

Stock Broker SEBI Registration No.:INZ000164132

Member: National Stock Exchange Ltd. CODE NO: 10975 & TC10975 CM No.: M51058, For F&O - Clearing Member : IIFL Securities Limited M51058

Member: Bombay Stock Exchange Ltd. Clearing No. 179 Multi Commodity Exchange of India Limited. TM No. 55995 CM No. 55995, National Commodity & Derivatives Exchange of India Limited. TM No. 1249 CM No. M51060

Compliance Officer Name: Prasad Umarale | E-mail : complianceofficer@iifl.com | Tel.: 022-40071000 | Investor Grievance E-mail ID : customergrievances@iifl.com

Regd. Office: Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Estate, Wagle Estate, Thane - 400604

Corporate Office : Office No. 1, Ground Floor, Hubtown Solaris, N.S. Phadke Marg, Andheri, Vijay Nagar, Mumbai - 400069 |

Tel.: 022-40071000 | E-mail: cs@iifl.com

Dealing Office : Door No.610 & 611 6th Floor A-Wing Kannammai Building Sundaram Avenue Opposite to US. Embassy Near Gemini Flyover Anna Salai-600006 TAMIL NADU

CONTRACT NOTE CAPITAL MARKET

Cash

Client Details	
Client ID	ARUNVENK
Client Name	ARUN VENKATESAN
PAN of Client	AJPA3362M
GSTIN No	33AJPA3362M1ZA
Address	23 PMS KOLLAI STREET KRISHNAPURAM AMBUR VANIYAMBADI VELLORE VELLORE TAMIL NADU

Settlement Details	
Contract Note No.	NZ241216974
Trade Date	16/12/2024
Settlement Date	17/12/2024
Settlement No. NSE	NZ2024237
Settlement No. BSE	BC2425778
Clearing Settlement No.	NZ2024237
Advance Receipt No.	
Advance Receipt Date	

Sir / Madam,

I / We have this day done by your order and on your account the following transactions :

Order No	Order Time	Trade No	Trade Time	Security/ Contract description	Exchange - Buy/ Sell	Qty	Gross Rate/ Trade Price Per unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)
1734319800000210435	11:01:51	4561400	11:01:51	COFFEEDAY	BSE-BUY	500	25.15	0.188600	25.338625	12,669.31 Dr
				Scrip Total		500				-12,669.31
				STT Total						12.58
				Total		500	-12,575.00	94.31		-12,669.31

Description	Value (₹)
Payin Payout Obligation	-12,575.00
Taxable value of supply -(Brokerage)	94.31
Taxable value of supply -(Exchange Transaction Charges)	0.47
Taxable value of supply -(Clearing Charge)	0.00
Taxable value of supply -(SEBI Turnover Fees)	0.01
SGST* (9%)	8.53
CGST* (9%)	8.53
IGST* (18%)	0.00
Investor Protection Fund	0.00
Stamp Duty	2.00
Security Transaction Tax	13.00
Net Amount Payable by Client	-12,701.85 Dr

1. Applicable only in case of cross currency contracts
2. To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts
3. To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time
4. CGST: - Central GST; SGST: - State GST; IGST: - Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.
5. GST @ 18 percent will applicable on Brokerage, Transaction Charges, SEBI Charges, Clearing Charges & IPF.
6. GST Compliant Tax Invoice will be provided separately in due course

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for BSE and www.msei.in for MSEI.

The purchase of REs only gives the right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue closing date shall lapse and shall

be extinguished after the Issue closing date.

Note :- * Marked trades were executed due to non-compliance by the client towards margin calls made.

Please note that any person who is dealing in shares of listed Stock Exchange and/or Depositories must comply with regulation 19 and 20 of SECC Regulations, 2012 which state that only fit and proper person are eligible to deal in shares of listed stock exchange/s. The detailed regulations are given below:

Eligibility for Acquiring or Holding Shares:

1. No person shall, directly or indirectly, acquire or hold equity shares of a recognised stock exchange or recognised clearing corporation unless he is a fit and proper person.
2. Any person who, directly or indirectly, either individually or together with persons acting in concert, acquire equity shares such that his shareholding exceeds two per cent. of the paid up equity share capital of a recognised stock exchange or recognised clearing corporation shall seek approval of the Board within fifteen days of the acquisition.
3. A person eligible to acquire or hold more than five per cent. of the paid up equity share capital under sub-regulation (2) of regulation 17 and sub-regulation (2) of regulation 18 may acquire or hold more than five per cent. of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.
4. Any person holding more than two per cent. of the paid up equity share capital of the recognised stock exchange or the clearing corporation on the date of commencement of these regulations, shall ensure compliance with this regulation within a period of ninety days from the date of such commencement.
5. If approval under sub-regulation (2) or (4) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.
6. Any person holding more than two per cent. of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

Fit and Proper Criteria:

1. For the purposes of these regulations, a person shall be deemed to be a fit and proper person if
 - (a) such person has a general reputation and record of fairness and integrity, including but not limited to
 - (i) financial integrity (ii) good reputation and character; and (iii) honesty
 - (b) such person has not incurred any of the following disqualifications
 - (i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws (ii) an order for winding up has been passed against the person (iii) an order for winding up has been passed against the person (iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged (iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing these securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed (v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed (vi) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force; and (vii) the person is financially not sound
2. If any question arises as to whether a person is a fit and proper person, the Board's decision on such question shall be final.

Compliance with Fit & Proper Requirement by Members in Case of Trading in Securities of Listed Depositories

Schedule II Securities & Exchange Board of India (Intermediaries) Regulations, 2008

As specified under Regulation 6(B) of SEBI (Depositories and Participants) Regulations, 2012, Members are required to ensure that their clients who are executing transactions in the securities issued by listed depositories through them are fit & proper. Accordingly, Members shall also attach the text of the criteria for fit & proper person as specified in Schedule II of the SEBI (Intermediaries) Regulations, 2008 Criteria for determining a 'fit and proper person' For the purpose of determining as to whether an applicant or the intermediary is a fit and proper person the Board may take account of any consideration as it deems fit, including but not limited to the following criteria in relation to the applicant or the intermediary, the principal officer and the key management persons by whatever name called (a) integrity, reputation and character (b) absence of convictions and restraint orders (c) competence including financial solvency and networth (d) absence of categorization as a wilful defaulter

You may refer the following link for full text of Relevant SEBI Regulations and Circulars

https://www.sebi.gov.in/sebi_data/attachdocs/1340272091708.pdf

https://www.sebi.gov.in/legal/circulars/jan-2016/circular-on-compliance-with-secc-by-listed-stock-exchanges_31402.html

Yours faithfully,
FOR IIFL Securities Limited.

Date: 16/12/2024

Place: Mumbai

PAN of Trading Member: AAACI7397D
GSTIN of Trading Member: 09AAACI7397D1ZC
Description of Service: Financial and Related Services
Accounting code of services: 997152
Authorized SIGNATORIES: Mr. Narendra Jain, Mr. Nayan Panchal