

This project has focussed on the understanding and application of tips for plasmonics, with the aim to use tips to further understand the recently revealed sub-nm regime of plasmonic coupling. Though the initial motivation was to investigate the quantum regime of plasmon coupling, the project diversified into studying the optical differences between sharp and spherical tips, using techniques such as hyperspectral imaging and plasmon coupling, the development of an electrochemical method as an alternative approach to producing spherical tips and the application of plasmonic tips for TERS. Finally, spherical tips were applied to investigating quantum effects in the sub-nm plasmonic coupling regime. Work was therefore split between three core areas: development of plasmonic tips, design and construction of a custom microscope for tip experiments, and ultimately performing experiments on the combined tip systems.

Failure to observe any plasmonic behaviour in the far-field optical spectra of sharp Au AFM tips, despite their prominence in many near-field enhancing techniques, led to the investigation into nanostructured tip geometries. By nanostructuring an AFM tip, some of the well-known antenna-like properties of isolated plasmonic nanoparticles are transferred into the AFM probe form factor. Knowing that the particular tip nanostructure and optical geometry set which plasmons are radiative, and can therefore be experimentally observed, the spherical geometry was chosen for its simplicity. Both commercial, spherical Au tips, used in previous tip plasmonics experiments, and AuNP-on-Pt tips, fabricated in-house using a newly developed pulsed electrodeposition procedure, were studied. Pulsed electrodeposition was chosen for its ability to exploit the sharp apex of AFM tips and quickly produce nanostructured tips. The technique was developed from the conception of the idea through to beginning the optimisation of each of the parameters in order to improve the reliability of the process and gain control of the tip morphology. Due to time constraints and the significant effort required to complete other aspects of this project, the controllable growth of spherically-tipped AFM probes through pulsed electrodeposition was only partially optimised but produced enough samples to facilitate experiments. Further work is still needed to understand the exact mechanism by which nanoparticles nucleate and grow at and around the apex and optimise each growth parameter. Achieving this would enable a large number of varied studies into the application of plasmonic tips for TENOM - a direction of research only touched upon during this project.

The optical study of AFM tips and the continued probing of plasmonic coupling through each interaction regime necessitated the design of a custom microscope capable of combining the function and stability of two opposing AFM devices with a platform for broadband dark-field spectroscopy. The novel design and robust performance of the microscope has been discussed at length and quantified where appropriate. The comprehensive design of the dual-tip platform meant experiments could implement optical, electronic and force measurements for a more complete characterisation of a plasmonic system than is capable in many other experimental setups. Specifically, the combination of hyperspectral imaging and scanning capacitance microscopy enabled the alignment of AFM tips to the focus of the incident beam and to each other, resulting in a highly reproducible plasmonic dimer arrangement. Additionally, the modular design of the microscope and its array of possible measurements make it adaptable and extensible for many other (future) experiments. Within the scope of this project its main use has been to perform experiments on spherical Au tips.

Observations of strong resonances in spherical Au tips was attributed to plasmon excitation - a feature not found in sharp metallic tips. The agreement between apex spectra extracted from hyperspectral imaging, broadband tuneable SERS and dynamic plasmon coupling experiments confirm that spherical metallic tips support antenna-like plasmons similar to those in an individual nanoparticle, while sharp metallic tips remain unresponsive to light. The spherical Au tip SPR conveniently exists at the commonly used HeNe wavelength leading to a strongly enhanced Raman response, $30\times$ that of a sharp Au tip. This improvement is a step towards better exploiting controlled nanostructuring of tips for more enhanced and reliable sensing and promoting radiative approaches to TENOM. However, direct comparison with evanescent excitation methods has not been performed and conclusions remain speculative for the time being.

Though the results presented within this project only focusses on large, spherical Au tips, the developed fabrication and characterisation techniques are not limited to a specific metal or geometry. It would be interesting to measure and quantitatively compare the scattering response of spherical tips with carefully controlled sphere and neck sizes to validate theoretical predictions, and other sphere materials such as Ag, Cu or Al, if such material tips electrochemically deposit in a similar manner to Au. TERS carried out on resonance with each type of tip would confirm plasmonic enhancement. A small amount of work was started, with some success, applying pulsed electrodeposition to deposit metal nanostructures other than Au on other conductive AFM tips, such as highly-doped Si. If successful this would facilitate the

production of plasmonic probes resonant across a wide range of visible-NIR frequencies.

The final sets of measurements used pairs of spherical Au tips to target the sub-nm coupling regime. The onset of quantum effects on plasmonic coupling were investigated in ambient conditions, following on from the earlier experiment performed by Savage et al. [1]. Though the experiment conceptually appears similar, the addition of tunnelling conduction and gap force measurements greatly increased the amount of information that could be extracted to better understand the physics of sub-nm gaps. The robust design of the microscope platform enabled many successful scans through the quantum regime, observing a range of different coupling behaviour. Measurements showed both the screening of coupled plasmons followed by their transformation into CTPs, correlated with the onset of quantum tunnelling and ballistic transport. Correlations differed between different pairs of tips, however the more controlled scans clearly demonstrated the transition between coupled and charge transfer plasmons correlates with the transition between tunnelling and ballistic conduction. Further deviations from this are attributed to differences in the gap morphology leading to different charge distributions interacting with sites of charge transfer. Observations are in general agreement with the principles underlying the theoretical description of the quantum regime though further theoretical affirmation of these results is still required.

1.1 Outlook and Future Directions

The experiments carried out have both demonstrated the appeal of nanostructured tips and expanded upon the rich regime of tunnelling plasmonics for further exploration. The project leaves at a pivotal point at which sub-nm gaps can reliably be probed using a newly-developed microscope platform. Within the current experimental system there is still a large amount of scope for further projects and many questions regarding the effects of quantum mechanics on plasmonics still require answers. Experimental parameters, such as temperature, humidity (gap water content) and applied bias (non-linear electrical control of coupled plasmons), are currently controllable but investigations into their effects on quantum-scale plasmonic gaps have yet to begin. Other parameters, such as pressure (vacuum), will require some adaptation but investigations remain possible.

Even in its current state the microscope platform could be used to perform a range of new and interesting experiments. Use of an electrical excitation mechanism has yet to be tested but is a realistic aim that could yield interesting results and background-free spectroscopy. Coating tips in both conductive and insulating molecules could probe an effective method of determining critical conductances, with direct comparison to recent NPoM systems involving gap spacers [2–4]. Coupling a tip with its mirror charge in an opposing cantilever is also a potential route for dynamically testing plasmonic interactions with molecular spacers. Each of these would provide a new insight into plasmonics on a fundamental level.

Further, more controlled, confirmation of similar quantum effects could be possible using 2D electron gases (2DEGs), the materials initially used to discover quantised conductivity, and those currently being explored for plasmonics. In the original concept a 2DEG is biased at two ends, gated in the centre and electrically depleted to form a 1D constriction through which ballistic transport occurs [5, 6]. Modern 2DEG systems have been shown to support plasmons in the THz regime [7–9]. Thus by gating a plasmonic 2DEG it could be controllably depleted to pass through both the capacitively coupled and conductive regimes.

To conclude, the boundary between the capacitive coupling and charge transfer regimes of plasmonics has only recently become accessible, displaying a wealth of interesting physics in only a short period of time. Dynamically controlling the particle positions of an AFM tip dimer has become a powerful technique for the study of such physics and the results provided by it are expected to be of great interest to the general plasmonic community. From the point of view of fundamental plasmonics, they provide an insight into a largely unknown regime of plasmonics whilst from an applied aspect they indicate the limitations of plasmonics.

References

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