

RadAdapt:

Radiology Report Summarization via Lightweight Domain Adaptation of Large Language Models

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Outline



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 - Qualitative (reader study, error analysis)
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Motivation



We investigate the task of radiology report summarization (RRS).

Why?

- · Radiology reports communicate crucial information from medical imaging studies.
- · RRS could be a useful clinical task in practice.
 - · Radiologists write summaries manually time-consuming, could lead to errors.
 - · Downstream clinicians sometimes only look at the summary!
- Technically interesting!
 - Lots of information/jargon specific to the clinical domain.
 - Interpretability, coherence, and factual correctness are crucial.

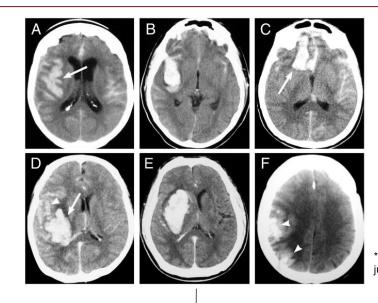
How?

· Lightweight adaptation methods for large language models (LLMs).



Dataset: MIMIC-III





*not real paired image - just for example of head CT

FINDINGS:

There is no evidence of acute intracranial hemorrhage, mass effect or shift of normally midline structures. There is no cerebral edema or loss of grey/white matter differentiation to suggest an acute ischemic event. The sulci and ventricles are prominent, most likely age-related involutionary changes. Confluent hypodensities in the deep white matter and periventricular distribution most likely represent small vessel ischemic disease. Air-fluid levels are seen in bilateral sphenoid sinuses. Scattered ethmoid air cells are opacified. Mastoid air cells appear well aerated. no acute fracture is seen. Right anterior scalp laceration is noted.

IMPRESSION:

- 1. No acute intracranial process.
- 2. Small vessel ischemic disease. Prominent sulci and ventricles, likely age-related involutionary changes.
- 3. Sinus disease, as above.

Table 2: Number of reports in MIMIC-III by modality, anatomy, and dataset split.

Modality/	Number of reports						
Anatomy	Train	Val	Test				
CT head	25,122	3,140	3,141				
CT abdomen	12,792	1,599	1,599				
CT chest	10,229	1,278	1,280				
MR head	5,851	731	732				
CT spine	4,414	551	553				
CT neck	912	114	115				
MR spine	_	-	2,822				
CT sinus	-	-	1,268				
MR abdomen	_	-	1,062				
MR pelvis	_	-	254				
MR neck	-	-	231				

Johnson et al, 2016.



Experiments



increasing domain adaptation via model pretraining (top) and methods for prompting or tuning (bottom)





Datasets used to pretrain



FLAN-T5 C4

> Instruction prompt tuning

discrete prompting

SCIFIVE

C4 **PubMed**

CLIN-T5-SCI

C4 **PubMed**

MIMIC-III, IV

CLIN-T5

C4

MIMIC-III, IV



Methods

used for prompting, tuning

template

Null **Prefix** Summarize the following Findings: ... Impression:

zero-shot

radiology report: Findings: ...

Impression:

In-context

Findings: [example] Impression: [example]

Findings: ... Impression:

few-shot

Prefix tuning

[tune parameters prefixed to model]

Findings: ... Impression:

LoRA

[tune parameters injected within model]

Findings: ... Impression:

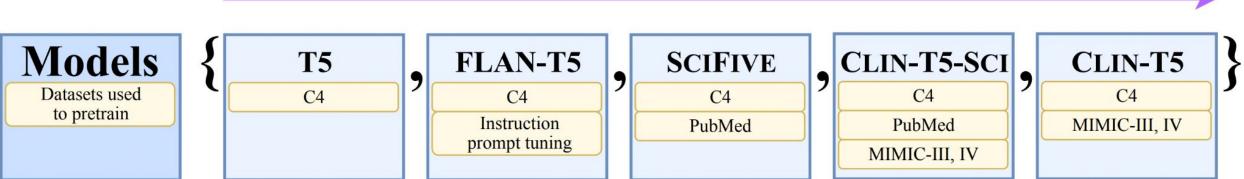
parameter-efficient fine-tuning



Experiments: Pretraining Datasets and Models



increasing domain adaptation via model pretraining (top) and methods for prompting or tuning (bottom)



Experiments: Pretraining Datasets and Models



increasing domain adaptation via model pretraining (top) and methods for prompting or tuning (bottom)

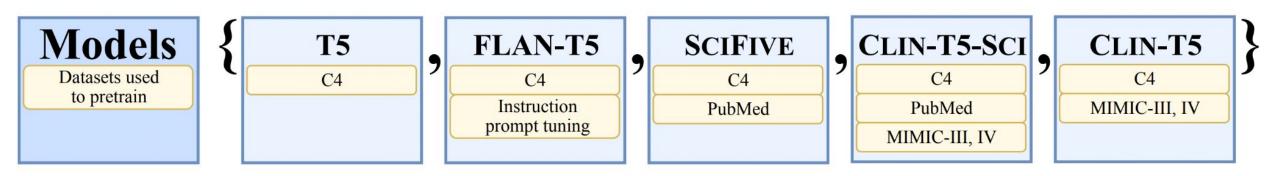


Table 1: We employ parameter-efficient fine-tuning methods for domain adaptation that modify <0.4% of model parameters while keeping other parameters frozen.

		Tunable parameters		Training tir		
Model size	Method	#	% of total	per epoch	total	# epochs
Base (223M)	prefix tuning	0.37M	0.17%	0.98	9.83	10
Dase (225WI)	LoRA	0.88M	0.39%	1.32	6.60	5
I amaa (729N/I)	prefix tuning	0.98M	0.13%	2.93	29.3	10
Large (738M)	LoRA	2.4M	0.32%	3.85	19.3	5

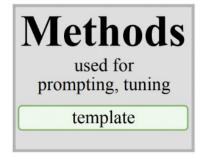


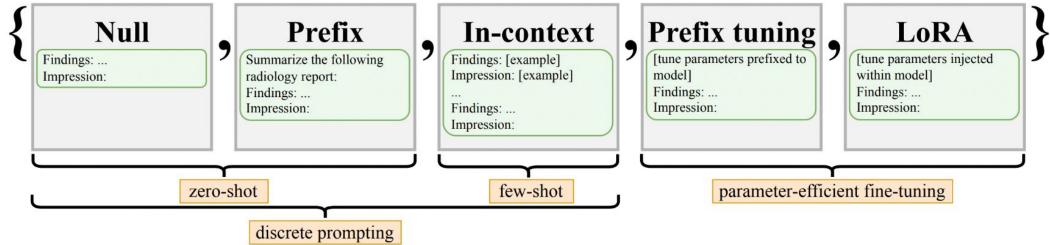
Experiments: Domain Adaptation Methods



increasing domain adaptation via model pretraining (top) and methods for prompting or tuning (bottom)



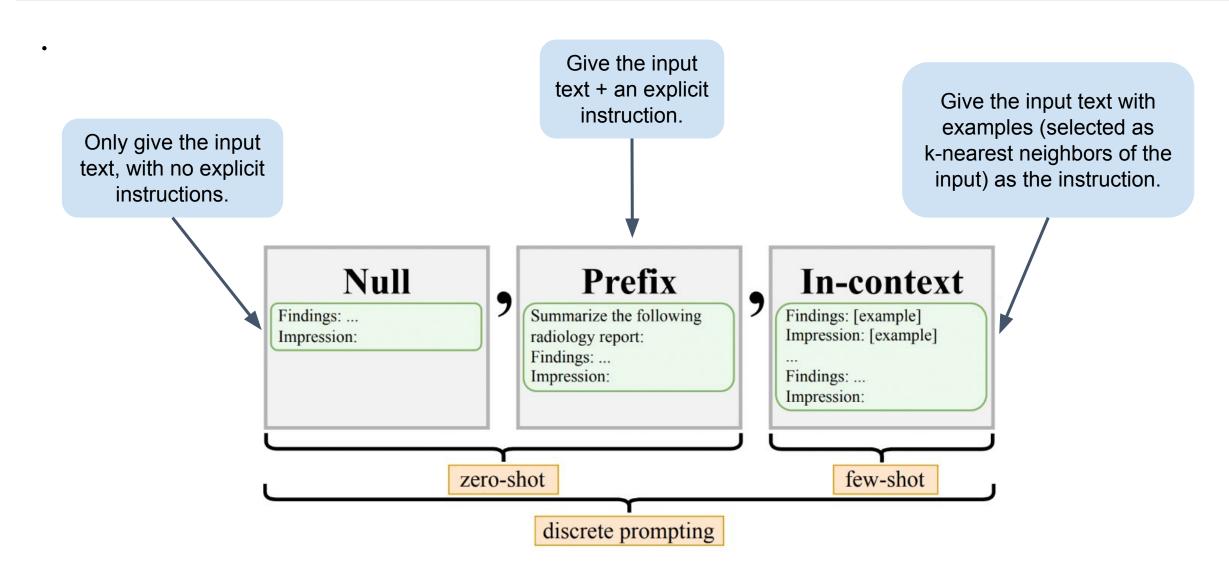






Experiments: Prompting Methods

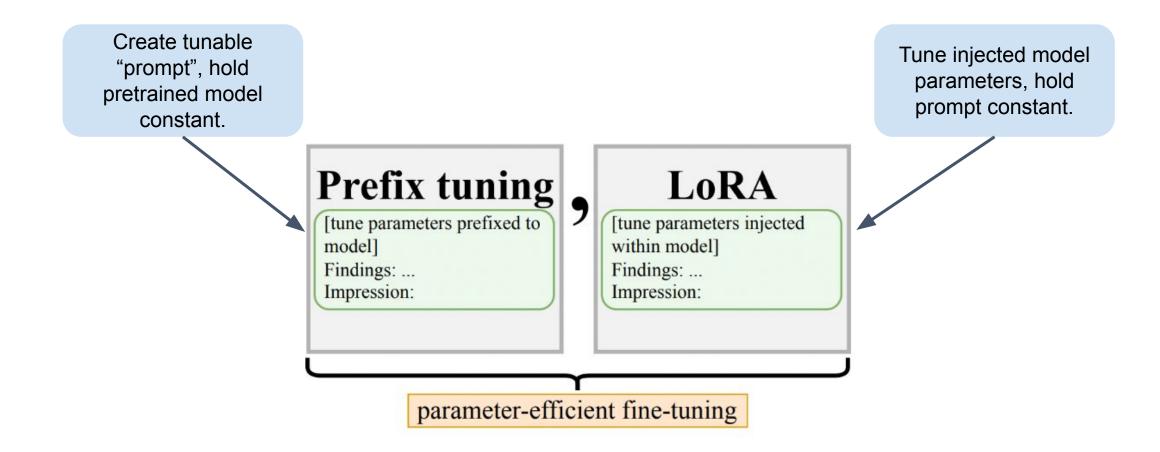




Experiments: PEFT Methods



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Results



We achieve best performance by maximally adapting to the clinical RRS task via both task-agnostic pretraining (on clinical text) and lightweight task adaptation (LoRA for RRS).

Results



Method	Model	BLEU	ROUGE-L	BERT	F1-Radgraph	- 20-
5.	T5	12.9	29.1	88.4	30.7	
Prefix	SCIFIVE	10.3	28.9	88.4	30.2	S 15-
tuning	CLIN-T5-SCI	<u>11.7</u>	33.3	89.3	<u>35.0</u>	hqu
	CLIN-T5	11.9	33.8	89.4	35.4	- T5 - FLAN-T5
5.	T5	13.7	33.9	89.5	35.2	— T5 — FLAN-T5
LoRA	SCIFIVE	<u>13.5</u>	34.6	89.6	36.1	SciFive Clin-T5-Sci
LOKA	CLIN-T5-SCI	13.4	<u>36.4</u>	89.9	<u>37.6</u>	— Clin-T5
	CLIN-T5	14.8	36.8	89.9	38.2	Number of examples in prompt

Figure 4: Domain adaptation. <u>Left</u>: Adaptation via pretraining on increasingly relevant data (T5, SCIFIVE, CLINT5-SCI, CLIN-T5) generally leads to improved performance for both fine-tuning methods. Note we exclude FLAN-T5, whose degree of domain adaptation is difficult to rank. See Table 5 in the appendix for comprehensive results. <u>Right</u>: Adaptation via increasing number of in-context examples leads to improved performance in most models.

Results: Model Size



Table 3: Best results overall. <u>Top</u>: Given that the base architecture (223M parameters) performs best via pretraining on clinical text (CLIN-T5) and subsequent fine-tuning, we improve performance on MIMIC-III by scaling to the large architecture (738M).

Dataset	Method	Size	BLEU	ROUGE-L	BERT	F1-Radgraph	F1-CheXbert
	prefix tuning	base	11.9	33.8	89.4	35.4	-
міміс ііі		large	<u>14.6</u>	<u>36.7</u>	89.9	38.4	-
MIMIC-III	I aD A	base	14.5	36.4	89.9	38.0	-
	LoRA	large	16.2	38.7	90.2	40.8	-

Results: Out-of-Distribution Performance



Table 4: Out-of-distribution (OOD) performance of CLIN-T5 prefix tuned on CT head. Compared to in-distribution (first row), performance suffers increasingly with OOD modalities (second row) and anatomies (third row). Additionally, when evaluating CT head, tuning on a larger dataset comprising all modalities/anatomies (bottom row) improves performance compared to tuning on CT head alone (top row).

Dat	taset	00	<u>OD</u>				
Train	Test	Modality	Anatomy	BLEU	ROUGE-L	BERT	F1-Radgraph
CT head	CT head			<u>11.4</u>	<u>35.0</u>	89.8	<u>35.1</u>
CT head	MR head	1		9.0	27.5	87.8	27.4
CT head	CT other		✓	2.9	19.5	86.7	16.3
CT head	MR other	✓	✓	7.9	24.2	87.2	25.9
All	CT head	N/A	N/A	12.6	35.3	<u>89.7</u>	36.4

Results: Out-of-Distribution Performance (2)



Table 6: Quantitative evaluation on Stanford Hospital's dataset of ultrasound radiology reports with the best adaptation method (LoRA) across each model using the base architecture size. This supports our hypothesis that pretraining with clinical text is beneficial for RRS datasets beyond the MIMIC suite.

Model	BLEU	ROUGE-L	BERT	F1-Radgraph
T5	12.6	31.2	88.2	26.2
FLAN-T5	12.0	30.6	88.3	26.8
SCIFIVE	13.7	30.9	88.2	26.6
CLIN-T5-SCI	<u>14.0</u>	<u>32.7</u>	88.6	<u>28.5</u>
CLIN-T5	15.1	32.8	88.8	29.7

increasing domain adaptation

Results: Error Analysis



Findings:

there is an evolving total left mca distribution infarction, with extensive edema and mass effect. on today's study, there is at least 6 mm of midline shift and associated subfalcine herniation. there is near total effacement of the left lateral ventricle and perhaps minimal dilatation of the contralateral right lateral ventricle. no evidence of hemorrhagic transformation at this time. the left proximal mca and intrasylvian branches remain hyperdense. no evidence to suggest evolving contralateral infarction. there are air fluid levels in the sphenoid and maxillary sinuses, presumably related to prior intubation.

Impression (reference):

evolving total left mca distribution infarction, with significant mass effect, midline shift, and subfalcine herniation. no evidence of hemorrhagic transformation.

KEY

Blue: factually correct

Green: factually correct, novel compared to reference

Orange: incoherent but potentially relevant Pink: hallucinated filler text (okay)

Red: hallucinated medical text (bad)

Impressions generated via...

Null prompt:

sulcal effacement, and extensive edema. there is a large left mca distribution infarction.: left mca territory..., there is an evolving left mca

Prefixed prompt:

. on , the following is a summary of the radiology report...:...: findings: there is an evolving left mca distribution infarction

In-context (1) prompt:

new infarction. the left MCA territory is noted. no new areas of hypoattenuation are identified. the right lateral ventricle is slightly more prominent. the left hemisphere.

Prefix tuning:

evolving left mca distribution infarction, with extensive edema and mass effect. no evidence of hemorrhagic transformation.

LoRA:

1. evolving left mca distribution infarction, with extensive edema and mass effect, with 6 mm of midline shift and subfalcine herniation. 2. no evidence of hemorrhagic transformation.

Figure 2: Example radiology report. <u>Left</u>: Findings and reference impression. <u>Right</u>: Generated impressions with various methods for discrete prompting (top) and parameter-efficient fine-tuning (bottom), all using the CLIN-T5-LARGE model. Color annotations were provided by a radiologist who specializes in the relevant anatomy (head).

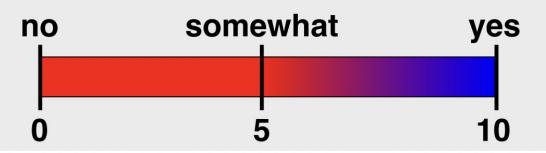


Results: Reader Study



Questions

- Q1) Does the summary capture critical information?
- **Q2**) Is it factually correct?
- Q3) Is it coherent?



Reader	Q1	Q2	Q3
1	8.8 ± 2.2	8.8 ± 2.2	$10. \pm 0.0$
2	8.0 ± 2.5	8.8 ± 2.2	9.0 ± 2.6
3	9.0 ± 2.1	8.9 ± 2.1	$10. \pm 0.0$
Pooled	8.6 ± 2.3	8.8 ± 2.1	9.7 ± 1.6

Figure 3: Radiology reader study. <u>Top</u>: Study design. <u>Bottom</u>: Results via CLIN-T5-LARGE + LoRA on random samples from the CT head dataset. The model scores highest in coherence (Q3) and generally performs well capturing critical information (Q1) in a factually correct way (Q2). Each entry's highlight color corresponds to its location on the above color spectrum.

Results: Reader Study (2)



"Reference" impression has information that isn't present in the "reference" findings.

The model has no chance of summarizing this information.

Generates repeated information when referring to prior studies.

This difference is typically an institutional or personal preference.

Generates an incorrect conclusion or reference, like nonexistent prior medical history.

This is a model "hallucination".



Conclusions



Employed recent lightweight strategies to adapt LLMs for RRS.

Investigated how domain/task adaptation affects RRS task performance.

Achieved best performance using a larger model maximally adapted to the clinical RRS task.

Evaluated best model quantitatively and qualitatively.

Next Steps (coming soon in RadAdapt v2!)



- Larger LLMs (Vicuna, StableLM, etc)
- · Novel clinical summarization tasks (problem list summarization, dialogue2note, etc)
- Novel PEFT methods (QLoRA)



Thank you! Any questions?



Appendix



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Method	BLEU	ROUGE-L	BERT	F1-Radgraph
null	3.4	14.3	84.1	13.8
prefix	4.7	19.0	86.1	19.0
in-context (1)	3.4	15.8	85.4	14.4
in-context (2)	3.3	15.8	85.4	11.8
in-context (4)	4.4	16.2	85.5	12.1
prefix tuning	12.9	29.1	88.4	30.7
LoRA	13.7	33.9	89.5	35.2
null	0.5	11.3	83.0	9.7
prefix	1.1	14.7	84.7	13.8
in-context (1)	2.9	17.8	85.6	14.6
in-context (2)	5.3	19.6	86.2	16.6
in-context (4)	8.6	25.0	87.0	21.6
prefix tuning	12.1	27.1	87.8	28.0
LoRA	<u>13.8</u>	34.4	89.5	36.2
	null prefix in-context (1) in-context (2) in-context (4) prefix tuning LoRA null prefix in-context (1) in-context (2) in-context (4) prefix tuning	null 3.4 prefix 4.7 in-context (1) 3.4 in-context (2) 3.3 in-context (4) 4.4 prefix tuning 12.9 LoRA 13.7 null 0.5 prefix 1.1 in-context (1) 2.9 in-context (2) 5.3 in-context (4) 8.6 prefix tuning 12.1	null 3.4 14.3 prefix 4.7 19.0 in-context (1) 3.4 15.8 in-context (2) 3.3 15.8 in-context (4) 4.4 16.2 prefix tuning 12.9 29.1 LoRA 13.7 33.9 null 0.5 11.3 prefix 1.1 14.7 in-context (1) 2.9 17.8 in-context (2) 5.3 19.6 in-context (4) 8.6 25.0 prefix tuning 12.1 27.1	null 3.4 14.3 84.1 prefix 4.7 19.0 86.1 in-context (1) 3.4 15.8 85.4 in-context (2) 3.3 15.8 85.4 in-context (4) 4.4 16.2 85.5 prefix tuning 12.9 29.1 88.4 LoRA 13.7 33.9 89.5 null 0.5 11.3 83.0 prefix 1.1 14.7 84.7 in-context (1) 2.9 17.8 85.6 in-context (2) 5.3 19.6 86.2 in-context (4) 8.6 25.0 87.0 prefix tuning 12.1 27.1 87.8

	null	1.0	6.4	80.0	4.2
	prefix	0.3	4.2	78.0	0.7
	in-context (1)	1.8	11.3	82.0	9.7
SCIFIVE	in-context (2)	2.8	12.4	82.9	12.9
	in-context (4)	3.4	12.7	83.6	14.8
	prefix tuning	10.3	28.9	88.4	30.2
	LoRA	13.5	34.6	89.6	36.1
	null	1.5	7.0	78.7	6.1
	prefix	1.1	5.0	77.9	4.2
	in-context (1)	0.4	9.9	73.3	7.6
CLIN-T5-SCI	in-context (2)	0.9	11.1	76.1	7.3
	in-context (4)	2.4	14.2	76.7	11.8
	prefix tuning	11.7	33.3	89.3	35.0
	LoRA	13.4	36.4	<u>89.9</u>	<u>37.6</u>
-	null	0.8	12.2	69.4	10.7
	prefix	1.0	9.5	78.6	7.1
	in-context (1)	0.3	8.7	66.1	7.7
CLIN-T5	in-context (2)	0.6	9.6	66.6	8.7
	in-context (4)	2.2	11.5	70.9	13.0
	prefix tuning	11.9	33.8	89.4	35.4
	LoRA	14.8	36.8	89.9	38.2
	prefix in-context (1) in-context (2) in-context (4) prefix tuning LoRA null prefix in-context (1) in-context (2) in-context (4) prefix tuning	1.1 0.4 0.9 2.4 11.7 13.4 0.8 1.0 0.3 0.6 2.2 11.9	5.0 9.9 11.1 14.2 33.3 36.4 12.2 9.5 8.7 9.6 11.5 33.8	77.9 73.3 76.1 76.7 89.3 89.9 69.4 78.6 66.1 66.6 70.9 89.4	4.2 7.6 7.3 11.8 35.0 37.6 10.7 7.1 7.7 8.7 13.0 35.4

