

# PHYSICS 141A – Problem Set 4

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## Exercise 1 - Simon 9.4

We have defined our vibrational nodes as

$$\delta x_n = A e^{i\omega t} e^{-ikna} \quad (1)$$

while we have the dispersion relation

$$\omega(k) = \sqrt{\frac{\kappa}{m}} \left| \sin\left(\frac{ka}{2}\right) \right| = \omega_{max} \left| \sin\left(\frac{ka}{2}\right) \right|$$

We observe that if we set  $\omega$  larger than  $\omega_{max}$ , we will obtain a complex  $k$ , as  $\sin$  doesn't output values larger than 1 for real arguments. Let us set  $\omega = \sigma \omega_{max}$ ,  $\sigma > 1$ , such that

$$\sigma = \frac{\omega}{\omega_{max}} = \left| \sin\left(\frac{ka}{2}\right) \right| \quad (2)$$

Now, considering a complex  $k = k_r + ik_c$ , we can write equation 1 as

$$\delta x_n = A e^{i\omega t} e^{-ik_r na} e^{k_c na}$$

The last term, containing  $k_c$ , will either blow up as for large  $n$ , if  $k_c > 0$ , or disappear for large  $n$ , if  $k_c < 0$ .

We can write this as a decay-relation

$$\delta x_n = C(t, k) e^{-qa}, \quad q = -k_c a$$

where  $C(t, k)$  is some harmonic planewave solution.

Writing out 2 using the  $\sin(x) = \frac{i}{2}(e^{-ix} - e^{ix})$ , we get

$$\sigma = \sin\left(\frac{ka}{2}\right) = \frac{i}{2} (e^{-ika/2} - e^{ika/2})$$

Multiplying each side by  $2ie^{ika/2}$ , we get

$$2i\sigma e^{ika/2} = e^{ika} - 1$$

which can be written as

$$x^2 - 2i\sigma x - 1 = 0, \quad x = e^{ika/2}$$

which is a 2nd degree polynomial eqn with solutions

$$e^{ika/2} = x = \sigma i \pm \sqrt{1 - \sigma^2}$$

Now, we have defined that  $\sigma > 1$ , such that  $\sigma^2 > 1$ , and we can define  $\sqrt{1 - \sigma^2} = \gamma i$ , where  $\gamma$  must be real. This gives

$$e^{ika/2} = \sigma i \pm \gamma i$$

$$\frac{ika}{2} = \ln(\sigma i \pm \gamma i) = \ln(i) + \ln(\sigma \pm \gamma) = \frac{\pi}{2}i + \ln(\sigma \pm \gamma)$$

$$k = \frac{\pi}{a} + \frac{2}{a}i \ln(\sigma \pm \gamma) = k_r + ik_c$$

where we have that  $k_c = \ln(\sigma \pm \gamma)$ , as we know both  $\sigma$  and  $\gamma$  must be real. Inserting gives

$$k_c = \ln\left(\sigma \pm \frac{\sqrt{1-\sigma^2}}{i}\right)$$

which is real. Remember that  $\sigma = \omega/\omega_{max}$ .

## Exercise 2 - Simon 9.6

Given the mode

$$\delta x_n = A e^{i\omega t} e^{q|n|a} \quad (3)$$

We have the double time derivative:

$$\delta \ddot{x}_n = A \omega^2 e^{i\omega t} e^{q|n|a}$$

From Simon eqn (9.1), we have Newtons equation of motion written out for neighboring nodes:

$$m \delta \ddot{x}_n = \kappa (\delta x_{n+1} + \delta x_{n-1} - 2\delta x_n)$$

Inserting for equation 3 on the left hand side, and the derivative on the right, we get

$$\begin{aligned} A m \omega^2 e^{i\omega t} e^{q|n|a} &= \kappa A e^{i\omega t} \left[ e^{q|n+1|a} + e^{q|n-1|a} - 2e^{q|n|a} \right] \\ \omega^2 m &= \kappa \left[ e^{qa(|n+1|-|n|)} + e^{qa(|n-1|-|n|)} - 2e^{qa(|n|-|n|)} \right] \end{aligned}$$

Now, for  $n \geq 1$ , we have  $|n| = n$ ,  $|n-1| = n-1$  and  $|n+1| = n+1$ , giving

$$\omega^2 m = \kappa [e^{qa} + e^{-qa} - 2], \quad n \geq 1$$

For  $n \leq -1$ , we have  $|n| = -n$ ,  $|n-1| = -n+1$ , and  $|n+1| = -n-1$ , giving

$$\omega^2 m = \kappa [e^{-qa} + e^{qa} - 2], \quad n \leq -1$$

which turns out to be the same thing. At  $n = 0$ , we end up getting the same story. This could also have been seen due to symetry, as swithcing  $n$ -direction only switches  $|n-1|$  and  $|n+1|$ , leaving the same expression.

We regocnize this as

$$m\omega^2 = 2\kappa[1 - \cos(qa)]$$

which we regocnize from Simon, with  $q = k$ . This has frequencies

$$\omega = \sqrt{\frac{\kappa}{m}} \left| \sin\left(\frac{qa}{2}\right) \right|$$

In 9.4, we solved for  $k$ , given  $\omega > \omega_{max}$ , allowing for a complex  $k$ . Since  $q$  is supposed to be complex, we apply this solution, giving

$$q = \frac{\pi}{a} + \frac{2}{a} i \ln\left(\frac{\omega}{\omega_{max}} \pm \frac{\sqrt{1 - \omega^2/\omega_{max}^2}}{i}\right)$$

We know from 9.4 that these kind of waves fall off for  $\omega > \omega_{max}$ , which is kindoff what we would expect from the waves of the impurity, if they are not in ressonanse with the frequencies of the other material, and has a higher frequency.