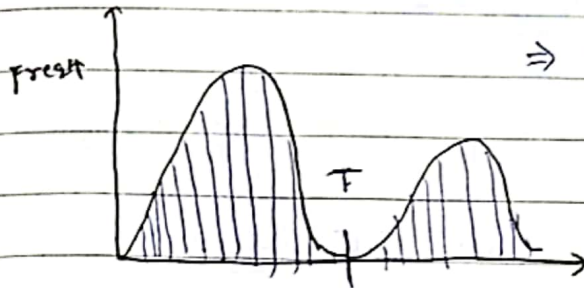


#

Otsu's Thresholding

→ Ideology behind this thresholding technique.



⇒ Threshold T is the optimal threshold if the segments produced have distinct intensity patterns

or it gives best separation w.r.t intensities of two thresholds.

⇒ Maximizes the between class variance. - (Variance diff b/w both classes is very high) or both the classes are very distinct [very different features from each other]

⇒ Also computationally inexpensive. Uses a 1D array of histogram of intensities. Global threshold required many partitions of original picture intensities again and again. (i.e. go through pixels one by one again and again).

Ex 1

Variance b/w classes:- It's the variance b/w mean of class. Let C_1, C_2, \dots, C_k are k classes with means $\mu_1, \mu_2, \dots, \mu_k$. B/w class variance is variance of $\mu_1, \mu_2, \dots, \mu_k$.

(2)

$\{0, 1, \dots, L-1\}$:- Set of L intensity levels.

for grayscale

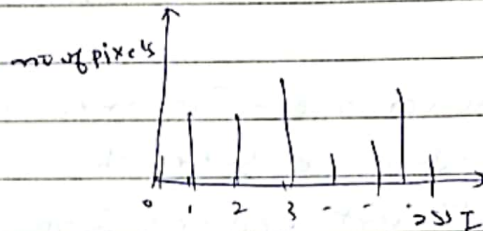
$$L = 256$$

$\{0, 1, \dots, 255\}$ intensities.

MN :- total pixels in the image (shape of image).

m_i :- no. of pixels with intensity i .

$$i \in \{0, 1, \dots, L-1\}$$



$$\therefore MN = \# \text{ zero} + \# \text{ one} + \dots + \# L-1$$

$$MN = m_0 + m_1 + \dots + m_{L-1}$$

P_i :- given image, what is the prob. of finding pixel with i intensity (prob. of choosing a pixel and it's i intensity)

We will use frequentist probability formula.

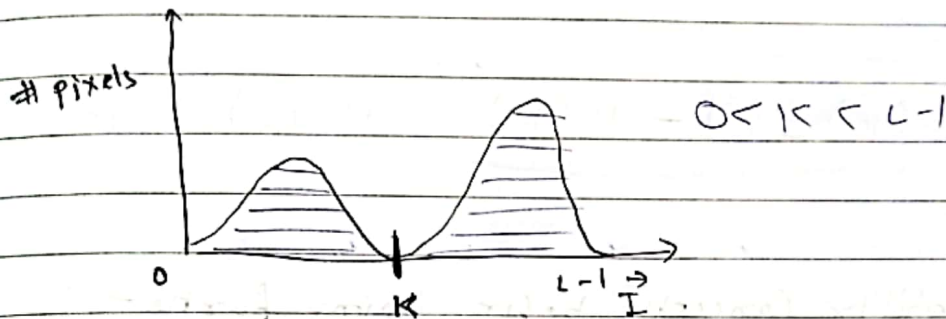
$$P_i = \frac{m_i}{MN}$$

\therefore Since all these events are mutually exclusive and exhaustive

$$\Rightarrow \sum_{i=0}^{L-1} P_i = 1$$

$$\Rightarrow \left[\sum_{i=0}^{L-1} P_i = 1, P_i \geq 0 \right]$$

\therefore Suppose we now threshold the image.



Now there are two classes of pixels C_1 and C_2

C_1 contains pixels with intensities $\in [0, K]$

C_2 contains pixels with intensities $\in [K+1, L-1]$

$\therefore P_1(K) :-$ Probability that a random pixel chosen belongs to class C_1 .

$$P_1(K) = m_0 + m_1 + \dots + m_K$$

$$= \frac{m_0}{MN} + \frac{m_1}{MN} + \dots + \frac{m_K}{MN}$$

$$P_1(K) = P_0 + P_1 + \dots + P_K$$

$$P_1(K) = \sum_{i=0}^K P_i = P(C_1)$$

(4)

$P_2(k)$:- Probability that a pixel chosen at random belongs to class C_2

$$P_2(k) = \frac{M_{k+1} + M_{k+2} + \dots + M_{L-1}}{M \cdot N}$$

$$P_2(k) = P_{k+1} + P_{k+2} + \dots + P_{L-1}$$

$$P_2(k) = \sum_{i=k+1}^{L-1} P_i$$

$$P_2(k) = 1 - P_1(k) = P(C_2) \quad \text{--- (a)}$$

Probability Concept before going further :-

Expected value :-

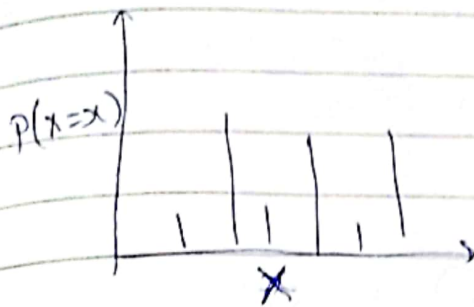
Expected value of a random variable is a long-run average value of the random variable. It is long run mean in a sense that if more and more values are collected for a variable, the sample mean will eventually reach the expected value.

$E(X)$

$$E(X) = \sum X \cdot P(X=x) \quad (\text{for discrete random var}).$$

x :- possible values of x

Let's say sample size is N_s .



$$E(X) = P(X=x_1) \cdot x_1 + \dots + P(X=x_m) \cdot x_m$$

$$E(X) = x_1 \cdot \frac{N_{x_1}}{N_s} + x_2 \cdot \frac{N_{x_2}}{N_s} + \dots + x_m \cdot \frac{N_{x_m}}{N_s}$$

$$E(X) = \frac{x_1 \cdot N_{x_1} + x_2 \cdot N_{x_2} + \dots + x_m \cdot N_{x_m}}{N_s}$$

$N_{x_1}, N_{x_2}, \dots, N_{x_m}$ = weights,

so expected value is also called probability weighted average.

Derivation continue:-

Given class C_i (not assume all intensities)

Probability

$P(i|C_i)$:- Probability that given class C_i , pixels taken from that class has an intensity i .

These are dependent events because, probability intensity class depend upon which class the pixel is in.

⑥

∴ Expected value of mean intensity (m) or pixels in class c_1 is.

$$m_1(k) = \sum_{i=0}^K i P(i/c_1)$$

$$m_1(k) = \sum i \times P(i \cap c_1) / P(c_1)$$

$$m_1(k) = \sum i \times \frac{P(c_1 | i) \cdot P(i)}{P(c_1)}$$

$$P(c_1 | i) = 1 \quad \text{why?}$$

Ans:- Because all pixels of intensity i are in class c_1 (we are summing over pixels in class c_1)

So, the chance of finding i in $c_1 = 1$.

$$m_1(k) = \sum_{i=0}^K \frac{i \cdot P(i)}{P(c_1)}$$

$$m_1(k) = \sum_{i=0}^K (P(i))$$

$$\therefore m_1(k) = \frac{\sum_{i=0}^K i \cdot P(i)}{P_1(k)} \quad \text{⑦}$$

Similarity for class c_2 .

$$m_2(k) = \frac{\sum_{i=k+1}^{L-1} i \cdot P_i}{P_2(k)} \quad \text{--- (ii)}$$

\therefore Considering entire image.

Expected, mean intensity upto k is given by:

$$m(k) = \sum_{i=0}^k i \cdot P(i) \quad \text{--- (iii)}$$

Global mean intensity is,

$$m_G = \sum_{i=0}^{L-1} i \cdot P(i) \quad \text{--- (iv)}$$

\therefore Now,

$$P_1 \cdot m_1 + P_2 \cdot m_2 = m_G \quad \text{--- (v)}$$

$$\text{Also, } P_1 + P_2 = 1 \quad [\text{from a}]$$

Now, Global variance σ_G^2

$$\sigma_G^2 = \sum_{i=0}^{L-1} (i - m_G)^2 \cdot P(i) \quad \text{--- (vi)} \quad \left[\begin{array}{l} \text{weighted} \\ \text{variance} \end{array} \right]$$

Now $\sigma_B^2 =$ between class variance.

(8)

$$\sigma_B^2 = P_1(m_1 - m_b)^2 + P_2(m_2 - m_b)^2$$

$$\sigma_B^2 = P_1 m_1^2 + P_1 m_b^2 - 2 P_1 m_1 m_b + P_2 m_2^2 + P_2 m_b^2 - 2 P_2 m_2 m_b$$

$$\sigma_B^2 = m_b^2 (P_1 + P_2) + P_1 m_1^2 + P_2 m_2^2 - 2 P_1 m_1 m_b - 2 P_2 m_2 m_b$$

$$\sigma_B^2 = m_b^2 + P_1 m_1^2 + P_2 m_2^2 - 2 P_1 m_1 m_b - 2 P_2 m_2 m_b$$

$$\sigma_B^2 = m_b^2 + P_1 m_1^2 + P_2 m_2^2 - 2 m_b (P_1 m_1 + P_2 m_2)$$

$$\sigma_B^2 = m_b^2 + P_1 m_1^2 + P_2 m_2^2 - 2 m_b^2$$

$$\sigma_B^2 = P_1 m_1^2 + P_2 m_2^2 - m_b^2$$

$$\sigma_B^2 = P_1 \left(\frac{m}{P_1} \right)^2 + P_2 \left(\frac{m}{P_2} \right)^2 - m_b^2$$

$$\sigma_B^2 = \frac{m^2}{P_1} + \frac{m^2}{P_2} - m_b^2$$

Now,

$$\sum_{i=0}^K i P_i + \sum_{i=K+1}^{L-1} i P_i = m_b$$

$$m + \sum_{i=K+1}^{L-1} P_i = m_b$$

$$\sum_{i=K+1}^{L-1} P_i = \cancel{m_b} m_b - m$$

$$\therefore m_2 \cdot P_2 = m_b - m$$

$$m_2 = \frac{m_b - m}{P_2}$$

(10)

$$\therefore \sigma^2_B = p_1 \left(\frac{m}{p_1} \right)^2 + p_2 \left(\frac{mb-m}{p_2} \right)^2 - mb^2$$

$$\sigma^2_B = \frac{m^2}{p_1} + \frac{(mb-m)^2}{p_2} - mb^2$$

$$\sigma^2_B = \frac{m^2}{p_1} + \frac{m^2b + m^2 - 2mb \cdot m}{p_2} - mb^2$$

$$\sigma^2_B = \frac{p_2 \cdot m^2 + p_1 m^2 b + p_1 m^2 - 2 p_1 mb \cdot m - m^2 b^2}{p_1 \cdot p_2}$$

$$\sigma^2_B = \frac{(1-p_1)m^2 + p_1 m^2 b + p_1 m^2 - 2 p_1 mb \cdot m - m^2 b^2 (p_1)(1-p_1)}{p_1(1-p_1)}$$

$$= \frac{m^2 - p_1 m^2 + p_1 m^2 b + p_1 m^2 - 2 p_1 mb \cdot m - p_1 m^2 b^2 + p_1^2 m^2 b^2}{p_1(1-p_1)}$$

$$= \frac{p_1^2 m^2 b^2 - 2 p_1 mb \cdot m + m^2}{p_1(1-p_1)}$$

$$\therefore \sigma^2_B = \frac{(p_1 mb - m)^2}{p_1(1-p_1)}$$

Also,

$$\sigma_B^2 = P_1 P_2 (m_1 - m_2)^2$$

11,

Further both means are, max will be between-class variance.

So, we want to maximize it.

$$\therefore \eta = \frac{\sigma_B^2}{\sigma^2_G} \Rightarrow \text{constant}$$

η & σ_B^2 . ($\because \eta$ is also a parameter).

Objective:- Find a value of k , such that σ_B^2 or η is maximized.

$$\eta(k) = \frac{\sigma_B^2(k)}{\sigma^2_G}$$

$$\sigma_B^2(k) = \frac{(m_0 P_1(k) - m(k))^2}{[P_1(k)(1 - P_1(k))]}$$

\therefore optimal threshold k^*

$$\sigma_B^2(k^*) = \max_{0 \leq k \leq L-1} \sigma_B^2(k)$$

(12)

To find k^* , we iterate over all values of k . (such that $0 < P_1(k) < 1$) and select value of k which gives max $\sigma_B^2(k)$

If ~~any~~ $\sigma_B^2(k)$ is same (max) for many k 's, we need to average for all values of k for which $\sigma_B^2(k)$ is maximum.

Segmentation \rightarrow

$$g(x, y) = \begin{cases} 1, & \text{if } f(x, y) > k^* \\ 0, & \text{if } f(x, y) \leq k^* \end{cases}$$

Additional :-

Formula for b/w class variance is.

$$\sigma_B^2 = \frac{1}{N} \sum_{i=1}^N (x_i - \bar{x}_{GM})^2$$

$$SS(B) = \sum n (\bar{x} - \bar{x}_{GM})^2$$

$SS(B)$:- sum of squares b/w group.

\bar{x} :- sample mean of each group

\bar{x}_{GM} :- group mean.

$$(\bar{x} - \bar{x}_{GM})^2 = (\bar{x}_{GM} - \bar{x})^2$$

\therefore Groups in our case are below 11 and above it.

$$\therefore \sigma_B^2 = \sum P_i (m_i - m_G)^2$$

$$\sigma_B^2 = P_1 (m_1 - m_G)^2 + P_2 (m_2 - m_G)^2$$