



# ICICI Securities Limited

Contract Note No. : ISEC/2020127/05470592

TRADE DATE : 06-07-2020

SETTLEMENT NO. 2020127

SETTLEMENT DATE : 08-07-2020



To,

ARUN VENKATESAN

23 PMS KOLLAI ST KRISHNAPURAM

AMBUR - 635802

TAMILNADU

State Code: 33

INDIA

Mob No: 9894999288

GST Identification 33AJPA3362M1ZA

Name of Clearing Corporation: NSE Clearing Limited.

(Cash Market Segment)

SEBI REGN. NO.: INZ000183631;

Clearing no.07730

Regd. Off:

ICICI Centre, H. T. Parekh Marg, Churchgate, Mumbai-400 020

Tel : 022-22882460/70 Fax : 022-66377211

Dealing office Add : Shree Sawan Knowledge Park,  
Gr. Floor, Plot No. D-507, TTC Industrial Area, MIDC, Turbhe,  
Navi Mumbai - 400 705, Maharastra India  
Tel :91-22-4070 1000  
Fax :91-22-4070 1022

Principal place of business:

First Floor T-1,, Yesesi Supermarket Building, Annanagar, Chennai - 600040,  
Tamilnadu

Compliance Officer : Mr. Anoop Goyal, Tel No : 022 - 40701000

Email :-complianceofficer@icicisecurities.com

Email ID for Investor complaint : coo@icicidirect.com

Website : www.icicidirect.com

Corporate Identification Number (CIN) : L67120MH1995PLC086241

Trading Back Office Code : 8505116011

UCC of Client : 8505116011

PAN of Client : AJJPA3362M

Sir/Madam,

I/We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks
1100000004962321	06-07-2020 11:10:00	28993433	14:44	INE040A01034	HDFC BANK LTD	Buy	10		1100.00	6.0500	1106.0500	11060.5000	

Summary including statutory levies -	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
HDFC BANK LTD									
Buy	10	11000.00	60.5000	0.3740	0.0055	10.9584	2.0000	11.0000	11084.8379
Sell	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	10	11000.00	60.5000	0.3740	0.0055	10.9584	2.0000	11.0000	11084.8379

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE.

Pay in Obligation	₹	11000.0000
Taxable value of supply: ^		
a) Total brokerage	₹	60.5000
b) Exchange Transaction Charges	₹	0.3740
c) SEBI Turnover Fees	₹	0.0055
Total Taxable value of supply (a+b+c)		60.8795
	Brokerage (a)xGST	Charges (b+c)xGST
CGST* Rate(9.0000%)	5.4450	0.0342
SGST/UTGST* Rate (9.0000%)	5.4450	0.0342
IGST* Rate(0.0000%)	0.0000	0.0000
e) Securities Transaction Tax**	₹	11.0000
f) Stamp Duty**	₹	2.0000
Net amount payable by Client	₹	11084.8379

Net Amount to be Debited from Bank	₹	11084.84
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# indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.

\* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory

^Taxable value of supply is charges on which GST is applicable.

\*\*ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.

\*\*\*Applicable for customer based at Kerala: The SGST component also covers the disclosure of KFC at 1%, hence tax rate of SGST is shown as 10%.

Date : 06/07/2020

Place Mumbai

Yours faithfully,

For ICICI Securities Limited.



Authorized Signatories:

Mr.Prasannan Keshavan, Mr.Vishal Gulechha, Mr.Max Menezes, Mr.Ketan Karkhanis, Mr.Ashit Raja, Mr.Pankaj Shah, Mr.Paulraj Muralidharan, Mr.Srikanth Nagesh, Mr.Nilesh Naik, Ms.Deepali Thakkar, Mr.Ramakrishna Oruganti

Authorized Signatory,

Member of National Stock Exchange of India Ltd.

PAN NO.: AAACI0996E

GSTIN NO : 33AAACI0996E1ZS

Description of service : Brokerage and related securities and commodities services including commodity exchange services

Accounting code of services : 997152

Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

19.Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
- (3) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or holdmore than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
- (4) Any person holding more than two per cent of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
- (5) If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (6) Any person holding more than two per cent of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be,

20.Fit and proper criteria.

20. (1) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if—
- (a) such person has a general reputation and record of fairness and integrity, including but not limited to—
- (i) financial integrity;
  - (ii) good reputation and character; and
  - (iii) honesty;
- (b) such person has not incurred any of the following disqualifications—
- (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;
  - (ii) an order for winding up has been passed against the person;
  - (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;
  - (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;
  - (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;
  - (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and
  - (vii)the person is financially not sound.
- (2) If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

Eligibility for acquiring or holding shares in a depository

- 6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.
- (2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent. of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.
- (3) Any person holding more than two per cent. Of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.
- (4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
- (5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.
- (6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any point of time.
- (7) For determining the shareholding of any person in a depository as specified in these regulations, any instrument held, owned or controlled, directly or indirectly, by him that entitles him the voting rights or provides for entitlement to voting rights or equity shares or any other rights over equity shares

Criteria for determining a 'fit and proper person'

- For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person 'the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer [ , the director, the promoter] and the key management persons by whatever name called –
- (a) Integrity, reputation and character;
  - (b) Absence of convictions and restraint orders;
  - (c) Competence including financial solvency and network
  - (d) Absence of categorization as a wilful defaulter.

Annexure			
Statement of Securities Transaction Tax for equity share in a company or a unit of an equity oriented fund			
Stock Exchange	National Stock Exchange of India Ltd	Stock Broker Name	ICICI Securities Ltd.
Stock Broker Code	07730(SEBI:INZ000183631)	Trading Client Name	ARUN VENKATESAN
Trading Client Code	8505116011	Trading Client MAPIN	NOT AVAILABLE
Trading Client PAN	AJJPA3362M	Contract Note Date	06/07/2020
Contract Note No.	ISEC/2020127/05470592		

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	
HDFCBANK	10	1100.0000	11000.0000	11.0000	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	11.0000
TOTAL (₹)													11.00
Securities Transaction Tax (round to the nearest rupee) (₹)													11.00

For ICICI Securities Limited.

Place : Mumbai

Date : 06-07-2020



Authorised Signatory