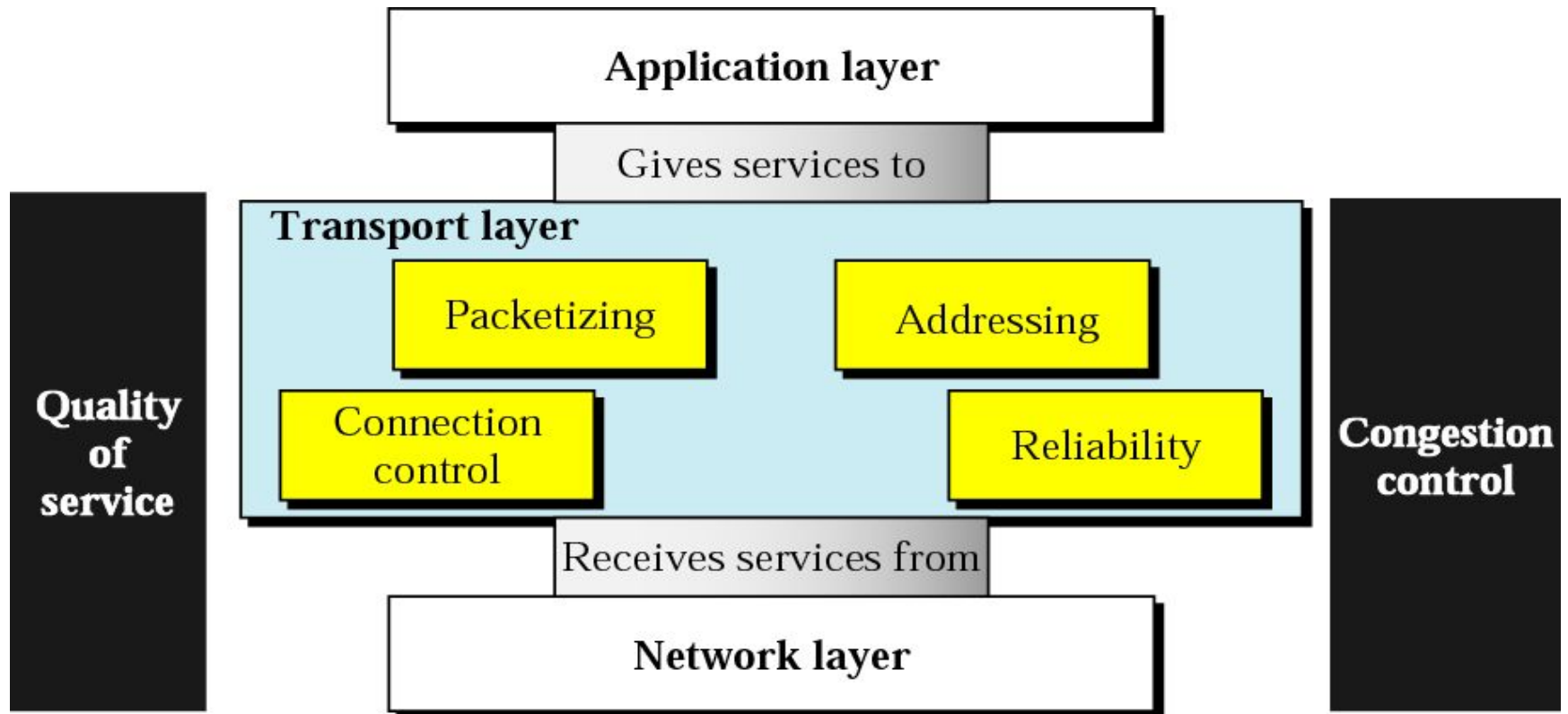
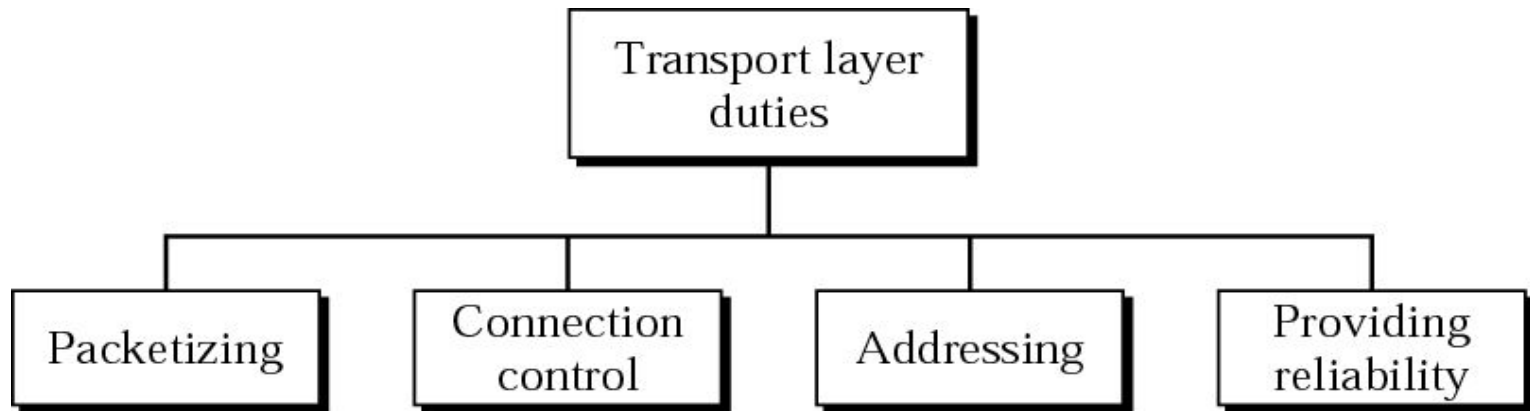


# *Transport Layer*

# Position of transport layer



# Transport layer duties



*Chapter 22 Process-to-Process Delivery*

*Chapter 23 Congestion Control and QoS*

## Chapter 22

# *Process-to-Process Delivery: UDP and TCP*

# 22.1 Process-to-Process Delivery

***Client-Server Paradigm***

***Addressing***

***Multiplexing and Demultiplexing***

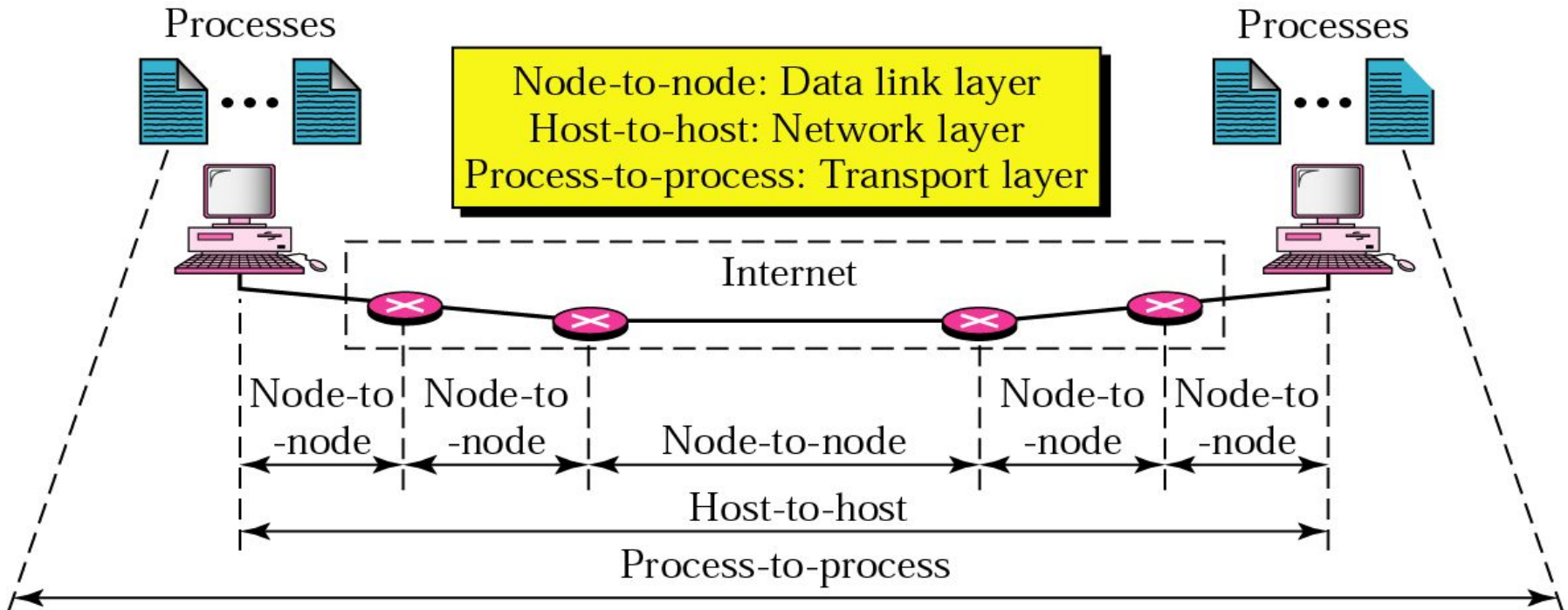
***Connectionless/Connection-Oriented***

***Reliable/Unreliable***



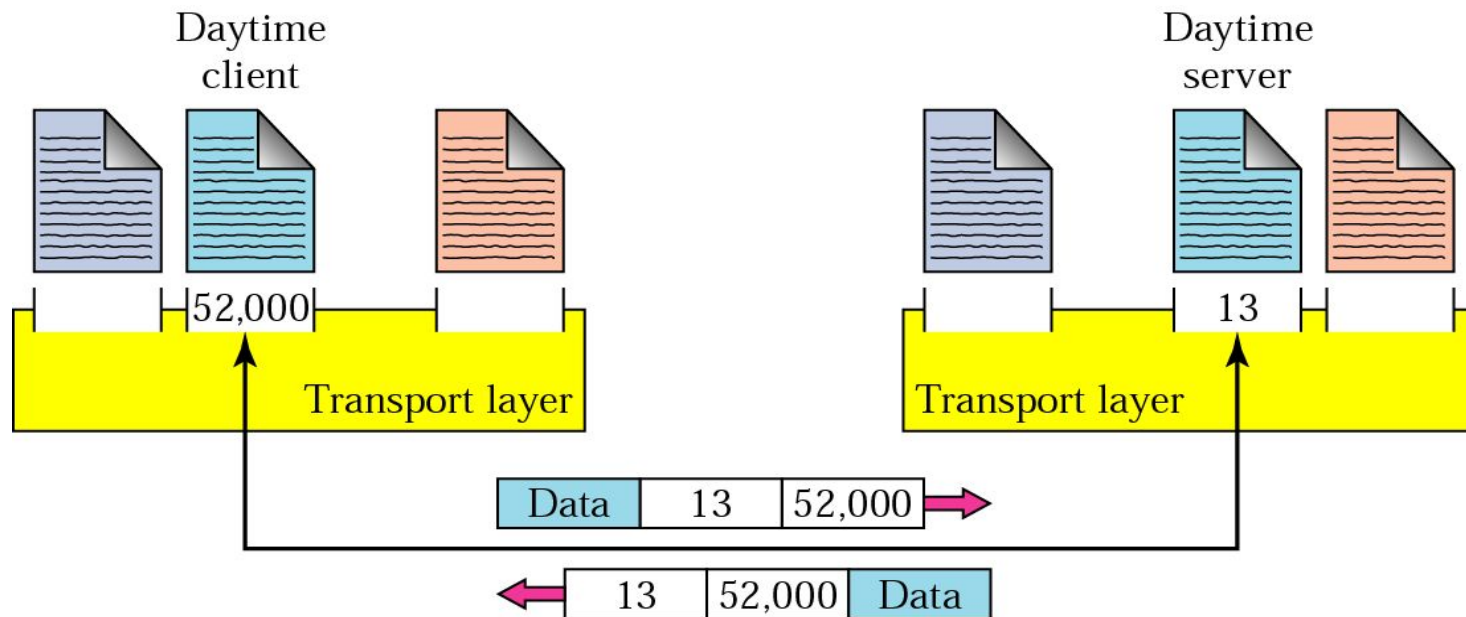
*The transport layer is responsible for process-to-process delivery.*

**Figure 22.1** Types of data deliveries

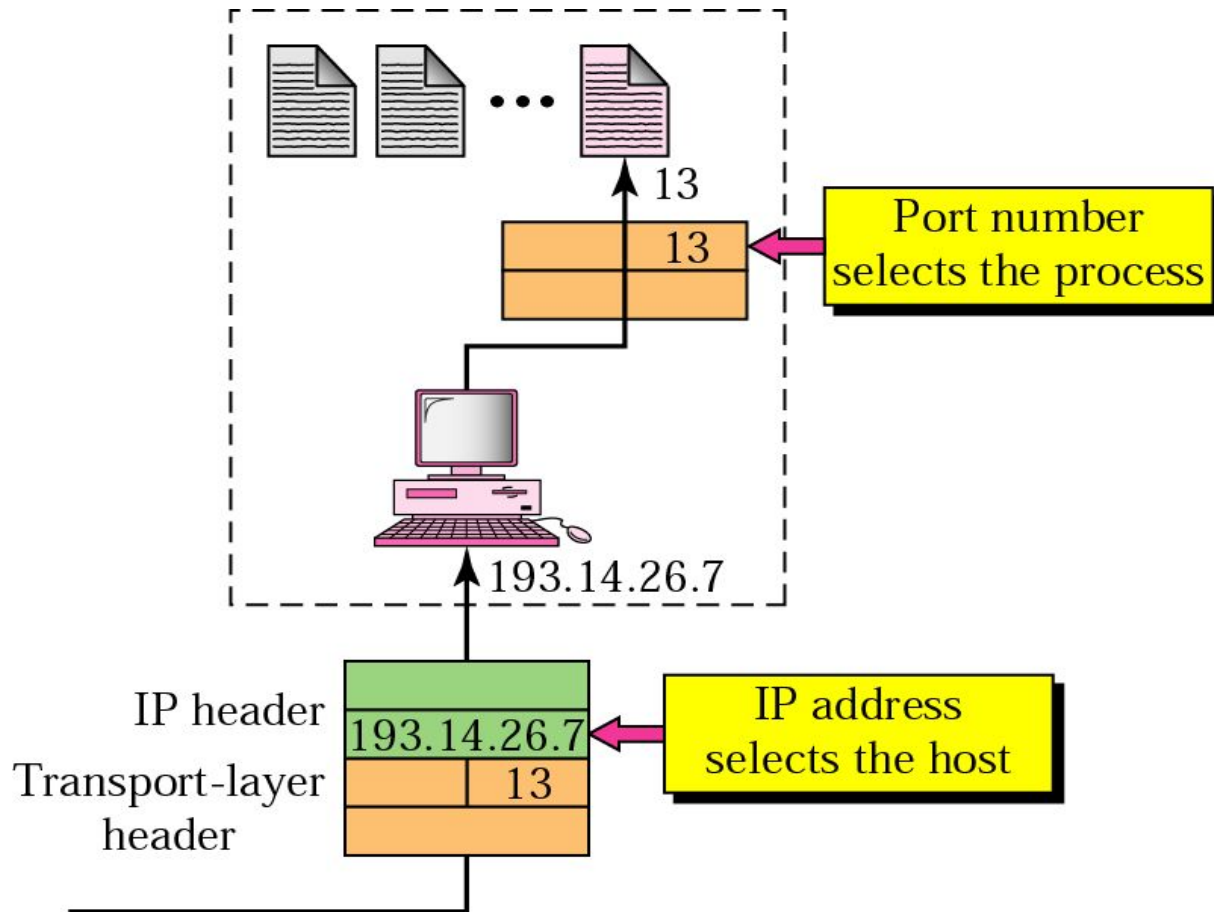




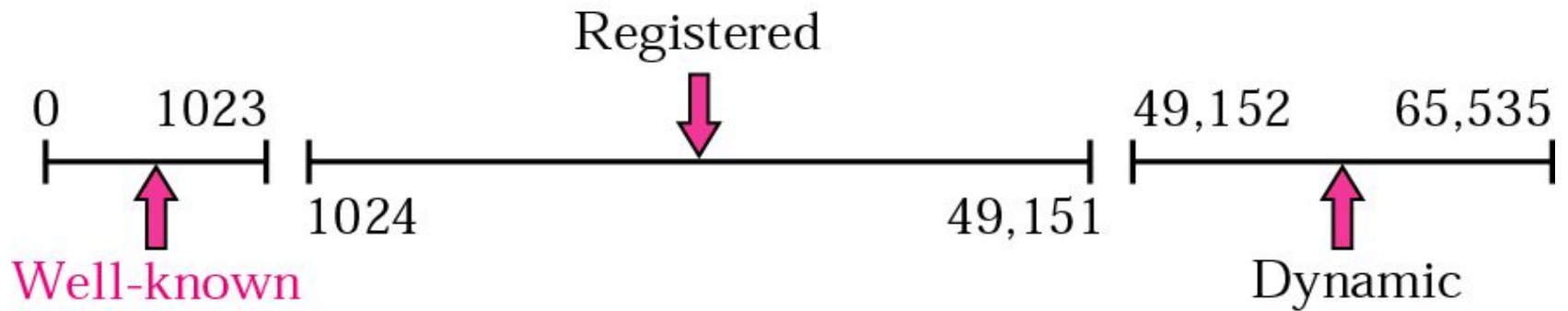
**Figure 22.2** Port numbers



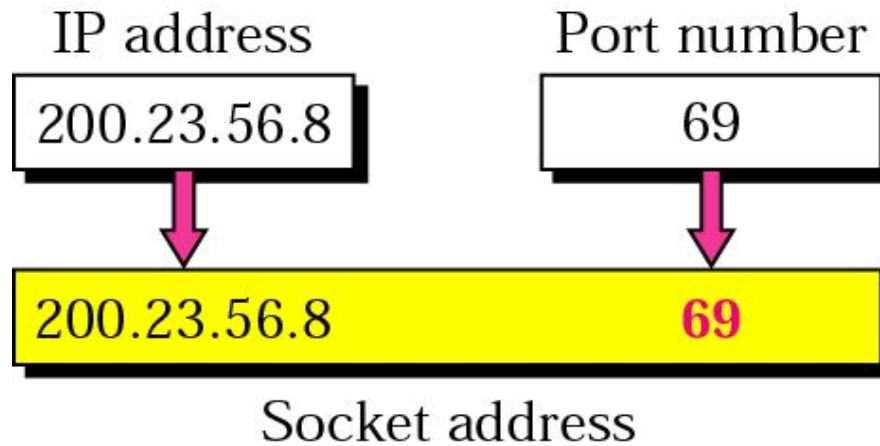
**Figure 22.3** IP addresses versus port numbers



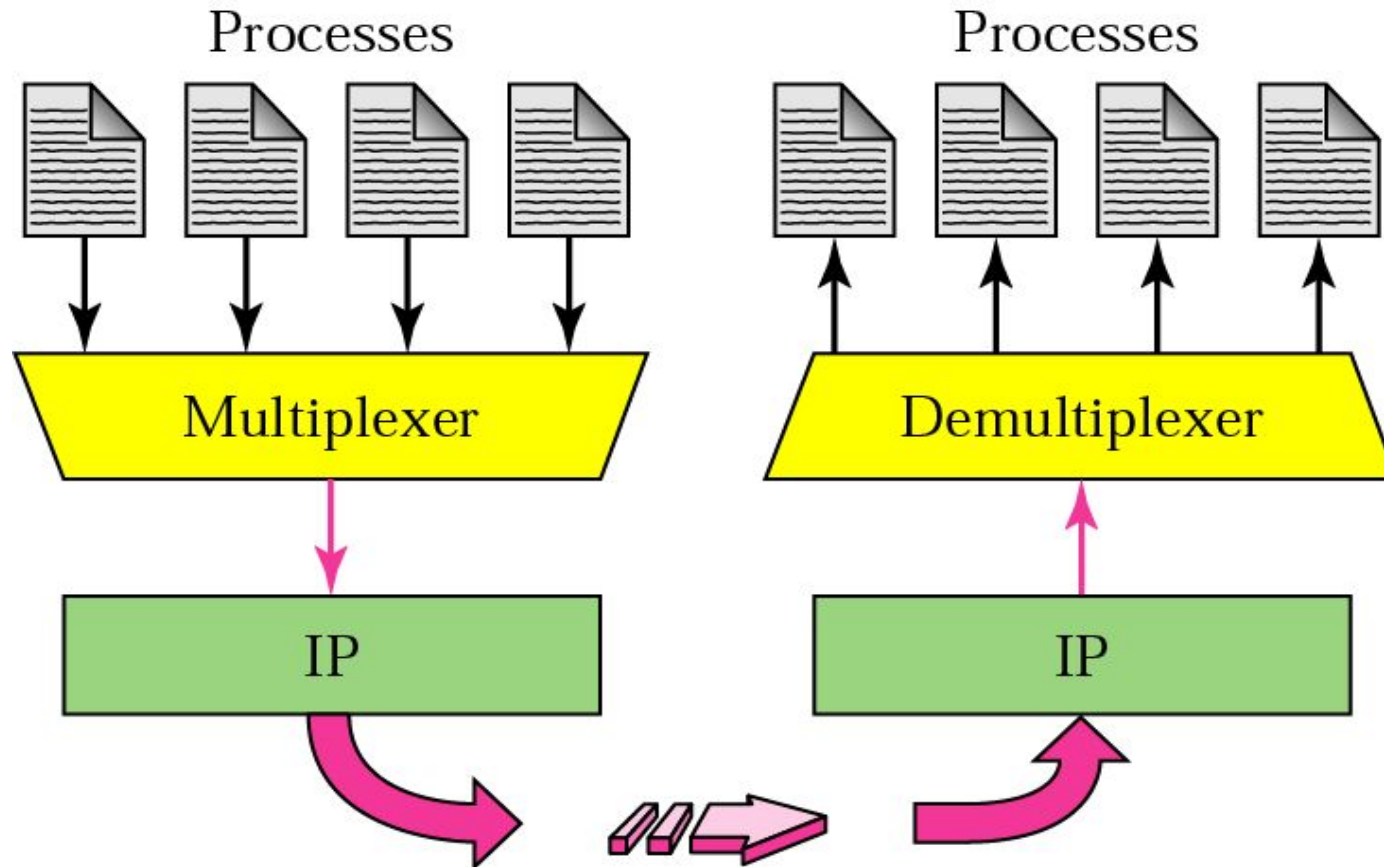
**Figure 22.4 IANA ranges**



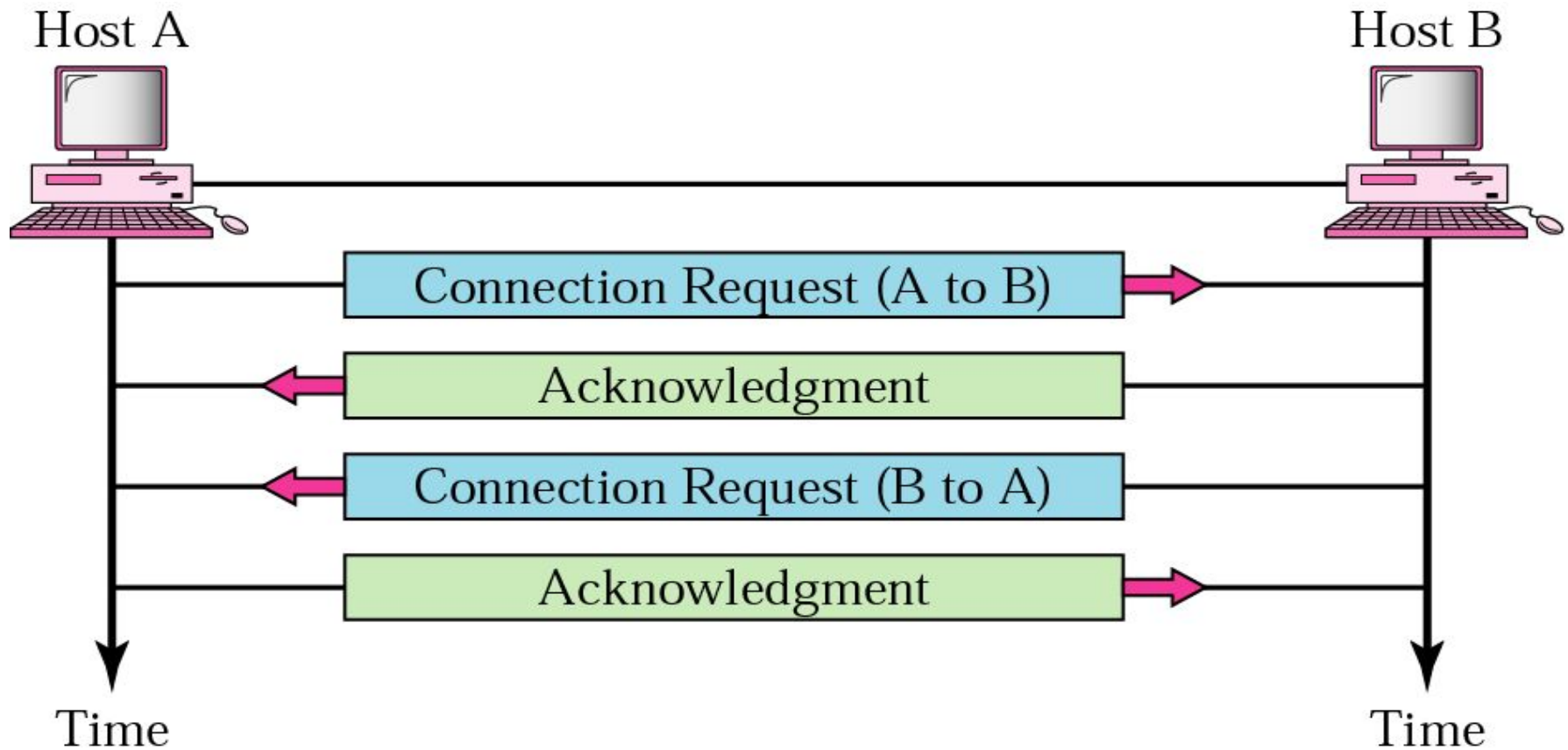
**Figure 22.5** Socket address



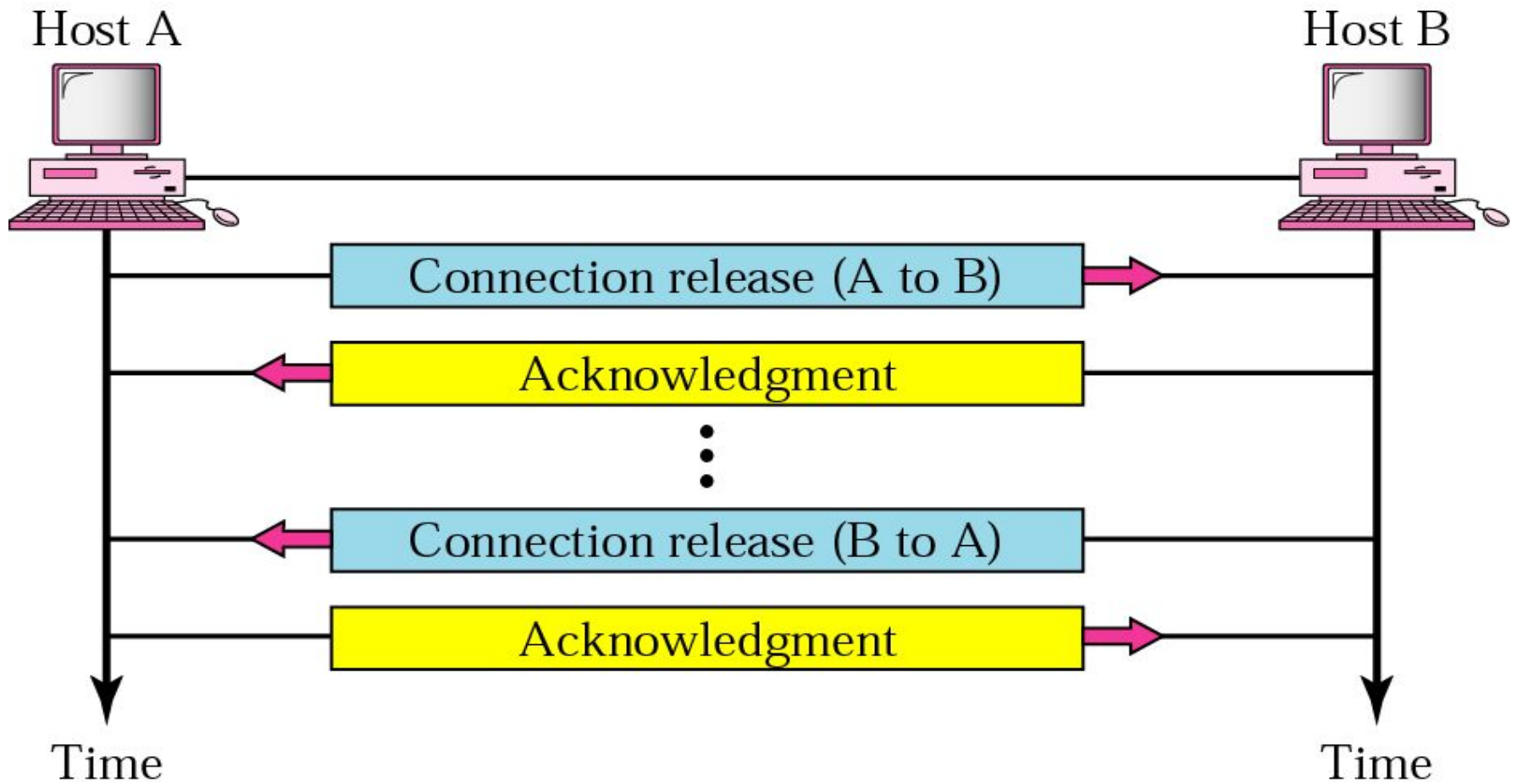
**Figure 22.6** Multiplexing and demultiplexing



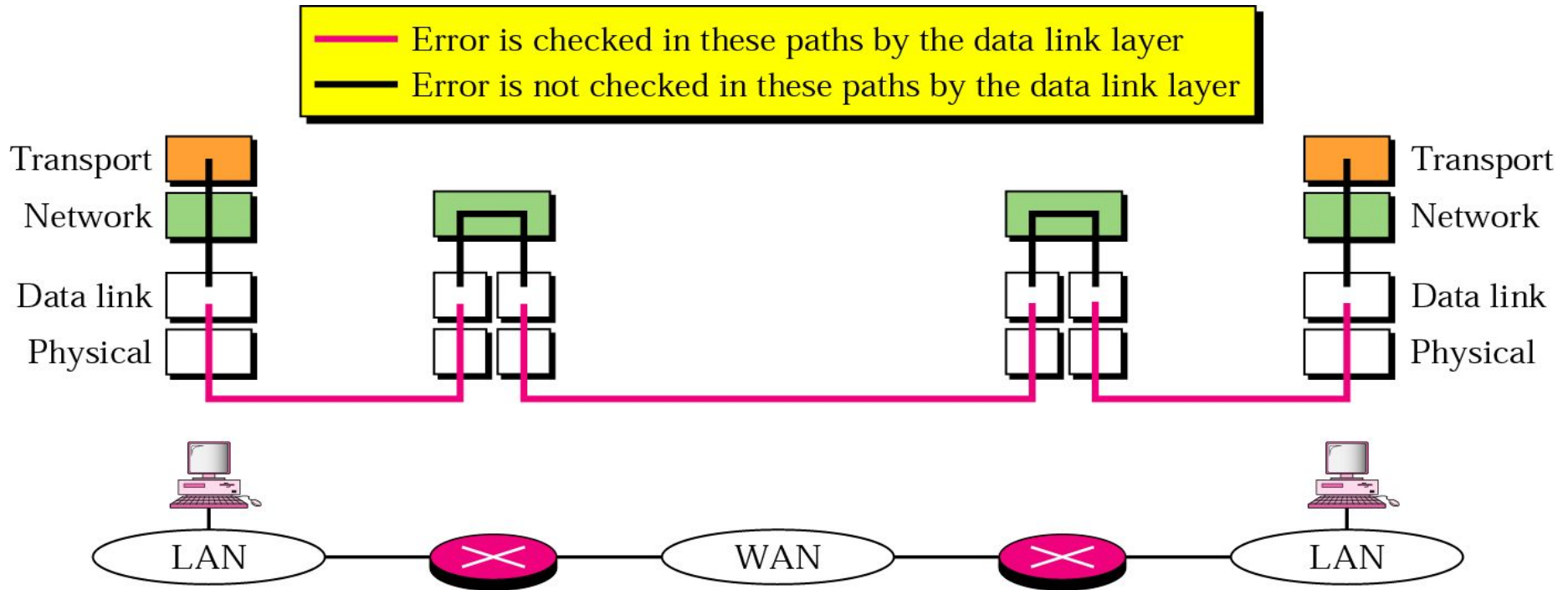
**Figure 22.7** Connection establishment



**Figure 22.8** Connection termination



**Figure 22.9 Error control**





## 22.2 UDP

***Port Numbers***

***User Datagram***

***Applications***



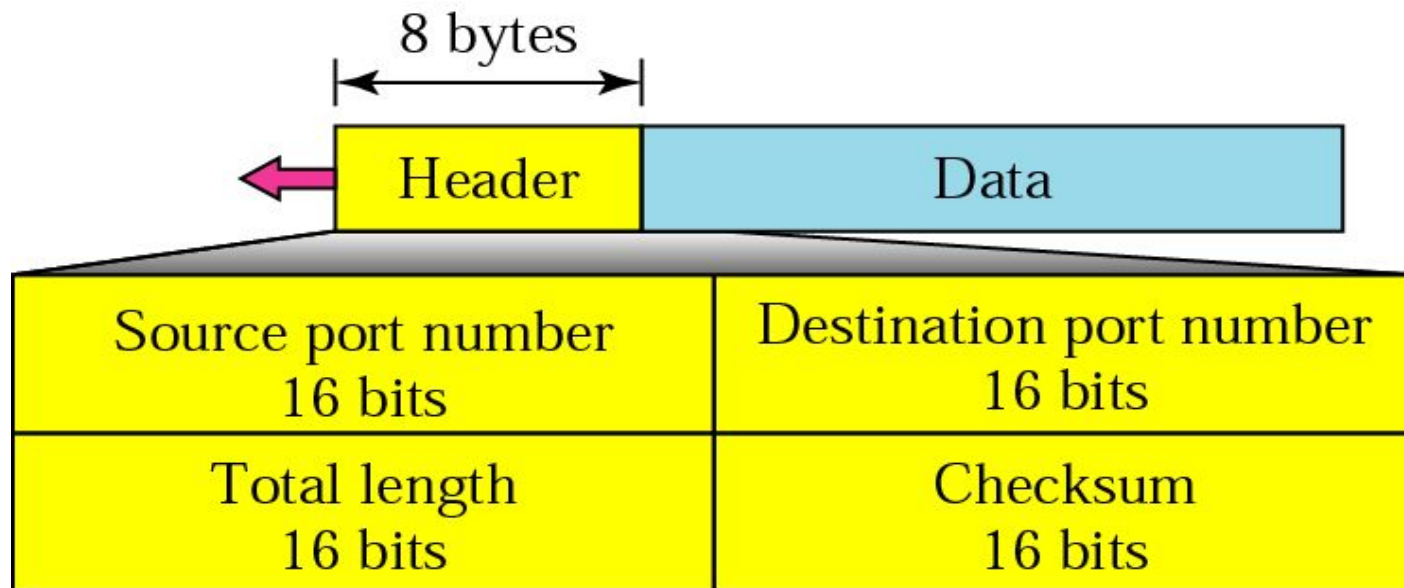
***UDP is a connectionless, unreliable protocol that has no flow and error control. It uses port numbers to multiplex data from the application layer.***

**Table 22.1** *Well-known ports used by UDP*

| <i>Port</i> | <i>Protocol</i>   | <i>Description</i>                            |
|-------------|-------------------|---|
| <b>7</b>    | <b>Echo</b>       | Echoes a received datagram back to the sender |
| <b>9</b>    | <b>Discard</b>    | Discards any datagram that is received        |
| <b>11</b>   | <b>Users</b>      | Active users                                  |
| <b>13</b>   | <b>Daytime</b>    | Returns the date and the time                 |
| <b>17</b>   | <b>Quote</b>      | Returns a quote of the day                    |
| <b>19</b>   | <b>Chargen</b>    | Returns a string of characters                |
| <b>53</b>   | <b>Nameserver</b> | Domain Name Service                           |
| <b>67</b>   | <b>Boots</b>      | Server port to download bootstrap information |
| <b>68</b>   | <b>Bootpc</b>     | Client port to download bootstrap information |
| <b>69</b>   | <b>TFTP</b>       | Trivial File Transfer Protocol                |
| <b>111</b>  | <b>RPC</b>        | Remote Procedure Call                         |
| <b>123</b>  | <b>NTP</b>        | Network Time Protocol                         |
| <b>161</b>  | <b>SNMP</b>       | Simple Network Management Protocol            |
| <b>162</b>  | <b>SNMP</b>       | Simple Network Management Protocol (trap)     |

\*

**Figure 22.10** User datagram format





*The calculation of checksum and its inclusion in the user datagram are optional.*



*UDP is a convenient transport-layer protocol for applications that provide flow and error control. It is also used by multimedia applications.*

## 22.3 TCP

***Port Numbers***

***Services***

***Sequence Numbers***

***Segments***

***Connection***

***Transition Diagram***

***Flow and Error Control***

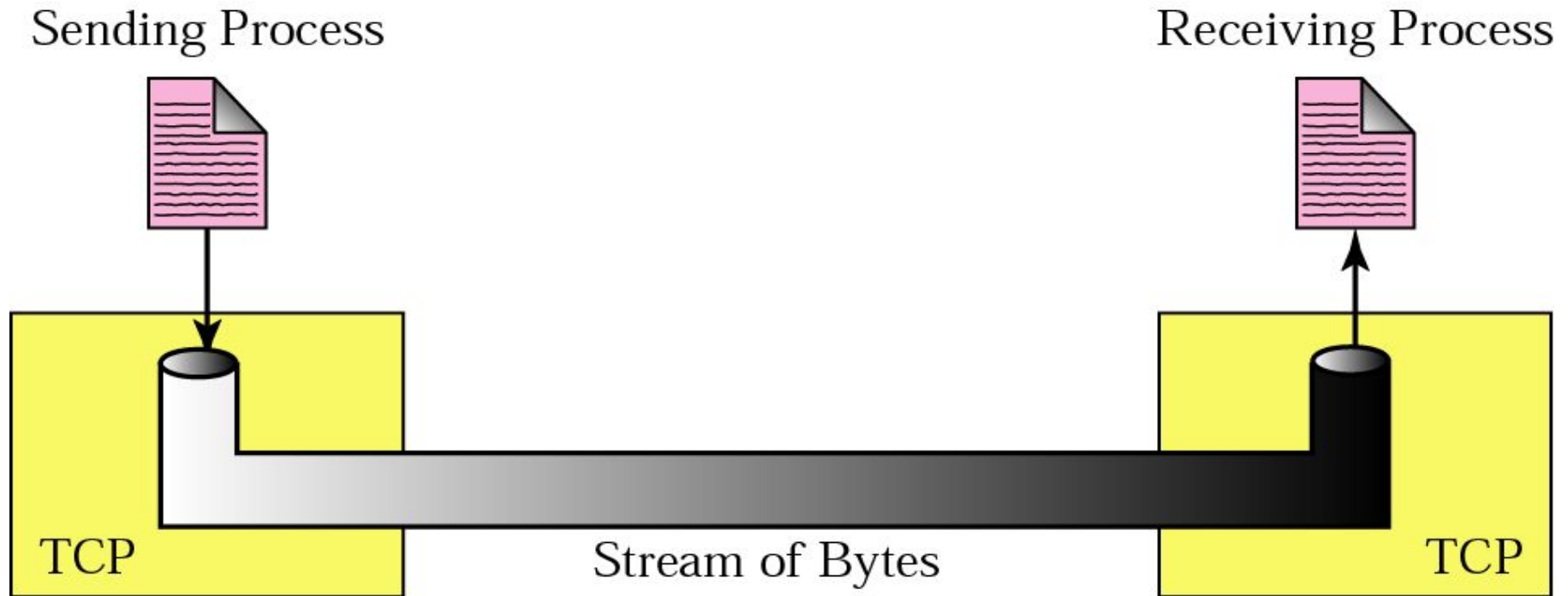
***Silly Window Syndrome***

**Table 22.2** *Well-known ports used by TCP*

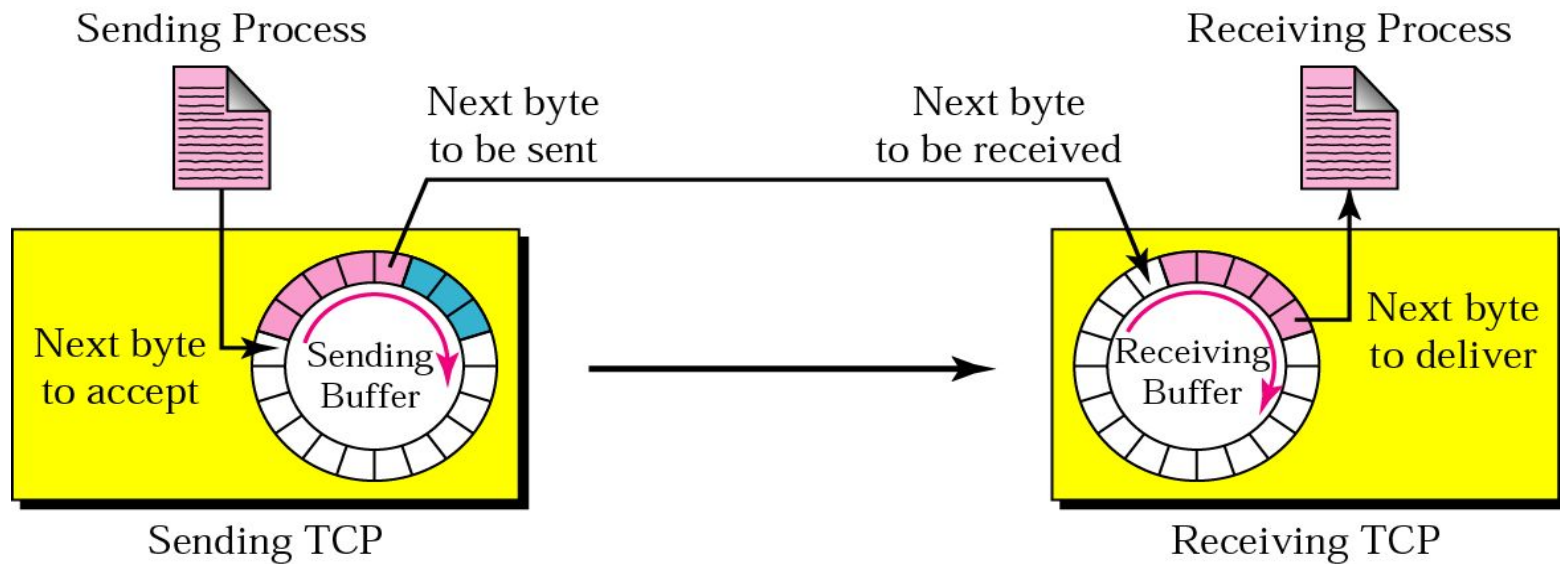
| Port  | Protocol     | Description                                   |
|-------|--------------|---|
| 7     | Echo         | Echoes a received datagram back to the sender |
| 9     | Discard      | Discards any datagram that is received        |
| 11    | Users        | Active users                                  |
| 13    | Daytime      | Returns the date and the time                 |
| 17    | Quote        | Returns a quote of the day                    |
| 19    | Chargen      | Returns a string of characters                |
| 20    | FTP, Data    | File Transfer Protocol (data connection)      |
| 21    | FTP, Control | File Transfer Protocol (control connection)   |
| 23    | TELNET       | Terminal Network                              |
| 25    | SMTP         | Simple Mail Transfer Protocol                 |
| 53    | DNS          | Domain Name Server                            |
| 67    | BOOTP        | Bootstrap Protocol                            |
| 79    | Finger       | Finger  |
| 80    | HTTP         | Hypertext Transfer Protocol                   |
| * 111 | RPC          | Remote Procedure Call                         |



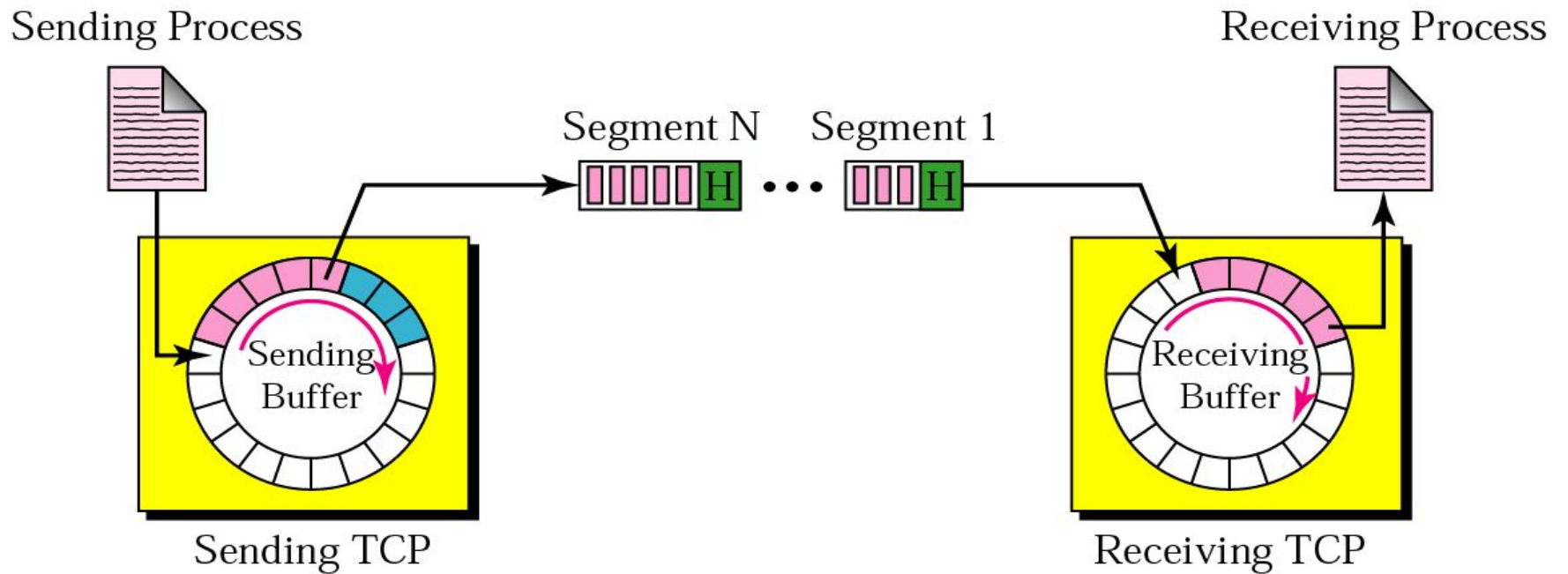
**Figure 22.11** Stream delivery



**Figure 22.12** Sending and receiving buffers



**Figure 22.13** TCP segments





*The bytes of data being transferred in each connection are numbered by TCP. The numbering starts with a randomly generated number.*

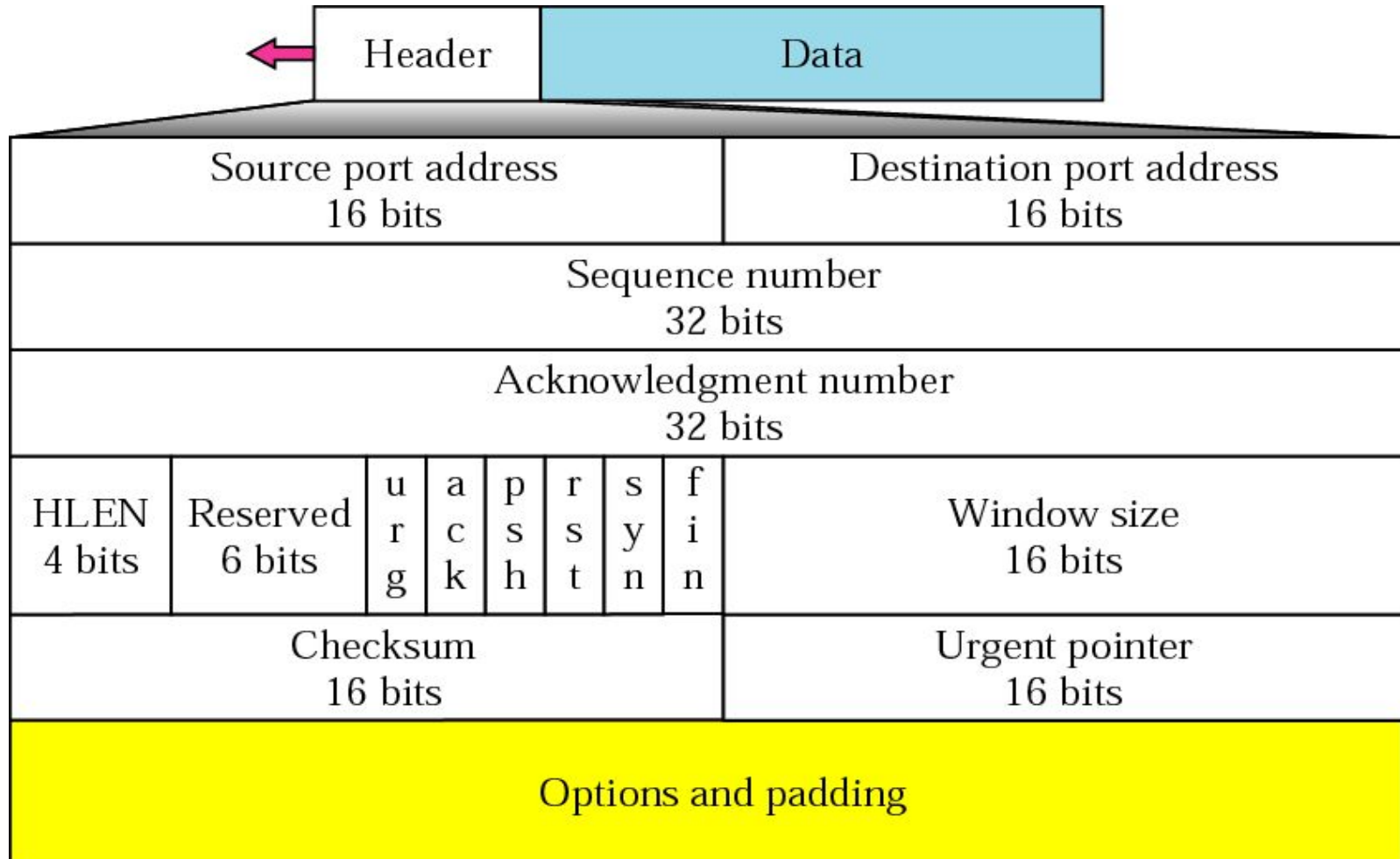


*The value of the sequence number field in a segment defines the number of the first data byte contained in that segment.*



*The value of the acknowledgment field in a segment defines the number of the next byte a party expects to receive. The acknowledgment number is cumulative.*

**Figure 22.14 TCP segment format**





**Figure 22.15** Control field

|                              |                                   |
|------------------------------|-----------------------------------|
| URG: Urgent pointer is valid | RST: Reset the connection         |
| ACK: Acknowledgment is valid | SYN: Synchronize sequence numbers |
| PSH: Request for push        | FIN: Terminate the connection     |

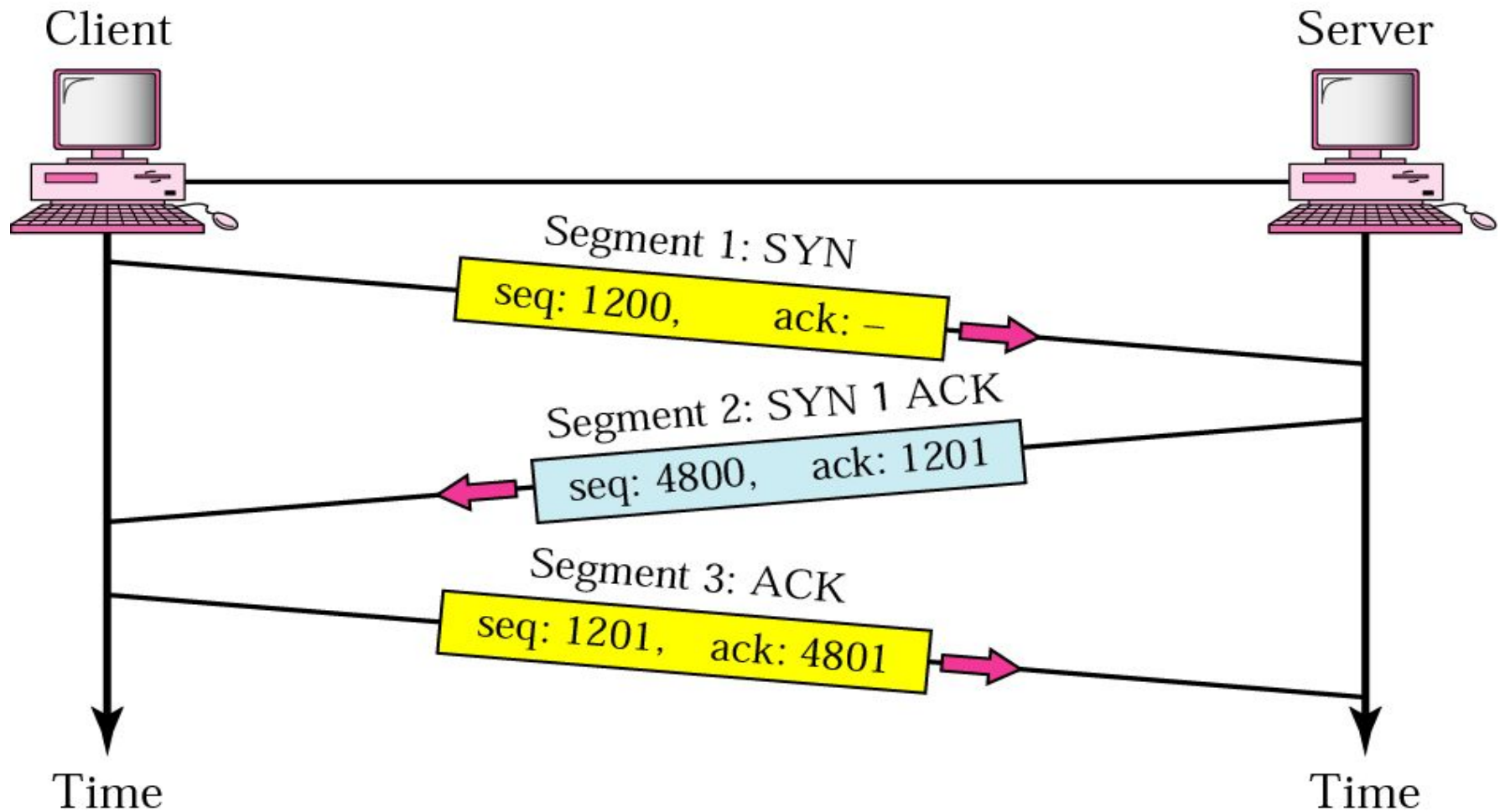




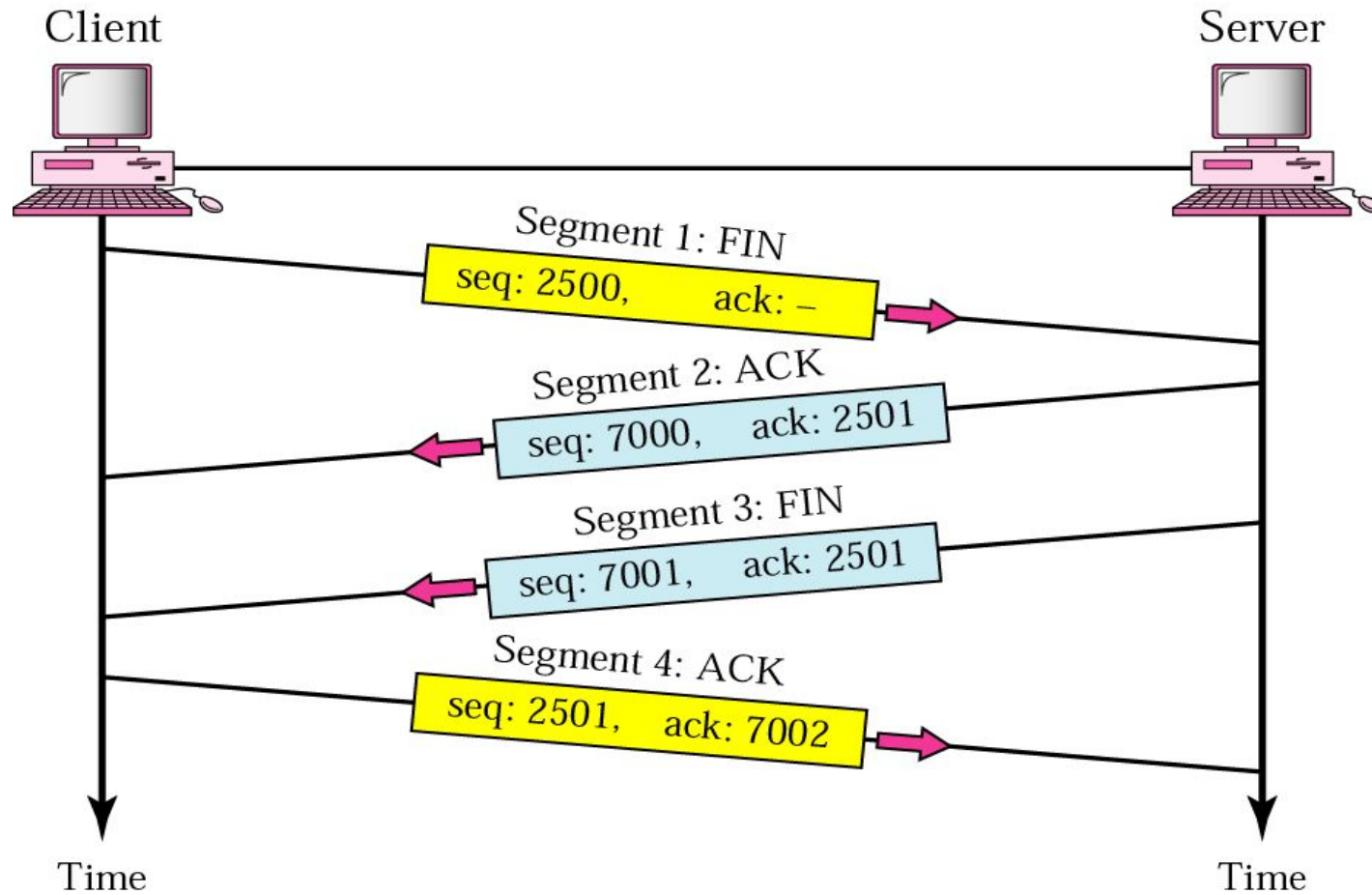
***Table 22.3 Description of flags in the control field***

| Flag       | Description                                     |
|------------|---|
| <b>URG</b> | The value of the urgent pointer field is valid. |
| <b>ACK</b> | The value of the acknowledgment field is valid. |
| <b>PSH</b> | Push the data.                                  |
| <b>RST</b> | The connection must be reset.                   |
| <b>SYN</b> | Synchronize sequence numbers during connection. |
| <b>FIN</b> | Terminate the connection.                       |

**Figure 22.16** Three-step connection establishment



**Figure 22.17** Four-step connection termination



***Table 22.4 States for TCP***

| State              | Description  |
|--------------------|--|
| <b>CLOSED</b>      | There is no connection.                                      |
| <b>LISTEN</b>      | The server is waiting for calls from the client.             |
| <b>SYN-SENT</b>    | A connection request is sent; waiting for acknowledgment.    |
| <b>SYN-RCVD</b>    | A connection request is received.                            |
| <b>ESTABLISHED</b> | Connection is established.                                   |
| <b>FIN-WAIT-1</b>  | The application has requested the closing of the connection. |
| <b>FIN-WAIT-2</b>  | The other side has accepted the closing of the connection.   |
| <b>TIME-WAIT</b>   | Waiting for retransmitted segments to die.                   |
| <b>CLOSE-WAIT</b>  | The server is waiting for the application to close.          |
| <b>LAST-ACK</b>    | The server is waiting for the last acknowledgment.           |

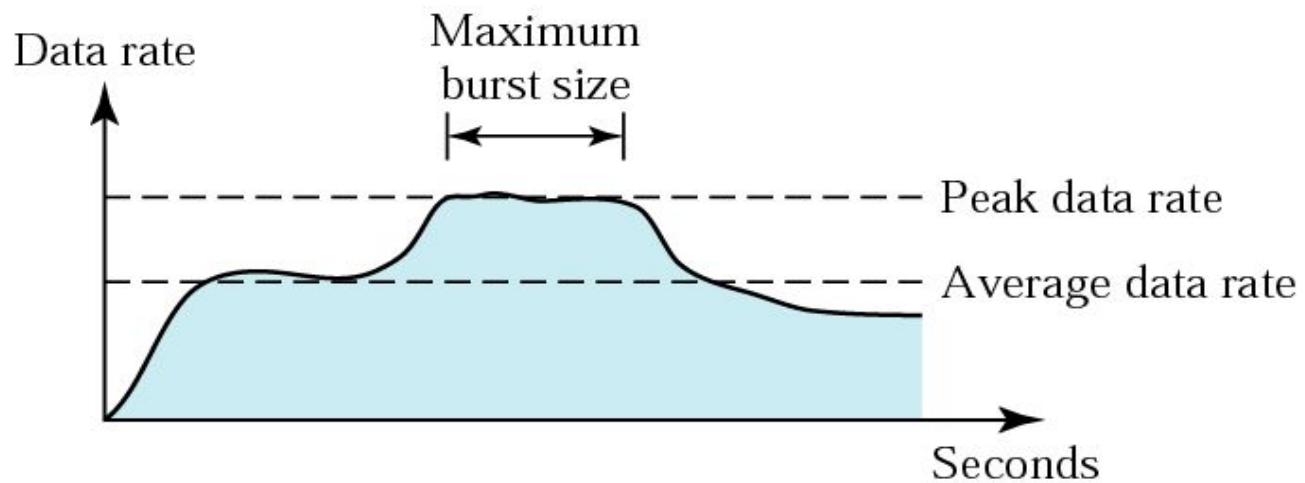
# *Congestion Control and Quality of Service*

# 23.1 Data Traffic

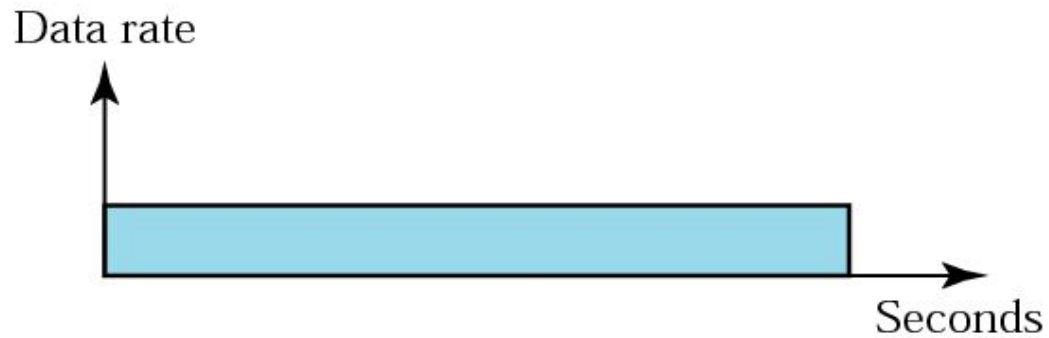
***Traffic Descriptor***

***Traffic Profiles***

**Figure 23.1** Traffic descriptors

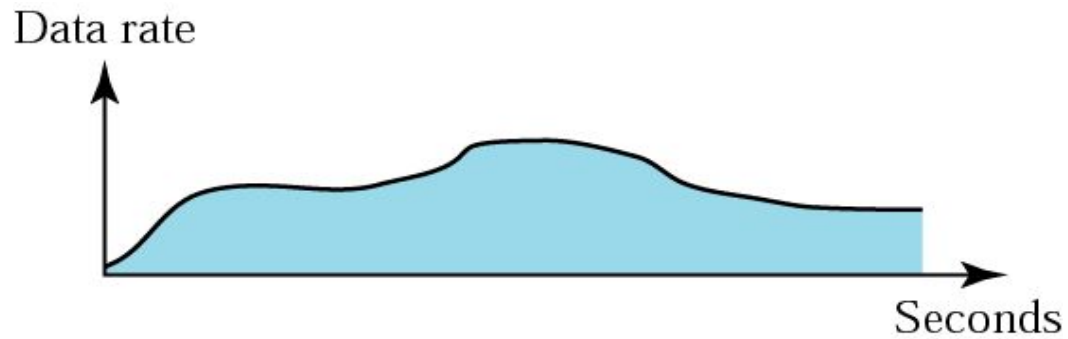


**Figure 23.2** Constant-bit-rate traffic

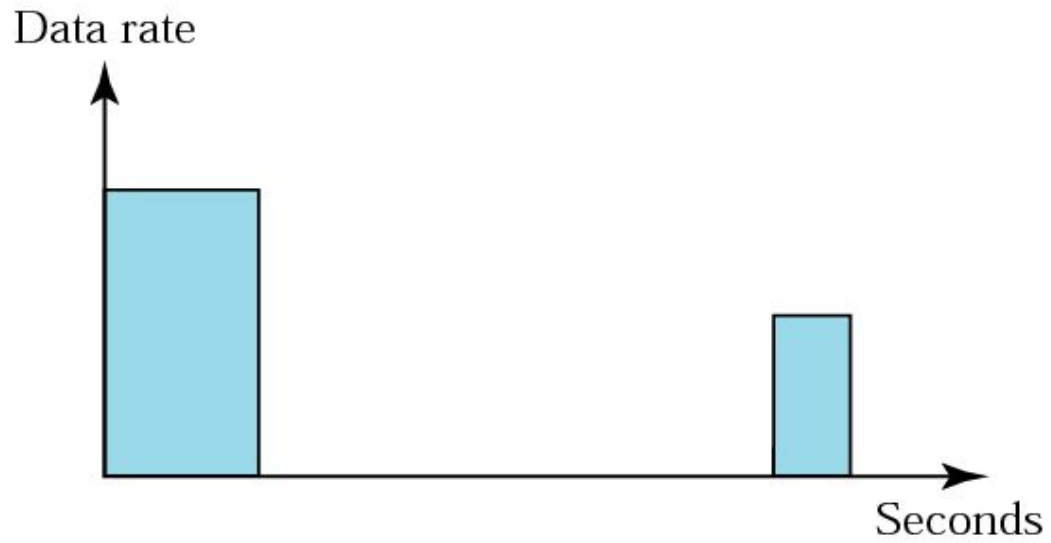




**Figure 23.3** Variable-bit-rate traffic



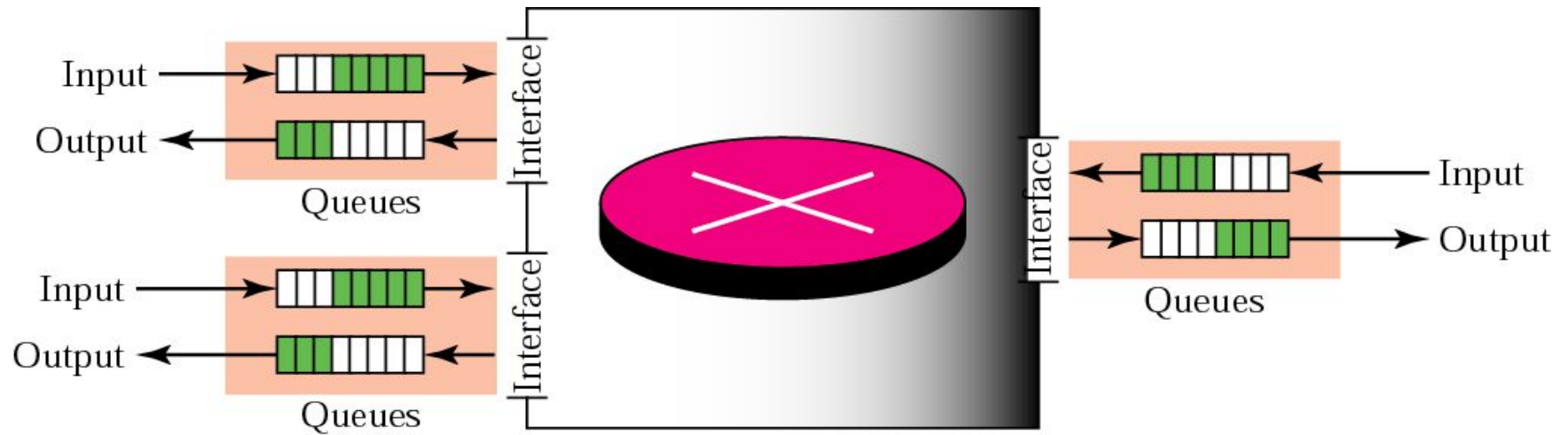
**Figure 23.4** Bursty traffic



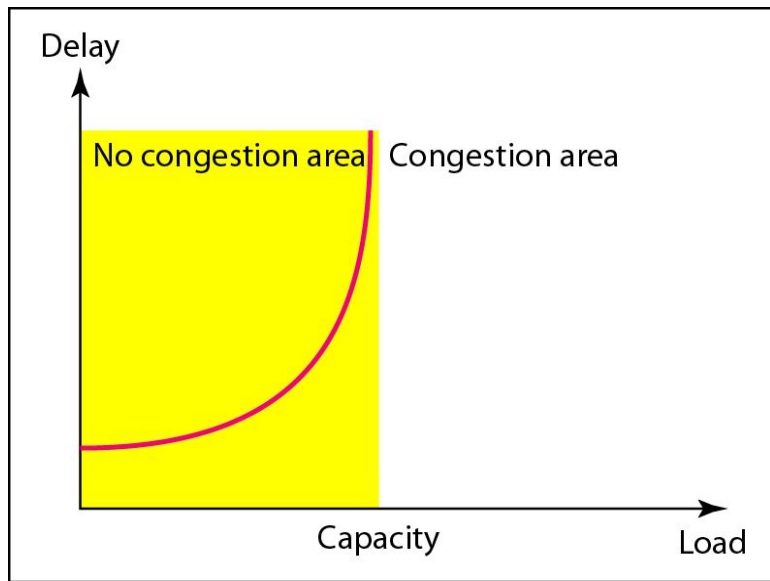
## 23.2 Congestion

### ***Network Performance***

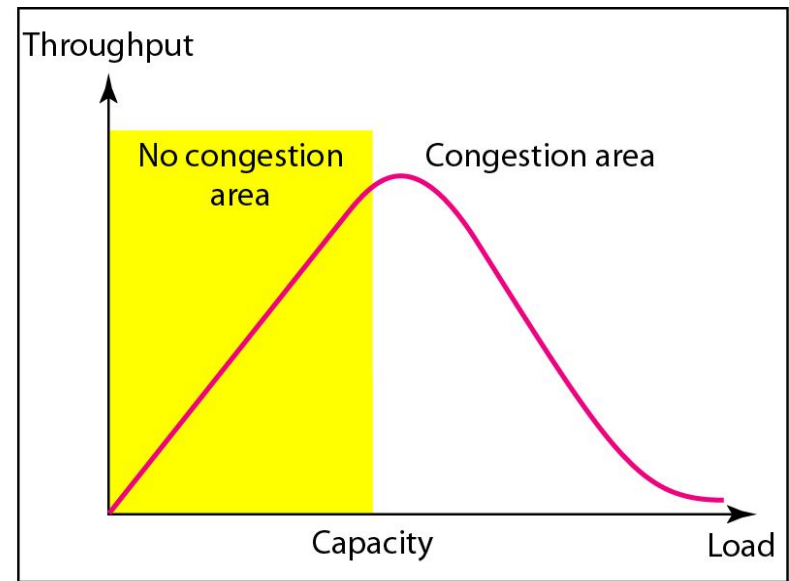
**Figure 23.5 Incoming packet**



**Figure** *Packet delay and throughput as functions of load*



a. Delay as a function of load



b. Throughput as a function of load

*Congestion control refers to techniques and mechanisms that can either prevent congestion, before it happens, or remove congestion, after it has happened. In general, we can divide congestion control mechanisms into two broad categories: open-loop congestion control (prevention) and closed-loop congestion control (removal).*

*Topics discussed in this section:*

Open-Loop Congestion Control

Closed-Loop Congestion Control

Figure 24.5 *Congestion control categories*

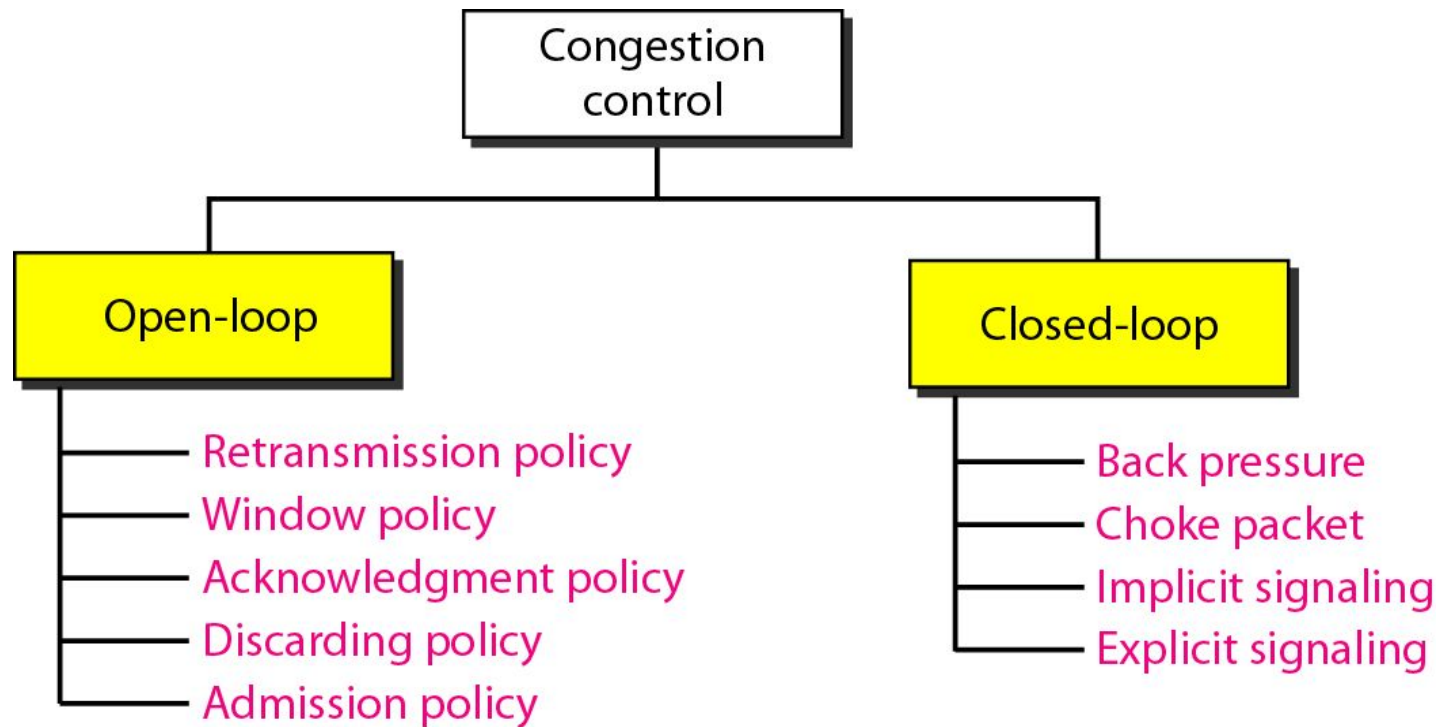


Figure 24.6 *Backpressure method for alleviating congestion*

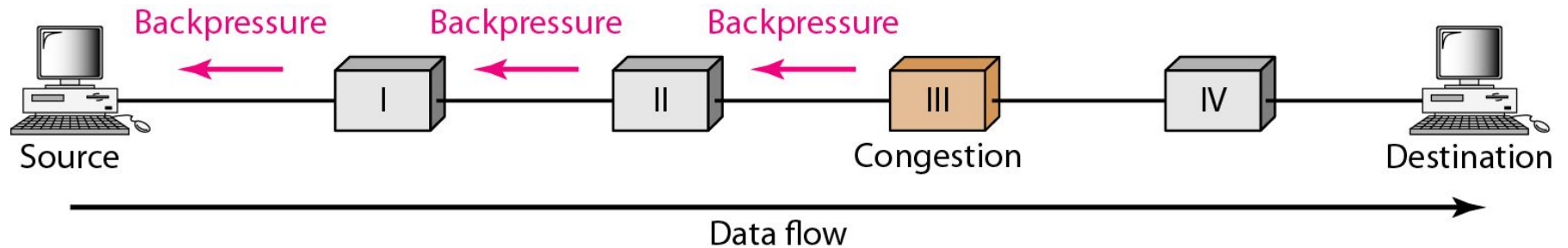
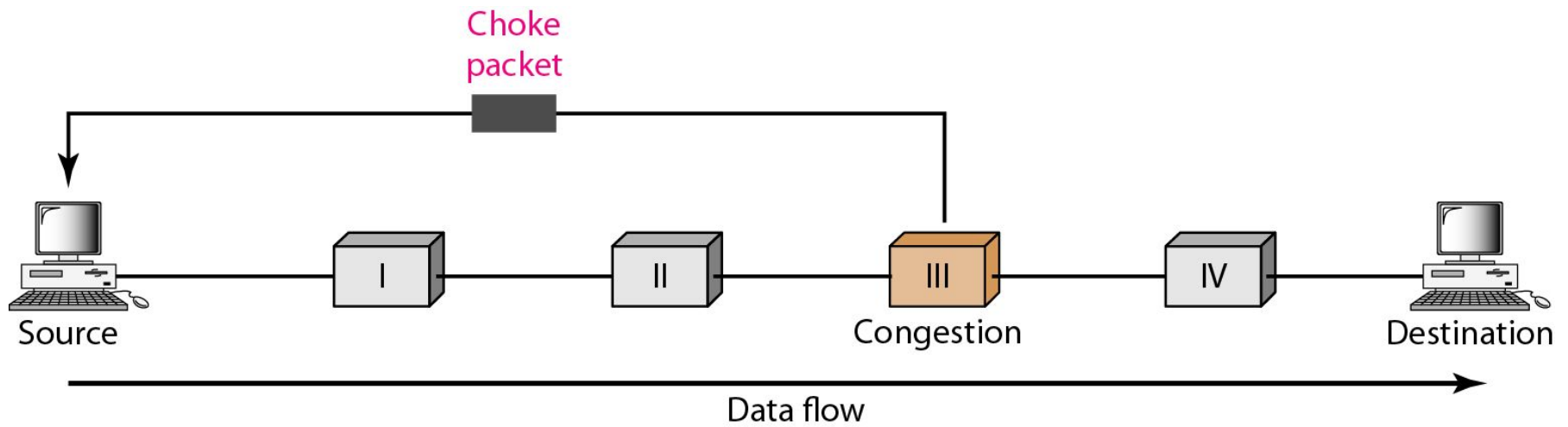




Figure 24.7 *Choke packet*





*TCP assumes that the cause of a lost segment is due to congestion in the network.*



*If the cause of the lost segment is congestion, retransmission of the segment does not remove the cause—it aggravates it.*

# 23.5 Quality of Service

***Flow Characteristics***

***Flow Classes***

## 23.6 Techniques to Improve QoS

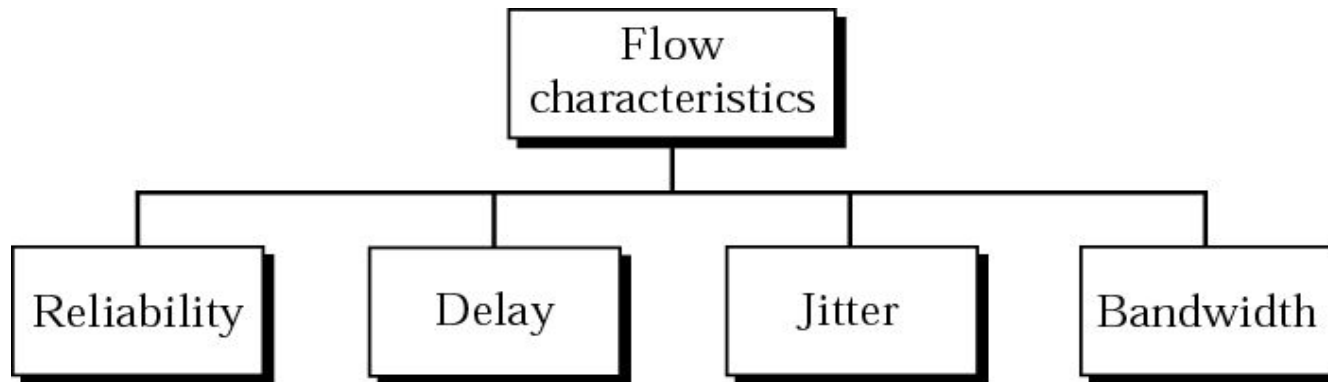
***Scheduling***

***Traffic Shaping***

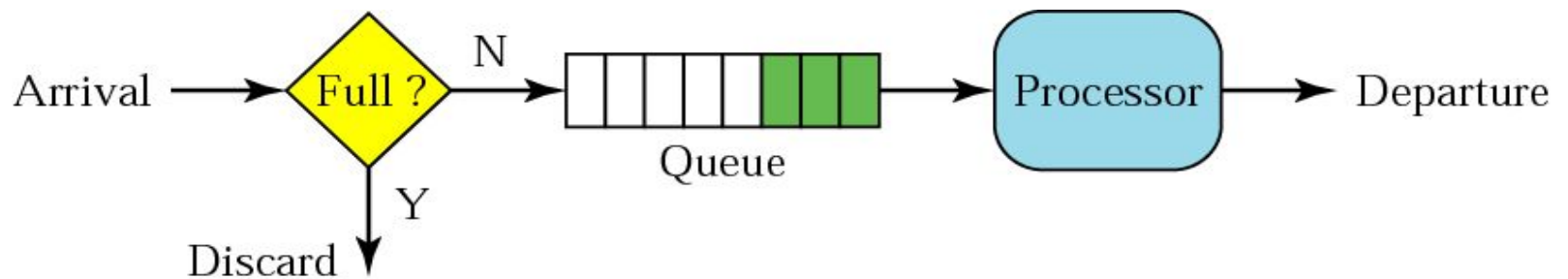
***Resource Reservation***

***Admission Control***

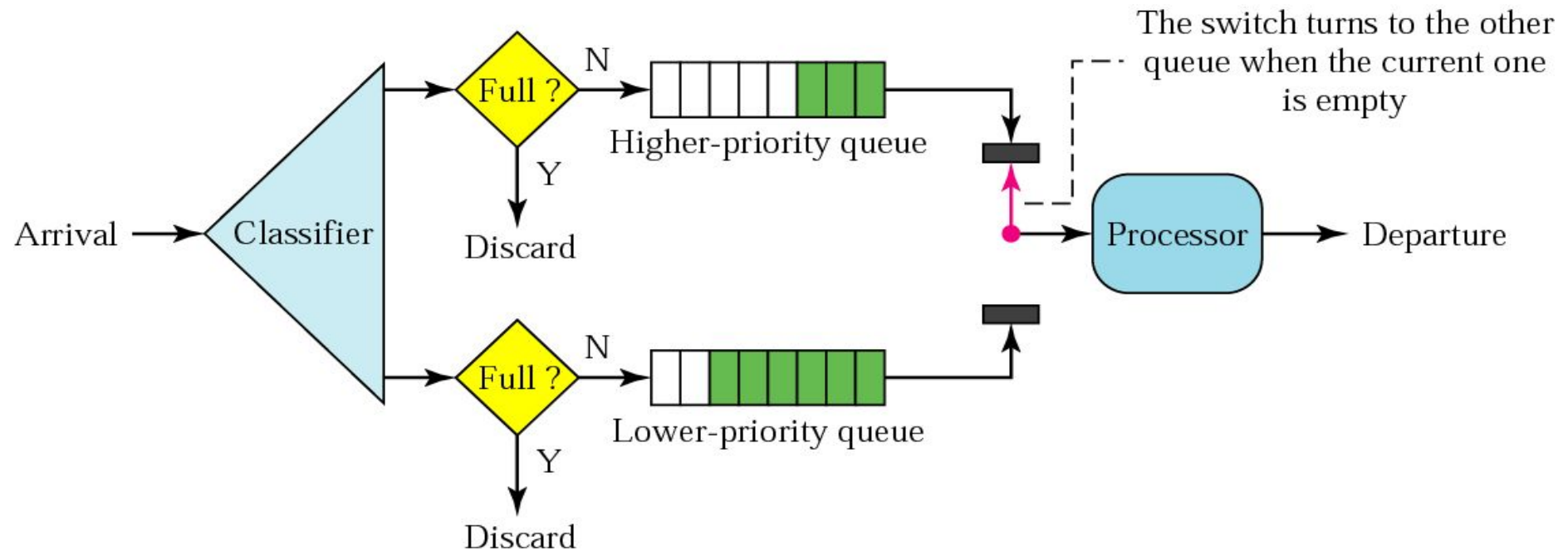
**Figure 23.12** Flow characteristics



**Figure 23.13** FIFO queue

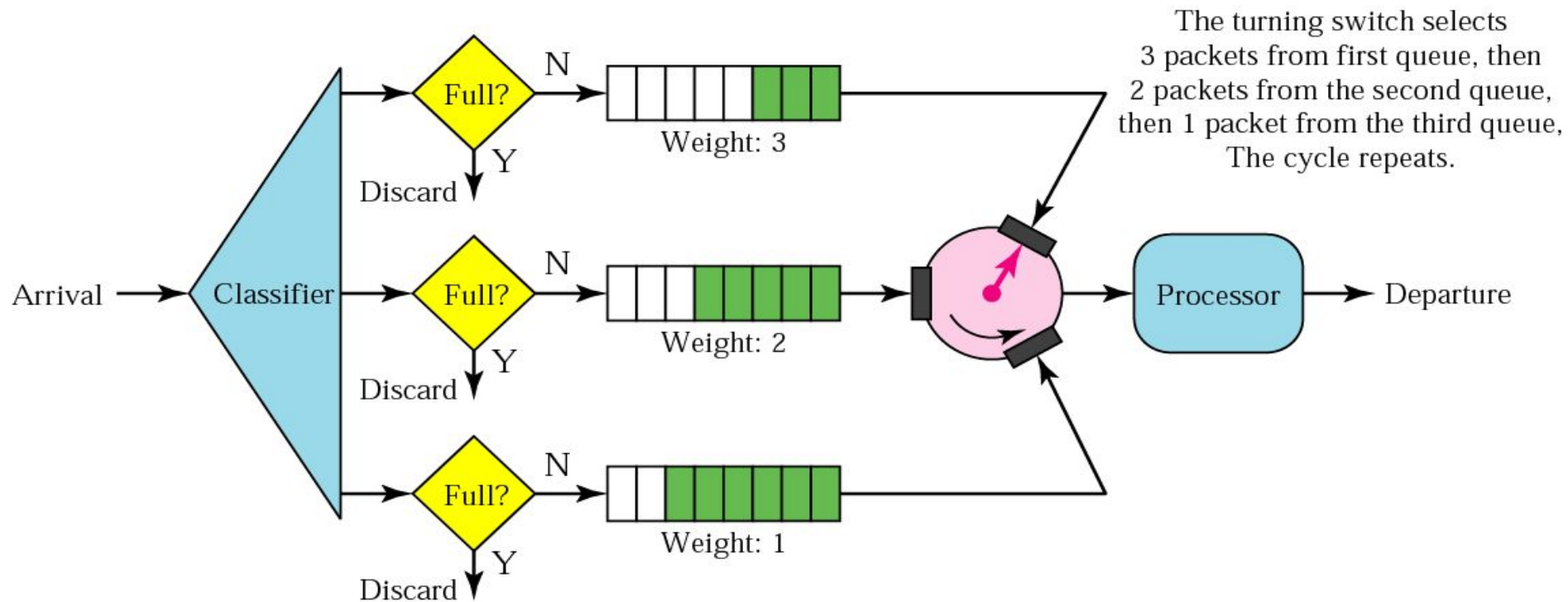


**Figure 23.14** Priority queuing

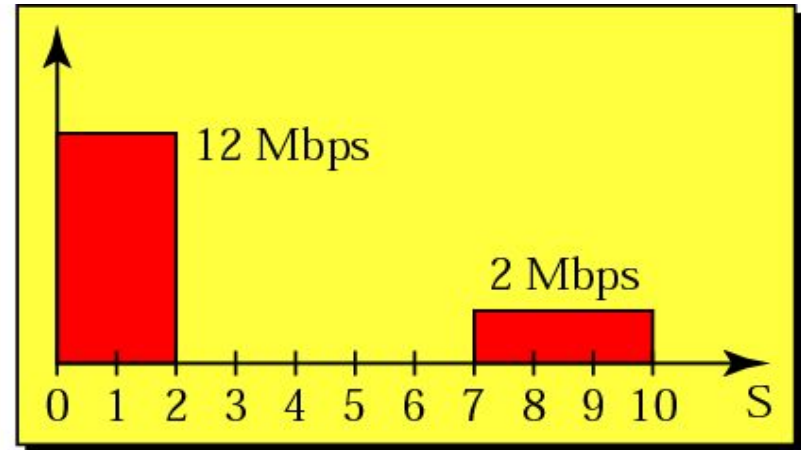
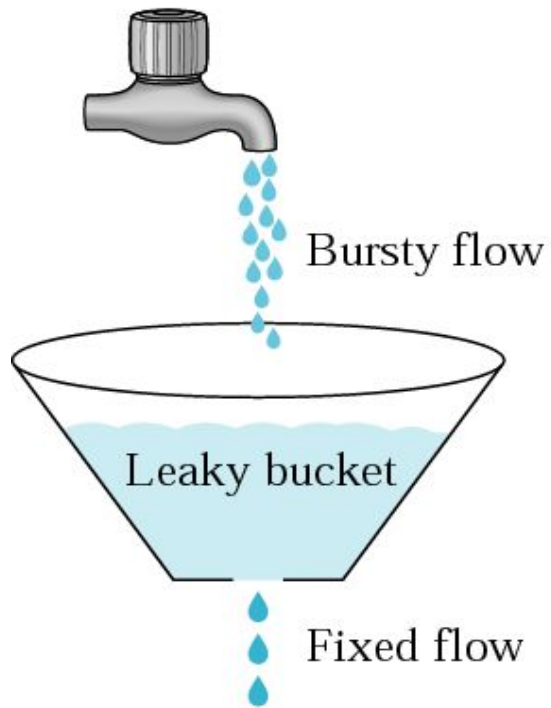




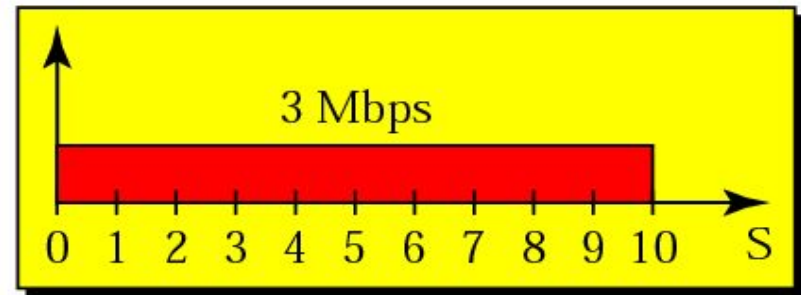
**Figure 23.15** Weighted fair queuing



**Figure 23.16** Leaky bucket

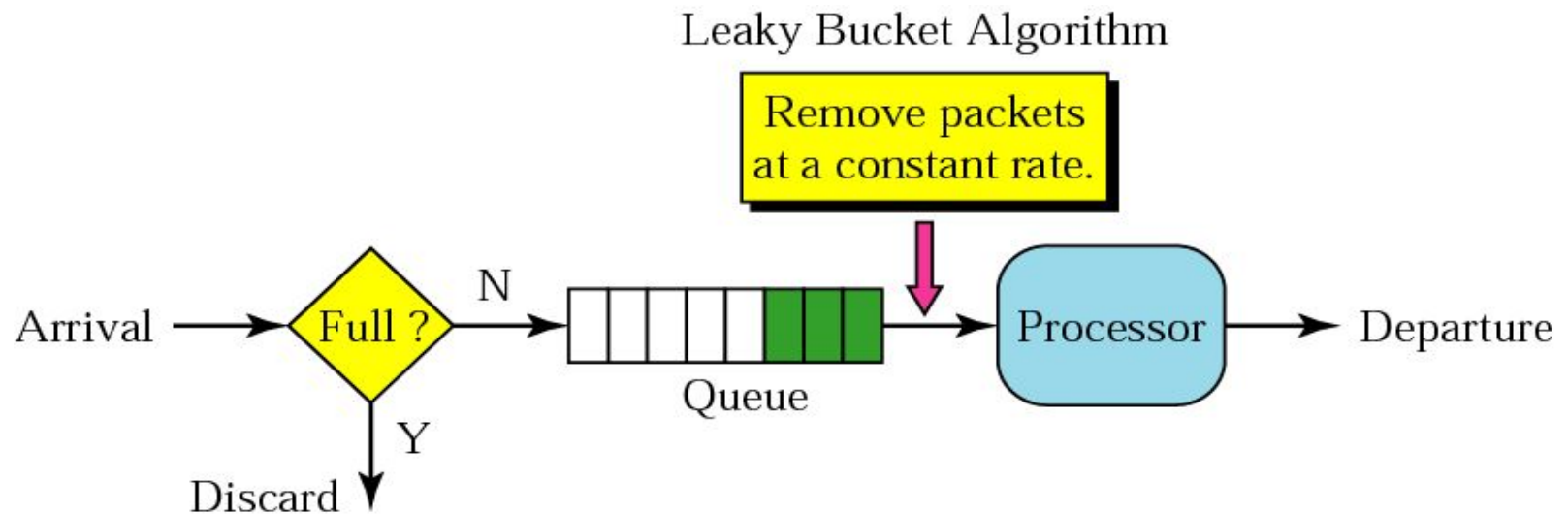


Bursty data



Fixed-rate data

**Figure 23.17** Leaky bucket implementation

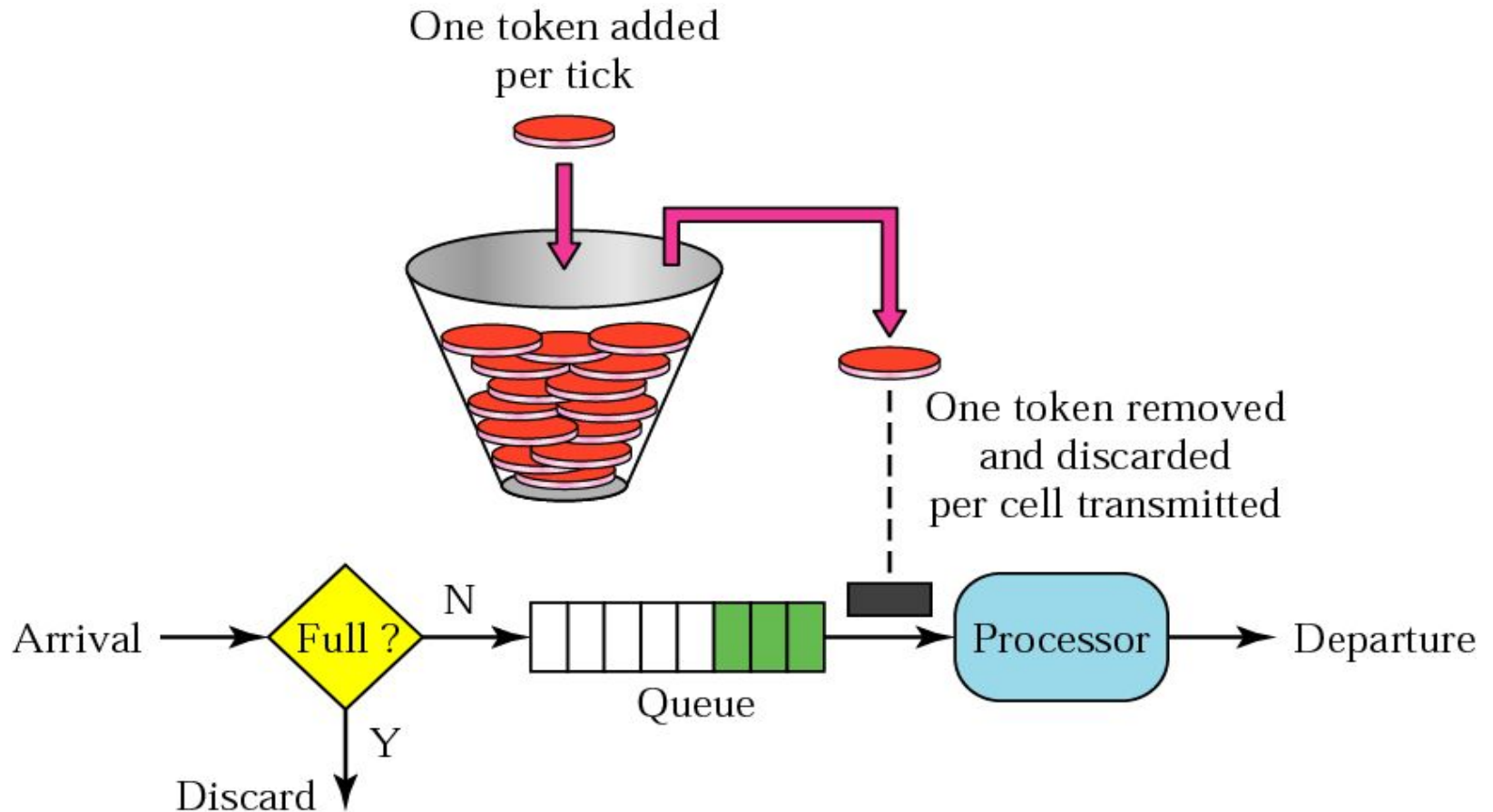




**Note:**

*A leaky bucket algorithm shapes bursty traffic into fixed-rate traffic by averaging the data rate. It may drop the packets if the bucket is full.*

**Figure 23.18 Token bucket**





*The token bucket allows bursty traffic at a regulated maximum rate.*