

# Lecture 1 (22-08-2022)

22 August 2022 10:43

## Lee's - Introduction to Smooth Manifolds.

<http://www.math.utah.edu/~wortman/6510.pdf>

- weekly homeworks (Friday - Friday)
- 1 Midterm
- 1 Final (Similar to Quals)



Defn. A (topological)  $n$ -manifold is a Hausdorff, second-countable topological space  $M$  with the following property:  
for every  $p \in M$ ,  $\exists$  nbd  $U \subset M$  of  $p$ ,  $\exists V \subseteq \mathbb{R}^n$  nbd and a homeomorphism  $\varphi: U \rightarrow V$ .

Compatibility? Suppose that  $\varphi_i: U_i \rightarrow V_i$  ( $i = 1, 2$ ) are homeomorphisms as mentioned above



Note :  $\varphi_2 \circ \varphi_1^{-1}$  is a homeomorphism.  
↓  
defined on  $\varphi_1(U_1 \cap U_2)$  ↓  
Was not part  
of any definition!

Later, we will need to talk about diffeomorphisms.  
(As of now, makes no sense to talk about  $\varphi_1, \varphi_2$  being differ.)

## Lecture 2 (24-08-2022)

Wednesday, August 24, 2022 10:44 AM

Recall:  $F: U \xrightarrow{\text{op}} \mathbb{R}^m$  is differentiable at  $x \in \mathbb{R}^n$  if  
 $\exists L: \mathbb{R}^n \rightarrow \mathbb{R}^m$  linear s.t.

$$\lim_{v \rightarrow 0} \frac{f(x+v) - f(x) - L(v)}{\|v\|} = 0.$$

We denote  $L = Df(x)$ .

We say  $f$  is continuously differentiable if  $f$  is differentiable at every  $x \in U$  and  $x \mapsto Df(x)$  is continuous. (Note: the space of linear transforms  $\mathbb{R}^n \rightarrow \mathbb{R}^m$  has a natural topology.)

Thm.  $F$  is continuously differentiable  $\iff$  every partial derivative of  $F$  exists and is continuous.

Thm. (Inverse Function Theorem)

Suppose  $U \subseteq \mathbb{R}^n$  open and  $F: U \rightarrow \mathbb{R}^n$  is differentiable in a nbd of  $x$  such that  $Df$  is continuous and invertible at  $x$ .

Then,  $F$  is invertible on a nbd of  $x$  and

$$D(F^{-1})(F(x)) = (DF(x))^{-1}.$$

Defn. Let  $U, V \subseteq \mathbb{R}^n$  be open sets.

$F: U \rightarrow V$  is a diffeomorphism if

- $F$  is a bijection,
- $F$  and  $F^{-1}$  are continuously differentiable.

Non-example:  $x \mapsto x^3$  is a cont. diff. bijection from  $\mathbb{R}$  to  $\mathbb{R}$ .  
 But the inverse is not diff at 0.

Corollary, If  $U \subset \mathbb{R}^n$  is open,  $F: U \rightarrow \mathbb{R}^n$  is a bijection onto its image,  $F$  is  $C^\infty$ , and  $DF(x)$  is invertible for all  $x \in U$ , then  $F$  is a diffco onto its image.

Thm (Chain Rule)

If

$$\mathbb{R}^n \xrightarrow{F} \mathbb{R}^m \xrightarrow{G} \mathbb{R}^d$$

are differentiable, then  $G \circ F$  is differentiable and

$$D(G \circ F)(x) = Dg(F(x)) \circ DF(x), \quad \text{for } x \in \mathbb{R}^n.$$

(Can write a local version...)



Recall. A topological  $n$ -manifold is a space  $M$  which is  
 ① Hausdorff,  
 ② second-countable,  
 ③ locally Euclidean.

Defn. Let  $M$  be a topological  $n$ -manifold.  
 An atlas of charts is any collection

$$\mathcal{A} = \{(U_\alpha, \varphi_\alpha) : \alpha\}$$

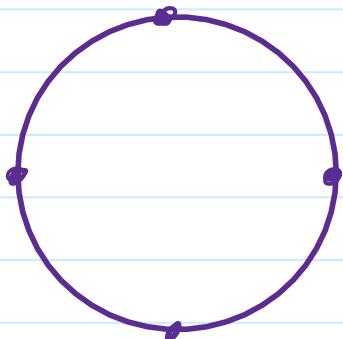
chart Here,  $U_\alpha \subset M$  are open and  $\varphi_\alpha: U_\alpha \rightarrow \mathbb{R}^n$  are homeo onto the image.

and  $\varphi_\alpha : U_\alpha \rightarrow \mathbb{R}^n$  are homeo onto the image.

such that  $\bigcup_\alpha U_\alpha = M$ .

The atlas is smooth if  $\varphi_\alpha \circ \varphi_\beta^{-1} : \varphi_\beta(U_\alpha \cap U_\beta) \rightarrow \varphi_\alpha(U_\alpha \cap U_\beta)$  are  $C^\infty$  (diffeos) whenever  $U_\alpha \cap U_\beta \neq \emptyset$ .  
 follows automatically

Example. The following is a smooth atlas for  $S^1$ .



$$\begin{aligned} U_{h,+} &= \{x \in S^1 : x_1 > 0\}, \\ U_{h,-} &= \{x \in S^1 : x_1 < 0\}, \\ U_{v,+} &= \{x \in S^1 : x_2 > 0\}, \\ U_{v,-} &= \{x \in S^1 : x_2 < 0\}. \end{aligned}$$

The inverse of the  $\varphi$ s are given as:

$$\begin{aligned} \varphi_{h,+}^{-1}(t) &= (\cos t, \sin t) & t \in (-\pi/2, \pi/2), \\ \varphi_{h,-}^{-1}(t) &= (\cos t, \sin t) & t \in (\pi/2, 3\pi/2), \\ \varphi_{v,+}^{-1}(t) &= (\cos t, \sin t) & t \in (0, \pi), \\ \varphi_{v,-}^{-1}(t) &= (\cos t, \sin t) & t \in (\pi, 2\pi). \end{aligned}$$

Check  $\varphi_{..} \circ \varphi_{..}^{-1}$  is smooth whenever there are overlaps. They will either be the id or  $\text{id} \pm 2\pi$ .

Def. A smooth atlas is called maximal if it is not properly contained in any smooth atlas. A maximal atlas is also called a smooth structure.

Prop. (1.17. in Lee) If  $\mathcal{A}$  is a smooth atlas on  $M$ , there is a unique smooth structure on  $M$  containing  $\mathcal{A}$ .

Prop. (1.11. in Lee) If  $\mathcal{A}$  is a smooth atlas on  $M$ , then there is a unique smooth structure on  $M$  containing  $\mathcal{A}$ .

Proof. Suppose  $\mathcal{A}$  is a smooth atlas on  $M$ .

Let  $\tilde{\mathcal{A}}$  denote the set of charts  $(U, \varphi)$  such that if  $U \cap U_\alpha \neq \emptyset$  (for some  $U_\alpha \in \mathcal{A}$ ), then  $\varphi_\alpha \circ \varphi^{-1}$  is an appropriate diffeo.

$\tilde{\mathcal{A}}$  is the desired maximal smooth structure. (Dect...)  $\square$

## Lecture 3 (26-08-2022)

Friday, August 26, 2022 10:42 AM

Recall: A topological  $n$ -manifold is

- (1) Hausdorff,
- (2) second countable,
- (3) locally  $n$ -Euclidean.

- A smooth atlas is a collection of charts covering  $M$  with  $C^\infty$  transition maps.
- A smooth structure is a maximal smooth atlas (can always be constructed uniquely from a smooth atlas).

Warning: Manifolds forget a lot of information from their natural embedding in  $\mathbb{R}^n$ . [One goal: any manifold can be embedded in some  $\mathbb{R}^N$ .]  
Examples of things not remembered: distances, directions (latitude, longitude, etc.)

- What is remembered in: which functions are smooth.
- Tangent spaces

Defn. Let  $M = (M, \mathcal{F})$  be a smooth  $n$ -manifold. A  $k$ -foliation on  $M$  is determined by a special  $n$ -atlas of charts  $\mathcal{F}$  such that

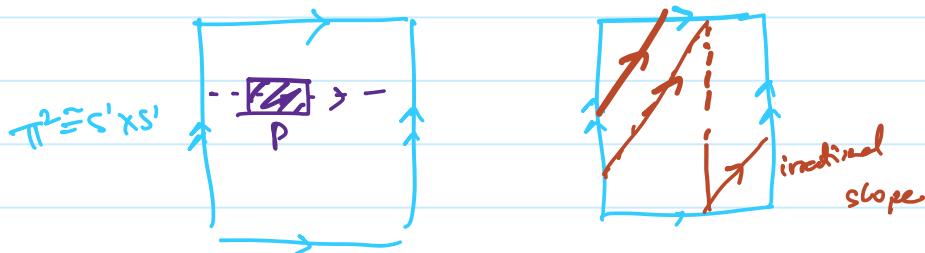
$$\varphi, \psi \in \mathcal{F} \Rightarrow \forall x \in \mathbb{R}^{n-k} \exists y \in \mathbb{R}^{n-k} \text{ s.t. } (\varphi \circ \psi^{-1})(\mathbb{R}^k \times \{x\}) \subseteq \mathbb{R}^k \times \{y\}.$$

$\begin{bmatrix} \varphi: U \rightarrow \mathbb{R}^k \times \mathbb{R}^{n-k} \\ \psi: V \rightarrow \mathbb{R}^k \times \mathbb{R}^{n-k} \end{bmatrix}$

$\psi^{-1}(\mathbb{R}^k \times \{x\})$  is a local leaf

for  $p \in M$ :  $L(p) = \text{collection of points}$

for  $p \in M$ :  $L(p) =$  collection of points  
reached through paths  
contained in local leaves.

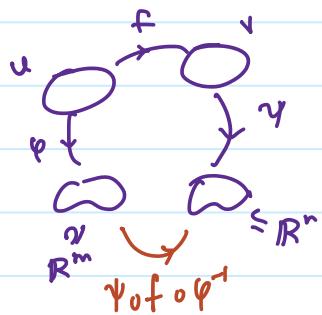


## Lecture 4 (29-08-2022)

Monday, August 29, 2022 10:36 AM

Defn. Let  $M, N$  be manifolds.

A continuous map  $f: M \rightarrow N$  is called **smooth** (or  $C^\infty$ ) if for any pair of charts  $(U, \varphi)$  and  $(V, \psi)$  for  $M$  and  $N$ , respectively such that  $f(U) \subseteq V$ , we have that



$\psi \circ f \circ \varphi^{-1}$  is  $C^\infty$ .

(This makes sense since this map is between open subsets of Euclidean space.)

All. defn. For every  $p \in M$ ,

- $\exists$  chart  $(U, \varphi)$  s.t.  $p \in U$ ,
- $\exists$  chart  $(V, \psi)$  s.t.  $f(p) \in V$ ,  
 $f(U) \subseteq V$  and  $\psi \circ f \circ \varphi^{-1}$  is  $C^\infty$ .

Defn.  $f$  is a diffeomorphism if

- $f$  is bijective,
- $f$  is  $C^\infty$ ,
- $f^{-1}$  is  $C^\infty$ .

} Concept of isomorphism in the category of smooth manifolds

Remark. Whenever  $M$  is a smooth manifold with smooth structure  $S$  and  $f: M \rightarrow N$  is a homeo,

$$f_*(S) = \{ (f(U), \psi \circ f^{-1}) : (U, \varphi) \in S \}$$

is a smooth structure on  $N$ .

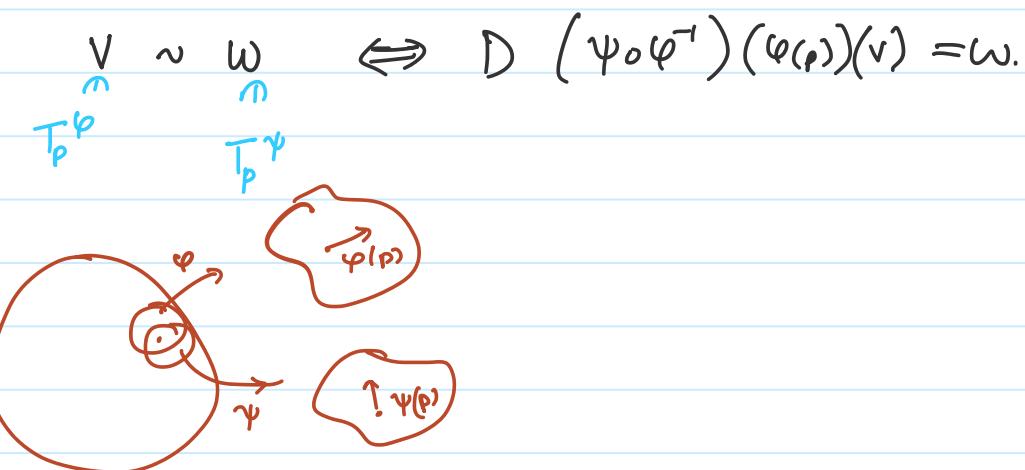


# Tangent Spaces

Defn. Let  $M$  be a smooth manifold, and  $p \in M$ .  
Let  $(U, \varphi)$  be a chart containing  $p$ .

$$\text{let } T_p^{\varphi} := T_{\varphi(p)}(\mathbb{R}^n) := \mathbb{R}^n.$$

$$\text{Finally } T_p(M) := \bigcup_{\psi} T_p^{\psi} M / \sim.$$



Remark.  $T_p M$  is a vector space and isomorphic  
to  $\mathbb{R}^n$ .

Defn. The tangent bundle is the set  $TM := \bigcup_{p \in M} T_p M$ .

- Next week's Hw:
- $TM$  has a canonical smooth manifold structure induced from  $M$ .
  - $TM$  ... "rank 1"?

induced from  $M$

- $TM$  is a "vector bundle".
- $TM$  is not always diffeomorphic to  $M \times \mathbb{R}^n$ .  
(If so, then the manifolds are called "parallelizable".)

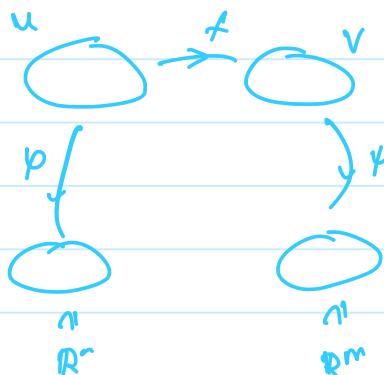
Defn.

If  $f: M \rightarrow N$  is a  $C^\infty$  function, and  $p \in M$ , then

$$Df(p) : T_p M \rightarrow T_{f(p)} N$$

is the linear transformation given by

$Df(p) = D(\psi \circ f \circ \varphi')$  acting  
on  $T_p^{\varphi}(M)$ .



Claim:  $Df(p)$  is welldefined.

Proof Let  $\hat{\varphi}$  and  $\tilde{\varphi}$  be different charts s.t. ...  
 $v \in T_p^{\varphi} M$   
 $v \sim D(\hat{\varphi} \circ f \circ \varphi')(v(p))(v)$   
!!

To show:  $D(\psi \circ f \circ \varphi')(v(p))(v)$   
"

$D(\hat{\varphi} \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v})$

$$\begin{aligned}\omega &:= D(\psi \circ f \circ \varphi')(v(p))(v) \\ &= D(\psi \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v})\end{aligned}\quad \text{) chain rule}$$

$$\begin{aligned}\hat{\omega} &:= D(\hat{\varphi} \circ f \circ \varphi')(v(f(p)))(\omega) \sim \omega \\ &= D(\hat{\varphi} \circ f \circ \hat{\varphi}^{-1})(\hat{\varphi}(p))(\hat{v}).\end{aligned}\quad \text{②}$$

## Lecture 5 (31-08-2022)

31 August 2022 10:44

### Manifolds & (Discrete) Group Actions

$\Gamma \rightsquigarrow$  group (countable)  
 $M \rightsquigarrow$  smooth manifold

$\alpha : \Gamma \longrightarrow \text{Diff}^{\infty}(M)$   $\stackrel{=\text{Aut}(M)}{\text{all } C^{\infty} \text{ diffeos from } M \text{ to } M}$   
 (composition)  
 group homomorphism

We denote the above by  $\alpha : \Gamma \curvearrowright M$  or  $\Gamma \curvearrowright M$ .

Ex:  $\mathbb{R}^2 \curvearrowright \mathbb{R}^2$   
 $v \mapsto T_v$ .

$$T_v(n) = n + v.$$

We will restrict  
 to countable groups though.

Similarly,  $\mathbb{Z} \curvearrowright \mathbb{R}$ ,  $n \mapsto T_n$ .

Defn: If  $\Gamma \curvearrowright M$ , then the orbit of  $x^{\text{EM}}$  is given by

$$\Gamma \cdot x = \{ \gamma(x) : \gamma \in \Gamma \}.$$

If  $\Gamma \cdot x$  is discrete  $\forall n$ , then the action is said to be free.

Idea: Think about points of  $M/\Gamma$  as orbits of  $\Gamma$  in  $M$ .

Defn: If  $\Gamma \curvearrowright X$ , then the stabiliser of  $x^{\text{EM}}$  is the subgroup

$$\text{Stab}_{\Gamma}(x) = \{ \gamma \in \Gamma : \gamma \cdot x = x \}.$$

The action is free if  $\text{Stab}_{\Gamma}(x) = \{e_r\}$  for all  $x \in X$ .

Examples: The earlier examples of translation were free.

Defn: A group action  $\Gamma \curvearrowright M$  is called properly discontinuous if  $\forall p \in M \exists U$  nbhd of  $p$  s.t.

$$(g \cdot U) \cap U \neq \emptyset \Leftrightarrow g = e_r.$$

Remark: Properly discontinuous  $\Rightarrow$  free + discrete

$\nLeftarrow$  Consider  $\mathbb{R} \curvearrowright \mathbb{R}^2$  as earlier.

free  $\Rightarrow$  faithful.

Example: let  $\mathbb{Z}/4 \curvearrowright \mathbb{R}^2$  by rotation.

$\hookrightarrow$  Faithful, not free (origin fixed).

Q. Free + discrete  $\stackrel{?}{\Rightarrow}$  Properly discontinuous

Theorem: If  $\Gamma \curvearrowright M$  properly discontinuously, then  $(\Gamma \rightarrow \text{Diff}^{\infty} M)$

$$M/\Gamma = \{ \Gamma_x : x \in M \}$$

inherits a smooth structure from  $M$ .

Furthermore,  $\dim(M/\Gamma) = \dim(M)$ .

Proof: Fix  $[x] \in M/\Gamma$ .

Choose a nbd  $U \subseteq M$  of  $x$  as given by  $\Gamma$  acting prop. disc.

By shrinking  $U$  as necessary, we can assume  $U$  is the domain of a chart  $(U, \varphi)$ .

If  $[y] \cap U \neq \emptyset$ , then  $\exists! y \in [y]$  s.t.  $y \in U$ .  
 Then, we get the following map:

$$\begin{aligned} \bar{\varphi} : [U] &\longrightarrow \mathbb{R}^n \\ [y] &\longmapsto y \end{aligned} \quad (\{y\} = [y] \cap U)$$

$$([U]) := \{ [y] : [y] \cap U \neq \emptyset \})$$

Claim: If  $\bar{\varphi}$  and  $\bar{\psi}$  are charts constructed as above, and their domains  $[U]$  and  $[\psi]$  intersect, then  $\bar{\varphi} \circ \bar{\psi}^{-1}$  is  $C^\infty$ .

g.v

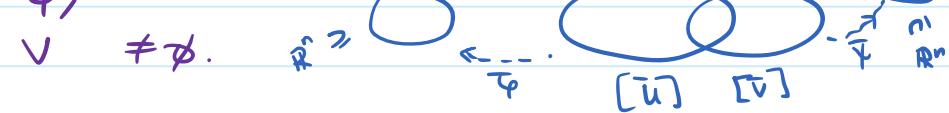
and their domains  $[u]$  and  $[v]$  intersect, then

$$\bar{\varphi} \circ \bar{\psi}^{-1}$$
 is  $C^\infty$ .

Proof. Choose lifts  $u$  and  $v$  of  $[u]$  and  $[v]$  in  $M$ .

$$\text{Since } [u] \cap [v] = \emptyset,$$

$$(\gamma \cdot u) \cap v \neq \emptyset.$$



Choose  $\gamma \in \Gamma$  s.t.  $(\gamma \cdot u) \cap v \neq \emptyset$ .

Claim:  $[\gamma \cdot (u \cap v)] = [u] \cap [v]$ .  $\square$

Then,  $\bar{\varphi} \circ \bar{\psi}^{-1} = \varphi \circ \gamma \circ \psi^{-1}$  which is smooth.  $\square$

We are now done.  $\square$

Example.

$$\textcircled{1} \quad \mathbb{R}^n / \mathbb{Z}^n \rightarrow n\text{-torus}$$

$$\textcircled{2} \quad F: (-1, 1) \times \mathbb{R} \rightarrow (-1, 1) \times \mathbb{R}$$

$$(t, s) \mapsto (-t, s+1)$$

$$\cancel{\textcircled{2} \quad F: (-1, 1) \times \mathbb{R} \rightarrow \text{M\"obius strip}}$$



$$\textcircled{3} \quad S^n / \{\pm id\} = \mathbb{RP}^n$$

## Lecture 6 (02-09-2022)

02 September 2022 10:45

### Inheriting smooth structures: Conditions on differentials

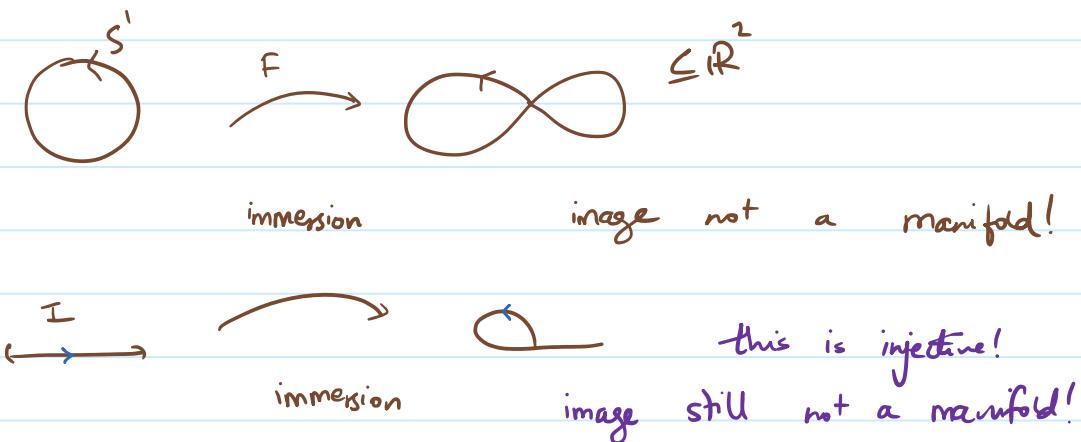
$F: M \rightarrow N$  is a  $C^\infty$  map.

- 1)  $F$  is an **immersion** if  $DF(x)$  is injective for all  $x \in M$ .
- 2)  $F$  is a **submersion** if  $DF(x)$  is injective for all  $x \in M$ .
- 3)  $F$  is a **local diffeomorphism** if it is both.

Remark. Inv. ST gives that "local diffes are local diffeos."

Defn: A subset  $M \subseteq N$  is called an **immersed submanifold** if it is the image of an immersion. If the map is a homeomorphism onto its image,  $M$  is called **embedded**.

Example:



### Submersion

Submersion Theorem: let  $f: M \rightarrow N$  be a submersion.

Then, for all  $y \in N$ ,  $F^{-1}(y)$  is an embedded submanifold.  
 $\dim(F^{-1}(y)) = \dim(M) - \dim(N)$ . (Proof next week.)

# Lecture 7 (07-09-2022)

Wednesday, September 7, 2022 10:38 AM

$$\textcircled{1} \quad X \times Y \rightarrow X, \quad (x, y) \mapsto x \quad \text{submersion } \checkmark$$

$$\textcircled{2} \quad \mathbb{R}^2 \rightarrow \mathbb{R}, \quad (x, y) \mapsto x^2 - y^2 \quad \text{not submersion} \\ (\text{because } \nabla(0,0))$$

$$\textcircled{3} \quad X = S^3 \subseteq \mathbb{C}^2 \rightarrow Y = S^2 \subseteq \mathbb{C} \times \mathbb{R} \\ (z_1, z_2) \mapsto (z_1 \bar{z}_2, |z_1|^2 - |z_2|^2) \quad \begin{matrix} \text{also} \\ \text{submersion } \checkmark \\ (\text{a Hopf fibration}) \end{matrix}$$

Def. Let  $p: X \rightarrow Y$  be a  $C^\infty$  map.

$y \in Y$  is a regular value if  $p$  is a submersion at  $x$  for all  $x \in p^{-1}(y)$ .

Theorem. If  $\pi: X \rightarrow Y$  is a submersion, then for every  $y \in Y$ ,  $\pi^{-1}(y)$  is a(n embedded) submanifold and form the leaves of a foliation.

(For just the "embedded submanifold" part, it suffices)  
 for  $\pi$  to be  $C^\infty$  and  $y$  a regular value.)

Proof. By working in coordinates, it suffices to prove that if  $p: U \rightarrow \mathbb{R}^m$ ,  $U \subseteq \mathbb{R}^n$ , is  $C^\infty$  s.t.  $D_p(x)$  is onto for all  $x \in U$ , then  $\{p^{-1}(y) : y \in p(U)\}$  is a foliation of  $U$ .

Fix  $x \in U$ , and let  $L_x = \ker(D_p(x))$ .

$\because D_p(x)$  is onto,  $\dim(L_x) = n - m$ .

Choose a chart  $\varphi$  at  $x$  s.t.  $L_x = \text{span}\{\mathbf{e}_{m+1}, \dots, \mathbf{e}_n\}$ .

Define  $G(y) = (p(y), \pi(y))$ ,  $G: U \rightarrow \mathbb{R}^m \times \mathbb{R}^{n-m}$   
 where  $\pi(y_1, \dots, y_n) = (y_{m+1}, \dots, y_n)$ .

open where  $\pi(y_1, \dots, y_n) = (y_{m+1}, \dots, y_n)$ .

$$DG(x) = \begin{bmatrix} m & m-n \\ m-n & m-n \end{bmatrix} \begin{pmatrix} * & 0 \\ \text{invertible} & \\ \cdots & + \cdots \\ 0 & I \end{pmatrix}$$

Thus,  $\det(DG(x)) \neq 0$  and  $DG$  is inv. at  $x$ .

By  $C^\infty$ -ness,  $DG$  is inv. on a nbd of  $x$ .

By Inverse Funct. Theorem,  $\exists$  local inverse  $H$ , which will be our desired foliation chart.



Claim:  $H(f_y) \times \mathbb{R}^{n-m} = \{x \in \tilde{U} : p(x) = y\}$ .

Proof. ( $\subseteq$ )  $x \in H(f_y) \times \mathbb{R}^{n-m}$

$\Rightarrow G(x) \in f_y \times \mathbb{R}^{n-m}$

$\Rightarrow p(x) = y$ .

(?)  $p(x) = y \Rightarrow G(x) = (y, x)$

$\Rightarrow H(G(x)) = H((y, x))$

"  
x

AB

## Sard's Theorem

Measures of critical / regular values.

will come to this later..

Key application: immersed non-open manifold will have zero measure.



# Vector Fields

$$TM = \bigsqcup_{p \in M} T_p M.$$

$$\pi : TM \longrightarrow M$$

$\pi(v)$  = basepoint of  $v$ .

Example.

$$\begin{aligned} TS^1 &\approx \mathbb{R} \times S^1 \\ TS^2 &\not\approx \mathbb{R}^2 \times S^2 \end{aligned}$$

Smooth structure on  $TM$   
defined in HW.

Def. If  $M$  is a manifold, a **vector field** is a  $C^\infty$  section  
 $x : M \longrightarrow TM$ . i.e.,  $\pi(x(p)) = p \quad \forall p \in M$ .

Things to do with vector fields:

- ① Integrate them (flows)
- ② Lie Brackets (infinitesimal commutator of flows)
- ③ Closed subalgebras (lie group actions)
- ④ Frobenius theorem (detect foliations through subbundles)

# Smooth Vector Bundles

Defn. A smooth  $n$ -dimensional vector bundle  $\xi^n$  is a triple

$$\xi = (E, B, p),$$

base  
total space

where  $E$  and  $B$  are smooth manifolds,  $p: E \rightarrow B$  is a smooth map, and each fiber  $\xi_b = p^{-1}(b)$  is equipped with the structure of a (real) vector space of dimension  $n$  s.t. the following local triviality condition holds:

- every  $b \in B$  has a nbhd  $U$  and there is a diffeomorphism

$$\Phi: p^{-1}(U) \rightarrow U \times \mathbb{R}^n$$

s.t.

$$\begin{array}{ccc} p^{-1}(U) & \xrightarrow{\Phi} & U \times \mathbb{R}^n \\ p|_{p^{-1}(U)} \searrow & & \downarrow \text{pr}_1 \\ & U & \end{array}$$

commutes

and  $\Phi: p^{-1}\{x\} \rightarrow \{x\} \times \mathbb{R}^n$

is a vector space isomorphism for all  $x \in U$ .

Exercise.  $p$  above is a submersion. (Check locally using trivializing nbds.)

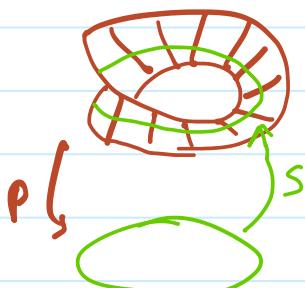
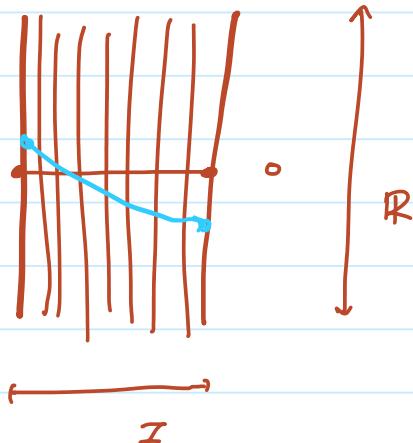
Examples: ① Trivial bundle,  $E = B \times \mathbb{R}^n$ .

Take  $U = B$  for all points.  
 $p$  is projection.

② Start with  $I \times \mathbb{R}$ .

Let  $E = I \times \mathbb{R} / (0, t) \sim (1, -t)$ .

$B = (0, 1) /_{0 \sim 1} \approx S^1$ .



Def'n: A section of a vector bundle  $\xi = (E, B, p)$  is a smooth map  $s: B \rightarrow E$  so that  $p \circ s = \text{id}_B$ .

Example: Zero section:  $s(b) := 0 \in \xi_b$ .

Check this is smooth. (Local again!)

Def'n: Sections  $s_1, \dots, s_k$  of  $\xi$  are linearly independent if for all  $b \in B$ :  $s_1(b), \dots, s_k(b)$  are lin. indep. in  $\xi_b$ .

Example:  $B \times \mathbb{R}^n \rightarrow B$  has  $n$ . lin. indep sections:

$$s_i : B \rightarrow B \times \mathbb{R}^n$$

$$b \mapsto (b, e_i).$$

Def'n: Two bundles  $\xi, \xi'$  over the same base are said

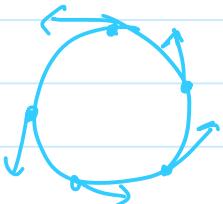
Defn. Two bundles  $\xi, \xi'$  over the same base are said to be **isomorphic** if  $\exists$  diffeo  $\Phi: E(\xi) \xrightarrow{\sim} E(\xi')$  s.t.

$$\Phi|_{\xi_b}: \xi_b \rightarrow \xi'_b \text{ is an iso of vector spaces } \forall b \in B.$$

- A bundle is said to be **trivial** if it is isomorphic to the trivial bundle.

Lemma:  $p: E \rightarrow B$  n-dim'l bundle.  
 $p$  is trivial  $\Leftrightarrow p$  has n-linearly independent sections.

Example. For 1-dim'l, this just means a nonzero section.



$T S^1$  is trivial.

Proof of Lemma. ( $\Rightarrow$ ) is clear (since trivial bundle has n...).

( $\Leftarrow$ ) Let  $s_1, \dots, s_n$  be linearly independent sections.

Define

$$\Phi: B \times \mathbb{R}^n \rightarrow E$$

$$(b, (t_1, \dots, t_n)) \mapsto \sum_{i=1}^n t_i s_i(b) \in \xi_b.$$

$\Phi$  is smooth. ✓

Bijection. ✓ (Isomorphism of fibers.)

To check diffeo: we work in trivialising nbrs.  
 By chart inter. since  $B$  is a trivialising nbr

To check diff'ren't : we work in trivialising nbd's.

By shrinking etc., assume  $B$  is a trivialising nbd,  
we may assume  $E \rightarrow B$  is also trivial,  
i.e.  $E = B \times \mathbb{R}^n$ .

$$\Phi(b, v) = (b, F(b)_v),$$

where  $F: B \rightarrow GL_n(\mathbb{R})$  has columns  
 $s_1, \dots, s_n$ . So the coefficients of  $F$  are  
smooth functions  $B \rightarrow \mathbb{R}$ .

Moreover,  $\Phi^{-1}$  is smooth (we can compute  
it explicitly and it comes to  $\Phi^{-1}: GL_n(\mathbb{R}) \rightarrow GL_n(\mathbb{R})$   
being smooth). P

## Tangent Bundle

$X^{(n)}$ : manifold

Recall: A tangent vector  $v$  at  $x \in X$  is an equivalence  
class of triples  $(U, \varphi, w)$ , where  $(U, \varphi)$  is  
a chart around  $x$ , and  $w \in \mathbb{R}^n$ .

$$(U, \varphi, w) \sim (U', \varphi', w') \text{ if } D(\varphi' \circ \varphi^{-1})(\varphi(x))(w) = w'.$$

We write  $d\varphi(x) = w$ .

This collection is denoted  $T_x X$ .

$\curvearrowright$  tangent space at  $x$

$$\text{Def... } T_x = \{ \parallel T_x \mid x \}$$

Define  $TX = \coprod_{x \in X} \overline{T_x X}$ .

- $p : TX \rightarrow X$ ,  
 $(x, v) \mapsto x$ . the tangent bundle!

Charts on  $TX$ : Homework.

Defn A vector field on  $X$  is a smooth section of the tangent bundle.

Thm  $TS^2$  is nontrivial; in fact, every vector field on  $S^2$  has a zero. (So, there is not even one lin. indep. section, let alone two.)

## Lecture 9 (12-09-2022)

12 September 2022 10:42

# Derivations

$X$ : smooth manifold

$C^\infty(X) = \{f: X \rightarrow \mathbb{R} \mid f \text{ smooth}\}$  is an algebra.

Defn: A derivation at a point  $p \in X$  is a linear map

$$D: C^\infty(X) \rightarrow \mathbb{R}$$

$$\text{s.t. } D(fg) = (Df) \cdot g(p) + f(p) \cdot (Dg),$$

and if  $f = g$  on a nbhd of  $p$ , then  $D(f) = D(g)$ .

The space of derivations is denoted  $\text{Der}(p)$ .

Example: If  $v \in T_p X$ , then  $\partial_v$  is a derivation.

Theorem 1:  $T_p X \rightarrow \text{Der}(p)$   
 $v \mapsto \partial_v$  is an isomorphism.

Lemma 2: Let  $U \subseteq \mathbb{R}^n$  be open and convex with  $0 \in U$ .

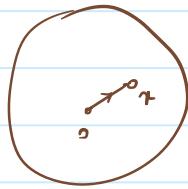
Let  $f: U \rightarrow \mathbb{R}$  be smooth with  $f(0) = 0$ .

Then,

$$f(x) = \sum_{i=1}^n x_i \cdot g_i(x), \quad \text{where } g_i: U \rightarrow \mathbb{R} \text{ are smooth,}$$

$$\text{and } g_i(0) = \frac{\partial f}{\partial x_i}(0).$$

Proof:



Fix  $x \in U$ .

Define  $g: [0, 1] \rightarrow \mathbb{R}$  by  
 $g(t) = f(tx)$ .

Then,

$$g(1) - g(0) = \int_0^1 g'(t) dt$$

Then,

$$\begin{aligned}
 g(1) - g(0) &= \int_0^1 g'(t) dt \\
 f(x) - f(0) &\stackrel{\parallel}{=} \int_0^x \sum_{i=1}^n x_i \frac{\partial f}{\partial x_i}(t_x) dt \\
 f(x) &= \sum_{i=1}^n x_i \left[ \int_0^1 \frac{\partial f}{\partial x_i}(t_x) dt \right] \\
 \Rightarrow f(x) &= \sum_{i=1}^n x_i g_i(x). \quad =: g_i(x) \quad \square
 \end{aligned}$$

Proof of Theorem 1 for  $X = \mathbb{R}^n$ : Can assume  $p = 0$ .

Note  $D(1 \cdot 1) = D(1) + D(1) \Rightarrow D(1) = 0$ .

$\therefore D(\text{constant}) = 0$  by linearity.

Let  $f \in C^\infty(X)$ .

By subtracting constant, we can assume  $f(0) = 0$ .

Then,

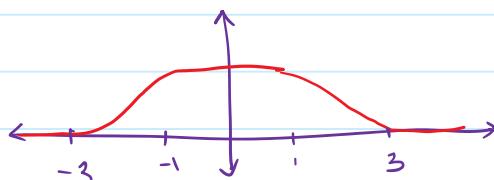
$$f(x) = \sum x_i g_i(x), \text{ as in Lemma 2.}$$

$$\begin{aligned}
 \Rightarrow DF &= \sum_{i=1}^n \left[ D(x_i) g'_i(0) + 0 \right] \\
 &= \sum_{i=1}^n D(x_i) \cdot \frac{\partial f}{\partial x_i}(0).
 \end{aligned}$$

$$\text{Thus, } D = \partial v, \text{ where } v = \sum_{i=1}^n D(x_i) \frac{\partial}{\partial x_i}(0).$$

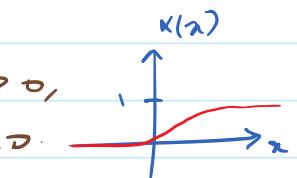
This shows Surjectivity. Injectivity is clear.  $\square$

Lemma:  $\exists p: \mathbb{R} \rightarrow \mathbb{R}$  smooth,  $p \geq 0$ ,  $p \equiv 0$  outside  $[-3, 3]$ ,  $p \equiv 1$  on  $[-1, 1]$ .



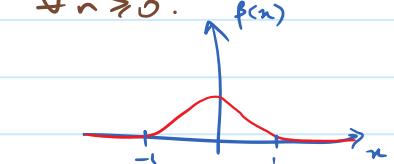
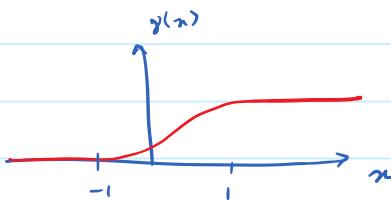
Proof: Define

$$\alpha(x) = \begin{cases} e^{-\gamma x} & ; x > 0, \\ 0 & ; x \leq 0. \end{cases}$$

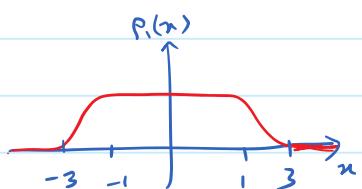


Then,  $\alpha \in C^\infty$  with  $\alpha^{(n)}(x) = 0 \quad \forall n \geq 0$ .  
Put  $\beta(x) = \alpha(1+x)\alpha(1-x)$ .

$$\gamma(x) = \int_{-1}^x \beta.$$



$$\rho_1(x) = \gamma(2+x)\gamma(2-x)$$

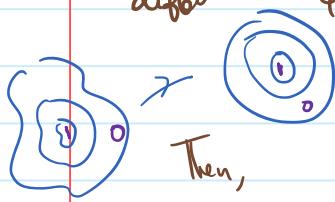


$$\rho(x) = \text{scale } \rho_1.$$



Corollary: Let  $X$  be a manifold,  $U \subseteq X$  open,  $f: U \rightarrow \mathbb{R}$  smooth.  
Let  $p \in U$ .  $\exists f: X \rightarrow \mathbb{R}$  smooth that agrees with  $f$  on a nbhd of  $p$ .

Proof: After shrinking and rescaling, we may assume that there is a diffeo  $\varphi: U \rightarrow \varphi(U)$  st.  $\varphi(U) \subseteq \mathbb{R}^n$  is an open ball of radius  $t$ ,  $\varphi(p) = 0$ .



Then,  $\mu(x) := \rho(\|\varphi(x)\|)$  is a smooth function on  $U$ .  
(Need to be careful since  $\|\cdot\|$  is not smooth).

Now, extend  $\mu$  to  $X$  by  $\mu=0$  outside  $U$  but here no problem since  $\varphi$  is locally constant.

Then,  $\tilde{f} = \mu \cdot f$  works.



## Partitions of Unity

Defn

$X$  smooth manifold,  $\mathcal{U}$  open cover of  $X$ .  
A smooth partition of unity subordinate to  $\mathcal{U}$  is a collection  
of smooth functions  $\langle \phi_i : X \rightarrow \mathbb{R}_{\geq 0} \rangle_{i \in I}$  s.t.

(1)  $\{\text{supp}(\phi_i) : i \in I\}$  is a locally finite collection of closed sets.

$$\text{supp}(\phi) = \{x : \phi(x) \neq 0\}.$$

locally finite: every  $p \in X$  has a nbd that intersects only finitely many of the supports

(2)  $\forall i : \exists U_i \in \mathcal{U}$  s.t.  $\text{supp}(\phi_i) \subseteq U_i$ .

(3)  $\sum_{i \in I} \phi_i(x) = 1$  for every  $x \in X$ .

(for every  $x$ , the sum is a finite one)

Theorem:  $\forall X \forall \mathcal{U} \exists$  smooth partition of unity subordinate to  $\mathcal{U}$ .

Sketch. Step 1. Find an exhaustion of  $X$ : compact sets  $K_1 \subseteq K_2 \subseteq \dots$   
s.t.  $K_i \subseteq K_{i+1}^o$ ,  $\bigcup_{i=1}^{\infty} K_i = X$ .

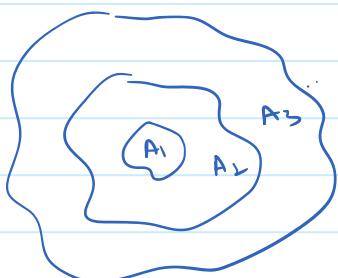
$\rightarrow \exists$  basis  $V_1, V_2, \dots$  s.t.  $\overline{V_i}$  is compact.

Take  $K_1 := \overline{V_1}$ . Assume constructed till  $K_i$ .

Cover  $K_i \subseteq V_1 \cup \dots \cup V_m$  and then put  
 $K_{i+1} := \overline{V_1 \cup \dots \cup V_m}$ .

Step 2. Take  $A_i := \overline{K_i \setminus K_{i-1}}$ . (Put  $K_0 := \emptyset$ )

Each  $A_i$  is compact and  $\langle A_i \rangle_{i \geq 1}$  is locally finite.



Let  $x \in A_i$ . Choose a p-like function  $\phi_x^i$  s.t.  $\text{supp}(\phi_x^i)$  is contained in some  $U_x \in \mathcal{U}$  and is disjoint from  $A_j$  for  $|j-i| > 1$ . Use compactness to find finitely many  $\phi_{x_1}, \dots, \phi_{x_m}$ .

Now conclude ...

# Lecture 10 (14-09-2022)

Wednesday, September 14, 2022 10:35 AM

Example. Cotangent bundle

$$E = \left\{ (\varphi, x) \mid \begin{array}{l} \varphi : T_x M \rightarrow \mathbb{R} \\ \text{is linear} \end{array} \right\}$$

vector bundle over M

$$\bar{\pi} : T^* M \rightarrow M \text{ is } (\varphi, x) \mapsto x.$$

$T^* M$  and  $TM$  are diffeomorphic, but not canonically.  
 (Essentially because  $(\mathbb{R}^n)^* \cong \mathbb{R}^n$ .)

Let  $i : M \rightarrow \mathbb{R}^n$  be an immersion. Then,  $\forall x \in M$ ,

$$\text{Im}(D_i(x)) \subseteq T_{i(x)} \mathbb{R}^n = \mathbb{R}^n.$$

$$\text{Let } E = \left\{ (x, v) : x \in M, v \in \text{Im}(D_i(x))^{\perp} \right\}.$$

$E$  is the **normal bundle** (associated to  $i$ ).

( $n$  need not be  $\dim(M)$ .)

$\times \quad \times$

Thm. (Existence-Uniqueness for ODE solutions)

Let  $V : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a Lipschitz function.

Fix  $x \in \mathbb{R}^n$ . Then,  $\exists \varepsilon > 0$  s.t.

$$\begin{cases} u(0) = x \\ u'(t) = V(u(t)) \end{cases}$$

has a unique sol<sup>n</sup>  $u : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}^n$ .

has a unique sol<sup>n</sup>  $\varphi: (-\varepsilon, \varepsilon) \rightarrow M$ .

Thm. Let  $M$  be a manifold and  $V$  be a vector field on  $M$ . Then, there exists an open neighbourhood  $U$  of  $\{0\} \times M \subseteq \mathbb{R} \times M$  and a function

$$\varphi: U \rightarrow M$$

such that

$$\frac{\partial}{\partial t} \varphi(t, x) = V(\varphi(t, x)).$$

Furthermore,  
defined.

$$\varphi(t+s, x) = \varphi(t, \varphi(s, x))$$

whenever

flow equation

Common notation:  $\varphi(t, x) =: \varphi_t(x)$ .

Then,

$$\varphi_{t+s}(x) = \varphi_s(\varphi_t(x))$$

or

$$\varphi_{t+s} = \varphi_t \circ \varphi_s.$$

Defn Let  $V$  and  $W$  denote vector fields on a manifold  $M$ .

If  $\varphi_t^V$  denotes the flow generated by  $V$ , the Lie derivative of  $W$  along  $V$  is

$$[V, W] := \left. \frac{d}{dt} (\varphi_t^V)_*(w) \right|_{t=0}. \quad \text{this is again a vector field}$$

$$(\varphi_t^V)_*(w)(x) = D\varphi_t^V(W(\varphi_{-t}^V(x)))$$

# Push-forwards

$F: M \rightarrow N$  diffeomorphism  
 $X = \text{vector field on } M$

We define a vector field  $F_*(X)$  on  $N$ .

$$F_*(X)(p) = DF(F^{-1}(p))(X(F^{-1}(p))), \quad p \in N.$$

- $X$  represented by a curve  $\gamma$  on  $M$

$F_*(X)$  is represented by  $F \circ \gamma$

- $X$  represented by derivation:

$$f \in C^\infty(M) \Rightarrow X \cdot f \in C^\infty(M)$$

Given  $g \in C^\infty(N)$ ,

$$F_*(X) \cdot g = \underbrace{[X \cdot (g \circ F)]}_{x} \circ F^{-1}.$$

Recall:  $X, Y \rightsquigarrow \text{v.f.s on } M$

$\varphi_t^X$  = flow generated by  $X$

$$[X, Y] := \frac{d}{dt} \Big|_{t=0} (\varphi_t^X)_*(Y)$$

$$[X, Y](p) = \frac{d}{dt} \Big|_{t=0} (\varphi_t^X)_*(Y)(p).$$

$$[X, Y](p) = \frac{d}{dt} \Big|_{t=0} (\varphi_t^X)_*(Y)(p).$$

$\varphi_t^x : M \rightarrow N$  family of transformations s.t.

setting  $\gamma_x(t) := \varphi_t^x(x)$  gives

$$\gamma_x'(t) = X(\gamma_x(t)).$$

Examples. ①  $X = \frac{\partial}{\partial x} \quad (= \begin{pmatrix} 1 \\ 0 \end{pmatrix})$  on  $\mathbb{R}^2$

$$Y = \frac{\partial}{\partial y} \quad (= \begin{pmatrix} 0 \\ 1 \end{pmatrix})$$

$$\begin{array}{c} \overrightarrow{\phantom{x}} \quad \overrightarrow{\phantom{x}} \\ \overrightarrow{\phantom{x}} \quad \overrightarrow{\phantom{x}} \\ \overrightarrow{\phantom{x}} \quad \overrightarrow{\phantom{x}} \end{array} x \qquad \begin{array}{c} \uparrow \uparrow \uparrow \\ \uparrow \uparrow \uparrow \\ \uparrow \uparrow \uparrow \end{array} y$$

$$\varphi_t^x(x, y) = (x + t, y).$$

$$\begin{aligned} (\varphi_t^x)_*(Y)(x, y) &= D\varphi_t^x(x-t, y) \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{aligned}$$

$$\Rightarrow \frac{d}{dt} \Big|_{t=0} (\dots) = 0.$$

$\therefore [x, y] = 0.$  ( $x$  and  $y$  are said to commute.)

②  $X = \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \quad (= \begin{pmatrix} 1 \\ 0 \\ y \end{pmatrix})$  on  $\mathbb{R}^3$

$$Y = \frac{\partial}{\partial z} - x \frac{\partial}{\partial y} \quad (= \begin{pmatrix} 0 \\ 1 \\ -x \end{pmatrix})$$

$$Y = \frac{\partial}{\partial y} - x \frac{\partial}{\partial z} \quad (\approx \begin{pmatrix} 0 \\ 1 \\ -x \end{pmatrix}) \quad \text{on } \mathbb{R}^3$$

$$\varphi_t(x, y, z) = (x + t, y, z + ty)$$

$$\begin{aligned}
 (\psi_t^x)_*(\gamma)(x, y, z) &= D\psi_t^x(x-t, y, z-ty) \gamma(x-t, \\
 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & t & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ -x+t \end{pmatrix} \\
 &= \begin{pmatrix} 0 \\ 1 \\ 2t-x \end{pmatrix}
 \end{aligned}$$

$$\therefore [x, y](x, y, z) = \frac{d}{dt} \Big|_{t=0} \begin{pmatrix} 0 \\ 2e^{-xy} \end{pmatrix}$$

$$= \begin{pmatrix} 0 \\ 0 \\ 2 \end{pmatrix} = 2 \frac{\partial}{\partial z}.$$

## Understanding Lie derivatives via derivations:

local coordinates:

$$Y = \sum y_i(s) \partial/\partial s_i$$

$$X = \sum x_i(s) \partial_{S_i}$$

$$\left( (\varphi_t^x)_* y \right) \cdot f(s) = \left( [y \cdot (f \circ \varphi_t^x)] \circ \varphi_{-t}^x \right) (s)$$

$$= \sum_i y_i (\varphi_{-t}(s)) \frac{\partial}{\partial s_i} (f \circ \varphi_t^x) (\varphi_{-t}^x(s))$$

$$= \{ u_1, \dots, u_r \} \subseteq \mathcal{B}(v, \gamma) \cap (\psi^{x, 1})^{-1}(\psi^{x, 1}(v))$$

$$\begin{aligned}
 &= \sum_i y_i (\varphi_{-t}(s)) \sum_j \frac{\partial f}{\partial s_j}(s) \frac{\partial ((\varphi_t^x)_j)}{\partial s_i} (\varphi_{-t}^x(s)) \\
 &= \sum_j \frac{\partial f}{\partial s_j}(s) \underbrace{\sum_i y_i (\varphi_{-t}(s)) \frac{\partial ((\varphi_t^x)_j)}{\partial s_i}}_{\frac{\partial}{\partial t} \Big|_{t=0}} (\varphi_{-t}^x(s))
 \end{aligned}$$

$$I_i + II_i \quad \text{using product rule}$$

$$I_i = \sum_k \delta_{ij} (-x_k(s)) \frac{\partial y_i}{\partial s_k}(s)$$

$$II_i = y_i(s) \frac{\partial x_j}{\partial s_i}(s)$$

$$\begin{aligned}
 \therefore \frac{\partial}{\partial t} \Big|_{t=0} & (Y(f \circ \varphi_t^x))(\varphi_{-t}^x(s)) \\
 &= \sum_j \frac{\partial f}{\partial s_j}(s) \left( \sum_i y_i \frac{\partial x_i}{\partial s_i} - x_j \frac{\partial y_i}{\partial s_i} \right)
 \end{aligned}$$

↑  
shows antisymmetry

$$\therefore [x, Y] = -[Y, x] \text{ and}$$

$$[X, Y] \cdot f = Y \cdot (X \cdot f) - X \cdot (Y \cdot f).$$

$$\text{Back to earlier example: } X = \frac{\partial}{\partial x} + y \frac{\partial}{\partial z},$$

$$Y = \frac{\partial}{\partial y} - x \frac{\partial}{\partial z}.$$

$$Y \cdot X = \left( \frac{\partial}{\partial y} - x \frac{\partial}{\partial z} \right) \cdot \left( \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right)$$

$$= \frac{\partial^2}{\partial y \partial x} + \frac{\partial^2}{\partial z \partial x} + y \frac{\partial^2}{\partial y \partial z}$$

$$- x \frac{\partial^2}{\partial z \partial x} - xy \frac{\partial^2}{\partial z^2}$$

$$X \cdot Y = \left( \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right) \left( \frac{\partial}{\partial y} - \frac{\partial}{\partial z} \right)$$

$$= \frac{\partial^2}{\partial x \partial y} - \frac{\partial^2}{\partial z^2} - x \frac{\partial^2}{\partial x \partial z} + y \frac{\partial^2}{\partial z \partial y} - xy \frac{\partial^2}{\partial z^2}$$

# Lecture 13 (21-09-2022)

Wednesday, September 21, 2022 10:39 AM

Recall: An  $\ell$ -distribution on  $M$  is an assignment to each  $p \in M$  an  $\ell$ -subspace  $E(p) \subseteq T_p(M)$ . (In a smooth manner.)

- A foliation on  $M$  is an atlas of charts  $\mathcal{F}$  s.t. if  $\varphi, \psi \in \mathcal{F}$ , we have

$$(\varphi \circ \psi^{-1})(\mathbb{R}^\ell \times \{\mathbf{x}\}) \subseteq \mathbb{R}^\ell \times \{\psi(\nu^{-1}(\mathbf{x}))\}$$

for all  $\mathbf{x} \in \text{im}(\psi)$

- Theorem (Frobenius)

A distribution  $E$  is integrable (i.e.,  $E = TF$  for some  $F$ )

$\Leftrightarrow$  All v.f.s subordinate to  $E$ ,  $[x, y]$  is subordinate to  $E$ .

- $TF$  is the distribution given as

$$TF(p) = \left\langle D\varphi_{\nu(p)}^{-1} \left( \frac{\partial}{\partial x_i} \right) : i=1, \dots, \ell \right\rangle,$$

where  $\varphi$  is a foliation chart.

Examples of distributions:

$$\textcircled{1} \quad H = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}.$$

-  $H$  is diffeomorphic to  $\mathbb{R}^3$ .

- 3 nice subgroups:

$$A = \left\{ \begin{pmatrix} 1 & x & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : x \in \mathbb{R} \right\}.$$

isomorphic and diffeomorphic  
to  $\mathbb{R}$ .

$$B = \left\{ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} : y \in \mathbb{R} \right\}.$$

$$Z = \left\{ \begin{pmatrix} 1 & 0 & z \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} : z \in \mathbb{R} \right\}$$

"One-parameter subgroups"

Flow 1:  $\varphi_t^A(g) = \begin{pmatrix} 1 & t & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}$

$$= \begin{pmatrix} 1 & t+x & ty+z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}.$$

$\varphi_t^A$  is generated by  $V_A = \frac{\partial}{\partial x} + y \frac{\partial}{\partial z}$ .

By  $\varphi_t^B$  is defined and generated by

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & t \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 1 & x & z \\ 0 & 1 & ty+y \\ 0 & 0 & 1 \end{pmatrix}$$

$$V_B = \frac{\partial}{\partial y}.$$

$$E_{A,B} = \langle V_A, V_B \rangle, \quad E_{A,z} = \langle V_A, V_z \rangle, \quad E_{B,z} = \langle V_B, V_z \rangle.$$

( $\langle \cdot, \cdot \rangle$  denotes the subspace spanned.)

$E_{B,z}$  is integrable (using Fub. thm).

Just need to check

$$[f \frac{\partial}{\partial y}, g \frac{\partial}{\partial z}] \subseteq E_{B,z}.$$

We have

$$\begin{aligned} [f \frac{\partial}{\partial y}, g \frac{\partial}{\partial z}] &= f \frac{\partial}{\partial y} (g \frac{\partial}{\partial z}) - g \frac{\partial}{\partial z} (f \frac{\partial}{\partial y}) \\ &= f \frac{\partial g}{\partial y} \frac{\partial}{\partial z} + f g \frac{\partial^2}{\partial y \partial z} \\ &\quad - g \frac{\partial^2}{\partial y \partial z} - g \frac{\partial f}{\partial z} \frac{\partial}{\partial y} \\ &= f \frac{\partial g}{\partial y} \frac{\partial}{\partial z} - g \frac{\partial f}{\partial z} \frac{\partial}{\partial y} \in \langle \frac{\partial}{\partial z}, \frac{\partial}{\partial y} \rangle \end{aligned}$$

Can check even  $E_{A,z}$  is integrable by similar computation.

However,  $[V_A, V_B] = -\frac{\partial}{\partial z} \notin \langle V_A, V_B \rangle$ .

$\therefore E_{A,B}$  is Not integrable.

Remark. If  $E_{A,B}$  is integrable to a foliation  $F$ , then the leaves of  $F$  contain orbits of  $\varphi_x^A, \varphi_x^B$ .

$\mathcal{F}(e) \supseteq$  subgroup generated by  $A, B$ .

Note that the lie group commutator is

$$\left[ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right] = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \in \mathbb{Z}.$$

②  $GL(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : ad - bc \neq 0 \right\}$  open subset of  $\mathbb{R}^4$   
canonical smooth structure  
(4-manifold)

$SL(2, \mathbb{R}) = \det^{-1}(\{1\}) \rightarrow 1$  is a regular value of  $\det$ .  
 $\therefore SL(2, \mathbb{R})$  is a 3-manifold

$$U := \left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} : t \in \mathbb{R} \right\}$$

$$A := \left\{ \begin{pmatrix} e^{t/2} & 0 \\ 0 & e^{-t/2} \end{pmatrix} : t \in \mathbb{R} \right\}$$

$$V := \left\{ \begin{pmatrix} 1 & 0 \\ t & 1 \end{pmatrix} : t \in \mathbb{R} \right\}.$$

( $U, A, V$  generate  $SL(2, \mathbb{R})$ .)

$$\varphi_t^U \left( \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right) = \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a+tc & b+td \\ c & d \end{pmatrix}$$

$$X_u = c \frac{\partial}{\partial a} + d \frac{\partial}{\partial b}.$$

$$X_v = a \frac{\partial}{\partial c} + b \frac{\partial}{\partial d}.$$

$$X_A = \frac{1}{2} \left( a \frac{\partial}{\partial a} + b \frac{\partial}{\partial b} - c \frac{\partial}{\partial c} - d \frac{\partial}{\partial d} \right).$$

$$\begin{pmatrix} e^{t/2} & \\ & e^{-t/2} \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} ae^{t/2} & be^{t/2} \\ ce^{-t/2} & de^{-t/2} \end{pmatrix}$$

$$\left. \frac{\partial}{\partial t} (ae^{t/2}) \right|_{t=0} = \left. \frac{a}{2} e^{t/2} \right|_{t=0} = \frac{a}{2}$$

$$[X_A, X_u] = \left[ \frac{a}{2} \frac{\partial}{\partial a} + \frac{b}{2} \frac{\partial}{\partial b} - \frac{c}{2} \frac{\partial}{\partial c} - \frac{d}{2} \frac{\partial}{\partial d}, \frac{c}{2} \frac{\partial}{\partial a} + \frac{d}{2} \frac{\partial}{\partial b} \right]$$

$$= \left( -\frac{c}{2} \frac{\partial}{\partial a} - \frac{d}{2} \frac{\partial}{\partial b} \right) - \left( \frac{c}{2} \frac{\partial}{\partial a} + \frac{d}{2} \frac{\partial}{\partial b} \right)$$

$$= -c \frac{\partial}{\partial a} - d \frac{\partial}{\partial b} = -X_u.$$

$$\text{Check: } [X_A, X_v] = X_v$$

$$[X_u, X_v] = -2X_A$$

$E_{u,v}$  not integrable.

$E_{A,u}$  and  $E_{A,v}$  are,  
need to compute with  
 $f$  and  $g$  as before.

# Lecture 15 (26-09-2022)

Monday, September 26, 2022 10:45 AM

Midterm exam: October 7th

Syllabus: Manifolds, tangent spaces,  
differentials / pushforwards,  
immersions / submersions,  
vf's, flows, Frobenius / Lie brackets,  
transversality.

## Theorem (Frobenius)

A distribution  $E$  is integrable  
 $\Leftrightarrow E$  is involutive.

Proof: ( $\Rightarrow$ ) exercise.

( $\Leftarrow$ ) [Lee: force vfs to commute]  
[Woltonian: similar]

Fix a chart  $p_0: U \rightarrow \mathbb{R}^n$  of  $M$ .

Working in  $p_0(U)$ , the distribution is spanned  
by (non-vanishing) vector fields  $X_1(p), \dots, X_\ell(p)$ .

Fix  $p_0 \in p_0(U)$ . After an affine transform, we  
may assume  $p_0 = 0$  and  $X_i(0) = e_i$ .

Define

$$F : \mathbb{R}^\ell \times \mathbb{R}^{n-\ell} \xrightarrow{U} \mathbb{R}^n$$
$$(t_1, \dots, t_\ell, s) \mapsto \varphi_{t_\ell}^{X_\ell} \circ \dots \circ \varphi_{t_1}^{X_1}(0, s).$$

CLAIM:  $\forall (t, s) : DF(t, s)(\mathbb{R}^\ell) = E(F(t, s))$ .

Proof. For a basis vector  $e_i$ , note

$$\begin{aligned} DF(t, s)(e_i) &= \frac{d}{dt} \Big|_{t=0} \varphi_{t+s}^{x_e} \circ \dots \circ \varphi_{t+i\tau}^{x_i} \circ \dots \circ \varphi_{t_1}^{x_1}(0, s) \\ &= D\varphi_{t+s}^{x_e} \circ \dots \circ D\varphi_{t+i\tau}^{x_i} (x_i(\varphi_{t_i}^{x_i} \circ \dots \circ \varphi_{t_1}^{x_1}(0, s))) \end{aligned}$$

Fix  $y \in E(p)$  and assume  $x$  is subordinate to  $C$ .

CLAIM:  $D\varphi_t^x(y) \in E(p)$ .

Proof. With fixed  $p$ , let

$$A_t = (x_1(\varphi_t^x(p)) \dots x_0(\varphi_t^x(p)) \quad e_{l+1} \dots e_n).$$

$$A_0 = \text{id}.$$

$\therefore A_t$  is invertible on a nbd.

$$\text{Let } B_t := A_t^{-1}.$$

$$\forall t \quad A_t B_t = \text{id}$$

$$A_t' B_t + A_t B_t' = 0$$

$$\Rightarrow B_t' = -A_t^{-1} A_t' B_t$$

$$\boxed{B_t' = -B_t A_t' B_t}$$

$$\text{image}(B_t') \subseteq \mathbb{R}^n.$$

## Lecture 16 (28-09-2022)

Wednesday, September 28, 2022 10:36 AM

Last time :  $\varphi_0 : U_0 \rightarrow \mathbb{R}^n$

$$F: \varphi(U) \rightarrow \mathbb{R}^n$$

$$dF_p(\mathbb{R}^l) = E(F(p))$$

$\varphi = F^{-1} \circ \varphi_0$  = takes  $E$  (on  $M$ ) to  $\mathbb{R}^l$

Consider  $\mathcal{F} = \left\{ \begin{array}{l} \varphi \text{ as obtained from } \varphi_0 \\ \text{at} \\ \text{charts } \varphi \text{ s.t. } D\varphi(E) = \mathbb{R}^l \end{array} \right\}$

AIM :  $\mathcal{F}$  defines a foliation.

To see : check that if  $\varphi, \psi$ , then

$$(\psi \circ \varphi^{-1})(\{n\} \times \mathbb{R}^l) \subseteq \{\psi(\varphi^{-1}(n))\} \times \mathbb{R}^l.$$

## Transversality

Def<sup>n</sup> : Let  $f: M \rightarrow N$  be a  $C^\infty$  map and  $Q \subseteq N$  be an embedded submanifold.

$f$  is said to be **transverse to  $Q$**  ( $f \pitchfork Q$ ) if

$$\boxed{\text{im}(Df(p)) + T_{f(p)} Q = T_{f(p)} N,}$$

whenever  $f(p) \in Q$ .

When  $M_1, M_2$  are embedded submanifolds, we say that they are **transverse** ( $M_1 \pitchfork M_2$ ) if

When  $M_1, M_2$  are embedded submanifolds, we say that they are **transverse** ( $M_1 \pitchfork M_2$ ) if

$$T_p M_1 + T_p M_2 = T_p M$$

for all  $p \in M_1 \cap M_2$ .

(Same as taking  $Q = M_2, f = i_{M_1}$  or  $Q = M_1, f = i_{M_2}$  in first definition.)

### Theorem (Transversality Theorem)

If  $f: M \rightarrow N$  is transverse to  $Q \subseteq N$ , then  $\hat{Q} = f^{-1}(Q)$  is an embedded submanifold of  $M$ .

Further more,

$$\text{codim}(\hat{Q}) = \text{codim}(Q).$$

Special cases: ①  $f = \text{submersion}, Q = f^{-1}(Y) \rightarrow \text{Submersion theorem}$

②  $\dim M + \dim Q = \dim N, f \text{ embedding.}$

$\hat{Q} \rightarrow \text{discrete, countable collection of points}$

# Lecture 17 (30-09-2022)

Friday, September 30, 2022 10:48 AM

Recall:  $f: M \rightarrow N$   $C^\infty$ ,  $Q \subseteq N$  embedded.

$$f \pitchfork Q \Leftrightarrow \text{im}(Df(p)) + T_{f(p)}Q = T_{f(p)}N$$

$\forall p \in f^{-1}(Q)$ .

Theorem (Transversality theorem)

Let  $f \pitchfork Q$ . Then,  $\hat{Q} := f^{-1}(Q)$  is an embedded submanifold of  $M$ , and  $f|_{\hat{Q}}$  is an embedding whenever  $f$  is an embedding.

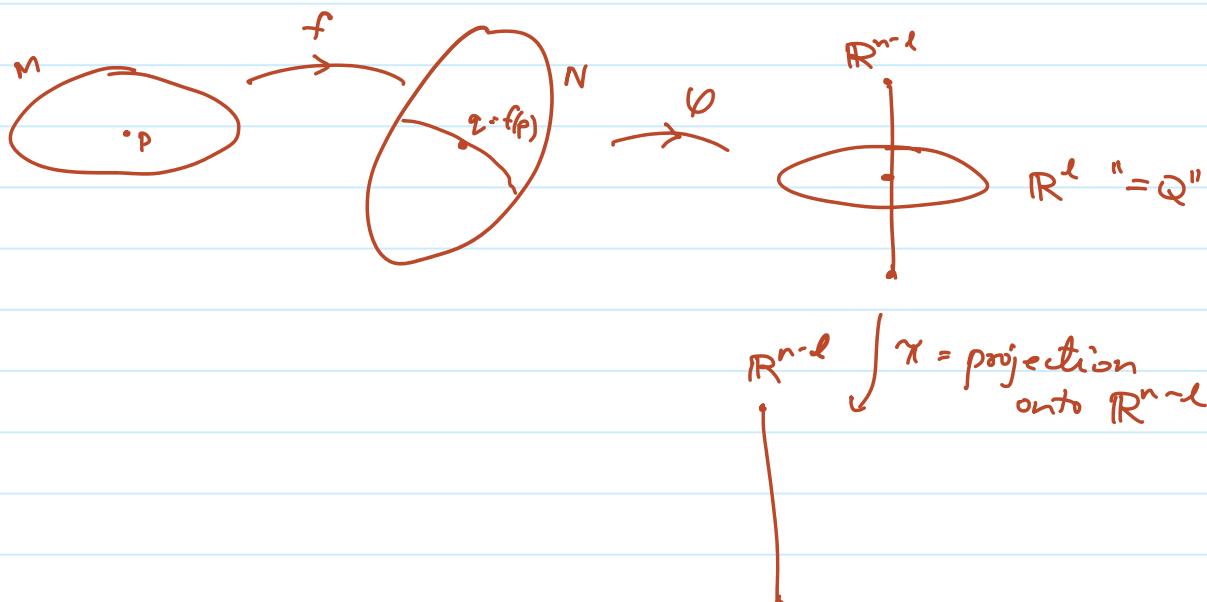
Furthermore,

$$\text{codim}(\hat{Q}) = \text{codim}(Q).$$

Lemma. If  $Q \subseteq N$  is an embedded submanifold, and  $q \in Q$ ,  $\exists$  a chart  $\varphi: U \rightarrow \mathbb{R}^n$  ( $= \mathbb{R}^l \times \mathbb{R}^{n-l}$ ) s.t.  $q \in U$  and

$$\varphi(Q \cap U) \subseteq \underset{\text{open}}{\mathbb{R}^l}. \quad (l = \dim(Q))$$

Take such a chart  $\varphi$  at  $q = f(p)$ .



Claim.  $F = \pi \circ \varphi$  is a submersion near  $p$ .

Pf. We have  $\text{im}(Df(p)) + T_{f(p)}Q = T_{f(p)}N$ .

$$\Rightarrow \text{Im}(Dp \circ Df) + \mathbb{R}^l \times \{0\} = \mathbb{R}^n$$

$$\Rightarrow \text{Im}(D\pi \circ Dp \circ Df) = \mathbb{R}^{n-l}.$$

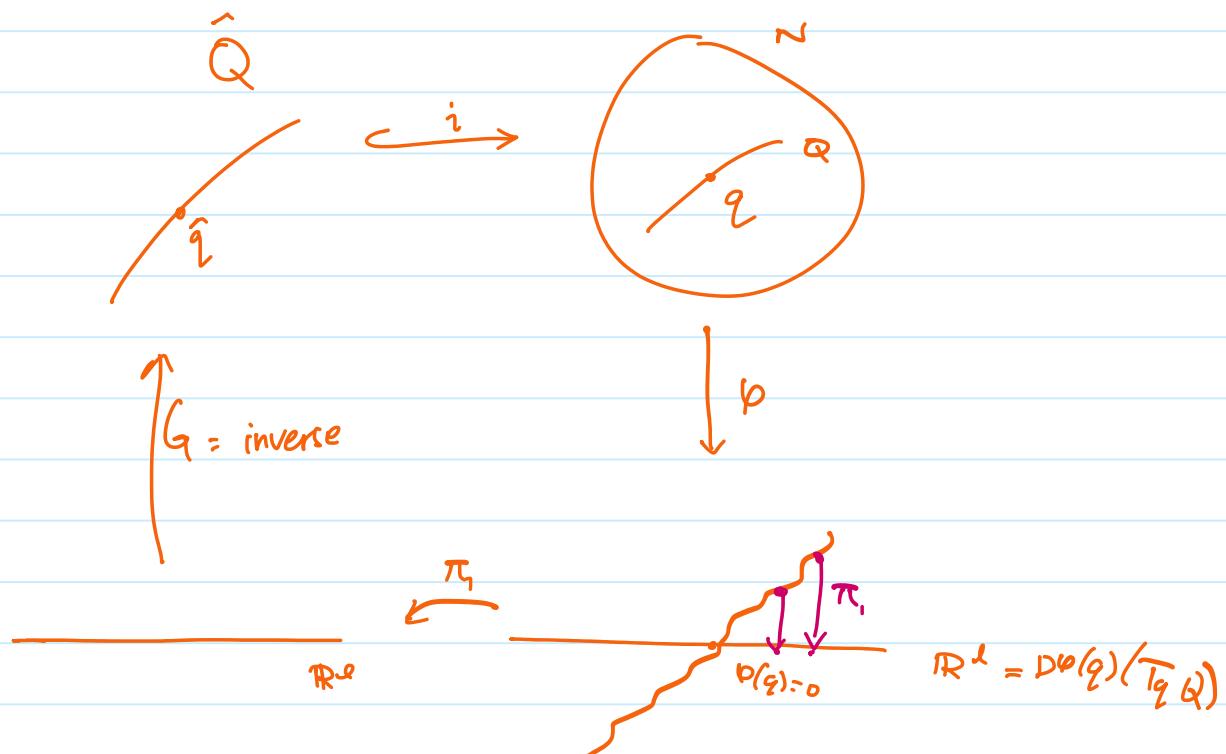
◻

(Suffices to prove submersion at  $p$ , then continuity gives on a nbd.)

$$F^{-1}(0) = f^{-1}(U \cap Q).$$

Now use submersion theorem to conclude the transversality theorem.

Sketch of proof of lemma:



$$\tau : \mathbb{R}^l \longrightarrow \mathbb{R}^{n-l}$$

$$\tau = \pi_2 \circ i \circ G$$

$$F : \mathbb{R}^n \longrightarrow \mathbb{R}^n$$

$$f(x,t) = (x, t - \tau(x)).$$

✓

## Lecture 18 (03-10-2022)

Monday, October 3, 2022 10:41 AM

Exercise. Let  $f_t(x, y) = (t+x, y, x+y)$ . ( $f_t: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ )  
 for which values of  $t$  does  $\text{im}(f_t)$  have a non-trivial transverse intersection with  $S^2$ .

Soln. Expect an open interval.

$$\text{Im}(D_p f) + T_{f(p)} S^2 = \mathbb{R}^3 \quad \forall p \text{ s.t. } f(p) \in S^2$$

(always 2 dim'l)

$\therefore$  suffices to check  $\text{Im}(D_p f) \neq T_{f(p)} S^2$ .

Note  $\text{Im}(f_t) = g_t^{-1}(0)$ , where

$$g_t(x, y, z) = z - y - (x \cdot t).$$

Define  $F_t(x, y, z) = (x^2 + y^2 + z^2 - 1, g_t(x, y, z))^T$ .  
 $F_t: \mathbb{R}^3 \rightarrow \mathbb{R}^2$

Need to check where  $D\bar{F}_t$  is full rank.

Reduced both manifolds to zero sets.

$$D_{(x,y,z)} F_t = \begin{bmatrix} 2x & 2y & 2z \\ -1 & -1 & 1 \end{bmatrix}$$

These two vectors are proportional

$$\left. \begin{array}{l} \text{if } x = y = -z. \\ x^2 + y^2 + z^2 = 1 \end{array} \right\} \Rightarrow (x, y, z) = \pm \left( \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{-1}{\sqrt{3}} \right)$$

$$\text{If } g\left(\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}\right) = 0$$

$$\text{then } -\frac{1}{\sqrt{3}} = \frac{1}{\sqrt{3}} + \left(\frac{1}{\sqrt{3}} - t\right)$$

$$\Rightarrow t = \sqrt{3}$$

$$g\left(\frac{1}{\sqrt{3}}, -\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}\right) \Rightarrow t = -\sqrt{3}$$

$\therefore (-\sqrt{3}, \sqrt{3})$  is the answer.



Midterm: No transversality II, Sard's theorem.

(Wortman, Page 80)

Let  $M$  and  $N$  be connected smooth manifolds, with  $M$  compact.

Let  $F_t : M \rightarrow N$  be a family of  $C^\infty$  maps  
s.t.  $(t, x) \mapsto F_t(x)$  is  $C^\infty$  from  $\mathbb{R} \times M$ .

(That is,  $F_t$  varies  $C^\infty$  in  $t$ .)

Then, the sets of  $t$  for which the following hold  
are open (i.e., there are open properties):

①  $F_t$  is an immersion.

② submersion.

③ local diffeo.

④ is transverse to a fixed  $\mathcal{L} \subset N$ .

⑤ embedding.

⑥ diffeo.

} check using full rank

(Compactness  
 $\Rightarrow$  injection = homeomorphism onto image)

Proof. ⑤ Well, we check openness around 0.

Proof. Well, we check openness around 0.  
 Assume  $F_0$  is an embedding but  $\exists$  sequence  $t_k \downarrow 0$   
 s.t.  $F_{t_k}$  not an embedding.

Define  $G: \mathbb{R} \times M \rightarrow \mathbb{R} \times N$   
 $(t, x) \mapsto (t, F(t, x)).$

Claim:  $DG(0, x)$  is injective for all  $x \in M$ .

Proof.

$$DG(0, x) = \begin{pmatrix} I & * \\ 0 & DF_0(x) \end{pmatrix}$$

$\dim M = m$   
 $\dim N = n$

↓ full rank

This is still fullrank.  $\square$

$F_{t_k}$  fails to be an embedding by not being injective.  
 (Immersion is open.)

$\exists p_k, q_k \in M$  s.t.  $p_k \neq q_k$  and  $F_{t_k}(p_k) = F_{t_k}(q_k)$

By compactness, we may assume  $p_k$  and  $q_k$  converge to  $p$  and  $q$ , resp.

By continuity  $F_0(p) = F_0(q)$ .

Since  $F_0$  is an embedding, we have

$$p = q.$$

However,  $G$  is injective on a small nbd  $U$  of  $(0, p)$  but for  $k \gg 0$ ,  $(t_k, p_k)$  and  $(t_k, q_k)$  are in  $U$  with

$$G(t_k, p_k) = (t_k, F_{t_k}(p_k)) = (t_k, F_{t_k}(q_k))$$

$$= G(t_k, q_k). \rightarrow \mathbb{R}$$

$$q(t_k, r_k) = (u_k, t_k(r_k)) \cdot \nabla, u_k(t_k) \\ - b(t_k, q_k). \rightarrow \mathbb{R}$$

# Lecture 19 (17-10-2022)

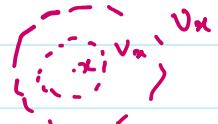
Monday, October 17, 2022 10:41 AM

## Theorem. (Whitney)

If  $M$  is a  $C^\infty$   $n$ -manifold, there exists an embedding of  $M$  into  $\mathbb{R}^{2n}$ .

We will prove a weaker version: Will show assuming  $M$  compact and show  $M$  embeds into some  $\mathbb{R}^N$ .

Proof (with simplifying assumption). For each  $x \in M$ , choose a  $C^\infty$  chart  $(U_x, \varphi_x)$  s.t.  $x \in U_x$ . For each  $x$ , choose some open  $V_x \ni x$  such that  $\bar{V}_x \subseteq U_x$ .  
 s.t.  $\varphi_x(U_x)$  is bdd in  $\mathbb{R}^n$



By compactness, we choose a finite subcover  $V_{x_1}, \dots, V_{x_r}$ .

For each  $i$ , choose  $C^\infty \psi_i : M \rightarrow \mathbb{R}$  s.t.  $\text{supp } \psi_i \subseteq U_{x_i}$ ,  
 $\psi_i|_{V_{x_i}} = 1$ ,

Let  $N := r \cdot n + r$ .

Define  $F : M \rightarrow \mathbb{R}^N$  by  
 $F(x) = (F_1(x), \dots, F_r(x), \psi_1(x), \dots, \psi_r(x))$ .

$F_i : M \rightarrow \mathbb{R}^n$  is defined by

*(our assumption tells us  $\varphi_x(V_x)$  is  $C^\infty$ )*

$$F_i(x) = \begin{cases} \varphi_{x_i}(x) \psi_i(x), & x \in U_{x_i} \\ 0, & \text{otherwise} \end{cases}$$

Claim 1.  $F$  is injective. (Hence, homeo onto image.)  
 (since  $M$  compact)

$\hookrightarrow$  Proof. Suppose  $x, y \in M$  satisfy  $F(x) = F(y)$ .

$\hookrightarrow$  Proof. Suppose  $x, y \in M$  satisfy  $F(x) = F(y)$ .  
 Choose  $i$  s.t.  $x \in V_{x_i}$ .

Then,  $\Psi_i(x) = 1$ . Consequently,  $\Psi_i(y) = 1$ .  
 $\therefore y \in V_{x_i}$  as well.

But now,  $\varphi_{x_i}(x) = \varphi_{x_i}(y)$  and then,  
 $x = y$  ( $\because \varphi_{x_i}$  is 1-1).  $\square$

Claim 2.  $F$  is an immersion (and hence, an embedding).

$\hookrightarrow$  Proof. Need to check  $\text{rank}(DF(x)) = n \ \forall x$ .

$$DF(x) = \begin{bmatrix} DF_1(x) & \dots & DF_r(x) & D\Psi_1(x) & \dots & D\Psi_r(x) \end{bmatrix}$$

Pick  $i$  s.t.  $x \in V_{x_i}$ .

Or a nbd of  $x$ ,  $F_i \equiv \Psi_{x_i}$ .

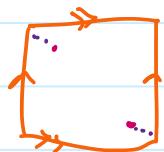
But then

$$\begin{aligned} \text{rank}(DF_i(x)) &= \text{rank}(D\Psi_{x_i}(x)) \\ &= n. \end{aligned} \quad \square$$

Thus, we are done.  $\square$

Thm. (Nash)  $\exists C$ -embedding of  $\mathbb{T}^n$  into  $\mathbb{R}^3$  which is an isometry.

$\mathbb{T}^n$  = flat torus,  
 distance measured  
 "along" torus



$\square$

Defn:

A <sup>(topological)</sup> n-manifold with boundary is a Hausdorff second-countable topological space s.t. for every  $x \in M$ , either one of the two conditions hold:

- $M$  is locally  $n$ -Euclidean at  $x$ ,
- $\exists$  nbd  $U$  containing  $x$  and a homeo  $\varphi: U \rightarrow V$  s.t.  $V$  is open in  $\mathbb{R}_{\geq 0} \times \mathbb{R}^{n-1}$  and  $\varphi(x) \in \text{boundary } \mathbb{R}^{n-1}$ .



$M$  has a smooth structure if transition maps are  $C^\infty$ .

↳ boundary should go to boundary, smooth on interior and on  $\mathbb{R}^{n-1}$ .

# Lecture 20 (19-10-2022)

Wednesday, October 19, 2022 10:39 AM

## Orientations

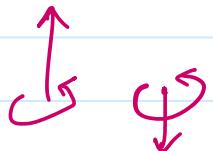
1-manifolds: "left" or "right"



2-manifold: "clockwise" or "counterclockwise"



3-manifold: "left-hand rule" or "right-hand rule"



$\neq 0$

Idea: An orientation on a vector space  $V$  is an equivalence class of ordered frames (bases).

$(v_1, \dots, v_d) \sim (w_1, \dots, w_d) \iff$  the linear transform mapping  $v_i \mapsto w_i$  has  $\det > 0$ .

Remark: On every vector space,  $\neq 0$  exactly two equiv classes.

In some tries of  $\mathbb{R}^2$ : Rotations, { preserve  
Translations,  
Reflections. → don't preserve}

Defs. ① If  $M$  is a manifold, a **pointwise orientation** of  $M$  is a function which assigns to each  $x \in M$  an orientation of  $T_x M$ .

② An **orientation**  $\sigma$  of  $M$  is a ptwise orientation s.t.

② An orientation  $\Theta$  of  $M$  is a ptwise orientation s.t.  
 $\forall x \in M \quad \exists$  nbd  $V \ni x$  and vfs  $x_1, \dots, x_d$  defined on  
 $U$  s.t.

$$\Theta(p) = [(x_1(p), \dots, x_d(p))] \text{ for all } p \in U.$$

Examples. ①  $\mathbb{T}^d$  is orientable.

$$\mathbb{R}^d / \mathbb{Z}^d$$

Choose the standard basis vector at each point.

②  $S^n$  is orientable. (See next theorem.)

Theorem. Let  $M \subseteq \mathbb{R}^{n+1}$  be an  $n$ -dimensional manifold.  
Assume  $\exists$  a v.f.  $X$  on  $\mathbb{R}^{n+1}$  s.t.  $X(p) \notin T_p M \forall p \in M$ .  
Then,  $M$  is orientable.

Proof. Fix  $p \in M$ , and let  $\varphi: U \xrightarrow{\text{connected}} \mathbb{R}^n$  be a chart containing  $p$ .  
Then,  $(D_{\varphi(n)} \varphi'(e_1), \dots, D_{\varphi(n)} \varphi'(e_n))$  is a local framing of  $T_x M$  at  $x$ , for  $n \in U$ .  
We say  $\varphi$  is a positively oriented chart if

$$(D_{\varphi(n)} \varphi'(e_1), \dots, D_{\varphi(n)} \varphi'(e_n), X(n)) \sim (e_1, \dots, e_{n+1}) \quad (\text{Inside } \mathbb{R}^{n+1})$$

$\forall x \in U$ .

Note that

$x \mapsto \det(D_{\varphi(n)} \varphi'(e_1), \dots, D_{\varphi(n)} \varphi'(e_n), X(n))$   
is continuous and non-zero.  $\therefore$  same sign.

Thus, given any such  $\varphi$  (connected), either  $\varphi$  or  $(-\varphi_1, \varphi_2, \dots, \varphi_n)$  is a pos. chart.

... given any such chart  $(\varphi, \Omega)$ , where  $\varphi$  or  $(-\varphi_1, \varphi_2, \dots, \varphi_n)$  is a pos. chart.

Thus, for any  $x \in M$ , we can define a twice oriented chart.

Now, we claim that for  $x \in M$ , defining

$$\Theta(x) := [(D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n))]$$

works (for any twice oriented chart  $\varphi$ ).

Need to check : well-defined.

Check : If  $\varphi, \psi$  are two such charts, then the RHS is same.

(Easy.)



Def: If  $M$  is a  $C^\infty$  manifold, let  $\tilde{M}$  denote the set of pairs  $(x, \Omega_x)$ , where  $\Omega_x$  is an orientation of  $T_x M$ . Then,  $\exists$  a 2:1 map  $\pi : \tilde{M} \rightarrow M$   
 $(x, \Omega_x) \mapsto x$ .

→  $\tilde{M}$  can be given the structure of a  $C^\infty$  manifold s.t.  $\tilde{M}$  is orientable.

# Lecture 21 (21-10-2022)

Friday, October 21, 2022 10:38 AM

$$\tilde{M} = \{(x, \theta_x) : \theta_x \text{ is an orientation on } T_x M\}.$$

$$\begin{aligned}\pi: \tilde{M} &\rightarrow M \\ (x, \theta_x) &\mapsto x\end{aligned}$$

Smooth / topological structure:

$\varphi: U \rightarrow \mathbb{R}^n$  a chart on  $M$ .

Define

$$U_+ := \left\{ (x, [D_{\varphi(x)} \varphi^{-1}(e_1), \dots, D_{\varphi(x)} \varphi^{-1}(e_n)]) : x \in U \right\}.$$

$\prod_{\tilde{M}}$        $\overset{\text{if}}{\Theta}_{\varphi,+}(x)$

$$\begin{aligned}\varphi_+: U_+ &\rightarrow \mathbb{R}^n \text{ is defined by} \\ \varphi_+(x, \theta_{\varphi,+}(x)) &:= \varphi(x).\end{aligned}$$

Similarly, define

$$U_- := \{(x, -\theta_{\varphi,+}) : x \in U\}$$

and  $\varphi_-: U_- \rightarrow \mathbb{R}^n \dots$

Check: topology given on  $\tilde{M}$  by declaring  
 $U_+, U_-$  as the open sets makes  $\pi: \tilde{M} \rightarrow M$   
a covering map.

let  $\psi: V \rightarrow \mathbb{R}^n$  be another chart.

Case:  $V \cap U_+ \neq \emptyset$ .

Then,  $\exists p \in V \cap U$  s.t.  $\theta_{\varphi,+}(p) = \theta_{\psi,+}(p)$ .

Then,  $\exists p \in V \cap U$  s.t.  $\Theta_{\varphi,+}(p) = \Theta_{\psi,+}(p)$ .

Then,

$$B_1 = (D_{\varphi(p)} \tilde{\psi}^*(e_1), \dots, D_{\varphi(p)} \tilde{\psi}^*(e_n)) \\ \sim (D_{\psi(p)} \tilde{\psi}^*(e_1), \dots, D_{\psi(p)} \tilde{\psi}^*(e_n)) = B_2.$$

Let  $A_p$  be the lin. transform taking  $B_1$  to  $B_2$ .

Then,  $\det(A_p) > 0$ .

$\Rightarrow \det(A_x) > 0 \quad \forall x \in$  connected component...

$\Rightarrow$  this component is open.

$$(V \cap U)_+ = V_+ \cap U_+ \\ \text{for } \varphi \quad \text{for } \psi \quad \text{for } \varphi$$

Remark. An orientation on  $M$  is a section of  $\pi: \tilde{M} \rightarrow M$ .  
i.e. a  $C^\infty$  map  $\sigma: M \rightarrow \tilde{M}$  and  $\pi \circ \sigma = \text{id}_M$ .

Theorem:  $M$  is connected.

$\tilde{M}$  is not connected  $\Leftrightarrow \tilde{M}$  is orientable.

Proof. ( $\Leftarrow$ ) Assume  $M$  orientable.

Then,  $\exists C^\infty$  sections  $\sigma_\pm: M \rightarrow \tilde{M}$  defined by

$$\sigma_\pm(x) = (x, \Theta_\pm(x)).$$

Then,

$$\tilde{M} = \sigma_+(M) \cup \sigma_-(M).$$

$\hookrightarrow$  open since local diffco

( $\Rightarrow$ ) Assume  $M = M_+ \sqcup M_-$  for nonempty closed subsets.

Then,  $\pi: \tilde{M} \rightarrow M$  is a 2-1 covering map.

Then,  $\pi(M_+)$  is also closed.

..., " ... is a covering map.

Then,  $\pi(M_+)$  is also clopen.

But  $M$  is connected. Thus,  $\pi(M_+) = M$ .

Why  $\pi(M_-) = M$ .  $\because \pi$  is 2-1,  $\pi|_{M_+}$  is a bijection.

But  $\pi$  is a local diffeo.

$\therefore \pi|_{M_+}$  is a diffeo.

$\therefore \pi|_{M_+}^{-1}$  is a section.  $\blacksquare$

Remark:  $\tilde{M}$  is always orientable.

$\Theta_n$  is orientation at  $(n, \Theta_n) \in \tilde{M}$ .



Let  $M, N$  be manifolds and  $F: M \rightarrow N$  a diffeomorphism.

If  $\Theta$  is an orientation on  $M$ , the **pushforward** of  $\Theta$  is

$$(x := F(y)) \quad F_*(\Theta)(y) = [(D_x F(v_1), \dots, D_x F(v_n)]$$

where  $[(v_1, \dots, v_n)] = \Theta(x)$ .

Exercise:  $(F \circ G)_* = F_* \circ G_*$ .

connected  
oriented manifold

Dfn: Let  $F: M \rightarrow M$  be a diffeo.

$F$  is called **orientation preserving** if  $F_*(\Theta) = \Theta$ .

**Orientation reversing** otherwise.

$\exists$  <sup>group</sup> homomorphism  $\Theta: \text{Diff}^\infty(M) \rightarrow \{-1, 1\}$

$$F \mapsto \begin{cases} 1 & ; F \text{ preserves} \\ -1 & ; F \text{ reverses} \end{cases}$$

$\mathbb{R}P^n$  orientable  $\Leftrightarrow n$  is odd.

# Differential forms

Recall: The chain rule for integration:

If  $U, V \subseteq \mathbb{R}^n$  are open, and  $F: U \rightarrow V$  is a diffeo.  
 (think: change of coordinates)  
 and  $\varphi \in C^\infty(V)$ . Then,

$$\int_U \varphi(x) dx = \int_U (\varphi \circ F)(x) |\text{Jac}(F)(x)| dx.$$

↳ integration wrt standard  
 Lebesgue measure

$$(\text{Jac}(F)(x) = \det(D_x F).)$$

Note: • Since  $F$  is a diffeo, the Jacobian is of same sign (on <sup>out</sup> <sub>but</sub> connected components). This hints that orientations are important.

• The  $\text{Jac}(F)$  term says that any naive type of integral defined in terms of charts will in fact depend on charts.

Goal: Construct/attach extra data to keep track of the  $\text{Jac}(F)$  term.

## Some linear algebra:

Recall when  $f: \underbrace{V \times \dots \times V}_{k\text{-fold}} \rightarrow \mathbb{R}$  is called  $k$ -linear or multilinear.

Similarly recall alternating.. -  
Lastly, recall

$$\det : \underbrace{\mathbb{R}^n \times \dots \times \mathbb{R}^n}_{n\text{-times}} \rightarrow \mathbb{R}$$

is the unique multilinear and alternating function  
s.t.  $\det(e_1, \dots, e_n) = 1$ .

Moreover, any mult. alt.  $f^n$  is a scalar multiple of  $\det$ .

$\Lambda^k(V)$  = space of  $k$ -linear alternating functions.

- $\Lambda^k(V)$  is a vector space.
- $\dim(\Lambda^k V) = \binom{\dim V}{k}$ . [In particular,  $\Lambda^k V = 0$  for  $k > \dim V$ .]
- Let  $n = \dim V$ .

$\Lambda^n(V)$  is one-dimensional.

If we have a basis for  $V$ , we get a generator of  $\Lambda^n(V)$ . (Think of  $\det$ .)

$$\left( \begin{array}{l} (v_1, \dots, v_n) \rightarrow \text{basis for } V, \\ (\varphi : \underbrace{V \times \dots \times V}_n \rightarrow \mathbb{R}) \\ (\sum a_{i_1} v_{i_1}, \dots, \sum a_{i_n} v_{i_n}) \mapsto \det [a_{ij}] \end{array} \right)$$

Elements of  $\Lambda^k(V)$  are called top forms.

Defn. If  $\omega \in \Lambda^k(V)$  and  $F: W \rightarrow V$  is linear, then the pullback of  $\omega$  by  $F$ ,  $F^* \omega \in \Lambda^k(W)$  is

Def. the pullback of  $\omega$  by  $F$ ,  $F^*\omega \in \Lambda^k(W)$  is defined by

$$F^*\omega(w_1, \dots, w_k) = \omega(F(w_1), \dots, F(w_k)).$$

- $F$  is not assumed invertible. Even  $\dim W = \dim V$  is not needed.

- Pullbacks are contravariant.

$$(F_2 \circ F_1)^* \omega = F_1^*(F_2^* \omega)$$

```

    graph TD
      U -- F1 --> W
      W -- F2 --> V
      LkW[L^k(W)] -- F1* --> LkU[L^k(U)]
      LkV[L^k(V)] -- F2* --> LkW
      LkU -- (F2 o F1)* --> LkV
  
```

- If  $F: \mathbb{R}^n \rightarrow \mathbb{R}^m$ , then  $F^*(\det) = \det(F) \det$ .

                        X                        

Def. Let  $M$  be a smooth manifold. Define  $\Lambda^k(TM)$  to be the vector bundle whose fibers are  $\Lambda^k(T_x M)$ .

(Give this a smooth structure using pullbacks...)

A differential  $k$ -form is a  $C^\infty$  section of  $\Lambda^k(TM)$ .

Ex:  $\Lambda^1(TM)$  is the cotangent bundle.

Integration: Fix a family of charts  $\psi_k: U_k \rightarrow \mathbb{R}^n$  such that  $\{\psi_k\}_k$  covers  $M$ .

Choose a partition of unity  $\Psi_k: M \rightarrow [0,1]$  s.t.

- $\text{supp } (\Psi_k) \subseteq U_k$ ,
- $\sum \Psi_k = 1$ .

If  $f: M \rightarrow \mathbb{R}$  is  $C^\infty$  and  $\omega$  an  $n$ -form on  $M$ , define

define

$$\int_M f \cdot \omega = \sum_k \int_{\varphi(U_k)} \psi_k(\varphi_k^{-1}(x)) f(\varphi_k^{-1}(x)) \left[ \frac{D\varphi_k(x)^*(\det)}{\omega(\varphi^{-1}(x))} \right] dx$$

this makes sense  
because  
 $\omega(\varphi^{-1}(x))$  spans  
the 1-dim space.

# Lecture 23 (26-10-2022)

Wednesday, October 26, 2022 10:46 AM

Theorem.  $M$  is orientable  
 $\Leftrightarrow M$  has a nonvanishing top-form.

Proof. ( $\Leftarrow$ ) Let  $\omega$  be a nonvanishing top form on  $M$ .  
Fix  $p \in M$ . We choose  $v_1, \dots, v_n \in T_p M$   
s.t.

$$\omega(p)(v_1, \dots, v_n) = 1.$$

Make such a choice for every  $p$ .

Define

$$\Theta(p) = [(v_1, \dots, v_n)].$$

This varies smoothly: follows from  $\omega$  being  $C^\infty$ .

( $\Rightarrow$ ) Assume  $M$  is orientable. (Assume  $M$  compact for now.)  
For each  $p \in M$ , let  $\varphi_p: U_p \rightarrow \mathbb{R}^n$  be  
a  $+/-$  oriented chart s.t.  $p \in U_p$ .

Choose finitely many such charts:  $U_1, \dots, U_m$ .  
Let  $p_1, \dots, p_m: M \rightarrow [0, 1]$  be a partition  
of unity subordinate to  $U_1, \dots, U_m$ .

Define the form

$$\omega(x)(v_1, \dots, v_n) = \sum_{i=1}^m p_i(x) \varphi_i^*(\det)(v_1, \dots, v_n).$$

$$\begin{aligned} & \sum p_i(x) \det(D\varphi_i(x)v_1, \\ & \dots, D\varphi_i(x)v_n). \end{aligned}$$

$$\angle \varphi_i(x) \det(D\varphi_i(x)v_1, \dots, D\varphi_i(x)v_n).$$

This works -  $\mathbb{R}$

~~Recall:  $M = n$ -manifold (oriented)~~

~~$\omega = \text{top form}$~~

~~$\varphi_i : U_i \rightarrow \mathbb{R}^n$ , charts s.t.  $(U_i)_i$  cover  $M$~~

~~$\rho_i : M \rightarrow [0,1]$  part of unity sub. to  $(U_i)_i$~~

$$\int_M \omega := \sum_i \int_{\rho_i(U_i)} \rho_i(\varphi_i^{-1}(x)) \left[ \frac{\omega}{(\rho_i)^*(\det)} \right] (\varphi_i^{-1}(x)) dx.$$

This is independent of ... everything (but  $\omega$ )

# Lecture 24 (28-10-2022)

Friday, October 28, 2022 10:42 AM

Integration in practice:

- $\varphi_i : U \rightarrow \mathbb{R}^n$ .
- $U_i \cap U_j = \emptyset$  if  $i \neq j$ .
- $M \setminus \left( \bigcup_{i=1}^n U_i \right) = \text{finitely many embedded submanifolds}$

$\xrightarrow{\exists}$  "0 measure  
(Sard's)

$$\int_M \omega = \sum_{i=1}^n \int_{\varphi_i(U_i)} \frac{\omega}{(\varphi_i)^*(dx)}$$

Ex: ①  $T^2 =$

$$U = \boxed{\text{---}} \quad \text{"dx} \wedge \text{dy"}$$

②  $S^2 =$

$$U = \boxed{\text{---}} = S^2 \setminus \{\text{north pole, south pole}\}$$

Alternating forms on  $\mathbb{R}^n$

•  $\Lambda'(\mathbb{R}^n) = (\mathbb{R}^n)^*$

$$\cdot \wedge^1(\mathbb{R}^n) = (\mathbb{R}^n)^*$$

Basis :  $dx_1, \dots, dx_n$ , where

$$dx_i(v) = v_i.$$

$$(v = (v_1, \dots, v_n))$$

Dual to standard basis.

$$\cdot \wedge^k(\mathbb{R}^n)$$

Define  $dx_{i_1} \wedge \dots \wedge dx_{i_k} \in \wedge^k(\mathbb{R}^n)$  as

$$(v^1, \dots, v^k) \mapsto \sum_{\sigma \in S_k} \text{sgn}(\sigma) v_{i_1}^{\sigma(1)} \dots v_{i_k}^{\sigma(k)}.$$

$$v^1, \dots, v^k \in \mathbb{R}^n \quad v^i = (v_{i_1}^i, \dots, v_{i_k}^i) \in \mathbb{R}^k.$$

Theorem.  $\{dx_{i_1} \wedge \dots \wedge dx_{i_k} : 1 \leq i_1 < \dots < i_k \leq n\}$  forms a basis for  $\wedge^k(\mathbb{R}^n)$ .

## Wedge Product

If  $\alpha \in \wedge^k(V)$  and  $\beta \in \wedge^l(V)$ , the wedge product  $\alpha \wedge \beta \in \wedge^{k+l}(V)$  is defined as

$$(v^1, \dots, v^{k+l}) = \frac{1}{k! l!} \sum_{\sigma \in S_{k+l}} \text{sgn}(\sigma) \alpha(v^{\sigma(1)}, \dots, v^{\sigma(k)}) \beta(v^{\sigma(k+1)}, \dots, v^{\sigma(k+l)}).$$

Example.  $(dx_1 \wedge dx_2) \left/ \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}, \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} \right.$

$$= dx_1 \left( \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} \right) dx_2 \left( \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} \right) - dx_1 \left( \begin{pmatrix} w_1 \\ w_2 \\ w_3 \end{pmatrix} \right) dx_2 \left( \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} \right)$$

$$= v_1 w_2 - w_1 v_2.$$

Theorem. The wedge product is associative, bilinear, and satisfies

$$\alpha \wedge \beta = (-1)^{kl} \beta \wedge \alpha,$$

$$\alpha \in \Lambda^k(V), \beta \in \Lambda^l(V).$$

Example. Let  $\alpha = y dx - x dy$ ,  $\beta = x^2 dx + y dy$ . forms on  $\mathbb{R}^2$  or  
(section of  $\Lambda^1(\mathbb{R}^2)$ )

$$\begin{aligned} \alpha \wedge \beta &= (y dx - x dy) \wedge (x^2 dx + y dy) \\ &= x^2 y dx \wedge dx + y^2 dy \wedge dy - x^3 dy \wedge dx \\ &\quad - x y dy \wedge dy \\ &= (y^2 + x^3) dx \wedge dy. \end{aligned}$$

$dx \wedge dx = (-1) dx \wedge dx$

Tautological 1-form: Let  $M$  be a  $C^\infty$  manifold.

(/Canonical/Liouville)

$T^*M =$  cotangent bundle  
(space of 1-forms)

Consider the manifold :  $N := T^*M$ .

Define a one-form on  $N$  as follows:

$$\begin{array}{c} T^*N \\ \downarrow \pi \\ M \end{array}$$

If  $v \in T(T^*M)$ ,

$$\theta_{(\alpha, p)}(v) = \alpha(D\pi^{(\alpha, p)}(v)).$$

$$p \in M, \\ \alpha \in (T_p M)^*$$

Example:  $M = \mathbb{R}^n$ .

$$T^*M \cong \mathbb{R}^n \times \mathbb{R}^n$$

$$(q, \alpha_q) \quad \alpha_p(v) = \langle v, \omega(\alpha_p) \rangle$$

" " " "

p

any linear  $f^*$  is an inner product

$$\Theta_{(q_{hp})}(v, w) = \sum_{i=1}^n v_i p_i$$

$$\bar{\Theta} = \sum_{i=1}^n p_i dq_i$$

# Exterior derivatives

If  $\alpha$  is a  $k$ -form on a manifold  $M$ , we define  $d\alpha$  as a  $(k+1)$ -form on  $M$ :

$$d\alpha(x)(v^1(x), \dots, v^{k+1}(x)) = \sum_{i=1}^{k+1} (-1)^{i+j} \left[ v^i \cdot \alpha(v^1, \dots, \widehat{v^i}, \dots, v^{k+1}) \right](x) + \sum_{i < j} (-1)^{i+j} \alpha(x)([v^i, v^j], v_1, \dots, \widehat{v_i}, \dots, \widehat{v_j}, \dots, v_{k+1})(x)$$

$v^1, \dots, v^{k+1}$  vf.s

$$\text{In coords: } d\alpha(x) \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2} \right) = \frac{\partial}{\partial x_1} \cdot \alpha \left( \frac{\partial}{\partial x_2} \right) - \frac{\partial}{\partial x_2} \cdot \alpha \left( \frac{\partial}{\partial x_1} \right).$$

$$\text{In coords: } d\alpha(x)\left(\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}\right) = \frac{\partial}{\partial x_1} \cdot \alpha\left(\frac{\partial}{\partial x_2}\right) - \frac{\partial}{\partial x_2} \alpha\left(\frac{\partial}{\partial x_1}\right).$$

If  $\alpha = f dx_1 + g dx_2$  then,

$$d\alpha(x) = + \frac{\partial g}{\partial x_1} - \frac{\partial f}{\partial x_2}.$$

$$\therefore d(fdx_1 + gdx_2) = \left( -\frac{\partial f}{\partial x_2} + \frac{\partial g}{\partial x_1} \right) dx_1 \wedge dx_2.$$

Formulae for exterior derivative:

1) If  $f: M \rightarrow \mathbb{R}$  is a 0-form (i.e.,  $C^\infty f^k$ ),  $df$  is the usual differential.

2)  $d^2\alpha = 0$  for all forms  $\alpha$ .

3)  $d(\alpha \wedge \beta) = (d\alpha) \wedge \beta + (-1)^p \alpha \wedge (d\beta)$ ,  $p = \deg(\alpha)$ .

Using these:

$$\begin{aligned} d(fdx_1 + gdx_2) \\ = df \wedge dx_1 + dg \wedge dx_2 \\ = \dots \end{aligned}$$

# Lecture 25 (02-11-2022)

Wednesday, November 2, 2022 10:38 AM

## Theorem (Stokes' Theorem)

Let  $M$  be an oriented  $n$ -manifold with boundary, and  $\omega$  be a compactly supported  $(n-1)$ -form on  $M$ . Then,

$$\int_M d\omega = \int_{\partial M} \omega$$

where  $\partial M$  has the induced orientation.

Proof. Case 1.  $\omega$  supported on a chart contained in the interior of  $M$ .  
Want to check  $\int_M d\omega = 0$ .

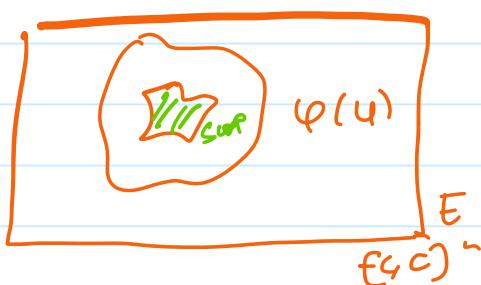
In coordinates:

$$\omega = \sum_{i=1}^n f_i dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n.$$

$$d\omega = \sum_{i=1}^n \frac{\partial f_i}{\partial x_i} dx_1 \wedge dx_2 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n.$$

$$= \left( \sum_{i=1}^n (-1)^{i-1} \frac{\partial f_i}{\partial x_i} \right) dx_1 \wedge \dots \wedge dx_n$$

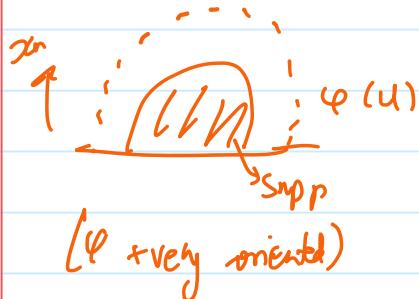
↓  
each individually integrates to 0:



$$\begin{aligned} & \int_{\partial(\phi(U))} f_i \frac{\partial f_i}{\partial x_i} dx_1 \dots dx_n \\ &= \int_{\partial(\phi(U))} f_i dx_1 dx_2 \dots dx_n \\ & \quad \vdots \\ & \int_{\partial(\phi(U))} 1 \cdot \phi \circ f_i dx_1 \dots dx_n \end{aligned}$$

$$\int_{\mathbb{C}^n} \omega = \int_{[-c,c]^{n-1}} \int_0^{\frac{1}{2\pi c}} \left( \int_{\partial D} \frac{\partial f}{\partial z_i} dz_i \right) dx_1 \dots dx_n = 0.$$

Case 2.  $\omega$  supported on a chart of  $\partial M$ .



$$\omega = \sum f_i dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n$$

$$d\omega = \left( \sum (-1)^{i-1} \frac{\partial f}{\partial x_i} \right) dx_1 \wedge \dots \wedge dx_n.$$

As before, for all but the last term, integrating over  $M$  is 0.

$$\therefore \int_M d\omega = \int_M (-1)^{n-1} \frac{\partial f}{\partial x_n} dx_1 \wedge \dots \wedge dx_n$$

$$= (-1)^{n-1} \int_{[-c,c]^{n-1}} \left[ \int_0^c \frac{\partial f}{\partial x_n} dx_n \right] dx_1 \dots dx_{n-1}$$

$$= (-1)^{n-1} \int_{\mathbb{C}^n} \left[ f(x_1, \dots, x_{n-1}, 0) - f(x_1, \dots, x_{n-1}, c) \right] dx_1 \dots dx_{n-1}$$

$$= \int_{\phi(\partial M)} (-1)^n f(x_1, \dots, x_{n-1}, 0) dx_1 \dots dx_{n-1}$$

$$= \int_{\partial M} \omega.$$



# de Rham Cohomology

$\Omega^k(M) = k\text{-forms on } M.$

$d : \Omega^k(M) \rightarrow \Omega^{k+1}(M).$

$$d \circ d = 0.$$

$$H_{dR}^k(M) = \frac{\ker(\Omega^k \rightarrow \Omega^{k+1})}{\text{im}(\Omega^{k-1} \rightarrow \Omega^k)}.$$

↗ closed  $k$ -forms  
↙ exact  $k$ -forms

Thm.  $H_{dR}^k(M)$  is always finite dim'l. ( $M$  is connected.)

Thm. If  $M$  is a compact, connected, oriented  $n$ -manifold,  
 $H_{dR}^n(M) \cong \mathbb{R}$ . (w/o boundary)

Sketch.  $\int_M : H_{dR}^n(M) \rightarrow \mathbb{R}$  is an iso.  $\square$

Thm.  $H_{dR}^k(M \times N) \cong H_{dR}^k(M) \times H_{dR}^k(N).$

Cor.  $H_{dR}^1(\mathbb{P}^n) \cong \mathbb{R}^n.$

Defn  $\Omega_c^k(M) = \text{compactly supported } k\text{-forms.}$

$$\Omega_c^0 \xrightarrow{d} \Omega_c^1 \xrightarrow{d} \Omega_c^2 \xrightarrow{d} \dots$$

$$H_{c, dR}^k(M) = \frac{\ker(\Omega_c^k \rightarrow \Omega_c^{k+1})}{\text{im}(\Omega_c^{k-1} \rightarrow \Omega_c^k)}$$

In general,  $H_{c, dR}^n(M) \cong \mathbb{R}$ ,  $M$  connected, oriented  $n$ -manifold.

$$H_{dR}^1(\mathbb{R}) = 0, \quad H_{c, dR}^1(\mathbb{R}) \cong \mathbb{R}.$$

## Lecture 26 (04-11-2022)

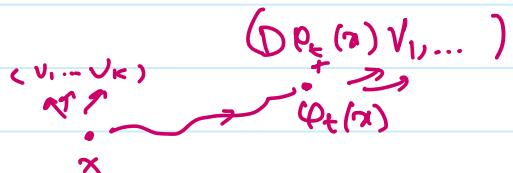
Friday, November 4, 2022 10:41 AM

# Lie Derivatives

$x \rightarrow v_f, \quad \omega \rightarrow k\text{-form} \quad \text{on } M$

$$\mathcal{L}_x \omega = \left. \frac{d}{dt} \right|_{t=0} (\phi_t^x)^* \omega$$

(Zero form is a  $C^\infty$  function  $f$ .)  
 $\mathcal{L}_x f := x \cdot f.$



$$(\mathcal{L}_x \omega)(x)(v) = \lim_{t \rightarrow 0} \frac{\omega(\phi_t(x)) (D \phi_t(x)v) - \omega(x)v}{t}$$

(written above for 1-form, just for intuition)

$\omega \rightarrow k\text{-form}, \quad i_x \omega \rightarrow k-1\text{-form}$

$$(i_x \omega)(x)(v_1, \dots, v_{k-1}) = \omega(x, v_1, \dots, v_{k-1}).$$

Theorem (Cartan's Magic Formula)

$$\mathcal{L}_x = i_x \circ d + d \circ i_x.$$

Proof. To show:

$$\mathcal{L}_x \omega = i_x(d\omega) + d(i_x \omega). \quad \forall k \quad \forall \omega \in \Lambda^k(T_n).$$

Induct on  $k$ :

$$k=0 : \quad L_x(f) = X \cdot f$$

$$i_x(df) = df(x) = X \cdot f$$

$$d(i_x f) = d(0) = 0.$$

Note that all terms in the formula are zero.

Let us prove it for a form that looks like

(A general form  $\omega = du \wedge \beta$ ,  $u \in C^\infty$ ,  $\beta \in \Omega^{k-1}$ .  
is an R-lin. combination.)

$$\begin{aligned} L_x(du)(x)(y) &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ (\varphi_t^x)^* du(x)(y) - du(x)(y) \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ du(\varphi_t^x(x)) (D\varphi_t^x(x)y) - du(x)(y) \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ D\varphi_t^x(y) \cdot u(x) - y \cdot u \right] \\ &= \lim_{t \rightarrow 0} \frac{1}{t} \left[ y(u \circ \varphi_t^x) - y \cdot u \right] \\ &= Y \cdot \left( \lim_{t \rightarrow 0} \frac{1}{t} ((u \circ \varphi_t^x)(x) - u(x)) \right) \\ &= Y \cdot (X \cdot u) \end{aligned}$$

$$\begin{aligned} \text{(I)} \quad L_x(du \wedge \beta) &\stackrel{\text{defn}}{=} L_x(du) \wedge \beta + du \wedge (L_x \beta) \\ &\stackrel{\text{by above}}{=} d(X \cdot u) \wedge \beta + du \wedge (L_x \beta) \\ &\stackrel{\text{induction}}{=} d(X \cdot u) \wedge \beta + du \wedge (i_x(df) + d(i_x \beta)). \end{aligned}$$

$$\begin{aligned} \text{(II)} \quad i_x(d(du \wedge \beta)) &\stackrel{\text{defn}}{=} i_x(-du \wedge d\beta) \\ &= -(X \cdot u) df + du \wedge i_x df \end{aligned}$$

$$\textcircled{III} \quad d(i_X(d\alpha \wedge \beta)) = d((x \cdot u)\beta - du \wedge i_X \beta) \\ = d(x \cdot u) \wedge \beta + (x \cdot u)d\beta \\ + du \wedge d(i_X \beta)$$

$$\textcircled{I} = \textcircled{II} + \textcircled{III}.$$

∴

## Poincaré Lemma

$$H^k(M \times \mathbb{R}) \cong H^k(M) \quad \forall k \geq 1.$$

Recall:  $H^1(\mathbb{R}) = 0$ .

If  $\alpha$  is a (closed) one-form:  $\alpha = f dt$

Then,  $\alpha = \frac{d}{dt} F$ , where  
 $F(t) = \int_0^t f(s) ds$ .

Motivation:

On  $M \times \mathbb{R}_x$ , the v.f.  $\frac{\partial}{\partial x}$  integrates to the flow

$$\varphi_t^{\frac{\partial}{\partial x}}(p, y) = (p, y + t).$$

$$\omega(p, t) = \omega(\varphi_t(p, 0)) \longleftrightarrow p_t^* \omega$$

$$\omega(p, t) = \int_0^t \frac{d}{ds} (\varphi_s^* \omega) ds = \int_0^t \mathcal{L}_{\frac{\partial}{\partial x}} \omega ds$$

} linearity  
of  $\mathcal{L}_{\frac{\partial}{\partial x}}$

$$\approx \mathcal{L}_{\frac{\partial}{\partial x}} \left( \int_0^t \omega(p, s) ds \right)$$

Define

$$P: \Omega^k(M \times \mathbb{R}) \xrightarrow{\int_0^t} \Omega^{k-1}(M \times \mathbb{R})$$

$$P(\omega)(q, t) = \int_0^t \mathcal{L}_{\frac{\partial}{\partial x}} \omega(q, s) ds.$$

From Cartan's Magic Formula:

$$\begin{aligned}
 Pd + dP &= \int_0^t \mathcal{L}_{\frac{\partial}{\partial x}} \omega(q, s) ds \\
 &= \int_0^t \frac{\partial}{\partial x} \Big|_{x=0} (\varphi_x^{\partial/\partial x})^* \omega(q, s) ds \\
 &= \int_0^t \frac{\partial}{\partial x} \Big|_{x=s} (\varphi_x^{\partial/\partial x})^* \omega(q, 0) ds \\
 &\quad \left. \begin{array}{l} \text{FTC something something} \\ \text{FTC something something} \end{array} \right\} \\
 &= \omega(q, t) - \omega(q, 0)
 \end{aligned}$$

We have

$$M \times \mathbb{R} \xrightarrow[i_0]{\pi} M.$$

$$H^k(M)$$

$$(i_0 \circ \pi)(q, t) = (q, 0)$$

$$\pi \circ i_0 = id.$$

$$\begin{array}{c} \uparrow \pi^* \quad \downarrow i_0^* \\ H^k(M \times \mathbb{R}) \end{array}$$

$$dP + Pd = id - (i_0 \circ \pi)^*.$$

Thus,  $id \approx (i_0 \circ \pi)^*$  on homology.

$$(\pi \circ i_0)^* = id^* = id.$$

## Lecture 27 (07-11-2022)

Monday, November 7, 2022 10:42 AM

Recall:  $H^k(M \times \mathbb{R}) \cong H^k(M)$  ;  $k \geq 1$ . (Poincaré lemma)

Proposition. If  $M$  and  $N$  are homotopy equivalent, then  $H^k(M) \cong H^k(N)$ .

Theorem. If  $M$  is a compact orientable connected  $n$ -manifold,  
 $H^n(M) \cong \mathbb{R}$ .

$$\left( [\omega] \mapsto \int_M \omega \text{ is an iso.} \right)$$

Need to show: if  $\omega$  is an  $n$ -form s.t-

$$\int_M \omega = 0, \text{ then } \omega = d\eta \text{ for some } \eta.$$

Lemma:  $H_c^n(\mathbb{R}^n) \cong \mathbb{R}$ .

Proof.

Choose charts  $\{(U_i, \varphi_i)\}_{i=1}^m$  s.t.  $\varphi_i(U_i)$  is a ball in  $\mathbb{R}^n$ , and  $M = \bigcup_{i=1}^m U_i$ .

Let  $\{\rho_i\}_{i=1}^m$  partition of unity wrt ...

$$w_i := \rho_i \omega.$$

$$\omega = \sum w_i$$

and  $w_i$  is supported in  $U_i$ .

Note  $\text{Supp } w_i$  is compact ( $\because$  closed, use  $\varphi_i$ ).

$\Rightarrow (\rho_i^{-1})^* w_i$  is compactly supported (in  $\varphi_i(U_i)$ )

$\Rightarrow (P_i^{-1})^* \omega_i$  is compactly supported (in  $Q(U_i)$ )  
 ↳ integral need not be zero, subtract cpt const  
 ...

## Application of Differentials forms (Hamiltonian flows)

$M \rightarrow$  manifold with 2-form  $\omega$  which is closed, nondegenerate.

$$\forall x \in M, \forall X \in T_x M \setminus \{0\}$$

$$\exists Y \in T_x M \\ \text{s.t. } \omega(\pi(X, Y)) \neq 0.$$

Given  $v \in T_x M$ ,  $i_v \omega$  is a functional on  $T_x M$ .

Thm. Given  $\theta \in (T_x M)^*$   $\exists! \Omega^* \text{ s.t. } i_{\theta^*} \omega = \theta.$   
 (Just linear alg.)

Let  $H: M \rightarrow \mathbb{R}$  be a  $C^\infty$  function.  
 Let  $X_H$  be the vf defined by  $(\omega \text{ is the fixed symplectic form.})$

$$i_{X_H} \omega = dH. \quad (\text{I.e., } X_H = (dH)^*.)$$

$X_H$  is called a Hamiltonian vf. and its flow is  
 a Hamiltonian flow.

Ex. ①  $\Omega \rightarrow$  Liouville form  
 $d\Omega \rightarrow$  symplectic

$\omega$  is a symplectic form

$d\theta \rightarrow$  symplectic

$$\omega := d\theta$$

$$= \sum_{i=1}^n dq_i \wedge dp_i \quad \text{or } \mathbb{R}^{2n}.$$

$H \in C^\infty$ .

$$X_H(x) = (v(x), \omega(x))$$

q coords

p coords

want to  $v, \omega$ .

$a \rightarrow q$  coords  
 $b \rightarrow p$  coords

$$(i_{X_H} \omega)(a, b) = dH(a, b)$$

"

$$= \sum \frac{\partial H}{\partial q_i} a_i + \sum \frac{\partial H}{\partial p_i} b_i$$

$$\omega((v(x), \omega(x)), (a, b))$$

$$\sum (v_i b_i - v_i a_i)$$

$$\therefore v_i = \frac{\partial H}{\partial p_i}, \quad w_i = -\frac{\partial H}{\partial q_i}.$$

} flow

$$\dot{q}_i = \frac{\partial H}{\partial p_i}, \quad \dot{p}_i = -\frac{\partial H}{\partial q_i}.$$

②  $M \rightarrow$  arb. manifold.

$N = T^*M$ ,  $\omega \rightarrow$  Liouville 2-form.

$$H(\theta) = \|v\|^2$$

$\hookrightarrow \|.\|$  is some Riemannian metric on  $M$ ,

and  $v$  is s.t.  $D_\theta(\omega) = \langle v(x), \omega \rangle$

Integral curves of  $X_H$  project to geodesics on  $M$ .

( $X_H$  generates the geodesic flow!)

Again, back to  $\mathbb{R}^n$ :

Again, back to  $\mathbb{R}^n$ :

$$\omega = \sum_{i=1}^n dq_i \wedge dp_i.$$

$$H(q, p) = \frac{1}{2} \sum p_i^2$$

$$\Rightarrow dH = \sum_{i=1}^n p_i dp_i$$

$$\text{Then, } X_H = \sum p_i \frac{\partial}{\partial q_i}.$$

Integral curve

$$\gamma_{(q_0, p)}(t) = (q + tp, p).$$

Key properties:

① Hamiltonian flows preserve energy levels

$$X_H \cdot H = dH(X_H) = \omega(X_H, X_H) = 0.$$

② Hamiltonian flows preserve  $\omega$ . Consequently, preserve  $\underbrace{\omega \wedge \dots \wedge \omega}_n$ .

$$\begin{aligned} L_{X_H}(\omega) &= di_{X_H}(\omega) + i_X d(\omega) \\ &\stackrel{\text{defn of } X_H}{=} d(dH) + 0 \quad \omega \text{ is closed} \\ &= 0. \end{aligned}$$

# Lecture 28 (09-11-2022)

Wednesday, November 9, 2022

10:39 AM

Theorem. If  $M$  is a compact, connected, orientable  $n$ -manifold, then  $H_{dR}^k(M) \cong \mathbb{R}$ .

Goal: (\*)  $\omega$  is compacted and if  $\int \omega = 0$ , then  $\omega$  is exact.

Lemma. Let  $M \rightarrow$  oriented, connected  $n$ -manifold,  $N_1, N_2 \subseteq M$  are open submanifolds s.t. (\*) holds for  $N_1, N_2$  with  $N_1 \cap N_2 \neq \emptyset$ .

Then, (\*) holds for  $N_1 \cup N_2$ .

Proof. Assume  $M = N_1 \cup N_2$ .  
 Let  $\omega$  be compactly supported on  $M$ ; and fix an  $n$ -form  $\theta$  cpt. supported on  $N_1 \cap N_2$  s.t.  $\int_M \theta = 1$ .

Choose a part<sup>n</sup> of 1 sub. to  $\{N_1, N_2\}$ .

$\{\varphi, 1-\varphi\}$ .

$\text{Supp } \varphi \subseteq N_1, \text{ Supp } (1-\varphi) \subseteq N_2$ .

Let  $\alpha_1 := \varphi \cdot \omega, \alpha_2 := (1-\varphi) \cdot \omega$ .

$$\text{Put } c = \int_M \theta \cdot \omega.$$

$$\beta_1 := \varphi \omega - c \theta, \beta_2 = \alpha_2 + c \theta.$$

$$\text{Then, } \int_M \beta_1 = \int_M \beta_2 = 0.$$

$$\text{But } \text{Supp } \beta_i \subseteq N_i. \therefore \int_{N_i} \beta_i = 0.$$

$$\text{By (*), } \beta_i = d\eta_i \text{ on } N_i.$$

$\eta_i$  compactly supp on  $N_i$ .

$\Rightarrow \omega = \beta_1 + \beta_2$  on  $N_i$ .

$\gamma_i$  compact supp on  $N_i$ .  
Can extend to  $M$  by 0.

Now,  $\omega = \alpha_1 + \alpha_2 = \beta_1 + \beta_2 = d(\gamma_1 + \gamma_2)$ .  $\star$

## Sard's Theorem

Thm. Let  $F: M \rightarrow N$  be a  $C^\infty$  map of manifolds.

Let  $R_v(F) \subset N$  denote the set of regular values of  $F$ .

Then,  $R_v(F)$  has full measure in  $N$ .

(That is,  $\varphi(R_v(F))$  is full measure  
in every chart on  $N$ .)

Recall:  $n \in N$  is regular

$\Leftrightarrow DF(x)$  is onto for all  $x \in F^{-1}(n)$ .

Con. If  $\dim(M) < \dim(N)$ , then  $\text{im}(M)$  has zero measure under  $C^\infty$  maps.

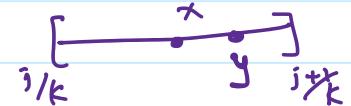
Case.  $M = N = [0, 1]$ .

$$A := \sup \{ |F'(x)| : x \in [0, 1] \}.$$

For  $k \in \mathbb{N}$ , define  $I_j^k := \left[ \frac{j}{k}, \frac{j+1}{k} \right] \subseteq [0, 1]$ .

Assume  $C = \text{critical points}$  satisfies

$C \cap I_j^k \neq \emptyset$ .  
(pick  $x$  here)



Taylor's thm:  $|F(x) - F(x_{j/k})| \leq A |x - x_{j/k}|^2$

↳ pick  $x$  here

Taylor's thm :  $|F(x) - F(\frac{j}{k})| \leq A \left| x - \frac{j}{k} \right|^2$

(expand around  $x$ )  $|F(x) - F(\frac{j+1}{k})| \leq A \left| x - \frac{j+1}{k} \right|^2$

 $\Rightarrow \text{Im}(I_j^k) \subseteq B(F(x), \frac{A}{k^2}).$

$$l(F(c)) \leq k \cdot \frac{2A}{k^2} = 2A/k.$$

$$\#\{j : c \cap I_j^k \neq \emptyset\}$$

Let  $k \rightarrow \infty$ .  $\exists$

How to adapt for higher? Replace  $I_j^k$  by

$$I_j^k = \left[ \frac{j_1}{k}, \frac{j_1+1}{k} \right] \times \dots \times \left[ \frac{j_m}{k}, \frac{j_m+1}{k} \right].$$

Need vanishing of all  $< L$  order derivatives. ( $*$ )

Then,

$$|F(x) - F(a)| \leq A |x - a|^L \quad \forall a \in \dots$$

$L$  to be fixed.

Then,  $F(I_j^k) \subseteq B(F(x), A/k^L).$

$$\Rightarrow \text{vol}_n(F(c)) \leq k^m \cdot c \cdot \left( \frac{A}{k^L} \right)^n \leq C A^n \frac{k^m}{k^{Ln}}.$$

Need  $L > m/n$ .  $\checkmark$

How do we get  $(*)$ ? Stronger assumption than critical point.

Last trick:  $C := \{ \text{all critical pts} \}$   
 $C_k := \{ x : \text{all partials of order } < k \text{ vanish at } x \}$

Lemma:  $\text{vol}_n(F(C \setminus C_1)) = 0, \dots, \text{vol}_n(F(C_{k+1} \setminus C_k)) = 0.$

Induction.

# Lecture 29 (11-11-2022)

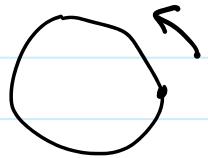
Friday, November 11, 2022 10:31 AM

## Sard's Theorem

If  $F: M \rightarrow N$  is a  $C^\infty$  map between manifolds, and  $\mathcal{C}(F)$  denotes the set of critical points of  $F$ , then  $F(\mathcal{C}(F))$  has measure zero in  $N$ .  
( $\mathcal{C}(F)$  could be large.)

Degree

$f: S^1 \rightarrow S^1$ .  $\deg(f) = \# \text{ of windings}$



$$S^1 = \mathbb{R}/\mathbb{Z}$$

Pick lift  $\tilde{f}: \mathbb{R} \rightarrow \mathbb{R}$ .

$$\deg(f) = \tilde{f}(1) - \tilde{f}(0).$$

2 other ways: ① Choose a point  $x \in S^1$ .

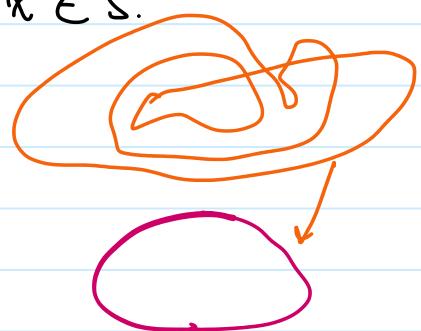
For each point

$y \in \pi^{-1}(x)$ , look at

$$df: T_y S^1 \rightarrow T_x S^1.$$

Assign + or - depending on orientation rev/pre.

Then,  $\sum$  up.



② Fix any volume form  $\omega$ .

$$\text{Let } \deg(f) = \int f^* \omega.$$

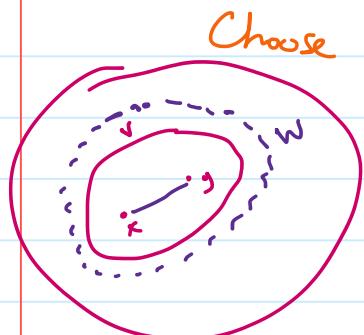
Let  $\deg(f) = \frac{\int f^* \omega}{\int \omega}$ .

Theorem. If  $M$  is a connected manifold,  $\text{Diff}^\infty(M)$  acts transitively on  $M$ .

(I.e., given  $x, y \in M$ ,  $\exists f: M \rightarrow M$  diffeo s.t.  $f(x) = y$ .)

Proof. First let  $M = \overline{B_1(0,1)}$ .

Will show that for every  $x, y \in \text{int}(M)$ ,  $\exists$  diffeo  $f: M \ni S$  s.t.  $f(x) = y$  and  $f \equiv \text{id}$  on a nbhd of  $S$ .



Choose  $V \subseteq_{cp} M$  s.t.  $[x, y] \subset V$  and  $\bar{V} \subset \text{int}(M)$ .

Choose a nbhd  $W \supseteq \bar{V}$  s.t.  $\bar{W} \subset \text{int}(M)$ .

Choose bump fun  $\varphi$  s.t.  $\varphi|_V \equiv 1$  and  $\text{supp } \varphi \subseteq W$ .

Let  $v_0$  be the constant v.f.  $y - x$ .  
Let

$$v = \varphi \cdot v_0.$$

Let  $\Psi_t$  be the flow gen. by  $v$ , and define  $f = \Psi_1$ . This does the job.

General: Join  $x \sim y$  with balls.

# Lecture 30 (14-11-2022)

Monday, November 14, 2022 10:46 AM

Standing assumptions: M is compact, oriented, connected.  
 $(SA)$  N is oriented, connected,  $\dim(M) = \dim(N)$ .

Defn. Let  $F: M \rightarrow N$  be  $C^\infty$ , and  $y \in N$  be a regular value. Define

$$\deg(F) = \sum_{p \in F^{-1}(y)} \sigma(DF(p)),$$

where

$F^{-1}(y)$  is a 0-dimensional submanifold and hence finite.)

$$\sigma(A) = \begin{cases} +1, & \text{if } A \text{ preserves orientation,} \\ -1, & \text{if } A \text{ reverses orientation.} \end{cases}$$

Thm 1.  $\deg$  is well-defined (i.e., independent of  $y$ ).  
 $\deg$  is locally constant in the  $C^1$ -topology and invariant  
under homotopy. ( $\deg F = \deg G$ , if  $F$  and  $G$  close enough)

Remark. If  $F$  is not onto, then  $\deg(F) = 0$ .

Ex. Let  $M = N = \mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ . Let  $F: \mathbb{T}^n \rightarrow \mathbb{T}^n$ .

Can show:  $\exists A \in M_n(\mathbb{Z}) \exists \phi: \mathbb{T}^n \rightarrow \mathbb{R}^n$  s.t.

$$F(x) = Ax + \phi(x). \quad (\text{A unique.})$$

Then,  $\deg(F) = \det(A)$ .

→ If A not invertible, use remark.  
Else,  $Ax = y \pmod{1}$

$$\Leftrightarrow x = A^{-1}y + A^{-1}m \text{ for some } m \in \mathbb{Z}^n$$

$$[\mathbb{Z}^n : A \mathbb{Z}^n] = |\det(A)|. \dots$$

Gr. 0 If  $f$  is a diffeo, then  $A \in GL(n, \mathbb{Z})$ . ( $\det(A) = \pm 1$ ).  
 ② If  $f$  not onto, then  $\det(A) = 0$ .

Prop 2: Let  $M, N$  satisfy the standing assumption.

Let  $H: I \times M \rightarrow N$  be a  $C^\infty$  homotopy.

Assume  $y$  is a regular value of both  $H_0$  and  $H_1$ .

$$H_0''(0, -) \quad H_1''(1, -)$$

Then,

$$\sum_{p \in H_0^{-1}(y)} \sigma(DH_0(p)) = \sum_{p \in H_1^{-1}(y)} \sigma(DH_1(p))$$

Proof of well-definedness using Prop 2:

Let  $F: M \rightarrow N$  be as before.

Let  $y, y' \in M$  be regular values.

As seen last time,  $\exists$  flow  $\Phi_t$  s.t.  $\Phi_t(y) = y'$ .

Define  $H: I \times M \rightarrow N$  by  
 $H(t, x) = \Phi_{-t}(x).$

Now use the previous prop<sup>n</sup>.

Prop<sup>n</sup> also shows that  $\deg$  is homotopy invariant.

(Use Sard's to find a common reg. value.)

We now try to prove the prop<sup>n</sup>.

Lemma 2. Let  $M, N$  satisfy (SA), and  $F: M \rightarrow N$  is  $C^\infty$ .

Let  $y \in N$  be regular value of  $F$ .

Then,  $\exists$  nbhd  $U$  of  $y$  and nbhd  $V_p$  of every  $p \in F^{-1}(y)$

such that  $F|_{V_p}$  is a diffeo onto  $U$ .

Also,

$$F^{-1}(U) = V_1 \cup V_2 \cup \dots$$

Also,

$$F^{-1}(U) = \bigsqcup_{p \in F^{-1}(y)} V_p.$$

Proof. For each  $p \in F^{-1}(y)$ ,  $D_p F$  is an isomorphism.

$\therefore \exists$  nbd  $W_p$  of  $p$  and  $U_p$  of  $y$  s.t.  $F|_{W_p}$  is a diffeo onto  $U_p$ .

WLOG,  $W_p$ 's are disjoint (Hausdorff, only finitely many  $p$ .)

Let  $U' = \bigcap_p U_p$ . This is open since finite intersection.

$$\text{Set } V'_p = (F|_{W_p})^{-1}(U).$$

Now, we know

$$F^{-1}(U) = \bigsqcup_p V'_p.$$



But equality is not known.

Need compactness to shrink  $U'$  further.

Choose a compact nbd  $K$  of  $y$ , contained in  $U'$ .

Then,  $F^{-1}(K)$  is closed and hence compact.

Then,  $F^{-1}(K) \setminus \bigsqcup_p V'_p$  is again closed and compact.

Moreover,  $\nearrow$  does not contain any point of  $F^{-1}(y)$ .

Then,  $C = F(F^{-1}(K) \setminus \bigsqcup_p V'_p)$  is a compact and hence closed subset of  $U'$  which does not contain  $y$ .

Now, by separation,  $\exists U \subseteq U'$  s.t.  $U \cap C = \emptyset$  and  $y \in U$ .

U now does the job...



# Lecture 31 (16-11-2022)

Wednesday, November 16, 2022 10:37 AM

Standing assumptions:  $M, N \rightarrow$  connected, oriented

$M$  compact

$\dim M = \dim N$

$f: M \rightarrow N$  is  $C^\infty$ .

$$\deg(F) := \sum_{p \in F^{-1}(y)} \sigma(DF(p)),$$

$y$  is any regular value.

Propn If  $F: I \times M \rightarrow N$  is a homotopy between  $f_0$  and  $f_1$ , then

$$\sum_{p \in F_0^{-1}(y)} \sigma(Df_0(p)) = \sum_{p \in F_1^{-1}(y)} \sigma(Df_1(p)),$$

where  $y$  is a common reg. value.

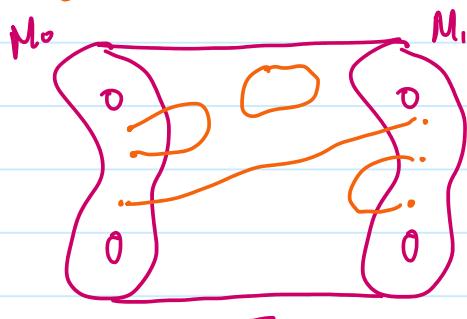
Last time: Under (SA), the regular values are open,  
and  $F$  is a local covering map at regular values.

Idea: We assume  $y$  is a reg. value for  $f_0$  and  $f_1$ .

By perturbing the nbd, we may assume  $y$  is  
a regular value for  $F$ . (By Sard's.)

Apply regular value/Submersion theorem to understand  $F^{-1}(y)$ .

$F^{-1}(y) = 1\text{-manifold with boundary}$



$F^{-1}(y)$

union of closed intervals  
and circles.  
Moreover, endpoints of



and circles.  
Moreover, endpoints of  
closed intervals are  
in  $\mathbb{I} \times M$  or  $\mathbb{S}^1 \times M$ .

Lemma. If  $p, q \in F^{-1}(y) \cup F^{-1}(y)$  then

$$\sigma(DF_{i(p)}(p))(-1)^{i(p)} = -(-1)^{i(q)} \sigma(DF_{i(q)}(q)),$$

whenever  $(i(p), p)$  and  $(i(q), q)$  are endpoints  
of a closed interval in  $F^{-1}(y)$ .

Proof. Let  $\gamma$  be a parameterisation of the closed  
interval connecting  $p, q$ .  $\gamma(0) = (i(p), p)$ ,  $\gamma(1) = (i(q), q)$ .  
in  $\mathbb{I} \times M$

$$\gamma'(t) \in T_{\gamma(t)}(\mathbb{I} \times M) \text{ in } \ker DF(y). \quad (F(\gamma(t)) = y) \\ \text{But } \mathbb{I} \text{ is 1-dim'l.} \\ \therefore \ker DF(\gamma(t)) = \langle \gamma'(t) \rangle.$$

Remark 1. The bundle  $T(\mathbb{I} \times M)$  is trivial over  $\text{im}(\gamma)$ .

Thus can pick a continuously varying distribution  
 $E_t \subseteq T_{\gamma(t)}(\mathbb{I} \times M)$  which is complementary  
to  $\langle \gamma'(t) \rangle$ .

Fix an orientation  $O_t$  on  $E_t$ .

Note:  $DF(O_t)$  is either always +vely or  
always -vely oriented.

$$\Rightarrow F_{ab}(O_a) = F_{ab}(O_b).$$

Similarly, if  $(w_1(t), \dots, w_n(t))$  is a +vely oriented family  
of  $E_t$ , then  $(\gamma'(t), w_1(t), \dots, w_n(t))$  is either always  
+vely or always -vely oriented.

of  $\nu_0, \nu_1, \nu_2, \dots, \nu_n$ , ... cover many  
two by or always nicely oriented.

Hence,  $D_+$  and  $D_-$  induce opposite orientations  
on  $M$ , since  $\gamma'(t)$  points in at 0 and out at 1.

## Degree using differential forms.

Theorem. Assume (SA).

Let  $\omega \in \Omega^n(N)$  be compactly supported. Then,

$$\int_M f^* \omega = \deg(f) \int_N \omega.$$

Prof Case:  $\text{supp}(\omega) \subseteq U$ , where  $U$  is a nbd of a regular value with the covering property.

$$\begin{aligned} \Rightarrow \int_M f^* \omega &= \sum_{p \in F^{-1}(y)} \int_{V_p} f^* \omega \\ &= \sum_{p \in F^{-1}(y)} \int_{V_p} \text{sign}(DF|_{V_p}) \cdot \omega \\ &= \deg(f) \cdot \int_N \omega. \end{aligned}$$

•  $p_i$   $V_{p_i} \subseteq M$   
•  $p_i$   $V_{p_i}$   
•  $y$   $U \subseteq N$

General: cover and partition of unity.

Need to worry about critical values.

For any  $y' \in N$ , choose a flow  $\varphi_t$  such that  $\varphi_t(y) = y'$ . Then,  $\varphi_t(U) = U'$  is a nbd of  $y'$ .

Assume  $\text{supp } \omega \subseteq U'$ . Then,  $\text{supp}((\varphi_t)^* \omega) \subseteq U$ .

$$\Rightarrow \int_N f^*(p_i^* \omega) = \deg(f) \int_N p_i^* \omega = \deg \int_N \omega. \dots \quad \blacksquare$$

$\int_M f^* \omega$  Lemma

Lemma. If  $f: I \times M \rightarrow N$  is a  $C^\infty$  homotopy from  $f$  to  $g$ , then

$$\int_M f^* \omega = \int_M g^* \omega,$$

for all closed forms  $\omega$ .

$$\text{Prof. } \int_M g^* \omega - \int_M f^* \omega = \int_{I \times M} g^* \omega - \int_{I \times M} f^* \omega$$

$$= \int_{I \times M} dF^* \omega = \int_{I \times N} F^* d\omega = 0. \quad \blacksquare$$

## Lecture 32 (18-11-2022)

Friday, November 18, 2022 10:41 AM

# Lie Groups

Def. A Lie Group is a smooth manifold  $G$  with  $C^\infty$  functions  $m: G \times G \rightarrow G$ ,  
 $i: G \rightarrow G$ ,  
and a distinguished element  $e \in G$  s.t.  $m, I, e$  satisfy the group axioms.

Example/Theorem. Closed subgroups of matrix groups are Lie groups.

Theorem. These are (almost) all lie groups.  
(i.e., every Lie Group is locally isomorphic to a matrix group.)  
(i.i.e.,  $\forall$  Lie Groups  $G \exists$  matrix group  $H$ , discrete group  $\Gamma$ ,  
and a s.e.s.  $I \rightarrow \Gamma \rightarrow G \rightarrow H \rightarrow I$ .)

Won't prove/use the above theorems.

Fix a lie group  $G$ , and define maps

$$L_g: G \rightarrow G \quad \text{and} \quad R_g: G \rightarrow G$$
$$h \mapsto g \cdot h \qquad \qquad h \mapsto h \cdot g, \quad = m(h, g)$$
$$\text{for } g \in G.$$

The above maps are diffeomorphisms.

Defn.

A vector field  $X$  on  $G$  is called right invariant if :  $(Rg)_* X = X$  for all  $g \in G$ .  
 $\text{Lie}(G) =$  space of right-invariant v.f.s.

Note :  $(Rg)_*$  is linear.

Thus, the space of right-invariant v.f.s form a vector space.

Thm.

The map

$$\begin{aligned} ev : \text{Lie}(G) &\longrightarrow T_e(G) \\ x &\longmapsto x(e) \end{aligned}$$

is an isomorphism of vector spaces.

In particular,

$$\dim_{\mathbb{K}}(\text{Lie}(G)) = \dim(G).$$

Proof

Suppose  $v \in T_e(G)$ . Define

$$X_v(g) := DR_g(e)(v).$$

Claim 1:  $X_v$  is a ( $\mathbb{K}$ ) v.f. on  $G$ . (Check Lee.)

Claim 2:  $X_v$  is right invariant.

Pf. Let  $h \in G$ .

$$\begin{aligned} (R_h)_*(X_v)(g) &= DR_h(g^{-1})(X_v(g^{-1})) \\ &= DR_h(g^{-1})(DR_{gh^{-1}}(v)) \quad \text{)Claim 2} \\ &= DR_g(e)(v) \\ &= X_v(g). \end{aligned}$$

□

Check that  $v \mapsto x_v$  is inverse to  $e_v$ . 2

Thm

Let  $x$  be a right-invariant r.f. on a Lie group  $G$ ,  
and  $\varphi_t^x$  be the flow generated by  $x$ .  
Then,

$$f_x : \mathbb{R} \rightarrow G \\ t \mapsto \varphi_t^x(e)$$

is a group homomorphism.

The image is a one-parameter subgroup.

Furthermore,  $\varphi_t^x(g) = f_x(t)g$ .

$$g \overset{!}{\varphi_t^x(e)}$$

Defn

The map  $\exp : \text{Lie}(G) \rightarrow G$  defined by  $x \mapsto f_x(1)$   
is called the exponential map.

Prop.

$D(\exp)(0) : T_0(\text{Lie } G) \longrightarrow T_e G$  is the identity.  
 $\overset{!}{\text{Lie } G} \qquad \overset{!}{\text{Lie } G}$

## Lecture 33 (21-11-2022)

Monday, November 21, 2022 10:45 AM

A Riemannian metric  $(\text{on } M)$  is a section of a vector bundle which assigns each  $x \in M$  an inner product on  $T_x M$   $\langle \cdot, \cdot \rangle_x$ .

Thm. If  $X$  is a right invariant vector field on a Lie group  $G$ , and  $\varphi_t^X$  is the flow generated by  $X$ , then

$$f_X(t) := \varphi_t^X(e)$$

is a homomorphism  $\mathbb{R} \rightarrow G$ .

Moreover,

$$\varphi_t^X(g) = f_X(t) \cdot g.$$

Proof Fix  $h \in G$ . Then,  $(R_h)_* X = X$ .

Then,

$$R_h \circ \varphi_t^X = \varphi_t^X \circ R_h.$$

Thus,  $\varphi_t^X(e) h = \varphi_t^X(h)$  for all  $h \in G$ . —①

$$\begin{aligned} \text{Thus, } f_X(t+s) &= \varphi_{t+s}^X(e) \\ &= \varphi_t^X(\varphi_s^X(e)) \quad \text{②} \\ &= \varphi_t^X(e) \varphi_s^X(e). \end{aligned}$$

$\therefore f$  is a homomorphism.

$$\text{Lastly, } \varphi_t^X(g) = \varphi_t^X(e) \cdot g = f_X(t) \cdot g. \quad \square$$

Example. Find the right invariant vector fields for

$$H = \left\{ \begin{pmatrix} 1 & x & z \\ & 1 & y \\ & & 1 \end{pmatrix} : x, y, z \in \mathbb{R} \right\}$$

s.t.  $X(e) = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$

And their correspond 1-parameter subgroup.

$$T_e H = \left\langle \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \right\rangle.$$

$$\gamma(t) = \begin{pmatrix} 1 & t & 0 \\ & 1 & 0 \\ & & 1 \end{pmatrix} \text{ is a homomorphism.}$$

The vf is then

$$X(g) = DR_g \gamma'(0)$$

$$\frac{d}{dt} \Big|_{t=0} \left[ \gamma(t)g \right] = \frac{d}{dt} \Big|_{t=0} \left[ R_g \circ \gamma \right]$$

If  $G \subseteq GL(d, \mathbb{R})$  is a matrix group and  $X$  is a right invariant vector field,

$$f_k(t) = \sum_{k=0}^{\infty} \frac{(t X(e))^k}{k!}.$$

Defn.

$\overline{I}_G$  Lie( $G$ ) is the space of right-invariant vector

Defn.

If  $\text{Lie}(G)$  is the space of right-invariant vector fields, define

$$\begin{aligned}\exp: \text{Lie}(G) &\longrightarrow G \\ x &\longmapsto f_x(e).\end{aligned}$$

We've shown that  $\exp|_L$  is a homomorphism whenever  $L$  is a line through the origin.



## Adjoint Representation

$$\begin{aligned}\text{Ad}: G &\longrightarrow GL(\text{Lie}(G)) \\ g &\longmapsto D_e(h \mapsto ghg^{-1})\end{aligned}$$

$$\text{Ad}(g): \text{Lie}(G) \rightarrow \text{Lie}(G)$$

Lie(G)  $\xrightarrow{\quad T_e G, \text{ then } D_e(h \mapsto ghg^{-1}) \quad}$   
 $\xrightarrow{\quad \text{RIVF, } D_{Lg} \quad}$

Adjoint rep detects how non-abelian the group is.

Defn.

Let  $x \in \text{Lie}(G)$  be a RIVF. Define

$$\text{ad}(x) = \frac{d}{dt} \Big|_{t=0} \text{Ad}(f_x(t)).$$

$$\text{ad}(x): \text{Lie}(G) \rightarrow \text{Lie}(G).$$

Theorem

$$\text{ad}(x)y = [x, y] \quad \forall x, y \in \text{Lie}(G).$$

Remark.  $x, y \in \text{RVfs}$ :  $(R_g)_*[x, y] = [R_{g*}x, R_{g*}y]$   
 $= [x, y].$

$\therefore [x, y]$  is again r-inv.

Theorem. If  $G, H$  are <sup>connected</sup> Lie groups,  $G$  simply connected,  
and  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism.  
(That is,  $\phi[x, y] = [\phi(x), \phi(y)].$ )

Then,  $\exists!$   $\tilde{\phi}: G \rightarrow H$  s.t.  $\tilde{\phi}|_{\text{Lie}(G)} = \phi$ .

$G \rightarrow$  simply connected

Theorem Assume  $M$  is a connected  $C^\infty$ -manifold and

$\exists \phi: \text{Lie}(G) \rightarrow X^\infty(M)$   
 $\hookrightarrow C^\infty$  v.f.s on  $M$

s.t.  $\phi([x, y]) = [\phi(x), \phi(y)].$

Then,  $\exists$  unique group action  $\tilde{\phi}: G \times M \rightarrow M$   
s.t.  $\forall$  1-parameter subgroup of  $G$ ,

$$\frac{\partial}{\partial t} (\tilde{\phi}(f_x(t), x)) = \phi(x)(x).$$

## Lecture 33 (21-11-2022)

Wednesday, November 23, 2022 10:42 AM

Lemma: If  $G \subseteq GL(d, \mathbb{R})$  is a Lie subgroup,  $g \in G$ , and  $X$  is a RIVF on  $G$ , then

$$(Ad(g)X)(e) = g X(e) g^{-1} \quad \left| \begin{array}{l} GL(d, \mathbb{R}) \subseteq \mathbb{R}^{d^2} \\ \text{open} \\ \text{identify } T_e G \cong \mathbb{R}^{d^2} \end{array} \right.$$

Proof.

$$\begin{aligned} (Ad(g)X)(e) &= ((L_g)_* X)(e) && \xrightarrow{\text{defn of } (L_g)_*} \\ &= DL_g(g^{-1})(X(g^{-1})) && \xrightarrow{\text{since } X \text{ is RI}} \\ &= DL_g(g^{-1})(DR_{g^{-1}}(e)(X(e))) \\ &= DC_g(e)(X(e)) && (g(h) = ghg^{-1}) \\ &= g X(e) g^{-1}. && \xrightarrow{\text{derivative of multi is itself}} \square \end{aligned}$$

Lemma: Under the same setting. If  $X, Y \in \text{Lie}(G)$  are RIVFs, then

$$\textcircled{1} \quad ad(X)(Y) = [X, Y] \quad \left( \begin{array}{l} \text{no need} \\ \text{for matrix groups} \end{array} \right)$$

and

$$\textcircled{2} \quad [X, Y](e) = X(e)Y(e) - Y(e)X(e).$$

Prof.  $\textcircled{1} \quad ad(X)(Y) = \frac{d}{dt} \Big|_{t=0} [Ad(\exp(tx))Y]$

$$= \frac{d}{dt} \Big|_{t=0} \left( L_{\exp(tx)} \right)_* Y$$

$$= \frac{d}{dt} \Big|_{t=0} (\varphi_t^*)_* Y = [X, Y].$$

$$= \left. \frac{d}{dt} \right|_{t=0} (\varphi_t^x)_* y = [x, y].$$

$$\textcircled{2} (\text{ad}(x) y)(e) = \left. \frac{d}{dt} \right|_{t=0} (\text{Ad}(\exp(tx)) y)(e)$$

↓ prev. lemma

$$\begin{aligned} &= \left. \frac{d}{dt} \right|_{t=0} [\exp(tx) y(e) \exp(-tx)] \\ &\quad \text{↓ product rule} \\ \therefore \left. \frac{d}{dt} \right|_{t=0} \exp(tx) &= x(e) y(e) - y(e) x(e). \quad \square \end{aligned}$$

$$\ker(\text{Ad}) = \mathcal{Z}(G). \quad (G \text{ connected.})$$

Theorem 1. Let  $\bar{\Phi}: G \rightarrow H$  be a  $C^\infty$  group homom. of Lie groups.  
Then,  $\exists!$  linear map  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$   
s.t.

$$D\bar{\Phi}(g)(x(g)) = (\phi(x))(D\bar{\Phi}(g)) \quad \text{for all RIVF } \phi \in \text{Lie}(G)$$

Furthermore,

$$[\phi(x_1), \phi(x_2)] = \phi([x_1, x_2]) \quad \forall x_1, x_2 \in \text{Lie}(G).$$

Any linear  $\phi$  satisfying this is called  
a Lie Algebra homomorphism.

Theorem 2. Let  $G$  be a connected, simply-connected,  
and  $H$  be connected.

If  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism,

then  $\Gamma$  is connected.

If  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism,  
then

$$\exists! \tilde{\Phi}: G \longrightarrow H$$

such that  $\phi$  is the map induced by  $\tilde{\Phi}$  (as per Thm).

Prof.

The PLAN: Construct  $\tilde{\Phi}$  by finding its graph  $\Gamma \subseteq G \times H$ .

-  $\Gamma$  should be a  $\dim(G)$ -dim'l submanifold.

- $\Gamma$  is a subgroup.

- $\Gamma$  is the leaf of a coset foliation.

- Use Frobenius to build foliation.

Take  $\Gamma$  to be leaf through origin.

Fix  $x \in \text{Lie}(G)$ , and let  $\tilde{x}$  be defined on  $G \times H$  by

$$\tilde{x}(g, h) = (x(g), \phi(x)(h)).$$

$\phi$  is a v-space homomorphism

$$\Downarrow \tilde{x} + \tilde{y} = \tilde{x} + \tilde{y}, c\tilde{x} = \tilde{cx}.$$

$$\mathcal{D} := \text{span}_{\mathbb{R}} \{ \tilde{x} : x \in \text{Lie}(G) \}$$

is a  $\dim(G)$ -dim'l distribution  
on  $G \times H$ .

$\phi$  is a Lie Algebra homom  $\Rightarrow \mathcal{D}$  is involutive.

$$\begin{aligned} [\tilde{x}, \tilde{y}] &= [(x, \phi(x)), (y, \phi(y))] \\ &= ([x, y], [\phi(x), \phi(y)]) \\ &= ([x, y], \phi([x, y])) \in \mathcal{D} \end{aligned}$$

Thus,  $\exists!$  foliation  $\mathcal{F}$  st.  $T\mathcal{F} = \mathcal{D}$ .

Let  $\Gamma$  be the leaf containing  $(e_G, e_H)$ .

$\therefore \Gamma$  is a  $\dim(G)$ -dim'l submanifold.  
(immersed)

Notice :  $\forall (g, h) \in G \times H$ ,  $D\pi_G(g, h)(\tilde{x}_{(g,h)}) = x(g)$ .

$\Rightarrow \pi_G|_{\Gamma} : \Gamma \rightarrow G$  is a submersion.

By dimension, it is  
a local diffeo.

$\xrightarrow{*}$   
 $\Rightarrow \pi_G|_{\Gamma}$  is a covering map

$\Rightarrow \pi_G|_{\Gamma}$  is a diffeo, since  $G$  is simply connected.

Now define  $\Phi : G \rightarrow H$   
 $g \mapsto \pi_H \circ (\pi_G|_{\Gamma})^{-1}$ .

# Lecture 34 (28-11-2022)

Monday, November 28, 2022 10:41 AM

Theorem. Let  $G$  be a connected, simply-connected Lie Group, and  $H$  be a Lie Group. If  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  is a Lie algebra homomorphism, then  $\exists! \underline{\Phi}: G \rightarrow H$  s.t.  $(\underline{\Phi})_x = \phi$ .

Pf. Last time:  $\mathcal{D} = \{(x, \phi(x)) : x \in \text{Lie}(G)\}$  is an involutive distribution on  $G \times H$ .

$\Gamma \rightarrow$  leaf through  $e$ .

$\pi_G|_{\Gamma}$  is a local diffeo,  $\stackrel{(*)}{\text{hence}}$  hence diffeo.

Claim.  $\bar{\Phi} = \pi_H \circ (\pi_G|_{\Gamma})^{-1}$  is a homomorphism.

Pf of Claim. Step 1. If  $x \in \text{Lie}(G)$ , then

$$\bar{\Phi}(\exp(tx)) = \exp(t\phi(x)).$$

( $\psi, \phi, \bar{\Phi}$   
different)

$$\bar{\Phi}(\varphi_t^x(e)) = \pi_H(\varphi_t^x(e), \varphi_t^{\phi(x)}(e))$$

$$\text{Then, } \bar{\Phi}(\exp((t+s)x)) = \bar{\Phi}(\exp(tx)) \bar{\Phi}(\exp(sx)).$$

Step 2. If  $g$  is sufficiently close to the identity. Then,  $\bar{\Phi}(gh) = \bar{\Phi}(g)\bar{\Phi}(h)$  for all  $h$ .

Proof. Write  $g = \exp_b(x)$ .

Define  $\gamma: \mathbb{R} \rightarrow H$  by

$$\gamma(t) = \exp_H(-t\phi(x))\bar{\Phi}(\exp_b(tx)h).$$

$$\int_{-\infty}^{\infty} \gamma'(t)x = 0.$$

∴ ...

$$\begin{aligned}
 & \left( \text{Ad}(\exp(x))x = 0 \right) \quad \text{Then, } g'(t) = 0. \quad (\text{Compute.}) \quad \therefore g \text{ const.} \\
 & \gamma'(s) = -\phi(x)(\gamma(s))^{-1} \phi(x)(\gamma(s)) \quad g(0) = \exp_0(0) \bar{\Phi}(\exp_0(0)h) \\
 & \quad = \bar{\Phi}(h). \\
 & \gamma(1) = \exp_H(-\phi(x)) \bar{\Phi}(\exp_H(x)h) \\
 & \quad = (\exp_H(\phi(x)))^{-1} \bar{\Phi}(gh) \\
 & \quad = \bar{\Phi}(g)^{-1} \bar{\Phi}(gh). \\
 \therefore \bar{\Phi}(g) \bar{\Phi}(h) &= \bar{\Phi}(gh).
 \end{aligned}$$

Step 3. If  $g = g_1 \cdots g_k$  and each  $g_i$  is sufficiently close to the identity, then  $\bar{\Phi}(gh) = \bar{\Phi}(g) \bar{\Phi}(h)$ .  
Clear.

But how any  $g$  can be written as such a product, since  $G$  is connected.

(Lemma. If  $U \subseteq G$  is an open nbhd of  $e$ , then  $\langle U \rangle = G$ .)

This proves the claim.  $\square$

$(\bar{\Phi})_*$  =  $\psi$  is clear. (Uniqueness left...)  $\blacksquare$

$$\begin{aligned}
 \stackrel{?}{\rightarrow} D\bar{\Phi}(g)x(g) &= D\pi_H((D\pi_G|_r)^{-1}(x(g))) \\
 &= D\pi_H(x(g), \phi(x)(\bar{\Phi}(g))) \\
 &= \phi(x)(\bar{\Phi}(g)). \quad \square
 \end{aligned}$$

(\*) Why was  $\pi_G|_r$  above a bisection map?

Once we get a nbhd of one point, we can translate using group

on points, we can obtain  
using group  
structure.

Remark. This same technique builds group action on manifolds whenever

$$\exists \phi: \text{Lie}(G) \longrightarrow \mathcal{X}^\infty(M) \text{ s.t. } \overset{\curvearrowright C^\infty \text{ vfr on } M}{\phi([x, y]) = [\phi(x), \phi(y)]}.$$

## Homogeneous Spaces

If  $G \curvearrowright M$  is transitive,  $x \in M$ ,  
and  $H = \text{Stab}(x) \subseteq G$ , then  $\overset{\text{up}}{G/H} \cong \overset{\text{diffeo}}{M}$ .

# Lecture 35 (30-11-2022)

Wednesday, November 30, 2022 10:38 AM

Thm. Let  $G$  and  $H$  be connected Lie groups,  $G$  simply-connected, and  $\phi: \text{Lie}(G) \rightarrow \text{Lie}(H)$  be a Lie algebra homomorphism. Then,  $\exists! \Phi: G \rightarrow H$  s.t.  $(\Phi)_* = \phi$ .

Cor. If  $H, G \rightarrow$  simply-connected are s.t.  $\text{Lie}(G) \cong \text{Lie}(H)$ , then  $G \cong H$ .

Cor. Every (center-free) <sup>simply-connected</sup> Lie group is a discrete extension of a matrix group.

Proof.  $\text{ad}: \text{Lie}(G) \rightarrow \text{End}(\text{Lie}(G))$  is onto onto its image.  $\blacksquare$

Defn. A subspace  $h \subseteq \mathfrak{g}$  of Lie algebra is called a **subalgebra** if  $\forall x, y \in h, [x, y] \in h$ .

Thm Let  $G$  be a group, and  $\mathfrak{g} = \text{Lie}(G)$ .  
• If  $H \leq G$  is a <sup>Lie</sup> subgroup, then  $\text{Lie}(H)$  is a subalgebra of  $\mathfrak{g}$ .  
• If  $h \subseteq \mathfrak{g}$  is a Lie subalgebra,  $\exists$  a Lie group  $H$  with  $\text{Lie}(H) = h$  and a homomorphism  $\eta: H \rightarrow G$  s.t.  $i_{\mathfrak{g}} = \text{id}_h$ .

Two examples of exponentiation:

$$G = \mathbb{T}^2, \quad h = \mathbb{R}^2 \quad \leadsto \quad \eta: \mathbb{R}^2 \rightarrow \mathbb{T}^2$$

$$G = \mathbb{T}^2, \quad h = \langle v \rangle, \quad v \text{ has irrational slope.}$$

Defn. A subspace  $\mathfrak{h} \subseteq \mathfrak{g}$  of Lie algebra is called an ideal if  $\forall x \in \mathfrak{g}, \forall y \in \mathfrak{h}, [x, y] \in \mathfrak{h}$ .

Thm. If  $G$  is a <sup>connected</sup> Lie group and  $H \subseteq G$  is a <sup>connected</sup> Lie subgroup, then  $H$  is normal  $\Leftrightarrow \text{Lie}(H)$  is an ideal.

Proof. ( $\Rightarrow$ ) Let  $x \in \text{Lie}(G), y \in \text{Lie}(H)$ .

$$\begin{aligned} [x, y] &\stackrel{(1)}{=} \text{ad}(x) y \stackrel{(2)}{=} \\ &= \frac{d}{dt} \Big|_{t=0} \left[ \text{Ad}(\exp(tx)) y \right] \\ &= \frac{d}{dt} \Big|_{t=0} \left[ D_{\exp(tx)}(e)(y) \right] \stackrel{(1)}{=} \\ &\quad T_e(H) \end{aligned}$$

$\hookrightarrow$  conjugation

$$\therefore [x, y] \in \text{Lie}(H).$$

Sketch.

( $\Leftarrow$ ) Assume  $\text{Lie}(H)$  is an ideal.

Fix  $g \in G, h \in H$  both close to identity.

Write  $g = \exp(x), h = \exp(y)$  for  $x \in \text{Lie}(G), y \in \text{Lie}(H)$ .

Now,

$$\begin{aligned} \exp(x) \exp(y) \exp(-x) &= C_{\exp(x)}(\exp(y)) \\ &\stackrel{\text{formula}}{=} \exp(\text{Ad}(\exp(x)) Y) \\ &\stackrel{(1)}{=} \exp\left(\sum_{k=0}^{\infty} \underbrace{\frac{\text{ad}(x)^k}{k!}}_{\in \mathfrak{h}} y\right) \in H \end{aligned}$$

Thus, group is "locally normal". Connectedness proves the result.  $\square$

Defn  $\mathfrak{g}$  is called simple if it has no nontrivial ideal and non abelian.

These are classified. Done by analyzing "maximal diagonal abelian subgroups" and how they act on  $\text{Lie}(G)$

# Lecture 36 (02-12-2022)

Friday, December 2, 2022 10:47 AM

1. Find the connected Lie subgroups of  $SL(2, \mathbb{R})$  which contain  $\left\{ \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} : t \in \mathbb{R} \right\}$ .

Sol<sup>n</sup> Pick such a subgroup  $H$ .

Consider  $\text{Lie}(H) \subseteq \mathfrak{sl}(2, \mathbb{R}) = \left\{ \begin{pmatrix} a & b \\ c & -a \end{pmatrix} : a, b, c \in \mathbb{R} \right\}$ .

Note  $U = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \in \text{Lie}(H)$ .  $\text{ad}(U)Y = UY - YU$ .

Since  $\text{Lie}(H)$  is a subalgebra,  $\text{ad}(U)(\text{Lie}(H)) \subseteq \text{Lie}(H)$ .

Let  $X = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ .  $\{U, X, Y\} \rightarrow$  basis of  $\mathfrak{sl}(2, \mathbb{R})$ .

Let us write  $\text{ad}(U) : \mathfrak{sl}(2, \mathbb{R}) \rightarrow \mathfrak{sl}(2, \mathbb{R})$  as a matrix wrt above basis.

$$\text{ad}(U) = Y \begin{pmatrix} u & x & y \\ 0 & -2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$\underbrace{\quad}_{\quad}$

$$\begin{pmatrix} UX - XU = \begin{pmatrix} 0 & -2 \\ 0 & 0 \end{pmatrix} \\ UY - YU = \begin{pmatrix} 0 & 0 \\ 1 & -1 \end{pmatrix} \end{pmatrix}$$

$\therefore$  only inv. subspaces, are  $\text{span}\{U\}$ ,  $\text{span}\{U, X\}$ ,  
that contain  $U$   $\text{span}\{U, X, Y\}$ .

If two connected subgroups have the same lie algebra,  
then they are equal.

equal as subalgebras  
of  $\text{Lie}(G)$

$\therefore \exists$  exactly 3 connected subgroups.

itself

?

$SL(2, \mathbb{R})$

group itself

$$\exp\left(\begin{pmatrix} a & b \\ 0 & -a \end{pmatrix}\right)$$

$$SL(2, \mathbb{R})$$

$$\left(\begin{smallmatrix} e^a & e^{ab} \\ 0 & e^{-a} \end{smallmatrix}\right) = \left\{ \begin{pmatrix} s & t \\ 0 & s \end{pmatrix} : s > 0, t \in \mathbb{R} \right\}$$

2. Compute  $\text{Lie}(SL(2, \mathbb{R}))$ .

Soln.  $\dim(SL(2, \mathbb{R})) = 3.$   $\left[ \det: M(2, \mathbb{R}) \rightarrow \mathbb{R} \right]$   
regular value.

Let  $\gamma: (-\varepsilon, \varepsilon) \rightarrow SL(2, \mathbb{R})$  be any curve s.t.  $\gamma(0) = \text{id}.$   
(Recall:  $\text{Lie}(G) = T_{\text{id}}(G).$ )

$$\Rightarrow \det(\gamma(t)) = 1 \quad \forall t$$

$$\Rightarrow \frac{d}{dt} \det(\gamma(t)) = 0 \quad \text{D}_{\text{id}}(\det) = \text{Tr.}$$

$$\Rightarrow \text{Tr}(\gamma'(t)) = 0$$

$$\therefore \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

check that their exponentials lie  
in  $SL \dots$

3. What is  $\text{Lie}(\text{Isom}(\mathbb{R}^2))?$

$\text{Isom}^+(\mathbb{R}^2) \rightarrow$  orientation preserving.

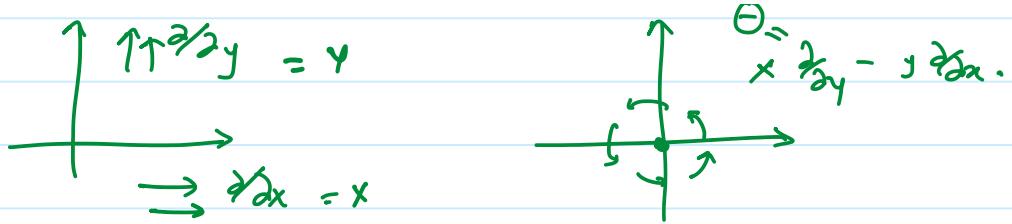
Translations

Rotation about a point around P

individual subgroups (after fixing p)

$$\uparrow \uparrow \frac{\partial^2}{\partial y^2} y = 4$$

$$\uparrow \Theta = x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x}.$$



$x, y, \theta$  are enough to give arbitrary rotations.

$$\left\{ \begin{array}{l} [x, y] = 0 \\ [\theta, x] = -y \\ [\theta, y] = x \end{array} \right.$$

Two out to be constant

$\therefore$  adjoint rep is faithful

$$ax + by + c\theta \sim x \begin{pmatrix} x & y & \theta \\ y & -c & a \\ \theta & b & -b \end{pmatrix}$$

$$\text{Isom}^+(\mathbb{R}) \cong SO(2) \times \mathbb{R}^2$$

$$\text{Lie}(\text{Isom}^+(\mathbb{R}))$$

this set of matrices

$$\begin{pmatrix} 0 & 0 & x \\ -\theta & 0 & y \\ 0 & 0 & 0 \end{pmatrix}$$

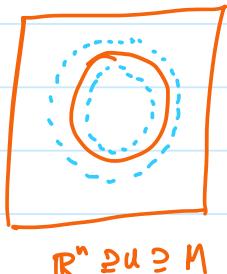
# Tubular Neighbourhood Theorem

$M \subseteq \mathbb{R}^n$  (embedded)  
sub manifold

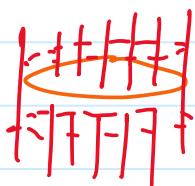
$$N_x M := \{ v \in \mathbb{R}^n : \langle v, w \rangle = 0 \quad \forall w \in T_x M \}$$

$$NM := \bigcup_{x \in M} N_x M.$$

normal bundle ( $n$ -dimensional)



$$\mathbb{R}^n \ni u \ni M$$



$$NM \cong U \cong M$$

$$\{ O_x : x \in M \}$$

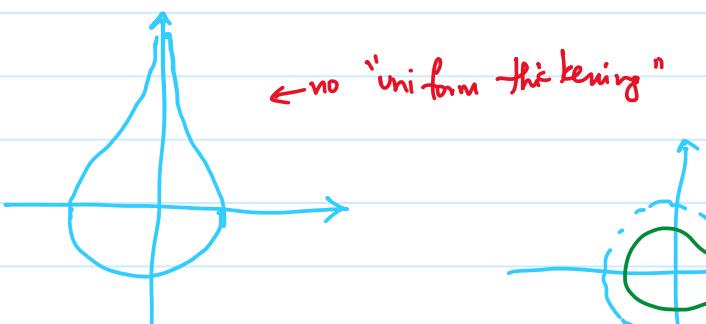
$$\pi : NM \rightarrow M$$

$$v_x \mapsto x$$

Theorem  $U$  and  $V$  can be chosen s.t.  $\exists$  diffeo  $F : U \rightarrow V$   
 s.t.  $F|_{M_0} = \pi$ .

Cor. If the normal bundle is trivial,  $\exists$  nbd of  $M$  which is diffeomorphic  $B(1, 0 ; \mathbb{R}^{n-\dim(M)}) \times M$ .

Ex.



Remark. The same is true when  $\mathbb{R}^n$  is replaced with  $\mathbb{Q}$ .

Need to do some more work to  
define NM...

Proof in compact case:

$$\begin{array}{ccc} & \text{NM} & \\ i \swarrow & \searrow \pi & \\ \mathbb{R}^n & & M \end{array}$$

$\pi(v_n) = x.$   
 $i(v_n) = v.$

$$\phi_x : T_{v_n}(NM) \longrightarrow \mathbb{R}^n$$

$$\phi_x(w) := D\pi(w) + Di(w).$$

Claim:  $\phi_x$  is an isomorphism. (Show surjectivity and then use dim.)  $\Theta$

Define  $G : NM \longrightarrow \mathbb{R}^n$  by  $(G = \pi + i)$

Then,  $DG(v_x) = \phi_x$  is an iso.  
( $\forall x \in \mathbb{R}^n$ )

$\therefore G$  is locally invertible at every  $0_x$ .

For  $k \geq 1$ , let  $U_k \subseteq NM$  denote the set of normal vectors  $v_x$  s.t.  $\|v_x\| < k$ .

Claim.  $\exists k$  s.t.  $G|_{U_k}$  is injective.

Proof. Suppose not.  $\exists (v_k), (v'_k)_{k \geq 1}$  s.t.

*we are supposing  
base points for ↗  
intentional sake  
↙ it will*  $v_k, v'_k \in U_k,$   
 $v_k \neq v'_k, G(v_k) = G(v'_k).$   $\forall k \geq 1$

we have points  $v_k$  —  
 rotational case  
 But  $v_k, v_k'$   
 can have diff  
 base points!

$v_k, v_k' \in M_k$ ,  
 $v_k \neq v_k'$ ,  $G(v_k) = G(v_k')$ .  
 $\forall k \geq 1$

By compactness of  $\bar{U}_1$ , we may pass to subsequences and assume

$v_k \rightarrow v$  and  $v_k' \rightarrow v'$   
 for some  $v, v' \in \bigcap U_k = M_0$ .

But  $G|_{M_0}$  is a diffeo. So,  $v = v' = 0_x$ .

But this is a contradiction since

$G$  is a local diffeo around  $0_x$   
 and  $v_k, v_k' \in \text{nbhd for high } k$ .  $\square$

This finishes the proof since  $G$  is a local diffeo.  $\square$

### (Collar Neighbourhood Theorem)

Thm. Let  $M$  be a manifold with boundary.

Then,  $\exists$  nbhd  $U$  of  $\partial M$  s.t.  $U$  is diffeomorphic to  $\partial M \times [0, 1]$ , and the diffeo restricted to  $\partial M$  is "id".

