

**Stock Broker SEBI Registration No.:INZ000164132**

**Member:** National Stock Exchange Ltd. CODE NO: 10975 & TC10975 CM No.: M51058, For F&O - Clearing Member : IIFL Securities Limited M51058

**Member:** Bombay Stock Exchange Ltd. Clearing No. 179 Multi Commodity Exchange of India Limited. TM No. 55995 CM No. 55995, National Commodity & Derivatives Exchange of India Limited. TM No. 1249 CM No. M51060

**Compliance Officer Name:** Prasad Umarale | E-mail : complianceofficer@iifl.com | Tel.: 022-40071000 | Investor Grievance E-mail ID : customergrievances@iifl.com

**Regd.Office:** Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Estate, Wagle Estate, Thane - 400604

**Corporate Office :** Office No. 1, Ground Floor, Hubtown Solaris, N.S. Phadke Marg, Andheri, Vijay Nagar, Mumbai - 400069 | Tel.: 022-40071000 | E-mail: cs@iifl.com

**Dealing Office :** Door No.610 & 611 6th Floor A-Wing Kannamai Building Sundaram Avenue Opposite to US. Embassy Near Gemini Flyover Anna Salai-600006 TAMIL NADU

**Cash**

### CONTRACT NOTE CAPITAL MARKET

Client Details	
Client ID	ARUNVENK
Client Name	ARUN VENKATESAN
PAN of Client	AJJPA3362M
GSTIN No	33AJJPA3362M1ZA
Address	23 PMS KOLLA STREET KRISHNAPURAM AMBUR VANIYAMBADI VELLORE VELLORE TAMIL NADU

Settlement Details	
Contract Note No.	NM24121811855
Trade Date	18/12/2024
Settlement Date	19/12/2024
Settlement No. NSE	NM2024239
Settlement No. BSE	BW2425780
Clearing Settlement No.	NM2024239
Advance Receipt No.	
Advance Receipt Date	

Sir / Madam,

I / We have this day done by your order and on your account the following transactions :

Order No	Order Time	Trade No	Trade Time	Security/ Contract description	Exchange - Buy/ Sell	Qty	Gross Rate/ Trade Price Per unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)
1200000002423741*	09:19:24	400422722	09:19:24	MAHABANK	NSE-SELL	-1	54.51	0.050000	54.460000	54.46 Cr
1200000002423741*	09:19:24	400422723	09:19:24	MAHABANK	NSE-SELL	-1	54.51	0.050000	54.460000	54.46 Cr
1734497563150301807	10:49:22	6374500	12:35:08	MAHABANK	BSE-BUY	2	54.00	0.050000	54.050000	108.10 Dr
1734497563150931132	11:16:53	6377700	12:35:08	MAHABANK	BSE-BUY	100	54.00	0.270000	54.270000	5,427.00 Dr
				Scrip Total		100				-5,426.18
				STT Total						5.43
1300000002145235*	09:19:24	600420039	09:19:24	TATASTEEL	NSE-SELL	-4	145.55	0.072800	145.477225	581.91 Cr
1734498297578390919	10:48:53	8831000	11:48:48	TATASTEEL	BSE-BUY	4	145.00	0.072500	145.072500	580.29 Dr
				Scrip Total		0				1.62
				STT Total						0.15
1734498157568517527	11:07:31	3964100	11:07:31	LT	BSE-BUY	7	3,776.35	18.881800	3,795.231-750	26,566.62 Dr
				Scrip Total		7				-26,566.62
				STT Total						26.43
1734498297578678419	11:01:13	7383800	11:07:45	INFY	BSE-BUY	4	1,971.00	9.855000	1,980.855-000	7,923.42 Dr
				Scrip Total		4				-7,923.42
				STT Total						7.88
1200000002424359*	09:19:24	400422810	09:19:24	LTF	NSE-SELL	-1	140.64	0.070300	140.569680	140.57 Cr
1734492600118954501	10:49:55	1206700	10:53:50	LTF	BSE-BUY	1	142.00	0.071000	142.071000	142.07 Dr
				Scrip Total		0				-1.50
				STT Total						0.04
				Total		111	-39,716.59	199.51		-39,916.10

Description							Value (₹)
Payin Payout Obligation							-39,716.59
Taxable value of supply -(Brokerage)							199.51
Taxable value of supply -(Exchange Transaction Charges)							1.55
Taxable value of supply -(Clearing Charge)							0.00
Taxable value of supply -(SEBI Turnover Fees)							0.04

Description	Value (₹)
SGST* (9%)	18.10
CGST* (9%)	18.10
IGST* (18%)	0.00
Investor Protection Fund	0.00
Stamp Duty	6.00
Security Transaction Tax	40.00
<b>Net Amount Payable by Client</b>	<b>-39,999.89 Dr</b>

1. Applicable only in case of cross currency contracts
2. To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts
3. To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time
4. CGST: - Central GST; SGST: - State GST; IGST: - Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.
5. GST @ 18 percent will applicable on Brokerage, Transaction Charges, SEBI Charges, Clearing Charges & IPF.
6. GST Compliant Tax Invoice will be provided separately in due course

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE, [www.bseindia.com](http://www.bseindia.com) for BSE and [www.msei.in](http://www.msei.in) for MSEI.

The purchase of REs only gives the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue closing date shall lapse and shall be extinguished after the Issue closing date.

Note :- \* Marked trades were executed due to non-compliance by the client towards margin calls made.

Please note that any person who is dealing in shares of listed Stock Exchange and/or Depositories must comply with regulation 19 and 20 of SECC Regulations, 2012 which state that only fit and proper person are eligible to deal in shares of listed stock exchange/s. The detailed regulations are given below:

#### Eligibility for Acquiring or Holding Shares:

1. No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
2. Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent. of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
3. A person eligible to acquire or hold more than five per cent. of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent. of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
4. Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
5. If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
6. Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

#### Fit and Proper Criteria:

1. For the purposes of these regulations, a person shall be deemed to be a fit and proper person if
  - (a)such person has a general reputation and record of fairness and integrity, including but not limited to
    - (i) financial integrity (ii) good reputation and character; and (iii) honesty
  - (b)such person has not incurred any of the following disqualifications
    - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws (ii) an order for winding up has been passed against the person (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and (vii) the person is financially not sound
2. If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

#### Compliance with Fit & Proper Requirement by Members in Case of Trading in Securities of Listed Depositories

##### Schedule II Securities & Exchange Board of India (Intermediaries) Regulations, 2008

As specified under Regulation 6(B) of SEBI (Depositories and Participants) Regulations, 2012, Members are required to ensure that their clients who are executing transactions in the securities issued by listed depositaries through them are fit & proper. Accordingly, Members shall also attach the text of the criteria for fit & proper person as specified in Schedule II of the SEBI (Intermediaries) Regulations, 2008 Criteria for determining a 'fit and proper person' For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer and the key management persons by whatever name called (a) integrity, reputation and character (b) absence of convictions and restraint orders (c) competence including financial solvency and networth (d) absence of categorization as a wilful defaulter

#### You may refer the following link for full text of Relevant SEBI Regulations and Circulars

[https://www.sebi.gov.in/sebi\\_data/attachdocs/1340272091708.pdf](https://www.sebi.gov.in/sebi_data/attachdocs/1340272091708.pdf)

[https://www.sebi.gov.in/legal/circulars/jan-2016/circular-on-compliance-with-secc-by-listed-stock-exchanges\\_31402.html](https://www.sebi.gov.in/legal/circulars/jan-2016/circular-on-compliance-with-secc-by-listed-stock-exchanges_31402.html)

Yours faithfully,  
FOR IIFL Securities Limited.

Date: 18/12/2024

Place: Mumbai

PAN of Trading Member: AAACI7397D  
GSTIN of Trading Member: 09AAACI7397D1ZC

**Cash**

Description of Service: Financial and Related Services

Accounting code of services: 997152

Authorized SIGNATORIES: Mr. Narendra Jain, Mr. Nayan Panchal