

# Parsing



#### **EXPRESSION ABBREVIATIONS**

```
Regular expression:
     digits=[0-9]+
     sum=(digits "+")*digits
   =>defines sums of the form: 28+301+9.
Consider:
     digits=[0-9]+
     sum=expr "+" expr
     expr="(" sum ")"|digits
   =>defines expressions of the form:
   (109+23) 61
                        (1+(250+3))
```

♣ But it is impossible for a finite automaton to recognize balanced parentheses(because a machine with *N* states cannot remember a parenthesis-nesting depth greater than *N*), so clearly *sum* and *expr* cannot be regular expressions.



# HOW TO IMPLEMENT REGULAR-EXPRESSION ABBREVIATIONS?

#### The Answer:

- The right-hand-side ([0-9]+) is simply substituted for digits wherever it appears in regular expressions, before translation to a finite automaton.
- Substitute sum into expr, yielding
  - expr="(" expr"+"expr")"|digits
- Now an attempt to substitute expr into itself leads to
  - expr="("("("("expr"+"expr")"|digits)"+"expr")"|digits



#### **CONTEXT-FREE GRAMMARS**

A context-free grammar has a set of productions of the form:

symbol ->symbol symbol ... symbol

#### Where

- There are zero or more symbols on the right-hand side.
- Each symbol is either terminal, or non-terminal.
- No token can ever appear on the left-hand side of some production.
- One of non-terminal is distinguished as the start symbol of the grammar.



#### **CONTEXT-FREE GRAMMARS**

- Derivations
  - Leftmost or Rightmost;
- Example for leftmost derivation

```
S
S;S
id:=E;S
id:=num;S
id:=num;id:=E
id:=num;id:=E+E
```



## Ambiguous Grammar(1/2)

範例

有一文法 G:E->E+E|E\*E|(E)|-E|id

=>id+id\*id 產生兩種Leftmost Derivation

E=>E+E		E=>E*E
=>id+E		=>E+E*E
=>id+E*E		=>id+E*E
=>id+id*E	Parsing Tree	=>id+id*E
=>id+id*id		=>id+id*id



## Ambiguous Grammar(2/2)

- 解決方法
  - 指明運算子是採左邊結合或右邊結合的方式

若為左邊結合=>

一定義運算子的順位若operator \* > operator + =>

=>id+id\*id

$$E = > E + E$$

$$=>id+E$$

$$=>id+E*E$$

$$=>id+id*E$$



# 剖析(Parsing)

### ■方法

- Top-Down Parser
  - 遞迴繼承構文解析程式(Recursive Descent Parser)
  - 預期性的構文解析程式(Predictive Parser)
- Bottom-Up Parser
  - 移入-簡化構文解析程式(Shift-Reduce Parser)
  - 運算子順位構文解析程式(Operator Precedence Parser)



#### 解析程式

Top-Down 解析程式

Bottom-Up 解析程式

遞迴繼承 構文解析程式 預期性的 構文解析程式 移入-簡化 構文解析程式 運算子順位 構文解析程式

LL解析程式

LR解析程式

LSR解析程式

LALR解析程式



# 遞迴繼承構文解析程式(1/8)

1.一般Top-Down Parsing 常需要做數次的 回頭掃瞄(Backtracking), 乃是由於採用最 左邊推演(Leftmost Derivation) 時,常會因 選擇推演規則錯誤而必須回頭. 尤其是一 具有Left Recursive 的文法,常使得在 parsing 時會進入一個無窮循環 (Infinite Loop) 的情况,故必須事先將此一情況去 除.



# 遞迴繼承構文解析程式(2/8)

2.乃利用一些遞迴程序(Recursive Procedure)來辨認輸入的字串 且無需做回 頭掃瞄的動作. 因為遞迴繼承構文解析程式 是藉由遞迴程序來作剖析 因而對於具有 Left Recursive 或Left Factor的文法,常使 得在parsing 時會進入一個無窮循環 (Infinite Loop) 的情況.故必須事先將此一 情况去除.



# 遞迴繼承構文解析程式(3/8)

## ①Left recursive去除的方法

```
若有文法規則為 A \rightarrow A\alpha_1 | A\alpha_2 | ... | A\alpha_n | \beta_1 | \beta_2 | ... | \beta_m  則改為 A \rightarrow \beta_1 A' | \beta_2 A' | ... | \beta_m A' A' \rightarrow \alpha_1 A' | \alpha_2 A' | ... | \alpha_n A' | \epsilon
```



# 遞迴繼承構文解析程式(4/8)

## ② Left Factoring去除的方法

若有文法規則為 
$$A\rightarrow\alpha\beta_{1}|\alpha\beta_{2}|...|\alpha\beta_{m}|\gamma$$
 則改為 
$$A\rightarrow\alpha A'|\gamma$$
  $A'\rightarrow\beta_{1}|\beta_{2}|...|\beta_{m}$ 



# 遞迴繼承構文解析程式(5/8)

■ 範例:(Left Recursive)

G: 
$$E \rightarrow E + T \mid T$$
  
 $T \rightarrow T^*F \mid F$   
 $F \rightarrow (E) \mid id$ 

=>

G': 
$$E \rightarrow TE'$$
  
 $E' \rightarrow +TE' | \epsilon$   
 $T \rightarrow FT'$   
 $T' \rightarrow *FT' | \epsilon$   
 $F \rightarrow (E) | id$ 



# 遞迴繼承構文解析程式(6/8)

■ 範例:(Left Factor)

```
G: S→iEtS|iEtSeS|a
E →b
=>
G': S →iEtSS'|a
S' →eS|ε
E →b
```



# 遞迴繼承構文解析程式(7/8)

③ 若經過兩次以上的推演後才發生Left Recursive的情況,則稱之為間接左遞迴.

從文法的第一條規則依次往下處理,若目前正在處理文法的第一條規則,則將前面的1-1條規則分別代入第1條規則中.如有左遞迴之情況,再用上述消除左遞迴的方法予以消除



# 遞迴繼承構文解析程式(8/8)

■ 範例:(Left Recursive)

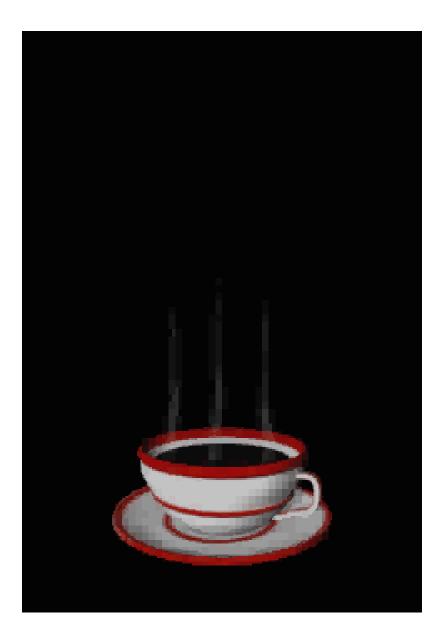
G: S→Aa|b A →Ac|Sd|e

將S→Aa|b 代入A→Ac|Sd|e 中 得 A→Ac|Aad|bd|e,其中 A→Ac|Aad 為左遞迴

故 G': S →Aa|b A →bdA'|eA' A' →cA'|adA'|ε



## •Break time





## 預期性的構文解析程式

- Predictive Parser
  - 藉由一表格(Table) 來免除遞迴呼叫。
- Parser table
  - M[A,a] 二維陣列
  - A: 非終端符號
  - a:終端符號或終止符號\$
  - 開始時,堆疊底部包含有終止符號\$及開始符號



## 預期性的構文解析程式

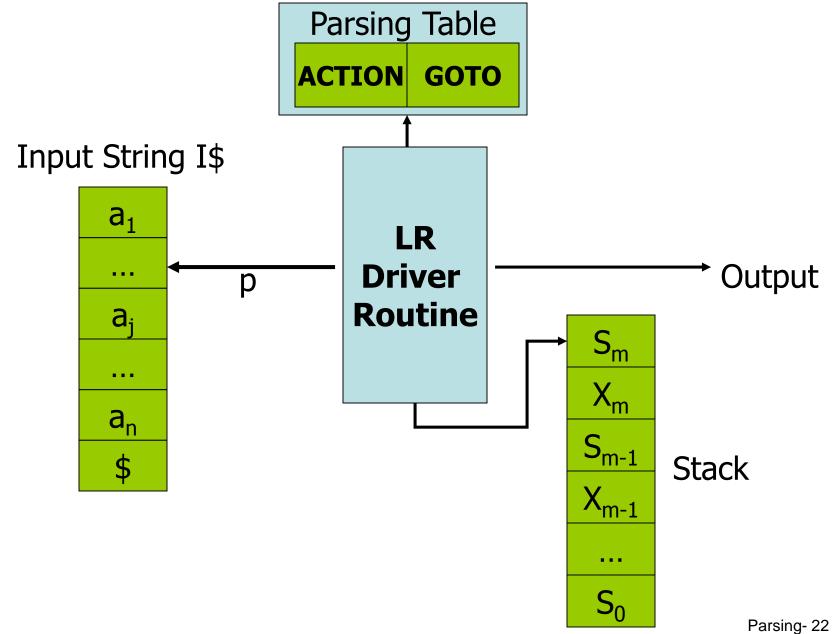
(See Slides)



# LR Parser (Left To Right) Parser

- Contains 2 parts
  - Driver Routine
  - Parsing Table
    - ACTION
    - GOTO
- Processing Method
  - Left to Right Scan
  - Leftmost Reduction







- String In Stack, S<sub>0</sub>X<sub>1</sub>S<sub>1</sub>X<sub>2</sub>S<sub>2</sub>....X<sub>m</sub>S<sub>m</sub>
  - X<sub>m</sub>:Symbol in Grammar Rule
  - S<sub>m</sub> State
- LR Drive Routine
  - The state ,S<sub>m</sub> in the Top of Stack
  - currently input symbol a<sub>i</sub>
  - Parsing Table ACTION[S<sub>m</sub>,a<sub>i</sub>]
  - 4 ACTIONS
    - Shift S
    - Reduce A->B
    - Accept
    - Error
  - The content of GOTO[S<sub>m</sub>,a<sub>i</sub>] is the next state produced by S<sub>m</sub>,and a<sub>i</sub>



#### LR Parser

 藉由Stack的內容與未處理的輸入符號來表示LR Parser 的狀態,稱之為 Configuration 如 (S<sub>0</sub>X<sub>1</sub>S<sub>1</sub>X<sub>2</sub>S<sub>2</sub>....X<sub>m</sub>S<sub>m</sub>,a<sub>i</sub>a<sub>i+1</sub>a<sub>i+2</sub>...a<sub>n</sub>\$)

#### LR Drive Routine Actions

(1)If ACTION  $[S_m,a_i]$ =Shift S, then A new Configure= $(S_0X_1S_1X_2S_2....X_mS_ma_iS,a_{i+1}a_{i+2}...a_n\$)$  Symbol  $a_i$  is shifted into Stack State S is push on the top of Stack Currently input symbol is Symbol  $a_{i+1}$  Endif

# (2)If ACTION[S<sub>m</sub>,a<sub>i</sub>]=Reduce A->B, then If StringLength(B)=k then Pop 2k Symbols from Stack and then the current state on the top of stack is S<sub>m-k</sub>. Push A on the top of Stack By following GOTO[S<sub>m-k</sub>,a<sub>i</sub>] =S ,push S into the top of Stack Currently input symbol is still Symbol a<sub>i</sub>. Current Configure=(S<sub>0</sub>X<sub>1</sub>S<sub>1</sub>X<sub>2</sub>S<sub>2</sub>....X<sub>m-k</sub>S<sub>m-k</sub>AS, ,a<sub>i</sub>a<sub>i+1</sub>a<sub>i+2</sub>...a<sub>n</sub>\$) Endif



#### LR Drive Routine Actions

(3)If ACTION [S<sub>m</sub>,a<sub>i</sub>]=Accept, then Parsing is finished.
Endif

(4)If ACTION [S<sub>m</sub>,a<sub>i</sub>]=Error, then
The input string syntax error, and
Call error() routine.
Endif



## LR Parsing Algorithm

```
While (true) do
Begin
   S is the state on the top of Stack and
   a is the symbol pointed by p;
   IF (ACTION[S,a]=Shift S') then begin
         push a and S' orderly on the top of Stack
         p points to next input symbol.
         End
   else IF (ACTION[S,a]=Reduce A->B) then begin
                  pop 2k symbols; /* length(B)=k */
                  IF (current state in the top of stack is S')
                  Push A into the top of Stack.
                  Push the state of GOTO[S',A] on the top of Stack
                  end
         if (ACTION[S,a]=ACCEPT) then
   else
                  return;
        else ERROR();
```

End



G:

(1) S->S-T

(2) S->T

(3) T->T\*F

(4) T->F

(5) F->[S]

(6) F->id

## Example

State	ACTION						GOTO		
	id	-	*	[	]	\$	S	Т	F
0	S5			S4			1	2	3
1		S6				Acc			
2		R2	<b>S</b> 7		R2	R2			
3		R4	R4		R4	R4			
4	S5			<b>S4</b>			8	2	3
5		R6	R6		R6	R6			
6	S5			S4				9	3
7	S5			S4					10
8		S6			s11				
9		R1	<b>S</b> 7		R1	R1			
10		R3	R3		R3	R3			
11		R5	R5		R5	R5			

#### **SLR Parsing Table**



## Input id\*id-id

Step	Stack	Input Buffer	Output	
1	0	id*id-id\$	Shift	
2	0id5	*id-id\$	Reduce	F->id
3	0F3	*id-id\$	Reduce	T->F
4	0T2	*id-id\$	Shift	
5	0T2*7	id-id\$	Shift	
6	0T2*7id5	-id\$	Reduce	F->id
7	0T2*7F10	-id\$	Reduce	T->T*F
8	0T2	-id\$	Reduce	S->T
9	<b>0S1</b>	-id\$	Shift	
10	0S1+6	ld\$	Shift	
11	0S1+6id5	\$	Reduce	F->id
12	0S1+6F3	\$	Reduce	T->F
13	0S1+6T9	\$	Reduce	S->S-F
14	<b>0S1</b>	\$	Accept	



## LR Parser 的優點

- 可用來辨認以Context-Free Grammar所描述的Programming Language.
- 無需回頭掃描(Backtracking), Better
   Performance and more popular Parser.
- 由Left-to-Right 來掃描輸入字串,能儘快 地偵測出Syntactic Errors.



## LR Parser

- 3 LR Parsers
  - SLR (Simple LR Parser)
  - LR(1) (Canonical LR Parser)
  - LALR (Look-ahead LR Parser)
- 若一文法G 被LR Parser 剖析時,為了要決定Shift or Reduce的動作,必須在檢查至當時輸入符號後的K個符號才能決定其動作,則稱此文法為LR(K)文法.



## **SLR Parsing Table**

(1)Grammar G 的一個LR(0) item 或稱 item.

Example

Grammar X->ABC

4 LR(0) items

X->•ABC

X->A • BC

X->AB • C

X->ABC •

(2)LR(0) item 所組合的集合稱之為 Canonical LR(0) Collection.此為製作LR Parser的基礎.



## **SLR Parsing Table**

#### (3) 擴大的文法(Augmented Grammar)

若文法G是以一個符號S為開始符號的文法當加入一個新符號S'及文法S'->S,則形成文法G的擴大文法G'.

#### (4)Closure Operation

若I是文法G的一個項目集合,則CLOSURE(I)之item集合可依據下列規則建立

Rule 1:每一個於 I 集合中的item,皆是CLOSURE(I)中的item.

Rule 2:若A-> $\alpha \bullet B\beta$  是CLOSURE(I)集合中的item,而且存在一文法規則 B-> $\gamma$ ,則將B-> $\bullet\gamma$  加入CLOSURE(I)中直到無法在加入新的item為止.

```
NSYSU
CSE B
```

```
Procedure CLOSURE(I)
Begin
 Y:=I;
 Repeat
     For (於Y集合中存在一item A->α•Bβ
          且 有一文法規則B->y
          且 B->•γ 不存在於Y集合中) do
          將B->●γ 加入Y集合中;
 Until (無其他item可在加入Y集合中);
 Return Y;
End;
```



## Example

Augmented Grammar G'

```
G':
```

S'->S

S->S-T|T

T->T\*F|F

F->[S]|id

若item I 為 {S'->S},則CLOSURE(I) 的所有item 集合為

S'->•S

S->•S-T

S->•T

T->•T\*F

T->●F

F->•[S]

F->●id



- Item可分為兩類
  - Kernel item:包括起始item S'->S 以及所有 "•" 不出現在最左邊的item.
  - Non-kernel item:指 "●" 出現在最左邊的item
- GOTO[I,X]
  - I: an item集合
  - X : a Grammar Symbol
- GOTO[I,X]之意義
  - 若[A->α Xβ]在I集合中,則[A->α X β]及其產生的 CLOSURE皆在GOTO(I,X)中.



```
Procedure ITEM(G') /* Canonical LR(0) Collection D */
Begin
 D:={CLOSURE({S'->S});
  Repeat
     For (於D中的每一個I項目集合與文法符號X,
     使得GOTO(I,X)不是空集合且不存在於D中) do
          將GOTO(I,X)加入D中;
 Until (無其他item可在加入D集合中);
End;
```



#### Example:文法G'之Canonical LR(0)項目集合的建構(1/4)



#### Example: 文法G'之Canonical LR(0) 項目集合的建構(2/4)

(2) (3) (4)   
1.GOTO(
$$I_{1},-$$
) 1.GOTO( $I_{2},+$ ) 1.GOTO( $I_{4},S$ )   
 $I_{6}:\{S->S-\bullet T I_{7}:\{T->T^{\bullet}\bullet F I_{8}:\{F->[\bullet S] S->S \bullet -T\}$    
 $T->\bullet T^{*}F F->\bullet [S] S->S \bullet -T\}$    
 $T->\bullet [S] I_{2}:\{S->T\bullet I_{2}:\{S->T\bullet I_{3}:\{T->F\bullet\}\}$    
 $T->T-\bullet [S] I_{3}:\{T->F\bullet\}$    
4.GOTO( $I_{4},F$ )   
 $I_{4}:\{F->[\bullet S]\}$    
5.GOTO( $I_{4},I$ )   
 $I_{5}:\{F->ID\bullet\}$ 

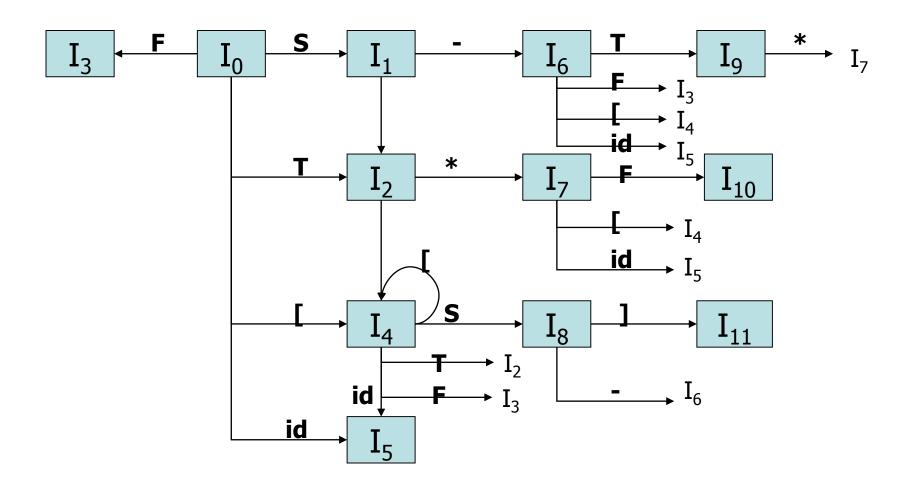


#### Example: 文法G'之Canonical LR(0) 項目集合的建構(3/4)

(5) (6) (7) (7) (1.GOTO(
$$I_6$$
,T) 1.GOTO( $I_7$ ,F) 1.GOTO( $I_8$ ,]) 1.GOTO( $I_8$ ,]) 1.GOTO( $I_8$ ,]) 1.GOTO( $I_8$ ,]) 1.GOTO( $I_8$ ,-) 1.GOTO( $I_8$ ,-) 2.GOTO( $I_7$ ,[) 2.GOTO( $I_8$ ,-) 1.GOTO( $I_9$ ,\*) 1.GOTO( $I_9$ 



### Example: 文法G'之Canonical LR(0) 項目集合的建構(4/4)



**Transition Diagram** 



# SLR Parsing Table 建構的方法(1/2)

- 1. 建立Augment Grammar G'的所有LR(0)的項目集合I<sub>0</sub>I<sub>1</sub>I<sub>2</sub>,....I<sub>n</sub>
- 2. 每一個LR(0)項目集合是唯一新的State;即將I<sub>i</sub>視為State i. 至於每個state 的動作則根據以下規則決定
  - 若[A->α aβ] 是在 I<sub>i</sub> 中,而且GOTO(I<sub>i</sub> ,a)=I<sub>j</sub>, 則 ACTION[I<sub>i</sub>,a]= Shift j. (a : Terminal Symbol)
  - 若[A-> B•] 是在 I<sub>i</sub> 中,則令ACTION[I<sub>i</sub>, a]="Reduce A->B".在此a 表示FOLLOW (A)中的元素.
  - 若[S'->S •]是在 I<sub>i</sub> 中,則令ACTION[I<sub>i</sub>, \$]=Accept.



# SLR Parsing Table 建構的方法(2/2)

- 3. 對於Non-terminal Symbol A, 若有GOTO(I<sub>i</sub>, A)= I<sub>j</sub>, 則GOTO[i,A]=j.
- 4. 其他未加以定義的欄位則表示錯誤(Error).
- 5. 令包含有[S'->S]的State 為起始狀態(Initial State).



### 範例:SLR Parsing Table 建構(1/3)

```
ACTION[0,[]=Shift 4
ACTION[0,id]=Shift 5
GOTO[0,S]=1
GOTO[0,T]=2
GOTO[0,F]=3
```



### 範例:SLR Parsing Table 建構(2/3)

2. 
$$I_1:\{S'-> S \bullet S-> S \bullet -T\}$$

3. 
$$I_2:\{S-> T \bullet T-> T \bullet *F\}$$

```
Action[2,$]="Reduce S->T"
Action[2,-] "Reduce S->T"
Action[2,]] "Reduce S->T"
Action[2,*] "Reduce S->T"
```



### 範例:SLR Parsing Table 建構(3/3)

依此類推....We will get SLR Parsing Table

State	ACTION						GOTO		
	id	-	*	[	]	\$	S	Т	F
0	S5			S4			1	2	3
1		S6				Acc			
2		R2	S7		R2	R2			
3			R4		R4	R4			
4	S5			S4			8	2	3
5		R6	R6		R6	R6			
6	S5			S4				9	3
7	S5			S4					10
8		S6				S11			
9		S7	S7		R1	R1			
10		R3	R3		R3	R3			
11		R5	R5		R5	R5			



■ 如果在SLR Parsing Table中的某一欄位同時具有兩個Action時,則此文法非SLR(1)文法



### **Shift-Reduce Conflict**

#### 若Grammar

```
G: S->L+K
S->K
L->*K
L->id
K->L
```

可導出所有的LR(0)的項目 I<sub>0</sub> I<sub>1</sub> I<sub>2</sub> .... I<sub>n</sub> 其中 I<sub>2</sub>:{S->L • +K K->L •} 產生Shift-Reduce Conflict. 由 S->L • +K =>ACTION[2,+]=Shift 6 K->L • =>ACTION[2,+]="Reduce K->L" (FOLLOW(K)={+,\$})

故此文法非SLR(1)文法



# SLR ,LALR,LR(1) 比較

Parser	功能	成本	No of States
SLR			
LALR			
LR(1)			