



Contract Note No. : ISEC/2023064/000150759

Trade Date : 05-04-2023

Settlement No. : 2023064

Settlement Date : 06-04-2023



To,  
ARUN VENKATESAN  
23 PMS KOLLAI ST KRISHNAPURAM

## ICICI Securities Limited

Name of Clearing Corporation: NSE Clearing Limited.

(Cash Market Segment)

SEBI REGN. NO.: INZ000183631;

Clearing no.07730

Regd. Off: ICICI Venture House, Appasaheb Marathe Marg,  
Prabhadevi, Mumbai - 400 025

Tel No: 022-6807 7100 Fax No: 022-6807 7803

Dealing office Add : Shree Sawan Knowledge Park,  
Gr. Floor, Plot No. D-507, Near Juinagar Railway Station  
Turbhe - 400705 MAHARASHTRA - WEST INDIA  
Tel : 9790768249  
Fax :

AMBUR - 635802

TAMILNADU

State Code: 33

INDIA

Mob No: 9894999288

GST Identification No. of Client: 33AJJPA3362M1ZA

Principal place of business:

First Floor T-1, Yesesi Supermarket Building, Annanagar, Chennai - 600040,  
Tamilnadu

Compliance Officer : Ms. Mamta Shetty, Tel No : 022 - 40701000

Email :-complianceofficer@icicisecurities.com

Email ID for Investor complaint : coo@icicidirect.com

Website : www.icicidirect.com

Corporate Identification Number (CIN) : L67120MH1995PLC086241

Trading Back Office Code : 8505116011

UCC of Client : 8505116011

PAN of Client : AJJPA3362M

Sir/Madam,

I/We have this day done by your order and on your account the following transactions:

Summary including statutory levies - ICICI BANK LTD.	Exchange	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	NSE	20	17600.00	38.7200	0.4928	0.0176	7.0614	2.6400	17.6000	17666.5318
Sell	NSE	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	NSE	20	17600.00	38.7200	0.4928	0.0176	7.0614	2.6400	17.6000	17666.5318

Summary including statutory levies - INDIAN BANK	Exchange	Quantity	Value	Total Brokerage	Exchange Transaction Charges	SEBI Turnover Charges	Total Goods and Service Tax	Stamp Duty	Security Transaction Tax (STT)	Net Payable / Receivable (₹)
Buy	NSE	100	28955.00	63.7010	0.8107	0.0290	11.6174	4.3600	29.3950	29064.9131
Sell	NSE	0	0.00	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total Payable / Receivable	NSE	100	28955.00	63.7010	0.8107	0.0290	11.6174	4.3600	29.3950	29064.9131

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks	Exchange
1100000001798425	05-04-2023 09:25:00	25355324	09:26	INE090A01021	ICICI BANK LTD.	Buy	20		880.00	1.9360	881.9360	17638.7200		NSE

Order No.	Order Time	Trade No.	Trade Time	ISIN No.	Security	Buy(B) / Sell(S)	Bought Qty	Sold Qty	Gross Rate / Trade Price per Unit (₹)	Brokerage per Unit (₹)	Net Rate per Unit (₹)	Net Total (Before Levies) (₹)	Remarks	Exchange
1100000001951557	05-04-2023 09:26:00	25351282	09:26	INE562A01011	INDIAN BANK	Buy	100		289.55	0.6370	290.1870	29018.7000		NSE

Details of trade-wise levies shall be provided on request.

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.nseindia.com](http://www.nseindia.com) for NSE.

Pay in Obligation	₹	46555.0000
Taxable value of supply: <sup>a</sup>		
a) Total brokerage	₹	102.4210
b) Exchange Transaction Charges	₹	1.3035
c) SEBI Turnover Fees	₹	0.0466
Total Taxable value of supply (a+b+c)		103.7711
Brokerage (a)xGST		
Charges (b+c)xGST		

CGST* Rate(9.0000%)	9.2179	0.1215	₹	9.3394
SGST/UTGST* Rate (9.0000%)	9.2179	0.1215	₹	9.3394
IGST* Rate(0.0000%)	0.0000	0.0000	₹	0.0000
e) Securities Transaction Tax**			₹	47.0000
f) Stamp Duty**			₹	7.0000
Net amount payable by Client			₹	46731.4499
Net Amount to be Debited from Bank			₹	46731.44

# indicates the position is squared off by I-Sec Risk Management System (RMS) due to margin shortfall.

\* CGST - Central GST; SGST - State GST; IGST : Integrated GST; UTGST : Union Territory

^ Taxable value of supply is calculated in accordance with the provisions of the applicable GST Laws issued from time to time

\*\*ICICI Securities Limited is collecting the Stamp duty and Securities Transaction tax as a pure agent of the investor and hence the same is not considered in taxable value of supply for charging GST.

Date : 05/04/2023

Place Mumbai

Yours faithfully,  
For ICICI Securities Limited.

Authorized Signatories:

Mr. Pankaj Shah, Mr. Paulraj Muralidharan, Mr. Nilesh Naik, Ms. Deepali Thakkar,  
Mr. Ramakrishna Oruganti, Mr. Santosh Naphade, Mr. Binesh Raghavan

Authorized Signatory,

Member of National Stock Exchange of India Ltd.

PAN NO.: AAACI0996E

GSTIN NO : 33AAACI0996E1ZS

Description of service : Brokerage and related securities and commodities services including commodity exchange services

Accounting code of services : 997152

## Annexure to Contract Note

Compliance with Fit and Proper requirement, applicable in case of trading in securities of listed Stock Exchanges

### 19. Eligibility for acquiring or holding shares.

19. (1) No person shall, directly or indirectly, acquire or hold equity shares of a recognized stock exchange or recognized clearing corporation unless he is a fit and proper person. Provided that the onus shall be on the recognised stock exchange/ recognised clearing corporation to ensure that all its shareholders are fit and proper persons. Provided further that such a requirement to ensure that all its shareholders are fit and proper persons shall not be applicable to a recognized stock exchange for shareholding of a person who directly or indirectly, acquires or holds less than two percent equity shares or voting rights of such recognized stock exchange.

(2) A person eligible to acquire or hold more than five per cent of the paid up equity share capital under sub-regulation (2) and (3) of regulation 17 and sub-regulation (2) and (3) of regulation 18 may acquire or hold more than five per cent of the paid up equity share capital of a recognised stock exchange or a recognised clearing corporation only if he has obtained prior approval of the Board.

(3) The application for seeking approval in terms of sub-regulation (3) of this regulation shall be made to the Board in the manner specified at PART F of Schedule II of these regulations through the concerned stock exchange / clearing corporation.

(4) The stock exchange / clearing corporation shall verify the declarations/ undertakings given by the shareholders under sub-regulation (4) and forward the application along with its recommendation for approval to the Board.

(5) Any person holding two per cent. or more of the paid up equity share capital in a recognised stock exchange or a recognised clearing corporation, as the case may be, shall file a declaration within fifteen days from the end of every financial year to the recognised stock exchange or recognised clearing corporation, as the case may be, that he complies with the fit and proper criteria provided in these regulations.

### 20. Fit and proper criteria.

20.(2) For the purposes of these regulations, a person shall be deemed to be a fit and proper person if---

(a) such person has a general reputation and record of fairness and integrity, including but not limited to---

- (i) financial integrity;
- (ii) good reputation and character; and
- (iii) honesty;

(b) such person has not incurred any of the following disqualifications---

(i) the person, or any of its whole time directors or managing partners, has been convicted by a court for any offence involving moral turpitude or any economic offence or any offence against the securities laws;

(ii) an order for winding up has been passed against the person;

(iii) the person, or any of its whole time directors or managing partners, has been declared insolvent and has not been discharged;

(iv) an order, restraining, prohibiting or debarring the person, or any of its whole time directors or managing partners, from dealing in securities or from accessing the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the expiry of the period specified in the order has not elapsed;

(v) any other order against the person, or any of its whole time directors or managing partners, which has a bearing on the securities market, has been passed by the Board or any other regulatory authority, and a period of three years from the date of the order has not elapsed;

(vi) the Board has initiated recovery proceedings under the SEBI Act, 1992 and are pending;

(vii) the person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force;

### Eligibility for acquiring or holding shares in a depository

6B. (1) No person shall, directly or indirectly, acquire or hold equity shares or voting rights of a depository unless he is a fit and proper person.

(2) Any person who, directly or indirectly, either individually or together with persons acting in concert, acquires equity shares such that his shareholding exceeds two percent of the paid up equity share capital of a depository, shall seek approval of the Board within fifteen days of the acquisition.

(3) Any person holding more than two per cent. of the paid up equity share capital of the depository on the date of commencement of the Securities and Exchange Board of India (Depositories and Participants) (Amendment) Regulations, 2012, shall seek approval of the Board within a period of ninety days from the date of such commencement.

(4) If approval under sub-regulation (2) or (3) is not granted by the Board to any person, such person shall forthwith divest his excess shareholding.

(5) Any person holding more than two per cent. of the paid up equity share capital in a depository shall file a declaration within fifteen days from the end of every financial year to the depository that he complies with the fit and proper criteria.

(6) Save as otherwise provided in these regulations, the shareholding or voting rights of any person in a depository shall not exceed the limits specified in these regulations at any

### Criteria for determining a 'fit and proper person'

For the purpose of determining as to whether any person is a fit and proper person, the Board may take into account any criteria as it deems fit, including but not limited to the following:

(a) integrity, honesty, ethical behaviour, reputation, fairness and character of the person;

(b) the person not incurring any of the following disqualifications:

(i) criminal complaint or information under section 154 of the Code of Criminal Procedure, 1973 (2 of 1974) has been filed against such person by the Board and which is pending;

(ii) charge sheet has been filed against such person by any enforcement agency in matters concerning economic offences and is pending;

(iii) an order of restraint, prohibition or debarment has been passed against such person by the Board or any other regulatory authority or enforcement agency in any matter concerning securities laws or financial markets and such order is in force;

(iv) recovery proceedings have been initiated by the Board against such person and are pending;

(v) an order of conviction has been passed against such person by a court for any offence involving moral turpitude;

(vi) any winding up proceedings have been initiated or an order for winding up has been passed against such person;

(vii) such person has been declared insolvent and not discharged;

(viii) such person has been found to be of unsound mind by a court of competent jurisdiction and the finding is in force;

(ix) such person has been categorized as a wilful defaulter;

(x) such person has been declared a fugitive economic offender; or

(xi) any other disqualification as may be specified by the Board from time to time

### Rights Entitlement

In case Rights Entitlements (REs) has been purchased, please note that the purchase of REs only gives right to participate in the ongoing Rights Issue of the concerned company by making an application with requisite application money or renounce the REs before the issue closes. REs which are neither subscribed by making an application with requisite application money nor renounced, on or before the Issue closing date shall lapse and shall be extinguished after the Issue closing

### Annexure Statement of Securities Transaction Tax

Stock Exchange	National Stock Exchange of India Ltd	Stock Broker Name	ICICI Securities Ltd.
Stock Broker Code	07730(SEBI:INZ000183631)	Trading Client Name	ARUN VENKATESAN
Trading Client Code	8505116011	Trading Client MAPIN	NOT AVAILABLE
Trading Client PAN	AJPPA3362M	Contract Note Date	05/04/2023
Contract Note No.	ISEC/2023064/000150759		

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	

Security	Transaction settled by delivery-Purchase				Transaction settled by delivery-Sale				Transaction settled other than by delivery				TotalSTT (₹)
	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	Qty	Price (₹)	Value (₹)	STT (₹)	
INDIANB	100	289.5500	28955.0000	29.4000	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	29.4000
ICICIBANK	20	880.0000	17600.0000	17.6000	0	0.0000	0.0000	0.0000	0	0.0000	0.0000	0.0000	17.6000
TOTAL (₹)												47.00	
Securities Transaction Tax (round to the nearest rupee) (₹)												47.00	

For ICICI Securities Limited.

Place : Mumbai

Date : 05-04-2023



Authorised Signatory