

No Hw is due in Midterm week.

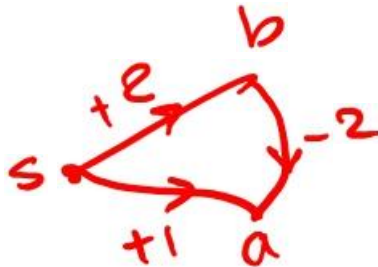
# Introduction to Algorithms

**Dijkstra's Algorithm,  
Divide and Conquer**

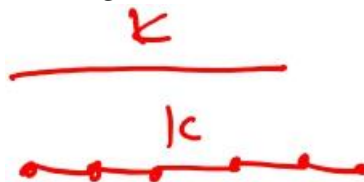
# Remarks on Dijkstra's Algorithm

- Algorithm also produces a **tree** of shortest paths to  $s$  following Parent links
- Algorithm works on directed graph (with nonnegative weights)
- The algorithm fails with negative edge weights.
  - e.g., some airline tickets

Why does it fail?



- Dijkstra's algorithm is similar to BFS:
  - Substitute every edge with  $C_e = k$  with a path of length  $k$ , then run BFS.



$\text{dijkstra} = \text{BFS}$ .

# Implementing Dijkstra's Algorithm

**Priority Queue:** Elements each with an associated key Operations

- Insert
- Find-min
  - Return the element with the smallest key
- Delete-min
  - Return the element with the smallest key and delete it from the data structure
- Decrease-key
  - Decrease the key value of some element

Implementations

Arrays:

- $O(n)$  time find/delete-min,
- $O(1)$  time insert/decrease key

Binary Heaps:

- $O(\log n)$  time insert/decrease-key/delete-min,
- $O(1)$  time find-min

# Dijkstra's Algorithm

Runs in  $O(|E|+|V|^2)$ .

```
1  function Dijkstra(Graph, source):
2
3      create vertex set Q
4
5      for each vertex  $v$  in Graph:
6           $\text{dist}[v] \leftarrow \text{INFINITY}$ 
7           $\text{prev}[v] \leftarrow \text{UNDEFINED}$ 
8          add  $v$  to  $Q$ 
9
10      $\text{dist}[\text{source}] \leftarrow 0$ 
11
12     while  $Q$  is not empty:
13          $u \leftarrow$  vertex in  $Q$  with min  $\text{dist}[u]$ 
14
15         remove  $u$  from  $Q$ 
16
17         for each neighbor  $v$  of  $u$ :           // only  $v$  that are still in  $Q$ 
18              $\text{alt} \leftarrow \text{dist}[u] + \text{length}(u, v)$ 
19             if  $\text{alt} < \text{dist}[v]$ :
20                  $\text{dist}[v] \leftarrow \text{alt}$ 
21                  $\text{prev}[v] \leftarrow u$ 
22
23     return  $\text{dist}[], \text{prev}[]$ 
```

# Divide and Conquer Approach

# Divide and Conquer

Similar to algorithm design by induction, we reduce a problem to several subproblems.

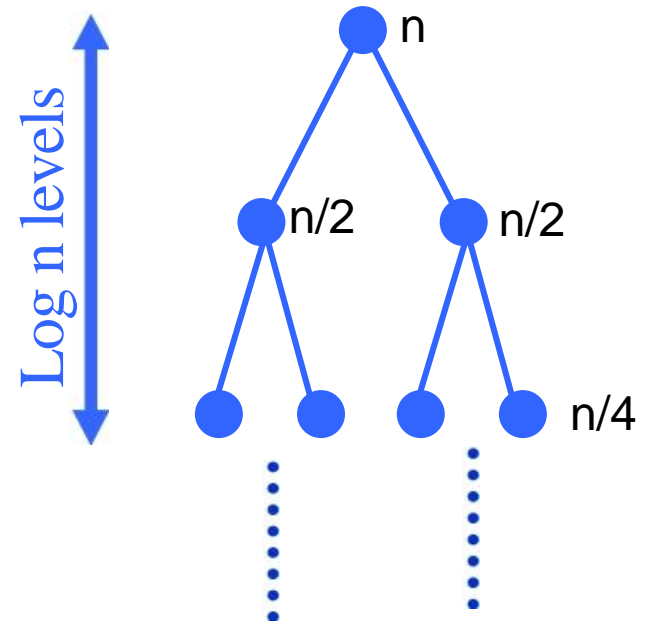
Typically, each sub-problem is **at most a constant fraction** of the size of the original problem

Recursively solve each subproblem

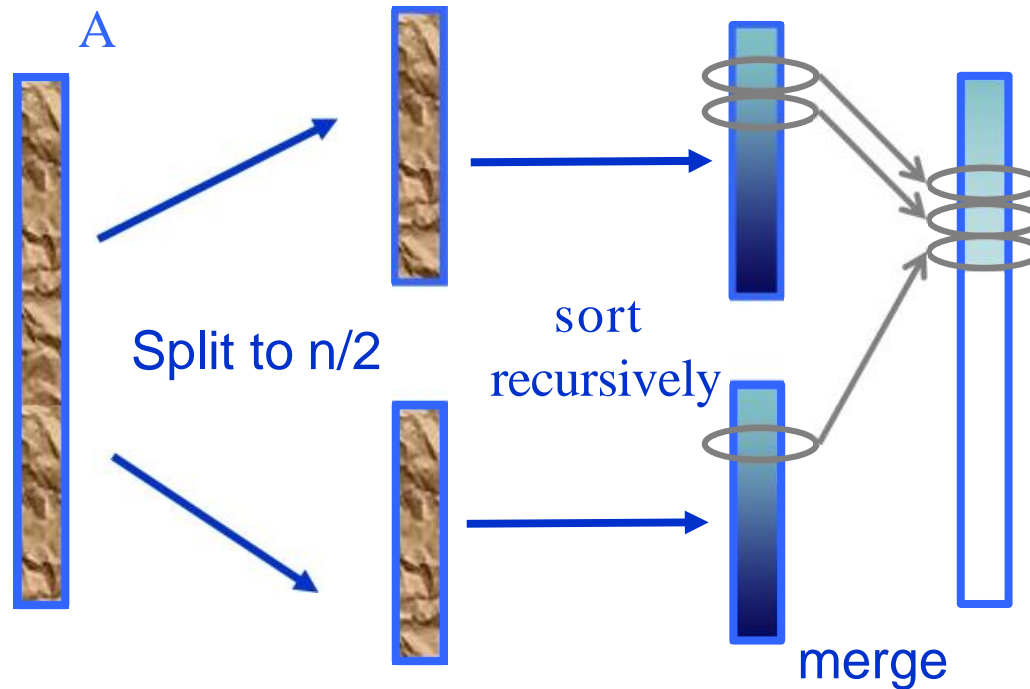
Merge the solutions

Examples:

- Mergesort, Binary Search, Strassen's Algorithm,



# A Classical Example: Merge Sort



# Why Balanced Partitioning?

An alternative "divide & conquer" algorithm:

- Split into  $n-1$  and  $1$
- Sort each sub problem
- Merge them

## Runtime

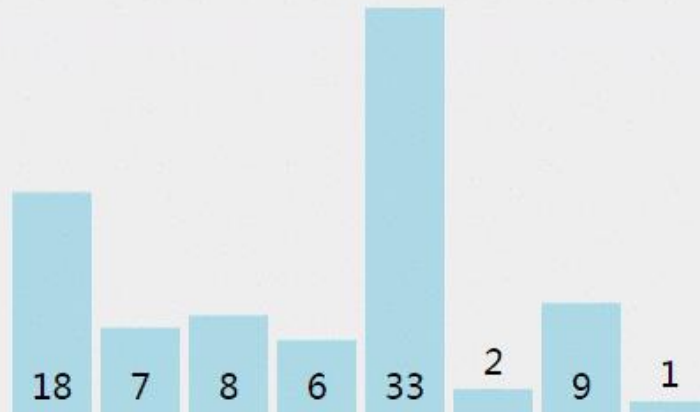
$$T(n) = T(n-1) + T(1) + n$$

## Solution:

$$\begin{aligned} T(n) &= n + T(n-1) + T(1) \\ &= n + n - 1 + T(n-2) \\ &= n + n - 1 + n - 2 + T(n-3) \\ &= n + n - 1 + n - 2 + \dots + 1 = O(n^2) \end{aligned}$$



- 如设有数列{18, 7, 8, 6, 33, 2, 9, 1}
- 初始状态: 18, 7, 8, 6, 33, 2, 9, 1
- 第一次归并后: {7,18}, {6,8}, {2,33}, {1,9}, 比较次数: 4;
- 第二次归并后: {6,7,8,18}, {1,2,9,33}, 比较次数: 3+3=6;
- 第三次归并后: {1
- 总的比较次数为:



# D&C: The Key Idea

Suppose we've already invented Bubble-Sort, and we know it takes  $n^2$

Try **just one level** of divide & conquer:

Bubble-Sort(first  $n/2$  elements)

Bubble-Sort(last  $n/2$  elements)

Merge results

$$\text{Time: } 2 \underbrace{T(n/2)}_{n^2/4} + n = n^2/2 + n \ll n^2$$

Almost twice as fast!



D&C in a  
nutshell

# D&C approach

- “the more dividing and conquering, the better”
  - Two levels of D&C would be almost 4 times faster, 3 levels almost 8, etc., even though overhead is growing.
  - Best is usually full recursion **down to a small constant** size (balancing "work" vs "overhead").

In the limit: you’ve just rediscovered mergesort!

- Even unbalanced partitioning is good, but less good
  - Bubble-sort improved with a 0.1/0.9 split:

$$(.1n)^2 + (.9n)^2 + n = .82n^2 + 1$$

The 18% savings compounds significantly if you carry recursion to more levels, actually giving  $O(n \log n)$ , but with a bigger constant.

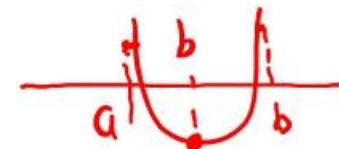
- This is why Quicksort with random splitter is good – badly unbalanced splits are rare, and not instantly fatal.

# Finding the Root of a Function

# Finding the Root of a Function

Given a continuous function  $f$  and two points  $a < b$  such that

$$\left\{ \begin{array}{l} f(a) \leq 0 \\ f(b) \geq 0 \end{array} \right\}$$

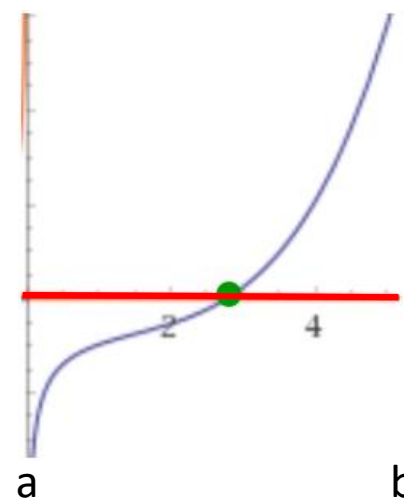


Find an approximate root of  $f$  (a point  $c$  where  $f(c) = 0$ ).

$f$  has a root in  $[a, b]$  by  
intermediate value theorem

$$f(x) = \sin(x) - \frac{100}{\sqrt{x}} + x^4$$

Note that roots of  $f$  may be **irrational**,  
So, we want to approximate  
the root with an arbitrary precision!



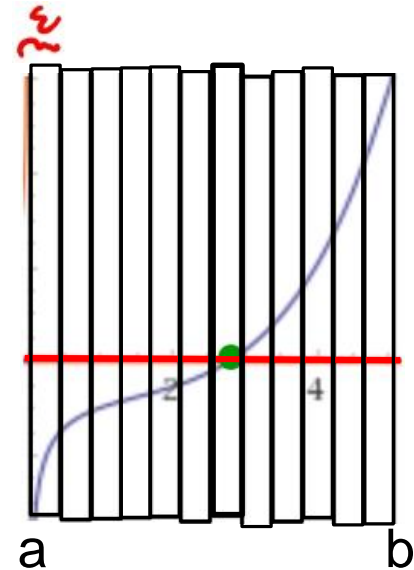
# A Naïve Approach

Suppose we want  $\epsilon$  approximation to a root.

Divide  $[a,b]$  into  $n = \frac{b-a}{\epsilon}$  intervals. For each interval check  
 $f(x) \leq 0, f(x + \epsilon) \geq 0$

This runs in time  $O(n) = O\left(\frac{b-a}{\epsilon}\right)$

Can we do faster?



# D&C Approach (Based on Binary Search)

Bisection( $a, b, \epsilon$ )

if  $(b - a) < \epsilon$  then

    return ( $a$ )

else

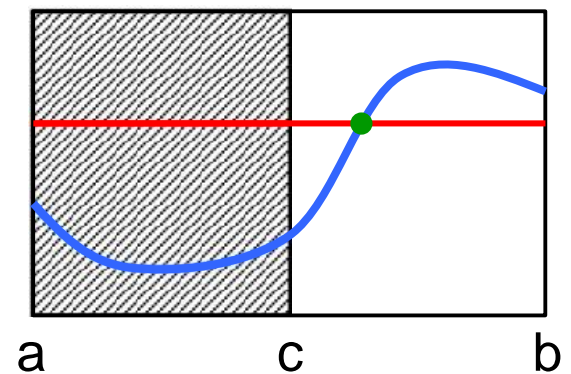
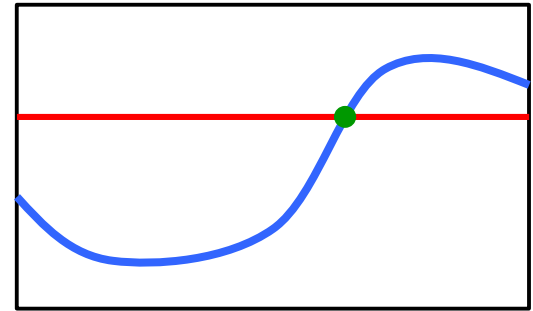
$c \leftarrow (a + b)/2$

    if  $f(c) \leq 0$  then

        return(Bisection( $c, b, \epsilon$ ))

    else

        return(Bisection( $a, c, \epsilon$ ))



*a<sub>mid</sub>*

# Time Analysis

$$\text{Let } n = \frac{a-b}{\epsilon}$$

$$\text{And } c = (a + b)/2$$

Always half of the intervals lie to the left and half lie to the right of  $c$

So,

$$T(n) = T\left(\frac{n}{2}\right) + O(1)$$

$$\text{i.e., } T(n) = O(\log n) = O\left(\log \frac{a-b}{\epsilon}\right)$$

