

## Lecture 8 (01-09)

01 September 2020 10:29 AM

If  $R \rightarrow R/I \times R/J$  is onto, then  $(0, 1)$  &  $(1, 0)$  must have preimage.

Notation: Given a ring  $R$ , we denote the set of maximal ideals in  $R$  by  $\text{Max}(R)$ , and if  $R$  is commutative, then the set of prime ideals is denoted  $\text{Spec}(R)$ .  
 called the prime spectrum of  $R$ .

[We shall consider  $R$  to be comm. when talking about prime ideals.]

[Natural q. after defining a set  $\rightarrow$  is it non-empty?]

[Is  $\text{max}(R) \neq \emptyset$ ? Well, no, if  $R = 0$ .]

Okay, assume  $R \neq 0$ .

Claim:  $\text{max}(R) \neq \emptyset$ .

Proof: We prove this by using Zorn's Lemma.

Let  $\Lambda$  be the set of all proper ideals in  $R$ .

①  $\Lambda \neq \emptyset$  since  $\{0\} \in \Lambda$ .

②  $\Lambda$  is a poset by  $\subseteq$ .

③ Let  $\{I_j\}_{j \in \gamma} \subseteq \Lambda$  be a chain (totally ordered).

We claim that  $\{I_j\}_{j \in \gamma}$  has an upper bound in  $\Lambda$ ,

i.e.,  $\exists I \in \Lambda$  s.t.  $\forall j \in \gamma, I_j \subset I$ .

Indeed, define  $I := \bigcup_{j \in \gamma} I_j$ . [Of course, we clearly have that  $I_j \subset I \quad \forall j$ .]

Claim:  $I \in \Lambda$ . That is,  $I$  is an ideal which is proper.  
 (in  $R$ )

Proof: Let  $a, b \in I$ .

$a \in I_{j_1}$  &  $b \in I_{j_2}$  for some  $j_1, j_2 \in \gamma$ .

Since  $\{I_j\}_{j \in \gamma}$  was a chain, either  $I_{j_1} \subset I_{j_2}$  or

$I_{j_2} \supset I_{j_1}$ .

wlog  $\rightarrow$

Thus,  $a \in I_{j_2}$  as well. Then,  $a + b \in I_{j_2} \subset I$ .

Similarly, given  $r \in R$ , we have  $ar, ra \in I_j$ . CI.

Thus,  $I$  is actually an ideal.  
( $I \neq \emptyset$  is obvious.)

Lastly, to see that  $I$  is proper, note that

$I \neq I_j$  & since each  $I_j$  was proper.

Thus,  $I \neq I$ .  $\therefore I$  is proper.  $\square$

Now, by ①, ② and ③, we see that  $\Lambda$  satisfies the hypothesis of Zorn's Lemma. Thus,  $\Lambda$  has a maximal element  $m$ .

Claim.  $m$  is a maximal ideal in  $R$ . (That is,  $m \in \text{Max}(R)$ .)

Proof. Let  $I \subset R$  be an ideal such that  $m \subsetneq I$ .

If  $I \neq R$ , then  $I \in \Lambda$  which contradicts maximality of  $m$ .

Thus,  $I = R$ , proving that  $m$  is maximal.  $\square$

## Corollaries: $(R \neq 0)$

① Every proper ideal is contained in a maximal ideal.

② Let  $a \in R$ . Then,

$a$  is a unit  $\Leftrightarrow \exists m \in \text{Max}(R)$  s.t.  $a \in m$ .

} Commutative ring. Otherwise "left max." or "right max."

Ex.  $\text{Max}(\mathbb{Z}) = \{p\mathbb{Z} : p \text{ is prime}\}$

$\text{Spec}(\mathbb{Z}) = \{p\mathbb{Z} : p \text{ is prime or } p=0\}$ .

In general,  $\text{Max}(R) \subset \text{Spec}(R)$ .

That is, if  $m$  is a maximal ideal in  $R$ , then  $m$  is prime.

Recall:  $P$  is prime if ( $\text{if } ab \in P, \text{ then } a \in P \text{ or } b \in P$ ).

Working rule:  $ab \in P, a \notin P \Rightarrow b \in P$ .

Max ideals  
are  
prime

... be such that

L

o

Proof: Let  $m$  be a maximal ideal and  $a, b \in R$  be such that

$$ab \in m \text{ and } a \notin m.$$

$$a \in m \Rightarrow m \subsetneq m + \langle a \rangle \Rightarrow m + \langle a \rangle = R$$
$$\Rightarrow \exists m \in m, r \in R \text{ s.t. } m + ra = 1$$

$$\Rightarrow \underbrace{mb}_{\in m} + \underbrace{rab}_{\in m} = b$$
$$\underbrace{m}_{\in m}$$

$$\therefore b \in m.$$

Remark. Corollary ② is not necessarily true if  $R$  not  
comm. Take  $R = M_n(\mathbb{Q})$ . ( $n \geq 2$ )

Proof of Cor.

① Let  $I \subsetneq R$  be an ideal. Then,  $R/I$  is a ring which is not the zero ring.

Let  $m$  be a max. ideal in  $R/I$ .

Then,  $\pi_i^{-1}(m)$  is a max. ideal in  $R$  containing  $I$ .

② let  $a \in R$ .

$a$  is not a unit  $\Rightarrow \langle a \rangle \neq R$

$\Updownarrow$  we proved

↑ obvious since  
↑ max ideals are  
proper

$a$  is cont. in  $\Rightarrow$

$\langle a \rangle$  is conta. in max  
ideal

$a$  max ideal

## Lecture 9 (03-09)

03 September 2020 11:27 AM

Note: A prime ideal has to be proper.  
(Commutative ring is also assumed.)

Also,  $\mathbb{D}$  is not an integral domain.

Ex. let  $p \subset R$  be prime,  $I, J \subset R$  be ideals in  $R$ .  
(Prime ideal Exercise)  
If  $IJ \subset p$ , then  $I \subset p$  or  $J \subset p$ .

Q. let  $m \in \text{Max}(R)$ ,  $a \in m$ . What can you say about  $1+a$ ?

- Comm.
- $1+a \notin m$ . (Otherwise  $1 \in m$  and  $m = R$ .  $\rightarrow \leftarrow$ )
  - $1+a \in \mathcal{V}(R)$ ? No. Take  $R = \mathbb{Z}$ ,  $m = 2\mathbb{Z}$ ,  $a = 1$ .  
Recall:  $u \in \mathcal{V}(R)$  iff  $u \notin m$  for any  $m \in \text{max}(R)$ .

Q. What conditions can you put on  $a \in R$  so that  $1+a$  is a unit?

$$\left[ \bigcup_{m \in \text{Max}(R)} m = R \setminus \mathcal{V}(R) \right]$$

What if we take  $J = \bigcap_{m \in \text{Max}(R)} m$  and  $a \in J$ .

Is  $1+a$  a unit? Yes. If  $1+a \notin \mathcal{V}(R)$ , then

$1+a \in m \in \text{Max}(R)$ , then  
 $1 \in m$  ( $\because a \in m$ ).  
 $\rightarrow \leftarrow$

Defn. Let  $R$  be a commutative ring. The Jacobson radical  $J(R)$  of  $R$  is defined as  
Jacobson radical  $J(R) = \bigcap_{m \in \text{Max}(R)} m$ .

Prop.  $N(R) \subset J(R)$ . That is, if  $a$  is nilpotent, then  $a \in \mathfrak{m}$  for all  $\mathfrak{m} \in \text{Max}(R)$ .

Proof. If  $a \in N(R)$ , then  $a^k = 0$  for some  $k$ .

$\Rightarrow a^k \in \mathfrak{m}$  &  $\mathfrak{m}$  max

max. ideals are prime

$\Rightarrow a \in \mathfrak{m}$  &  $\mathfrak{m}$

$\Rightarrow a \in J(R)$ .

In fact,  $N(R) \subset \bigcap_{\mathfrak{p} \in \text{Spec}(R)} \mathfrak{p} \subset J(R)$ .

$\underbrace{\qquad}_{p \in \text{Spec}(R)}$

$\hookrightarrow$  only equality?

Thm. In fact,  $J(R)$  is a radical ideal, by (almost) the same argument.

Q. If  $1+a$  is a unit, does  $a \in J(R)$ ?  
No. Take,  $R = \mathbb{Z}$ ,  $1+a = -1$ .

Note:  $a \in J(R) \Rightarrow \forall r \in R (1+ra \in N(R))$

$\leftarrow$  Does this converse hold now?

Yes!

Prop.  $a \in J(R) \Leftrightarrow \forall r \in R (1+ra \in N(R))$

Prop. ( $\Rightarrow$ ) Let  $a \in J(R)$  and  $r \in R$  be arbitrary.

$ra \in J(R)$  since  $J(R)$  is an ideal

Thus,  $ra \in \mathfrak{m}$  for every max. ideal  $\mathfrak{m}$ .

$\Rightarrow 1+ra \notin \mathfrak{m}$  for any max. ideal  $\mathfrak{m}$

$\Rightarrow 1+ra$  is a unit.

( $\Leftarrow$ ) Fix  $a \in R$ .

Assume that  $1+ra$  is a unit for every  $r \in R$ .

Assumption: Suppose that  $\exists m \in \text{Max}(R)$  s.t.  $a \notin m$ .  
Then,  $m + \langle a \rangle = R$ .

$$\Rightarrow m - ra = 1 \quad \text{for some } r \in R, m \in M.$$

$$\Rightarrow m = 1 + ra \in M \text{ is a unit} \rightarrow \Leftarrow$$

Thus, our assumption was incorrect. In other words,  
 $a \in m$  for all  $m \in \text{Max}(R)$ .

Thus,  $a \in J(R)$ .  $\square$

Q. Prove or disprove:  $J(R) = 0$  for any  $0 \neq R$  comm.

Sol. Disproof. We construct a counterexample.

$$R = \mathbb{Z}/4\mathbb{Z}$$

Ideals of  $R = \{\{0\}, \{0, 2\}, R\}$   
 $\hookrightarrow$  maximal!

Thus,  $J(R) = \{0, 2\} \neq \{0\}$ .  $\square$

(Prime ideal  
Exercise solution)

Let  $R$  be a comm. ring and  $I, J \subset R$   
be ideals. let  $p \in \text{Spec}(R)$  s.t.  
 $IJ \subset p$  and  $I \not\subset p$ .

We show that  $J \subset p$ .

Prof. Let  $j \in J$  be arbit.

Since  $I \not\subset p$ ,  $\exists i \in I$  s.t.  $i \notin p$ .  $ij \in IJ \subset p$ .

$\Rightarrow ij \in p$  and  $i \notin p$ .

$\therefore j \in p$  since  $p$  is prime.

$\Rightarrow J \subset p$  ( $j$  was arbit)  $\square$

From this point on, unless otherwise mentioned, we shall assume rings to be commutative

Q: Consider the natural map  $\varphi: R \rightarrow R/I \times R/J$ . Is this onto?

A: Well, if  $\varphi$  is onto, then  $(\bar{r}, \bar{s})$  must have a preimage.

$$\therefore \varphi(a) = (\bar{r}, \bar{s}) \text{ for some } a \in R.$$

$$\Rightarrow a \equiv r \pmod{I} \quad \& \quad a \equiv s \pmod{J}$$

$$\Rightarrow 1-a \in I \text{ and } a \in J$$

$$\Rightarrow 1 = (1-a) + a \in I + J.$$

Leads to the following def?

Defn: Let  $I, J \subset R$  be ideals. We say  $(I, J)$  is co-maximal if  $I + J = R$ .

Co-maximal, comaximal ideals

Thus, if  $\varphi: R \rightarrow R/I \times R/J$  is onto, then  $(I, J)$  is co-max.

[Assuming they are proper]

Q: Is the converse true? That is, if  $(I, J)$  is co-max, then is

$$\varphi: R \rightarrow R/I \times R/J \text{ surjective?}$$

A: Yes! Note that  $\exists i \in I, j \in J$  s.t.  $i + j = 1$ . ( $\because I + J = R$ )

Now, let  $(\bar{a}, \bar{b}) \in R/I \times R/J$  be arbitrary.  
fix some pre-im.  $a \in R, b \in R$ .

$$\text{Consider } r = bi + aj \in R.$$

$$\text{Then, } \varphi(r) = (bi + aj + I, bi + aj + J)$$

$$= (aj + I, bi + J) = (a - ai + I, b - bj + J)$$

$$= (a + I, b + J)$$

$$= (\bar{a}, \bar{b}).$$

□

Q. Is  $\varphi$  one-one? Ans. Note that  $\text{Ker } \varphi = I \cap J$ .  
 Thus,  $1-1 \Leftrightarrow I \cap J = 0$ .

Thus, we see that for proper ideals  $I, J$  in  $R$

$$R/I \cap J \xrightarrow{\tilde{\varphi}} R/I \times R/J, \text{ which is an isomorphism}$$

$\uparrow \pi \quad \uparrow \varphi$

if the pair  $(I, J)$  is comax.

① Observation : If  $(I, J)$  is comaximal, then  $IJ = I \cap J$ .

Proof. (1) Always.

(2) Let  $a \in I \cap J$ .  $i+j=1$

$$a = \underset{I}{\overset{i}{\underset{\uparrow}{a_i}}} + \underset{J}{\overset{j}{\underset{\uparrow}{a_j}}}$$

$$IJ = JI \quad IS$$

□

② Examples : Let  $m \in \text{Max}(R)$  and  $I \neq m$  be a proper ideal.

Then,  $(I, m)$  is comaximal.

However, this will not work if every proper ideal is contained in  $m$ .



This means that  $\text{Max}(R) = \{m\}$ .

Such a ring is called local.  
 Notation :  $(R, m)$ .

③ A local ring does not contain a pair of comaximal ideals.

If  $I, J$  are prop. ideals, then  $I, J \subseteq m$  & thus,  $I+J \subseteq m \neq R$ .

Conversely, a non-local ring always contains a comaximal pair.

Choose two distinct maximal ideals!

$$m_1, m_2 \subsetneq m_1 + m_2. \therefore m_1 + m_2 = R.$$

Q. Let  $m, n \in \mathbb{Z}$ . When is  $m\mathbb{Z}$  maximal, prime or radical?  
 When is  $(m\mathbb{Z}, n\mathbb{Z})$  comaximal?

Do the same for  $\mathbb{K}[x]$ .

Q. Let  $I_1, \dots, I_n \subset R$ . What can we say about

$$\varphi: R \rightarrow R/I_1 \times \dots \times R/I_n ?$$

↳ often called the "diagonal map"

(Note that  $\ker \varphi = \bigcap_{i=1}^n I_i$ )

Notation:  $\bar{e}_j = (\bar{0}, \dots, \bar{0}, \underset{\uparrow j\text{th pos.}}{\bar{1}}, \bar{0}, \dots, \bar{0})$

If  $\varphi$  is onto,  $\exists a_j \in R$  s.t.  $\varphi(a_j) = \bar{e}_j$ .

$\Rightarrow 1 - a_j \in I_j \quad \& \quad a_j \in I_k \text{ for } k \neq j$ .

$\Rightarrow I_j \quad \& \quad \bigcap_{\substack{k=1 \\ k \neq j}} I_k$  are comaximal

Q. Suppose  $I_1$  and  $\bigcap_{j=2}^n I_j$  are comaximal.

Is  $(I_1, I_j)$  comaximal for all  $j \neq 1$ ?

## Lecture 11 (08-09)

08 September 2020 10:29 AM

Recall the following q.

Q. Suppose  $I_1$  and  $\bigcap_{j=2}^n I_j$  are co-maximal. Is  $(I_1, I_j)$  comax  $\forall j \geq 2$ ?

Ans. Yes. let  $2 \leq j \leq n$ . Then

$$R = I_1 + \bigcap_{j=2}^n I_j \subseteq I_1 + I_j \subseteq R.$$

$\Rightarrow I_1 + I_j = R$  showing  $(I_1, I_j)$  is comax.

(We had assumed  $I_j \subsetneq R$ )

\* In fact, if  $(I, J)$  is co-max, then so is  $(I, K)$  for all proper ideals  $K > J$ .

Proof. Same as above.

Thus, if  $\varphi: R \rightarrow R/I_1 \times \dots \times R/I_n$  is onto,

then for all  $j \neq k$ ,  $(I_j, I_k)$  is comax.

In other words:  $I_1, \dots, I_n$  are pairwise comax.

Q. Is converse true? That is, if  $I_1, \dots, I_n \subsetneq R$  are comax, is  $\varphi$  onto?

A. Recall we had seen in the last class that if  $(I, J)$  is comax, then the induced map  $\tilde{\varphi}: R/(I \cap J) \rightarrow R/J \times R/J$  is an iso.

We can now prove the result by induction.

Suppose the result is true for  $m < n$ . (Induc. hyp.)  
Base:  $n=2$  done.

Let  $I_1, \dots, I_n$  be pairwise comaximal.

Claim:  $I_1$  and  $\bigcap_{j=2}^n I_j$  are comaximal.

Assume claim for now.

Then,  $\varphi: R \rightarrow R/I_1 \times R/\bigcap_{j=2}^n I_j$  is onto (by  $n=2$ )

by induction,  $R/\bigcap_{j=2}^n I_j \cong R/I_2 \times \dots \times R/I_n$ . (and the iso thm.)

Moreover, this iso was induced by  $\varphi$ .

$$(a + \bigcap_{j=2}^n I_j) \mapsto (a + I_2, \dots, a + I_n).$$

Using this, we get that

$$R \rightarrow R/I_1 \times R/\bigcap_{j=2}^n I_j \rightarrow R/I_1 \times \dots \times R/I_n$$

is onto.

Now, we prove the claim.

Claim:  $I_1$  and  $\bigcap_{j=2}^n I_j$  are comaximal.

Proof:

$a_2^{e_{I_2}}, \dots, a_n^{e_{I_n}}$	$b_2^{e_{I_2}}, \dots, b_n^{e_{I_n}}$
$a_2 + b_2 = 1, a_3 + b_3 = 1, \dots, a_n + b_n = 1$	
$1 = (a_2 + b_2) \dots (a_n + b_n)$	
$= a_2 a \dots a_n + \underbrace{b_2 (\quad)}_{\bigcap_{j=2}^n I_j} + b_3 (\quad) + \dots + b_n (\quad)$	$\underbrace{\quad}_{I_1}$

$$\Rightarrow (I_1, \bigcap_{j=2}^n I_j) \text{ is comaximal. } \square$$

Thus, we have proved the Chinese Remainder Theorem.

Thm. (Chinese Remainder Theorem)

Let  $R$  be a non-zero commutative ring.

Let  $I_1, \dots, I_n \subset R$  be pairwise comaximal ideals.

Then,

$$\cancel{R} \cong R/I_1 \times \dots \times R/I_n.$$

Then,

$$\frac{R}{I_1 \cap \dots \cap I_n} \simeq \frac{R}{I_1} \times \dots \times \frac{R}{I_n}.$$

Note that we also proved:

- ① The natural map  $R \rightarrow \prod R/I_j$  is onto.
- ②  $I_1 \cap \dots \cap I_n = I_1 \dots I_n$ .

Ex- Write a text book proof of CRT.  $\rightarrow$  Assignment, due before class on Thursday.  
The statement

## Prime Ideals.

How do you find prime ideals?

How do you find prime but not maximal?

1 Q: Is  $N(R) = \bigcap_{\mathfrak{p} \in \text{Spec}(R)} \mathfrak{p}$  ? (  $R \neq 0$  comm. )

We had observed ( $\subseteq$ ).

What about ( $\supseteq$ )?

Let  $A = \bigcap_{\mathfrak{p}} \mathfrak{p}$  and  $B = N(R)$ .

Claim.  $A \subset B$ .

Proof We show  $B^c \subset A^c$ .

Let  $a \in R \setminus N(R)$ . We show that  $a \notin \mathfrak{p}$  for some  $\mathfrak{p} \in \text{Spec}(R)$ .

Idea in general: Take some collection of proper ideals  
Show it has a max.  
Show it is prime.

Consider the collection

$$\Lambda = \{ I \subsetneq R \mid I \text{ is an ideal, } a \notin I \}.$$

$\Lambda \neq \emptyset$  since  $N(R) \in \Lambda$ .  $\Lambda$  is a poset by  $\subseteq$ .

Given a chain  $\{I_i\}_{i \in I}$ , take  $I = \bigcup I_i$ .

$I \in A$ , clearly. By Zorn,  $\exists$  maximal  $\mathbb{P} \in A$ .

Claim:  $\mathbb{P}$  is prime.

Let  $b, c \in R$  s.t.  $b \notin \mathbb{P}$  and  $c \notin \mathbb{P}$ . (Want to show  $bc \notin \mathbb{P}$ .)

By maximality of  $\mathbb{P}$  in  $A$ , we get

$$\mathbb{P} + \langle b \rangle \neq A \neq \mathbb{P} + \langle c \rangle.$$

Thus,  $a \in \mathbb{P} + \langle b \rangle$  and  $a \in \mathbb{P} + \langle c \rangle$ .

$$\Rightarrow a = p_1 + r_1 b = p_2 + r_2 c, \quad p_1, p_2 \in \mathbb{P}, \quad r_1, r_2 \in R$$

$$a^2 = p + r_1 r_2 bc$$

$$bc \in \mathbb{P} \Leftrightarrow a^2 \in \mathbb{P}$$

Now what?

Well, we didn't use the full power  
of  $a \notin N(R)$ .

To be continued...

## Changing the prev. proof.

Consider the collection

$$\mathcal{I} = \{ I \subsetneq R \mid I \text{ is an ideal, } a^n \notin I \text{ for any } n \in \mathbb{N} \}$$

$\mathcal{I} \neq \emptyset$  since  $N(R), \langle 0 \rangle \in \mathcal{I}$ .  $\mathcal{I}$  is a poset by  $\subseteq$ .

Given a chain  $\{I_i\}_{i \in \mathbb{I}}$ , take  $I = \bigcup I_i$ .

$I \in \mathcal{I}$ , clearly. By Zorn,  $\exists$  maximal  $\mathbb{P} \in \mathcal{I}$ .  
still goes through

Claim:  $\mathbb{P}$  is prime.

Let  $b, c \in R$  s.t.  $b \notin \mathbb{P}$  and  $c \notin \mathbb{P}$ . (want to show  $bc \notin \mathbb{P}$ )

By maximality of  $\mathbb{P}$  in  $\mathcal{I}$ , we get  
 $\mathbb{P} + \langle b \rangle \notin \mathcal{I} \Rightarrow \mathbb{P} + \langle b \rangle$ .

Thus,  $a^n \in \mathbb{P} + \langle b \rangle$  and  $a^m \in \mathbb{P} + \langle c \rangle$ . for some  $n, m \in \mathbb{N}$

$$\Rightarrow a^n = p_1 + r_1 b ; a^m = p_2 + r_2 c , \quad p_1, p_2 \in \mathbb{P}, \quad r_1, r_2 \in R$$

$$a^{n+m} = p + r_1 r_2 bc$$

$bc \in \mathbb{P} \Rightarrow a^{n+m} \in \mathbb{P}$   
not possible by def" of  $\mathbb{P}$

Thus,  $bc \notin \mathbb{P}$ .

Hence,  $\mathbb{P}$  is a prime and  $a \notin \mathbb{P}$ .  $\square$

Thus, we have proven.

Thm. Let  $R$  be a non-zero commutative ring. Then,

$$N(R) = \bigcap_{\mathfrak{p} \in \text{Spec}(R)} \mathfrak{p}.$$

Cor. Let  $I \subsetneq R$  be a proper ideal. Then,

$$\sqrt{I} = \bigcap_{\substack{\mathfrak{p} \in \text{Spec}(R) \\ I \subset \mathfrak{p}}} \mathfrak{p}.$$

- Proof:
- ① Either go mod  $I$ .
  - ② Re-write earlier theorem with new  $I$ .

Notation: For an ideal  $I \subset R$ ,

$$V(I) = \{ \mathfrak{p} \in \text{Spec}(R) : I \subset \mathfrak{p} \}.$$

## Prime Avoidance

Set Theoretic Q. Let  $A, A_1, \dots, A_n$  be sets. If  $A \subset \bigcup_{i=1}^n A_i$ , is it necessary that  $A \subset A_i$  for some  $i$ ? Nope!

Take  $A = \{0, 1\}$ ,  $A_1 = \{0\}$ ,  $A_2 = \{1\}$ .

Is the above true if each  $A$  and  $A_i$  is an ideal in some (comm.) ring  $R$ ?

Still nope!

Ex. Find a counterexample.

However, the statement is true for prime ideals.

Thm.

(Prime Avoidance)

Let  $I \subset \mathbb{P}_1 \cup \dots \cup \mathbb{P}_n$  for  $\mathbb{P}_i \in \text{Spec}(R)$ .

Then,  $I \subset \mathbb{P}_j$  for some  $j$ .

Proof. Note that  $n=2$  is true in general. (Even if  $\mathbb{P}_1, \mathbb{P}_2 \notin \text{Spec}(R)$ .)

We prove  $n \geq 3$  by induction.

$n=3$ : Suppose  $I \not\subset \mathbb{P}_j$ ;  $j = 1, 2, 3$ .

We show  $I \not\subset \mathbb{P}_1 \cup \mathbb{P}_2 \cup \mathbb{P}_3$ .

$\rightarrow \exists a \in I$  but  $a \notin \mathbb{P}_j$ .

This actually WON'T work. (Or at least, we don't see why it should.)

The point is that we did not use the full info.

That is,  $I \not\subset \mathbb{P}_1 \cup \mathbb{P}_2$ , etc.  
(induction)

Counter example for non-prime ideals.

Take the ring  $R = \frac{\mathbb{F}_2[x, y]}{\langle x^2, xy, y^2 \rangle}$  and the ideal  $I = \langle \bar{x}, \bar{y} \rangle \subset R$ .

$\parallel$

$$\{ 0, 1, \bar{x}, \bar{y}, \bar{x}+1, \bar{y}+1, \bar{x}+\bar{y}, \bar{x}+\bar{y}+1 \}$$

$I = \{ 0, \bar{x}, \bar{y}, \bar{x}+\bar{y} \}$  ← this is not principal.  
(Check manually)

but  $I \subset \langle \bar{x} \rangle \cup \langle \bar{y} \rangle \cup \langle \bar{x}+\bar{y} \rangle$  and not contained in any individual one. □

But  $I \subset \langle \bar{x} \rangle \cup \langle \bar{y} \rangle \cup \langle \bar{x} + \bar{y} \rangle$  and not contained in any individual one.  $\square$

## Lecture 13 (14-09)

14 September 2020 09:35 AM

Thm.

(Prime avoidance)

Let  $I \subset R$  be an ideal and  $\mathfrak{p}_1, \dots, \mathfrak{p}_n \in \text{Spec}(R)$ .

If  $I \subset \bigcup \mathfrak{p}_i$ , then  $I \subset \mathfrak{p}_i$  for some  $1 \leq i \leq n$ .

Proof.

$n=1$ . Nothing.

$n=2$ . Suppose not. Take  $a_1 \in I \setminus \mathfrak{p}_1$  &  $a_2 \in I \setminus \mathfrak{p}_2$ .

Then,  $a_1 + a_2 \in I$ .

But  $a_1 + a_2 \notin \mathfrak{p}_1, \mathfrak{p}_2 \rightarrow$

$n \geq 3$ .

By induction. Suppose not.

we know  $I \not\subset \bigcup_{\substack{i=1 \\ i \neq k}}^n \mathfrak{p}_i$  for each  $k$ , by induc.

Choose  $a_k \in I \setminus \bigcup_{\substack{i=1 \\ i \neq k}}^n \mathfrak{p}_i$ .

Here is where we used primality

Then,  $b_k = \prod_{\substack{j=1 \\ j \neq k}}^n a_j \notin \mathfrak{p}_k$  since  $\mathfrak{p}_k$  is prime.  
 $\in \mathfrak{p}_j$  for all  $j \neq k$ .

Thus,  $b_k \in \bigcup_{\substack{j=1 \\ j \neq k}}^n \mathfrak{p}_j \setminus \mathfrak{p}_k$ , also  $b_k \in I \setminus \mathfrak{p}_k$ .

Let  $b \in I$  be defined as

$$b = b_1 + \dots + b_n.$$

Then,  $b \in I \setminus \bigcup_{j=1}^n \mathfrak{p}_j \rightarrow$

Theorem. (More general prime avoidance) In the above hypothesis, we can assume two are not necessarily prime.

Proof. We phrase the theorem as follows:

Let  $n \geq 2$ .

let  $I_1, \dots, I_n \subset R$  be ideals s.t.  $I_n \in \text{Spec}(R)$  for  $n \geq 3$ .

Let  $I \subset R$  be an ideal such that

$$I \subset \bigcup_{i=1}^n I_i.$$

Then,  $I \subset I_i$  for some  $1 \leq i \leq n$ .

Proof. For  $n=2$ , we know by earlier.

Assume true for  $n-1$  for some  $n \geq 3$ .

Now, let  $I_1, \dots, I_n$  be as in the theorem.

Assumption: Suppose  $I \not\subset I_i$  for any  $1 \leq i \leq n$  but  $I \subset \bigcup_{i=1}^n I_i$ .

By induction,  $I \not\subset \bigcup_{\substack{i=1 \\ i \neq k}}^n I_i$  for any  $1 \leq k \leq n$ .

↗ each such has at most  
2 ideals which are possibly  
not prime

Thus, we may choose  $a_k \in I \setminus \bigcup_{\substack{i=1 \\ i \neq k}}^n I_i$  for each  $k=1, \dots, n$ .

Then,  $a_k \in I_k$  for each  $1 \leq k \leq n$ .

Define  $a = \underbrace{a_1, a_2, \dots, a_{n-1}}_{\in I_1, \dots, I_{n-1}} + \underbrace{a_n}_{\in I_n \setminus (I_1 \cup \dots \cup I_{n-1})}$

This does not belong to  $I_n$  since  $I_n$  is prime,  
whereas each factor  $\notin I_n$

Thus,  $a \notin \bigcup_{i=1}^n I_i$ , contradiction!