

MA-5101

ALGEBRA-III

10.08.2020

## §1. Introduction

- Writing assignments
  - Presentations → definitely will happen
  - Might do quizzes via (Zoom) polls
  - Best  $n/n+2$  or something.  
( $\approx \frac{n}{n+1}$ )
  - What to recall from 419? → Rings, we'll start with def"  
Should still be familiar
  - Familiarity with Alg I also nice. ↳ Problem sets still should be sufficient
  - Won't define Integral Domains & Fields but will still use.  
(Should be comfortable with 2<sup>nd</sup> half of Basic Alg.)
  - (some link should go on.)
- maybe not UFDs  
but PIDs

13 · 08 · 2020

$$\left\{ \text{Subgroups of } \mathbb{Z} \right\} = \left\{ n\mathbb{Z} : n \in \mathbb{Z} \right\}.$$

(precisely)

# 17 · 08 · 2020

LECTURE - 1

Defn.  $(R, +, *) \rightarrow$  a set  $R$  with binary operations  $+$  and  $*$   
 (Ring) satisfying:

(i)  $+$  is commutative.  $\forall a, b \in R : a + b = b + a$

(ii)  $+$  is associative.  $\forall a, b, c \in R : a + (b + c) = (a + b) + c$

lets you  
add finitely  
many elements  
unambiguously

$$\begin{array}{ccc}
 R \times R \times R & \xrightarrow{\text{id}_R \times +} & R \times R \\
 \downarrow + \times \text{id}_R & \curvearrowright & \downarrow + \\
 R \times R & \xrightarrow{+} & R
 \end{array}
 \quad \text{The diagram commutes}$$

existence of add. identity (iii)  $\exists 0 \in R : \forall a \in R : a + 0 = a = 0 + a$  (no need to write this, though.)

existence of add. inv. (iv)  $\forall a \in R : \exists b \in R : a + b = 0$

(v)  $*$  is associative.  $\forall a, b, c \in R : a * (b * c) = (a * b) * c$

(vi)  $*$  distributes over  $+$ .

$$\forall a, b, c \in R : a * (b + c) = a * b + a * c$$

$$(b + c) * a = b * a + c * a$$

existence of  $*$  id. (vii)  $\exists 1 \in R : \forall a \in R : 1 * a = a = a * 1$ .



Shall always assume this in course!

Defn. A ring  $(R, +, *)$  is commutative if  $*$  is commutative.

A ring in which every non-zero element has a multiplicative inverse is called a division ring.

furthermore, a non-zero commutative ring is called a field if every non-zero element has a mult. inverse.

Examples / non-examples

1.  $\{0\}$  is a ring. (The operations are forced.)  
(any singleton, in fact.)

We call this the zero ring and simply denoted as 0.

2.  $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C} \rightarrow$  standard operations  
no, no 0  
 $\mathbb{N} \cup \{0\} \rightarrow$  no inverses  
 $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  ring, not field  
 $\mathbb{C}$  in fact, a field  
in fact, commutative

3. Let  $R$  be a ring. Then,  $M_n(R)$  is a ring under the usual matrix operations.

$\hookrightarrow \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  is the mult. identity.

4.  $R[x] \rightarrow$  set of polynomials with co-efficients in  $R$

$R[[x]] \rightarrow$  set of formal power series in  $R$

N. Jacobson "Algebra"  $\rightarrow$  Section called "Rngs".

# 18 · 08 · 2020

## LECTURE - 2

Let  $R$  be a ring.

Rings that can be constructed:

$R[x]$  → polynomials

$R[[x]]$  → power series

$A \neq \emptyset, \mathcal{F}(A, R) \rightarrow$  set of functions  
from  $A$  to  $R$

$M_n(R)$  →  $n \times n$  matrices with entries in  $R$ .

---

$$R[x] = \{ f \mid \exists n \in \mathbb{N} \cup \{0\}, a_0, \dots, a_n \in R \quad (f = a_0 + \dots + a_n x^n) \}$$

where  $a_0 + \dots + a_n x^n = a_0 + \dots + a_n x^n + 0 \cdot x^{n+1}$ .

Moreover, two polynomials are equal if their like terms are equal.

Addition is term-wise.

Multiplication is the usual one: We define it this way to have  $x^n \cdot x^m = x^{n+m}$  and distributivity.

Every element of  $R$  can be thought of as a polynomial.

---

$$R[[x]] = \{ f \mid \exists a_0, a_1, \dots \in R \quad (f = a_0 + a_1 x + \dots) \}.$$

Equality is again term-wise.

Note that  $f = 1 + x + x^2 + \dots$  is a pow. series.

Also,  $1, x, x^2, \dots$  are pow. series

however,  $f$  cannot be written as a sum of infinitely many pow. series!

(Only finite sums are defined in rings!)

$$(a_0 + a_1 x + \dots)(b_0 + b_1 x + \dots) = c_0 + c_1 x + \dots$$

where  $c_n = \sum_{i=0}^n a_i b_{n-i}$   
 $= a_0 b_n + a_1 b_{n-1} + \dots + a_{n-1} b_1 + a_n b_0.$

$$\mathcal{F}(A, R) = \{f : A \rightarrow R\} \quad (A \neq \emptyset)$$

For  $f, g \in \mathcal{F}(A, R)$ , we define  $f+g \in \mathcal{F}(A, R)$  and  $f \cdot g \in \mathcal{F}(A, R)$  as

$$(f+g)(a) = f(a) + g(a), \quad \forall a \in A$$

$$(f \cdot g)(a) = f(a) * g(a).$$

+ and \* are from R.

20 · 08 · 2020

LECTURE - 3

Let R and S be rings. Then,  $R \times S$  is a ring under component-wise operations.

$$(r, s) + (r', s') := (r+r', s+s'),$$

$$(r, s) \cdot (r', s') := (r \cdot r', s \cdot s').$$

Similarly, can define  $R_1 \times \dots \times R_n$ .

In particular, we can take  $S = R$ .

Example.  $\mathbb{R} \times \mathbb{R}$  is a ring.

Is this the "same" as  $\mathbb{C}$ ?

Defn. ① Given rings  $R$  and  $S$ , a function  $\varphi: R \rightarrow S$  is a ring homomorphism if

$$\textcircled{1} \quad \varphi(a+b) = \varphi(a) + \varphi(b) \quad \left. \right\} \forall a, b \in R$$

$$\textcircled{2} \quad \varphi(ab) = \varphi(a)\varphi(b)$$

$$\textcircled{3} \quad \varphi(1) = 1$$

② If  $\varphi: R \rightarrow S$  is a homomorphism (ring map), then  $S$  is called an  $R$ -algebra via  $\varphi$ .

③ Let  $S \subset R$ . We say that  $S$  is a subring of  $R$  if it is a ring under the same operations, and  $1_S = 1_R$ .

If  $\varphi: S \rightarrow R$  is a 1-1 homomorphism, we often identify  $S$  with  $\varphi(S)$  to consider  $S$  as a subring of  $R$ .

0 is not a subring of a non-zero ring  $R$ !

(d) Let  $I \subset R$ . We say that  $I$  is an ideal in  $R$  if:

$$\textcircled{1} \quad \forall a, b \in I : a+b \in I$$

$$\textcircled{2} \quad 0 \in I$$

$$\textcircled{3} \quad \forall a \in I, \forall r \in R : ra \in I, ar \in I$$

④  $\forall a \in I : -a \in I$   
 ↳ don't need since our rings have 1

↳ also → quotienting

Since  $(R, +)$  is abelian group,  $I \trianglelefteq R$ , we also want  $R/I$  to form a ring.

(Mimic  $\mathbb{Z}/n\mathbb{Z}$ )

mimics  
Subspace  
def. in  
vector space

Consequence: If  $I$  is an ideal in  $R$ , then the quotient group  $R/I$  has a multiplicative structure induced from  $R$ .

That is,  $\forall a, b \in R$

$$(a+I)(b+I) = (ab+I) \text{ is well defined}$$

↓ elements of  $R/I$   
 ↓

Furthermore, the natural map  $\pi: R \rightarrow R/I$   
 $a \mapsto a+I$   
 is a ring homomorphism with  $\ker \pi = I$ .

Q: When is an ideal  $I$  a subring of  $R$ ?

24.08.2020

LECTURE - 4

Recall: Let  $A$  be a non-empty set.  $F(A, R)$  is a ring under pointwise op.

$A = \mathbb{N}$ ,  $R \rightarrow$  any ring ;  $F(A, R) \rightarrow$  sequences in  $R$   
 ↴ natural subring : eventually 0

$A = \mathbb{N}$ ,  $R = \mathbb{R}$  :  $F(\mathbb{N}, \mathbb{Q})$  } natural subrings  
 { convergent seq.  
 bdd seq.

(we saw this  
 closure properties  
 in analysis.)

$A = \mathbb{R}$ ,  $R = \mathbb{R}$  :  $C(\mathbb{R}) \rightarrow$  natural subrings  
 $C^{\infty}(\mathbb{R}) \rightarrow$

$A \rightarrow \text{topological space}$ ,  $R = \mathbb{R}$  or  $\mathbb{C}$  :  $C(A, R) \rightarrow \text{cts functions from } A \text{ to } R$ .

If  $A = R$ ,  $F(R) = F(R, R)$ .

↳ make it a ring  $\rightarrow$  does composition and addition  
make it a ring?

if not, modify,  
put restrictions on  $R$  or take subsets  
(don't modify operations)

Eg. ①  $C([0, 1], \mathbb{R})$

Think about what properties they have.

②  $D^2 = \{z \in \mathbb{C} : |z| < 1\}$ .

$H(D^2) \rightarrow \text{set of analytic functions on } D^2$ .

Def. Let  $R$  be a ring,  $a \in R$ . We say that

①  $a$  is a unit if  $\exists b \in R$  s.t.  $ab = 1$  and  $ba = 1$ .  $U(R)$

②  $a$  is a zero divisor if  $\exists b \in R \setminus \{0\}$  s.t.  $ab = 0$  or  $ba = 0$ .  $Z(R)$

Note that  $0$  is a zero divisor iff  $R \neq 0$ .  
Also,  $0$  is a unit iff  $R = 0$ .

• an element is never a zero div. as well as unit.

③  $a$  is nilpotent if  $\exists n \in \mathbb{N}$  s.t.  $a^n = 0$ .  $N(R)$

Assume  $R \neq 0$ . Are any of these subrings? Ideals?

{  $1 \notin Z(R), N(R)$

can't be subrings, lol {  $0 \notin U(R) \rightarrow$  not ideal either then

Q. Does the set of units form a group?  
 (under mult. of R) Yes!

Do  $N(R)$  and  $Z(R)$  form an ideal?

Not in general. Take  $R = M_2(\mathbb{R}) \rightarrow a = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, b = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}$   
 $a^2 = b^2 = 0$   
 $(a+b)$  not nilp or zero div

Now, suppose  $R \neq 0$  is commutative. Do they now form an ideal?

•  $N(R) : 0 \in N(R)$   
 $a \in N(R), r \in R$ . Let  $n \in \mathbb{N}$  be s.t.  $a^n = 0$ .

$$(ra)^n = r^n a^n = 0.$$

$$\therefore ra \in N(R)$$

$a, b \in N(R) : \text{let } N = \max(n, m) \text{ s.t. } a^n = 0 = b^m$ .

$$(a+b)^N = \sum \binom{N}{i} a^i b^{N-i} = \sum 0 = 0.$$

Thus,  $a+b \in N(R)$ .

This shows  $N(R)$  is a ideal.

•  $Z(R) : 0 \in Z(R) [R \neq 0]$

Let  $a \in Z(R), r \in R$ .

Suppose  $a' \neq 0$  is such that  $aa' = 0$ .

$$\text{Then, } (ra)a' = r(aa') = r \cdot 0 = 0.$$

$$\Rightarrow ra \in Z(R). (a' + 0)$$

Let  $a, b \in Z(R)$ .  $a'b' \neq 0$  s.t.  $aa' = 0 = bb'$ .

$$(a+b)(a'b') = aa'b' + ba'b' \\ = 0 + bb'a = 0 + 0 = 0.$$

Hmm. But  $b'b'$  could be  $0$  =

ACTUALLY, take  $R = \mathbb{Z}/6\mathbb{Z}$ .

$2, 3 \in R$  are 0 div.

$2+3 = 5$  is not.

Thus,  $Z(R)$  is not  $\downarrow$  an ideal even if  $R$  is comm.  
necessarily

25.08.2020

LECTURE - 5

Recall:  $Z(R) \cap U(R) = \emptyset$  (even if  $R = 0$ )

If  $R \neq 0$ , then  $N(R) \subset Z(R)$ .

Q: Is  $Z(R) \cup U(R) = R$ ?  $\rightarrow$  No. Take  $R = \mathbb{Z}$ .

As an example where true:  
 $R = \mathbb{Z}/n\mathbb{Z}$ .

Subsets related to homomorphisms

Let  $\varphi: R \rightarrow S$  be a ring map.

$\ker \varphi := \{a \in R : \varphi(a) = 0\} \subset R$ .

$$\text{im } \varphi := \{ b \in S : \exists a \in R (\varphi(a) = b) \} \subset S$$

$$= \{ \varphi(a) : a \in R \}.$$

$\ker \varphi$  is an ideal of  $R$ . If subring, then  $\ker \varphi = R$   
AND  $S = 0$

$\text{im } \varphi$  is a subring of  $S$ . If ideal, then  $\text{im } \varphi = S$ ,  
that is,  $\varphi$  is onto.

Let  $I \subset R$ . Then  $\varphi(I) \subset S$ .

Similarly, if  $J \subset S$ , then  $\varphi^{-1}(J) \subset R$ .

Ques. If  $I$  is an ideal in  $R$ , what can you conclude about  $\varphi(I)$ ?

Ans-  $\varphi(I) \trianglelefteq \text{im}(\varphi)$ ? Yes!

In particular,  $\varphi(I)$  is an ideal in  $S$  if  $\varphi$  is onto.

Eg. If  $I$  is an ideal in  $R$ , then the natural map

$$\pi: R \rightarrow R/I \quad \text{is onto.}$$

Thus, if  $J \subset R$  is an ideal,  $\pi(J)$  is an ideal in  $R/I$ .

Ques. What does  $\pi(J)$  look like?

$$\pi(J) = \{ a + I \in R/I : a \in J \} \stackrel{\text{defn}}{=} \frac{J+I}{I}$$

(just notation  
for now)

Q. Let  $J \subset S$  be an ideal. What can we say about  $\pi^{-1}(J)$ ?

Ans.  $\pi^{-1}(J)$  is an ideal in  $R$ .

In particular, if  $K$  is an ideal in  $R/I$ , then  $\pi^{-1}(K)$  is an ideal in  $R$ .

$$J = \pi^{-1}(K) = \{a \in R : a + I \in K\}.$$

$$\text{Moreover, } \pi(J) = K. \quad (\because \pi \text{ is onto.})$$

$$\text{i.e., } K = \frac{J + I}{I}.$$

In particular,  $J$  contains  $\pi^{-1}(\{0\}) = \ker \pi$ .

In this case :  $\ker \pi = I \subset J$ .

Thus,  $J + I = J$  and hence

$$K = \frac{J + I}{I} = J/I.$$

Thus, every ideal of  $R$  looks like  $J/I$  where  $J$  is an ideal of  $R$  containing  $I$ .

Thm. The ideals in  $R/I$  are in 1-1 correspondence with ideals in  $R$  containing  $I$ .

$$\begin{array}{ccc} \left\{ \begin{matrix} \text{ideals in} \\ R/I \end{matrix} \right\} & \longleftrightarrow & \left\{ \begin{matrix} \text{ideals in} \\ R \\ \text{containing} \\ I \end{matrix} \right\} \\ K \longmapsto \pi^{-1}(K) & & \\ J/I = \pi(J) \longleftrightarrow J & & \end{array}$$

# 27.08.2020

## LECTURE - 6

Recap: Let  $I, J \subset R$  be ideals with  $I \subset J$ .

If  $\pi: R \rightarrow R/I$  is the natural map, then

$\pi(J) = \{a + I : a \in J\}$ . This is denoted by  $J/I$ .

Q. What happens if  $I \not\subset J$ ? Then,  $\pi(J) = \pi(J+I)$ .

Moreover,  $I \subset J+I$ . Hence,  $\pi(J) = (J+I)/I$ .

[If  $I \subset J$ , then  $J+I = J$ .]

few constructions:

Defn. Let  $I, J$  be ideals in  $R$ . Then,

① (sum)  $I+J := \{a \in R \mid \exists i \in I, \exists j \in J : a = i+j\}$   
 $= \{i+j \mid i \in I, j \in J\},$

② (intersection)  $I \cap J,$

$$IJ = \{a \in R \mid \exists i \in I, \exists j \in J : a = ij\} \quad \text{X}$$

would want this

\* construct example s.t. this is not an ideal

(product) ③  $IJ := \{ a_1 b_1 + \dots + a_n b_n \mid a_i \in I, b_i \in J, n \in \mathbb{N} \}$ .

$$= \{ a \in R \mid \exists_{n \in \mathbb{N}}, \exists a_1, \dots, a_n \in I, \exists b_1, \dots, b_n \in J, \\ a = a_1 b_1 + \dots + a_n b_n \},$$

④  $I : J := \{ a \in R \mid aJ \subseteq I \}$

are ideals of  $R$ .

Example.  $R = \mathbb{Z}$ ,  $I = 6\mathbb{Z}$ ,  $J = 3\mathbb{Z}$ .

Find  $I : J$ .

$I : J = 2\mathbb{Z}$ .

This is sort of divisibility.

Def<sup>n</sup>. (Radical)  $I \subset R$  ideal.

⑤  $\sqrt{I} = \{ a \in R \mid \exists_{n \in \mathbb{N}} (a^n \in I) \}$ .

Is this an ideal?

Ex.  $R = \mathbb{Z}$ .  $I = 8\mathbb{Z}$

$\sqrt{I} = ?$   $\sqrt{I} = 2\mathbb{Z}$ .

Observation.  $\sqrt{0} = N(R)$  → also called nilradical of  $R$

Thus, we don't expect  $\sqrt{I}$  to be ideal if  $R$  non-commute.  
 However, if  $R$  is commutative, then  $\sqrt{I}$  does form an ideal. (Similar proof as earlier for  $N(R)$ )

Remark. The first four ideals are ideals always.  
 $\sqrt{I}$  is ideal if  $R$  is commutative.  
 Can't expect anything in non-commutative.

⑥ Let  $a \in R$ . Let  $I \subset R$  be an ideal s.t.  $a \in I$ .

$R$  is an ideal  
containing  $a$

Then,  $\forall r, s \in R \quad (ras \in I)$ .

$$a \in \{ ras \mid s, r \in R \} \subset I.$$

In fact, for all  $n \in \mathbb{N}$ ,  $r_1, \dots, r_n \in R$ ,  $s_1, \dots, s_n \in R$ ,

$$r_1 a s_1 + \dots + r_n a s_n \in I.$$

Moreover,  $\{ r_1 a s_1 + \dots + r_n a s_n \mid n \geq 1, r_i \in R, s_i \in R \}$   
 is actually an ideal.

This is the smallest ideal of  $R$  containing  $a$ . ||

Notation:  $\langle a \rangle \rightarrow$  ideal generated by  $a$ .

| If  $R$  is commutative, then  $\langle a \rangle = \{ra : r \in R\}$ , also denoted  $Ra$ .

Let  $a_1, a_2 \in R$ . What is  $\langle a_1, a_2 \rangle$ ? (Nothing about commutativity.)

$$\langle a_1, a_2 \rangle = \langle a_1 \rangle + \langle a_2 \rangle.$$

More generally, if  $a_1, \dots, a_n \in R$ , then

$$\langle a_1, \dots, a_n \rangle = \langle a_1 \rangle + \dots + \langle a_n \rangle.$$

| If  $R$  is commutative, then

$$a \in \langle a_1, \dots, a_n \rangle \Leftrightarrow \exists r_1, \dots, r_n \in R \ (r_1 a_1 + \dots + r_n a_n = a)$$

(Resembles linear span from linear algebra.)

---

We say  $I$  is finitely generated if

$$\exists a_1, \dots, a_n \in R \text{ s.t. } I = \langle a_1, \dots, a_n \rangle.$$

and  $I$  is cyclic (or principal) if  $\exists a \in R$  s.t.  $I = \langle a \rangle$ .

---

Some special classes of ideals:  $0 \neq R$  commutative

Q1 When is  $R$  a field?  $V(R) = R \setminus \{0\}$ . (works even if  $R = 0$ )

$I$  is maximal if  $I \neq R$  &  $I \subset J \Rightarrow I = J$ .  
↳ ideal

Q2. When is  $R$  a domain?  $Z(R) = \{0\}$ .

$I$  is a prime ideal if  $ab \in I \Rightarrow a \in I$  or  $b \in I$

Q3. When is  $R$  reduced?  $N(R) = \{0\}$ .  
 $I$  is radical if  $I = \sqrt{I}$ .  
 (Then  $R/I$  becomes reduced.)

**31.08.2020**

LECTURE - 7

- We'll pretty much stick to commutative rings.  
 Especially when dealing with  $M_n(R)$ ,  $R[x]$ ,  $R[[x]]$ , prime or radical ideals,  
 $R$  is assumed to be commutative.
- Let  $a \in R$ . Do you think  $1-a$  is a unit?  
 Well,  $1+a+a^2+\dots$  seems like a nice candidate.  
 If  $a \in N(R)$ , then the above sum will make sense  
 and will be correct.
- If  $R$  is comm., then  $\forall r \in R, \forall a \in N(R), 1+ra \in U(R)$ .
- If  $\varphi: R \rightarrow S$  is a ring map, then  $R/\ker \varphi \cong \varphi(R)$ .  
 In fact, the map  $\tilde{\varphi}: R/\ker \varphi \rightarrow S$   
 $\bar{a} \mapsto \varphi(a)$   
 is a well-defined one-one homo. and onto its image,  
 giving the isomorphism.

Let  $I \subset R$ ,  $\varphi: R \rightarrow S$  ring map. Does  $\varphi$  factor through  $R/I$ ?

I.e.,  $\exists \tilde{\varphi}$  st.  $\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ \pi \searrow & \downarrow \text{?} & \swarrow \tilde{\varphi} \\ & R/I & \end{array}$

Works if  $0 \subseteq I \subseteq \ker \varphi$ .

"Same" proof as of first iso. theorem.

- $R$  comm  
 $I = \langle a_1, \dots, a_n \rangle$ ,  $J = \langle b_1, \dots, b_m \rangle$

$$IJ \subset I \cap J \subset I + J$$

$\langle a_i b_j \mid \begin{matrix} 1 \leq i \leq n, \\ 1 \leq j \leq m \end{matrix} \rangle$

"almost impossible"  $\langle a_1, \dots, a_n, b_1, \dots, b_m \rangle$ .

this shows why  $I + J = I \cap J$  is possible iff  $I = J$ .

