

Making ERP Research More Transparent:

Guidelines for Preregistration

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Highlights

- 21 ● Preregistration facilitates transparency to mitigate confirmation and hindsight bias
- 22 ● Relevant for EEG research: many preprocessing/analysis pipelines, low standardization
- 23 ● Disclose analytic flexibility: Preregister research plan before data collection
- 24 ● How to preregister data preprocessing and analysis steps in typical ERP studies
- 25 ● Benefits for scientific discipline and individuals outweigh costs

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Abstract

A combination of confirmation bias, hindsight bias, and pressure to publish may prompt the (unconscious) exploration of various methodological options and reporting only the ones that lead to a (statistically) significant outcome. This *undisclosed analytic flexibility* is particularly relevant in EEG research, where a myriad of preprocessing and analysis pipelines can be used to extract information from complex multidimensional data. One solution to limit confirmation and hindsight bias by disclosing analytic choices is *preregistration*: researchers write a time-stamped, publicly accessible research plan with hypotheses, data collection plan, and intended preprocessing and statistical analyses before the start of a research project. In this manuscript, we present an overview of the problems associated with undisclosed analytic flexibility, discuss why and how EEG researchers would benefit from adopting preregistration, provide guidelines and examples on how to preregister data preprocessing and analysis steps in typical ERP studies, and conclude by discussing possibilities and limitations of this open science practice.

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42 **KEYWORDS:** EEG, ERP, open science, preregistration

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1. Introduction

45 Over the last decade, findings from a number of research disciplines have been under
 46 careful scrutiny. Prominent examples of research supporting incredible conclusions (Bem,
 47 2011), failures to replicate popular and highly cited published findings (Board of Governors
 48 of the Federal Reserve System et al., 2015; Camerer et al., 2016; Errington et al., 2014; Open
 49 Science Collaboration, 2015), sloppy scientific practices (van der Zee et al., 2017), and
 50 breaches of ethical conduct (Levelt et al., 2012) increased the suspicion that published results
 51 might be inflated or incorrect (Goldacre et al., 2019; Hannink et al., 2013; Ioannidis, 2008,
 52 2005; Jones et al., 2017; Simmons et al., 2011; Trinquart et al., 2018), resulting in
 53 considerable waste of resources (Chalmers et al., 2014) and, at times, life-threatening
 54 consequences (Anand et al., 2014; Topol, 2004; Vedula et al., 2012). These events motivated
 55 mainstream discussions on incentive structures (Edwards and Roy, 2017; Nosek et al., 2012),
 56 statistical literacy (Cumming, 2014; Kruschke and Liddell, 2017; Wasserstein and Lazar,
 57 2016), and theoretical and methodological rigor (Devezer et al., 2020; Eronen and
 58 Bringmann, 2021; Oberauer and Lewandowsky, 2019; Szollosi and Donkin, 2019a). At the
 59 heart of all these proposed reforms lies a call for increased transparency in scientific reporting
 60 (Nosek and Bar-Anan, 2012; Simmons et al., 2012; Simonsohn, 2013; Wilson et al., 2017).
 61 Transparency at all research stages effectively mitigates *confirmation bias* – searching,
 62 interpreting, and remembering information that supports prior beliefs while ignoring evidence
 63 against them (Nickerson, 1998) – and *hindsight bias* – the tendency to overestimate the extent
 64 to which past events were able to predict a present outcome (Roese and Vohs, 2012).

65 These cognitive biases find fertile ground in complex and multifaceted intellectual
 66 endeavors like empirical sciences. Data collected in an experimental or observational study

are rarely interpreted in their raw form. Instead, researchers typically apply a series of transformations to deal with outliers and missing data (Enders, 2010; Hawkins, 1980), combine or discretize variables into composite indices, change the unit of measurement, and so on. In other words, “*data are to a certain extent actively constructed*” (Steege et al., 2016, p. 702). Moreover, there are countless statistical techniques that can be chosen to analyze the preprocessed data, including classical null hypothesis tests (Field et al., 2012; Judd et al., 2017a) and their robust counterparts (Wilcox, 2016), Bayesian parameter estimation (Kruschke, 2014; McElreath, 2018), and more. This myriad of choices that researchers have to make during the research process is referred to as *analytic flexibility*.¹ Often, the rationale behind the selection of data preprocessing and analysis pipelines – e.g., the selection of cut-off values when identifying outliers or the choice of a particular statistical technique² – is not properly described. This is not necessarily due to blind following of “statistical rituals” (Gigerenzer, 2004), because there may very well be multiple reasonable processing steps that can be applied to the same dataset (Steege et al., 2016). Thus, analytic flexibility *per se* does not necessarily lead to unverifiable or incorrect knowledge (see also Devezer et al., 2020). Instead, problems arise when methodological choices on preprocessing pipelines and statistical analysis are not transparently reported. Below we describe how *undisclosed* analytic flexibility may influence the interpretation of results in human electrophysiology research.

¹ Readers may be familiar with other terms, such as *researcher degrees of freedom* (Simmons et al., 2011) or *garden of forking paths* (Gelman and Loken, 2013), which refer to *all* choices that researchers make throughout their workflow, including hardware and software selected for data collection and analysis, the type and number of stimuli presented to participants, and much more. These choices can have tangible consequences on study results and interpretation. For instance, the same analysis pipeline on the same dataset can lead to quantifiably different results when run with different software (Bowring et al., 2019; see also Eklund et al., 2016). Typically, larger and more homogeneous samples of both participants and stimuli increase statistical power (Judd et al., 2017b), and ignoring these sources of variability in the applied statistical model has a direct impact on the generalizability of the results from a particular dataset to other (hypothetically similar) scenarios (Yarkoni, 2019). Throughout this paper, we limit our discussion to analytic flexibility during the preprocessing and analysis phases of the research cycle.

² *Although more robust methods are often justified in comparison to traditional methods.*

98 *1.1 Undisclosed Analytic Flexibility in Human Electrophysiology*

99 *Research*

100 Magneto- and electroencephalographic (M/EEG) signals are complex and
 101 multidimensional: space, time, and frequency – assessed via indices such as activity
 102 magnitude, connectivity, and network properties (Kida et al., 2016) – interact with
 103 experimental designs of various complexity, often resulting in a large number of independent
 104 and dependent variables. The raw signal recorded by electrodes (and magnetometers) must
 105 undergo a series of preprocessing steps that magnify cerebral activity against environmental
 106 noise (Cohen, 2014; Hansen et al., 2010; Luck, 2014). Offline modifications of the
 107 continuous EEG signal include: (i) re-referencing to the activity of specific electrodes or the
 108 average activity of all electrodes on the scalp; (ii) interpolation of noisy channels; (iii) high-,
 109 low-, or band-pass filtering; (iv) correcting or rejecting physiological artifacts (e.g., blinks,
 110 muscular activity); (v) removal of baseline activity; and (vi) segmentation into epochs around
 111 the event(s) of interest (Luck, 2014). Needless to say, there is considerable flexibility at each
 112 of these steps: (i) popular reference methods include vertex, linked mastoids or ears, average
 113 reference, and Reference Electrode Standardization Technique (for reviews, see Dong et al.,
 114 2019; Liu et al., 2015), and their choice is not always obvious with respect to the
 115 experimental design or dependent variables of interest; (ii) channel interpolation – e.g.,
 116 nearest neighbor (Shepard, 1968), thin-plate spline (Harder and Desmarais, 1972), spherical
 117 spline (Perrin et al., 1989), 3-D spline (Law et al., 1993) – is also a potential source of
 118 stochastic error (Fletcher et al., 1996), and its choice is often left to the software used for
 119 preprocessing; (iii) there are many different filter types available and considerable flexibility
 120 in setting the exact parameters for the filter, e.g., the cut-off frequency, transition width, etc.;

121 moreover, common filtering techniques can severely distort the signal (e.g., Kappenman and
 122 Luck, 2010), which even led some to propose their exclusion from preprocessing pipelines in
 123 specific experimental designs (VanRullen, 2011; but see Rousselet, 2012; Widmann and
 124 Schröger, 2012); (iv) there is a large number of artifact detection, correction, and rejection
 125 techniques (for a review, see Jiang et al., 2019), each with its own expected user input (e.g.,
 126 from tweaking a few parameters in a fully automated algorithm to visual inspection of epochs
 127 for manual removal); (v) for baseline correction, the selected time window can vary in length
 128 and location (i.e., more proximal or distal from the event of interest); also, traditional baseline
 129 correction can bias scalp topographies (Urbach and Kutas, 2006), which may lead researchers
 130 to favor other techniques, for example including the baseline interval as a predictor in a
 131 GLM-based statistical approach (Alday, 2019). Finally, the *order* in which some of the above
 132 mentioned steps are performed may distort the resulting waveforms, e.g., filtering epoched
 133 instead of continuous EEG data may create edge artifacts, particularly when using
 134 inappropriate filter types or cut-off values (Luck, 2014, pp. 247–248; see also Widmann et
 135 al., 2015).

136 Recent papers directly demonstrated that analytic flexibility may influence the results
 137 and interpretation of electrophysiological data. Robbins et al. (2020) applied four
 138 preprocessing pipelines (Bigdely-Shamlo et al., 2020a; Chang et al., 2020; Winkler et al.,
 139 2011) to a large and heterogeneous EEG dataset containing 7.8 million event-related epochs
 140 (Bigdely-Shamlo et al., 2020a). There were differences in the spectral characteristics of the
 141 processed signals, attributable to the different artifact correction procedures across
 142 preprocessing pipelines. In addition, small parameter deviations in otherwise very similar
 143 artifact correction algorithms were shown to distort the signal, especially in low frequency
 144 bands. Calculation of event-related epochs was also affected by specific steps in the selected

145 preprocessing pipeline: for example, outlier detection algorithms may be incorporated in
146 some pipelines (e.g., Bigdely-Shamlo et al., 2020b) but not in others.

147 Another example pertains to the error-related negativity (ERN). This ERP component
148 of negative polarity peaks ~80-150 milliseconds after an erroneous motor response in
149 speeded tasks, is largest at midline frontal and central electrode sites, and originates from the
150 anterior cingulate cortex (Falkenstein et al., 1991; Gehring et al., 1993). A recent paper
151 (Sandre et al., 2020) highlighted cross-study variability in the selection of reference location,
152 baseline correction, and electrode site from which signal amplitudes were measured. The
153 authors systematically compared 72 preprocessing pipelines to examine their effects on the
154 resulting ERN amplitude. Results showed that different preprocessing choices had a
155 remarkable influence on the within- and between-subject effects typically assessed in ERN
156 research – i.e., post-error slowing and gender differences –, with mastoid reference, distal
157 baseline correction periods (i.e., further away from the time-locked response), single
158 electrode site, and peak-to-peak amplitude measures leading to larger estimated differences
159 between conditions (see also Klawohn et al., 2020; Šoškić et al., 2020).

160 Thus, EEG researchers routinely deal with a large number of “forking paths”, which
161 are seldom constrained for theoretical reasons: they have at their disposal a considerably long
162 list of data transformation steps (each with its own challenges and complexities) which can
163 lead to a different interpretation of the results. Quoting Sandre et al. (2020): “[...] *different*
164 *ways of processing the same data can lead researchers to different conclusions,*
165 *demonstrating yet again that transparency of all processing decisions is a necessity.*” (p. 35).
166 We concur: transparently reporting all analytic choices would increase study reproducibility
167 and, more generally, the trustworthiness of the electrophysiological literature. As mentioned
168 before, researchers (just like other human beings) tend to shape their analytic choices with the

169 (largely implicit) aim to confirm their prior beliefs, and post-hoc justification of said choices
 170 is rationalized under the “illusion of objectivity” (Kunda, 1990; Pyszczynski and Greenberg,
 171 1987). EEG researchers are not immune to the pitfalls of confirmation bias and hindsight
 172 bias: for example, they may be thinking that all preprocessing and analysis choices were
 173 determined *a priori* while they may have been at least partly based on seeing the data.

174 Sandre et al. (2020) suggest that “*a single processing stream should be finalized*
 175 *before any analyses are undertaken*” (p. 35). In other words, confirmation bias and hindsight
 176 bias cannot take place if analytic choices are not only determined *before* the data are collected
 177 but also *transparently reported*. This practice is called preregistration.

178 2. Preregistration

179 Preregistrations are time-stamped, (eventually) publicly accessible documents with
 180 hypotheses, data collection plan, and/or intended preprocessing and statistical analyses,
 181 written *before* the start of a research project.³ In other words, researchers commit to one
 182 among many ways in which the study can be conducted and analyzed. This document is
 183 uploaded on a trusted online repository – e.g., Open Science Framework (OSF;
 184 <https://osf.io/>), ClinicalTrials.gov (<https://clinicaltrials.gov/>), American Economic
 185 Association's registry for randomized controlled trial (AEA RCT Registry;
 186 <https://www.socialscienceregistry.org/>) –, which assigns it a date and time. The protocol is
 187 made public immediately or after an embargo period. Date and time of submission ensure
 188 that the research plan was devised before starting the study.

189 ³ Preregistration at a later point in time is also possible, as long as authors transparently report at which stage of
 190 the study they crafted the protocol and declare that they are not yet aware of any results. Another possibility is
 191 to preregister analysis plans of data that have already been collected but not accessed, i.e. secondary data
 192 analysis (Mertens and Krypotos, 2019; Van den Akker et al., 2019).

193 The popularity of preregistration has skyrocketed in recent years: for example, the
194 number of public documents uploaded on the OSF went from 38 in 2012 to 36,675 in 2019
195 (Bakker et al., 2018). Many journals now explicitly encourage this practice by awarding
196 “preregistration badges” (<https://osf.io/tvyxz/>; see Kidwell et al., 2016), including
197 *Psychological Science* and *Cortex* (for a full list, see <https://tinyurl.com/COS-badges>).

198 *2.1 Advantages of Preregistration*

199 The advantages of preregistering research plans are manyfold. First and foremost,
200 preregistration can be seen as an additional tool to effectively achieve as much transparency
201 as possible (see also Navarro, 2020), ultimately increasing verifiability at all stages of the
202 research cycle (Resnik, 2005; Lupia and Elman, 2014; see also Merton, 1942). Researchers
203 are expected to abide by ethical principles that are functional to the epistemic goals of
204 science: advancing human knowledge by describing nature, developing theories and
205 hypotheses that allow the generation of reliable predictions, and eliminating errors and biases
206 (Resnik, 2005). Openness is one of these foundational principles: “*Scientists should share*
207 *data, results, methods, ideas, techniques, and tools. They should allow other scientists to*
208 *review their work and be open to criticism and new ideas.*” (Resnik, 2005, p. 52). Other open
209 science practices – e.g., sharing study protocols, materials, raw data, and analysis code –
210 directly follow from this principle. Preregistration additionally offers the possibility to
211 document the rationale behind theoretical and methodological choices, useful not only in
212 quantitative but also qualitative disciplines (Haven and Van Grootel, 2019). In addition,
213 deviations from the original design (e.g., discrepancies between planned and actual sample
214 size, unforeseen moderators, flexible exclusion criteria) can be more easily identified,
215 effectively counteracting selective outcome reporting (Goldacre et al., 2019; John et al.,

216 2012; Simmons et al., 2011). Furthermore, the presence of public, traceable evidence of the
217 original plan exposes (and possibly mitigates) confirmation bias and hindsight bias.

218 Additionally, preregistration allows researchers to specify the rationale and
219 hypotheses of the study while also maintaining flexibility with respect to additional analyses
220 conducted after seeing the data, provided that they are included in a different section of the
221 final manuscript. This precludes presenting any hypotheses generated after observing the data
222 as if they were *a priori*, or “hypothesizing after the results are known” (HARKing; Kerr,
223 1998).⁴ This practice is particularly difficult to identify in published papers because readers
224 can only access what the authors reported after collecting, analyzing, and interpreting the
225 data, without knowing whether the hypotheses described in the introduction were originally
226 unanticipated (or even considered implausible) until reassessed in light of the collected
227 empirical evidence. This problem is magnified by the fact that, at least in some research
228 fields, theoretical frameworks and hypotheses are often underspecified, which decreases their
229 explanatory power and predictive utility (Meehl, 1967; Muthukrishna and Henrich, 2019;
230 Szollosi and Donkin, 2019a; van Rooij and Baggio, 2021).

231 It has also been argued (Nosek et al., 2019, 2018) that preregistration can contribute
232 to mitigating publication bias in the academic literature (Nissen et al., 2016; Rosenthal, 1979;
233 Scargle, 2000), since research plans are discoverable regardless of whether the final report is
234 ultimately published in peer-reviewed journals. Yet, in our opinion, publication bias can only
235 be effectively mitigated when *results* are published regardless of study outcome, that is, via
236 Registered Reports (see *Section 4.1*) or journals that publish studies based on scientific rigor
237 rather than their outcome (see *Section 4.2*). Nonetheless, discoverability of research plans is a

238 ⁴ It should be noted that the results of statistical tests can still be valid (i.e., expected false positives close to
239 nominal α) assuming proper statistical conditioning, e.g., by building the conditional reference distribution of
240 the test statistic via data permutation (for details, see Dezezer et al., 2020, Box 2).

241 useful step in making the entire research process discoverable. Importantly, preregistration is
 242 not only helpful when hypotheses are tested or *p*-values are reported (McPhetres, 2020), but
 243 also for exploratory⁵ and qualitative research (Dirnagl, 2020; Haven and Van Grootel, 2019)
 244 and when using other statistical procedures (e.g., specify and justify in advance what priors
 245 will be used in a planned Bayesian analysis; see Depaoli and van de Schoot, 2017).

246 Correlational evidence accumulated over the past 20 years in several disciplines
 247 suggests that preregistration may facilitate the publication of non-significant findings, thus
 248 providing a more accurate representation of available knowledge. For instance, Kaplan and
 249 Irvin (2015) reviewed a sample of randomized clinical trials funded by the National Heart,
 250 Lung, and Blood Institute evaluating drugs or dietary supplements for the treatment or
 251 prevention of cardiovascular disease. Of the 55 selected studies, 30 were published before
 252 and 25 after the year 2000, when study registration on ClinicalTrials.gov became compulsory
 253 in the U.S. following the Food and Drug Administration Modernization Act in 1997. Results
 254 showed that 57% of the studies published before 2000 showed a significant benefit of the
 255 intervention, as opposed to only 8% of trials published after 2000.⁶ Similar results were
 256 reported in a (preregistered) meta-analysis of meta-analyses of orthodontics and dentofacial
 257 orthopedics studies: registered trials reported less favorable intervention effects compared to
 258 unregistered trials (Papageorgiou et al., 2018). Preregistration can also help identify whether
 259 funding sources are correlated with study outcome, potentially uncovering questionable
 260 practices due to (undisclosed) conflicts of interest. For instance, a review of studies of safety
 261 and efficacy trials for a wide array of drugs (Bourgeois et al., 2010) revealed that trials

262 ⁵ A preregistration can serve as a ‘log’ for exploratory research, to make the many choices during the research
 263 process transparent: “Methodological and analytic flexibility is maintained but disclosed.” (Dirnagl, 2020, p. 4).

264 ⁶ The clinical studies considered in Kaplan and Irvin (2015) were specifically chosen to be large, well-funded
 265 projects, likely to get published even if results were not statistically significant. Thus, their work does not
 266 directly show that preregistered studies are easier to get published. Yet, it does suggest that, if studies are
 267 preregistered, non-significant findings are more likely to be reported as such, instead of being *p*-hacked to chase
 268 publication.

269 funded by industry were less likely to be published within 2 years from study completion and
 270 most likely to report a positive outcome (85%, as opposed to 50% for government-funded
 271 trials).

272 Recently, Adda et al. (2020) analyzed the distribution of p -values of primary
 273 outcomes for phase II and phase III drug trials registered on *ClinicalTrials.gov* between 2010
 274 and 2019 and found no indication of selective outcome reporting, suggesting that such
 275 registries may successfully disincentivize the (conscious or unconscious) use of suboptimal
 276 reporting practices and, consequently, improve the credibility of published research.

277 *2.2 Benefits for Individual Researchers*

278 Besides being advantageous for whole research fields, anecdotal experience and
 279 preliminary evidence suggest that preregistration can be beneficial for individual researchers
 280 as well (Allen and Mehler, 2019; McKiernan et al., 2016; Toth et al., 2020; Wagenmakers and
 281 Dutilh, 2016). Generally speaking, drafting a thorough preregistration – preferably with the
 282 help of useful templates and checklists (see *Section 3.1*) – can improve the experimental
 283 design not only because authors are stimulated to think more carefully about the research
 284 plan, but also because feedback from peers can be solicited⁷ early and incorporated when
 285 most valuable, that is, when there is still time to make changes. Early-career researchers
 286 (ECRs) may benefit even more from learning this skill, since they are often directly involved
 287 with the ideation and development of the research project, data preprocessing and analysis,
 288 and writing of the final report. Preregistering a study as an ECR can also give a stronger
 289 sense of ownership over ideas that were originally conceptualized by their supervisors, for
 290 example by having a clearer overview on the different steps of the workflow, making

291 ⁷ For example on platforms like OSF (in the comment section) or Peer Community In
 292 (<https://peercommunityin.org/2020/01/15/submit-your-preregistration-to-peer-community-in-for-peer-review/>).

informed decisions about the rationale, experimental design, and planned analyses early on in the project.

Given an increasing interest in transparency, we expect ECRs to be working in an environment that values – and might even require – a certain level of commitment to open science practices, of which preregistration is an example. As mentioned earlier, a growing number of journals encourage preregistration, e.g., by means of badges. In academia⁸, funding agencies appreciate the importance of study preregistration in medical and non-medical disciplines: for example, the recent COVID-19 Programme by the Dutch funder *ZonMw* includes specific open science guidelines⁹ for prospective applicants, among which mandatory preregistration of animal studies and “strongly recommended” preregistration for all other studies. In addition, preregistration may lead editors and reviewers to more easily trust authors when reporting certain methodological choices, such as sequential testing and one-sided tests (Lakens, 2017, Study 1). Last but not least, researchers who preregister their studies may be perceived as more trustworthy, because they are willing to open all products of their workflow to their peers for scrutiny. However, a recent registered report investigating whether preregistration increases peers’ trust in the final publication revealed inconclusive evidence either in favor or against this hypothesis (Field et al., 2020), leaving this question open for future examinations.

The advantages of preregistration in neuroimaging and electrophysiology have not yet systematically been evaluated. Nonetheless, the data accumulated in other disciplines provide a number of insights, practical examples, and learned lessons that can guide a widespread and

⁸ Solid project management skills are also extremely valuable outside of academia, where careful planning can help prioritize goals in a fast-paced environment (see Powell, 2018).

⁹ <https://tinyurl.com/ZonMw-COVID19-OS>

informed implementation of this practice in our research field. When done properly, preregistration works as intended.

3. Recommendations for Preregistration of ERP research

In this section, we provide guidelines on how to transparently document the planned analytic choices in a preregistration of a prototypical ERP study. We focus on ERPs because of their widespread use in cognitive and clinical research (Hajcak et al., 2019; Helfrich and Knight, 2019), although most of these recommendations can still be useful when using other signal processing techniques (e.g., ERP and time-frequency analyses have many preprocessing steps in common). Furthermore, we only include sections that would decrease researchers' flexibility during signal preprocessing and statistical analysis. As discussed in *Section 1.1*, these steps are complex and multifaceted, with many reasonable choices that can lead to qualitatively different interpretations of the data; therefore, transparently documenting them would have a significant impact on the verifiability of the results. However, other aspects of a study should also be carefully planned and included in the preregistration protocol, e.g., the rationale behind the chosen sample size (including a power analysis; for recent guidelines, see Baker et al., 2020; Boudewyn et al., 2018), inclusion and exclusion criteria, and stimulus details and characteristics (e.g., to ensure that items sampled from all planned conditions are reported in the published manuscript).

We encourage researchers to craft a document that is *specific*, *precise*, and *exhaustive* (Veldkamp, 2017, chap. 6; Wicherts et al., 2016). A preregistration is *specific* when it includes a detailed description of all phases of the research workflow, from the initial design

339 of the study to the information reported in the final manuscript; *precise* when the research
 340 plan is interpretable in only one way (e.g., there is no ambiguity regarding the intended
 341 preprocessing pipeline); and *exhaustive* when the research plan states that only the mentioned
 342 analyses will be considered as diagnostic to confirm or falsify predictions, thereby clarifying
 343 that other analyses have been conducted after seeing the data (see also McPhetres, 2020, on
 344 adding underspecified secondary analyses).

345 In our experience, it is very useful to run a pilot study before drafting the
 346 preregistration document. Advantages include: (i) gauge the feasibility of recruitment,
 347 randomization, and assessment procedures, especially when testing clinical populations
 348 and/or evaluating a novel treatment (e.g., Leon et al., 2011); (ii) ensure that task instructions
 349 are clear for participants; (iii) confirm that the target ERP component(s) are elicited; (iv) test
 350 preprocessing and analysis pipelines for possible bugs, errors, and/or computational
 351 feasibility. We emphasize that small scale pilot studies should *not* be used to estimate effect
 352 sizes to inform *a priori* power analysis (for details, see Kraemer et al., 2006; Lakens and
 353 Albers, 2017).

354 3.1 Preregistration templates

355 In principle, any time-stamped, accessible protocol with a clear study plan can serve
 356 as a preregistration. However, ready-made templates can greatly facilitate the inclusion of
 357 preregistration in researchers' workflows by providing a list of bullet points (Bakker et al.,
 358 2018; Wicherts et al., 2016). In addition, hosting preregistrations on online platforms that are
 359 popular among the research community (rather than, for example, personal websites) can
 360 improve accessibility. One of the most popular platforms is the OSF, which offers several
 361 preregistration templates¹⁰ differing on topic, length, and specificity. While less extensive

362 ¹⁰ <https://osf.io/zab38/wiki/home/>

363 templates (e.g., AsPredicted: <https://aspredicted.org/>) are typically used by newcomers for
 364 their first preregistration, we would rather recommend the standard OSF Prereg template,
 365 whose increased level of detail facilitates the creation of specific, precise, and exhaustive
 366 preregistrations that more effectively decrease the risk of undisclosed analytic flexibility. A
 367 template specifically for preregistration of EEG studies was started during a hackathon at the
 368 annual meeting of the Society for the Improvement of Psychological Science (SIPS) in 2019
 369 (Algermissen et al., 2019) and is currently being developed online by an active community of
 370 volunteers. Readers are welcome to contribute to (and use) the current draft at
 371 <https://tinyurl.com/eegprereg>.

372 Below we provide some examples on how to preregister typical preprocessing and
 373 analysis steps in an ERP study. We do not intend to recommend one preprocessing step or
 374 statistical method over another, but rather give examples on how commonly used
 375 preprocessing and analysis steps can be transparently reported. Please note that a good
 376 preregistration should also be explicit about the *order* of preprocessing steps. Again, the
 377 examples below are for illustrative purposes: their order is not meant to be prescriptive, and
 378 should be adjusted based on the pipeline that is appropriate for the specific study.¹¹

379 It can also be advantageous to include analysis scripts in the preregistration (see, for
 380 instance, Nunez et al., 2017). In any case, the preregistration should be specific about the
 381 software and (standardized) pipelines that will be used to carry out the preprocessing steps. If
 382 researchers plan to use the default settings of a given software, they should also include its
 383 version number (these settings might change with different versions) and clearly state in the
 384 preregistration that default parameters will be used.

385 ¹¹ Other examples of preregistration can be retrieved from a public Zotero Group library maintained by the
 386 Center for Open Science (<https://tinyurl.com/OSF-Zotero-group>) as well as a spreadsheet started during a
 387 hackathon at SIPS2019 (<https://tinyurl.com/SIPS2019-prereg-list>).

388 3.2 Preprocessing

389 3.2.1 Re-referencing

390 A preregistration should specify which electrodes will be chosen for the offline
391 re-referencing. Common offline reference channels include the linked mastoids, ears, vertex,
392 or an average reference. An example could be: “*The continuous data is [...] re-referenced to*
393 *the average of the left and right mastoid.*” (Nieuwland et al., 2018a).

394 3.2.2 Filtering

395 Preregistering the parameter values of the filters that will be applied to the recorded
396 EEG data should be detailed enough to theoretically allow readers to completely reproduce
397 each filter (see Widmann et al., 2015). This includes specifying not only the filter cut-off
398 frequency, but also the type (e.g., butterworth, finite impulse response, infinite impulse
399 response), transition width, passband edge, the order for the transition bandwidth, at what
400 point during the preprocessing pipeline the filter was applied (e.g., to continuous or
401 segmented data), and – in case of multiple filters – the order in which the filters are applied.
402 For example (modified from Schindler et al., 2018, p. 9): *The continuous EEG data will be*
403 *filtered with separate Hamming windowed sinc finite impulse response (FIR) filters*
404 *(Widmann, 2006): (1) high-pass: passband edge 0.5 Hz, filter order 1,690, transition*
405 *bandwidth 0.5 Hz, cutoff frequency (–6 dB) 0.25 Hz; (2) low-pass: passband edge 30 Hz,*
406 *filter order 114, transition bandwidth 7.4 Hz, cutoff frequency (–6 dB) 33.71 Hz.*

3.2.3 Trial segmentation and time-locking

For trial segmentation, it is especially important to specify *when* the continuous EEG data are segmented, because this has implications for other preprocessing steps.¹² When preregistering trial segmentation, it is also important to specify what the trial will be time-locked to – e.g., stimulus onset, participant’s motor response – and how long the pre- and post-stimulus period will be. For example: “*For the ERPs, we [...] epoch the data from -500 to 1500 ms relative to [critical word] onset.*” (Coopmans and Nieuwland, 2018).

3.2.4 Interpolation

With interpolation, the EEG signal recorded from noisy channels is replaced with estimated activity from neighboring electrodes. In the preregistration protocol, one should prespecify the criteria used to identify noisy channels as well as the algorithm that will be used for the interpolation. For example: “*Bad channels with a voltage > 2 SD of the EEG voltage will be interpolated with a spline interpolation [...]. The interpolation algorithm [...] is implemented in the EEGLAB toolbox.*” (Duma et al., 2018).

3.2.5 Artifact rejection and correction

Approaches to artifact rejection can be roughly divided into three categories: (1) automatic; (2) manual; and (3) semi-automatic, that is, a combination of automatic and manual approaches. Many different algorithms for automatic artifact rejection are available and implemented in different software and toolboxes. For example, the FASTER algorithm (Nolan et al., 2010) calculates various statistical parameters of the signal and defines data as

¹² As already mentioned, high-pass filters may produce edge artifacts when applied to non-continuous signal; thus, segmentation is typically performed after these filters are applied. Other methods are also effective, e.g., extending epochs with zeroes (zero-padding) so that edge artifacts do not affect the actual signal.

430 artifactual when exceeding a pre-specified z-score (e.g., ± 3). This approach can be
 431 additionally integrated by identifying the frequency bands in which one would expect
 432 artifacts to occur, e.g., 110 to 140 Hz for muscle artifacts (see Delorme et al., 2007). From a
 433 computational perspective, fully automatic approaches are more reproducible, although their
 434 sensitivity and specificity can vary. Semi-automatic and manual approaches are more
 435 subjective and dependent on the researcher's skills, but they may be necessary when working
 436 with special populations (e.g., infants¹³) whose signal shows less typical artifacts, difficult for
 437 automatic approaches to detect. Therefore, to increase transparency and reproducibility, the
 438 final report should include the list of epochs marked for rejection (or correction; see below).
 439 In addition, source code could be referenced in-text and be made publicly available for
 440 inspection upon publication, to provide additional information (e.g., on some parameter
 441 values) while decluttering the *Methods* section. An example of a planned automatic artifact
 442 rejection procedure pertaining specifically to ocular artifact rejection could read: “*All trials*
 443 *will be checked for eye and muscle activity related artifacts. To detect eye movements and*
 444 *blinks, the EOG signals will be combined to derive bipolar vertical and horizontal channels*
 445 *that will be passed through a set of artifact detection steps. Any trials containing amplitude*
 446 *change larger than 80 μV in the vertical bipolar EOG channel and 120 μV in any other*
 447 *channel within a moving window of 200 ms will be removed to avoid any contamination of*
 448 *data by eye blinks or muscle movements. Additionally, any trials with potential eye-movement*
 449 *activity, i.e., amplitude changes larger than 25 μV in the horizontal bipolar EOG channel*
 450 *detected by a step function, will also be rejected. Participants with rejection rates larger than*
 451 *30% of the total trials in any of the experimental conditions will be excluded from further*
 452 *analyses.*” (Bocincova and Johnson, 2017).

453 ¹³ Recently, though, automatic artifact rejection pipelines for infant EEG data have been developed, e.g., MADE
 454 (Debnath et al., 2020) and HAPPE (Gabard-Durnam et al., 2018).

455 In addition to artifact rejection procedures, there are a variety of techniques available
 456 to correct artifacts in order to reduce data loss. Methods for artifact correction include
 457 independent component analysis (ICA), regression-based methods, and wavelet-transforms
 458 (see Jiang et al., 2019). Each of these methods require different parameter values to be
 459 preregistered. For example, for ICA, at least the following information should be added (see,
 460 for instance, Keil et al., 2014): the method used to compute the ICA – e.g., fastICA
 461 (Hyvärinen, 1999) or infomax ICA (Bell and Sejnowski, 1997) –, the electrodes included, the
 462 number of computed components, the method by which artifactual components will be
 463 identified – e.g., using templates (Campos Viola et al., 2009) or manually –, and which type
 464 of artifactual components will be removed (e.g., only ocular components, ocular and heart
 465 beat components, etc.). For example, a preregistration for artifact correction using ICA could
 466 read: *“Data are then subjected to independent component analysis using single-order blind*
 467 *identification [...]. This is achieved by transforming the weight matrix for components into*
 468 *z-scores across all electrodes, and identifying those that have a z-score greater than 4.0. This*
 469 *is an arbitrary large value which has been determined in previous studies to identify signals*
 470 *due to blinks or to other artefact. Components whose activity is heavily focused on a single*
 471 *electrode are then subtracted from the signal.”* (Hobson and Bishop, 2014).

472 3.2.6 Baseline correction

473 A preregistration should also clarify whether or not the data will be baseline-corrected
 474 by setting the scalp distribution to zero during a preset period before the onset of the event of
 475 interest. Important information include the time window that is used as the baseline, and at
 476 which point in the analysis pipeline the baseline correction will be applied. For example:

477 “Epochs extending from -200 ms to $+1000$ ms time-locked to word onset will be created, and
478 baseline correction will be applied using the pre-stimulus interval.” (Schettino et al., 2017).

479 3.3 Statistical analysis

480 Analytic flexibility does not only occur at the level of preprocessing. Rich M/EEG
481 data sets can be analyzed in multiple different ways – e.g., event-related potentials (ERPs;
482 Luck, 2014), EEG microstates (Michel and Koenig, 2018), time-frequency (Cohen, 2014),
483 functional connectivity (Bastos and Schoffelen, 2016), steady-state evoked potentials (Regan,
484 1977), source localization (Michel and He, 2019) –, with different objectives, dependent
485 variables, and levels of analytic sophistication. Moreover, researchers have multiple valid
486 options for statistical tests, such as ANOVAs and t -tests (Luck, 2014, chap. 10), cluster-based
487 permutation tests (Maris and Oostenveld, 2007), Bayes factors (Keysers et al., 2020), linear
488 mixed effects models (Frömer et al., 2018), threshold-free cluster enhancement (Mensen and
489 Khatami, 2013), and more¹⁴. An example of ERP experiment may involve measurements
490 from 64 electrodes and a sampling rate of 256 Hz, with a trial length of 1,000 ms after
491 stimulus onset (e.g., Schindler et al., 2018). After averaging over trials, this would result in
492 16,384 data points for each participant and condition. For statistical analysis, this leads to a
493 large number of potential comparisons, often referred to as the *multiple comparison problem*
494 (MCP). Standard statistical correction procedures operating at the level of single
495 electrode-time pairs would yield hyper-conservative results (increased *Type II* error); on the
496 other hand, failing to correct for multiple comparisons can easily lead to spurious statistically
497 significant results (increased *Type I* error). Therefore, statistical plans of ERP studies should
498 always include a strategy on how to deal with the MCP. Several solutions are available (Luck,

499 ¹⁴ Other factors, including context-dependent psychometric properties of brain measures (e.g., Clayson and
500 Miller, 2017) and suboptimal reporting of methodological details in published papers (e.g., Clayson et al., 2019;
501 Larson and Carbine, 2017), further complicate the picture and warrant caution on the reliability of the
502 psychophysiological literature (Baldwin, 2017).

2014, chap. 10; Luck and Gaspelin, 2017), including: (i) a priori definition of electrode sites and time windows based on previous studies; (ii) collapsed localizers, i.e., averaging all trials of all conditions of all participants to identify electrode clusters and time windows, thereby avoiding condition-specific biases; and (iii) mass univariate statistics, i.e., computing statistical tests at each electrode and time point and applying appropriate multiple comparisons correction techniques (Fields and Kuperberg, 2020; Groppe et al., 2011).

In the following sections, we provide examples on how to preregister three common ERP analyses: (1) Analysis of Variance (ANOVA); (2) cluster-based permutation tests; and (3) Bayes factors. We hope these detailed examples will be useful for readers planning to preregister similar statistical analyses and give an idea of the preferred level of detail for other analysis methods not listed here.

3.3.1 ANOVA

ANOVAs are a popular statistical technique to analyze ERP data: it is not uncommon to read published studies including several within- and between-subject factors for various spatial and temporal ROIs. However, a growing number of factors comes at a cost, namely an increase in false positive rate: up to 50% chance to find at least one false positive effect with 4 factors, and up to 100% chance with 8 factors (Luck and Gaspelin, 2017). Therefore, ERP researchers should carefully plan appropriate corrections for multiple testing not only for the follow-up tests to an ANOVA, but also as a function of the number of factors included in the ANOVA itself. Alternatively, researchers can limit the number of factors included in the ANOVA if they have a specific hypothesis about the spatial and temporal region of interest or only run planned (paired) contrasts on the relevant comparisons. Preregistration can help make these decisions beforehand, without being biased by seeing the data.

526 In the following example, researchers interested in the N400 ERP component (Kutas
527 and Hillyard, 1980; Kutas and Federmeier, 2011; see also the work by Nieuwland and
528 colleagues for a series of preregistered N400 studies, e.g., Nieuwland et al., 2018b;
529 Coopmans and Nieuwland, 2020) identify the time window and region of interest a priori,
530 and aim to test two hypotheses: (1) larger N400 component for semantically incongruent
531 compared to semantically congruent sentences (i.e., an incongruity effect); and (2) larger
532 incongruity effect for native speakers compared to non-native speakers. In the *Analysis*
533 section, the preregistration could read: *We will analyze the amplitude¹⁵ of the N400 by means*
534 *of a mixed ANOVA with 2 factors: congruency (semantically congruent sentences vs.*
535 *semantic violations; within-subject) and language experience (native speaker vs. non-native*
536 *speaker; between-subject).* As outlined above, researchers should also consider correcting for
537 multiple comparisons as a function of the number of factors in the ANOVA. In this example,
538 three *p*-values are computed: one for the main effect of congruency, one for the main effect of
539 language experience, and one for their interaction. Researchers could preregister the
540 correction in the following way: *The significance level for the main effect and interaction*
541 *terms will be Bonferroni-corrected for the number of tests computed in the ANOVA: $0.05/3 =$*
542 *0.0167.* Of course, the alpha level of the test should also be explicit ($\alpha = 0.05$ in this
543 example). If researchers also plan follow-up comparisons, they could add: *In case the*
544 *interaction between congruency and language experience is statistically significant, we will*
545 *compute two paired t-tests comparing congruent vs incongruent sentences, separately for*
546 *native speakers and non-native speakers. For these two planned comparisons, we will use an*

547 ¹⁵ It is also important to clearly specify the time window and electrode cluster from which the ERP component is
548 scored. This information should be included in the section *Measured variables* of the preregistration protocol,
549 e.g.: *We will analyze the mean amplitude value in a time window from 300 to 500 ms after stimulus onset,*
550 *averaged across a cluster of centro-parietal electrodes (C3, Cz, C4, CP5, CP6, P3, Pz, P4, P7, and P8).*

551 *alpha of 0.025 (Bonferroni-corrected for two planned tests with an uncorrected alpha of*
 552 *0.05).*

553 3.3.2 Cluster-based permutation tests

554 Cluster-based permutation tests (CBPT; Maris and Oostenveld, 2007) are another
 555 popular statistical approach to analyze EEG data. In the following example, researchers have
 556 a hypothesis about a difference in ERP responses between two conditions, but not about
 557 specific electrodes and time points. The preregistration should include whether the test is
 558 one-tailed or two-tailed, within-subject, between-subject, or mixed, as well as the alpha level.
 559 In addition, there are several parameters that are more specific to the CBPT, such as the alpha
 560 at the cluster-level, the method for computing cluster statistics, the minimum number of
 561 electrodes that can form a cluster, how neighboring relations between electrodes will be
 562 computed, and the number of randomizations. For example, a preregistration using a CBPT
 563 could read: *To test within-subject differences between congruent and incongruent sentences,*
 564 *we will compute a two-tailed cluster-based permutation test using 'ft_statfun_indepsamplesT'*
 565 *in Fieldtrip (Oostenveld et al., 2011) with $\alpha = 0.025$ for each tail (i.e., the overall alpha is*
 566 *0.05). The alpha at the cluster-level will be set at 0.05. Cluster statistics will be computed*
 567 *with a 'maxsum' approach and clusters will require a minimum of two neighboring*
 568 *electrodes. Neighboring electrodes will be defined via the 'triangulation' method*
 569 *implemented in Fieldtrip. Like on the test-level, the clusters will be tested with a two-tailed*
 570 *statistic. One thousand randomizations will be computed via Montecarlo method to estimate*
 571 *the p-value under the permutation distribution.*

3.3.3 Bayes factors

Problems inherent in accepting the null hypothesis with classical frequentist procedures (e.g., Wagenmakers, 2007) and common misinterpretations of p -values (Colquhoun, 2017; Wasserstein and Lazar, 2016) are leading an increasing number of researchers to explore Bayesian approaches (Etz and Vandekerckhove, 2018; Kruschke and Liddell, 2017). Bayesian inference allows to incorporate prior knowledge into statistical tests, quantify evidence in favor of the null hypothesis – thus discriminating between “absence of evidence” and “evidence of absence” (e.g., Keyzers et al., 2020) –, and monitor the evidence as the data accumulate (Rouder, 2014; but see de Heide and Grünwald, 2020). In particular, Bayes factors – “*the extent to which the data sway our relative belief from one hypothesis to the other*” (Etz and Vandekerckhove, 2018, p. 10) – have gained considerable popularity, also thanks to the development of user-friendly software that facilitate their calculation (e.g., JASP; <https://jasp-stats.org/>).

Researchers planning to analyze their data using Bayes factors should clarify the software and procedure used for the estimation, a description of the prior specification (i.e., the type of distribution and its parameter values), and an assessment of the robustness of the results under different prior specifications (see also van Doorn et al., 2020). A preregistered description of planned comparisons using Bayes factors could read as follows (modified from Schettino et al., 2017): *We will analyze the amplitude values of the N1 ERP component using Bayes Factors (BFs; Kass and Raftery, 1995). Two-tailed Bayesian t-tests (Rouder et al., 2009) will be calculated to estimate the degree of evidence in favor of a model assuming differences between conditions relative to a model assuming no differences. The null hypothesis will be specified as a point-null prior (Dirac distribution, standardized effect size*

595 $\delta = 0$), whereas the alternative hypothesis will be defined as a Jeffrey-Zellner-Siow (JZS)
 596 prior, a folded Cauchy distribution centered around $\delta = 0$ with scaling factors of $r = 1$, $r =$
 597 0.707 , and $r = 0.5$, to verify the robustness of the results as a function of changes in the prior
 598 (Schönbrodt et al., 2017). Participants will be included as random factors, and their variance
 599 considered nuisance. The threshold to identify the winning model is set at $BF \geq 10$ or $BF \leq$
 600 0.1 , typically considered “strong” evidence in favor of the model in the numerator or
 601 denominator, respectively (Kass and Raftery, 1995). BFs will be estimated via the R package
 602 *BayesFactor* v0.9.12-2 (Morey and Rouder, 2018) using Markov Chain Monte Carlo
 603 sampling (10,000 iterations).

604 4. General Considerations

605 In Section 2 we clarified how preregistration can mitigate some of the issues related to
 606 undisclosed analytic flexibility. In Section 3 we provided guidelines and examples on how to
 607 preregister common preprocessing and statistical analysis steps in ERP studies. In Section 4
 608 we discuss several considerations that EEG researchers may want to take into account when
 609 critically evaluating whether to preregister their studies.

610 4.1 Preregistration vs. Registered Reports

611 Throughout this manuscript we have described *unreviewed* preregistrations (see van ’t
 612 Veer and Giner-Sorolla, 2016), that is, the protocols uploaded on public repositories are not
 613 formally peer-reviewed. A preregistered study can still be rejected by scientific journals for a
 614 number of reasons – for example, lack of interest in “negative” (non-significant) findings
 615 (Fanelli, 2010), unprofessional peer-review (Gerwing et al., 2020), or submission in the
 616 “wrong” day of the week (Boja et al., 2018) –, thus limiting its discoverability (although the

617 experimental protocol may still be publicly accessible). Conversely, *reviewed* preregistrations
 618 – commonly referred to as *Registered Reports* (Chambers and Tzavella, 2020) – are
 619 alternative article formats in which the study proposal is peer-reviewed and conditionally
 620 accepted for publication (in-principle acceptance, or *IPA*), provided that the original plan is
 621 followed and deviations are properly documented. Publication is thus independent from study
 622 outcome. Preliminary research has shown that this format seems to effectively mitigate
 623 publication bias and reduce the prevalence of selective outcome reporting (Scheel et al.,
 624 2020; see also Wiseman et al., 2019).¹⁶ Therefore, we consider Registered Reports the
 625 state-of-the-art article format for confirmatory research and recommend them over
 626 preregistrations. At the time of writing, more than 250 journals¹⁷ from various scholarly
 627 disciplines offer Registered Reports alongside traditional submissions, including
 628 *Psychophysiology* (Keil et al., 2020) and the *International Journal of Psychophysiology*
 629 (Larson, 2016). Please note that, while the current manuscript focuses on preregistrations, our
 630 recommendations also hold for Registered Reports.

631 Despite these desirable properties, researchers should take into account the relatively
 632 strict submission criteria (e.g., expected statistical power of 90% or higher¹⁸) as well as the
 633 time necessary to review the study plan (typically 2-4 months; Chambers, 2020), during
 634 which the project cannot start. For these reasons, preregistration can be seen as an easier,
 635 entry-level practice that is advantageous in itself and helps researchers familiarize with the
 636 steps required for a future (recommended) Registered Report submission.

637 ¹⁶ Due to heterogeneous journal policies, IPA protocols may not always be publicly available or easily verifiable
 638 (Hardwicke and Ioannidis, 2018), which sometimes makes it difficult for readers to compare registered plans
 639 and published papers. Recent developments have tackled the lack of transparency and standardized protocol
 640 registration by updating recommended editorial policy templates (Chambers and Mellor, 2018), but we advise to
 641 read the target journal's specific guidelines before submission.

642 ¹⁷ The updated list of journals offering Registered Reports can be found at <https://cos.io/rr/>.

643 ¹⁸ As an example, see *Registered Reports Submission Guidelines* at *Cortex* (<https://tinyurl.com/RR-Cortex>).

644 4.2 Potential Disadvantages of Preregistration

645 Crafting a comprehensive preregistration protocol requires time. A recent survey
646 (Toth et al., 2020) revealed that respondents with previous experience in preregistration
647 invested, on average, around 4 hours to create the initial draft. Our experience suggests that
648 this could be considered a lower bound: the multidimensional nature of EEG data, coupled
649 with the high level of specificity recommended to effectively avoid selective reporting,
650 requires documenting a large number of preprocessing and analysis steps (see *Section 3*),
651 planned sample size (with *a priori* power analysis), sampling strategy, inclusion and
652 exclusion criteria, and more. As Allen and Mehler (2019) point out, planning analyses based
653 on existing data is likely easier and faster, because a preregistration involves anticipating
654 possible outcomes, for example in a decision tree, that depend on seeing the data (e.g.,
655 whether the assumptions of the planned statistical model are fulfilled). These authors further
656 suggest that implementing open science practices (including preregistration) during a project
657 increases its duration (*“In our experience, these additional requirements can easily double*
658 *the duration of a project.”*; p. 4), which might be especially difficult for ECRs on short-term
659 contracts. However, to the best of our knowledge, this is based only on anecdotal evidence
660 and preregistration could also, at least for some projects, save some time.¹⁹ We also note that
661 most of the information included in a comprehensive preregistration is also required in the
662 final manuscript, not only to facilitate communication between the authors and other relevant
663 parties (editors, reviewers, and readers) but also to ensure that the methods leading to the
664 conclusions advertised in the paper are reproducible. Publication guidelines for M/EEG
665 studies (Gross et al., 2013; Keil et al., 2014; Pernet et al., 2018) emphasize the need to
666 describe equipment, study materials, preprocessing steps, dependent variables, and analysis

667 ¹⁹ <https://antonio-schettino.com/post/2019-07-23-prereg-challenge/>

668 pipelines, and also provide a checklist that authors can consult while writing the manuscript
 669 (Keil et al., 2014, sec. Appendix). Here we propose to anticipate this time investment, with
 670 the advantage that carefully thinking about these methodological details before data
 671 collection may lead to improvements in the study design when still useful.

672 Some researchers might also be worried that the time invested in writing the
 673 preregistration would be wasted if results do not pan out as expected and, consequently, the
 674 final manuscript would be more difficult to publish. Indeed, current incentive structures (be it
 675 hiring practices, journals, or funders) usually value quality over quantity (Allen and Mehler,
 676 2019) and exert pressure to publish novel, groundbreaking, positive results (Fanelli, 2012,
 677 2010; Ioannidis et al., 2014; Jennings and Van Horn, 2012; Nissen et al., 2016; Scargle,
 678 2000). Nonetheless, many respectable academic journals accept manuscripts with
 679 non-significant findings if the methodology is robust, with the aim to mitigate the pervasive
 680 problem of publication bias.²⁰ Similarly, hiring practices are starting to reward open science
 681 practices (Schönbrodt et al., 2020). We are highly sympathetic to Allen and Mehler's (2019)
 682 call to align incentive structures more with open and transparent research practices that value
 683 quality over quantity (see also Flier, 2017).

684 Unforeseen circumstances – e.g., problems recruiting the planned number of
 685 participants due to a pandemic – may require reasonable deviations from the original
 686 preregistered plan. This is acceptable as long as it is transparently documented in the
 687 published paper. Regrettably, recent evidence shows that undisclosed protocol deviations are
 688 common. An analysis of preregistered studies in the journal *Psychological Science* (Claesen
 689 et al., 2019) showed that none of them had perfectly anticipated every step of the research

690 ²⁰ See, for instance, two recent *Nature* editorials (2020; 2017), the *PLOS ONE* article collection *Missing Pieces*
 691 (2015), the editorial by Munafò and Neill (2016) in the *Journal of Psychopharmacology*, as well as the
 692 submission guidelines of *Meta-Psychology* (<https://open.lnu.se/index.php/metapsychology/about>) and *Royal*
 693 *Society Open Science* (<https://royalsocietypublishing.org/rsos/for-authors>).

694 project: differences between preregistration protocols and final manuscripts were observed,
 695 for instance, in sample size, exclusion criteria, and statistical models, with only one study
 696 transparently reporting all discrepancies. Partial or lack of disclosure of deviations from
 697 pre-study plans is a well-known problem not only in social sciences (e.g., Franco et al., 2016)
 698 but also in clinical trials (Goldacre et al., 2019), for which registration is compulsory or
 699 strongly encouraged in many countries (see the *World Health Organization Registry Network*;
 700 <https://www.who.int/ictrp/network/en/>). We emphatically recommend to clearly report any
 701 deviations from the preregistered plan, preferably in a separate section in the main manuscript
 702 or in *Supplementary Materials*. A useful checklist can be found on the OSF
 703 (<https://osf.io/yrvcg/>).

704 *4.3 Preregistration Is Not a Silver Bullet*

705 As argued in *Section 2.1*, preregistration can strengthen the evidential value of studies
 706 by increasing transparency, disclosing selective outcome reporting, and increasing the
 707 number of publications with non-significant findings. However, adopted in isolation,
 708 preregistration is *not* sufficient to increase scientific rigor; for example, it may not necessarily
 709 prompt researchers to carefully examine whether their chosen statistical models are
 710 appropriate for the experimental question (Guest and Martin, 2021; Szollosi et al., 2020),
 711 improve statistical inferences (Devezer et al., 2020; Navarro, 2020), strengthen the link
 712 between theories and their mathematical representations (Szollosi and Donkin, 2019b), or
 713 develop more precise, consistent, and “hard-to-vary” theories altogether (Szollosi and
 714 Donkin, 2019a; van Rooij and Baggio, 2021). In other words, preregistration in itself does
 715 not necessarily improve the quality of the research, and it might even be harmful if it grants
 716 statistically invalid or theoretically weak research an unwarranted higher status (“*a*
 717 *superficial veneer of rigor*”; Devezer et al., 2020, p. 19) compared to non-preregistered, but

718 otherwise solid and transparently documented, research. In fact, when other conditions are
 719 fulfilled – e.g., a strong theoretical framework that warrants precise predictions; a clear
 720 justification of analytical choices; open data, materials, and code; and/or convergent results
 721 by using multiverse analysis –, preregistration does not necessarily lead to more robust and
 722 trustworthy conclusions (Rubin, 2020). Unfortunately, these conditions are rarely fulfilled in
 723 electrophysiology and psychophysiology, even when investigating popular topics with a long
 724 research tradition. As an example, a recent review on the electrophysiological correlates of
 725 early word prediction (Nieuwland, 2019) analyzed available evidence for the *sensory*
 726 *hypothesis* as opposed to the *recognition hypothesis*. This analysis revealed that current
 727 published evidence is often obtained via novel tasks with unclear specificity and sensitivity,
 728 in samples which might not be sufficient for a precise estimation of small or medium effect
 729 sizes, using statistical analyses that do not allow to accurately partition between different
 730 sources of variance, and whose data cleaning and analysis procedures are unavailable for
 731 scrutiny. As argued in the current paper, preregistration may help researchers think about all
 732 of these steps in the planning stage of their projects, with clear advantages in avoiding
 733 confirmation and hindsight bias. We thus believe that preregistration serves the important
 734 goal of increasing transparency (Navarro, 2020) by offering a window into the research
 735 workflow, an often messy and non-linear process that is far from the flawless stories
 736 recounted in academic papers. Accepting these imperfections may promote a work culture
 737 that normalizes errors, acknowledges the depth of domain-specific knowledge, and fosters
 738 intra- and interdisciplinary collaborations (see also Nosek et al., 2012). Moreover,
 739 preregistration offers the opportunity to evaluate whether the chosen tests support or falsify
 740 theoretical predictions, i.e., their *severity* (Lakens, 2019).

741 Finally, just like other research practices, preregistration can in principle be used
 742 unethically (Yamada, 2018). For example, one could preregister a large number of similar
 743 experiments and keep them under embargo. Whenever one of the studies turns out to be
 744 “successful” (i.e., statistically significant), the resulting paper would only refer to the
 745 corresponding preregistration and all the other “unsuccessful” ones could be withdrawn.
 746 However, metadata and a justification for each withdrawal would still be publicly available
 747 and therefore raise suspicion. Another unethical practice has been termed “preregistering
 748 after the results are known” (PARKing; Yamada, 2018), i.e., drafting and publishing the
 749 preregistration of a study that has already been completed and whose results conveniently fit
 750 within the narrative of the “preregistered” document. Complementary open science practices,
 751 e.g., data and code sharing, can effectively mitigate this risk. Journal editors and reviewers
 752 are invited to carefully compare the preregistered document with the manuscript during
 753 submission and evaluation, as well as request raw data and analysis code by default (Morey et
 754 al., 2016). Having said that, we prefer to think of fellow researchers in more optimistic terms,
 755 motivated by higher goals than simply publishing as much as possible (although we might,
 756 admittedly, be hopelessly naive; Chapman et al., 2019; DeDeo, 2020). We also point out that
 757 premeditated approaches to exploit the vulnerabilities of a system can hardly be reconciled
 758 with claims that mistakes were made in good faith due to ignorance or procedural
 759 complexity: researchers engaging in such behaviors (if proven) would consciously commit
 760 fraud, and responsible institutions should be contacted and deliver appropriate sanctions.

761 5. Conclusion

762 The adoption of a new procedure can be met with resistance, particularly if the
 763 benefits are unclear, the amount of work is perceived as too onerous, and training and

764 guidance are lacking. In this paper, we argue that preregistering EEG projects can effectively
 765 facilitate the transparent reporting of data preprocessing and analysis choices, thereby
 766 improving study replicability and the verifiability of published knowledge. The time spent
 767 writing a preregistration is saved at later stages, because the information included in a
 768 comprehensive protocol is required at the time of publication. Ready-made templates can
 769 serve as useful guidelines and facilitate the implementation of this practice in the research
 770 workflow. Combined with other open science practices – e.g., sharing study protocols,
 771 materials, raw data, and analysis code –, preregistration increases transparency in the research
 772 process and trustworthiness of the scholarly products not only for academic peers, but also
 773 other stakeholders in society (Jamieson et al., 2019).

774 **Contributions**

775 AS supervised the project. All authors wrote the initial draft, reviewed, edited, and approved
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784

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790

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