

## Lecture 2 : Formal definitions

Def 1 (Block code): An  $(n, M)$  block code is a subset  $\mathcal{L} \subseteq \mathcal{X}^n$  with  $|\mathcal{L}| = M$ .  
 $\downarrow$   
Blocklength

We hence have rate  $R = \frac{\log M}{n}$ .

Egs: (i) Rate of repetition code  $\mathcal{L} = \{000, 111\}$  is  $1/3$  and  $\mathcal{L}$  is a  $(3, 2)$  block code.

(ii) Rate of single parity-check code  $\mathcal{L} \subseteq \{0, 1\}^n$  is ?  
and it is a  $(\_, \_)$  block code.

(Recall): From basic communication theory, for a given noisy channel  $W = (P(y|c) : c \in \mathcal{L}, y \in \mathcal{Y})$ , the decoder that minimizes the error probability is the "maximum a-posteriori probability" (MAP) decoder:

$$\hat{c} = \underset{c \in \mathcal{L}}{\operatorname{argmax}} P(c|y).$$

HW1: Provide/read up a proof of this fact.

Now, suppose that  $c \sim \operatorname{Unif}(\mathcal{L})$ . Then,

$$\hat{\underline{c}} = \underset{\underline{c} \in \mathcal{L}}{\operatorname{argmax}} \frac{P(y|\underline{c})P(\underline{c})}{P(y)}$$

$$= \underset{\underline{c} \in \mathcal{L}}{\operatorname{argmax}} \underbrace{\frac{1}{M P(y)}}_{\text{constant!}} \cdot P(y|\underline{c}) = \underset{\underline{c} \in \mathcal{L}}{\operatorname{argmax}} P(y|\underline{c})$$

$\triangleq$  ML decoder  
("maximum likelihood").

What is ML decoding for the BSC?

For a fixed  $\underline{c} \in \mathcal{L}$  and any  $y \in \{0,1\}^n$ ,

$$\begin{aligned} P(y|\underline{c}) &= p^{d(\underline{c}, y)} (1-p)^{n-d(\underline{c}, y)} \end{aligned}$$

where  $d(\underline{c}, y) = d(y, \underline{c}) \triangleq d$  is the "Hamming distance" b/w  $\underline{c}$  and  $y$ ,

i.e., the # positions where  $\underline{c}$  &  $y$  differ.

Hence,  $ML(y) = \underset{\underline{c} \in \mathcal{L}}{\operatorname{argmax}} P(y|\underline{c}) = \underset{\underline{c} \in \mathcal{L}}{\operatorname{argmin}} d(\underline{c}, y)$ , when  $p < 1/2$ .

Lemma 1:  $d(\cdot, \cdot)$  is a metric over  $\mathcal{X}^n$ .

Pf: HW.

Def 2 (Minimum distance): The minimum distance of a block code  $\mathcal{L}$



$$d(\mathcal{L}) = d_{\min}(\mathcal{L}) = \min_{\substack{c_1, c_2 \in \mathcal{L}, \\ c_1 \neq c_2}} d(c_1, c_2).$$

An  $(n, M)$  block code with min. dist.  $d$  is written as an  $(n, M, d)$  block code.

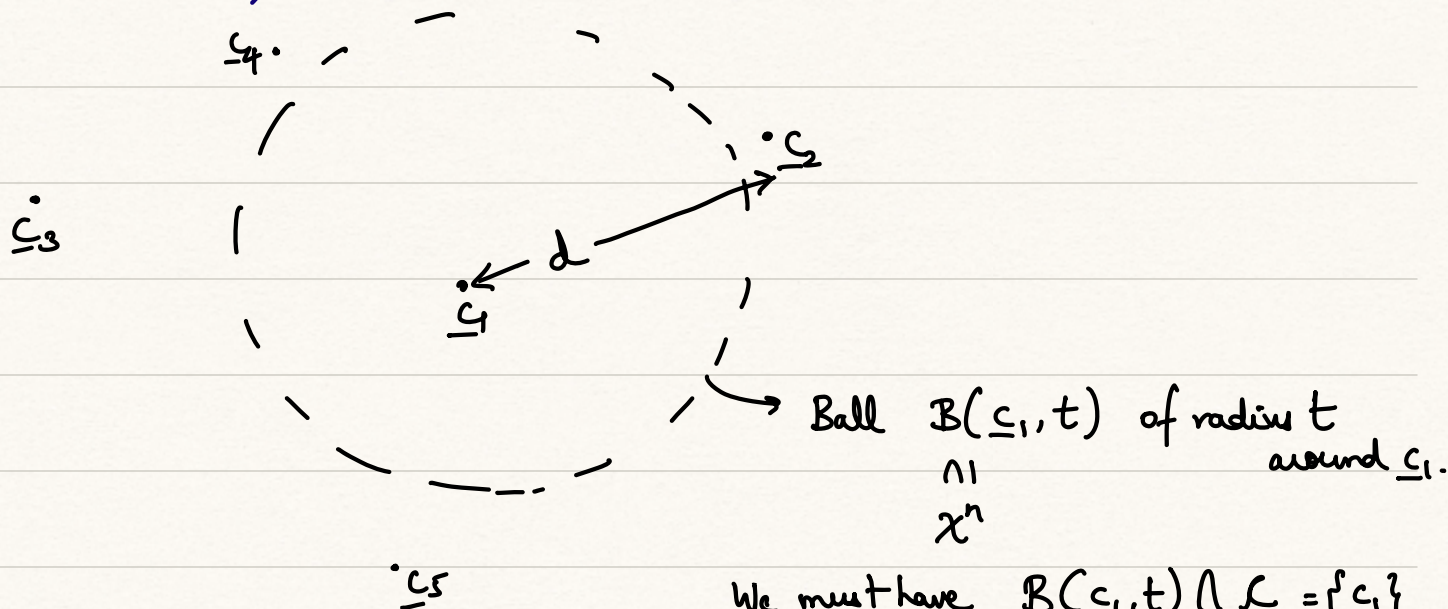
### Error detection/correction tied to distance

Thm 1: For an  $(n, M, d)$  block code  $\mathcal{L}$ ,  $\exists$  a decoder that detects up to  $d-1$  bit-flip errors.

This decoder obeys

$$\mathcal{D}(y) = \begin{cases} y, & \text{if } y \in \mathcal{L}, \\ \text{ERROR, o.w.} \end{cases}$$

Pf: (Picture)



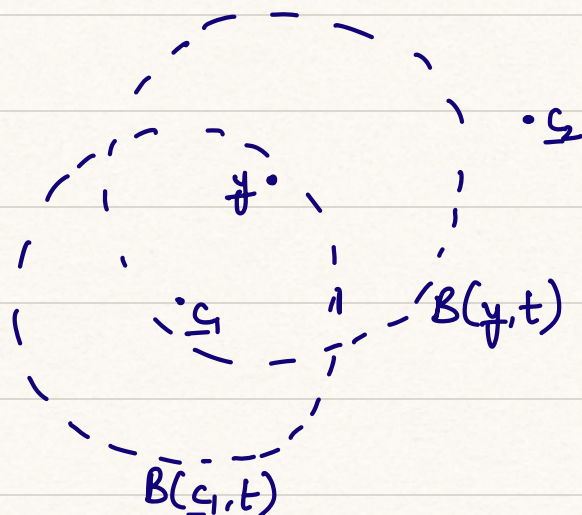
We must have  $B(c_1, t) \cap \mathcal{L} = \{c_1\}$ ,  
if  $t \leq d-1$ ; else, contradiction  $\square$

Thm 2: For an  $(n, M, d)$  block code  $\mathcal{L}$ ,  $\exists$  a decoder that corrects  $t \leq \lfloor \frac{d-1}{2} \rfloor$  bit-flip errors.

This decoder is the **minimum distance decoder**

$$\hat{\mathcal{C}}(y) = \underline{c}, \text{ if } B(y, t) \cap \mathcal{L} = \{\underline{c}\}.$$

Pf: (Picture)



We cannot have  $\underline{c}_2 \neq \underline{c}_1$  s.t.  $\underline{c}_2 \in B(y, t)$ , as then

$$\begin{aligned} d(\underline{c}_1, \underline{c}_2) &\leq d(\underline{c}_1, y) + d(\underline{c}_2, y) \\ &\leq 2t \leq 2 \cdot \lfloor \frac{d-1}{2} \rfloor < d, \end{aligned}$$

a contradiction.  $\square$

An aside: Erasures are channel noise that behave as follows:

$$\underline{c} = (c_1, c_2, \dots, c_{n-1}, c_n) \xrightarrow[\text{channel}]{\text{Erase}} y = (c_1, ?, c_3, \dots, c_{n-1}, ?)$$

Selected codeword symbols are replaced with a '?'.



Thm: For an  $(n, M, d)$  block code  $\mathcal{C}$ , there is a decoder that corrects up to  $d-1$  erasures.

Pf: HW. (state a decoder and prove its erasure-correction property).