The Miller Rabin Test

CS 719 Course Report

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§1. Introduction

In this report, we are concerned with finding an algorithm for the following problem.

Input: An integer $n > 1$.
Output: isPrime(n).

The simplest way to do this is by trial division. Indeed, we simply divide n by $2, 3, 4$, and so on, and see if the remainder is 0 in any case. As we know, we only need to divide by numbers up to $\sqrt{n}$. The issue with this algorithm is that it is extremely inefficient, requiring $\Theta(\sqrt{n})$ operations, which is *exponential* in the *bit length* $\text{len}(n)$. For example, if n has 100-decimal digits, it would take more than 10^{33} years to perform $\sqrt{n}$ divisions.

However, note that the above algorithm does *more* than what we expected from our algorithm. Namely, it not only tells us that the number is prime but also produces a nontrivial factor in the case that n is composite. Naïvely, one might think that it is necessary for us to produce a prime factor to claim that a number is composite. However, that is not the case.

In this report, we describe a much faster primality testing. This is a polynomial time algorithm. It allows for 100-decimal digits numbers to be tested in less than a second. Unlike the earlier algorithm, it does *not* give us a prime factor in the case that n is composite.

However, this algorithm is *probabilistic*. This means that the algorithm can make a mistake. Fortunately, one has control over this probability, and can make it arbitrarily small (but not zero).

For the rest of the talk, we shall assume that $n > 1$ is an *odd* integer. Let $n = p_1^{e_1} \cdots p_r^{e_r}$ be its prime factorisation.
By $\mathbb{Z}_n$, we shall denote the ring of integers modulo n. We have a ring homomorphism

$$\begin{aligned} \theta : \mathbb{Z}_n &\to \mathbb{Z}_{p_1^{e_1}} \times \cdots \times \mathbb{Z}_{p_r^{e_r}} \\ [a]_n &\mapsto ([a]_{p_1^{e_1}}, \cdots, [a]_{p_r^{e_r}}). \end{aligned}$$

In fact, the Chinese Remainder Theorems tells us that the above is an isomorphism. This gives us a group isomorphism between the group of invertible elements of the two rings as

$$(\mathbb{Z}_n)^* \xrightarrow{\cong} (\mathbb{Z}_{p_1^{e_1}})^* \times \cdots \times (\mathbb{Z}_{p_r^{e_r}})^*. \tag{1}$$

Several probabilistic primality tests, including the Miller–Rabin test, have the following general structure.

> Define $\mathbb{Z}_n^+$ to be the set of nonzero elements of $\mathbb{Z}_n$. Note that $|\mathbb{Z}_n^+| = n - 1$. Moreover, $\mathbb{Z}_n^+ = \mathbb{Z}_n^*$ iff n is prime. Suppose also that we define a set $L_n \subseteq \mathbb{Z}_n^+$ such that:
>
> 1. there is an efficient algorithm that on input n and $\alpha \in \mathbb{Z}_n^+$, determines if $\alpha \in L_n$;
> 2. if n is prime, then $L_n = \mathbb{Z}_n^*$; and
> 3. if n is composite, $|L_n| \leqslant c(n-1)$ for some universal constant $c < 1$.

Algorithm. To test for primality, we set a "repetition parameter" k, and choose random elements $\alpha_1, \ldots, \alpha_k \in \mathbb{Z}_n^+$. If $\alpha_i \in L_n$ for all $i \in \{1, \ldots, k\}$, then we output `true`; otherwise, we output `false`.

Observation 1. Let us note some properties of the above algorithm.

1. The algorithm is efficient since we can check $\alpha \in L_n$ efficiently.
2. If n is prime, then the algorithm outputs `true`, and it does so *correctly*.
3. If n is composite, then the algorithm *may* output `true`, with probability at most c^k.

In particular, note that there is a *one-sided error*. In fancy language, this is a *Monte Carlo algorithm*.

§2. First attempt

We now try to define a suitable candidate for L_n.

Definition 2.

$$L_n := \{\alpha \in \mathbb{Z}_n^+ : \alpha^{n-1} = 1\}. \tag{2}$$

Note that we can test $\alpha \in L_n$ efficiently, using a repeated-squaring algorithm.

Observation 3. It is easy to see that $L_n \subseteq \mathbb{Z}_n^*$. Indeed, α^{n-2} acts as the inverse of $\alpha \in L_n$.

However, one can even note that L_n is a *subgroup* of $\mathbb{Z}_n^*$. Indeed, defining $\varphi : \mathbb{Z}_n^* \to \mathbb{Z}_n^*$ to be the $(n-1)$-power map $x \mapsto x^{n-1}$, one sees that $L_n = \ker(\varphi)$.

Theorem 4. If n is prime, then $L_n = \mathbb{Z}_n^*$.
If n is composite *and* $L_n \subsetneq \mathbb{Z}_n^*$, *then* $|L_n| \leqslant \frac{1}{2}(n-1)$.

Proof. The first statement is clear. For the second, one recalls that L_n is a subgroup of Z_n^*. Thus, $\frac{|\mathbb{Z}_n^*|}{|L_n|}$ is a positive integer. Therefore, if the integer is not 1, it is at least 2. Hence, we see

$$|L_n| \leqslant \frac{1}{2}|\mathbb{Z}_n^*| \leqslant \frac{1}{2}(n-1).$$ □

However, there *are* infinitely many odd composite n for which $L_n = \mathbb{Z}_n^*$ and thus, they cannot be ignored.

Definition 5. An odd composite number n such that $L_n = \mathbb{Z}_n^*$ is called a *Carmichael number*.

Example 6. The smallest Carmichael number is $561 = 3 \cdot 11 \cdot 17$.

Theorem 7. n is a Carmichael number iff n is of the following form:

1. $n = p_1 \cdots p_r$ for distinct primes p_i,
2. $r \geqslant 3$,
3. $(p_i - 1) \mid (n-1)$ for all $i \in \{1, \ldots, r\}$.

Proof. Let $n = p_1^{e_1} \cdots p_r^{e_r}$ be a Carmichael number. Recalling (1), we have

$$\mathbb{Z}_n^* \cong \mathbb{Z}_{p_1^{e_1}}^* \times \cdots \times \mathbb{Z}_{p_r^{e_r}}^*.$$

Since $n-1$ annihilates the left group, it annihilates the right group. But this happens iff

$$p_i^{e_i-1}(p_i-1) \mid (n-1)$$

for all $i \in \{1, \ldots, r\}$ (since each factor on the right is a cycle group). In particular, $(p_1 - 1) \mid (n-1)$. Moreover, if $e_i > 1$ for some i, then $p_i \mid n-1$, a contradiction. Thus, $e_i = 1$ for all i.

Now, we must show that $r \geqslant 3$. For the sake of contradiction, assume that $r = 2$. In this case, we have $n = p_1p_2$ for some $p_1 > p_2$. We note that

$$n - 1 = p_1p_2 - 1 = (p_1 - 1)p_2 + (p_2 - 1).$$

The above shows that $p_1 - 1 \mid p_2 - 1$, a contradiction since $p_1 > p_2$.

Conversely, suppose n has the given form. Let a be coprime to n and hence, to each p_i. Then, by Fermat's Little Theorem, we have $a^{p_i-1} \equiv 1 \mod p_i$. Since $n-1$ is a multiple of $p_i - 1$, we get

$$a^{n-1} \equiv 1 \mod p_i$$

for all $i \in \{1, \ldots, r\}$. By the Chinese Remainder Theorem, we are now done. $\square$

§3. The Miller-Rabin test

We now define a new set L_n' as follows.

Definition 8. Let $n - 1 = t2^h$ where t is odd, and $h \geqslant 1$.

$$\begin{aligned} L_n' := \{\alpha \in \mathbb{Z}_n^+ : \alpha^{t2^h} &= 1 \text{ and} \\ \alpha^{t2^{j+1}} &= 1 \Rightarrow \alpha^{t2^j} = \pm 1 \\ &\text{for } j = 0, \ldots, h-1\}. \end{aligned} \tag{3}$$

The Miller-Rabin test uses this set L_n'. By definition, it is clear that $L_n' \subseteq L_n$, since we have the condition (2) from earlier.
In fact, L_n' is precisely the set of those elements of L_n which also satisfy (3).

Testing whether a given $\alpha \in \mathbb{Z}_n^+$ belongs to L_n' can be done using the following algorithm:

Algorithm (Testing membership).

1. $\beta \leftarrow \alpha^t$
2. if $\beta = 1$ then return `true`
3. for $j \leftarrow 0$ to $h-1$ do
    - if $\beta = -1$ then return `false`
    - if $\beta = 1$ then return `false`
    - $\beta \leftarrow \beta^2$
4. return `false`

This algorithm runs in time $O(\text{len}(n)^3)$ and thus, satisfies the first criteria.

Theorem 9. If n is prime, then $L'_n = \mathbb{Z}_n^*$. If n is composite, then $|L'_n| \leqslant \frac{1}{4}(n-1)$.

Proof. **Case 1.** n is prime.

Note that we have $L'_n \subseteq L_n = \mathbb{Z}_n^*$. Thus, it suffices to prove that $L_n \subseteq L'_n$. But this follows because $x^2 = 1 \Rightarrow x = \pm 1$ in a field.

Case 2. $n = p^e$ for a prime $p \geqslant 3$ and $e \geqslant 2$.

Recall that L_n is the kernel of the $(n-1)$-power map. Since $\mathbb{Z}_n^*$ is cyclic, it follows that $|L_n| = \gcd(\varphi(n), n-1)$. We can explicitly calculate it to get

$$\left|L'_n\right| \leqslant |L_n| = \gcd(p^{e-1}(p-1), p^e - 1) = p - 1 = \frac{p^e - 1}{p^{e-1} + \cdots + 1} \leqslant \frac{n-1}{4}.$$

Case 3. $n = p_1^{e_1} \cdots p_r^{e_r}$ is the standard prime factorisation of n, with $r > 1$.

Let $\theta : \mathbb{Z}_n \to \mathbb{Z}_{p_1^{e_1}} \times \cdots \times \mathbb{Z}_{p_r^{e_r}}$ be the ring isomorphism from earlier.
Write $n - 1 = t2^h$ and $\varphi(p_i^{e_i}) = t_i 2^{h_i}$ in the usual way, and let $g := \min\{h, h_1, \ldots, h_r\}$. Note that $g \geqslant 1$, and that each $\mathbb{Z}^*_{p_i^{e_i}}$ is a cyclic group of order $t_i 2^{h_i}$.

We first show that $\alpha^{t2^g} = 1$. By definition of L'_n, we may assume $g < h$. Now, suppose $\alpha^{t2^g} \neq 1$, and let j be the smallest index in $g, \ldots, h-1$ such that $\alpha^{t2^{j+1}} = 1$. By definition of L'_n, we have $\alpha^{t2^j} = -1$. Let i be such that $g = h_i$. Writing $\theta(\alpha) = (\alpha_1, \ldots, \alpha_r)$, we have $\alpha_i^{t2^j} = -1$. Thus, the order of α_i^t (in $\mathbb{Z}^*_{p_i^{e_i}}$) is equal to 2^{j+1}. But this is a contradiction since it does not divide $\left|\mathbb{Z}^*_{p_i^{e_i}}\right| = t_i 2^{h_i}$. $\qquad (\because j \geqslant g = h_i)$

For $j = 0, \ldots, h$, define ρ_j to be the $(t2^j)$-power map on $\mathbb{Z}_n^*$. From the previous claim, and the definition of L_n', it follows that $\alpha^{t2^{g-1}} = \pm 1\ \forall \alpha \in L_n'$. Thus, $L_n' \subseteq \rho_{g-1}^{-1}(\{\pm 1\})$ and hence,

$$|L_n|' \leqslant 2|\ker(\rho_{g-1})|. \tag{4}$$

Also,

$$|\ker(\rho_j)| = \prod_{i=1}^{r} \gcd(t_i 2^{h_i}, t2^j) \qquad \forall j \in \{0, \ldots, h\}.$$

Since $g \leqslant h$ and $g \leqslant h_i$ for all i, we get

$$2^r|\ker(\rho_{g-1})| = |\ker(\rho_g)| \leqslant |\ker(\rho_h)|. \tag{5}$$

Combining (4)-(5), we get

$$\left|L_n'\right| \leqslant 2^{-r+1}|\ker(\rho_h)| = \frac{|L_n|}{2^{r-1}}.$$

If $r \geqslant 3$, then we are done since $|L_n| \leqslant |Z_n^*| \leqslant n-1$, and $2^{r-1} \geqslant 4$.

If $r = 2$, then n is not a Carmichael number and thus,

$$\frac{|L_n|}{2^{r-1}} = \frac{|L_n|}{2} \leqslant \frac{1}{4}(n-1),$$

and we are again done. □