

# Topology, restricted

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July 29, 2021

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In this document, I will be talking about concepts that you already have seen but in a *slightly* more general perspective. Hopefully, this will help you in viewing these concepts in a more enlightened way.

The name of this document is so because I define every concept in terms of topological concepts but at the same time, just restrict ourselves to metric spaces.

## 1 Metric Spaces

When we talk about  $\mathbb{R}$  and see definitions of continuity and sequences, you may have observed that all we really require to define them is some sort of notion about “distance” between two numbers. We will now see an abstract notion of this “distance.”

**Definition 1.** *Let  $X$  be a set. We say that a function  $d : X \times X \rightarrow \mathbb{R}$  is a metric on  $X$  if it satisfies the following properties:*

1. *For all  $x, y \in X : d(x, y) \geq 0$ ; moreover,  $d(x, y) = 0 \iff x = y$ .*
2. *For all  $x, y \in X : d(x, y) = d(y, x)$ .*
3. *For all  $x, y, z \in X : d(x, z) \leq d(x, y) + d(y, z)$ .*

That last property is known as the *Triangle inequality*. Thus, the modulus of difference function is a function that is a metric on  $\mathbb{R}$ . (That is, the function  $d : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  defined as  $d(x, y) := |x - y|$ .)

However, it is *not* the only metric that could be defined on  $\mathbb{R}$ . For example, one could define  $d(x, y) := \frac{|x - y|}{1 + |x - y|}$  for  $x, y \in \mathbb{R}$ . It is an exercise for the reader to check that this actually is a metric. The first two conditions are easy to verify.

Another thing to observe is that we assume *nothing* about the set  $X$ . All we care about is defining a distance on it. Thus, one could very well take  $X := \{\text{apple}, \pi, \text{apple pie}\}$  and define a metric  $d : X \times X \rightarrow \mathbb{R}$  as:

$$d(x, y) := \begin{cases} 1 & x \neq y \\ 0 & x = y \end{cases}$$

Thus, there's no need for the set to have "numbers." In fact, the metric we just defined is a metric that is valid for any  $X$ . This is known as the *discrete metric* on  $X$ .

### Exercise 1

1. Verify that the discrete metric is actually a metric on  $X$ . (For any set  $X$ .)
2. Show that if  $d$  is a metric on  $X$ , then so is  $d' : X \times X \rightarrow \mathbb{R}$  defined as  $d'(x, y) := \frac{d(x, y)}{1 + d(x, y)}$ .
3. Given  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  and  $\mathbf{y} = (y_1, y_2, \dots, y_n) \in \mathbb{R}^n$ , we define  $\rho : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$  as

$$\rho(\mathbf{x}, \mathbf{y}) := \max\{|x_1 - y_1|, \dots, |x_n - y_n|\}.$$

Show that  $\rho$  is a metric on  $\mathbb{R}^n$ .

4. Show that the standard Euclidean distance on  $\mathbb{R}^n$  defined as

$$d(\mathbf{x}, \mathbf{y}) := \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}$$

is a metric on  $\mathbb{R}^n$ .

Now that we are familiar with what a metric is, let us define what a metric space is.

**Definition 2.** Given a set  $X$  with an associated metric  $d$ , we say that  $(X, d)$  is a *metric space*.

By abuse of notation, we often say that " $X$  is a metric space" and omit the mention of  $d$  if it is clear what  $d$  is.

The modulus function defined on  $\mathbb{R}$  is called the *standard metric* on  $\mathbb{R}$  and whenever we say " $\mathbb{R}$  with the standard (usual) metric," we shall refer to the metric space  $(\mathbb{R}, (x, y) \mapsto |x - y|)$ . Similarly, the Euclidean distance is the standard (usual) metric on  $\mathbb{R}^n$ .

One can observe that if  $(X, d)$  is a metric space and  $Y \subset X$ , then  $Y$  can naturally be regarded as a metric space by restricting the domain of  $d$  to  $Y \times Y$ . It can easily be verified that this new function is indeed a metric on  $Y$ .<sup>1</sup> We then say that  $Y$  is a *metric subspace* of  $X$ .

## 2 Open sets

**Definition 3.** Let  $(X, d)$  be a metric space and let  $p \in X$ . For  $r > 0$ , we define the set  $B_r(p) := \{q \in X : d(p, q) < r\}$ . This is called the  *$r$ -ball centered at  $p$* .

### Exercise 2

1. Describe the appearance of  $r$ -balls in  $\mathbb{R}$ ,  $\mathbb{R}^2$  and  $\mathbb{R}^3$ . (With the standard metric.)
2. Let  $X$  be a set with the discrete metric and let  $p \in X$ . Describe the set  $B_r(p)$  for  $r > 0$ . Take the cases  $0 < r < 1$  and  $r \geq 1$ .

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<sup>1</sup>Note that we say "new" function as it is a function with a different domain.

3. Let  $(X, d)$  be a metric space and let  $p \in X$ . Show that  $p \in B_r(p)$  for all  $r > 0$ .
4. Let  $(X, d)$  be a metric space and let  $p, q \in X$  such that  $p \neq q$ . Show that there exists  $r > 0$  such that  $q \notin B_r(p)$ .

**Definition 4.** Let  $(X, d)$  be a metric space. Let  $E$  be a subset of  $X$ . A point  $p \in E$  is said to be an **interior point** of  $E$  if there exists some  $r > 0$  such that the  $r$ -ball centered at  $p$  is contained in  $E$ .

What this intuitively means is that the point  $p$  is “inside”  $E$  and not on the “edge” or “boundary.”

**Definition 5.** Let  $(X, d)$  be a metric space. Let  $E$  be a subset of  $X$ .  $E$  is said to be **open in  $X$**  if every point of  $E$  is an interior point of  $E$ .

### Exercise 3

1. Show that any point of the interval  $I = (0, 1)$  is an interior point of  $I$ . That is, show that  $I$  is open in  $\mathbb{R}$ .
2. Show that 0 is *not* an interior point of the set  $[0, 1)$ . Thus,  $[0, 1)$  is not open in  $\mathbb{R}$ .
3. Let  $B^2 := \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$ . Show that  $B^2$  is open in  $\mathbb{R}^2$ .
4. Let  $(X, d)$  be a metric space. Show that  $X$  is open in  $X$ .

Note that it is important to mention the larger space in which we are talking about a set being open. As the last exercise shows, every set<sup>2</sup> is open in itself. What this means is that  $[0, 1)$  is actually open in  $[0, 1)$  even though it was not open in  $\mathbb{R}$ . (Recall that we can view a subset of a metric space as a metric space itself.)

This is because when we look at  $r$ -balls around 0 in  $\mathbb{R}$ , they look like  $(-r, r)$ . However, when we talk about  $r$ -balls around 0 in  $[0, 1)$ , they look like  $[0, r)$ . (Assuming  $r < 1$ .) Thus, choosing  $r = 1/2$  gives us that 0 is an interior point of  $[0, 1)$ .

### Exercise 4

1. Show that  $r$ -balls are always open.
2. Let  $(X, d)$  be a metric space and let  $Y \subset X$ . Show that  $U$  is open in  $Y$  if and only if there exists some set  $V$  open in  $X$  such that  $U = V \cap Y$ .
- 3.
4. Let  $Y$  be a subspace of  $X$ . Show that if  $A$  is open in  $Y$  and  $Y$  is open in  $X$ , then  $A$  is open in  $X$ .
5. Let  $E$  be a set and let  $E^\circ$  denote the set of interior points of  $E$ . Show that  $E^\circ$  is open. (Note that if you think that this is *obvious*, you might be not interpreting it correctly.)

Due to the first exercise above, we also refer to  $r$ -balls as “open balls.”

That is given a metric space  $(X, d)$  an open ball is any element of the collection  $\{B_r(x) : x \in X, r > 0\}$ .

**Definition 6.** Let  $(X, d)$  be a metric space and let  $x \in X$ . We say that a subset  $U$  of  $X$  is a **neighbourhood** of  $x$  if  $x \in U$  and  $U$  is open in  $X$ .

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<sup>2</sup>We are being lazy and saying just “set” when we really mean a set on which a metric has been defined.

To be precise, one should also mention the set in which  $U$  is a neighbourhood of  $x$  but we usually omit it if no confusion arises.

### Exercise 5

1. Let  $(X, d)$  be a metric space and  $U \subset X$  be open in  $X$ . Show that the  $U$  can be written as a union of open balls.
2. Fix  $n \in \mathbb{N}$ . Let  $d$  and  $\rho$  be defined as in Exercise 1. Show that a set is open in  $(\mathbb{R}^n, d)$  if and only if it is open in  $(\mathbb{R}^n, \rho)$ .  
Hint: Solve for the case  $n = 2$  first and observe what it means geometrically. Convert that into an algebraic proof.
3. Let  $X$  be any set and  $d$  be a metric defined on  $X$ . Define a new metric  $\bar{d}$  on  $X$  as  $\bar{d}(x, y) = \min\{1, d(x, y)\}$ . Show that a set is open in  $(X, d)$  if and only if it is open in  $(X, \bar{d})$ .

## 2.1 Some properties of open sets

Now we list some important properties of open sets.

Let  $(X, d)$  be a metric space. Then the following statements holds.

1.  $\emptyset$  and  $X$  are open.
2. Finite intersection of open sets is open.
3. Arbitrary unions of open sets is open.

$\emptyset$  being open is *vacuously true*. It's similar to how the statement "All my children are cats" is a true statement when said by someone who does not have children. If you don't like this, you could just accept that we are defining  $\emptyset$  to be empty.

### A side note

The reason we mention these properties separately is because these are the properties that a general topological space has. In fact, that's how a topological space is defined. In this document, we defined open sets using a very specific method (i.e., defining a metric). In topology, one studies a set  $X$  by defining a *topology* on the set which is simply a collection of subsets of  $X$  which are called *open sets* such that they follow the above mentioned properties.

Topological spaces are even more abstract than what we are studying. In fact, metric spaces are quite "well-behaved," in the sense that we have theorems on metric spaces that need not hold for more general topological spaces. One such example is what was mentioned in the exercises - given any two distinct points  $x$  and  $y$  in a metric space, there exist disjoint neighbourhoods  $U$  and  $V$  of  $x$  and  $y$ , respectively. This is not true in general for topological spaces.

## 3 Sequences

With the definition of open sets in place, we can talk about sequences.

**Definition 7.** Let  $X$  be any nonempty set. By a **sequence in  $X$** , we mean a function  $x$  defined on the set  $\mathbb{N}$  to the set  $X$ . It is customary to write  $x_n$  to denote  $x(n)$ . The sequence itself is denoted by  $(x_n)$ .

We now give two definitions of convergence of sequences.

**Definition 8.** Let  $(X, d)$  be a metric space and  $(x_n)$  be a sequence in  $X$ .  $(x_n)$  is said to converge to  $x$  if for every  $\epsilon > 0$ , there exists a natural number  $N$  such that  $n \geq N$  implies that  $d(x, x_n) < \epsilon$ . This  $x$  is called a limit of the sequence.

This is the familiar definition for convergence that you have seen. We now phrase it in a different but equivalent (check!) manner.

**Definition 9.** Let  $(X, d)$  be a metric space and  $(x_n)$  be a sequence in  $X$ .  $(x_n)$  is said to converge to  $x$  if for every neighbourhood  $U$  of  $x$ , all but finitely many elements of the sequence are in  $U$ . This  $x$  is called a limit of the sequence.

It can be seen how the latter definition can be appropriately modified to be applicable to any topological space. All we would need to do is start with “Let  $(X, \mathcal{T})$  be a topological space.”

**Definition 10.** A sequence in  $X$  is said to converge if there exists  $x \in X$  such that the sequence converges to  $x$ . Also, we denote that  $x$  is a limit of a sequence  $(x_n)$  by writing  $\lim_{n \rightarrow \infty} x_n = x$  or  $x_n \rightarrow x$ .

Once again, one needs to be careful when talking about the space in which a sequence converges. For example, the sequence  $x_n := 1/n$  can be viewed as a sequence in  $\mathbb{R}$  or in  $\mathbb{R}^+$ . However, it converges only in the former.

## Exercise 6

Assume that we are talking about metric spaces when solving the following.

1. Show that the two definitions of convergence are equivalent.
2. Show that if a sequence does have a limit, then it must be unique. Try to argue by just using the open set definition.

The last exercise depends on the existence of disjoint neighbourhoods of distinct points that we mentioned earlier. In general topological spaces, there are examples of sequences which have more than one limit.

## 4 Limit points and Closed sets

**Definition 11.** Let  $(X, d)$  be a metric space and  $E \subset X$ . A point  $p \in X$  is called a **limit point** of  $E$  if every neighbourhood of  $p$  contains a point  $q \neq p$  such that  $q \in E$ .

Note that the definition does not require that  $p$  actually be an element of  $E$ . As usual, it matters what the bigger set is.

The set of limit points of  $E$  is denoted by  $E'$ .

### Exercise 7

1. Following the conventions of the above definition, let  $X = \mathbb{R}$  and  $E = (0, 1)$ . Show that  $E' = [0, 1]$ . Does your answer change if we consider  $X = E = (0, 1)$ ?
2. Let  $X = \mathbb{R}$  and  $E = \mathbb{N}$ . Show that  $N' = \emptyset$ . Does your answer change if we consider  $X = E = \mathbb{N}$ ?
3. Let  $X = \mathbb{R}$ . What is  $\mathbb{Q}'$ ?
4. Let  $X = E = \mathbb{R}$ . What is  $E'$ ?

**Definition 12.** Let  $(X, d)$  be a metric space and  $E \subset X$ .  $E$  is **closed** in  $X$  if its complement is open in  $X$ .

Once again, it is important to note that it does not make sense to talk about a set being closed by itself. It depends on the space we are in.

**Theorem 1.** A set is closed if and only if it contains all of its limit points.

*Proof.* Suppose that  $E \subset X$  is closed. Let  $p$  be a limit point of  $E$ . Assume that  $p \in E^c$ . As  $E^c$  is open,  $p$  is an interior point of  $E^c$ , by definition. Thus, there exists  $r > 0$  such that  $B_r(p) \subset E^c$ . But this means that there exists a neighbourhood of  $p$  which is disjoint from  $E$ . This contradicts the fact that  $p$  was a limit point of  $E$ . Thus,  $p \in E$ , as desired.

Conversely, suppose that  $E' \subset E$ . We shall show that  $E^c$  is an open set. Let  $p \in E^c$ . As  $E' \subset E$ ,  $p$  is not a limit point of  $E$ . Thus, there exists  $r > 0$  such that  $B_r(p) \cap E$  does not contain any point, except possibly  $p$ . As  $p \in E^c$ , we have it that  $B_r(p) \cap E \neq \emptyset$ . Thus,  $B_r(p) \subset E^c$ . This shows that  $p$  is an interior point of  $E^c$ . As  $p$  was arbitrary,  $E^c$  is an open set.  $\square$

It is important to note that being *closed* is **not** the same as *not being open*.

As an example, it is easy to verify that  $\mathbb{R}$  is both open and closed in  $\mathbb{R}$ . The set  $(0, 1]$  is neither open nor closed in  $\mathbb{R}$ . This is because 1 is in the set but it is not an interior point and 0 is a limit point of the set which is not in the set.

Any set with no limit points is vacuously closed.

### Exercise 8

1. Let  $(X, d)$  be a metric space and let  $Y \subset X$ . Show that  $U$  is closed in  $Y$  if and only if there exists some set  $V$  closed in  $X$  such that  $U = V \cap Y$ .
2. Let  $Y$  be a subspace of  $X$ . Show that if  $A$  is closed in  $Y$  and  $Y$  is closed in  $X$ , then  $A$  is closed in  $X$ .
3. Show that the set  $K = \left\{ \frac{1}{n} : n \in \mathbb{N} \right\}$  is not closed in  $\mathbb{R}$  but  $K \cup \{0\}$  is.
4. Let  $C$  be a closed set and  $(x_n)$  be any sequence in  $C$ . Show that if  $(x_n)$  converges, then  $\lim_{n \rightarrow \infty} x_n \in C$ .  
Give an example to show that without the hypothesis of  $C$  being closed, this is not necessarily true.

5. Let  $E$  be any subset of a metric space  $X$ . Show that if  $(x_n)$  is a convergent sequence in  $E$ , then  $\lim_{n \rightarrow \infty} x_n \in E'$  **is not necessary**.
6. Let  $E$  be any subset of a metric space  $X$ . Show that if  $(x_n)$  is a convergent sequence in  $E$  which is not eventually constant, then  $\lim_{n \rightarrow \infty} x_n \in E'$ .
7. Let  $E$  be any subset of a metric space  $X$ . Show that if  $x \in E'$ , then there exists a sequence  $(x_n)$  in  $E$  such that  $x_n \rightarrow x$ . (Note that this statement is true even if  $E' = \emptyset$ .)
8. Fill out the table -

$(X, d)$	$E$	$E$ is open in $X$	$E$ is closed in $X$
$\mathbb{R}$ (standard metric)	$\mathbb{Q}$		
$\mathbb{R}$ (standard metric)	$\mathbb{N}$		
$\mathbb{R}$ (standard metric)	$[a, b]$ for any $a, b \in \mathbb{R}$		
$\mathbb{R}$ (standard metric)	$(a, b)$ for any $a, b \in \mathbb{R}$		
$\mathbb{R}$ (standard metric)	$(a, \infty)$ for any $a \in \mathbb{R}$		
$\mathbb{R}$ (standard metric)	$[a, \infty)$ for any $a \in \mathbb{R}$		
$\mathbb{R}^2$ (standard metric)	$\mathbb{R}^2 \setminus \{(0, 0)\}$		
$\mathbb{R}^2$ (standard metric)	$\mathbb{R}^2 \setminus \{(x, 0) \in \mathbb{R}^2 : x \in \mathbb{R}\}$		
$\mathbb{R}^2$ (standard metric)	$\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$		
Any $X$ with the discrete metric	Any subset $E$ of $X$		
Any $(X, d)$	$X$		
Any $(X, d)$	$\emptyset$		

9. Give an example to show that  $E$  and  $E'$  do not necessarily have the same limit points.

## 4.1 Some properties of closed sets

Analogous to the properties of open sets, we have the following property for closed sets. Let  $(X, d)$  be a metric space. Then the following statements holds.

1.  $\emptyset$  and  $X$  are closed.
2. Arbitrary intersections of closed sets is closed.
3. Finite union of closed sets is closed.

These follow directly from the definition of closed sets and DeMorgan's laws of complement. (Check!)

### Exercise 9

1. Give an example to show that arbitrary intersections of open sets might not be open. (Hint: Consider  $A_n = (-\frac{1}{n}, \frac{1}{n})$ .)
2. Give an example to show that arbitrary unions of closed sets might not be closed. (Hint: Consider  $A_n = [\frac{1}{n}, 2 - \frac{1}{n}]$ .)

(Make sure that you don't justify the first one by simply writing that the intersection is *closed*. "Closed" does not necessarily mean "not open." Similar thing is to be kept in mind for the second part.)