# Shortest Vector Problem (SVP) Annangi Shashank Babu (EE21B021)

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## Lattice Based Cryptography

- Lattice-based cryptography: one of the main proposals for post-quantum cryptography.
- Many of the finalists of the NIST competition are from lattice-based cryptography.

#### Lattice

The d-dimensional lattice  $\mathcal{L} \in R^m$  generated by the basis  $B = (\vec{b_1}, \vec{b_2}, ..., \vec{b_d})$  is the set of all integer linear combinations of its basis vectors:  $\mathcal{L}(B) = \{\sum_{i=1}^d \lambda_i \vec{b_i}, \lambda_i \in Z\}$ 

#### **SVP**

Given a lattice  $\mathcal{L}$ , find the shortest non-zero vector  $\vec{v} \in \mathcal{L}$ .

#### example:

$$-322(64,218,133) + 323(71,205,111) - 83(28,-48,-84) = (1,3,-1).$$



### QUBO Formulation

$$\lambda^2 = \min_{x \in Z^n \setminus 0^n} |Bx|^2$$

$$\lambda^2 = \min_{x \in Z^n \setminus 0^n} \sum_{i=1}^n x_i^2 B_{ii} + 2 \sum_{0 < i < j < n} x_i x_j B_{ij}$$

• In order to convert the above equation into a binary optimisation problem, we need bounds  $|x_i| \le a_i$ .

$$x_i = -a + \sum_{y=0}^{\lfloor \log_2 2a \rfloor - 1} (2^y \tilde{x}_{iy}) + (2a + 1 - 2^{\lfloor \log_2 2a \rfloor}) \cdot \tilde{x}_{i, \lfloor \log_2 2a \rfloor}$$

$$\min_{\tilde{\mathbf{x}}_{1,0},...,\tilde{\mathbf{x}}_{1,\lfloor\log_2 a_1\rfloor},...,\tilde{\mathbf{x}}_{n,0},...,\tilde{\mathbf{x}}_{n,\lfloor\log_2 a_n\rfloor}} (p + \sum_{\tilde{\mathbf{x}}_{i,j}} p_{i,j}\tilde{\mathbf{x}}_{i,j} + \sum_{\tilde{\mathbf{x}}_{i,j},\tilde{\mathbf{x}}_{k,l}} q_{i,j,k,l}\tilde{\mathbf{x}}_{i,j}\tilde{\mathbf{x}}_{k,l})$$

#### **QUBO** Formulation

• For imposing the condition  $x \neq 0^n$ , viable solution is to modify the Hamiltonian and impose a penalty for reaching the zero vector (ground state of the "naive" Hamiltonian).

$$x_i = -a + \zeta_i a + \omega_i (a+1) + \sum_{y=0}^{\lfloor \log_2(a-1) \rfloor - 1} (2^y \tilde{x}_{iy}) + (a - 2^{\lfloor \log_2(a-1) \rfloor}) \cdot \tilde{x}_{i, \lfloor \log_2(a-1) \rfloor}$$

If  $x_i = 0$ , then  $\zeta_i = 1$ .

Hamiltonian:

$$(p+\sum_{\tilde{\mathbf{x}}_{i,j}}p_{i,j}\tilde{\mathbf{x}}_{i,j}+\sum_{\tilde{\mathbf{x}}_{i,j},\tilde{\mathbf{x}}_{k,l}}q_{i,j,k,l}\tilde{\mathbf{x}}_{i,j}\tilde{\mathbf{x}}_{k,l})+L\cdot\left(1+\sum_{i=1}^{n}z_{i}\left(-(1-\zeta_{i})+\sum_{k=i+1}^{n}(1-\zeta_{i})+\sum_{k=i+1}^{n$$

#### GAMA Formulation

constraints:

$$\sum_{i=1}^{n} x_{i}^{2} B_{ii} + 2 \sum_{0 < i < j < n} x_{ij} B_{ij} + Z = |B[1]|^{2}$$

$$(p + \sum_{\tilde{x}_{i,j}} p_{i,j} \tilde{x}_{i,j} + \sum_{\tilde{x}_{i,j}, \tilde{x}_{k,l}} q_{i,j,k,l} \tilde{x}_{i,j,k,l}) + Z = |B[1]|^{2}$$

$$\tilde{x}_{i,j,k,l} \ge \tilde{x}_{i,j} + x_{k,l} - 1$$

$$\tilde{x}_{i,j,k,l} \le \tilde{x}_{i,j}$$

$$\tilde{x}_{i,j,k,l} \le \tilde{x}_{k,l}$$

$$Z = \sum_{y=0}^{\lfloor \log_2 B[1]^2 - 1 \rfloor - 1} (2^y \tilde{z}_{iy}) + (B[1]^2 - 2^{\lfloor \log_2 B[1]^2 - 1 \rfloor}) \cdot \tilde{z}_{i, \lfloor \log_2 B[1]^2 - 1 \rfloor}$$

- the above inequalities make sure that  $x_{ij,kl} = x_{i,j} * x_{k,l}$
- Here we should maximise Z which will minimize the norm.



#### **GAMA** Formulation

 since the number of required quibits are higher for GAMA so the search space is higher, time taken to reach ground state of Hamiltonian is longer.

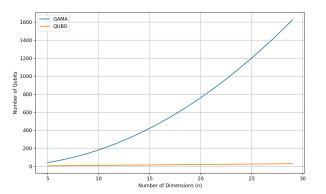


Figure: Comparing approx number of quibits required for specified QUBO and GAMA

# Thank You!