

Recursive Definitions

Chapter - No-1

Chapter-II

* definition and types of grammars and Languages

* D) Recursive definitions of Functions with Domain N

$$n! = \begin{cases} 1 & \text{if } n=0 \\ n \cdot (n-1)! & \text{if } n>0 \end{cases}$$

Here we define the factorial of any term at $n=0$ then by using $n=0$ find $0=1 \cdot 2 \cdot \dots \cdot n$

eg for mathematical induction

$$0+1+2+\dots+n = \frac{n(n+1)}{2}$$

Now

false.

$$\triangleright n=0$$

$$= \frac{0(0+1)}{2}$$

$$\triangleright n=1 = 0+1 = \frac{0(0+1)}{2} + 1$$

by using result of

$$n=0$$

$$\text{i.e. } \frac{0(0+1)}{2}$$

Now, eg. to print the numbers

1) Fibonacci Function

The fibonacci funn of following

$$f(0) = 1$$

$$f(1) = 1$$

$$f(2) = f(1) + f(0) = 2$$

Two Methods

1) Top down

2) Bottom up

① Calculate $f(4)$ by top down & bottom up

Top Down

$$f(4) = f(3) + f(2)$$

$$= f(2) + f(1) + f(2) + f(0)$$

$$= f(1) + f(0) + f(1) + f(1) + f(0)$$

$$= 1 + 1 + 1 + 1 + 1$$

$$\boxed{f(4) = 5}$$

By Top Down

Find. $f(7)$

Bottom - Up fashion

$$f(0) = 1$$

$$f(1) = 1$$

$$f(2) = f(1) + f(0) = 2$$

$$f(3) = f(2) + f(1) = 2 + 1 = 3$$

$$f(4) = f(3) + f(2) = 3 + 2 = \underline{\underline{5}}$$

By fibonacci fun'n f is usually defined
as

$$f(0) = 1$$

$$f(1) = 1$$

$$\boxed{\text{for every } n \geq 1 \quad f(n+1) = f(n) + f(n-1)}$$

step by induction.

Now,

prove that for every $n \geq 0$,

$$f(n) \leq \left(\frac{5}{3}\right)^n$$

① Basis step :-

Now at $n=0$

$$f(0) = 1$$

$$\text{at } f(0) = \left(\frac{5}{3}\right)^0$$

$$\left(\frac{5}{3}\right)^0 = 1$$

$$\therefore \boxed{f(0) = \left(\frac{5}{3}\right)^0}$$

for $k \geq 0$ with n

$$0 \leq n \leq k.$$

$$\therefore \boxed{f(n) \leq \left(\frac{5}{3}\right)^n}$$

Statement to show in induction step.

$$\underline{\underline{f(k+1) \leq \left(\frac{5}{3}\right)^{k+1}}}$$

Proof of Induction step :-

Now for every $k \geq 0$

$$f(k+1) = f(1) = 1$$

$$\text{Now } f(k+1) = f(k) + f(k-1)$$

$$= \left(\frac{5}{3}\right)^k + \left(\frac{5}{3}\right)^{k-1}$$

$$= \left(\frac{5}{3}\right)^{k-1} \left[\frac{5}{3} + 1\right]$$

$$= \left(\frac{5}{3}\right)^{k-1} \left(\frac{8}{3}\right)$$

$$= \left(\frac{5}{3}\right)^{k-1} \left(\frac{24}{9}\right)$$

$$f(B+1) = \left(\frac{5}{3}\right)^{B-1} \left(\frac{25}{9}\right)$$

$$< \left(\frac{5}{3}\right)^{B-1} \left(\frac{25}{9}\right)$$

$$< \left(\frac{5}{3}\right)^{B-1} \left(\frac{5}{3}\right)^2$$

$$f(B+1) < \left(\frac{5}{3}\right)^{B+1}$$

$$\therefore \boxed{f(B+1) \leq \left(\frac{5}{3}\right)^{B+1}}$$

Recursive Definitions of sets

It can't involve an integer explicitly n empty principle is similar. We specify certain objects that can set to start with & describe one or more general method.

* * Definition & types of grammars & Languages

Language :- set of string involves symbols from alphabet. & number string should be

- a set of alphabets
from a to z & A to Z

Alphabets are set of finite 26 symbols

Numbers - \Rightarrow 0 to 9 Numbers.

A string over alphabet Σ is obtained by placing some elements of Σ .

If n is string then over Σ & it mention no. of symbols in it i.e. $|n| \text{ mod } n$.

String over alphabet {a, b} are a, ab, aa, aba etc.

We have

$$|a| = 1$$

$$|ab| = |aa| = 2$$

$$|aba| = 3$$

A null string denoted by λ

- We never allow the string λ , ie null string

- for any Alphabet Σ the set of all strings over Σ is denoted by Σ^*

If $\Sigma = \{a, b\}$ then

$$\Sigma^* = \{a, b\}^*$$

$$= \{\lambda, a, b, aa, ab, ba, bb, aaa, aab, aba, abb, \dots\}$$

- For any two string over alphabet Σ the following operations could be performed

- 1) Union
- 2) intersection
- 3) Difference

Universal set - Σ^*

- If L is language then subset

$$L' = \Sigma^* - L$$

$$L_1 \subseteq \Sigma_1^*$$

$$L_2 \subseteq \Sigma_2^*$$

then L_1 & L_2 are both subsets of $(\Sigma_1 \cup \Sigma_2)^*$

Concatenation if x & y are elements of

Σ^* concatenation could be xy
concatenation is purely associative

$$\text{if } x = aab$$

$$y = bba$$

$$xy = aabbba$$

$$yx = bbbaab$$

- Concatenation operation should be
works on two strings like

$$\text{if } L_1, L_2 \subseteq \Sigma^*$$

$$L_1 L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\}$$

eg

$$\{\text{hope, fear}\} \{\text{less, fully}\} =$$

$$\{\text{hopeless, hopefully, fearless,}\newline \text{fearfully}\}$$

- Concatenation of a string x
with null λ will give \underline{x}

eg.

$$a^0 = \lambda$$

$$n^0 = \lambda$$

$$\Sigma^0 = \{\lambda\}$$

$$L^0 = \{\lambda\}$$

which are analogous to algebra
in algebra

$$a^0 = 1 \text{ & so on}$$

Now Unit of Concatenation is λ
ie

$$\text{if } n^p n^q = n^{p+q}$$

If $p = 0$ then

$$\text{if } n^0 n^q = \lambda \cdot n^q = n^q$$

ie λ is unit of multiplication

- Let us consider concatenating the string n times then it will be

L^k .

- If infinite no of operations are performed then

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

$\# * \Rightarrow$ Kleene Star.

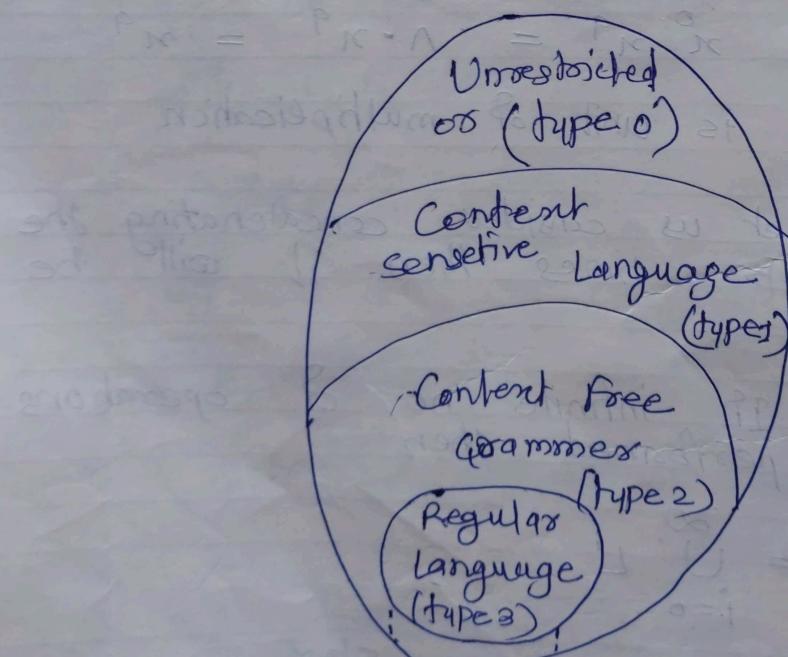
The given string starts from 0 &
having infinite symbols

If the string starts with 1
then it will simply represented
by

$$L^+ = \bigcup_{i=1}^{\infty} L^i$$

A string and L^+ & L^* are the same

* * Chomsky Relation in Language
* Grammer



Types of Language

- 1) Content sensitive language (type 1)
- 2) Content free grammars (type 2)
- 3) Regular language type (type 3)
- 4) Unrestricted or type 0

① Unrestricted or type 0

Unrestricted grammar is + tuples

$$G = \{ V, \Sigma, S, P \}$$

+ V = finite set of variables

Σ = Terminal symbols

$S \in \Sigma$ start symbol

P = finite set of productions

Unrestricted grammar permits productions
of the form

$$\alpha \rightarrow \beta$$

+ α = string of terminal & Non
Terminal with at least
one non terminal & not \in

β = string of terminal &
Nonterminal

e.g.

$$AE \rightarrow \epsilon$$

$$AB \rightarrow CD$$

3) Context Sensitive Grammar / Language
- Contains four tuple like
type 0 & called as Content
sensitive or type 1 grammar.

If $\alpha \rightarrow \beta$ ~~is~~ well formed ①
start + $\alpha =$ string of terminal & Non
terminal (at least one)
follows $\beta =$ At least as long as α .
 $bB \rightarrow bb$
 $AZ \rightarrow AB$
 ~~$aA \rightarrow aa$~~

3) Context Free Language

- Every Context free is
Content free

- Reverse of context free is
Content free

- It performs Union Concatenation

& Kleene Operation

4) Regular Language:- It contains all
the properties of type 0, 1 & 2 lang

* Regular Expressions & Corresponding Regular Languages

Regular Expression -

The algebraic notation describes exactly the same language as finite automata.

It contains operations like

- 1) Union
- 2) Concatenation (dot)
- 3) closure (star)

Algebraic Operations

	union	concatenation	closure
Associative	✓	✓	✗
commutative	✓	✗	✗
idempotent	✓	✗	✗

while converting Regular Language into regular expression following rules are followed

- 1) Replace set brackets {} by parentheses ()
 - 2) , by U
 - 3) U by +
- The result will be regular expression

Regular Language Corresponding Regular Expression

1) $\{\lambda\}$ ie $\{\lambda\} = \lambda$

2) $\{0\}$ ie $\{0\} = 0$

3) $\{001\}$ ie $\{0\}\{0\}\{1\} = 001$

4) $\{0, 10\}$ ie $\{0\} \cup \{10\} = 0 + 10$

5) $\{1\}^* \cup \{10\}^*$ $= 1^* + 10$

6) $\{10, 111, 11010\}^*$ $= (10 + 111 + 11010)^*$

7) $\{110\}^* \{0, 1\}^*$ $= (110)^* (0 + 1)^*$

Defin Regular Languages & Regular Expression over Σ

The set R of regular language over Σ & the corresponding regular expression are defined as follows

1) If ϕ is element of R . Then corresponding regular expression is ϕ .

2) $\{1\}$ is element of R , the corresponding regular expression is a .

3) for each $a \in \Sigma : \{a\}$ is an element of R . Then corresponding regular expression is a

4) If L_1 & L_2 are elements of R & corresponding regular expression is $(x_1 + x_2)$

a) $L_1 \cup L_2$ is an element of R , corresponding regular expression is $(x_1 + x_2)$:

b) $L_1 L_2$ is an element of R , and the corresponding regular expression is $(x_1 x_2)$:

c) L_1^* is an element of R and the corresponding regular expression is (x_1^*)

x^2 stands for (xx)

(x^+) stands for $((x^*)x)$

* Kleene having highest precedence
 + having lowest
 concatenating in between them

$$(a+b)^* \neq a^* + b^*$$

Rules for simplifying regular expression
over $\{0, 1\}$

$$1^*(1+\lambda) = 1^*$$

$$1^*1^* = 1^*$$

$$0^* + 1^* = 1^* + 0^*$$

$$(0^*1^*)^* = (0+1)^*$$

$$(0+1)^* \cdot 01 (0+1)^* + 1^*0^* = (0+1)^*$$

$$(0+1)^*01 + 1^*0^*$$

Example in Regular Expression
Regular Language

1) string of even length

$L \subseteq \{0, 1\}^*$ be language
of all string of even length

Any string of even length can be obtained by concatenating zero or more strings of length 2, having even length.

$$L = \{00, 01, 10, 11\}^*$$

$$d + p \neq ^*(d+p)$$

Regular Expression corresponding to L is

$$(00 + 01 + 10 + 11)^*$$

$$\begin{aligned} & (0(0+1) + 1(0+1))^+ \\ & ((0+1)(0+1))^+ \end{aligned}$$

2) \therefore string of length 6 or less.

Let L be the set of all strings over $\{0, 1\}$ of length 6 or less

Regular language

$$L = \{ 1, 0, 1, 00, 01, 11, 000, \dots,$$

$$\dots, 111110, 111111 \}$$

Converting into regular expression

$$1 + 0 + 1 + 00 + 01 + \dots + 111110 + 111111$$

$$1 + (0+1) + 0(0+1) + 1(0+1) + \dots + 11111(0+1)$$

$$1 + (0+1)(0+1)(0+1)(0+1)(0+1)(0+1)$$

By Neglecting All null (λ)
Transitions we get

$(0+1)^6$ Regular expression

by Considering it we get.

$(\lambda + 0+1)^6$ Denial to write

All λ as some term Contains
negligible term equals to

$$0+1 = \lambda + 0+1$$

3) Language of Identifiers

It Contains

- 1) digits (d)
- 2) letters (l)
- 3) underscores (-)

digits $d = 0+1+\dots+9$

letters $l = a+b+\dots+l+y+z$

Underscore (-)

$(l \cdot -)(l+d \cdot -)^*$

* Regular Expressions & Languages :-

- Concatenating of simple string having length 1, if language having $\{a\}$ then $a \in \Sigma$

- It contain only string of single character. Instead of concatenation we can add.

\emptyset empty language
 λ null string.

- The regular language over alphabet Σ contain basic operations like union, concatenation, Kleene *

- we replace \cup by + & $\{\}\}$ with parentheses. the result called as regular expression.

Eg:-

Regular Language

Regular

Expression

1) $\{\lambda\}$

\wedge

2) $\{0\}$

0

3) $\{001\}$ ie. $\{0\}\{0\}\{1\}$

001

4) $\{0,1\}$ ie $\{0\} \cup \{1\}$

$0+1$

ADCET

$$5) \{0, 10\} \text{ ie } (\{0\} \cup \{10\})$$

Q + 10

$$6) \{1, \lambda\} \{001\} \Rightarrow (1 + \lambda) 001$$

$$\Rightarrow \{110\}^* \{0, 1\} \Rightarrow (110)^* (0+1)$$

$$7) \{1\}^* \{10\} \Rightarrow 1^* 10$$

$$8) \{10, 111, 11010\}^* \Rightarrow (10 + 111 + 11010)^*$$

$$9) \{0, 10\}^* (\{11\}^* \cup \{001, \lambda\}) \Rightarrow$$

$$(0+10)^* ((11)^* + (001+\lambda))$$

Regular Languages & Regular Expressions over Σ

The set R of regular languages over Σ & corresponding regular expressions are.

i) ϕ is element of R. Then corresponding regular expression is ϕ

ii) $\{\lambda\}$ is an elements of R
into the λ is regular expression

3) For each $a \in \Sigma$, $\{a\}$ is an element of R. The regular expression will be a

4) If L_1 & L_2 are any elements of R. & x_1 & x_2 are corresponding regular expressions

▷ $L_1 L_2$ Then regular expression $(x_1 + x_2)$

2) $L_1 L_2$ is element of R then regular expression $(x_1 x_2)$:

3) If L_1^* is element of R then corresponding regular expression will be (x_1^*)

Algebraic Expressions

- 1) Kleene * having highest priority
- 2) concatenation having 2nd priority
- 3) + having last priority

we can write $(a + ((b^*)c))$ as

$a + b^*c$.

$(ab)^*$ \neq $a + b^*$

$$\text{Poore} \quad (\gamma^* s^*)^* = (\gamma + s)^*$$

$$i^*(i+1) = i^*$$

$$0^* + i^* = i^* + 0^*$$

$$\underline{(0^* i^*)^*} = \underline{(0+1)^*}$$

$$\text{ie } (\gamma^* s^*)^* = (\gamma + s)^*$$

Eg:- 1) Poore string of even length

$$L = \{00, 01, 10, 11\}^*$$

$$= \{0(0+1)1(0+1)\}^*$$

$$\boxed{L = \{(0+1)(0+1)\}^*}$$

2) The language of C identifiers

ℓ = letters. a, b, \dots, z, A, \dots

d = digits. $0, 1, \dots, 9$

$$L = (a+b+\dots+z+A+B+\dots+z+$$

$$0+1+\dots+9)$$

only letters, digits & underscores (-).

$$(l + -) (- + d + -)^*$$

\Rightarrow strings of length 6 or less.

Let L be string over $\{0, 1\}$
having length 6 or less

$$\therefore L = \Lambda + 0 + 1 + 00 + 01 + 10 + \dots + 111111$$

$$= (0+1)(0+1)(0+1)(0+1)(0+1)(0+1)$$

$$= (0+1)^6$$

it will written as

$$(0+1+\Lambda)^6$$

string others than 00.

* Applications of Regular Expression

i) Regular Expressions in UNIX -

Complex regular expressions in languages can be reduced by using RE.

eg $\{0,1\}^n$ replaced by $(0+1)^n$.
by \cup , \cup by $+$ etc

$$\{0,1\}^n \Rightarrow (0+1)^n + \{0\}^n \cup \{1\}^n = (0+1)^n$$

In above eg only two symbols so it is simple if it contain more symbols like 128 then it will be diff to write so we use this

Alphabet -

In language $\{a, b, \dots, z, A, B, \dots, Z\}$

In Regular Expression
 $\{ \}$ replaced by $()$ & by $+$
 $(a+b+\dots+z+A+\dots+Z)$

In Unix RE
 $[a-z A-Z]$

Numbers $[0-9]$

2) Lexical Analysis

- Xing oldest Application

- Scan source program

& recognize all tokens

token (All keywords, identi-

- Separation of source prog

into tokens & give to destination
called lexical analysing of program

3) Finding pattern in Text

high level by using very

small effort

Compiler - Regular expression
into executable code.

Input Output

(A + B + C + D + E)

39 result of
[E-A-E-O]

[E-O] result

* * Finite Automata

Finite Automata or Finite state machine (FA) is a 5 tuple $(Q, \Sigma, q_0, A, \delta)$

$Q \Rightarrow$ Finite set of states

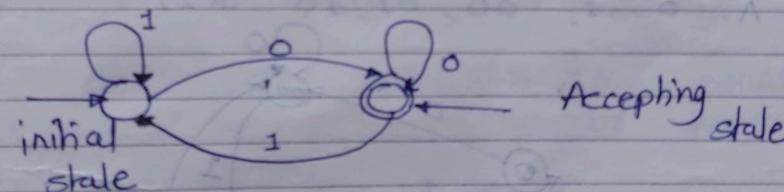
$\Sigma \Rightarrow$ Finite alphabet of i/p symbols

$q_0 \in Q \Rightarrow$ initial state

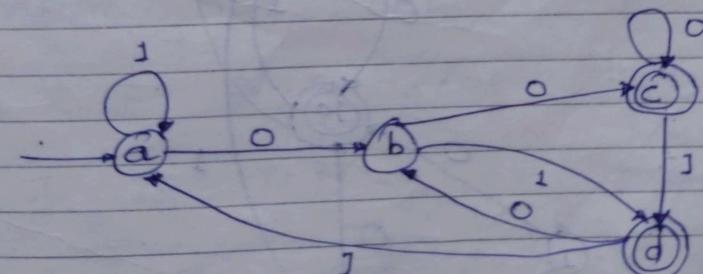
$A \subseteq Q \Rightarrow$ Set of accepting states

S is a funn from $Q \times \Sigma$ to Q
 $Q \times \Sigma \rightarrow Q$ ie transition function.

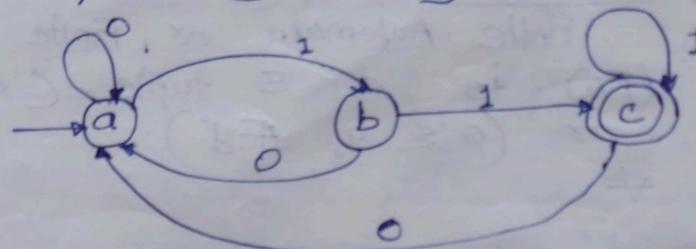
Eg:- \Rightarrow string Ending in 0



\Rightarrow string with next to last symbol



\Rightarrow string ending with 11



\Rightarrow If $L = \{0, 1\}^* \{10\}$ the language
of all string in $\{0, 1\}^*$ ending
with 10

\Rightarrow Let we take the states like
1, 0, 1, 00, 01, 10 & 11

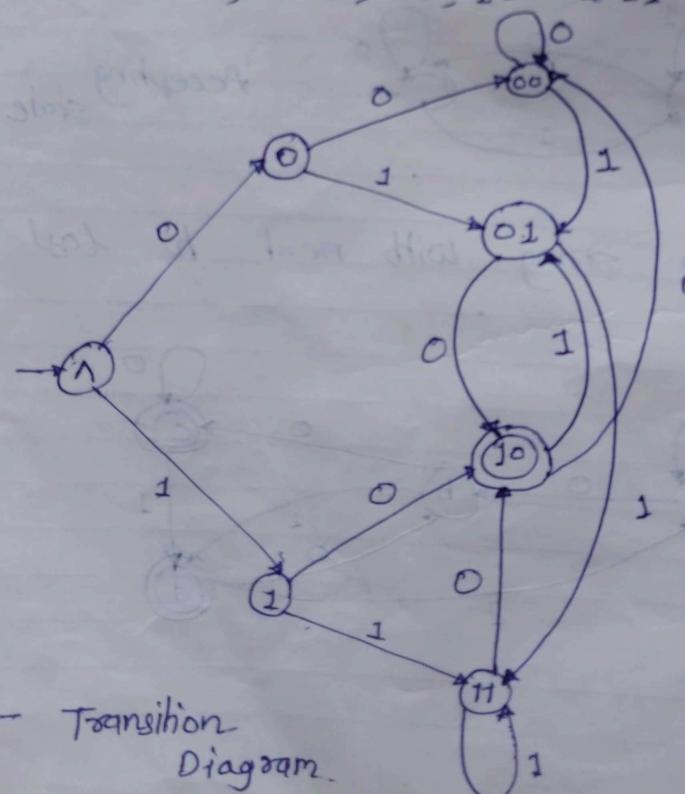


Fig:- Transition Diagram.

Transition Table

states		input		
		0	1	
→ ↑	0	0	1	
	00	00	01	
	1	10	11	
	00	00	01	
	01	10	11	
	10	00	01	
	11	10	11	

identical states / transitions

Now from the given table we can conclude that

At 0, 00 & 10 equal i/p
as transition

at 1, 01, & 11 equal transition
so

Above steps are identical from
above we can mention

0, 00, & 10 as single step. p.

1, 10 & 11 as single step q

Now we consider only three
steps else

p. q & 10

Accepting state
ACET

Simplified Finite Automaton recognizing

$\{0,1\}^*$ $\{10\}$ with be

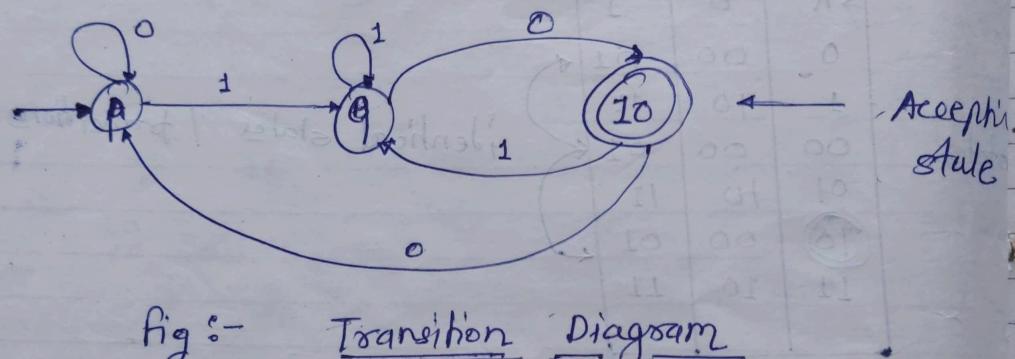


Fig :- Transition Diagram

from above The transition table will be.

	input	
	0	1
A	A	B
B	10	B
10	A	B

Fig :- Transition Table

If we consider null branching then it will be

	0	1
A	A	B
B	10	B
10	A	B

A = string end with 0
B = string end with 1

* Finite Automata

A finite automata is 5 tuple $(\mathcal{S}, \Sigma, q_0, A, \delta)$ called as finite state machine.

\mathcal{S} = finite set.

Σ = finite element of i/p symbols.

$q_0 \in \mathcal{S}$ initial state.

$A \subseteq \mathcal{S}$. Accepting set.

δ = transition function.

Let M be the FA with \mathcal{S} state set.

$$M = (\mathcal{S}, \Sigma, q_0, A, \delta)$$

Eg 1) $L = \{0, 1\}^* \{10\}$.
strings ending with 10.

	0	1
Λ	0	1
0	00	01
1	10	11
00	00	01
01	10	11
10	00	01
11	10	11

Transition table.

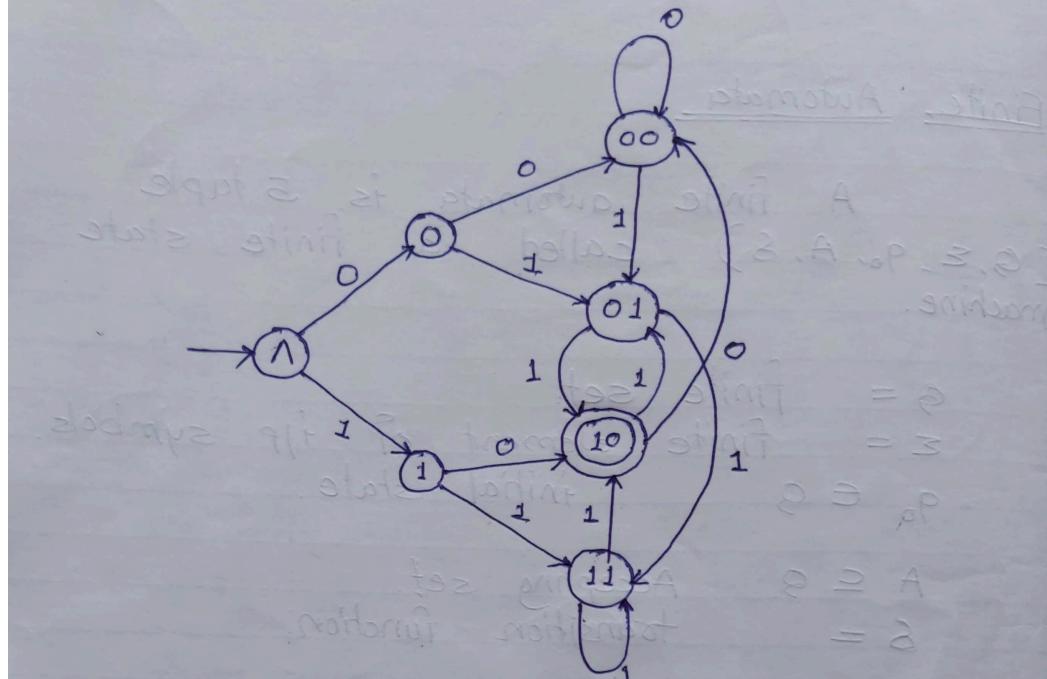
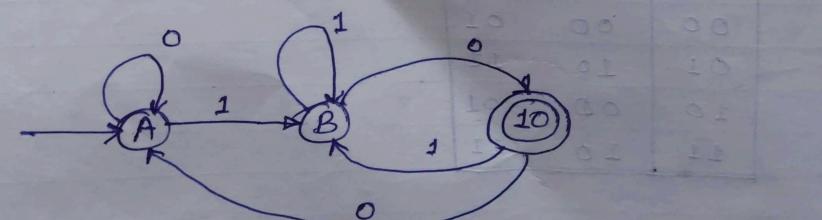


fig:- Transition Table sd M foT

	0	1
Input		
A	A	B
A	A	B
B	10	B
10	A	B

fig:- Transition table.



from the above

		input	
		0	1
state.	A	A B	
	B	10 B	
	10	A B	

* Union, Intersections & Complements of Regular Languages

Suppose L_1 & L_2 are both regular languages over an alphabet Σ . These are FAs M_1 & M_2 accepting L_1 & L_2 respectively.

- Then

Union $L_1 \cup L_2$
 Concatenation $L_1 L_2$
 Kleene (closure) L_1^* are also regular languages.

- If a string x belongs to $L_1 \cup L_2$
 Then

$x \in L_1$ or $x \in L_2$ gives two languages

$$L_1 \cap L_2, L_1 - L_2$$

- If

$$M_1 = (Q_1, \Sigma, q_1, A_1, S_1)$$

$$M_2 = (Q_2, \Sigma, q_2, A_2, S_2)$$

Are the two automata of L_1 & L_2
 Then

$$M = M_1 \cup M_2$$

The ordered pair will be $(Q_1 \times Q_2, \Sigma, (q_1, q_2), A_1 \cup A_2, S_1 \cup S_2)$

† property of ordered pairs ADCET

The pair of initial state
will be (q_1, q_2)

If M is in the state (p, q)
ie p & q are current states of
 M_1 & M_2 & receives input symbol
 a

\therefore two states will be

$$\boxed{(\delta_1(p, a), \delta_2(q, a))}$$

Suppose

$$M_1 = (\Sigma_1 - \epsilon_1, q_1, A_1 - S_1) \text{ &}$$

$$M_2 = (\Sigma_2 - \epsilon_2, q_2, A_2 - S_2)$$

accepts the language L_1 & L_2
respectively & M be the
automata accepted by

$$M = (\Sigma, \epsilon, q_0, A, S)$$

$$S = S_1 \times S_2$$

$$q_0 = (q_1, q_2)$$

The transition function can be
defined by

$$\delta((p, q), a) = (\delta_1(p, a), \delta_2(q, a))$$

For any

$$p \in Q_1$$

$$q \in Q_2$$

$$a \in \Sigma \text{ then}$$

1) If $A = \{(p, q) \mid p \in A_1 \text{ or } q \in A_2\}$,

M accepts language $L_1 \cup L_2$

2) If $A = \{(p, q) \mid p \in A_1 \text{ and } q \in A_2\}$

M accepts the language $L_1 \cap L_2$

3) If $A = \{(p, q) \mid p \in A_1 \text{ & } q \notin A_2\}$

M accepts the language $L_1 - L_2$

Proof -

Now let M be the finite automata with transition function s^* , with extended states s_1^* and s_2^* .

$$s^*((p, q), \gamma) = (s_1^*(p, \gamma), s_2^*(q, \gamma))$$

which hold for any $\gamma \in \Sigma^*$ & $(p, q) \in Q$.

By mathematical induction

$$(s_1^*(q_1, q_2), \gamma) \in A$$

iff γ is accepted by M.

by ADCET

$$(s_1^*(q_1, x), s_2^*(q_2, x)) \in A$$

If we consider case 1 then

we can get

$$s_1^*(q_1, x) \in A_1 \text{ or } s_2^*(q_2, x) \in A_2$$

in other words

$$x \in L_1 \cup L_2$$

* Non Deterministic finite Automaton

A Non deterministic finite automata abbreviated NFA is a 5 tuple $M = (\Omega, \Sigma, q_0, A, \delta)$ where Ω are nonempty finite sets $q_0 \in \Omega, A$

$$\delta: \Omega \times \Sigma \rightarrow 2^\Omega$$

* Ω = set of states

Σ = Alphabet

q_0 = initial state

A = Accepting states

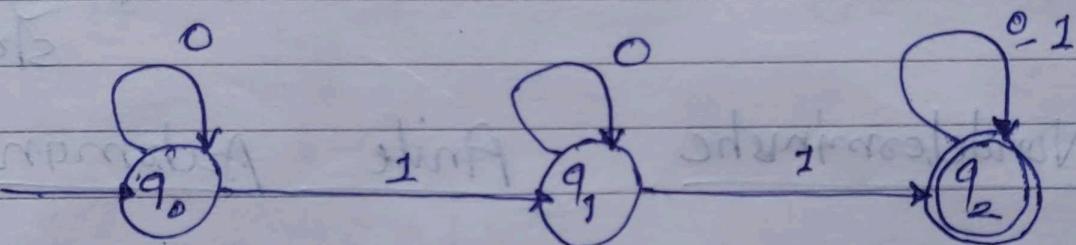
The power to be several states at once

in deterministic automata only single power or single transition

String Ending with 01

In Deterministic Finite Automata (DFA)

- i) Only single transition fan will be there.



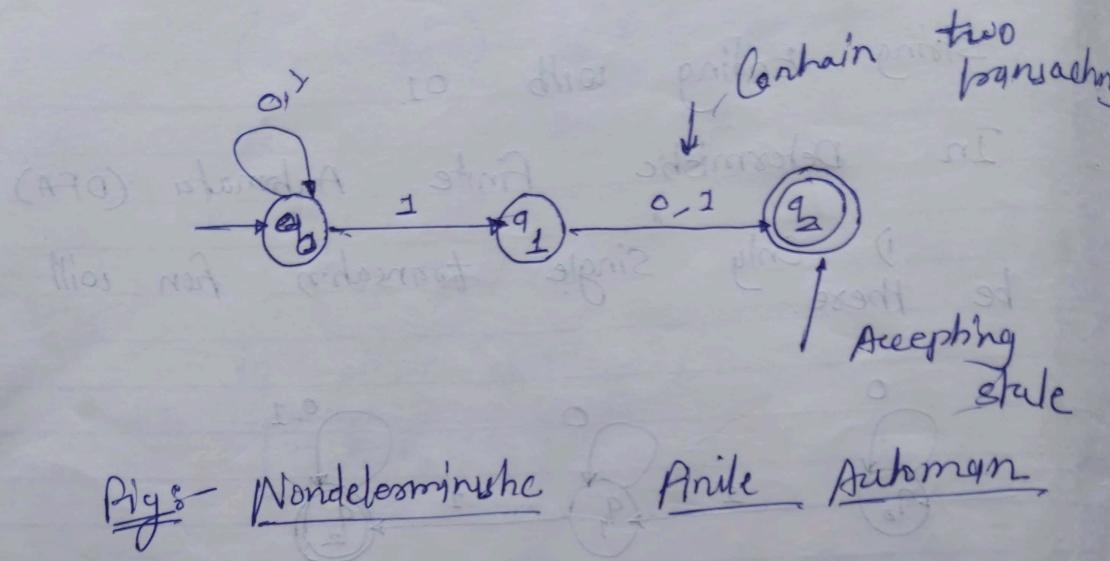
Transition diagram

- ii) Instead of Accepting state only single transition

	0	1
q0	q0	q1
q1	q1	q2
q2	q2	q2

Non Deterministic Automaton

It will contain two transitions or two gos at a single state. Accepting state must be empty.

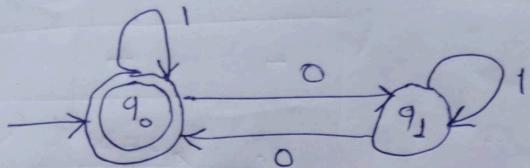


plus states respond to both 0 &
 1 output signs

P	O	
P	O	P
P	O	P
P	O	P
P	O	P

accept a string which
 contains 0 & 1
 at least once
 & no 0's consecutive

* check whether following is NFA/DFA



<u>String</u>	<u>Acceptance</u>	<u>Reject</u>
(a) 1	✓	
(b) 10	X	
(c)	†	
(d) 11001	✓	
(e)	10	
(f)	101011	
(g)	0*1*	

<u>state</u>	<u>Diagram</u>		<u>Alphabets</u>
	0	1	
states	q1	q0	
	q1	q1	

- i) $\delta(q_0, 0) = q_1$
 ii) $\delta(q_0, 1) = q_0$
 iii) $\delta(q_1, 0) = q_0$
 iv) $\delta(q_1, 1) = q_1$
- } Transactions

$$⑨ \quad 1^* = \{ \underline{1}, \underline{11}, \underline{111}, \dots \}$$

$$\textcircled{a} \quad 1 \Rightarrow \delta(q_0, 1) = q_0 \quad \checkmark$$

$$\textcircled{b} \quad 11 \Rightarrow \delta(q_0, 1) = q_0$$

$$\delta(q_0, 1) = q_0 \quad \checkmark$$

$$1^* = \{ \underline{1}, \underline{11}, \underline{111}, \underline{1111}, \dots \}$$

$$= \{ \underline{1}, \underline{11}, \underline{111}, \underline{1111}, \dots \}$$

$$⑩ \quad 0^* = \{ \underline{0}, \underline{00}, \underline{000}, \underline{0000}, \dots \}$$

$$= \{ \underline{0}, \underline{00}, \underline{000}, \underline{0000}, \dots \}$$

$$\textcircled{a} \quad 0 \Rightarrow \delta(q_0, 0) = q_1 \quad \times$$

$$\textcircled{b} \quad 00 \Rightarrow \delta(q_0, 0) = q_1$$

$$\delta(q_1, 0) = q_0 \quad \checkmark$$

$$\textcircled{c} \quad 000 \Rightarrow \delta(q_0, 0) = q_1$$

$$\delta(q_1, 0) = q_0$$

$$\delta(q_0, 0) = q_1 \quad \times$$

$$\textcircled{d} \quad 000 \Rightarrow \delta(q_0, 0) = q_1$$

$$\delta(q_1, 0) = q_0$$

$$\delta(q_0, 0) = q_1 ; \quad \delta(q_1, 0) = q_0 \quad \checkmark$$

① 101 X
 $\delta(q_0, 1) = q_0$
 $\delta(q_0, 0) = q_1$
 $\delta(q_1, 1) = \underline{q_1}$

② 11001 ✓
- $\delta(q_0, 1) = q_0$
- $\delta(q_0, 0) = q_1$
- $\delta(q_1, 0) = q_0$
 $\delta(q_0, 1) = \underline{q_0}$

③ 010011
 $\delta(q_0, 0) = q_1$
 $\delta(q_1, 1) = q_1$
 $\delta(q_1, 0) = q_0$
 $\delta(q_0, 0) = q_1$
 $\delta(q_1, 1) = q_1$
 $\delta(q_1, 1) = q_1$ A

0 1

$$0^* = \{ 0, 00, 000, \dots \} \quad \text{plain}$$

$$1^* = \{ 1, 11, 111, \dots \} \quad \text{plain}$$

$$0^* 1^* = \{ 0, 1, 11, 111, 1111, 00, 01, 011, 0111, \\ 000, 001, 0011, 00111, 0000, 0001, 00011, \\ 000111 \}$$

$$= \{ 1, 11, 111, 0, 01, 011, 0111, 00, 001, 0011, \\ 00111, 000, 0001, 00011, 000111, \dots \}$$

$$0^* 1^* = \{ 0, 1, 00, 01, 11, \dots \}$$

Ⓐ 0 $\Rightarrow \delta(q_0, 0) = q_1 \quad \times$

Ⓑ 1 $\Rightarrow \delta(q_0, 1) = q_0 \quad \checkmark$

Ⓔ 00 $\Rightarrow \delta(q_0, 0) = q_1$
 $\delta(q_1, 0) = q_0 \quad \checkmark$

Ⓓ 000 $\Rightarrow \delta(q_0, 0) = q_1$

$$\delta(q_1, 0) = q_0$$

$$\delta(q_0, 0) = q_1$$

$$\delta(q_1, 1) = q_1 \quad \times$$

Hence it is not Accepted by FA.

Moore Machine :- o/p depends only on s

Mealy M/c :- o/p depends on i/p & s

Draw the state diagram using following
table & string accepted by FA 110001

state \ i/p	0	1
q_0	q_2	q_1
q_1	q_3	q_0
q_2	q_0	q_3
q_3	q_1	q_2

$$\delta(q_0, 110001) = \delta(q_1, 10001)$$

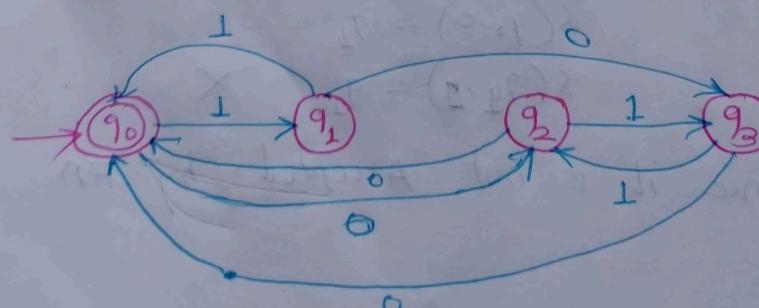
$$= \delta(q_0, 0001)$$

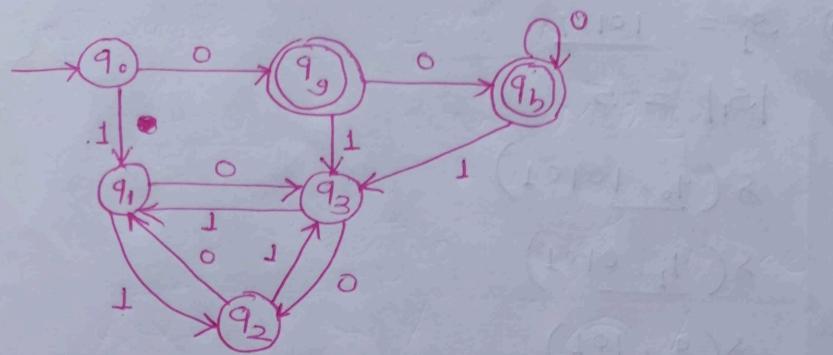
$$= \delta(q_2, 001)$$

$$= \delta(q_3, 01)$$

$$= \delta(q_1, 1)$$

$$= \underline{\underline{q_0}} \quad \text{Accepting state}$$





$q_0 \Rightarrow$ Initial state

$$\mathcal{Q} = \{ q_0, q_1, q_2, q_3, q_5, q_b \}$$

$$\Sigma = \{ 0, 1 \}$$

$$F = \{ q_5, q_b \}$$

Transition table

	0	1
q_0	q_2	q_1
q_1	q_3	q_2
q_2	q_1	q_3
q_3	q_2	q_1
q_5	q_b	q_3
q_b	q_b	q_3

$$\textcircled{a} \quad S_1 = \underline{10101}$$

$$|S_1| = 5$$

$$\delta(\underline{q_0}, \underline{10101})$$

$$\delta(\underline{q_1}, \underline{0101})$$

$$\delta(\underline{q_3}, \underline{101})$$

$$\delta(\underline{q_2}, \underline{01})$$

$$\delta(\underline{q_1}, \underline{1}) = \underline{\underline{q_2}} \quad \text{Non Final State}$$

$$\textcircled{b} \quad S_2 = 0^* 11$$

$$= \{ \text{ } \cap 11, 011, 0011, 00011, \dots \}$$

$$S_{12} = 11$$

$$\delta(\underline{q_0}, \underline{11})$$

$$\delta(\underline{q_1}, \underline{1}) = \underline{\underline{q_2}} \quad \text{N Accepting}$$

$$\textcircled{c} \quad S_3 = 0^*$$

$$= \{ \text{ } \cap, 00, 000 \}$$

$$\delta(\underline{q_0}, \underline{0}) = \underline{\underline{q_2}} \quad \text{Accepted}$$

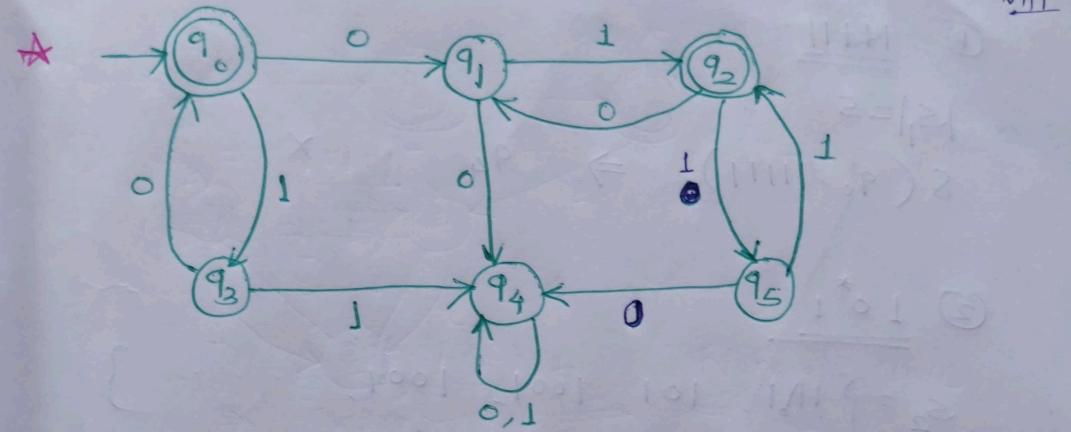
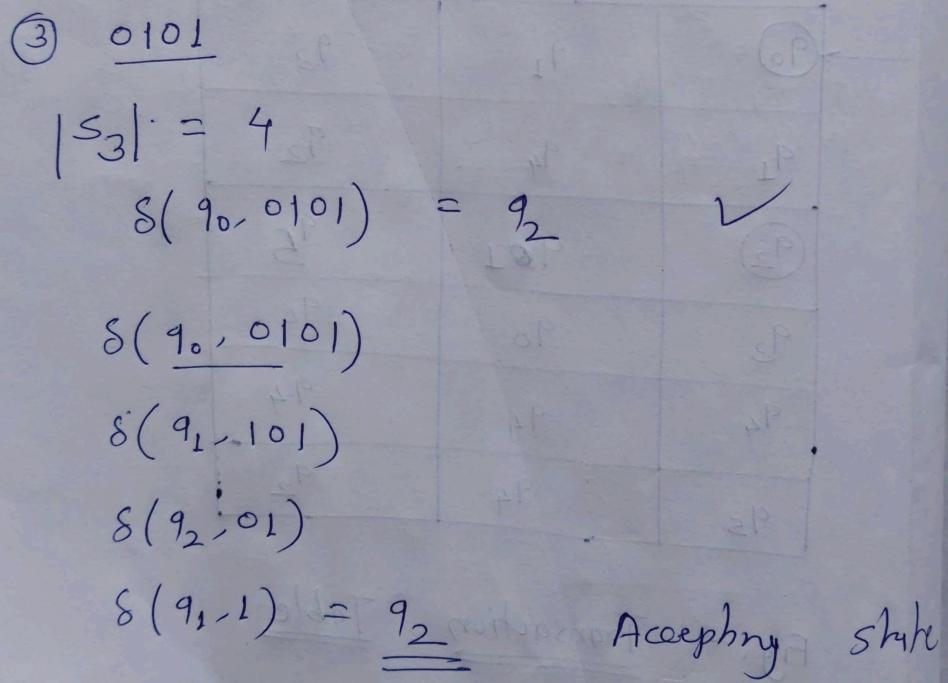
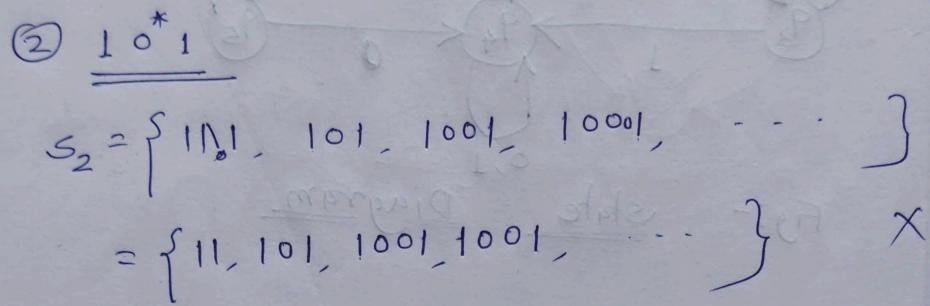
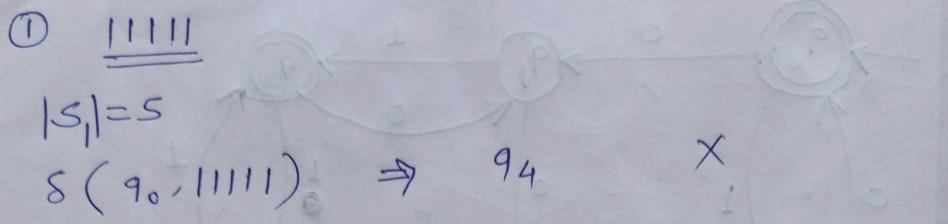


Fig:- state Diagram

	0	1
q_0	q_1	q_3
q_1	q_4	q_2
q_2	$q_{1,0}$	q_5
q_3	q_0	q_4
q_4	q_4	q_4
q_5	q_4	q_2

Fig:- Transaction Table



Applications of Finite Automata

Applications of finite automata include string matching algorithms, network protocols and lexical analyzers

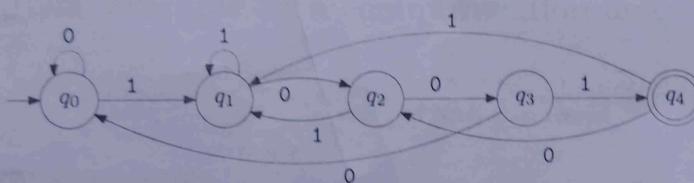
String Processing

Consider finding all occurrences of a short string (*pattern string*) within a long string (*text string*).

This can be done by processing the text through a DFA: the DFA for all strings that *end* with the pattern string. Each time the accept state is reached, the current position in the text is output.

Example: Finding 1001

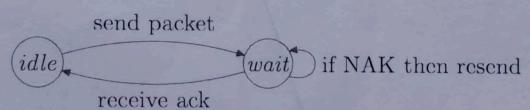
To find all occurrences of pattern 1001, construct the DFA for all strings ending in 1001.



Finite-State Machines

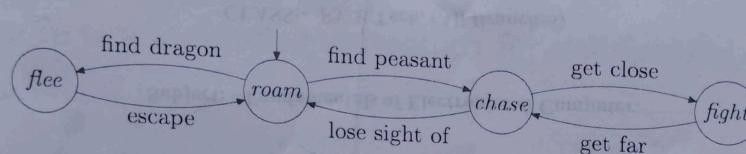
A **finite-state machine** is an FA together with actions on the arcs.

A trivial example for a communication link:



Example FSM: Bot Behavior

A **bot** is a computer-generated character in a video game.

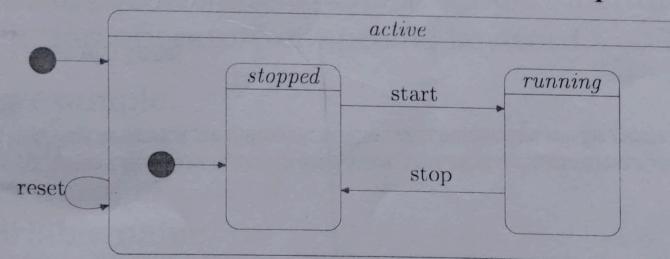


Note that using finite-state machine allows automation.

Statecharts

Statecharts model tasks as a set of states and actions. They extend FA diagrams.

Here is a simplified statechart for a stopwatch.



Lexical Analysis

In compiling a program, the first step is *lexical analysis*. This isolates keywords, identifiers etc., while eliminating irrelevant symbols.

A *token* is a category, for example “identifier”, “relation operator” or specific keyword.

For example,

token *RE*

keyword then then

variable name [a-zA-Z] [a-zA-Z0-9]*

where latter RE says it is any string of alphanumeric characters starting with a letter.

Lexical Analyzer

A lexical analyzer takes source code as a string, and outputs sequence of *tokens*.

For example,

```
for i = 1 to max do  
    x[i] = 0;
```

might have token sequence

```
for id = num to id do id [ id ] = num sep
```

As a token is identified, there may be an action. For example, when a number is identified, its value is calculated,

Applications of Deterministic Finite Automata

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Spring 2013

1 Deterministic Finite Automata

Deterministic Finite Automata, or DFAs, have a rich background in terms of the mathematical theory underlying their development and use. This theoretical foundation is the main emphasis of ECS 120's coverage of DFAs. However, this handout will focus on examining real-world applications of DFAs to gain an appreciation of the usefulness of this theoretical concept. DFA uses include protocol analysis, text parsing, video game character behavior, security analysis, CPU control units, natural language processing, and speech recognition. Additionally, many simple (and not so simple) mechanical devices are frequently designed and implemented using DFAs, such as elevators, vending machines, and traffic-sensitive traffic lights.

As the examples below will demonstrate, DFAs naturally lend themselves to concisely representing any system which must maintain an internal definition of state. Our examples begin with vending machines, which need to remember how much money the user has input, and continue to more complicated examples of video game agent AI and communication protocols. As our final example, we will consider the incorporation of finite state machines into the Apache Lucene open-source search engine, where they are used to implement search term auto-completion.

Formally, a deterministic finite automaton is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ such that:

1. Q is a finite set called the **states**
2. Σ is a finite set called the **alphabet**
3. $\delta : Q \times \Sigma \rightarrow Q$ is the **transition function**

4. $q_0 \in Q$ is the **start state**
5. $F \subseteq Q$ is the set of accept states

We also discuss Mealy machines, which add to the expressiveness of DFAs by producing output values at each transition, where the output depends on the current state and input. Rather than accepting or rejecting strings, Mealy machines map an input string to an output string. They can be thought of as functions that receive a string and produce a string in response.

Formally, a Mealey machine is a 6-tuple $(Q, \Sigma, \Gamma, \delta, \omega, q_0)$ such that:

1. Q, Σ, δ , and q_0 are defined as in a DFA.
2. Γ is a finite set called the **output alphabet**
3. $\omega : Q \times \Sigma \rightarrow \Gamma$ is the **output function**

2 A Non-Exhaustive List of DFA Applications

- Vending Machines
- Traffic Lights
- Video Games
- Text Parsing
- Regular Expression Matching
- CPU Controllers
- Protocol Analysis
- Natural Language Processing
- Speech Recognition
- Lexical Analysis
- Pattern Recognizing
- Design Sequential Circuit
- Implement Spell checkers

3 Vending Machines

Figure 1 presents a DFA that describes the behavior of a vending machine which accepts dollars and quarters, and charges \$1.25 per soda. Once the machine receives at least \$1.25, corresponding to the blue-colored states in the diagram, it will allow the user to select a soda. Self-loops represent ignored input: the machine will not dispense a soda until at least \$1.25 has been deposited, and it will not accept more money once it has already received greater than or equal to \$1.25.

To express the DFA as a 5-tuple, the components are defined as follows:

1. $Q = \{\$0.00, \$0.25, \$0.50, \$0.75, \$1.00, \$1.25, \$1.50, \$1.75, \$2.00\}$ are the **states**
2. $\Sigma = \{\$0.25, \$1.00, select\}$ is the **alphabet**
3. δ , the **transition function**, is described by the state diagram.
4. $q_0 = \$0.00$ is the **start state**
5. $F = \emptyset$ is the **set of accept states**

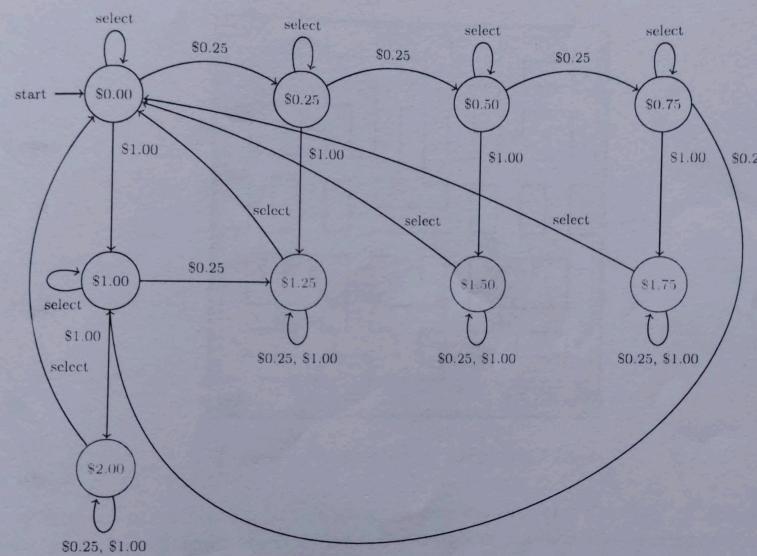


Figure 1: Vending Machine State Diagram

4 AI in Video Games: Pac-Man's Ghosts

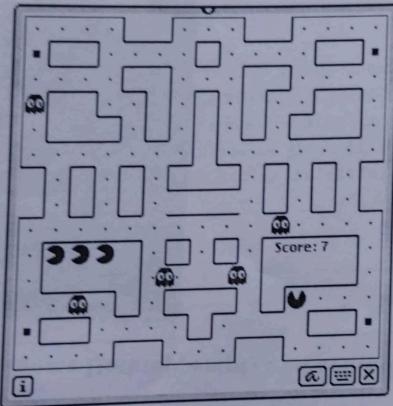


Figure 2: Screenshot of a Pacman Clone

Finite state machines lend themselves to representing the behavior of computer-controller characters in video games. The states of the machine correspond to the character's behaviors, which change according to various events. These changes are modeled by transitions in the state diagram. State machines are certainly not the most sophisticated means of implementing artificially intelligent agents in games, but many games include characters with simple, state-based behaviors that are easily and effectively modeled using state machines.

Here we consider the classic game, Pac-Man. For those unfamiliar with the game play, Pac-Man requires the player to navigate through a maze, eating pellets and avoiding the ghosts who chase him through the maze. Occasionally, Pac-Man can turn the tables on his pursuers by eating a power pellet, which temporarily grants him the power to eat the ghosts. When this occurs, the ghosts' behavior changes, and instead of chasing Pac-Man they try to avoid him.

The ghosts in Pac-Man have four behaviors:

1. Randomly wander the maze

2. Chase Pac-Man, when he is within line of sight
3. Flee Pac-Man, after Pac-Man has consumed a power pellet
4. Return to the central base to regenerate

These four behaviors correspond directly to a four-state DFA. Transitions are dictated by the situation in the game. For instance, a ghost DFA in state 2 (Chase Pac-Man) will transition to state 3 (Flee) when Pac-Man consumes a power pellet.

For a further discussion of state machines for game AI, see <http://research.ncl.ac.uk/game/mastersdegree/gametechnologies/aifinitestatemachines/>.

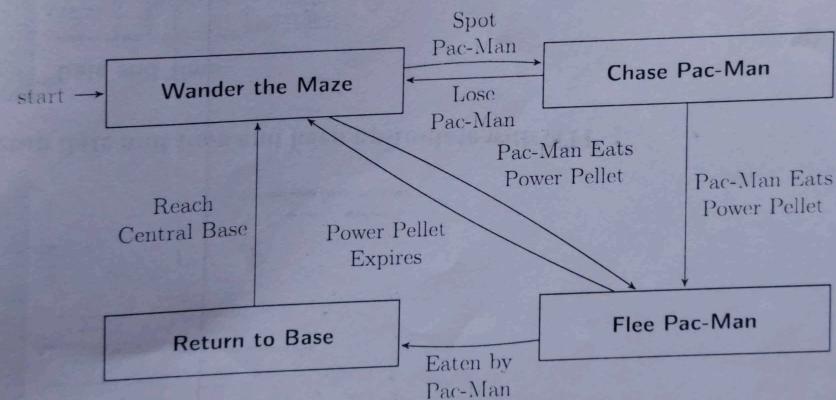


Figure 3: Behavior of a Pac-Man Ghost

5 Internet Protocols: TCP as a DFA

Internet protocols also lend themselves to descriptions as DFAs. The state diagram below represents a simplified version of the Transmission Control Protocol (TCP).

The state machine in Figure 4 describes the “life stages” of a TCP connection. A connection between two computers begins in the closed state. Following a series of signals, described by the transition arcs of the diagram, the two machines reach the established state where communication can proceed. Once completed, the transition arcs describe the process whereby the connection returns to the closed state.

See <http://www.tcpipguide.com/free/> for further discussion of the TCP protocol, and <http://www.texample.net/tikz/examples/tcp-state-machine/> for the source used to generate the state machine diagram.

Representation of complex protocols, such as TCP or Transport Layer Security (TLS), as DFAs helps in understanding the protocols and aids implementations, as the state diagrams clearly illustrate allowed and disallowed transitions between states. In addition, finite state security analysis has been used to examine the security of protocols such as SSL and TLS.

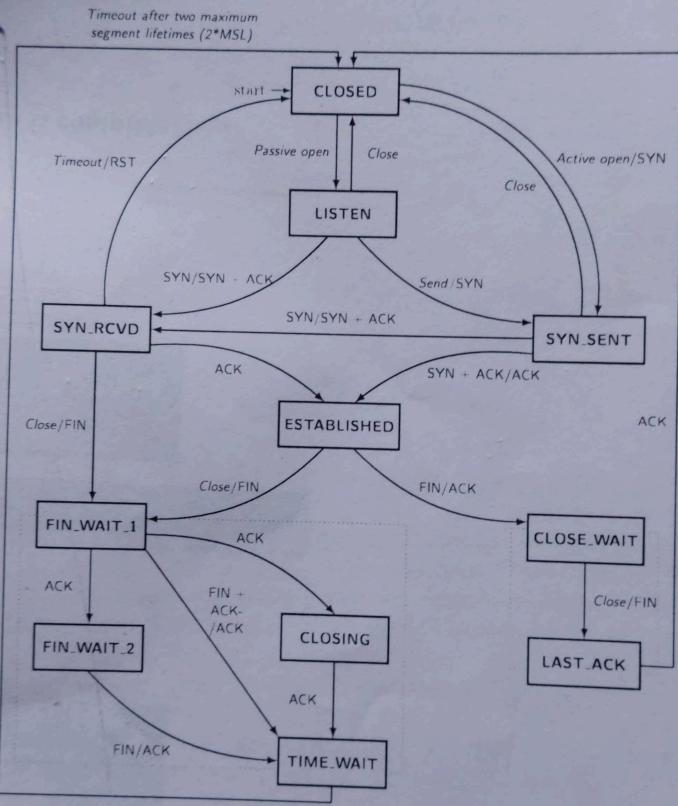


Figure 4: TCP State Diagram

6 Auto-Complete in Apache Lucene

Simple extensions to the DFA definition can greatly expand their power while preserving their ability to concisely encapsulate ideas and processes. One such extension is the finite state transducer (FST), which enhances the DFA definition by adding an output capability. Mealy machines, defined above, are a type of FST.

The figure below illustrates the use of a Mealy machine to index strings in a sorted array. Words are matched to their index in the search term data structure by processing the words through the Mealy machine and summing the output values encountered. (Empty outputs are omitted from the diagram.)

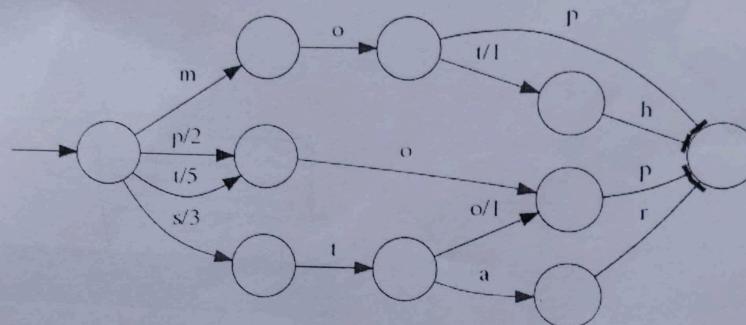


Figure 5: FST for Text Lookups

This Mealy machine processes the words in the ordered set $\{mop, moth, pop, star, stop, top\}$ to produce the numbers corresponding to their alphabetical order within the set. Consider *stop*, the fifth (index = 4) element of the set. Beginning with the start state, we follow the *s* arc. This arc has associated output 3. Then we follow the *t* arc, with no output. Then we follow the *o* arc, with output 1. Our sum currently stands at four. Then we follow the *p* arc, with no output, and reach the end of the word. Our sum gives us the index of *stop*: 4.

The advantage of the FST approach is that the common prefixes and suffixes of stored terms are shared, greatly reducing the memory footprint required for text lookups.

The Apache Lucene project used a Mealy machine to dramatically decrease the memory footprint of their auto-complete search term feature. By using an FST to conduct lookups in its search term index, the Apache Lucene project is able to store the entire index in memory, resulting in much faster lookups. More information on the approach adopted by the Lucene project can be found at <http://blog.mikemccandless.com/2010/12/using-finite-state-transducers-in.html>.