

October 13, 2022

To,

The Manager (Listing)

BSE Limited

P.J. Towers, Dalal Street,

Mumbai – 400 001

Madam / Dear Sir,

Scrip Code - 958761, 959014, 959077, 959462, 959476, 960280, 959713

Ref: Intimation under Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”)

Pursuant to Regulation 15 (1A) and 27 and of the Listing Regulations, the Quarterly Compliance Report on Corporate governance for the quarter ended October 30, 2022 is enclosed as Annexure-1.

Kindly take the same on your record.

Yours truly,

For Unity Small Finance Bank Limited

Archana Goyal

Company Secretary

ACS 16004

Encl:a/a

■ **UNITY SMALL FINANCE BANK LIMITED :**
CIN : U65990DL2021PLC385568
Email : info@unitybank.co.in

■ **REGISTERED OFFICE :**
40, Basant Lok,
Vasant Vihar,
New Delhi 110057
Tel No. 011-47414100

■ **CORPORATE OFFICE :**
Centrum House, CST Road,
Vidyanagari Marg, Kalina,
Santacruz (E),
Mumbai 400098
Tel No. 022-42159000

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Unity Small Finance Bank Limited**
 2. Quarter ending - **30-Sep-2022**

I. Composition of Board of Directors												
Title (Mr. / Ms.)	Name of the Director	DIN	Category (Chairperson /Executive/ Non- Executive/ Independent/ Nominee)	Initial Date of Appointm ent	Date of Re- appoin tment	Date of cessa tion	Tenure (in months)	Date of Birth	No. of Directorship in listed entities including this listed entity (in Reference to Regulation 17A (1) of Listing Regulations)	No. of Independ ent Directorsh ip in listed entities including this listed entity (in Reference to Regulatio n17A(1) of Listing Regulatio ns)	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity (in Reference to Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity(in Reference to Regulation 26(1) of Listing Regulations)
1	Vinod Rai	00041867	Part time Chairman/Non- Executive /Independent Director	21-01-2022	NA	NA	8.3	23-05-1948	2	2	3	2
2	Subhash Kutte	00233322	Non-Executive /Independent Director	26-10-2021	NA	NA	11.1	04-11-1952	3	3	10	5
3	Basant Seth	02798529	Non-Executive /Independent Director	26-10-2021	NA	NA	11.1	16-02-1952	1	1	8	4
4	Sandip Ghosh	07482589	Non-Executive /Independent Director	26-10-2021	NA	NA	11.1	07-08-1953	0	0	3	1
5	Renu Basu	03550920	Non-Executive /Independent Director	28-01-2022	NA	NA	8.1	27-04-1960	0	0	1	0
6	Inderjit Camotra	09602543	Executive Director	05-08-2022	NA	NA	1.8	29-11-1964	0	0	0	0

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

II. Composition of Committees

Audit Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Basant Seth	Non-Executive & Independent Director	Member	18-November-2021	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	18-November-2021	NA
3	Sandip Ghosh	Non-Executive & Independent Director	Member	18-November-2021	NA

Company Remarks	
Whether Regular chairperson appointed	Mr. Basant Seth, Chairperson of the Audit Committee resigned as Chairperson of the Audit Committee on Sep 28, 2022 due to certain prior commitments. However, he continues to be a member of the Audit Committee. The Bank is in the process of appointing a regular Chairperson for the Audit Committee.

Stakeholders' Relationship Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Vinod Rai	Non-Executive & Independent Director	Chairperson	19-05-2022	NA
2	Sandip Ghose	Non-Executive & Independent Director	Member	19-05-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	19-05-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

Risk Management Committee					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Subhash Kutte	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Basant Seth	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Sandip Ghose	Non-Executive & Independent Director	Member	09-February-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

Nomination and Remuneration Committee					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sandip Ghose	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	09-February-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

III. Meeting of Board of Directors					
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant Quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
06-April-2022	05-Aug-2022	Yes	5	5	66
19-May-2022	01-09-2022	Yes	6	5	26
30-May-2022					

*Attendance details of Current Quarter meeting(s).

IV. Meeting of Committees						
<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
Audit Committee	19-May-2022	05-08-2022	Yes	3	3	77

*Attendance details of Current Quarter meeting(s).

V. Related Party Transactions	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee – **Yes**. Mr. Basant Seth, Chairperson of the Audit Committee resigned as Chairperson of the Audit Committee on Sep 28, 2022 due to certain prior commitments. However, he continues to be a member of the Audit Committee. The Bank is in the process of appointing a regular chairperson for the Audit Committee.
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee – **Yes**
 - d. Risk management committee - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been/shall be placed before Board of Directors. - **Yes***
b. Any comments/observations/advice of Board of Directors may be mentioned here: None

***The current report shall be placed before the Board at it's ensuing meeting to be held on or before November 15, 2022.**

Name : **Archana Goyal**
Designation : **Company Secretary**