

April 17, 2023

To,

The Manager (Listing)

BSE Limited

P.J. Towers, Dalal Street,

Mumbai – 400 001

Madam / Dear Sir,

Scrip Code - 959014, 959077, 959462, 959476, 959713

Ref: Intimation under Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Pursuant to Regulation 15 (1A) and 27 and of the Listing Regulations, the Quarterly Compliance Report on Corporate governance for the quarter ended March 31, 2023 is enclosed as Annexure-1.

Kindly take the same on your record.

Yours truly,

For Unity Small Finance Bank Limited

Archana Goyal

Company Secretary

ACS 16004

Encl:a/a

■ **UNITY SMALL FINANCE BANK LIMITED :**
CIN : U65990DL2021PLC385568
Email : info@unitybank.co.in

■ **REGISTERED OFFICE :**
40, Basant Lok,
Vasant Vihar,
New Delhi 110057
Tel No. 011-47414100

■ **CORPORATE OFFICE :**
Centrum House, CST Road,
Vidyanagari Marg, Kalina,
Santacruz (E),
Mumbai 400098
Tel No. 022-42159000

ANNEXURE I

1. Name of Listed Entity - **Unity Small Finance Bank Limited**
2. Quarter ending - **31-Mar-2023**

i. Composition of Board of Directors												
Title (Mr. / Ms.)	Name of the Director	DIN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure (in months)	Date of Birth	No. of Directorship in listed entities including this listed entity (in Reference to Regulation 17A (1) of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (in Reference to Regulation 17A(1) of Listing Regulations)	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity (in Reference to Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (in Reference to Regulation 26(1) of Listing Regulations)
1	Vinod Rai	00041867	Non-Executive - Independent Director & Chairperson	21-01-2022	NA	NA	14.9	23-05-1948	2	2	3	2
2	Subhash Kutte	00233322	Non-Executive Independent Director	26-10-2021	NA	NA	17.5	04-11-1952	3	3	8	4
3	Basant Seth	02798529	Non-Executive Independent Director	26-10-2021	NA	NA	17.5	16-02-1952	1	1	9	5
4	Sandip Ghose	07482589	Non-Executive Independent Director	26-10-2021	NA	NA	17.5	07-08-1953	0	0	3	1
5	Renu Basu	03550920	Non-Executive Independent Woman Director	28-01-2022	NA	NA	14.4	27-04-1960	0	0	1	0
6	Inderjit Camotra	09602543	Managing Director & CEO	05-08-2022	NA	NA	7.26	29-11-1964	0	0	0	0
7	David Rasquinha	01172654	Non-Executive Independent Director	20-10-2022	NA	NA	5.11	01-06-1961	1	1	2	2
8	Jaspal Singh Bindra	00128320	Non-Executive Non-Independent Director (Centrum Nominee Director)	24-03-2023	NA	NA	0.8	29-09-1960	1	0	1	0

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

II. Composition of Committees

Audit Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	David Rasquinha	Non-Executive & Independent Director	Chairperson	20-October-2022	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	18-November-2021	NA
3	Sandip Ghosh	Non-Executive & Independent Director	Member	18-November-2021	NA
4	Basant Seth	Non-Executive & Independent Director	Member	18-November-2021	08-February-2023

Company Remarks: Mr. Basant Seth, Independent Director and member of the Audit Committee resigned from the Committee w.e.f. the close of the working hours on Feb 08, 2023.	
Whether Regular chairperson appointed	Yes

Stakeholders' Relationship Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Vinod Rai	Non-Executive & Independent Director	Chairperson	19-05-2022	NA
2	Sandip Ghose	Non-Executive & Independent Director	Member	19-05-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	19-05-2022	NA

Company Remarks - None	
Whether Regular chairperson appointed	Yes

Risk Management Committee					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Subhash Kutte	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Basant Seth	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Sandip Ghose	Non-Executive & Independent Director	Member	09-February-2022	NA
4	David Rasquinha	Non-Executive & Independent Director	Member	20-October-2022	NA

Company Remarks	None
Whether Regular chairperson appointed	Yes

Nomination and Remuneration Committee					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sandip Ghose	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	09-February-2022	NA

Company Remarks - None	
Whether Regular chairperson appointed	Yes

III. Meeting of Board of Directors					
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant Quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
20-10-2022	08-02-2023	Yes	6	5	26
15-12-2022	24-03-2023	Yes	8	6	43

*Attendance details of Current Quarter meeting(s).

IV. Meeting of Committees						
<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
Audit Committee	20-10-2022	08-02-2023	Yes	3	3	54
Audit Committee	15-12-2022	06-03-2023	Yes	3	3	25

*Attendance details of Current Quarter meeting(s). This information has to be mandatorily be given for Audit Committee.

V. Related Party Transactions	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee – **Yes**.
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee – **Yes**
 - d. Risk management committee - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been/shall be placed before Board of Directors. - **Yes***
b. Any comments/observations/advice of Board of Directors may be mentioned here: **None**

**The current report shall be placed before the Board at it's ensuing meeting to be held on or before May 30, 2023.*

Name : Archana Goyal
Designation : Company Secretary & Compliance officer