

# Classical Invariant Theory

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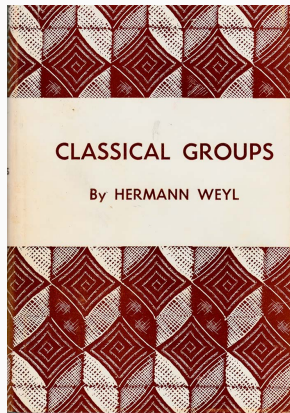
University of Utah

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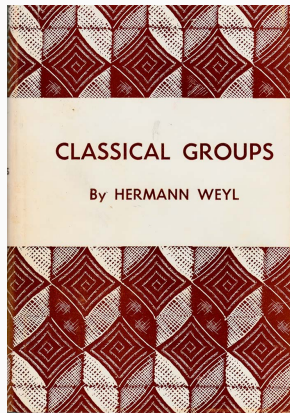
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These groups have their natural actions on  $V = \mathbb{C}^n$ .



## What are invariants?

From Fulton, Harris: The goal is to find those polynomials  $f(x^{(1)}, \dots, x^{(m)})$  of  $m$  variables on  $V$  which are invariant by  $G$ .

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If one wishes to be coordinate-free, these notions can be defined in terms of symmetric algebras, duals, and tensor products.

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Note: This would work for any infinite field.

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## Invariants for $O$ and $Sp$

For  $O_n$  and  $Sp_n$ , we revert to our usual action of  $A$  acting via  $X \mapsto AX$ . As before, the obvious symbolically fixed elements give us all.

For example,  $A \in O_n$  acts as

$$X^T X \mapsto (X^T A^T)(AX) = X(A^T A)X = X^T X.$$

### Theorem

$$\mathbb{C}[X_{n \times m}]^{O_n} = \mathbb{C}[X^T X] \text{ and } \mathbb{C}[X_{n \times m}]^{Sp_n} = \mathbb{C}[X^T \Omega X].$$

## A Brief Word about the proofs

Define the following operators on  $R := \mathbb{C}[X_{n \times m}]$ :

$$D_{ij} = \sum_{k=1}^n x_{ki} \frac{\partial}{\partial x_{kj}} \quad \text{and} \quad \Omega = \det \left[ \frac{\partial}{\partial x_{ij}} \right]_{1 \leq i, j \leq m}.$$

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The *Capelli identity* says that

$$\det \begin{bmatrix} D_{11} + m - 1 & D_{12} & \cdots & D_{1m} \\ D_{21} & D_{22} + m - 2 & \cdots & D_{2m} \\ \vdots & \vdots & \ddots & \vdots \\ D_{m1} & D_{m2} & \cdots & D_{mm} \end{bmatrix} = \det(X_{n \times m}) \cdot \Omega$$

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as operators on  $R$  when  $n = m$ .

If  $F$  is an invariant of  $G$ , so is each  $D_{ij}(F)$ . Note that  $D_{ij}$  lowers the  $j$ -th degree by 1 while increasing the  $i$ -th degree by 1. Induct...

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However, in positive characteristic, the groups are not linearly reductive ( $\approx$  semisimple).

In particular, in characteristic zero, the inclusion

$$R^G \subset R$$

splits (as  $R^G$ -modules) when  $G$  is a classical group with the natural action.

## When do they split in positive characteristic?

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**Theorem 1.1.** *Let  $K$  be a field of characteristic  $p > 0$ . Fix positive integers  $d, m, n$ , and  $t$ , and let  $R \subseteq S$  denote one of the following inclusions:*

- (a)  $K[YZ] \subseteq K[Y, Z]$ , where  $Y$  and  $Z$  are  $m \times t$  and  $t \times n$  matrices of indeterminates;
- (b)  $K[Y^t \Omega Y] \subseteq K[Y]$ , where  $Y$  is a  $2t \times n$  matrix of indeterminates;
- (c)  $K[Y^t Y] \subseteq K[Y]$ , where  $Y$  is a  $d \times n$  matrix of indeterminates;
- (d)  $K[\{\Delta\}] \subseteq K[Y]$ , where  $Y$  is a  $d \times n$  matrix of indeterminates with  $d \leq n$ .

*Then  $R \subseteq S$  is pure if and only if, in the respective cases,*

- (a)  $t = 1$  or  $\min\{m, n\} \leq t$ ;
- (b)  $n \leq t + 1$ ;
- (c)  $d = 1$ ;  $d = 2$  and  $p$  is odd;  $p = 2$  and  $n \leq (d + 1)/2$ ; or  $p$  is odd and  $n \leq (d + 2)/2$ ;
- (d)  $d = 1$  or  $d = n$ .

These cases are essentially the “obvious” ones: in these cases, either the subring  $R$  is regular, or the corresponding group was linearly reductive.

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These cases are essentially the “obvious” ones: in these cases, either the subring  $R$  is regular, or the corresponding group was linearly reductive.

Note that the above theorem applies even if  $K$  is finite; however, the subring does not arise from the corresponding group action in those cases.

## What does this mean?

Concretely: let us consider the inclusion  $R \subset S$  where  $S = \mathbb{Q}[X_{2 \times 3}]$  and  $R = \mathbb{Q}[\Delta_1, \Delta_2, \Delta_3]$  is the subring generated by the three  $2 \times 2$  minors of  $X$ .

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What this means is that for every prime  $p$ , there is some monomial  $m_p \in S$  such that the expression for  $f(m_p)$  in terms of the  $\Delta_i$  has a  $p$  in the denominator.

# Finite fields?

## Natural question

What are the invariant subrings when  $K = \mathbb{F}_p$ ?

Even the first action of  $\mathrm{GL}_n(K)$  on  $K[X_{n \times m}]$  is not trivial now.

Thank you for your attention!

## References

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- [1] Melvin Hochster, Jack Jeffries, Vaibhav Pandey, and Anurag K. Singh. **“When are the natural embeddings of classical invariant rings pure?”** In: *Forum Math. Sigma* 11 (2023), Paper No. e67, 43. ISSN: 2050-5094. DOI: 10.1017/fms.2023.67. URL: <https://doi.org/10.1017/fms.2023.67>.