

Lecture 1 (03-01-2022)

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Did chapter 1 of Number Fields. Characterised Pythagorean triples and talked about regular primes.

Lecture 2 (06-01-2022)

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Recall: Algebraic integers.

- $K \subseteq \mathbb{C}$ is a **number field** if $\dim_{\mathbb{Q}} K < \infty$.

In this case, $K = \mathbb{Q}[\alpha]$ for some $\alpha \in K$. α here will be algebraic over \mathbb{Q} .

$f = \min_{\mathbb{Q}}(\alpha) \in \mathbb{Q}[x]$ denotes the monic irreducible polynomial satisfied by α over \mathbb{Q} .

If $f \in \mathbb{Z}[x]$, then α is called an **algebraic integer**.

Equivalent definition: α satisfies some monic polynomial in $\mathbb{Z}[x]$
(Need to verify that equivalent!)

- Theorem. Let $\alpha \in \mathbb{C}$. TFAE:

- ① α is an algebraic integer.
- ② $\mathbb{Z}[x]$ is f.g. as a group.
- ③ \exists a subring $A \subset \mathbb{C}$ s.t. $\alpha \in A$ and A is f.g. as a group.
- ④ \exists a f.g. subgroup $A \subset \mathbb{C}$ with $A \neq 0$ s.t. $\alpha A \subseteq A$.

- Corollary. $A := \{\alpha \in \mathbb{C} : \alpha \text{ is an alg. int.}\}$ is a subring of \mathbb{C}

- let $K \subseteq \mathbb{C}$ be a number field. Then,

$\mathcal{O}_K := A \cap K$ is called the **number ring** of K .

- $\mathcal{O}_{\mathbb{Q}} = \mathbb{Z}$.

Let $m \in \mathbb{Z}$ be square-free. Then,

$$\mathcal{O}_{\sqrt{m}} = \begin{cases} \mathbb{Z}[\sqrt{m}] & \text{if } m \equiv 2, 3 \pmod{4} \\ \mathbb{Z}[\frac{1+\sqrt{m}}{2}] & \text{if } m \equiv 1 \pmod{4} \end{cases}$$

$$\Theta_{\mathbb{Q}(\sqrt{m})} = \begin{cases} \mathbb{Z}[\sqrt{m}] & \text{if } m \equiv 2, 3 \pmod{4} \\ \mathbb{Z}\left[\frac{1+\sqrt{m}}{2}\right] & \text{if } m \equiv 1 \pmod{4} \end{cases}$$

↳ Exercise, can show with machinery so far.

- $\omega = e^{\frac{2\pi i}{m}}$. Then, $\Theta_{\mathbb{Q}(\omega)} = \mathbb{Z}[\omega]$. \rightarrow will show later!

- Theorem: $\Theta [\mathbb{Q}(\omega) : \mathbb{Q}] = \varphi(n)$.

② $\mathbb{Q}(\omega)/\mathbb{Q}$ is Galois.

③ $\text{Gal}(\mathbb{Q}(\omega)/\mathbb{Q}) \cong (\mathbb{Z}/m\mathbb{Z})^*$.

④ Recall: $m = p_1^{r_1} \cdots p_t^{r_t}$, then

$$\mathbb{Z}/m\mathbb{Z} \cong \mathbb{Z}/p_1^{r_1} \times \cdots \times \mathbb{Z}/p_t^{r_t},$$

$$(\mathbb{Z}/m\mathbb{Z})^* \cong (\mathbb{Z}/p_1^{r_1})^* \times \cdots \times (\mathbb{Z}/p_t^{r_t})^*.$$

• p : prime > 2 , then $(\mathbb{Z}/p^r)^*$ is cyclic.

• $(\mathbb{Z}/2)^* = \langle 1 \rangle$,

$(\mathbb{Z}/2^2)^* \cong \mathbb{C}_2$,

$(\mathbb{Z}/2^n)^* \cong \mathbb{C}_2 \times \mathbb{C}_{2^{n-2}}$ for $n \geq 3$.

• $(\mathbb{Z}/p)^* \cong \mathbb{C}_{p-1} \quad \forall p \text{ prime.}$

⑤ Let $p > 2$ be a prime. ($\omega := e^{\frac{2\pi i}{p}}$)

Then, $G = \text{Gal}(\mathbb{Q}(\omega)/\mathbb{Q})$ has order $p-1$ and is cyclic.

$\therefore \exists ! H \leq G \text{ s.t. } |H| = \frac{p-1}{2}$.

$(\mathbb{Q}(\omega))^H$ is the unique quadratic

$$H \left(\begin{array}{c} \mathbb{Q}(\omega) \\ | \end{array} \right)$$

$\mathbb{Q}[\omega]^H$ is the unique quadratic ext^H of \mathbb{Q} contained in $\mathbb{Q}[\omega]$.

$$\mathbb{Q}[\omega]^H \quad | \quad \deg = 2$$

As we shall see,

Q

$$\mathbb{Q}[\omega]^H = \mathbb{Q}[\sqrt{\pm p}], \quad + \text{ if } p \equiv 1 \pmod{4}, \\ - \text{ if } p \equiv 3 \pmod{4}.$$

6 Roots of unity in $\mathbb{Q}[\omega]$.

Theorem. Let $m \geq 3$. $\omega := e^{2\pi i/m}$. Let $\eta \in \mathbb{Q}[\omega]$ be a root of unity.

Then, $\eta^m = 1$ if m even,
 $\eta^{2m} = 1$ if m odd.

Proof.

Suffices to prove when m even.
 $(m \text{ odd} \Rightarrow (-\omega) \text{ primitive } 2m^{\text{th}} \text{ root of 1})$

Let n be s.t. $\eta^n = 1$.

Suffices to show $n \mid m$.

By elementary group theory, $\mathbb{Q}[\omega]^x$ contains an ℓ^{th} primitive root of 1, with $\ell = \text{lcm}(m, n)$.

Thus, $\mathbb{Q}[\omega] \subset \mathbb{Q}[e^{2\pi i/\ell}] \subset \mathbb{Q}[\omega]$.

$$\Rightarrow \varphi(m) = \varphi(\ell). \quad \therefore m \mid \ell.$$

$$\Rightarrow m = \ell.$$

QED

Corollary. The fields $\{\mathbb{Q}[e^{2\pi i/m}]\}_{m \geq 2}$ are pairwise non-isomorphic.

Lecture 3 (10-01-2022)

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Defn.

Let $K \subseteq C$ be a degree n "ext" of \mathbb{Q} .

Let $\sigma_1, \dots, \sigma_n$ be the n embeddings of K/\mathbb{Q} in C .

Recall the functions trace and norm:

$$\text{Tr}_{K/\mathbb{Q}} : K \rightarrow \mathbb{Q} \quad \text{and}$$

$$N_{K/\mathbb{Q}} : K \rightarrow \mathbb{Q}$$

defined as

$$\text{Tr}_{K/\mathbb{Q}}(\beta) = \sum_{i=1}^n \sigma_i(\beta),$$

$$N_{K/\mathbb{Q}}(\beta) = \prod_{i=1}^n \sigma_i(\beta).$$

A priori, not clear why $\text{Tr}_{K/\mathbb{Q}}$ and $N_{K/\mathbb{Q}}$ are \mathbb{Q} -valued.

This is a fact from Galois theory.

- We may drop the subscript if no confusion.

From definition, it is clear that $\text{Tr}_{K/\mathbb{Q}}$ is additive and $N_{K/\mathbb{Q}}$ is multiplicative. Thus, both are homomorphisms interpreted with correct domain and operation.

- Properties:

$$\text{Tr}(1) = [K : \mathbb{Q}], \quad N(1) = 1.$$

More generally:

$$\text{Tr}(r) = nr, \quad N(r) = r^n \quad \text{for } r \in \mathbb{Q}.$$

If $r \in \mathbb{Q}$, $\beta \in K$, then $\text{Tr}(r\beta) = r \cdot \text{Tr}(\beta)$,
 $N(r\beta) = r^n \cdot N(\beta)$.

In particular, Tr is \mathbb{Q} -linear.

- Write $K = \mathbb{Q}[\alpha]$. Let $f = \min_{\mathbb{Q}} \alpha \in \mathbb{Q}[x]$.

Then,

$$f = (x - \sigma_1 \alpha)(x - \sigma_2 \alpha) \cdots (x - \sigma_n \alpha).$$

II) $\text{Tr}_{K/\mathbb{Q}}(\alpha) = -\text{coeff. of } x^{n-1} \in \mathbb{Q}.$

$$\text{N}_{K/\mathbb{Q}}(\alpha) = (-1)^n f(0) \in \mathbb{Q}$$

Now, consider a general element $\beta \in K$.

Let m and l be the degrees as shown:
 $n = ml$.

$$\begin{array}{c} K \\ |^m \\ \mathbb{Q}[\beta] \\ |^l \\ \mathbb{Q} \end{array}$$

Let $\theta_1, \dots, \theta_l$ be embeddings of $\mathbb{Q}[\beta]/\mathbb{Q}$.

Extend each θ_i to an embedding K/\mathbb{Q} .

This will give us all the $\{\sigma_i\}_{i=1}^n$.

Thus, $\text{Tr}_{K/\mathbb{Q}}(\beta) = m \cdot \text{Tr}_{\mathbb{Q}[\beta]/\mathbb{Q}}(\beta) \in \mathbb{Q}$ and

$$\text{N}_{K/\mathbb{Q}}(\beta) = (\text{N}_{\mathbb{Q}[\beta]/\mathbb{Q}}(\beta))^m \in \mathbb{Q}.$$

now β plays the role of α .

Corollary. If $\beta \in \mathcal{O}_K$, then $\text{Tr}_{K/\mathbb{Q}}(\beta), \text{N}_{K/\mathbb{Q}}(\beta) \in \mathbb{Z}$.

Prop. Let K be a number field.

Let $\alpha \in \mathcal{O}_K$.

$$\alpha \text{ is a unit in } \mathcal{O}_K \iff N(\alpha) = \pm 1.$$

Proof. $(\Rightarrow) \alpha \beta = 1 \Rightarrow N(\alpha) N(\beta) = 1 \Rightarrow N(\alpha) = \pm 1$ since $N(\alpha), N(\beta) \in \mathbb{Z}$.

(\Leftarrow) Clearly, $\alpha \neq 0$.

Thus, $\frac{1}{\alpha} \in K$

Since $N(\alpha) = \pm 1$, we have $\frac{1}{\alpha} = \pm \alpha_2 \alpha_3 \cdots \alpha_n$,

where $\alpha_2, \dots, \alpha_n$ are the other conjugates of α .

They satisfy same polynomial.

$$\therefore \alpha_2, \dots, \alpha_n \in A.$$

$$\therefore \frac{1}{\alpha} = \pm \alpha_2 \cdots \alpha_n \in A \cap K.$$

?

Acknowledgment:

$$\min_Q \alpha = x^n + a_{n-1}x^{n-1} + \dots + a_1x + 1.$$

$$\min_Q \gamma_\alpha = x^n + (a_n x^{n-1} + \dots + a_1 x + 1).$$

Thus, we have $U(\mathcal{O}_K) = \{\alpha \in \mathcal{O}_K : N(\alpha) = \pm 1\}$.

Check: $U(\mathcal{O}_{\mathbb{Q}(\zeta_m)})$ is finite when $m < 0$.

Moreover, $U(\mathcal{O}_{\mathbb{Q}(\zeta_m)}) = \{\pm 1\}$ if $m < -3$.

Remark: If $N(\alpha)$ is prime ($\alpha \in \mathcal{O}_K$), then α is irreducible in \mathcal{O}_K .

Exercise: Use norm and trace to show $\sqrt{3} \notin \mathbb{Q}[\sqrt[4]{2}]$.

Transitivity: We can define $\text{Tr}_{L/K} : L \rightarrow K$ for number fields $K \subseteq L$.

Suppose we have extensions $K \subseteq L \subseteq M$. Then, we have

$$\text{Tr}_{M/K} = \text{Tr}_{M/L} \circ \text{Tr}_{L/K} \quad \text{and} \quad N_{M/K} = N_{M/L} \circ N_{L/K}.$$

Def'n.: $K/\mathbb{Q} \rightarrow \text{deg } n$.

$\sigma_1, \dots, \sigma_n \rightarrow$ embeddings of K/\mathbb{Q} in \mathbb{C} .

Let $\alpha_1, \dots, \alpha_n \in K$ be arbitrary.

Define $A = (a_{ij})_{nm}$ by $a_{ij} = \sigma_i(\alpha_j)$.

We define the **discriminant** of $\alpha_1, \dots, \alpha_n$ by

$$\text{disc}_{K/\mathbb{Q}}(\alpha_1, \dots, \alpha_n) = \det(A)^2 = \det([\sigma_i(\alpha_j)])^2.$$

Remark: The above is well-defined since we are squaring (and thus, order does not matter).

Theorem: $\text{disc}(\alpha_1, \dots, \alpha_n) = \det(\text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j)) \in \mathbb{Q}$.

Proof.: $(\sigma_i \alpha_j)^T (\sigma_i \alpha_j) = \begin{pmatrix} \sigma_1 \alpha_1 & \dots & \sigma_n \alpha_1 \\ \vdots & \ddots & \vdots \\ \sigma_1 \alpha_n & \dots & \sigma_n \alpha_n \end{pmatrix} \begin{pmatrix} \sigma_1 \alpha_1 & \dots & \sigma_1 \alpha_n \\ \vdots & \ddots & \vdots \\ \sigma_n \alpha_1 & \dots & \sigma_n \alpha_n \end{pmatrix}$

$$\begin{aligned}
 & \left(\begin{array}{cccc|c} \ddots & \ddots & \ddots & \ddots & \sigma_{1dn} \cdots \sigma_{ndn} \\ & \ddots & \ddots & \ddots & \sigma_{1dn} \cdots \sigma_{ndn} \end{array} \right) \\
 &= \begin{pmatrix} \sum (\sigma_i \alpha_i)^2 & \cdots & \sum (\sigma_i \alpha_i)(\sigma_j \alpha_j) \\ \vdots & \ddots & \vdots \end{pmatrix} \\
 &= \begin{pmatrix} \text{Tr}(\alpha_1^2) & \cdots & \text{Tr}(\alpha_1 \alpha_n) \\ \vdots & \ddots & \vdots \end{pmatrix}
 \end{aligned}$$

Take det.

b)

Theorem: $K/\mathbb{Q} \rightarrow \deg n$.

Let $\alpha_1, \dots, \alpha_n \in K$.

$\alpha_1, \dots, \alpha_n$ are lin. dep over $\mathbb{Q} \Leftrightarrow \text{disc}(\alpha_1, \dots, \alpha_n) = 0$.

Proof. (\Rightarrow) clear. The rows in def' of the matrix satisfy some dependency.

(\Leftarrow) Assume $\alpha_1, \dots, \alpha_n$ are lin. indep over \mathbb{Q} . Thus, they form a basis for K/\mathbb{Q} . Moreover, given any $\alpha \in K^\times$, $\{\alpha \alpha_1, \dots, \alpha \alpha_n\}$ is a \mathbb{Q} -basis for K .

Suppose $\text{disc} = 0$. Then, $\det \begin{pmatrix} \text{Tr}(\alpha_1 \alpha_1) & \cdots & \text{Tr}(\alpha_1 \alpha_n) \\ \vdots & \ddots & \vdots \\ \text{Tr}(\alpha_n \alpha_1) & \cdots & \text{Tr}(\alpha_n \alpha_n) \end{pmatrix} = 0$.

$\therefore \exists r_1, \dots, r_n \in \mathbb{Q}$ not all 0 s.t

$$r_1 \begin{pmatrix} \text{Tr}(\alpha_1 \alpha_1) \\ \vdots \\ \text{Tr}(\alpha_n \alpha_1) \end{pmatrix} + \cdots + r_n \begin{pmatrix} \text{Tr}(\alpha_1 \alpha_n) \\ \vdots \\ \text{Tr}(\alpha_n \alpha_n) \end{pmatrix} = 0.$$

Let $\alpha := r_1 \alpha_1 + \cdots + r_n \alpha_n \neq 0$.

we have

$$\text{Tr}(\alpha_1 \alpha) = \text{Tr}(\alpha_2 \alpha) = \dots = \text{Tr}(\alpha_n \alpha) = 0.$$

$\therefore \text{Tr} = 0$ on a basis of K over \mathbb{Q} .

$\because \text{Tr}$ is \mathbb{Q} -linear, this gives $\text{Tr} = 0$.
but $\text{Tr}(1) = n \neq 0 \rightarrow \square$

Lecture 4 (13-01-2022)

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Remark: The last theorem also shows that if $\alpha_1, \dots, \alpha_n \in \mathbb{D}_K$, then $\text{disc}(\alpha_1, \dots, \alpha_n) \in \mathbb{Z}$.

Theorem: Let $K = \mathbb{Q}[\alpha]$ be a deg n ext^h of \mathbb{Q} .

Let $f = \min_{\mathbb{Q}} \alpha \in \mathbb{Q}[\alpha]$.

Let $\alpha_1, \dots, \alpha_n$ be the n conjugates of α in \mathbb{C} .
Then,

$$\begin{aligned} \text{disc}(1, \alpha, \dots, \alpha^{n-1}) &= \prod_{r < s} (\alpha_r - \alpha_s)^2 \\ &= \pm N_{K/\mathbb{Q}}(f'(\alpha)). \end{aligned}$$

+ iff $n(n-1)/2 \in 2\mathbb{Z}$ iff $n \equiv 0, 1 \pmod{4}$.

Proof: Let $\sigma_1, \sigma_2, \dots, \sigma_n$ be the n -embeddings of K/\mathbb{Q} in \mathbb{C} .

$$\begin{aligned} \text{disc}(1, \alpha, \dots, \alpha^{n-1}) &= \det(\sigma_i(\alpha^{j-1}))^2 \\ &= \det(\sigma_i(\alpha^{j-1}))^2 \end{aligned}$$

$$\begin{aligned} &= \det \begin{pmatrix} 1 & \alpha_1 & \dots & \alpha_1^{n-1} \\ 1 & \alpha_2 & \dots & \alpha_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha_n & \dots & \alpha_n^{n-1} \end{pmatrix} \\ &= \prod_{i < j} (\alpha_i - \alpha_j)^2. \quad \text{--- (1)} \end{aligned}$$

Vandermonde

$$f(x) = \prod_{i=1}^n (x - \alpha_i)$$

$$\Rightarrow f'(x) = \sum_{i=1}^n (x - \alpha_1) \dots \widehat{(x - \alpha_i)} \dots (x - \alpha_n)$$

$$\Rightarrow f'(\alpha_i) = \prod_{j \neq i} (\alpha_i - \alpha_j). \quad \text{--- (2)}$$

$$\begin{aligned}
 N_{K/\mathbb{Q}}(f'(\alpha)) &= \prod_{i=1}^n \sigma_i(f'(\alpha)) \\
 &= \prod_{i=1}^n f'(\sigma_i(\alpha)) \\
 &= \prod_{i=1}^n f'(\alpha_i).
 \end{aligned}$$

$f' \in \mathbb{Q}[x]$

By (1) and (2), we are now done.

Corollary

$$K = \mathbb{Q}[\omega], \quad \omega = e^{2\pi i/p}, \quad p > 2 \text{ prime.}$$

$$\text{disc}(1, \omega, \dots, \omega^{p-1}) = \pm N(f'(\omega)) = \pm p^{p-2}.$$

Proof. $f = \frac{x^p - 1}{x - 1} = x^{p-1} + \dots + 1.$

$$\begin{aligned}
 (x-1)f &= x^{p-1} \Rightarrow f + (x-1)f = p x^{p-1} \\
 \Rightarrow f'(\omega) &= \frac{p\omega^{p-1}}{\omega-1} = \frac{p}{\omega(\omega-1)} \\
 \Rightarrow N(f'(\omega)) &= \frac{p^{p-1}}{1 \cdot p} = p^{p-2}.
 \end{aligned}$$

$$\therefore \text{disc}(1, \omega, \dots, \omega^{p-1}) = \pm p^{p-2}.$$

+ iff $p \equiv 1, 2 \pmod{4}$.
↑ (not possible)

Also note that $\mathbb{Q}[\omega]/\mathbb{Q}$ is a Galois extn. Thus, $\sigma_i \omega \in \mathbb{Q}[\omega]$

Vi. $\therefore \det(\sigma_i \omega^{j-1}) \in \mathbb{Q}[\omega].$

$$\begin{aligned}
 \Rightarrow \sqrt{\pm p^{p-2}} &\in \mathbb{Q}[\omega]
 \end{aligned}$$

$$\Rightarrow \boxed{\sqrt{\pm p} \in \mathbb{Q}[\omega]}$$

+ iff $p \equiv 1 \pmod{4}$.

Notation: Let $\alpha \in \mathbb{C}$ be algebraic of degree n .

Then, $1, \alpha, \dots, \alpha^{n-1}$ is a basis of $\mathbb{Q}(\alpha)/\mathbb{Q}$.

$$\text{disc}(\alpha) := \text{disc}_{\mathbb{Q}(\alpha)/\mathbb{Q}}(1, \alpha, \dots, \alpha^{n-1}).$$

\bullet $p > 2$ prime: $\text{disc}(e^{2\pi i/p}) = \pm p^{p-2}$.

Cor: Prime factors of $\text{disc}(\omega)$ involve p only we now show a similar result for non-primes.

Now, let $\omega^1 = e^{2\pi i/m}$, $m > 2$ is any integer.

Let $f(x) := \min_{\alpha} (\omega) \in \mathbb{Z}[x]$, $\deg(f) = \varphi(m)$.

$$x^m - 1 = f(x) \cdot g(x) \quad \text{in } \mathbb{Z}[x].$$

$$\begin{aligned} \text{disc}(\omega) &= \text{disc}(1, \omega, \dots, \omega^{\varphi(m)-1}) \\ &= \pm N_{\mathbb{Q}(\omega)/\mathbb{Q}}(f'(\omega)). \end{aligned}$$

$\frac{d}{dx}$ $\Rightarrow m \cdot x^{m-1} = f'g + fg'$

$x = \omega$ $\Rightarrow m \cdot \omega^{m-1} = f'(\omega)g(\omega)$

take N note ω is unit $\Rightarrow m^{\varphi(m)} \cdot (\pm 1) = N(f'(\omega)) \cdot N(g(\omega))$

$$\begin{aligned} &\mathbb{Z}[\omega] \\ &\because g(\omega) \in \mathbb{Q}[\omega] \\ &\therefore N(g(\omega)) \in \mathbb{Z} \end{aligned}$$

$$\begin{aligned} \therefore N(f'(\omega)) &\mid m^{\varphi(m)} \\ &\pm \text{disc}(\omega) \end{aligned}$$

$$\therefore \{\text{prime factors of } \text{disc}(\omega)\} \subseteq \{\text{prime factors of } m\}.$$

Recall:

Defn. Let G be a f.g. abelian group.

G is said to be free if $G \cong \mathbb{Z}^n$ for some $n \in \mathbb{N}_0$.

n is uniquely determined and is called the rank of G .

$$(G/\mathbb{Z}_G \cong (\mathbb{Z}/2\mathbb{Z})^n) \therefore n = \log_2 |G/\mathbb{Z}_G|$$

Facts: $G \cong \mathbb{Z}^n$

- Any subgroup of G is also free of rank $\leq n$.

$A \leq B \leq G$ with A of rank $n \Rightarrow B$ is free of rank n .

- K/\mathbb{Q} : deg n .

Pick a basis $\alpha_1, \dots, \alpha_n$ of K/\mathbb{Q} .

Upon multiplication with appropriate (nonzero) integers, we may assume $\alpha_i \in \mathcal{O}_K$.

$$\sum_{i=1}^n \mathbb{Z} \alpha_i \subseteq \mathcal{O}_K.$$

free of rank n ($\{\alpha_1, \dots, \alpha_n\}$ is a \mathbb{Z} -basis)

Theorem

$K/\mathbb{Q} \rightarrow \deg n$.

$\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$ basis of K/\mathbb{Q} .

$d := \text{disc}(\alpha_1, \dots, \alpha_n) \in \mathbb{Z} \setminus \{0\}$.

Every $\alpha \in \mathcal{O}_K$ can be written as

$$\frac{m_1 \alpha_1 + \dots + m_n \alpha_n}{d} \quad (3)$$

with $m_i \in \mathbb{Z}$ with $d \mid m_i^2$.

$$\text{Gr. 0} \quad \sum_{i=1}^n \mathbb{Z} \alpha_i \subseteq \mathcal{O}_K \subseteq \sum_{i=1}^n \mathbb{Z} \frac{\alpha_i}{d}. \quad (4)$$

In particular, \mathcal{O}_K is a free abelian group of rank n .

② If d is square-free, then $d \mid m_i^2 \Leftrightarrow d \mid m_i$.

By (3), $\mathcal{O}_K \subseteq \sum \mathbb{Z} \alpha_i$.

By (4), we get $\mathcal{O}_K = \sum \mathbb{Z} \alpha_i$.

Defⁿ: \mathcal{O}_K : free abelian group of rank n .

$\{\alpha_1, \dots, \alpha_n\}$ \rightarrow bases of $\mathcal{O}_K / \mathbb{Z}$.
 $\{\beta_1, \dots, \beta_n\}$ \rightarrow

Then, $\text{disc}(\alpha_1, \dots, \alpha_n) = \text{disc}(\beta_1, \dots, \beta_n)$

Thus, $\text{disc}(\mathcal{O}_K) := \text{disc}(\alpha_1, \dots, \alpha_n)$ is well-defined.

We can write $\begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix} = A \begin{pmatrix} \beta_1 \\ \vdots \\ \beta_n \end{pmatrix}$ for some $A \in GL_n(\mathbb{Z})$.

Then, $\begin{pmatrix} \sigma_1 \alpha_1 & \cdots & \sigma_n \alpha_1 \\ \vdots & \ddots & \vdots \\ \sigma_1 \alpha_n & \cdots & \sigma_n \alpha_n \end{pmatrix} = A \begin{pmatrix} \sigma_1 \beta_1 & \cdots & \sigma_n \beta_1 \\ \vdots & \ddots & \vdots \\ \sigma_1 \beta_n & \cdots & \sigma_n \beta_n \end{pmatrix}$.

Since $\det(A^T) = 1$, we are done. □

Lecture 5 (17-01-2022)

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Theorem

$$K/\mathbb{Q} \rightarrow \deg n.$$

$\alpha_1, \dots, \alpha_n \in \mathcal{O}_K$: basis of K/\mathbb{Q} .

$$d := \text{disc}(\alpha_1, \dots, \alpha_n) \in \mathbb{Z} \setminus \{0\}.$$

Every element of \mathcal{O}_K can be written as

$$\frac{m_1 \alpha_1 + \dots + m_n \alpha_n}{d}; \quad m_i \in \mathbb{Z}, \quad d \mid m_i^2.$$

Proof.

Let $\alpha \in \mathcal{O}_K$.

$$\alpha = x_1 \alpha_1 + \dots + x_n \alpha_n; \quad x_i \in \mathbb{Q}.$$

$\sigma_1, \dots, \sigma_n \rightarrow$ embeddings.

$$\sigma_i(\alpha) = x_1 \sigma_i(\alpha_1) + \dots + x_n \sigma_i(\alpha_n). \quad (i=1, \dots, n)$$

$$\begin{pmatrix} \sigma_1(\alpha) \\ \vdots \\ \sigma_n(\alpha) \end{pmatrix} = \begin{pmatrix} \sigma_1(\alpha_1) & \dots & \sigma_1(\alpha_n) \\ \vdots & \ddots & \vdots \\ \sigma_n(\alpha_1) & \dots & \sigma_n(\alpha_n) \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

\uparrow
 $GL_n(\mathbb{C})$

By Cramer's rule,

$$x_j = \frac{y_j}{\delta},$$

$$y_j = \begin{pmatrix} \sigma_1(\alpha_1) & \dots & \sigma_1(\alpha_n) & \dots \\ \vdots & \ddots & \vdots & \ddots \\ \sigma_n(\alpha_1) & \dots & \sigma_n(\alpha_n) & \dots \end{pmatrix}$$

$$\delta^2 = d, \quad y_j \rightarrow \text{alg. integer}.$$

$$\therefore d x_j = \delta y_j.$$

\uparrow
 \mathbb{Q}

\downarrow
 \mathbb{A}

$$\therefore \delta y_j \in \mathbb{Z}.$$

$$\text{Write } m_j := \delta y_j \in \mathbb{Z}.$$

$$\text{Then } d \mid m_j^2 - x_j \cdot d.$$

□

Then, $d \mid m_j^2$, as desired. □

Defn. Any basis $\alpha_1, \dots, \alpha_n$ of \mathcal{O}_K/\mathbb{Z} is called an integral basis of \mathcal{O}_K .

Had seen: any two integral bases have the same discriminant.

EXAMPLES: $K = \mathbb{Q}(\sqrt{m})$, $m \in \mathbb{Z}$ squarefree.

$$\begin{aligned} \bullet m = 2, 3 \text{ (4). } & \{1, \sqrt{m}\} \rightarrow \text{integral basis.} \\ \text{disc}(K) = & \begin{pmatrix} 1 & \sqrt{m} \\ 1 & -\sqrt{m} \end{pmatrix}^2 = (-2\sqrt{m})^2 = 4m. \end{aligned}$$

$$m = 1 \quad (4).$$

$$\text{disc}(K) = \begin{pmatrix} 1 & \frac{1+\sqrt{m}}{2} \\ 1 & \frac{1-\sqrt{m}}{2} \end{pmatrix}^2 = m.$$

Theorem

$$m = p^r, \quad p \text{ prime.} \quad \omega := e^{2\pi i/m}.$$

Then,

$$\mathcal{O}_{\mathbb{Q}(\omega)} = \mathbb{Z}[\omega].$$

$$(K := \mathbb{Q}[\omega])$$

Proof.

$$(i) \quad \mathbb{Z}[\omega] = \mathbb{Z}[1-\omega].$$

$$(ii) \quad \text{disc}(\omega) = \prod_{i < j} (\alpha_i - \alpha_j)^2$$

$(\alpha_i \rightarrow \text{conjugates of } \omega)$

$\{1, 1-\omega, (1-\omega)^2, \dots, (1-\omega)^{\varphi(m)-1}\}$ is a basis of K/\mathbb{Q} .

$$\text{disc}(1-\omega) = \prod_{i < j} \left((1-\alpha_i) - (1-\alpha_j) \right)^2$$

$$= \prod_{i < j} (\alpha_i - \alpha_j)^2$$

$$(iii) \quad \text{Assume } \mathbb{Z}[\omega] \subsetneq \mathcal{O}_{\mathbb{Q}(\omega)}$$

$$\text{let } n := \varphi(m).$$

by the theorem, every element of \mathcal{O}_K can be written as

$$\frac{m_1 \cdot 1 + m_2 \cdot (1-\omega) + \dots + m_{n-1} \cdot (1-\omega)^{n-1}}{d},$$

$$d := \text{disc}(\omega), \quad m_i \in \mathbb{Z}, \quad d \mid m_i^2.$$

By hypothesis, $\exists \alpha \in \mathcal{O} \setminus \mathbb{Z}[\omega]$.

(ii) We saw that $\text{disc}(\omega) \mid m^{q(m)}$
 $\therefore \text{disc}(\omega) = \pm p^s$.

Can choose $\alpha \in \mathcal{O}_K$ s.t.

$$\alpha = \frac{m_1}{p} + \frac{m_2}{p} (1-\omega) + \dots + \frac{m_{n-1}}{p} (1-\omega)^{n-1},$$

with $m_j \in \mathbb{Z}$ and $i \in [n-1]$ s.t.

- $p \nmid m_i$,
- $p \mid m_j$ for $j < i$.

Then, after subtracting an element of $\mathbb{Z}[\omega]$, we get

$$\beta \in \frac{m_i (1-\omega)^i + \dots + m_{n-1} (1-\omega)^{n-1}}{p} \in \mathcal{O}_K \setminus \mathbb{Z}[\omega].$$

$$\begin{aligned} (\text{v}) \quad N_{\mathcal{O}(\omega)/\mathbb{Q}}(1-\omega) &= \prod_{k=1}^p (1-\omega^k) && \leftarrow n \text{ factors} \\ &\quad p \nmid k \\ &= (1-\omega)^n \cdot f(\omega), && f(\omega) \in \mathbb{Z}[\omega]. \end{aligned}$$

OTOM, $N(1-\omega) = p$. (See end.)

$$\text{Thus, } (1-\omega)^n f(\omega) = p.$$

$\rightarrow 0$

for all $i < n$.

$$\text{Thus, } (1-\omega)^p f(\omega) = p. \\ \rightarrow \frac{p}{(1-\omega)^j} \in \mathbb{Z}[\omega] \quad \text{for all } j \leq n.$$

$$\text{Now, } p \cdot \frac{p}{(1-\omega)^{i+1}} = \frac{m_{i-1}}{1-\omega} + \underbrace{m_i + m_{i+1}(1-\omega) + \dots +}_{\in \mathbb{Z}[\omega]}.$$

\uparrow
 Θ_k

$$\therefore \frac{m_{i-1}}{1-\omega} \in \Theta_k \setminus \mathbb{Z}[\omega]. \quad p \nmid m_{i-1}.$$

$$N\left(\frac{m_{i-1}}{1-\omega}\right) = \frac{m_{i-1}^n}{p} \notin \mathbb{Z}. \quad \rightarrow \leftarrow$$

Now, we check that $N(1-\omega) = p$.

$$f(x) = \min_{\omega} (x).$$

$$x^{p^r} - 1 = f(x) \cdot (x^{p^{r-1}} - 1).$$

$$\begin{aligned} \therefore f(x) &= \frac{x^{p^r} - 1}{x^{p^{r-1}} - 1} \\ &= \underline{y^{p-1}} \end{aligned}$$

$y = x^{p^{r-1}}$

$$\begin{aligned} &= y^{p-1} + \dots + 1. \\ &= (x^r)^{p-1} + \dots + 1. \end{aligned}$$

$$f(1) = \prod_{\substack{i=1 \\ p \nmid i}}^{p^r} (1 - \omega^i) = N(1-\omega).$$

!!

☞

Next class: $\mathbb{Q}[\omega] = \mathbb{Z}[\omega]$ for any root ω of 1.

Lecture 6 (20-01-2022)

20 January 2022 17:29

- $K/\mathbb{Q} \rightarrow \deg n.$
- $\mathcal{O}_K \rightarrow \text{free abelian of rank } n.$
- $\text{disc}(K) := \text{disc}(\mathcal{O}_K) := \text{discriminant of any } \mathbb{Z}\text{-basis of } \mathcal{O}_K.$

Exercise 2.27. $\alpha_1, \dots, \alpha_n \in \mathcal{O}_K : \text{lin. indep } / \mathbb{Q}$

$\{\alpha_1, \dots, \alpha_n\}$ is an integral basis of \mathcal{O}_K

$$\Leftrightarrow \text{disc}(\alpha_1, \dots, \alpha_n) = \text{disc}(K).$$

Soln. (\Rightarrow) by defn.

(\Leftarrow) Let $H = \langle \alpha_1, \dots, \alpha_n \rangle$.

Then, H is free of rank n .

By earlier exercise, $\text{disc}(H) = |G/H|^2 \cdot \text{disc}(G)$.

By hypothesis, we get $|G/H|^2 = 1 \therefore G = H$. \blacksquare

Notation: $\omega_m := e^{2\pi i/m}$ for $m \in \mathbb{Z} \setminus \{0\}$.

Saw: $\mathcal{O}_{\mathbb{Q}[\omega]} = \mathbb{Z}[\omega]$ for $\omega = \omega_p$.

$K, L : \text{number fields}$

$KL \rightarrow \text{the compositum is also a number field.}$

$$\mathcal{O}_K \cdot \mathcal{O}_L \subseteq \mathcal{O}_{KL}$$

Equality may not hold.

Example. $K = \mathbb{Q}[\sqrt{3}], L = \mathbb{Q}[\sqrt{7}]$.

$$\mathcal{O}_K = \mathbb{Z}[\sqrt{3}], \mathcal{O}_L = \mathbb{Z}[\sqrt{7}]$$

$$\mathcal{O}_K \cdot \mathcal{O}_L = \mathbb{Z}[\sqrt{3}, \sqrt{7}]$$

$$(\sqrt{3}\sqrt{7} = 1 \quad (4))$$

However, $\frac{\sqrt{3} + \sqrt{7}}{2} \in \mathcal{O}_{KL} = \mathcal{O}_{\mathbb{Q}(\sqrt{3}, \sqrt{7})}$.

$$\begin{aligned} \text{Let } \alpha := \frac{\sqrt{3} + \sqrt{7}}{2}. \quad \text{Then, } \alpha^2 &= \frac{3+7+2\sqrt{21}}{4} \\ \Rightarrow \alpha^2 &= \frac{5+\sqrt{21}}{2} \\ \Rightarrow \left(\alpha^2 - \frac{5}{2}\right)^2 &= \frac{21}{4} \\ \Rightarrow \alpha^4 - 5\alpha^2 + \frac{25}{4} - \frac{21}{4} &= 0 \\ \Rightarrow \alpha^4 - 5\alpha^2 + 1 &= 0. \end{aligned}$$

$$\therefore \alpha \in \mathcal{O}_{KL} \setminus \mathcal{O}_K \cdot \mathcal{O}_L.$$

Theorem: let K, L be number fields such that

$$[KL : \mathbb{Q}] = [K : \mathbb{Q}][L : \mathbb{Q}].$$

$$\text{let } d := \gcd(\text{disc}(K), \text{disc}(L)).$$

$$\text{Then, } \mathcal{O}_{KL} \subseteq \frac{1}{d} \cdot \mathcal{O}_K \cdot \mathcal{O}_L.$$

In particular, if $d = 1$, then $\mathcal{O}_{KL} = \mathcal{O}_K \cdot \mathcal{O}_L$.

Cor. $\mathcal{O}_{\mathbb{Q}[\omega]} = \mathbb{Z}[\omega]$ for any $\omega = \omega_m$.

Proof: We saw this for prime powers. Use induction on number of prime factors of m .

Let $\# \text{pf}(m) \geq 2$. Write $m = m_1 m_2$ with $\gcd(m_1, m_2) = 1$. m_i have fewer prime factors.

$$\omega := \omega_m, \quad \omega_1 := \omega_{m_1}, \quad \omega_2 := \omega_{m_2}.$$

By "ind",

$$\mathcal{O}_{\mathbb{Q}[\omega_1]} = \mathbb{Z}[\omega_1], \quad \mathcal{O}_{\mathbb{Q}[\omega_2]} = \mathbb{Z}[\omega_2].$$

Note: $\mathcal{O}_{\mathbb{Q}[\omega_1]} \cdot \mathcal{O}_{\mathbb{Q}[\omega_2]} = \mathcal{O}_{\mathbb{Q}[\omega]}$.

Proof (\subseteq) is clear.

(2) Let $rm_1 + sm_2 = 1$.

$$\omega_1^s \cdot \omega_2^r = w \in \mathbb{Q}[\omega_1] \cdot \mathbb{Q}[\omega_2].$$

⊗

$$\textcircled{2} \quad [\mathbb{Q}(\omega) : \mathbb{Q}] = [\mathbb{Q}(\omega_1) : \mathbb{Q}] [\mathbb{Q}(\omega_2) : \mathbb{Q}].$$

$\downarrow \quad \downarrow$

: these two are coprime

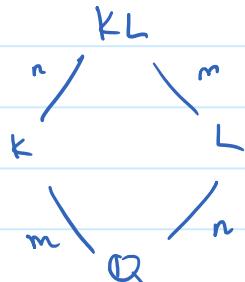
$$\text{Recall: } \varphi(m) = \varphi(m_1)\varphi(m_2) \quad \text{since } \gcd(m_1, m_2) = 1.$$

$$\textcircled{3} \quad \gcd(\text{disc}(\omega_1), \text{disc}(\omega_2)) = 1.$$

(we had seen that prime factors of $\text{disc}(\omega_m)$ are

Thus, by theorem, we get $\mathcal{O}_{\mathbb{Q}(\omega)} = \mathbb{Z}[\omega_1] \cdot \mathbb{Z}[\omega_2]$ same proof as earlier.
 $= \mathbb{Z}[\omega]$.
 $w \in \mathbb{Z}[\omega]$. ⊗

Proof of theorem



$$d := \gcd(\text{disc}(K), \text{disc}(L)).$$

$$\text{IS: } \mathcal{O}_{KL} \subseteq \frac{1}{d} \cdot \mathcal{O}_K \cdot \mathcal{O}_L.$$

Step 1. Let σ be an embedding of K in \mathbb{C} .
 $\dashv \dashv \dashv \dashv \dashv \dashv$

Then, \exists an embedding θ of KL s.t. $\theta|_K = \sigma$, $\theta|_L = \tau$.

If σ has n distinct extensions $\sigma_1, \dots, \sigma_n: K \rightarrow \mathbb{C}$.

Then, $\sigma_i|_L$ are all distinct.

Indeed $\sigma_i|_L = \sigma_j|_L \Rightarrow \sigma_i|_{KL} = \sigma_j|_{KL}$ ($\because \sigma_i|_K = \sigma = \sigma_j|_K$)

↓

i.e.:

$$\downarrow \\ i = j.$$

Thus, $\{\sigma_i|_L\}_{i=1}^n$ are n distinct embeddings of L in \mathcal{C} .
 But there are exactly n in total since $[L:\mathbb{Q}] = n$.
 $\therefore \sigma_i|_L = \tau$ for some $i \in [n]$. \square

Step 2. Let $\{\alpha_1, \dots, \alpha_m\}$ be an integral basis of \mathcal{O}_K .

They are also a \mathbb{Q} -basis of K .

$\{\beta_1, \dots, \beta_n\} \rightarrow \mathbb{Z}$ -basis of \mathcal{O}_L (\mathbb{Q} -basis of L).

$$\Rightarrow \{\alpha_i \beta_j : i \in [m], j \in [n]\} \subseteq \mathcal{O}_{KL}$$

is a basis of KL over \mathbb{Q} .

Given $\alpha \in \mathcal{O}_{KL}$, we can write

$$\alpha = \sum r_{ij} \alpha_i \beta_j, \quad r_{ij} \in \mathbb{Q}.$$

Clear denominators to write

$$\alpha = \frac{1}{r} \sum_{ij} m_{ij} \alpha_i \beta_j, \quad m_{ij} \in \mathbb{Z}, \quad r \in \mathbb{Z} \setminus \{0\}.$$

We may assume $\gcd(\{r\} \cup \{m_{ij}\}_{i,j}) = 1$.

$$\text{Aim: } \mathcal{O}_{KL} \subseteq \frac{1}{r} \cdot \mathcal{O}_K \cdot \mathcal{O}_L.$$

Suffices to prove that $r \mid d$. ($\because \alpha_i \in \mathcal{O}_K, \beta_j \in \mathcal{O}_L$)
 $\gcd(d \text{disc}(k), \text{disc}(l))$

Enough to show $r \mid \text{disc}(k)$.

$$\alpha = \sum_{ij} m_{ij} \alpha_i \beta_j / r.$$

Let $\sigma_1, \dots, \sigma_m$: embeddings of KL/L in C .

(Note that $\sigma_1|_K, \dots, \sigma_m|_K$ are the m embeddings of K in C)

$$\sigma_i \alpha = \frac{1}{r} \sum_{ij} m_{ij} \cdot (\sigma_i \alpha_i) \cdot \beta_j.$$

$$\text{Define } x_i := \sum_j m_{ij} \beta_j / r \quad \text{for } i \in [m].$$

$$\text{Then, } \sigma_i(x_i) = x_i \quad \forall j \in [m].$$

$$\alpha = \sum_i \alpha_i x_i.$$

$$\begin{pmatrix} \sigma_1 \alpha \\ \vdots \\ \sigma_m \alpha \end{pmatrix} = \begin{pmatrix} \sigma_1 \alpha_1 & \dots & \sigma_1 \alpha_m \\ \vdots & \ddots & \vdots \\ \sigma_m \alpha_1 & \dots & \sigma_m \alpha_m \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_m \end{pmatrix}.$$

By Cramer's rule, $x_i = \frac{\gamma_i}{\delta}$ in the usual way.

In particular, $\delta^2 = \text{disc}(K)$.

$$\text{Also, } \gamma_i, \delta \in A. \quad \therefore x_i \delta^2 = \gamma_i \delta.$$

$\begin{smallmatrix} \cap \\ L \end{smallmatrix} \qquad \begin{smallmatrix} \cap \\ A \end{smallmatrix}$

$$x_i \delta^2 = \sum_j \frac{m_{ij}}{r} \delta^2 \beta_j. \quad \in L \cap A = O_L.$$

$\therefore \{\beta_1, \dots, \beta_m\}$ is a basis of O_L/\mathbb{Z} , we get

$$\frac{m_{ij} \cdot \delta^2}{r} \in \mathbb{Z} \quad \forall i, j.$$

$$\Rightarrow r \mid m_{ij} \cdot \text{disc}(K)$$

↑
by $\text{gcd} = 1$ hypothesis

$$\Rightarrow r \mid \text{disc}(K).$$

Remark. In general, $O_K = \mathbb{Z}[\alpha]$ for some $\alpha \in O_K$ is NOT necessary.

Exercise 2.30.: Let $K = \mathbb{Q}[\sqrt[3]{7}, \sqrt[3]{10}]$.

Then, $\mathcal{O}_K \neq \mathbb{Z}[\alpha]$ for all $\alpha \in \mathcal{O}_K$.

FACT: Let $K = \mathbb{Q}[\alpha]$, for some $\alpha \in \mathcal{O}_K$ with
 $1, \alpha, \dots, \alpha^n : \mathbb{Q}$ -basis for K .

Then, \exists an integral basis $\left\{ 1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_{n-1}(\alpha)}{d_{n-1}} \right\}$ of \mathcal{O}_K .

Here $d_i \in \mathbb{N}$ with $d_1 | d_2 | \dots | d_{n-1}$, $f_i(x) \in \mathbb{Z}[x]$: monic,
 $\deg(f_i) = i$.

Further, the d_i are uniquely determined.

(f_i are easy to change.)

Exercise 2.41.: Let m be a cubefree integer. Let $\alpha = \sqrt[3]{m}$.
 $K = \mathbb{Q}[\sqrt[3]{m}]$.

Then:

- If m is squarefree, then \mathcal{O}_K has an integral basis:

$$\begin{cases} 1, \alpha, \alpha^2 & m \not\equiv \pm 1 \pmod{9}, \\ 1, \alpha, \frac{\alpha^2 + \alpha + 1}{3} & m \equiv \pm 1 \pmod{9} \end{cases}$$

- If m is not squarefree, then write $m = h k^2$,
with $\gcd(h, k) = 1$, $h \& k$ squarefree.

An integral basis of \mathcal{O}_K is

$$\begin{cases} 1, \alpha, \frac{\alpha^2}{k} & \text{if } m \not\equiv \pm 1 \pmod{9}, \\ 1, \alpha, \frac{\alpha^2 + k^2 \alpha + k^2}{3k} & \text{if } m \equiv \pm 1 \pmod{9}. \end{cases}$$

EXAMPLES: ① $K = \mathbb{Q}[\sqrt[3]{2}]$. $\{1, \sqrt[3]{2}, \sqrt[3]{2^2}\} \rightarrow$ Basis.

② $K = \mathbb{Q}[\sqrt[3]{4}]$. $\{1, \sqrt[3]{4}, \frac{\sqrt[3]{4^2}}{2}\}$

③ $K = \mathbb{Q}[\sqrt[3]{10}]$. $\{1, \sqrt[3]{10}, \sqrt[3]{10^2} + \sqrt[3]{10} + 1\}$.

$$③ \quad K = \mathbb{Q}[\sqrt[3]{10}]. \quad \left\{ 1, \sqrt[3]{10}, \frac{\sqrt[3]{10^2} + \sqrt[3]{10} + 1}{3} \right\}.$$

Lecture 7 (24-01-2022)

24 January 2022 17:25

Thm

K/\mathbb{Q} : deg n . Pick $\alpha \in \mathbb{O}_K$ s.t. $K = \mathbb{Q}[\alpha]$.
 Then, $\exists f_1(x), \dots, f_{n-1}(x) \in \mathbb{Z}[x]$ monic with $\deg(f_i) = i$ and
 integers $d_1, \dots, d_{n-1} \in \mathbb{Z}_{>0}$ with $d_1 \mid d_2 \mid \dots \mid d_{n-1} \neq 0$ such that

$$\left\{ 1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_{n-1}(\alpha)}{d_{n-1}} \right\} \text{ is a } \mathbb{Z}\text{-basis for } \mathbb{O}_K.$$

Moreover, the d_i are unique.

Proof

$\{1, \alpha, \dots, \alpha^{n-1}\}$: basis of K/\mathbb{Q} .

$$d = \text{disc}(\alpha), \text{ then } \mathbb{O}_K \subseteq \sum_{i=1}^n \mathbb{Z} \frac{\alpha^{i-1}}{d}.$$

(Had seen that any $\beta \in \mathbb{O}_K$ can be written as $\frac{1}{d} \sum_{i=1}^n m_i \alpha^{i-1}$ with $d \mid m_i^2, m_i \in \mathbb{Z}$.)

$$\text{Define } F_k := \mathbb{Z} \frac{1}{d} \oplus \dots \oplus \mathbb{Z} \frac{\alpha^{k-1}}{d} \cong \mathbb{Z}^k.$$

$$R_k := F_k \cap \mathbb{O}_K \quad \text{for } k = 1, \dots, n.$$

$$\text{Note } R_n = F_n \cap \mathbb{O}_K = \mathbb{O}_K.$$

$$R_1 = \mathbb{Z} \frac{1}{d} \cap \mathbb{O}_K = \mathbb{Z}.$$

$k=1$: $\{1\}$ is a basis for R_1 . Let $K \geq 1$.

As induction hypothesis, assume we have gotten a basis for R_k as $\left\{ 1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_{k-1}(\alpha)}{d_{k-1}} \right\}$ with

the desired properties.

Aim: Extend the basis of R_k to R_{k+1} .

$$R_{k+1} = \sum_{i=1}^{k+1} \mathbb{Z} \frac{\alpha^{i-1}}{d} \rightarrow \mathbb{Z} \frac{\alpha^k}{d}$$

$$\text{Define } \pi: F_{k+1} = \sum_{i=1}^{R+1} \mathbb{Z} \frac{\alpha^{i-1}}{d} \rightarrow \mathbb{Z} \frac{\alpha^k}{d}$$

to be the projection map.

Restrict π to the subgroup R_{k+1} .

$$\pi: R_{k+1} \rightarrow \mathbb{Z} \frac{\alpha^{k+1}}{d} \cong \mathbb{Z}.$$

Claim: $\pi(R_{k+1}) \neq 0$

Proof. $\alpha^k \in R_{k+1}$ and $\pi(\alpha^k) = \alpha^k \neq 0$. \square

Thus, $\pi(R_{k+1})$ is a nonzero subgroup of \mathbb{Z} .

Write $\pi(R_{k+1}) = \mathbb{Z} \cdot \pi(\beta)$ for some $\beta \in R_{k+1}$.

$$\frac{f_{k-1}(\alpha)}{d_{k-1}} \in R_k. \quad \text{Then,} \quad \frac{\alpha \frac{f_{k-1}(\alpha)}{d_{k-1}}}{d_{k-1}} \in R_{k+1}.$$

↳ alg. int.

$$\Downarrow \quad \pi\left(\frac{\alpha \cdot \frac{f_{k-1}(\alpha)}{d_{k-1}}}{d_{k-1}}\right) = m \cdot \pi(\beta) \quad \text{for some } m \in \mathbb{Z}.$$

$$\Rightarrow \pi\left(\underbrace{\frac{\alpha \cdot \frac{f_{k-1}(\alpha)}{d_{k-1}} - m\beta}{d_{k-1}}}_{\in R_k}\right) = 0.$$

$$\Omega_k \cap F_k = R_k.$$

$$\text{Let } \gamma := \frac{\alpha \frac{f_{k-1}(\alpha)}{d_{k-1}} - m\beta}{d_{k-1}} \in R_k.$$

By induction hyp., $\{1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_{k-1}(\alpha)}{d_{k-1}}\}$ is a \mathbb{Z} -basis for R_k .

Thus, we can write γ as a \mathbb{Z} -linear combination of above. Using that, we get

$$\beta = \frac{1}{m} \left[\frac{\alpha \frac{f_{k-1}(\alpha)}{d_{k-1}}}{d_{k-1}} - \sum_{i=1}^k m_i \frac{f_{i-1}(\alpha)}{d_{i-1}} \right]$$

(all of these into d)

$$\begin{aligned}
 &= \frac{1}{m d_{k-1}} \left(\alpha f_{k-1}(\alpha) - \sum_{i=1}^{k-1} m_i' f_{i-1}(\alpha) \right) \\
 &\quad \text{monic } \mathbb{Z}\text{-poly in } \alpha \text{ of deg } = k \\
 &= \frac{f_k(\alpha)}{d_k}. \quad (d_k := m \cdot d_{k-1})
 \end{aligned}$$

all of these
div. divide d_{k-1}

Now, one checks that $\left\{ 1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_k(\alpha)}{d_k} \right\}$ is a basis for R_k using the fact that

(if $d_k < 0$,
replace with $\frac{f_k}{d_k}$)

$$0 \rightarrow R_k \hookrightarrow R_{k+1} \rightarrow \mathbb{Z} \cdot \pi(\beta) \rightarrow 0$$

is exact.

(Check that d_k is uniquely determined from d_{k-1} .)

EXAMPLE: Let $K = \mathbb{Q}(\alpha)$ be a deg 5 ext, with $\alpha \in O_K$.

$\left\{ 1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_4(\alpha)}{d_4} \right\}$: basis of O_K .

$$\begin{aligned}
 (a) \text{disc}(\alpha) &= \text{disc}(1, \alpha, \dots, \alpha^4) \\
 &= \text{disc}(1, \alpha, \alpha^2, \alpha^3, f_4(\alpha)) \quad \text{f}_4 \text{ is monic} \\
 &= \text{disc}(1, \dots, f_3(\alpha), f_4(\alpha)) \quad \text{use the other rows/columns} \\
 &\vdots \\
 &= \text{disc}(1, f_1(\alpha), f_2(\alpha), f_3(\alpha), f_4(\alpha)).
 \end{aligned}$$

$$\begin{aligned}
 \text{disc}(O_K) &= \text{disc}\left(1, \frac{f_1(\alpha)}{d_1}, \dots, \frac{f_4(\alpha)}{d_4}\right) \\
 &= \frac{1}{(d_1 \cdots d_4)^2} \text{disc}(1, \dots, f_4(\alpha)) \\
 &= \frac{\text{disc}(\alpha)}{(d_1 \cdots d_4)^2}.
 \end{aligned}$$

$$(d_1 \cdots d_4)^2$$

Moreover, $\left| \mathcal{O}_K \left(\sum_{i=1}^5 \mathbb{Z}_{\alpha^{i-1}} \right) \right| = d_1 \cdots d_4.$

$$As \quad d_1 \mid d_2 \mid \cdots \mid d_4, \quad d_1 \mid d_2, \quad d_1 \mid d_3, \quad d_1 \mid d_4.$$

$$\begin{array}{c} \therefore d_1^4 \mid \text{disc}(\alpha). \\ \parallel^4 \quad d_2^3 \mid \text{disc}(\alpha), \quad d_3^2 \mid \text{disc}(\alpha). \end{array}$$

Chapter 3: Prime Decomposition in Number Rings.

Def. let A be an integral domain. A is a Dedekind domain if

- (i) A is Noetherian, i.e., every ideal of A is finitely generated.
- (ii) All nonzero prime ideals of A are maximal.
- (iii) A is integrally closed, i.e., if $\alpha \in \text{Frac}(A)$ satisfies a monic polynomial $\in A[x]$, then $\alpha \in A$.

Examples. ① Fields are Dedekind domains.

② All PIDs are Dedekind domains.

Only (iii) is nontrivial. Use that PID \Rightarrow UFD.

Thm. A is Noetherian \Leftrightarrow All increasing chains of ideals stabilise, i.e., if $I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$ are ideals of A , then $\exists n \in \mathbb{N}$ s.t. $I_n = I_{n+1} = \cdots$ \Leftrightarrow Any nonempty collection of ideals of A has a maximal element.

Thm. Let K be a number field. Then, \mathcal{O}_K is a Dedekind domain.

Proof.

(i) Noetherian.

$\mathcal{O}_K \cong \mathbb{Z}^n$ as groups. Any ideal of \mathcal{O}_K is a subgroup, hence free of rank $\leq n$. Thus, f.g. as a \mathbb{Z} -module.
 \therefore f.g. as an ideal.

(ii) To show : $p \neq 0$ prime $\Rightarrow p$ maximal

Let $0 \neq I \subset \mathcal{O}_K$ be an ideal. Pick $0 \neq \alpha \in I$.

$$N_{K/\mathbb{Q}}(\alpha) = m \neq 0.$$

$m = \alpha \cdot \beta$, β = product of other conjugates of α .

Note that $\beta = \frac{m}{\alpha} \in K$.

Moreover, β is a product of alg. integers. $\therefore \beta \in A$.

$$\therefore \beta \in \mathcal{O}_K.$$

$$\therefore m = \beta \alpha \in I.$$

$$\Rightarrow (m) \subseteq \langle \alpha \rangle.$$

$$\mathcal{O}_K / \langle m \rangle \cong \mathbb{Z}^n / m \mathbb{Z}^n \cong (\mathbb{Z}/m\mathbb{Z})^n.$$

\downarrow
finite ring.

Thus, \mathcal{O}_K / I is also finite.

Since finite integral domains are fields we are done.

(iii) Note that K is a field containing \mathcal{O}_K .

Also, given any $\beta \in K$, $\exists m \in \mathbb{Z} \setminus \{0\}$ s.t. $m\beta \in \mathcal{O}_K$.

$$\therefore \text{Frac}(\mathcal{O}_K) = K.$$

If $\beta \in K$ is integral over \mathcal{O}_K , then β is integral over \mathbb{Z} . $\therefore \beta \in \mathcal{O}_K$. (Transitivity of integral closures.) \square

Thm.

(will prove later)

Let R be a Dedekind domain.

Let $I \neq 0$ be an ideal. Then, $\exists J \neq 0$ ideal s.t.
 IJ is a principal ideal.

($R \rightarrow$ Dedekind)

Corollary: Define the equiv rel" on $\{\text{nonzero ideals of } R\}$ by
 $I \sim I'$ if $\exists 0 \neq J \trianglelefteq R$ s.t. IJ and $I'J$ are principal.
Let $\text{Cl}(R) = R/\sim$. Then, multiplication of ideals in $\text{Cl}(R)$ is well-defined. Moreover, the set of ^{nonzero} principal ideals is an equivalence class and is the identity.

The above theorem tells us that $\text{Cl}(R)$ is a group.

Lecture 8 (27-01-2022)

27 January 2022 15:36

Thm.

R : Dedekind domain.

I : nonzero ideal of R .

Then, $\exists J \neq 0$ ideal of R s.t. IJ is principal.

Proof. Step 1: Every nonzero ideal of R contains a finite product of nonzero prime ideals. (Only need R Noetherian)

Proof. Let $\Sigma = \{\text{ideals } \neq 0 \text{ that do not contain ...}\}$.

If $\Sigma \neq \emptyset$, then $\exists \mathfrak{a} \in \Sigma$ maximal ($\because R$ Noetherian).

\mathfrak{a} not prime. $\exists a, b \notin R \setminus \mathfrak{a}$ s.t. $ab \in \mathfrak{a}$.
 $\therefore \langle \mathfrak{a}, a \rangle, \langle \mathfrak{a}, b \rangle \notin \Sigma$.

Thus, both contain a product ...

But $\langle \mathfrak{a}, a \rangle \langle \mathfrak{a}, b \rangle \subseteq \mathfrak{a}$. $\Rightarrow \leftarrow$

Step 2. Let $0 \subsetneq \mathfrak{a} \subsetneq R$.

Then, $\exists y \in \text{frac}(R) \setminus R$ s.t. $y\mathfrak{a} \subseteq R$.

Proof. Pick $0 \neq a \in \mathfrak{a}$.

By 1, $\langle a \rangle$ contains a finite product of maximal ideals.
(Dedekind: prime + nonzero \Rightarrow maximal.)

$$\mathfrak{a} \supseteq \langle a \rangle \supseteq \prod_{i=1}^r \mathfrak{p}_i : \text{minimal.}$$

$$\langle a \rangle \not\supseteq \mathfrak{p}_1 \dots \hat{\mathfrak{p}}_i \dots \mathfrak{p}_r.$$

Pick a prime $\mathfrak{p} \supseteq \mathfrak{a}$.

Then, $\mathfrak{p} \supseteq \mathfrak{p}_1 \dots \mathfrak{p}_r$.

$\Rightarrow \mathfrak{p} \supseteq \mathfrak{p}_i$ for some i .

But nonzero primes are maximal. Thus, $p = p_i$.
Wlog $p = p_i$.

By minimality, $\langle \alpha \rangle \not\subseteq p_2, \dots, p_r$.
pick $b \in p_2 \dots p_r \setminus \langle \alpha \rangle$.

Then, $b | p_i \subseteq p_1 p_2 \dots p_r \subset \langle \alpha \rangle$.

Then, $y = \frac{b}{\alpha} \in \text{Frac}(R) \setminus R$ does the job.

Step 3. $I \neq 0$: proper ideal (if $I = R$, take $J = R$)

Claim: $\exists J \neq 0$ ideal s.t. IJ : principal ideal.

Proof. Pick $0 \neq \alpha \in I$.

$$\begin{aligned} J &:= \{ f \in R : \beta I \subset \langle \alpha \rangle \} \\ &= (\alpha : I). \end{aligned}$$

Then, $J \neq 0$ is an ideal. ($\alpha \in J$.)

Also, $IJ \subseteq \langle \alpha \rangle$.

We show that $IJ = \langle \alpha \rangle$.

Define $\hat{\alpha} := \frac{1}{\alpha} IJ$: ideal of R .

Clearly, $0 \neq \hat{\alpha}$.

We show $\hat{\alpha} = R$.

Assume $\hat{\alpha} \neq R$.

By step 2., let $y \in \text{Frac}(R) \setminus R$ be s.t. $y \hat{\alpha} \subseteq R$.

Idea: Show that y is integral over R . (Since R is Dedekind, this is \Leftrightarrow .)

$$\cdot \alpha \in I \Rightarrow y \cdot \frac{1}{\alpha} IJ \subseteq R$$

$$\Rightarrow y \cdot \frac{1}{\alpha} \alpha J \subseteq R$$

$$\Rightarrow yJ \subseteq R.$$

$$yJI = y\alpha a = \alpha(ya) \subseteq \alpha R = \langle \alpha \rangle.$$

$$\therefore (yJ) I \subseteq \langle \alpha \rangle$$

$$\Rightarrow yJ \subseteq R$$

$$\Rightarrow yJ \subseteq J$$

From this it follows that y is integral over R . \rightarrow
 (J) is f.g.)

$$\text{Thus, } J = R \quad \text{or} \quad \frac{1}{\alpha} IJ = R.$$

$$\therefore IJ = \langle \times \rangle.$$

□

Großartig! Let R : Dedekind Domain.

$\text{Cl}(R) := \{ \text{nonzero ideals of } R \} / \sim$
 $\hookrightarrow \text{class group of } R$

$$I \sim J \text{ if } \alpha I = \beta J \text{ for some } \alpha, \beta \neq 0 \text{ in } R.$$

Then, $\text{Cl}(R)$ is a group.

↳ Facts to check: ① $[I][J] = [IJ]$ well defined.

② The set of principal ideals ($\neq 0$) form a class.

③ $[R]$ is the identity element.

EXAMPLE. $R = (R[x, y]) / \langle x^2 + y^2 - 1 \rangle$ is a Dedekind domain.

$\text{Cl}(R)$ is then infinite. This does NOT happen for number rings, as we shall see later.

Corollary 2.

Defn: If $I, J, K \trianglelefteq R$ are ideals s.t. $I = JK$, then we say J divides I or $J \mid I$.

For a Ded. domain: $J \mid I$ iff $I \subset J$.

Proof. (\Rightarrow) true in any ring.

(\Leftarrow) Assume $I \subseteq J \neq 0$.

Let $J' \neq 0$ be s.t. $JJ' = \langle \alpha \rangle \neq 0$.

Then, $IJ' \subseteq \langle \alpha \rangle$.

$$\Rightarrow \alpha := \frac{1}{\lambda} IJ' \quad : \text{ideal of } R.$$

Check $I = Ja$. □

Corollary 3. (Cancellation law) $R: DD, I, J, K \trianglelefteq R$ non-zero.

$$IJ = IK \Rightarrow J = K.$$

Proof Let $I' \neq 0$ be s.t. $I'I' = \langle \alpha \rangle$.

$$\Rightarrow I'IJ = I'IK$$

$$\Rightarrow \alpha J = \alpha K \quad (\alpha \neq 0)$$

$$\Rightarrow J = K. \quad \square$$

Theorem. $R: DD$.

Every nonzero ideal can be written as a product of (nonzero) prime ideals (i.e., maximal ideals).

Proof. EXISTENCE of factorisation.

If not, pick I maximal s.t.

$R \rightarrow$ empty product. $\therefore I \neq R$.

Also, I not prime.

Pick $P \supsetneq I$ prime. Then, $I = P\bar{J}$ for some $\bar{J} \trianglelefteq R$.

$I = PJ \nsubseteq J$. Thus, J = product of primes.
 $\Rightarrow I = PJ = \underline{\underline{n}}$. \rightarrow

Uniqueness: $I = P_1 \dots P_r$
 $= Q_1 \dots Q_s.$

$$\Rightarrow Q_1 \dots Q_s \subseteq P_1. \quad \text{wlog } Q_1 \leq P_1.$$

$P_1 = Q_1$ by maximality. ... \blacksquare

Lecture 9 (31-01-2022)

31 January 2022 17:36

Thm. R : DD.

Any nonzero ideal I can be written uniquely as a product of prime ideals.

Defn. Let R be a DD and $I, J \subseteq R$ be nonzero ideals.

We define

$$\begin{aligned} \gcd(I, J) &:= I + J, && (\text{smallest ideal containing } I, J) \\ \operatorname{lcm}(I, J) &:= I \cap J. && (\text{largest ideal contained in } I, J) \end{aligned}$$

Remark. Write $I = \prod_{i=1}^r P_i^{n_i}$, $J = \prod_{i=1}^r P_i^{m_i}$, where P_i are distinct prime ideals, $n_i, m_i \geq 0$.

$$\text{Then, we have } \gcd(I, J) = \prod_{i=1}^r P_i^{\min(n_i, m_i)},$$

$$\operatorname{lcm}(I, J) = \prod_{i=1}^r P_i^{\max(n_i, m_i)}.$$

Thm. Let R be a DD. Let $I \neq 0$ be an ideal.

Let $\alpha \in I \setminus \{0\}$ be arbitrary. Then, $\exists \beta \in I$ s.t. $I = \langle \alpha, \beta \rangle$.

Remark DD need not be UFD. In particular, it need not be a PID.

Proof. To show $\exists \beta$ s.t. $I = \langle \alpha, \beta \rangle = \langle \alpha \rangle + \langle \beta \rangle$
 $= \gcd(\langle \alpha \rangle, \langle \beta \rangle)$.

As $\langle \alpha \rangle \subseteq I$, we have $|I| < |\langle \alpha \rangle|$ since R is a DD.

$\Rightarrow \langle \alpha \rangle = IJ$ for some $J \neq 0$ ideal.

In the usual way, decompose in primes as:
 $I = \prod_{i=1}^r P_i^{n_i}$, $\langle \alpha \rangle = \prod_{i=1}^r P_i^{m_i} \cdot \prod_{j=1}^s Q_j^{t_j}$.
 $(m_i \geq n_i \geq 1)$

Choose $\beta_i \in P_i^{n_i} \setminus P_i^{n_i+1}$ for $i = 1, \dots, r$.

Note $\{P_i^{n_i+1}\} \cup \{Q_j\}$, are pairwise comaximal. \hookrightarrow nonempty by unique factorisation

By CRT

$$R / \frac{P_i^{n_i+1} \cap Q_j}{P_i^{n_i+1} \cap Q_j} \cong \prod R / P_i^{n_i+1} \times \prod R / Q_j.$$

$$\exists \beta \in R \text{ s.t. } \begin{aligned} \beta &\equiv \beta_i \pmod{P_i^{n_i+1}} & \forall i \in \{1, \dots, r\}, \\ &\equiv 1 \pmod{Q_j} & \forall j \in \{1, \dots, s\}. \end{aligned}$$

$\therefore \beta \in P_i^{n_i} \setminus P_i^{n_i+1} \quad \forall i \quad \text{and} \quad \beta \in R \setminus Q_j \quad \forall j.$

$$\therefore \beta \in \left(\bigcap_{i=1}^r (P_i^{n_i} \setminus P_i^{n_i+1}) \right) \cap \left(\bigcap_{j=1}^s (R \setminus Q_j) \right)$$

$$\Rightarrow \beta \in \prod_{i=1}^r P_i^{n_i} \quad \text{but} \quad \beta \notin P_i^{n_i+1} \quad \forall i.$$

$$\Rightarrow \langle \beta \rangle = \prod_{i=1}^r P_i^{n_i} \cdot \prod T_j^{l_j}$$

T_j is not equal to any P_k or Q_ℓ !

$$\therefore \gcd(\langle \alpha \rangle, \langle \beta \rangle) = \prod_{i=1}^r P_i^{n_i} = I.$$

□

Remark. PID $\not\Rightarrow$ UFD.

Theorem. Let R be a DD. R is a UFD $\Leftrightarrow R$ is a PID.

Proof. Only need to show UFD \Rightarrow PID.

Let R be a DD which is not a PID. We show it is not a UFD.

As $R \neq \text{PID}$, \exists some ideal of R , not principal.

$\therefore \exists$ prime ideal P which is not principal. (\because every nonzero ideal is a product of primes)

Let $\Sigma := \{ I \trianglelefteq R : I \neq 0, IP \text{ is principal} \}$.

$\Sigma \neq \emptyset$. By Noetherian-ness, pick $M \in \Sigma$ maximal.

$MP = \langle \alpha \rangle$. Note that $M \not\subseteq R$ since $RP = P$ is not principal.

Claim: α is irreducible but not prime.

Thus, R is not a UFD since prime \equiv irreducible in a UFD.

Proof. ① α is irreducible.

Suppose not. Then, $\alpha = \beta\gamma$ where β, γ non-unit.

Then, $MP = \langle \beta \rangle \langle \gamma \rangle$.

By uniqueness of prime decomposition, we may assume $P \nmid \langle \beta \rangle$.

Write $\langle \beta \rangle = P\tilde{P}$. Note: $\tilde{P} \in \Sigma$.

Thus, $\alpha = M \cdot P = P \cdot \tilde{P} \cdot \langle \gamma \rangle$.

By cancellation, $M = \tilde{P} \langle \gamma \rangle$, $\langle \gamma \rangle \neq R$.

Thus, $\tilde{P} \subsetneq M$. This contradicts maximality of M . \rightarrow

② α is not prime.

As before, we have $MP = \langle \alpha \rangle$.

Also, $M \not\subseteq \langle \alpha \rangle$, $P \not\subseteq \langle \alpha \rangle$.

Choose $a \in M \setminus \langle \alpha \rangle$, $b \in P \setminus \langle \alpha \rangle$.

Then, $\alpha \nmid a$, $\alpha \nmid b$ but $\alpha \mid ab$. \square

We are now done. \square

EXAMPLES. ① $\mathbb{Z} \subseteq \mathbb{Z}[i] = \bigoplus_{\mathbb{Q}(i)}$.

• $2\mathbb{Z}[i] = \langle 1+i \rangle \langle 1-i \rangle = \langle 1+i \rangle^2$ prime decomposition.

• $p \in \mathbb{Z}$ integer prime.

$$p \equiv 3 \pmod{4} \Rightarrow p \nmid \mathbb{Z}[i] = p.$$

$$\left(\frac{\mathbb{Z}[i]}{p\mathbb{Z}[i]} \right) \cong \frac{\mathbb{Z}[x]}{\langle p, x^2+1 \rangle} \cong \frac{(\mathbb{Z}/p)[x]}{(x^2+1)} \leftarrow \begin{array}{l} \text{field since} \\ x^2+1 \text{ is irreducible in } \mathbb{Z}/p \\ \text{as } p \equiv 3 \pmod{4}. \end{array}$$

$p \equiv 1 \pmod{4} \Rightarrow P = \pi \bar{\pi}$ for some Gaussian prime $\pi \in \mathbb{Z}[\alpha]$.

Write $P = a^2 + b^2$ in \mathbb{Z} with $a, b \not\equiv 0 \pmod{p}$.

Then, $a^2 + b^2 \equiv 0 \pmod{P}$.

$$\Rightarrow \left(\frac{a}{b}\right)^2 \equiv -1.$$

$$\therefore P \in \mathbb{Z}[\alpha] = \langle P, a + ib \rangle \langle P, a - ib \rangle.$$

$$\text{Thus, } 2 = P^2, \quad \langle P \rangle = P, \quad \langle P \rangle = P_1 P_2.$$

\downarrow \downarrow

$P \equiv 3 \pmod{4}$ $P \equiv 1 \pmod{4}$

(D) $\mathbb{Z} \subseteq \mathbb{Z}[\sqrt{-5}] = \bigoplus_{\alpha \in \mathbb{Z}[\sqrt{-5}]} \langle \alpha \rangle$

$$\langle 2 \rangle = \langle 2, 1 - \sqrt{-5} \rangle^2,$$

$$\langle 3 \rangle = \langle 3, \sqrt{-5} - 1 \rangle \langle 3, \sqrt{-5} + 1 \rangle$$

$$\langle 5 \rangle = \langle \sqrt{-5} \rangle^2,$$

$$\langle 7 \rangle = \langle 7, \sqrt{-5} + 2 \rangle \langle 7, \sqrt{-5} - 2 \rangle.$$

(look at $\mathbb{Z}[\sqrt{-5}]$)

Defn. Let L/K be number fields.

Let $R = \mathcal{O}_K$ and $S = \mathcal{O}_L$.

By "a prime in R ", we shall mean a nonzero prime ideal of R .

Let P : prime in R , \mathfrak{Q} : prime in S

TPAE:

- (i) $\mathfrak{Q} \mid PS$,
- (ii) $\mathfrak{Q} \supseteq PS$,
- (iii) $\mathfrak{Q} \supsetneq P$,
- (iv) $\mathfrak{Q} \cap R = P$,
- (v) $\mathfrak{Q} \cap K = P$.

Proof. (i) \Rightarrow (ii) is simple.

(ii) \Rightarrow (iii) — — —

(iv) \Rightarrow (ii) obvious

(iii) \Rightarrow (iv): $\mathfrak{Q} \cap R$ is prime.

Check $\mathfrak{Q} \cap R \neq 0$: pick $\alpha \notin K \cap R$. Then, $N_{L/K}(\alpha) \in \mathfrak{Q} \cap R$.

As nonzero primes are maximal, we are done. H.

(iv) \Leftrightarrow (v): Suffice to prove $Q \cap K = Q \cap R$.
 Only (\subseteq). $\alpha \in Q \cap K$
 $\Rightarrow \alpha \in S \cap K \Rightarrow \alpha$ is alg. and in K
 $\Rightarrow \alpha \in O_K = R$ □

Defn. If any of the above conditions are met, we say that Q lies over P or P lies under Q .

Example: ① $\mathbb{Q}[\sqrt{-1}] \supseteq \mathbb{Z}[\sqrt{-1}]$

1	1	$ $	$(1+i)$	(3)	\backslash	$(2+i)$	$(2-i)$
\mathbb{Q}	\mathbb{Z}		$\langle 2 \rangle$	$\langle 3 \rangle$		$\langle 5 \rangle$	

② $\mathbb{Q}[\sqrt{-5}] \supseteq \mathbb{Z}[\sqrt{-5}]$

1	1	$ $	$\langle 2, 1+\sqrt{-5} \rangle$	$\langle 3, 1+\sqrt{-5} \rangle$	\backslash	$\langle 3, 1-\sqrt{-5} \rangle$	$/$
\mathbb{Q}	\mathbb{Z}		$\langle 2 \rangle$	$\langle 3 \rangle$			

- Thm.
- ① Every prime Q of S lies over a unique prime P of R .
 - ② Given a prime P in R , \exists a prime Q in S lying over P .

Proof. ① Clear since P is recovered as $Q \cap R$.

② If $P \subsetneq S$, pick any prime factor of PS (These are precisely all the 0's)

Just need to check that $PS \neq S$.

As $P \subsetneq R$, $\exists \gamma \in K \setminus R$ s.t. $\gamma P \subseteq R$.

If $PS = S$, then $\gamma PS \subseteq S$

$\Rightarrow \gamma S \subseteq S$

$\Rightarrow \gamma \in S$.

$\therefore \gamma \in S \cap K \subseteq R$. □

Defn.

S	L
$ $	$ $
P	R
	K

$$\begin{array}{ccc} | & | \\ P & R & K \end{array}$$

$$PS = \prod_{i=1}^r Q_i^{e_i}, \quad Q_i : \text{distinct primes of } S \text{ lying over } P.$$

Then, $e(Q_i | P) := e_i$
 $= \text{ramification index of } Q_i / P.$

Note: If Q is a prime in S , and P as before, we define

$$e(Q | P) = \begin{cases} e_i & ; \text{ if } Q = Q_i, \\ 0 & ; \text{ if } Q \neq Q_i. \end{cases}$$

Example: ① $\mathbb{Q}[i]$ $\mathbb{Z}[i]$ $\langle 1+i \rangle$ $\langle 3 \rangle$ $\langle 1+2i \rangle$ $\langle 1-2i \rangle$

		$ _{e=2}$	$ _{e=1}$	$\cancel{1} / 1$
\mathbb{Q}	\mathbb{Z}	2	3	

Any prime of $\mathbb{Z}[i]$ lying over p has ramification index 1
except when $p = 2$.

$$\begin{aligned} \text{disc}(\mathbb{Q}[i]) &= \det \begin{pmatrix} 1 & i \\ 1 & -i \end{pmatrix}^2 \\ &= 4 = 2^2. \end{aligned}$$

Note: 2 is the only prime with ramification index $\neq 1$.

Suppose we have:

\mathbb{Q}	S	L
P	R	K
\mathbb{P}	\mathbb{Z}	\mathbb{Q}

We have an inclusion $R/\mathbb{P} \hookrightarrow S/\mathbb{Q}$.

Moreover, we had seen that both the above are finite fields in a note earlier to show that num. fields are Dv.

Moreover, we had seen that both the above are finite fields in a proof earlier to show that num. fields are D.

→ There is a ring map $\varphi : R \rightarrow S/\mathfrak{Q}$ given by $r \mapsto s \mapsto s/\mathfrak{Q}$.
 $\ker(\varphi) = R \cap \mathfrak{Q} = P$.

Defn. $f(\mathfrak{Q}/P) = [S/\mathfrak{Q} : R/P]$.
= inertial degree of \mathfrak{Q} over P

Lecture 10 (03-02-2022)

03 February 2022 17:29

Defn.

$$\begin{array}{c} Q_1 \cdots Q_r \\ \backslash \quad / \\ P \end{array} \quad \begin{array}{c} S \\ | \\ R \end{array} \quad \begin{array}{c} L \\ | \\ K \end{array}$$

$$PS = \prod_{i=1}^r Q_i^{e_i}.$$

- $e(Q_i | P) = e_i$
= ramification index of $Q_i | P$.

- $f(Q_i | P) = [S/Q_i : R/P]$
 \downarrow finite fields \downarrow
= inertial degree of $Q_i | P$.

Prop" (Multiplicative property of e and f).

$$\begin{array}{c} T \\ | \\ Q \\ | \\ P \end{array} \quad \begin{array}{c} S_1 \\ | \\ S_2 \\ | \\ R \end{array} \quad \begin{array}{c} L_1 \\ | \\ L_2 \\ | \\ K \end{array}$$

- $e(T | P) = e(T | Q) \cdot e(Q | P)$.
- $f(T | P) = f(T | Q) \cdot f(T | P)$.

Proof. e : extend P to S_2 and then S_1 .

f : usual field theory. \square

EXAMPLE

$$\begin{array}{c} P \\ | \\ \mathbb{P} \end{array} \quad \begin{array}{c} R \\ | \\ \mathbb{Z} \end{array} \quad \begin{array}{c} K \\ | \\ \mathbb{Q} \end{array}$$

$$[K:\mathbb{Q}] = m.$$

$$f := f(P \mid_p \mathbb{Z}).$$

Claim : $f \leq m$.

$$\underline{\text{Proof.}} \quad f(P \mid_p \mathbb{Z}) = [R/P : \mathbb{Z}/p].$$

$$R \cong \mathbb{Z}^m \quad (\text{as groups})$$

$$R/P \leftarrow (\mathbb{Z}/p\mathbb{Z})^m$$

↓

$$\text{Cardinality } p^f. \quad \therefore f \leq m.$$

□

Defn

$$\begin{array}{ll} R & K \\ I & |^n \\ \mathbb{Z} & \mathbb{Q} \end{array}$$

Let $I \neq 0$ be an ideal of R .

$$\|I\| := |R/I| < \infty.$$

Lemma 1. I, J : nonzero ideals in R , then

$$\|IJ\| = \|I\|\|J\|.$$

Proof. Case 1. $I + J = R$.

$$\text{By CRT : } \frac{R}{IJ} \cong \frac{R}{I} \times \frac{R}{J}.$$

$$\text{Thus, } \|IJ\| = \left| \frac{R}{IJ} \right| = |R/I| \cdot |R/J| = \|I\|\|J\|.$$

General Case. Write $I = \prod_{i=1}^r P_i^{n_i}$

$$J = \prod_{i=1}^r P_i^{m_i}, \quad n_i, m_i \geq 0.$$

$$\text{By case 1, we get } \|I\| = \prod \|P_i^{n_i}\|,$$

$$\|J\| = \prod \|P_i^{m_i}\|,$$

$$\|IJ\| = \prod \|P_i^{m_i+n_i}\|.$$

Enough to show $\|P^n\| = \|P\|^n$ for $o \neq p$ prime.

Claim. $\|P^n\| = \|P\|^n$ for $o \neq p$ prime and $n \geq 1$.

Proof. For $n=1$, it is true.

Let $n \geq 2$. We have

$$0 \rightarrow \frac{p^{n-1}}{p^n} \rightarrow \frac{R}{p^n} \rightarrow \frac{R/p^{n-1}}{p^n} \rightarrow 0.$$

Thus, $|R/p^n| = |R/p^{n-1}| \cdot |p^{n-1}/p^n|$.

$$(R/p \cong p^{n-1}/p^n)$$

Inductively, we are done. \square

This finishes the proof. \square

Thm 1: Let $PS = \prod_{i=1}^r Q_i^{e_i}$

$$\begin{array}{ccc} Q & S & L \\ | & | & | \\ P & R & K \end{array}$$

Let $f_i := f(Q_i; P)$.

Then, $\sum_{i=1}^r e_i f_i = n$.

Cor. $e_i \leq n, f_i \leq n \quad \forall i$.

Thm 2: $I \neq 0$ ideal of R .

Then,

$$\|IS\| = \|I\|^n.$$

$$\begin{array}{cc} S & L \\ | & | \\ R & K \end{array}$$

Proof ① $K = \mathbb{Q}$:

$$PS = \prod_{i=1}^r Q_i^{e_i}$$

$$\begin{array}{ccc} Q_1 \dots Q_r & S & L \\ \diagdown & | & | \\ P & \mathbb{Z} & \mathbb{Q} \end{array}$$

$$\Rightarrow \|PS\| = \left(\prod_{i=1}^r \|Q_i\| \right)^{e_i}$$

$$P^n = \prod_{i=1}^r (P^{f_i})^{e_i}$$

$$\Rightarrow P^n = P^{\sum f_i e_i} \Rightarrow n = \sum f_i e_i.$$

S/Q_i is a \mathbb{Z}/p vec. space of dim f_i

We only proved this for $K = \mathbb{Q}$ yet!

② Sufficient to prove for I prime by factoring I into primes.
Let $0 \neq P$ be a prime

② Sufficient to prove for I prime by factoring I into primes.

Let $0 \neq P$ be a prime

$$\text{IS} : \|PS\| = \|P\|^n.$$

"

"

$$|S/PS| \quad |R/P|^n$$

S/PS is a vector space over R/P .

Thus claim is equivalent to : $\dim_{R/P}(S/PS) = n$.

Step 1. $\dim_{R/P}(S/PS) \leq n$.

Proof. Let $\bar{\alpha}_1, \dots, \bar{\alpha}_{n+1} \in S/PS$, we wish to show that

-they are linearly dependent over R/P .

$\alpha_1, \dots, \alpha_{n+1} \in S \subseteq L$ are linearly dependent over K .

Thus, $\exists a_1, \dots, a_{n+1} \in K$ not all zero s.t.

$$\sum_{i=1}^n a_i \alpha_i = 0.$$

Can assume $a_i \in R$. Now, need to show some

a_i is not in P .

FTSOC, assume that $a_i \in P \neq 0$.

Then, $I := \langle a_1, \dots, a_{n+1} \rangle \subseteq P$.

Can choose $0 \neq I \neq R$ s.t. $II = \langle 0 \rangle$.

Thus, $\exists \gamma \in K \setminus R$ s.t. $\gamma I \subseteq R$.

Claim : $\gamma I \not\subseteq P$.

Once we prove the claim, we can replace a_i with γa_i and be done.

End of Step 1.

Step 2. We have $\dim_{R/\mathfrak{p}}(S/\mathfrak{p}S) = n$.

$$\mathfrak{p}R = \prod_{i=1}^r R/\mathfrak{p}_i^{e_i}.$$

$\dim_{R/\mathfrak{p}_i}(S/\mathfrak{p}_iS) =: n_i \leq n$,
by Step 1.

$$\begin{array}{ccc} S & L \\ | & |_n \\ R & R & K \\ | & | & |_m \\ \mathfrak{p} & \mathbb{Z} & \mathbb{Q} \end{array}$$

$$\|\mathfrak{p}S\| = \mathfrak{p}^m$$

$$\left(\because S/\mathfrak{p}S \cong \mathbb{Z}^m / \mathfrak{p}\mathbb{Z}^m \text{ (as groups)} \right)$$

$$\prod_{i=1}^r \|\mathfrak{p}_i S\|^{e_i}$$

$$\prod_{i=1}^r \|\mathfrak{p}_i\|^{n_i e_i}$$

$$\prod_{i=1}^r \mathfrak{p}^{\text{fin. } e_i}$$

S/\mathfrak{p}_iS is a vec space over R/\mathfrak{p}_i
of dim n_i

$$f_i := f(\mathfrak{p}_i | \mathfrak{p} \mathbb{Z}), e_i = e(\mathfrak{p}_i | \mathfrak{p} \mathbb{Z}).$$

$$\text{Thus, } \sum f_i n_i e_i = mn. \quad \text{--- (*)}$$

By Thm 1 (for $K = \mathbb{Q}$), we have

$$\sum e_i f_i = m.$$

Since each n_i is $\leq n$, equality (*) can hold
only if each $n_i = n$.

End of Step 2.

Now, we prove Thm (1) in the general case!

$$(1) \quad PS = \prod_{i=1}^r Q_i^{e_i}.$$

$$f_i = c(R \cdot 1D)$$

$$\begin{array}{ccc} S & L \\ | & |_n \\ R & R & K \\ | & | & |_m \\ \mathfrak{p} & \mathbb{Z} & \mathbb{Q} \end{array}$$

$$f_i := f(Q_i | P).$$

$$\begin{matrix} I & I_n \\ P & R & K \end{matrix}$$

$$\text{TS: } n = \sum f_i e_i.$$

$$\frac{\|P\|^n}{\|P\|^r} = \prod_{i=1}^r \|Q_i\|^{e_i}$$

v. space blah blah...

$$\therefore n = \sum f_i e_i.$$

Prop. Let $0 \neq \alpha \in R$.

Then,

$$\|\alpha R\| = |N_{K/Q}(\alpha)|.$$

$$\begin{matrix} R & K \\ I & I_n \\ \mathbb{Z} & \mathbb{Q} \end{matrix}$$

Proof. Pick a Galois closure $M \supseteq K \supseteq \mathbb{Q}$.

Let $\sigma_1, \dots, \sigma_n : K \rightarrow M$ be distinct embeddings and extend them

$$t: M \rightarrow M.$$

$$N_{K/Q}(\alpha) = \prod \sigma_i(\alpha).$$

$$\text{Note } \sigma_i(t) \subseteq t.$$

$$\begin{matrix} T = \Theta & M \\ I & I_n \\ R & K \\ \mathbb{Z} & \mathbb{Q} \end{matrix}$$

$$\text{Enough to show } \|\alpha T\| = |N_{M/Q}(\alpha)|.$$

$$\left(\because \|\alpha T\| = \|\alpha R\|^n \text{ and } |N_{M/Q}(\alpha)| = |N_{K/Q}(\alpha)|^n \right)$$

Note: $\langle \alpha \rangle = \langle \sigma_i \alpha \rangle$ in the ring T .

$$\|\alpha T\| = \|\langle \sigma_i \alpha \rangle T\|$$

Lecture 11 (07-02-2022)

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Recall:

$$\begin{array}{cc} S & L \\ | & |_n \\ R & K \\ | & | \\ \mathbb{Z} & \mathbb{Q} \end{array}$$

① $I \neq 0$ ideal of R .

$$\|I\| := \|R/I\|.$$

$$\|IJ\| = \|I\| \cdot \|J\|.$$

$$② \|IS\| = \|I\|_R^n.$$

③ $0 \neq \alpha \in R$,

$$\|\langle \alpha \rangle\|_R = |\text{N}_{K/R}(\alpha)|.$$

④ $p \neq p$: prime of R .

$$PS = \prod_{i=1}^r Q_i^{e_i}, \quad f_i := f(\alpha; |P|).$$

$$\text{Then, } \sum_i e_i f_i = n.$$

Corollary $0 \neq \alpha \in R$. Suppose $|\text{N}_{K/R}(\alpha)| = p \in \mathbb{Z}$ prime.

Then, $\|\langle \alpha \rangle\|_R$ is prime.

Thus, $|R/\alpha R|$ is prime.

$\therefore R/\alpha R$ is a field and hence, α is prime (in R). \square

EXAMPLES. ① $K = \mathbb{Q}[\omega]$, $\omega = e^{\frac{2\pi i}{m}}$.

$$m = p^r.$$

$N_{K/\mathbb{Q}}(1-\omega) = \pm p \therefore \langle 1-\omega \rangle$ is a prime ideal.

Proof.

$$\begin{aligned} \text{Let } f(x) &= \min_{\mathbb{Q}}(\omega) \\ &= \frac{x^{p^r} - 1}{x^{p^{r-1}} - 1} \\ &= x^{p-1} + \cdots + 1 \quad \text{for } y=x^{p^{r-1}} \end{aligned}$$

Then, min poly of $1-\omega$ is $\pm f(1-x)$.

$$\text{Thus, } \pm N_{K/\mathbb{Q}}(1-\omega) = f(1-0) = +1.$$

$$\therefore \pm N_{K/\mathbb{Q}}(1-\omega) = f(1) = 1+1+\cdots+1 = p. \quad \square$$

Another proof of $1-\omega$ being prime:

$$\text{We can write } p = (1-\omega)^n \cdot u \quad \text{for some unit } u \in \mathcal{U}(\mathbb{Z}[\omega]).$$

$$\text{Suppose } \langle 1-\omega \rangle = \prod_i Q_i^{e_i} \quad \text{for primes } Q_i \subseteq \mathbb{Z}[\omega].$$

$$\text{Then, } p \in \mathbb{Z}[\omega] = \left(\prod_i Q_i^{e_i} \right)^n.$$

$$\text{But also, } \sum e_i f_i = n.$$

$$\Rightarrow r=1, e_1 = f_1 = 1. \quad \therefore \langle 1-\omega \rangle = Q, \text{ esp.}$$

Def.

$$\begin{array}{ccc} P & R & K \\ | & | & | \\ p & \mathbb{Z} & \mathbb{Q} \end{array}$$

If $e(P|p) = n$, the p is said to split completely.

$$② \alpha = 2^{\frac{1}{3}}$$

$$\text{Let } P = \langle \alpha \rangle.$$

$$2\mathbb{Z}[\alpha] = P^3.$$

$$e(P|p) = 3. \quad \therefore f(P|p) = 1.$$

$$\begin{array}{ccc} P & \mathbb{Z}[\alpha] & \mathbb{Q}[\alpha] \\ | & | & | \\ p = 2 & \mathbb{Z} & \mathbb{Q} \end{array}$$

$$5\mathbb{Z}(\alpha) = \mathbb{Q}_1 \mathbb{Q}_2.$$

$$\mathbb{Q}_1 = \langle 5, \alpha + 2 \rangle,$$

$$\mathbb{Q}_2 = \langle 5, \alpha^2 + 3\alpha - 1 \rangle.$$

$$\frac{\mathbb{Z}(x)}{\langle 5, x^3 - 2 \rangle} = \frac{\mathbb{F}_5[x]}{\langle x^3 - 2 \rangle}$$

$$= \frac{\mathbb{F}_5(x)}{\langle x+2 \rangle \langle x^2 + 3x - 1 \rangle}.$$

$$\textcircled{3} \quad \alpha^3 = \alpha + 1.$$

$$\begin{array}{c} R = \mathbb{Z}[x] \\ | \\ \mathbb{Z} \end{array} \quad \begin{array}{c} \mathbb{Q}[\alpha] \\ | \\ \mathbb{Q} \end{array}$$

$$\text{disc}(1, \alpha, \alpha^2) \rightarrow \text{square free}. \quad \therefore \Theta_{\mathbb{Q}(\alpha)} = \mathbb{Z}(\alpha).$$

$$23R = P\mathbb{A}^2$$

as

$$\frac{\mathbb{Z}(x)}{\langle 23 \rangle} = \frac{\mathbb{F}_{23}(x)}{\langle x^3 - 8 - 1 \rangle}$$

$$P = \langle 23, \alpha - 3 \rangle,$$

$$Q = \langle 23, \alpha - 10 \rangle.$$

$$= \frac{\mathbb{F}_{23}(x)}{\langle (x-3)(x-10)^2 \rangle}.$$

$$\therefore e(P|23) = 1, \quad e(Q|23) = 2.$$

$$1 \cdot f(P|23) + 2 \cdot f(Q|23) = 3.$$

Note: Different ramification indices!

Theorem: Assume L/K is Galois.

$$\text{Let } G = \text{Gal}(L/K),$$

$$\Sigma = \{ \text{primes in } L \text{ lying over } P \}.$$



$$\text{primes in } L \equiv \text{primes in } Q$$

$$\begin{array}{ccc} S & L \\ | & |_n \\ P & R & K \\ | & & | \\ & & Q \end{array}$$

Then, G acts on Σ and does so transitively.

Proof. Let $Q \in \Sigma$.

To show: $\sigma(Q) \in \Sigma$.

Note that $\sigma|_S$ is an automorphism.

Thus, $\sigma(Q)$ is prime in S .

But $\sigma(P) = P$. $\therefore \sigma(Q) \cap R \supseteq P \neq 0$.

$\therefore P$ is max'l, $\sigma(Q) \cap R = P$
or $\sigma(Q) \in \Sigma$. ✓

Now, assume that the action is not transitive.

Then, $\exists Q' \in \Sigma, Q \in \Sigma$ s.t. $\sigma Q \neq Q' \quad \forall \sigma \in G$.

Choose $x \in S$ s.t.

$$\begin{aligned} x &\equiv 1 \mod \sigma Q \quad \forall \sigma \in G. \\ &\equiv 0 \mod Q'. \end{aligned}$$

$$\begin{aligned} N_{LK}(x) &= \prod_{\sigma \in G} \sigma(x) \\ \cap_R &= \begin{cases} 1 & \mod Q \\ 0 & \mod Q' \end{cases} \end{aligned} \quad \left(\begin{array}{l} x \equiv 1 \mod \sigma Q \text{ for } \text{II} \\ \sigma(x) \equiv 1 \mod \sigma Q \text{ for } \text{I} \end{array} \right)$$

$$\therefore N_{LK}(x) \in Q' \cap R = P.$$

But then $N_{LK}(x) \in Q$. \rightarrow

Corollary If LK is Galois, then $e(Q|P)$ is constant for all Q over P . Similarly, $f(Q|P)$ is the same.

In this case, $n = \sum e_i f_i = \text{ref.}$

$$\begin{aligned} \text{Proof. } Q_1 \dots Q_r \in L & \quad PS = \prod_{i=1}^r Q_i^{e_i}. \\ \text{W.L.G. } & \quad \text{Pick } \sigma \text{ s.t. } \sigma(Q_1) = Q_2. \\ P \quad R & \quad PS = \prod \sigma(Q_i)^{e_i} \\ & = Q_2^{e_1} \cdot \sigma(Q_2)^{e_2} \cdots \sigma(Q_r)^{e_r}. \\ \therefore e_1 = e_2. & \quad \text{Similarly ...} \end{aligned}$$

$$\begin{array}{ccc} S & \xrightarrow{\cong} & S \\ | & & | \\ Q_1 & \longrightarrow & Q_2 \end{array} \quad \begin{aligned} \therefore S/Q_1 &\cong S/Q_2 \\ \Rightarrow f_1 &= f_2. \end{aligned}$$

Recall that $P \in \text{Spec}(R)$ is said to be ramified in S (or L) if $e(Q|P) > 1$ for some prime Q over P . Else, it is said to be unramified (if $e(Q|P) = 1$ for all primes Q over P).

EXAMPLES. ① $\omega = \exp\left(\frac{2\pi i}{p^r}\right)$.

Then, $\langle p \rangle \mathbb{Z}$ is ramified (for $p \geq 3$).
 $p \geq [\omega] = \langle 1 - \omega \rangle^{\varphi(p^r)}$.

② $\langle 23 \rangle = P\mathbb{Z}^2$.

23 is ramified in $\mathbb{Q}(\alpha)$.

① $|\text{disc}(R)| = p$. P was ramified.

② $|\text{disc}(R)| = 23$. 23 was ramified.

Theorem: Suppose p is ramified in R .

Then, $p \mid \text{disc}(R)$.

$$\begin{array}{ccc} R & K \\ | & | \\ p \mathbb{Z} & \mathbb{Q} \end{array}$$

(We will prove the converse later. We will also prove that if $n > 2$, then $\text{disc}(R) \neq \pm 1$. \therefore Some prime is ramified.)

Proof. Let P : prime in R s.t. $e(P|p) > 1$.

$$pR = P \cdot I \quad \text{s.t.} \quad P \nmid I.$$

$\hookrightarrow I$ is a product of all primes P_i over p .

Let $\{\alpha_1, \dots, \alpha_n\}$ be an integral basis of R .

Let $\alpha \in I \setminus pR$. $(\alpha \in P_i \wedge P_i \text{ over } p)$

$$\alpha = \sum m_i \alpha_i \notin pR.$$

$\therefore p \nmid m_i$ for some i . WLOG, $p \nmid m_1$.

$$\begin{aligned} \text{disc}(\alpha, \alpha_2, \dots, \alpha_n) &= \text{disc}(\sum m_i \alpha_i, \alpha_2, \dots, \alpha_n) \\ &= \text{disc}(m_1 \alpha_1, \alpha_2, \dots, \alpha_n) \\ &= m_1^2 \text{disc}(\alpha_1, \dots, \alpha_n) \end{aligned}$$

$$= m_1^2 \operatorname{disc}(R).$$

Note: $p \nmid m_1$. To show that $p \mid \operatorname{disc}(R)$, it suffices to show that $p \mid \operatorname{disc}(\alpha, \alpha_2, \dots, \alpha_n)$.

Let L be a Galois closure of K/\mathbb{Q} .

Let $\sigma_1, \dots, \sigma_n \in \operatorname{Gal}(L/\mathbb{Q})$ be the distinct embeddings of K in \mathbb{C} .

$$\begin{array}{c} S \\ | \\ R \\ | \\ \mathbb{Z} \\ | \\ \mathbb{Q} \end{array}$$

$\operatorname{Gal}(L/\mathbb{Q})$ acts transitively on the set of primes of S lying over $p \in \mathbb{Z}$.

$$\begin{matrix} T_{1,1} & \cdots & T_{1,m_1} & \cdots & T_{r,1} & \cdots & T_{r,m_r} \\ \searrow & / & & & \swarrow & & \\ p = p_1 & \cdots & p_r & & & & \\ & \searrow & / & & & & \\ & & p & & & & \end{matrix}$$

$$\alpha \in P_i \quad \forall i.$$

$$\therefore \alpha \in T_{i,j} \quad \forall i, j.$$

Now, let $\sigma \in \operatorname{Gal}(L/K)$.

Fix $T = T_{i,j}$.

Then, $\sigma^{-1}(T)$ is prime in S over p .

$$\therefore \alpha \in \sigma^{-1}(T) \text{ or } \sigma(\alpha) \in T.$$

\therefore Each $\sigma(\alpha)$ belongs to each T .

Thus, $\det \begin{pmatrix} \sigma_1(\alpha) & \sigma_1(\alpha_2) & \cdots \\ \vdots & \vdots & \ddots \\ \sigma_n(\alpha) & \sigma_n(\alpha_2) & \cdots \end{pmatrix}^L \in T_{i,j} \cap \mathbb{Z} \neq T_{i,j}.$

$$\therefore p \mid \operatorname{disc}.$$

□

Corollary. ① $\alpha \in R$.

$f \in \mathbb{Z}[x]$ monic with $f(\alpha) = 0$.

If p is a prime such that

$p \nmid N(f'(\alpha))$, then p is unramified.

$$\begin{array}{c} R \\ | \\ \mathbb{Z} \\ | \\ \mathbb{Q}[\alpha] \\ | \\ n \end{array}$$

② Only finitely many primes of \mathbb{Z} are ramified in R .

$$\begin{array}{c} p \\ | \\ \mathbb{Z} \\ | \\ \mathbb{Q} \\ | \\ n \end{array}$$

③ $\begin{array}{c} L \\ \downarrow \\ K \\ \downarrow \\ \mathbb{Q} \end{array}$ Only finitely many primes of K are ramified in L .

Lecture 12 (10-02-2022)

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(Splitting of primes in a quadratic extension)

Theorem 1. $K = \mathbb{Q}(\sqrt{m})$, $m \in \mathbb{Z}$ squarefree.

$$R = \mathcal{O}_K.$$

Let $p \geq 2$ be a prime integer.

Note: Since $[K:\mathbb{Q}] = 2$, pR is one of P^2 or P_1P_2 or P .

- $p \mid m$.

$$\text{Then, } pR = \langle p, \sqrt{m} \rangle^2.$$

- $p \nmid m$.

- $p = 2$, m odd.

$$2R = \begin{cases} (2, 1 + \sqrt{m})^2, & m \equiv 3 \pmod{4} \\ \left\langle 2, \frac{1 + \sqrt{m}}{2} \right\rangle \left\langle 2, \frac{1 - \sqrt{m}}{2} \right\rangle, & m \equiv 1 \pmod{4} \\ 2R, & m \equiv 5 \pmod{8} \end{cases}$$

- $p > 2$, m arbitrary

$$pR = \begin{cases} \langle p, n + \sqrt{m} \rangle \langle p, n - \sqrt{m} \rangle & p \equiv n^2 \pmod{m} \\ pR & p \text{ is sq. free mod } m. \end{cases}$$

Proof. Just compute. Use $R = \frac{\mathbb{Z}[x]}{(x^2 - m)}$ or $\frac{\mathbb{Z}[x]}{(x^2 - x - \frac{m-1}{4})}$

and then quotient.

Theorem 2. (Splitting of primes in a cyclotomic extension)

Let $m \geq 3$. $\omega = e^{2\pi i/m}$, $K = \mathbb{Q}[\omega]$, $R := \mathcal{O}_K = \mathbb{Z}[\omega]$.

Let $p \geq 2$ be an integer prime.

Let $p \geq 2$ be an integer prime.

While $m = p^r n$ with $p \nmid n$.

Let $\alpha := \omega^n = \exp\left(\frac{2\pi i}{p^r}\right)$, $\beta := \omega^{p^r} = \exp\left(\frac{2\pi i}{n}\right)$.

$$p\mathbb{Z}[\alpha] = \langle (1-\alpha)^{\frac{\varphi(p^r)}{p^r}} \mathbb{Z}[\alpha] \rangle_{\text{prime}}$$

$$\text{disc}(\mathbb{Z}[\beta]) = \text{disc}(\beta) | n^{\frac{\varphi(r)}{r}}$$

$$(p, n) = 1 \Rightarrow p \nmid \text{disc}(\mathbb{Z}[\beta]).$$

Thus, p is unramified in $\mathbb{Z}[\beta]$.

$$p\mathbb{Z}[\beta] = Q_1 \dots Q_s \quad \text{for distinct primes of } \mathbb{Z}[\beta].$$

$$\begin{array}{c} \mathbb{Q}(\beta) \\ \downarrow \\ \mathbb{Q} \end{array}$$

Galois. Thus, $f(Q_i|p) = f$ is constant.

$$S = \frac{\varphi(n)}{\text{ord}_n(p)}$$

$$\begin{array}{ccccc} & \mathbb{Q}[\omega] & & & \\ & \swarrow & \searrow & & \\ \mathbb{Q}[\alpha] & & \mathbb{Q}[\beta] & & Q_1 \dots Q_s \\ & \searrow & \swarrow & & \swarrow \\ & \mathbb{Q} & & & p\mathbb{Z} \end{array}$$

For each i , fix a prime P_i over Q_i .

$P_i \cap \mathbb{Z}[\alpha]$: prime to $\mathbb{Z}[\alpha]$
lying over $p\mathbb{Z}$.

$$\begin{array}{cccc} P_1 & \dots & P_r & \mathbb{Z}[\omega] \\ | & & | & | \\ Q_1 & \dots & Q_r & \mathbb{Z}[\beta] \end{array}$$

$$\text{Thus, } P_i \cap \mathbb{Z}[\alpha] = (1-\alpha)\mathbb{Z}[\alpha] \quad \forall i.$$

$$\begin{array}{ccc} & & | \\ & & \mathbb{Z} \\ \swarrow & \searrow & \\ p\mathbb{Z} & & \mathbb{Z} \end{array}$$

$$e(P_i | p\mathbb{Z}) = e(P_i | \langle 1-\alpha \rangle) \cdot e(\langle 1-\alpha \rangle | p\mathbb{Z})$$

" $\varphi(p^r)$

$$\Rightarrow e(P_i | p) \geq \varphi(p^r).$$

$$f(P_i | p) = f(P_i | Q_i) \cdot f(Q_i | p)$$

$$\Rightarrow f(P_i | p) \geq f = \text{ord}_n(p).$$

$$p\mathbb{Z}[\alpha] = \langle 1-\alpha \rangle^{\varphi(p^r)}$$

$$p\mathbb{Z}[\beta] = Q_1 \cdots Q_s$$

Also, $P_1 \cdots P_s$ divides $p\mathbb{Z}[\omega]$.

$$\varphi(m) \geq \sum_{\substack{\text{||} \\ \varphi(p^r)}} \varphi(p^r) \cdot f$$

$$\Rightarrow \varphi(n) \geq \sum f = fs = \varphi(n).$$

$$\varphi(p^r) \varphi(n)$$

Thus, equality everywhere.

$$p\mathbb{Z}[\omega] = P_1 \cdots P_s^{\varphi(p^r)}.$$

QED

Cor. $\omega = \exp\left(\frac{2\pi i}{m}\right), \quad p \nmid m.$

Then, $p\mathbb{Z}[\omega] = \prod_{i=1}^s P_i, \quad \text{where } s = \frac{\varphi(n)}{\text{ord}_n(p)}.$

QED

Theorem. $L = K[\alpha]$ for some $\alpha \in S$.

$$R[\alpha] \subseteq S.$$

$\downarrow \quad \downarrow$

free abelian groups of m^n

S	L
$ $	$ ^n$
R	K
$ $	$ _m$

Thus, the group $S_{/\text{ord}_n}$ is finite (and abelian).

$\mathbb{Z} \quad \mathbb{Q}$

Thus, the group $S/R[\alpha]$ is finite (and abelian). \mathbb{Z} \mathbb{Q}

Let $p \in \mathbb{Z}$ and take $P \in \text{Spec}(R)$ over p .

Assume $P \times |S/R[\alpha]|$. Let $g(\alpha) = \min_k(\alpha) \in R[\alpha]$.

We have the natural projection $R[x] \rightarrow R/p[x]$, $n \mapsto \bar{n}$.

$$R[\alpha] \cong R[x]/\langle g(x) \rangle$$

In $(R/P)[x]$, factor $\bar{g} = \bar{g}_1^{e_1} \cdots \bar{g}_r^{e_r}$.

$$f_i := \deg(\bar{g}_i) \geq 1.$$

(Can pick lifts g_i having same degree.)

Define $Q_i = \langle P, g_i(\alpha) \rangle S$.

Then,

$$PS = \prod Q_i^{e_i}$$

$$\text{Also, } f(Q_i|_P) = f_i.$$

Proof (sketch). Claims:

① Either $Q_i = S$ or $Q_i \in \text{Spec}(S)$ and $|S/Q_i| = |R/p|^{\deg(\bar{g}_i)}$.

② $Q_i + Q_j = S$ for $i \neq j$. $\exists i, \bar{g}_i + \bar{g}_j = 1$
 lift to $R[x]$. Put $x = \alpha$. \square

Exercise

$$\hookrightarrow ③ PS \mid Q_1^{e_1} \cdots Q_s^{e_s}$$

Assume the claims.

Wlog assume that Q_1, \dots, Q_s are proper and $Q_{s+1} = \dots = Q_r = S$

Then, $f(Q_i|_P) = f_i = \deg \bar{g}_i$ for $i \in [s]$.

$$\text{Also, } PS \mid Q_1^{e_1} \cdots Q_s^{e_s}$$

$$\therefore PS = Q_1^{d_1} \cdots Q_s^{d_s} \quad \text{for some } 0 \leq d_i \leq e_i.$$

$$\text{But } n = \sum_1^s d_i \cdot f_i \leq \sum_{i=1}^s e_i \cdot f_i \leq \sum_{i=1}^r e_i \cdot f_i = n.$$

\therefore All are equalities and $s = r$.