

Risk & Technical Debt Register Template

1. Header

- **Domain:**
- **Author / Owner:**
- **Date / Version:**
- **Review Cycle:** (Quarterly / PI Planning)
- **Approved By:** (Domain Council / ARB)

2. Fields (Columns)

Field	Description	Example
ID	Unique identifier	RISK-2025-001
Category	Risk or Tech Debt	Tech Debt
Description	Clear summary of the item	Legacy FAME platform dependency
Source / Trigger	Where it came from	Ops incident, ARB exception, audit finding
Impact	Business or regulatory consequence	Cannot support sleeve-level attribution for SEC
Likelihood	High / Medium / Low	High
Severity	High / Medium / Low	High
Risk Rating	Calculated (LxS)	High
Mitigation / Remediation Plan	How it will be addressed	Migrate to cloud-native attribution engine
Owner	Accountable person	Lead Architect – P&A
Target Resolution Date	Expected closure date	Dec 2027
Status	Open / In Progress / Mitigated / Closed	Open
Escalation Required?	Yes/No + forum	Yes – ARB
Notes	Additional context	Audit raised as “critical”

3. Governance Process

- **Capture:** Risks/debt logged by solution architects, ops, audits, or ARB reviews.

- **Review:** Reviewed in **Domain Council** monthly; escalated quarterly to ARB if unresolved.
- **Prioritize:** Weighted by regulatory impact, cost, and dependency risk.
- **Track:** Progress reported via dashboard (heatmap of open risks/debt).
- **Close:** Closed when remediated and validated by Ops/Compliance.