

July 19, 2022

To,

The Manager (Listing)

BSE Limited

P.J. Towers, Dalal Street,

Mumbai – 400 001

Madam / Dear Sir,

Scrip Code - 958436, 958571, 958761, 959014, 959077, 959462, 959476, 960280, 959713, 960318 and 960395

Ref: Intimation under Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”)

Pursuant to Regulation 15 (1A) and 27 and of the Listing Regulations, the Quarterly Compliance Report on Corporate governance for the quarter ended June 30, 2022 is enclosed as Annexure-1.

Kindly take the same on your record.

Yours truly,

For Unity Small Finance Bank Limited

Archana Goyal
Company Secretary
ACS 16004

Encl:a/a

■ **UNITY SMALL FINANCE BANK LIMITED :**
CIN : U65990DL2021PLC385568
Email : info@unitybank.co.in

■ **REGISTERED OFFICE :**
40, Basant Lok,
Vasant Vihar,
New Delhi 110057
Tel No. 011-47414100

■ **CORPORATE OFFICE :**
Centrum House, CST Road,
Vidyanagari Marg, Kalina,
Santacruz (E),
Mumbai 400098
Tel No. 022-42159000

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Unity Small Finance Bank Limited**
 2. Quarter ending - **30-June-2022**

I. Composition of Board of Directors															
Title (Mr./ Ms.)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/ Non- Executive/ Independent/ Nominee)	Initial Date of Appointment	Date of Re- appointment	Date of cessation	Tenure (in months)	Date of Birth	Whether Special Resolution passed ? (Refer Reg. 17 (1A) of Listing Regulations)	Date of passing Special Resolution	No. of Directorship in listed entities including this listed entity (in Reference to Regulation 17A (1) of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (in Reference to Regulation 17A(1) of Listing Regulations)	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity (in Reference to Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity(in Reference to Regulation 26(1) of Listing Regulations)
1	Vinod Rai	00041867	AAAPR0522N	Part time Chairman/ Non- Executive /Independent Director	21-01-2022	NA	NA	16	23-05-1948	NA	NA	1	1	3	2
2	Subhash Kutte	00233322	ABDPK3695F	Non- Executive /Independent Director	26-10-2021	NA	NA	60	04-11-1952	NA	NA	3	3	8	4
3	Basant Seth	02798529	AEOPS3312P	Non- Executive /Independent Director	26-10-2021	NA	NA	60	16-02-1952	NA	NA	1	1	7	4
4	Sandip Ghosh	07482589	ACFPG9205N	Non- Executive /Independent Director	26-10-2021	NA	NA	60	07-08-1953	NA	NA	0	0	3	1
5	Renu Basu	03550920	AEKPB1378J	Non- Executive /Independent Director	28-01-2022	NA	NA	60	27-04-1960	NA	NA	1	0	1	0

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

II. Composition of Committees

Audit Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Basant Seth	Non-Executive & Independent Director	Chairperson	18-November-2021	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	18-November-2021	NA
3	Sandip Ghosh	Non-Executive & Independent Director	Member	18-November-2021	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

Stakeholders' Relationship Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Vinod Rai	Non-Executive & Independent Director	Chairperson	19-05-2022	NA
2	Sandip Ghose	Non-Executive & Independent Director	Member	19-05-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	19-05-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

Risk Management Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Subhash Kutte	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Basant Seth	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Sandip Ghose	Non-Executive & Independent Director	Member	09-February-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

Nomination and Remuneration Committee					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Appointment Date</i>	<i>Cessation Date</i>
1	Sandip Ghose	Non-Executive & Independent Director	Chairperson	09-February-2022	NA
2	Subhash Kutte	Non-Executive & Independent Director	Member	09-February-2022	NA
3	Renu Basu	Non-Executive & Independent Director	Member	09-February-2022	NA

Company Remarks	
Whether Regular chairperson appointed	Yes

III. Meeting of Board of Directors					
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant Quarter</i>	<i>Whether requirement of Quorum met*</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
06-January-2022	06-April-2022	Yes	5	5	55
09-February-2022	19-May-2022	Yes	5	5	42
	30-May-2022	Yes	4	4	10

*Attendance details of Current Quarter meeting(s).

IV. Meeting of Committees						
<i>Name of Committee</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>No of Directors' Present*</i>	<i>No of Independent Directors' Present*</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
Audit Committee	09-February-2022	19-May-2022	Yes	3	3	98
Risk Management Committee	28-March-2022	30-June-2022	Yes	3	3	93
Nomination & Remuneration Committee	-	13-May-2022	Yes	3	3	-
		14-June-2022	Yes	3	3	31

*Attendance details of Current Quarter meeting(s).

V. Related Party Transactions	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee – **Yes**
 - d. Risk management committee - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been/shall be placed before Board of Directors. - **Yes***
b. Any comments/observations/advice of Board of Directors may be mentioned here: None

***The current report shall be placed before the Board at it's ensuing meeting to be held on or before August 15, 2022.**

Name : **Archana Goyal**
Designation : **Company Secretary**

