010; Rasmussen et al., 2014; Rasmussen & Wright, 2015; Siegel & Wright, 2015; Wennberg et al., 2011; Wright, 2017; Wright et al., 2007. Other consistent authors are Klofsten, that started his production in 1999, the same year as Wright, and is: de Cleyn et al., 2015; Etzkowitz & Klofsten, 2005; Guerrero et al., 2016; Jones-Evans et al., 1999; Klofsten & Jones-Evans, 2000), and Grimaldi, that started his production four years later, in 2003 (Fini et al., 2009, 2011, 2020; Greco et al., 2013; Grimaldi et al., 2011; Grimaldi & Grandi, 2001; Kochenkova et al., 2016; Nosella & Grimaldi, 2009; Perkmann et al., 2013; Villani et al., 2018).

To examine author productivity and publications, Lotka (1926) developed the mathematical relation $y=C/x^n$, named Lotka's law, where: x is the number of publications, y is the relative frequency of authors with x publications, and n and C are constants. From its generalized form, where n is approximately 2, the law is known as the 'inverse square law of scientific productivity' and means that nearly 60% of all contributors will make only a single publication.

In the 848 selected records, there was the occurrence of 1911 authors who appeared 2231 times in the collection. The empirical productivity patterns of authors predicted by Lotka's law obtained fitted values of C=1408.8 and n=3.334 and equation y=1408,8x^{-3,334}, with correlation coefficient R2 of 0.9738. Hence, the frequency distribution was ranked from 1708 authors (about 89.38%) making one contribution in the field to a single author credited in 10 articles. On the basis of Lotka's inverse square law with C=1708 and n=2, was found that 2647 authors appeared 5003 times, wherein the theoretical frequency distribution ranged from 1708 authors (64.53% of participation contraction) making one contribution to 1708 authors credited in 10 articles. Therefore, the difference between empirical and generated data indicates different values of n when applying Lotka's Law (Figure 3 and Table 9). The 15 authors who wrote 5 or more articles have cumulative participation of 0.89% in the collection, whereas when calculated by Lotka's law the most relevant authors represent 8.14%, being then considered as the most important authors for this study.

The author-level academic impact can be measured by the citations metric of published articles calculating the h-, g-, and m- indices, as they reflect the contribution and recognition of the author within the academic community. The h-index was proposed by Hisch (2005) to quantify the author's scientific production, an author has index h when h of the total number of papers published (Np) have at least h citations each and the others (Np -h) papers have a number of citations \ll h each. The g-index was designed by Leo Egghe (2006) aiming to improve the h-index to measure the performance of a series of articles. Based on the number of citations ranked in descending order of numbers of citations that have been received, the g-index is the highest number such that the top of g articles received together at least g2 citations. Considering the citation scores of the main articles is possible to produce a better distinction within the order of the scientists from the point of view of visibility. The m-index, also proposed by Hirsch (2005), known as m-quotient, is defined by the linear correlation h \approx mn or m = h/n, where h is the h-index and n is the number of years since the author's first publication, and its useful to compare the authors' production from different periods.

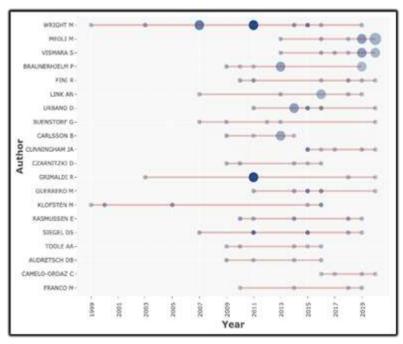


Figure 2. Top-Authors' Production on AE over Time, WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Authors/Top- Authors' Production over Time.

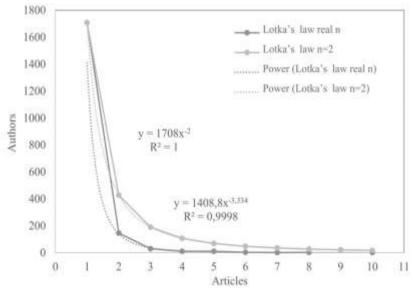


Figure 3. Frequency distribution of scientific productivity based on the AE collection from WoS (n real) and by Lotka's Law (theorical n=2)

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Authors/Author Productivity through Lotka's Law.

2231

Total

Values obta	ined for the co	ollection			Values ca	Values calculated by Lotka's Law			
No. of articles	No. of authors	Total No. of articles	Contr. 1%	Cum. 2%	No. of authors	Total No. of articles	Contr. %	Cum. %	
1	1708	1708	89.38%	100.00%	1708.0	1708.0	64.53%	100.00%	
2	146	292	7.64%	10.62%	427.0	854.0	16.13%	35.47%	
3	30	90	1.57%	2.98%	189.8	569.3	7.17%	19.34%	
4	10	40	0.52%	1.41%	106.8	427.0	4.03%	12.17%	
5	10	50	0.52%	0.89%	68.3	341.6	2.58%	8.14%	
6	3	18	0.16%	0.37%	47.4	284.7	1.79%	5.56%	
7	1	7	0.05%	0.21%	34.9	244.0	1.32%	3.77%	
8	2	16	0.10%	0.16%	26.7	213.5	1.01%	2.45%	
9				0.05%	21.1	189.8	0.80%	1.44%	
10	1	10	0.05%	0.05%	17.1	170.8	0.65%	0.65%	

Table 9. The frequency distribution of scientific productivity: comparison of values obtained from the collection and by Lotka's Law for articles on AE, WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. *Authors*/Author Productivity through Lotka's Law. ¹Contribution percentage; Cumulative percentage.

2647

5003

100.00%

100.00%

In the studied collection, the authors were ranked first by h-index, then by g- and m- indices (Table 10). The highest h index of h = 10 was found for the author Wright, whereas all your 10 articles had been cited more than 10 times each, followed by Vismara, Meoli e Link, h = 6, Urbano, Fini, Rasmussen, Siegel, e Klofsten, h = 5. Given the most relevant authors, Wright, Vismara, Meoli, Link, Urbano, and Fini R, it was observed that, except for Wright, they do not belong to the oldest contributors.

Regarding the affiliation institutions, the most relevant were: Univ Beira Interior with 19 articles, Univ Bergamo with 18 articles, Katholieke Univ Leuven with 15 articles, Indiana Univ with 14 articles, and Linkoping Univ with 14 articles (Figure 4). The country relevance was measured by the total of published articles by authors affiliated with that country, considering both Single Country Publication (SCP) when researchers' affiliations were from the same country, and Multiple Countries Publication (MCP) when researchers from multiple countries are collaborating. The top five countries ranked by the number of publications were: USA, 159 articles, United Kingdom, 88 articles, Italy, 70 articles, and Sapin, 55 articles.

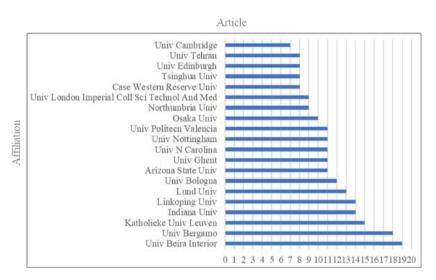


Figure 4. Most Relevant Affiliations of Authors on AE, WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Authors/Most Relevant Affiliations.

Table 10. Authors on AE articles ranked based on Author Local Impact, WoS database

CL^{1}	Author	h_index	g_index	m_index	TC ²	<i>NP</i> ³	PY_start ⁴
1	Wright M	10	10	0.435	1545	10	1999
2	Vismara S	6	8	0.667	168	8	2013
3	Meoli M	6	8	0.667	145	8	2013
4	Link A N	6	6	0.400	145	6	2007
5	Urbano D	5	6	0.455	466	6	2011
6	Fini R	5	6	0.417	322	6	2010
7	Rasmussen E	5	5	0.417	370	5	2010
8	Siegel D S	5	5	0.333	702	5	2007
9	Klofsten M	5	5	0.217	740	5	1999
10	Braunerhjelm P	4	7	0.308	231	7	2009
11	Guerrero M	4	5	0.364	425	5	2011
12	Czarnitzki D	4	5	0.308	160	5	2009
13	Toole A A	4	5	0.308	160	5	2009
14	Buenstorf G	4	5	0.267	161	5	2007
15	Grimaldi R	4	5	0.211	624	5	2003
16	Ramadani V	4	4	0.571	41	4	2015
17	Tartari V	4	4	0.364	376	4	2011
18	Franco M	4	4	0.333	40	4	2010
19	Audretsch D B	4	4	0.308	188	4	2009
20	Cunningham J A	3	5	0.429	191	5	2015

Authors/Author Local Impact. ¹Classification; ²Total Citations; ³Number of publications; ⁴ Year of the first publication.

The MCP ratios, which means the MCP proportion of the total number of publications, were determined to evaluate the collaboration between countries. Among the top 20 productive countries, France, Netherlands, Denmark, Australia, and Canada, showed the highest degree of international collaboration, while Brazil, India, Iran, Spain e South Africa were identified with little inter-country collaboration (Table 11 and Figure 5). The country's scientific production, presented in Table 12, showed that the major numbers of authors with published articles on AE come from the *USA*, *UK*, *Italy*, *Sweden*, *and Spain*, representing 70.9% of total citations (Table 13).

Table 11. Authors' collaboration in the publication of articles on AE, WoS database

		1	<u> </u>			
	Country	Articles	Frequency	SCP 1	MCP ²	MCP_Ratio
1	USA	159	0.18817	121	38	0.2390
2	United Kingdom	88	0.10414	50	38	0.4318
3	Italy	70	0.08284	45	25	0.3571
4	Spain	55	0.06509	45	10	0.1818
5	Germany	41	0.04852	29	12	0.2927
6	Sweden	34	0.04024	23	11	0.3235
7	China	27	0.03195	18	9	0.3333
8	Canada	25	0.02959	13	12	0.4800
9	Australia	21	0.02485	9	12	0.5714
10	Poland	20	0.02367	15	5	0.2500
11	Brazil	19	0.02249	18	1	0.0526
12	France	18	0.02130	6	12	0.6667
13	Portugal	18	0.02130	14	4	0.2222
14	India	17	0.02012	16	1	0.0588
15	Netherlands	13	0.01538	5	8	0.6154
16	South Africa	11	0.01302	9	2	0.1818
17	Denmark	10	0.01183	4	6	0.6000
18	Finland	10	0.01183	6	4	0.4000
19	Iran	10	0.01183	9	1	0.1000
20	Ireland	10	0.01183	6	4	0.4000

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. *Authors/Corresponding Author's Country* ¹Single Country Publication; ²Multiple Country Publication.

Table 12. Countr	y Scientific	production on A	.E, WoS database	,

	Region	Freq 1		Region	Freq		Region	Freq		Region	Freq
1	USA	359	21	Denmark	17	41	Pakistan	7	61	Cambodia	2
2	UK	212	22	South Africa	17	42	Nigeria	6	62	Ecuador	2
3	Italy	160	23	Finland	16	43	Slovakia	6	63	Ethiopia	2
4	Spain	121	24	Austria	15	44	Vietnam	6	64	Ghana	2
5	Germany	100	25	Malaysia	15	45	Bangladesh	5	65	Yemen	2
6	Sweden	89	26	Romania	14	46	Macedonia	5	66	Argentina	1
7	Canada	65	27	Colombia	13	47	Singapore	5	67	Bulgaria	1
8	China	58	28	Switzerland	13	48	Slovenia	5	68	Costa rica	1
9	Portugal	57	29	Mexico	12	49	Croatia	4	69	Georgia	1
10	France	43	30	Russia	11	50	Hungary	4	70	Jordan	1
11	Belgium	41	31	Ukraine	11	51	Lithuania	4	71	Kazakhstan	1
12	Brazil	40	32	Greece	10	52	Saudi Arabia	4	72	Kosovo	1
13	Australia	37	33	Israel	10	53	Serbia	4	73	Kuwait	1
14	India	33	34	South Korea	10	54	Thailand	4	74	Latvia	1
15	Netherlands	32	35	Turkey	10	55	Belarus	3	75	Luxembourg	1
16	Ireland	28	36	New zealand	9	56	Cyprus	3	76	Malta	1
17	Poland	28	37	Indonesia	8	57	Estonia	3	77	Oman	1
18	Iran	24	38	Chile	7	58	Lebanon	3	78	Zambia	1
19	Japan	23	39	Czech republic	7	59	Liechtenstein	3	79	Não identificado	1
20	Norway	18	40	Egypt	7	60	Morocco	3			
	•			~ .						Total	1911

Authors/ Country Scientific Production. 1Frequency.

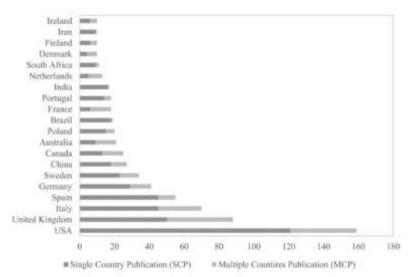


Figure 5. Number of articles on AE published as Single Country Publication and Multiple Countries Publication , WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. *Authors/Corresponding Author's Country*.

Mapping the top best-cited publications, in terms of global citations, 6 from the 848 articles selected had over 500 citations: Competing models of entrepreneurial intentions (Krueger et al., 2000), International entrepreneurship: the intersection of two research paths (McDougall & Oviatt, 2000), Research groups as 'quasi-firms': The invention of the entrepreneurial university (Etzkowitz, 2003), Research in social entrepreneurship: past contributions and future opportunities, Science as a map in technological search (Fleming & Sorenson, 2004) The chronology and intellectual trajectory of American entrepreneurship education: 1876–1999 (Katz, 2003) (Table 14).

Table 13. Most Cited Countries on AE, WoS database

-	Country	TC ¹	AAC 2	Part. 3		Country	TC	AAC	Part.
1	USA	11106	69.8	42.7%	21	Malaysia	93	9.3	0.4%
2	UK	3238	36.8	12.4%	22	Poland	82	4.1	0.3%
3	Italy	1634	23.3	6.3%	23	Liechtenstein	64	64.0	0.2%
4	Sweden	1268	37.3	4.9%	24	India	61	3.6	0.2%
5	Spain	1203	21.9	4.6%	25	Brazil	59	3.1	0.2%
6	Canada	1160	46.4	4.5%	26	Switzerland	56	9.3	0.2%
7	Germany	1000	24.4	3.8%	27	Romania	52	5.8	0.2%
8	Ireland	740	74.0	2.8%	28	Korea	42	8.4	0.2%
9	Norway	728	104.0	2.8%	29	Colombia	40	8.0	0.2%
10	Belgium	571	71.4	2.2%	30	South Africa	38	3.5	0.1%
11	Australia	533	25.4	2.0%	31	Georgia	33	16.5	0.1%
12	France	300	16.7	1.2%	32	Greece	32	8.0	0.1%
13	Finland	299	29.9	1.1%	33	Iran	30	3.0	0.1%
14	Portugal	274	15.2	1.1%	34	Pakistan	27	5.4	0.1%
15	Israel	201	33.5	0.8%	35	Malta	26	26.0	0.1%
16	Netherlands	196	15.1	0.8%	36	Belarus	25	25.0	0.1%
17	Denmark	155	15.5	0.6%	37	Egypt	25	5.0	0.1%
18	China	148	5.5	0.6%	38	Macedonia	25	8.3	0.1%
19	Chile	114	22.8	0.4%	39	Austria	23	3.3	0.1%
20	New Zealand	109	27.3	0.4%	40	Czech Republic	22	4.4	0.1%

Authors/Most Cited Countries. ¹Total citations; ²Average Article Citations; ³% of participation.

Table 14. Most Global Cited Documents on AE, WoS database

	Paper	TC 1	TC per Year	Normalized TC
1	Krueger N F., 2000. J Bus Venturing	1765	80.227	2.9261
2	Mcdougall P P., 2000. Acad Manage J	773	35.136	1.2815
3	Etzkowitz H., 2003. Res Policy	685	36.053	2.9847
4	Short J. C., 2009. Strateg Entrep J	542	41.692	5.5972
5	Fleming L., 2004. Strategic Manage J	533	29.611	2.2617
6	Katz J. A., 2003. J Bus Venturing	501	26.368	2.1830
7	Martin B. C., 2013 . J Bus Venturing	409	45.444	8.8273
8	Bercovitz J., 2008. Organ Sci	404	28.857	3.8339
9	Gulbrandsen M., 2005. Res Policy	381	22.412	1.9677
10	Grimaldi R., 2011. Res Policy	368	33.455	4.3610
11	Powers J B., 2005. J Bus Venturing	319	18.765	1.6475
12	Linan F., 2015. Int Entrep Manag J	310	44.286	14.0909
13	George G., 2011. Entrep Theory Pract	308	28.000	3.6500
14	Robinson P B., 1994. J Bus Venturing	299	10.679	1.0000
15	Klofsten M., 2000. Small Bus Econ	284	12.909	0.4708
16	Jain S., 2009. Res Policy	280	21.538	2.8916
17	Mosey S., 2007. Entrep Theory Pract	277	18.467	2.2190
18	Etzkowitz H., 2005. R&D Manage	259	15.235	1.3376
19	Goldfarb B., 2003. Res Policy	258	13.579	1.1242
20	Crane A., 2014. Calif Manage Rev	254	31.750	5.9251

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. *Documents/Most Global Cited Documents*. ¹Total Citations.

As in terms of local citations in the collection, the highest scores, with over 40 citations were from Academic entrepreneurs: organizational change at the individual level (Bercovitz & Feldman, 2008), 30 years after Bayh-Dole: reassessing academic entrepreneurship (Grimaldi et al., 2011), Competing models of entrepreneurial intentions (Krueger et al., 2000), Comparing Academic Entrepreneurship in Europe - The Case of Sweden and Ireland (Klofsten & Jones-Evans, 2000) Research groups as 'quasi-firms': the invention of the entrepreneurial university (Etzkowitz, 2003), University start-up formation and technology licensing with firms that go public: a resource-based view of academic entrepreneurship (Powers & McDougall, 2005), From human capital to social capital: a longitudinal study of technology-based academic entrepreneurs (Mosey & Wright, 2007), Academic entrepreneurship: time for a rethink? (Siegel & Wright, 2015) (Table 15).

Table 15. Most Cited Articles on AE collection, WoS database

	Paper	Year	LC	GC	LC/GC (%)	NLC ¹	NGC ²
1	Bercovitz J., 2008. Organ Sci	2008	72	404	17.82	6.19	3.83
2	Grimaldi R., 2011. Res Policy	2011	60	368	16.30	5.84	4.36
3	Krueger N F., 2000. J Bus Venturing	2000	49	1765	2.78	2.09	2.93
4	Klofsten M., 2000. Small Bus Econ	2000	49	284	17.25	2.09	0.47
5	Etzkowitz H., 2003. Res Policy	2003	48	685	7.01	2.61	2.98
6	Powers J B., 2005. J Bus Venturing	2005	47	319	14.73	4.18	1.65
7	Mosey S., 2007. Entrep Theory Pract	2007	44	277	15.88	3.07	2.22
8	Siegel D S., 2015. Brit J Manage	2015	44	184	23.91	31.06	8.36
9	Clarysse B., 2011. Res Policy	2011	39	179	21.79	3.80	2.12
10	Lockett A., 2003. Small Bus Econ	2003	37	240	15.42	2.01	1.05
11	Jain S., 2009. Res Policy	2009	37	280	13.21	4.90	2.89
12	Kenney M., 2004. Res Policy	2004	35	192	18.23	2.19	0.81
13	Haeussler C., 2011. Res Policy	2011	32	137	23.36	3.12	1.62
14	Murray F., 2004. Res Policy	2004	30	247	12.15	1.88	1.05
15	Abreu M., 2013. Res Policy	2013	30	169	17.75	9.53	3.65
16	Fini R., 2011. Res Policy	2011	29	149	19.46	2.82	1.77
17	Gulbrandsen M., 2005. Res Policy	2005	28	381	7.35	2.49	1.97
18	Wennberg K., 2011. Res Policy	2011	28	153	18.30	2.73	1.81
19	Krabel S., 2009. Res Policy	2009	25	125	20.00	3.31	1.29
20	Shane S., 2004. J Bus Venturing	2004	23	203	11.33	1.44	0.86

Documents/Most Local Cited Documents. Local Citation: Global Citation.

With 37588 references identified over the 848 records imported from WoS, 8 references stood out with 60 or more citations: A general theory of entrepreneurship: the individual-opportunity nexus (Shane, 2003), University entrepreneurship: a taxonomy of the literature (Rothaermel et al., 2007), The promise of entrepreneurship as a field of research (Shane & Venkataraman, 2000), Why do some universities generate more start-ups than others? (di Gregorio & Shane, 2003), Academic entrepreneurs: organizational change at the individual level (Bercovitz & Feldman, 2008), Entrepreneurial orientation, technology transfer and spinoff performance of U. S. universities (O'Shea et al., 2005), Resources, capabilities, risk capital, and the creation of university spin-out companies (Lockett & Wright, 2005), 30 years after Bayh-Dole: reassessing academic entrepreneurship (Grimaldi et al., 2011) (Table 16).

Table 16. Most Local Cited References on AE collection, WoS database

	Cited References	Citations	Cited References	Citations
1	Shane, 2003	109	11 Stuart & Ding, 2006	56
2	Rothaermel et al., 2007	102	12 Vohora et al., 2004	54
3	Shane & Venkataraman, 2000	81	13 Etzkowitz et al., 2000	53
4	di Gregorio & Shane, 2003	79	14 Ajzen, 1991	51
5	Bercovitz & Feldman, 2008	72	15 Siegel et al., 2003	50
6	O'Shea et al., 2005	66	16 Klofsten & Jones-Evans, 2000	49
7	Lockett & Wright, 2005	63	17 Krueger et al., 2000	49
8	Grimaldi et al., 2011	60	18 Lumpkin & Dess, 1996	49
9	Perkmann et al., 2013	59	19 Etzkowitz, 2003	48
10	Eisenhardt, 1989	58	20 Jensen & Thursby, 2001	47

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. *Documents/Most Local Cited References*.

Concerning the Most Frequent Words, it was noted that using the keyword 'academic entrepreneurship' in the basic search on the field 'Title, Abstracts, Author's keywords and Keywords Plus®', there was a single occurrence in the abstract and no occurrence in titles. The 10 most frequent words in *Author's Keywords* were: *entrepreneurship, academic entrepreneurship, innovation, technology transfer, social entrepreneurship, entrepreneurship education, entrepreneurial university, university, higher education, entrepreneurial orientation, and entrepreneurship, which the first and second ones appeared 176 and 135 times, respectively (Table 17).* In the Keywords Plus® field, the 10 Most Frequent Words were *performance, entrepreneurship, innovation, knowledge, impact, firms, Science, technology-transfer, university, and academic entrepreneurship,* which AE appeared in the 10 °position with 58 times. Therefore, the analysis indicates that AE is part of a major subject, entrepreneurship, and is often associated with performance, innovation, technology transfer, knowledge, university, education, and others (Figure 6). In addition, it should be pointed the KeyWords Plus®: management (55), model (51) and commercialization (49), as words references used to analyze the results of this work.

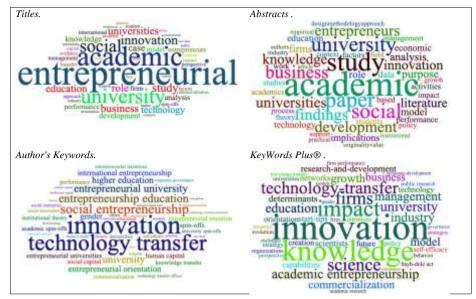


Figure 6. Top words in Titles, Abstracts, Author's Keywords, and KeyWords Plus®, represented by Word Cloud, WoS database

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Documents/ Word Cloud.

Table 17. Most Frequent Words in the AE literature over the years, WoS database

N	Author's keywords	Oc. 1	N	KeyWords Plus®	Oc.
1	entrepreneurship	176	1	performance	195
2	academic entrepreneurship	135	2	entrepreneurship	166
3	innovation	58	3	innovation	156
4	technology transfer	50	4	knowledge	127
5	social entrepreneurship	30	5	impact	98
6	entrepreneurship education	26	6	firms	77
7	entrepreneurial university	24	7	science	77
8	university	23	8	technology-transfer	74
9	higher education	20	9	university	61
10	entrepreneurial orientation	19	10	academic entrepreneurship	58
11	gender	18	11	education	57
12	international entrepreneurship	17	12	industry	57
13	entrepreneurial universities	15	13	management	55
14	human capital	15	14	growth	52
15	spin-offs	15	15	model	51
16	entrepreneurial intention	14	16	business	50
17	social capital	14	17	commercialization	49
18	academic spin-offs	13	18	determinants	40
19	institutional theory	13	19	research-and-development	38
20	knowledge transfer	13	20	scientists	38

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix.

Documents/Most Frequent Words/Author's Keywords. ¹Occurrences.

The investigation of dynamics in the use of words related to AE, within the retrieved articles by using the keyword academic entrepreneurship, allowed us to link the frequency of words and the discussion development over time. The word *management* was first used in 2005 and has two peak moments, in articles produced in 2015 and 2019; the words model or models first appeared in 2004 and had a maximum peak in 2019, although they were still high in 2020 productions; the word *commercialization*, that was early used in 2010 and keep growing ever since, reaching 9 articles in 2020 (Figure 7). Therefore, could be identified the recent discussion on AE and issues related to management models and their commercialization.

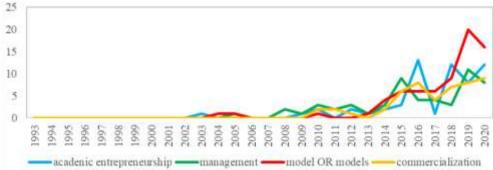


Figure 7. Frequency of words associated with AE, WoS database

Source: Elaborado pelo autor. dados gerados no biblioshiny for bibliometrix. Documents/Word Dynamics/Field – Keywords Plus

In the investigation of the tendency of the most discussed topics in the last 10 years (2011-2010), it was detected as the most used keywords by the authors, minimum frequency of 5 occurrences: start-up and academic spin-offs in 2020, academic spin-offs in 2017, academic entrepreneurship and spin-offs in 2016, commercialization in 2014 (Table 18).

Table 18. Trend Topics in the Academic entrepreneurship literature over the years, WoS database

Item	Freq	Year	Item	Freq	Year
patenting	7	2011	spin-offs	15	2016
entrepreneurialism	7	2012	entrepreneurship	176	2017
licensing	6	2012	academic spin-offs	13	2017
university-industry relations	6	2012	education	11	2017
biotechnology	7	2013	smes	11	2017
intellectual property rights	5	2013	innovation	58	2018
commercialization	12	2014	social entrepreneurship	30	2018
corporate governance	10	2014	entrepreneurship education	26	2018
born globals	6	2014	entrepreneurial orientation	19	2019
technology transfer	50	2015	knowledge transfer	13	2019
human capital	15	2015	performance	13	2019
r&d	6	2015	start-ups	8	2020
academic entrepreneurship	135	2016	entrepreneurial education	6	2020
international entrepreneurship	17	2016	academic spinoffs	5	2020
entrepreneurial universities	15	2016	knowledge translation	5	2020

Source: Elaborated by the authors from data generated by biblioshiny for bibliometrix. Documents/ *Trend Topics/Field – Keywords Plus*.

A set of studies were selected according to the described by Tricco (2018, p. 2), evaluated for eligibility, and included in the review, which the reasons for exclusions at each stage are illustrated as a flowchart in Figure 8. The aim of the 112 articles was examined considering the data extracted from the fields: AU-Authors, TI-Document Title, DE-Author Keywords, ID-Keywords Plus®, AB-Abstract, PY-Year Published. Then, among them, it was identified models that deal with the development of entrepreneurship in the academic environment, whereas no models for evaluating intellectual property as products to be commercialized were found. Else, it was noted objectives related to the role of resources (physical, human and managerial) available at the university to collaborate with the development of entrepreneurship activities, the role of technology transfer offices, the training of professors, and the commercialization capacity necessary to generate economic resources for both university and professors. The results were aggregated by the contribution of each author in the comprehension of the themes: (1) model development, (2) management, and (3) commercialization of intellectual property (Table 19).

4. Conclusion

Through this literature review, within the documents available on *Web of Science* database and covering the whole period up to 2020, it was possible to verify that the main objectives of the selected studies on academic entrepreneurship are related to the analysis of resources, human (training, leadership, and motivation), physical and management.

The models found in the scientific articles were mainly related to the assessment of entrepreneurship development in the academic environment, then it was identified a gap for models that evaluate intellectual property as products to be commercialized, being, therefore, pointed as a research opportunity. Also, it was detected indication of research space to develop models to evaluate the intellectual property registered in technology transfer offices, as a basis for products to be commercialized.

Table 19. Analysis of articles' objectives on AE retrieved from the WoS base

Subject	Article	Objective
	Krueger et al., 2000	Compares two intention-based models in terms of their ability to predict entrepreneurial intentions
s	Etzkowitz &	Sets forth a model of knowledge-based regional development conceived as a set of
del	Klofsten, 2005	multi-linear dynamics, based on alternative technological paradigms
1. Models development	O'Shea et al.,	Develop a systematic model of the entrepreneurial university
1. l eve	2007	
ð	Kirby et al., 2011	Development of a model to make universities more entrepreneurial
	George & Bock, 2011	Review prior research and reframe the business model with an entrepreneurial lens
	Guerrero et al.,	Improve the understanding of the theoretical, empirical, managerial and political
2. Managem ent	2016	implications of emerging models of entrepreneurial universities in the new social and
2. nage ent		economic landscape
Wa	Heaton et al., 2019	Propose the dynamic capabilities framework to guide how universities might manage their
		innovation ecosystems
	Klofsten &	Examine the activities of academics involved with industry and the influence of gender, age,
	Jones-Evans, 2000	previous entrepreneurial experience, work experience and university environment on the
		entrepreneurship activities; and discuss and contrast the extent to which academic
	Goldfarb &	entrepreneurship has developed. Evaluate the efficiency of national policies in promoting the commercialization of
	Henrekson, 2003	university-generated knowledge
Ħ	Shane, 2004	Examine the effect of one U.S. public policy initiative (the Bayh-Dole Act in the U.S.) on
3. Intellectual property commercialization	Shane, 2004	one aspect of technology commercialization (university patenting)
liza	Powers &	Investigate the effects of particular internal and external resource factors on the performance
cia	McDougall, 2005	of universities
ner	Toole &	Analyze how the depth of the scientists' scientifically and commercially oriented academic
Ħ	Czarnitzki, 2009	human capital contributes to the firm performance when they start or join for-profit firms
8	Grimaldi et al.,	Describe the evolving role of universities in the commercialization of research considering
irty	2011	the rationale for academic entrepreneurship on the 30th anniversary of enactment of the
obe		Bayh-Dole Act in the U.S. and discuss and appraise the effects of legislative reform in
pr		several OECD countries relating to academic entrepreneurship
nal	Buenstorf &	Explore the way in which inventor, technology, and licensee characteristics affect the
ect	Geissler, 2012) -	commercialization of academic inventions
[te	Buenstorf &	Analyze how the probability and magnitude of commercial success are affected by
된.	Schacht, 2013	geographic distance between licensors and licensees
80	O'Kane et al., 2017	Examine what factors publicly funded principal investigators perceive as inhibiting their involvement in commercialization activities
	Fini et al., 2018	Outlines a research agenda on the societal impacts of science commercialization by
		extending current theories, data, and methods and exploring the need to consider ethical concerns and who is benefiting from these impacts.
	Fini et al., 2019	Shows how research on science commercialization may yield conceptual contributions to the
	riii et al., 2017	field of management
		note of management

Source: Elaborated by the authors

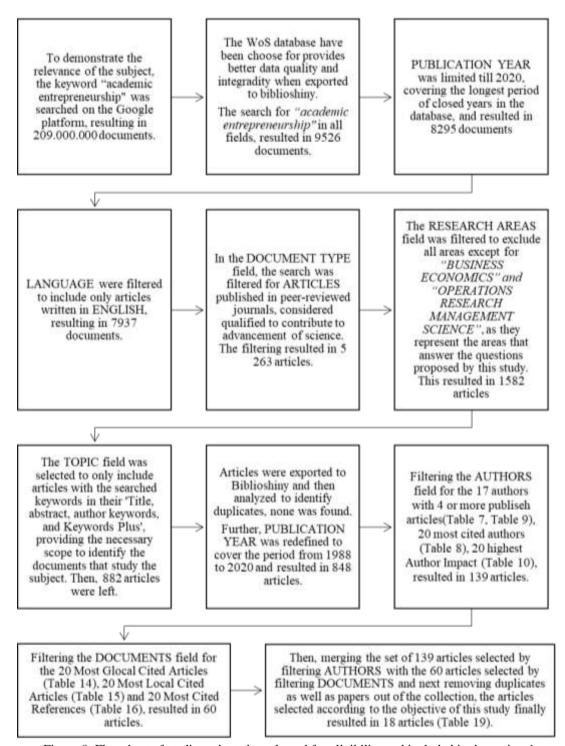


Figure 8. Flowchart of studies selected, evaluated for eligibility and included in the review 1

Source: Elaborated by the authors. ¹Each flowchart step indicates the reasons for document exclusions considering the systematic literature review on academic entrepreneurship.

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