



Left

# Elimination of Left Recursion

- A grammar is left recursive if it has a nonterminal  $A$  such that there is a derivation  $A \xRightarrow{+} A\alpha$  for some string  $\alpha$ .
- Top-down parsing methods cannot handle left-recursive grammars, so a transformation that eliminates left recursion is needed.
- In Section 2.4, we discussed simple left recursion, where there was one production of the form,  $A \rightarrow A\alpha$ . Here we study the general case.
- In Section 2.4, we showed how the left-recursive pair of production  $A \rightarrow A\alpha \mid \beta$  could be replaced by the non-left-recursive productions

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \epsilon$$

without changing the set of strings derivable from  $A$ . This rule by itself suffices in many grammars.

- **Example 4.8.** Consider the following grammar for arithmetic expressions.

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid \text{id}$$

- Eliminating the immediate left recursion ( production of the form  $A \rightarrow A\alpha$  ) to the production for E and then for T, we obtain

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \varepsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \varepsilon$$

$$F \rightarrow (E) \mid \text{id}$$

- No matter how many A-productions there are, we can eliminate immediate left recursion from them by the following technique. First, we group the A-productions as

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \mid \dots \mid \beta_n$$

where no  $\beta_i$  begins with an A.

Then, we replace the A-productions by

$$\begin{aligned} A &\rightarrow \beta_1 A' \mid \beta_2 A' \mid \dots \mid \beta_n A' \\ A' &\rightarrow \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \varepsilon \end{aligned}$$

- The nonterminal  $A$  generates the same strings as before but is no longer left recursive. This procedure eliminates all immediate left recursion from the  $A$  and  $A'$  productions (provided no  $\alpha_i$  is  $\varepsilon$ ), but it does not eliminate left recursion involving derivations of two or more steps. For example, consider the grammar

$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow Ac \mid Sd \mid \varepsilon \end{aligned}$$

The nonterminal  $S$  is left-recursive because  $S \Rightarrow Aa \Rightarrow Sda$ , but it is not immediately left recursive.

- Algorithm 4.1, below, will systematically eliminate left recursion from a grammar.
- It is guaranteed to work if the grammar has no cycles (derivations of the form  $A \Rightarrow A$ ) or  $\epsilon$ -productions (productions of the form  $A \rightarrow \epsilon$ ).
- Cycles can be systematically eliminated from a grammar as can  $\epsilon$ -productions.

## Algorithm 4.1. Eliminating left recursion.

- *Input.* Grammar  $G$  with no cycles or  $\epsilon$ -productions.
- *Output.* An equivalent grammar with no left recursion.
- *Method.* Apply the algorithm in Fig. 4.7 to  $G$ . Note that the resulting non-left-recursive grammar may have  $\epsilon$ -productions.

1. Arrange the nonterminal in some order  $A_1, A_2, \dots, A_n$ .

2. **for**  $i := 1$  **to**  $n$  **do begin**

**for**  $j := 1$  **to**  $i-1$  **do begin**

replace each production of the form  $A_i \rightarrow A_j \gamma$   
by the productions  $A_i \rightarrow \delta_1 \gamma \mid \delta_2 \gamma \mid \dots \mid \delta_k \gamma$ ,  
where  $A_j \rightarrow \delta_1 \mid \delta_2 \mid \dots \mid \delta_k$  are all the current  
 $A_j$ -productions;

**end**

eliminate the immediate left recursion among the  
 $A_i$ -productions

**end**

**Fig.4.7.** Algorithm to eliminate left recursion from a grammar

- The reason the procedure in Fig.4.7 works is that after the  $i-1^{\text{st}}$  iteration of the outer for loop in step (2), any production of the form  $A_k \rightarrow A_m \alpha$ , where  $k < i$ , must have  $l > k$ .
- As a result, on the next iteration, the inner loop (on  $j$ ) progressively raises the lower limit on  $m$  in any production  $A_i \rightarrow A_m \alpha$ , until we must have  $m \geq i$ .
- Then, eliminating immediate left recursion for the  $A_i$ -productions forces  $m$  to be greater than  $i$ .



- **Example 4.9.** Let us apply this procedure to grammar (4.12). Technically, Algorithm 4.1 is not guaranteed to work, because of the  $\varepsilon$ -production, but in this case the production  $A \rightarrow \varepsilon$  turns out to be harmless.
  
- We order the nonterminals  $S, A$ . There is no immediate left recursion among the  $S$ -productions, so nothing happens during step (2) for the case  $i = 1$ . For  $i = 2$ , we substitute the  $S$ -productions in  $A \rightarrow Sd$  to obtain the following  $A$ -productions.
 
$$A \rightarrow Ac \mid Aad \mid bd \mid \varepsilon.$$
 Eliminating the immediate left recursion among the  $A$ -productions yields the following grammar.
 
$$\begin{aligned} S &\rightarrow Aa \mid b \\ A &\rightarrow bdA' \mid A' \\ A' &\rightarrow cA' \mid adA' \mid \varepsilon \end{aligned}$$

# Left Factoring

- Left factoring is a grammar transformation that is useful for producing a grammar suitable for predictive parsing.
- The basic idea is that when it is not clear which of two alternative productions to use to expand a nonterminal  $A$ , we may be able to rewrite the  $A$ -productions to defer the decision until we have seen enough of the input to make the right choice.

- For example, if we have the two productions  
 $\text{stmt} \rightarrow \text{if expr then stmt else stme} \mid \text{if expr then stmt}$   
 on seeing the input token **if**, we cannot immediately tell which production to choose to expand  $\text{stmt}$ . In general, if  $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2$  are two  $A$ -productions and the input begins with  $A$  to  $\alpha\beta_1$  or  $\alpha\beta_2$ .
- However, we may defer the decision by expanding  $A$  to  $\alpha A'$ .
- Then, after seeing the input derived from  $\alpha$ , we expand  $A'$  to  $\beta_1$  or  $\beta_2$ . That is, left-factored, the original production become
 
$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

- **Algorithm 4.2.** Left factoring a grammar
- Input. Grammar  $G$ .
- Output. An equivalent left-factored grammar.
- Method. For each nonterminal  $A$  find the longest prefix  $\alpha$  common to two or more of its alternatives. If  $\alpha \neq \varepsilon$ , i.e., there is a nontrivial common prefix, replace all the  $A$  productions  $A \rightarrow \alpha\beta_1 \mid \alpha\beta_2 \mid \dots \mid \alpha\beta_n \mid \gamma$ , where  $\gamma$  represents all alternatives that do not begin with  $\alpha$  by
 
$$\begin{aligned} A &\rightarrow \alpha A' \mid \gamma \\ A' &\rightarrow \beta_1 \mid \beta_2 \mid \dots \mid \beta_n \end{aligned}$$
- Here  $A'$  is a new nonterminal. Repeatedly apply this transformation until no two alternatives for a nonterminal have a common prefix.

- **Example 4.10.** The following grammar abstracts the dangling-else problem:

$$S \rightarrow iEtS \mid iEtSeS \mid a$$

$$E \rightarrow b$$

- Here *i*, *t*, and *e* stand for **if**, **then** and **else**, *E* and *S* for “expression” and “statement.” Left-factored, this grammar becomes:

$$S \rightarrow iEtSS' \mid a$$

$$S' \rightarrow eS \mid \varepsilon$$

$$E \rightarrow b$$

- Thus, we may expand  $S$  to  $iEtSS'$  on input  $i$ , and wait  $iEtS$  has been seen to decide whether to expand  $S'$  to  $eS$  or to  $\varepsilon$ .
- Of course, grammars (4.13) and (4.14) are both ambiguous, and on input  $e$ , it will not be clear which alternative for  $S'$  should be chosen.
- Example 4.19 discusses a way out of this dilemma.

- $\text{exp} \rightarrow \text{exp} + \text{term} \mid \text{exp} - \text{term} \mid \text{term}$

$$A \rightarrow Bb \mid \dots$$

$$B \rightarrow Aa \mid \dots$$

- CASE 1: Simple immediate left recursion:  $A \rightarrow A\alpha \mid \beta$

$$A \rightarrow \beta A'$$

$$A' \rightarrow \alpha A' \mid \varepsilon$$

- **Example 4.1**  $\text{exp} \rightarrow \text{exp addop term} \mid \text{term}$

$$\text{exp} \rightarrow \text{term exp}'$$

$$\text{exp}' \rightarrow \text{addop term exp}' \mid \varepsilon$$

- CASE 2: General immediate left recursion:  

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \dots \mid A\alpha_n \mid \beta_1 \mid \beta_2 \mid \dots \mid \beta_m$$
  
- **Example 4.2**  $\text{exp} \rightarrow \text{exp} + \text{term} \mid \text{exp} - \text{term} \mid \text{term}$   

$$\text{exp} \rightarrow \text{term exp'}$$

$$\text{exp'} \rightarrow + \text{term exp'} \mid - \text{term exp'} \mid \varepsilon$$



- CASE 3: General left recursion:
  - for  $i := 1$  to  $n$  do
    - for  $j := 1$  to  $i-1$  do
      - replace each grammar rule choice of the form  $A_i \rightarrow A_j \beta$  by the rule  $A_i \rightarrow \alpha_1 \beta \mid \alpha_2 \beta \mid \dots \mid \alpha_k \beta$  where  $A_j \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_k$  is the current rule for  $A_j$
    - remove, if necessary, immediate left recursion involving  $A_i$

- **Example 4.3**

- - $A \rightarrow Ba \mid Aa \mid c$
  - $B \rightarrow Bb \mid Ab \mid d$

# GRAMMARS

- A grammar for a programming language is a formal description of the syntax, or form, of programs and individual statements written in the language. The grammar does not describe the semantics, or meaning.

- **FIGURE 5.2** Simplified Pascal grammar:

1.  $\langle \text{prog} \rangle ::= \text{PROGRAM } \langle \text{prog-name} \rangle;$   
      $\text{VAR } \langle \text{dec-list} \rangle \text{ BEGIN } \langle \text{stmt-list} \rangle \text{ END.}$

2.  $\langle \text{prog-name} \rangle ::= \text{id}$

3.  $\langle \text{dec-list} \rangle ::= \langle \text{dec} \rangle \mid \langle \text{dec-list} \rangle ; \langle \text{dec} \rangle$

4.  $\langle \text{dec} \rangle ::= \langle \text{id-list} \rangle : \langle \text{type} \rangle$

5.  $\langle \text{type} \rangle ::= \text{INTEGER}$

6.  $\langle \text{id-list} \rangle ::= \text{id} \mid \langle \text{id-list} \rangle, \text{id}$

7. <stmt-list> ::= <stmt> | <stmt-list> ; <stmt>
8. <stmt> ::= <assign> | <read> | <write> | <for>
9. <assign> ::= id := <exp>
10. <exp> ::= <term> | <exp> + <term> |  
<exp> - <term>
11. <term> ::= <factor> | <term> \* <factor> |  
<term> DIV <factor>
12. <factor> ::= id | int | ( <exp> )
13. <read> ::= READ ( <id-list> )
14. <write> ::= WRITE ( <id-list> )
15. <for> ::= FOR <index-exp> DO <body>
16. <index-exp> ::= id := <exp> TO <exp>
17. <body> ::= <stmt> | BEGIN <stmt-list> END

FIGURE 5.9 Simplified Pascal grammar modified for recursive-descent parse.

1.  $\langle \text{prog} \rangle ::= \text{PROGRAM } \langle \text{prog-name} \rangle ;$   
     $\text{VAR } \langle \text{dec-list} \rangle \text{ BEGIN } \langle \text{stmt-list} \rangle \text{ END.}$
2.  $\langle \text{prog-name} \rangle ::= \text{id}$
- 3a.  $\langle \text{dec-list} \rangle ::= \langle \text{dec} \rangle \{ ; \langle \text{dec} \rangle \}$
4.  $\langle \text{dec} \rangle ::= \langle \text{id-list} \rangle : \langle \text{type} \rangle$
5.  $\langle \text{type} \rangle ::= \text{INTEGER}$
- 6a.  $\langle \text{id-list} \rangle ::= \text{id} \{ , \text{id} \}$
- 7a.  $\langle \text{stmt-list} \rangle ::= \langle \text{stmt} \rangle \{ ; \langle \text{stmt} \rangle \}$
8.  $\langle \text{stmt} \rangle ::= \langle \text{assign} \rangle \mid \langle \text{read} \rangle \mid \langle \text{write} \rangle \mid \langle \text{for} \rangle$
9.  $\langle \text{assign} \rangle ::= \text{id} := \langle \text{exp} \rangle$
- 10a.  $\langle \text{exp} \rangle ::= \langle \text{term} \rangle \{ + \langle \text{term} \rangle \mid - \langle \text{term} \rangle \}$

- 11a.  $\langle \text{term} \rangle ::= \langle \text{factor} \rangle \{ * \langle \text{factor} \rangle \mid \text{DIV } \langle \text{factor} \rangle \}$
- 12.  $\langle \text{factor} \rangle ::= \text{id} \mid \text{int} \mid ( \langle \text{exp} \rangle )$
- 13.  $\langle \text{read} \rangle ::= \text{READ} ( \langle \text{id-list} \rangle )$
- 14.  $\langle \text{write} \rangle ::= \text{WRITE} ( \langle \text{id-list} \rangle )$
- 15.  $\langle \text{for} \rangle ::= \text{FOR } \langle \text{index-exp} \rangle \text{ DO } \langle \text{body} \rangle$
- 16.  $\langle \text{index-exp} \rangle ::= \text{id} := \langle \text{exp} \rangle \text{ TO } \langle \text{exp} \rangle$
- 17.  $\langle \text{body} \rangle ::= \langle \text{stmt} \rangle \mid \text{BEGIN } \langle \text{stmt-list} \rangle \text{ END}$