



Contract Note No. : ISEC/2021242/22071615

TRADE DATE : 23-12-2021

SETTLEMENT NO. : 2021242

SETTLEMENT DATE : 27-12-2021



To,

ARUN VENKATESAN

23 PMS KOLLA ST KRISHNAPURAM

AMBUR - 635802

TAMILNADU

State Code: 33

INDIA

Mob No: 9894999288

GST Identification No. of Client: 33AJPA3362M1ZA

ICICI Securities Limited

Name of Clearing Corporation: NSE Clearing Limited.

(Cash Market Segment)

SEBI REGN. NO.: INZ000183631;

Clearing no.07730

Regd. Off: ICICI Venture House, Appasaheb Marathe Marg,
Prabhadevi, Mumbai - 400 025

Tel No: 022-6807 7100 Fax No: 022-6807 7803

Dealing office Add : Shree Sawan Knowledge Park,
Gr. Floor, Plot No. D-507, TTC Industrial Area, MIDC, Turbhe,
Navi Mumbai - 400 705, Maharashtra India

Tel :91-22-4070 1000

Fax :91-22-4070 1022

Principal place of business:

First Floor T-1,, Yesesi Supermarket Building, Annanagar, Chennai - 600040,
Tamilnadu

Compliance Officer : Mr. Anoop Goyal, Tel No : 022 - 40701000

Email :-complianceofficer@icicisecurities.com

Email ID for Investor complaint : coo@icicidirect.com

Website : www.icicidirect.com

Corporate Identification Number (CIN) : L67120MH1995PLC086241

Trading Back Office Code : 8505116011

UCC of Client : 8505116011

PAN of Client : AJJPA3362M

Sir/Madam,

I/We have this day done by your order and on your account the following transactions:

| Summary including statutory levies - | | Quantity | Value | Total Brokerage | Exchange Transaction Charges | SEBI Turnover Charges | Total Goods and Service Tax | Stamp Duty | Security Transaction Tax (STT) | Net Payable / Receivable (₹) |
|--------------------------------------|------|----------|----------|-----------------|------------------------------|-----------------------|-----------------------------|------------|--------------------------------|------------------------------|
| HDFC BANK LTD | Buy | 10 | 14500.00 | 79.7500 | 0.2465 | 0.0145 | 14.4020 | 2.1800 | 14.4000 | 14610.9930 |
| | Sell | 0 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Total Payable / Receivable | | 10 | 14500.00 | 79.7500 | 0.2465 | 0.0145 | 14.4020 | 2.1800 | 14.4000 | 14610.9930 |

| Summary including statutory levies - | | Quantity | Value | Total Brokerage | Exchange Transaction Charges | SEBI Turnover Charges | Total Goods and Service Tax | Stamp Duty | Security Transaction Tax (STT) | Net Payable / Receivable (₹) |
|--------------------------------------|------|----------|----------|-----------------|------------------------------|-----------------------|-----------------------------|------------|--------------------------------|------------------------------|
| ICICI BANK LTD. | Buy | 20 | 14600.00 | 80.3000 | 0.2482 | 0.0146 | 14.5014 | 1.8200 | 14.6000 | 14711.4842 |
| | Sell | 0 | 0.00 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 |
| Total Payable / Receivable | | 20 | 14600.00 | 80.3000 | 0.2482 | 0.0146 | 14.5014 | 1.8200 | 14.6000 | 14711.4842 |

| Order No. | Order Time | Trade No. | Trade Time | ISIN No. | Security | Buy(B) / Sell(S) | Bought Qty | Sold Qty | Gross Rate / Trade Price per Unit (₹) | Brokerage per Unit (₹) | Net Rate per Unit (₹) | Net Total (Before Levies) (₹) | Remarks | Exchange |
|------------------|---------------------|-----------|------------|--------------|-----------------|------------------|------------|----------|---------------------------------------|------------------------|-----------------------|-------------------------------|---------|----------|
| 1100000005997764 | 23-12-2021 11:00:00 | 26687130 | 11:06 | INE040A01034 | HDFC BANK LTD | Buy | 10 | | 1450.00 | 7.9750 | 1457.9750 | 14579.7500 | | NSE |
| 1100000005941769 | 23-12-2021 10:59:00 | 29431096 | 15:22 | INE090A01021 | ICICI BANK LTD. | Buy | 20 | | 730.00 | 4.0150 | 734.0150 | 14680.3000 | | NSE |

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE.

| | | | |
|---------------------------------------|-------------------|-------------------|------------|
| Pay in Obligation | | ₹ | 29100.0000 |
| Taxable value of supply: [^] | | | |
| a) Total brokerage | | ₹ | 160.0500 |
| b) Exchange Transaction Charges | | ₹ | 0.4947 |
| c) SEBI Turnover Fees | | ₹ | 0.0291 |
| Total Taxable value of supply (a+b+c) | | | 160.5738 |
| | Brokerage (a)xGST | Charges (b+c)xGST | |
| CGST* Rate(9.0000%) | 14.4045 | 0.0472 | ₹ 14.4517 |
| SGST/UTGST* Rate (9.0000%) | 14.4045 | 0.0472 | ₹ 14.4517 |
| IGST* Rate(0.0000%) | 0.0000 | 0.0000 | ₹ 0.0000 |
| e) Securities Transaction Tax** | | ₹ | 29.0000 |
| f) Stamp Duty** | | ₹ | 4.0000 |
| Net amount payable by Client | | ₹ | 29322.4772 |
| Net Amount to be Debited from Bank | | ₹ | 29322.48 |

indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.

* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory

[^]Taxable value of supply is calculated in accordance with the provisions of the applicable GST Laws issued from time to time

**ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.

Date : 23/12/2021

Place Mumbai

Yours faithfully,
For ICICI Securities Limited.

Authorized Signatories:

✓

Authorized Signatory,
Member of National Stock Exchange of India Ltd.
PAN NO.: AAACI0996E
GSTIN NO : 33AAACI0996E1ZS
Description of service : Brokerage and related securities and commodities services including commodity exchange services
Accounting code of services : 997152

Annexure to Contract Note

Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

19. Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person.
 (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
 (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
 (4) Any person holding more than two per cent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
 (5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
 (6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be,

20. Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—

- (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
 (i) financial integrity;
 (ii) good reputation and character; and
 (iii) honesty;
 (b) such person has not incurred any of the following disqualifications—
 (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
 (ii) an order for winding up has been passed against the person;
 (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
 (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
 (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
 (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
 (vii) the person is financially not sound.
 (2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

Eligibility for acquiring or holding shares in a depository

- 6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.
 (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent. of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.
 (3) Any person holding more than two per cent. Of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.
 (4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
 (5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.
 (6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.
 (7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares

Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person 'the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer [, the director, the promoter] and the key management persons by whatever name called –

- (a) Integrity, reputation and character;
 (b) Absence of convictions and restraint orders;
 (c) Competence including financial solvency and networth
 (d) Absence of categorization as a wilful defaulter.

Rights Entitlement

In case Rights Entitlements (REs) has been purchased, please note that the purchase of REs only gives right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the issue closing date shall lapse and shall be extinguished after the issue

Annexure Statement of Securities Transaction Tax

| Stock Exchange | National Stock Exchange of India Ltd | Stock Broker Name | ICICI Securities Ltd. |
|---------------------|--------------------------------------|----------------------|-----------------------|
| Stock Broker Code | 07730(SEBI:INZ000183631) | Trading Client Name | ARUN VENKATESAN |
| Trading Client Code | 8505116011 | Trading Client MAPIN | NOT AVAILABLE |
| Trading Client PAN | AJJPA3362M | Contract Note Date | 23/12/2021 |
| Contract Note No. | ISEC/2021242/22071615 | | |

| Security | Transaction settled by delivery-Purchase | | | | Transaction settled by delivery-Sale | | | | Transaction settled other than by delivery | | | | TotalSTT (₹) |
|-----------|------------------------------------------|-----------|------------|---------|--------------------------------------|-----------|-----------|---------|--------------------------------------------|-----------|-----------|---------|--------------|
| | Qty | Price (₹) | Value (₹) | STT (₹) | Qty | Price (₹) | Value (₹) | STT (₹) | Qty | Price (₹) | Value (₹) | STT (₹) | |
| ICICIBANK | 20 | 730.0000 | 14600.0000 | 14.6000 | 0 | 0.0000 | 0.0000 | 0.0000 | 0 | 0.0000 | 0.0000 | 0.0000 | 14.6000 |
| HDFCBANK | 10 | 1450.0000 | 14500.0000 | 14.4000 | 0 | 0.0000 | 0.0000 | 0.0000 | 0 | 0.0000 | 0.0000 | 0.0000 | 14.4000 |

| | |
|-------------------------------------------------------------|-------|
| TOTAL (₹) | 29.00 |
| Securities Transaction Tax (round to the nearest rupee) (₹) | 29.00 |

For ICICI Securities Limited.

Place : Mumbai
Date : 23-12-2021

Authorised Signatory

