## Text generation

## Agenda

- Generation problems
- N-gram generation model
- Recurrent Neural Networks

#### What generation is used for?

Word auto-completion

Quick red fox jumps

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Phrase auto-completion

Why do birds fly sing fly in a wedge

## What generation is used for?

Word auto-completion

Quick red fox jumps

Phrase auto-completion

Why do birds fly sing fly in a wedge

**Dialog systems** 

- What is the weather in Moscow?

It's 15 degrees Celsius in Moscow -

The text must meet the following requirements:

- Logical coherence
- Compliance with language norms

We can try to set the rules manually. But there are too many rules, so nothing good will come of it.

We will learn to mimic human speech

To do this, we will learn to evaluate the probability of texts

p(Quick brown fox jumps) p(Cat sits on a mat)

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```
p(\text{Quick brown fox jumps}) \quad V \quad p(\text{Cat sits on a mat}) \\ \frac{1}{|\text{dataset}|}
```

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To do this, we will learn to evaluate the probability of texts

```
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```

It is impossible to work with text as a whole!

Let's divide the text into words

x – text with m words.

We will train a model to estimate the probability of a set of words.

$$p(x) = p(x_1, \dots, x_m)$$

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$$p(x) = p(x_1, ..., x_m)$$

Replace the joint probability with the product of conditional probabilities

$$p(x_1, ..., x_m) = p(x_1) \cdot p(x_2 | x_1) \cdot p(x_3 | x_1, x_2) \cdot ... \cdot p(x_m | x_1, ..., x_{m-1}) = \prod_{i=1}^{m} p(x_i | x_{i-1})$$

$$p(x_1, ..., x_m) = \prod_{i=1}^m p(x_i | x_{< i})$$

It is enough to train the model to estimate the probability  $p(x_i | x_{< i})$ 

$$p(x_1, ..., x_m) = \prod_{i=1}^m p(x_i | x_{< i})$$

It is enough to train the model to estimate the probability  $p(x_i \mid x_{< i})$ 

It is still difficult because you have to take into account all the previous words

Let's simplify the task - we'll only look at the previous *n* words.

$$p(x_1, ..., x_m) \approx \prod_{i=1}^m p(x_i | x_{i-1}, ..., x_{i-n})$$

This model is called <u>n-gram</u>

#### N-gram generation model

We assume that the next word depends only on the previous ones

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## N-gram generation model

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$$p(x_1, ..., x_m) \approx \prod_{i=1}^m p(x_i | x_{i-1}, ..., x_{i-n})$$

If a word has less than n of the previous ones, we fill in the gaps with the <PAD> token

```
p(Quick, brown, fox, jumps) = p(Quick | <PAD>, <PAD>) 
 <math>\cdot p(brown | <PAD>, Quick) 
 <math>\cdot p(fox | Quick, brown)  
 \cdot p(jumps | brown, fox)
```

## N-gram generation model: training

- We need to estimate the probability  $p(x_i | x_{i-1}, ..., x_{i-n})$ .
- Let's manually count how many times  $x_i$  occurred after  $x_{i-1}, \ldots, x_{i-n}$ .

$$p(x_i | x_{i-1}, ..., x_{i-n}) = \frac{p(x_i, x_{i-1}, ..., x_{i-n})}{p(x_{i-1}, ..., x_{i-n})} = \frac{N(x_i, x_{i-1}, ..., x_{i-n})}{N(x_{i-1}, ..., x_{i-n})}$$

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N(Brown, fox, jumps) = 2

N(Brown, fox, runs) = 5

N(Brown, fox, lies) = 1

N(Brown, fox) = 8

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$$N(Brown, fox, jumps) = 2$$

$$N(Brown, fox, runs) = 5$$

$$N(Brown, fox, lies) = 1$$

$$N(Brown, fox) = 8$$

$$p(\text{jumps} \mid \text{Brown, fox}) = \frac{2}{8}$$

$$p(runs | Brown, fox) = \frac{5}{8}$$

$$p(\text{lies} \mid \text{Brown, fox}) = \frac{1}{8}$$

```
p(Quick | <PAD> <PAD>) = 0.3
p(My | <PAD> <PAD>) = 0.2
p(When | <PAD> <PAD>) = 0.15
```

```
p(fox | <PAD> B) = 0.34
p(brown | <PAD> B) = 0.23
p(bird | <PAD> B) = 0.09
```

```
<PAD> <PAD> Quick brown _
```

```
p(cat | B лесу) = 0.4
p(fox | B лесу) = 0.23
p(dog | B лесу) = 0.09
```

Generate words one by one according to probabilities.

<PAD> <PAD> Quick brown fox

## Advantages of n-gram model

- Texts consist of existing n-grams
- Therefore, the sentences are grammatically correct
- The model is easy to implement and very fast.

#### Disadvantages of n-gram model

- When generating, it looks only at the last n words
- This results in logically incoherent texts
- As n increases, the probabilities of words are estimated worse
- Due to the large size of the dictionary, many n-grams are very rare

#### Disadvantages of n-gram model

- When generating, it looks only at the last n words
- This results in logically incoherent texts
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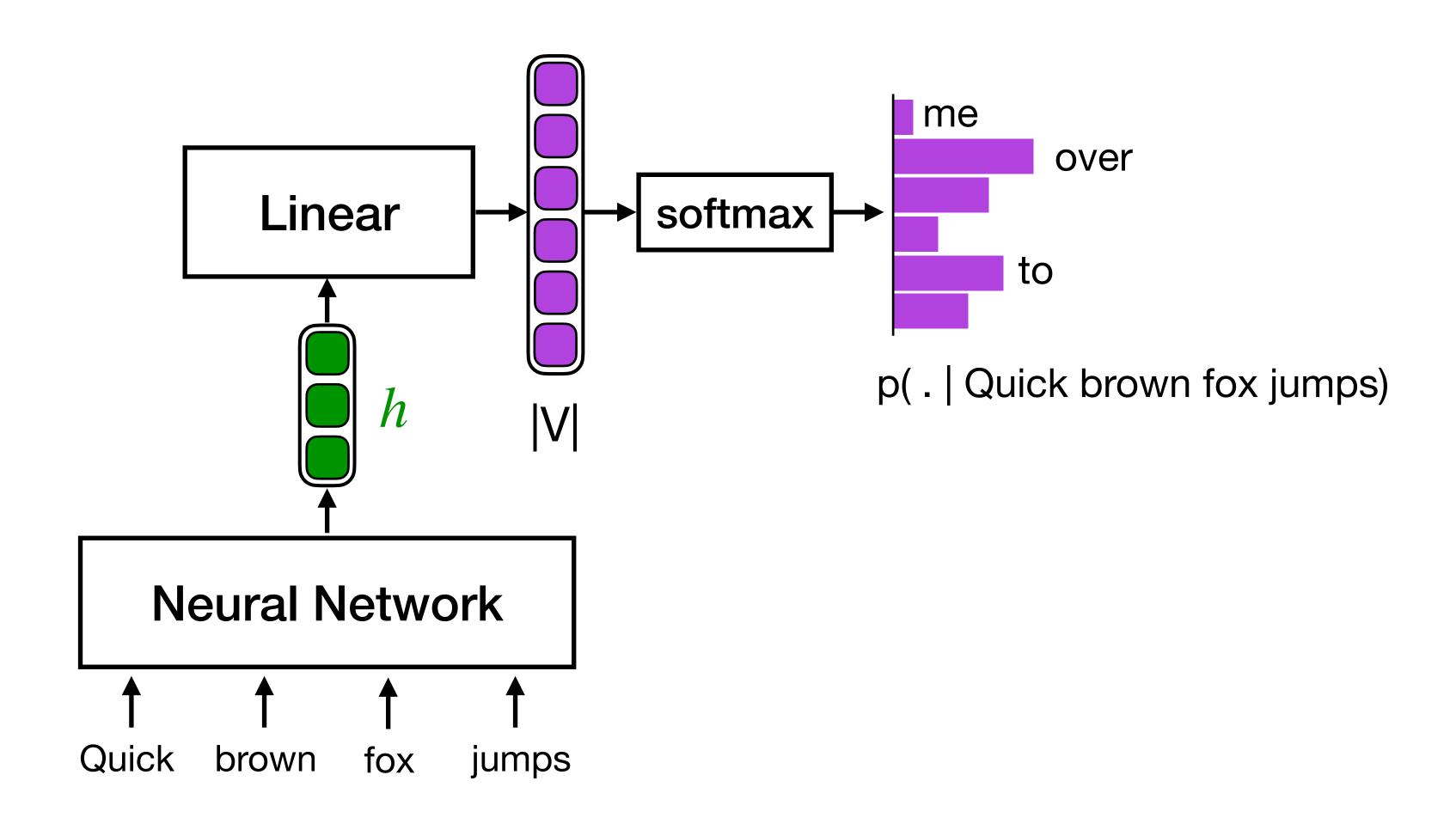
How to reduce the size of the dictionary?

## Dictionary size reduction

- Stop word removal
- Lemmatization
- Stemming
- Tokenization: using word parts instead of whole words

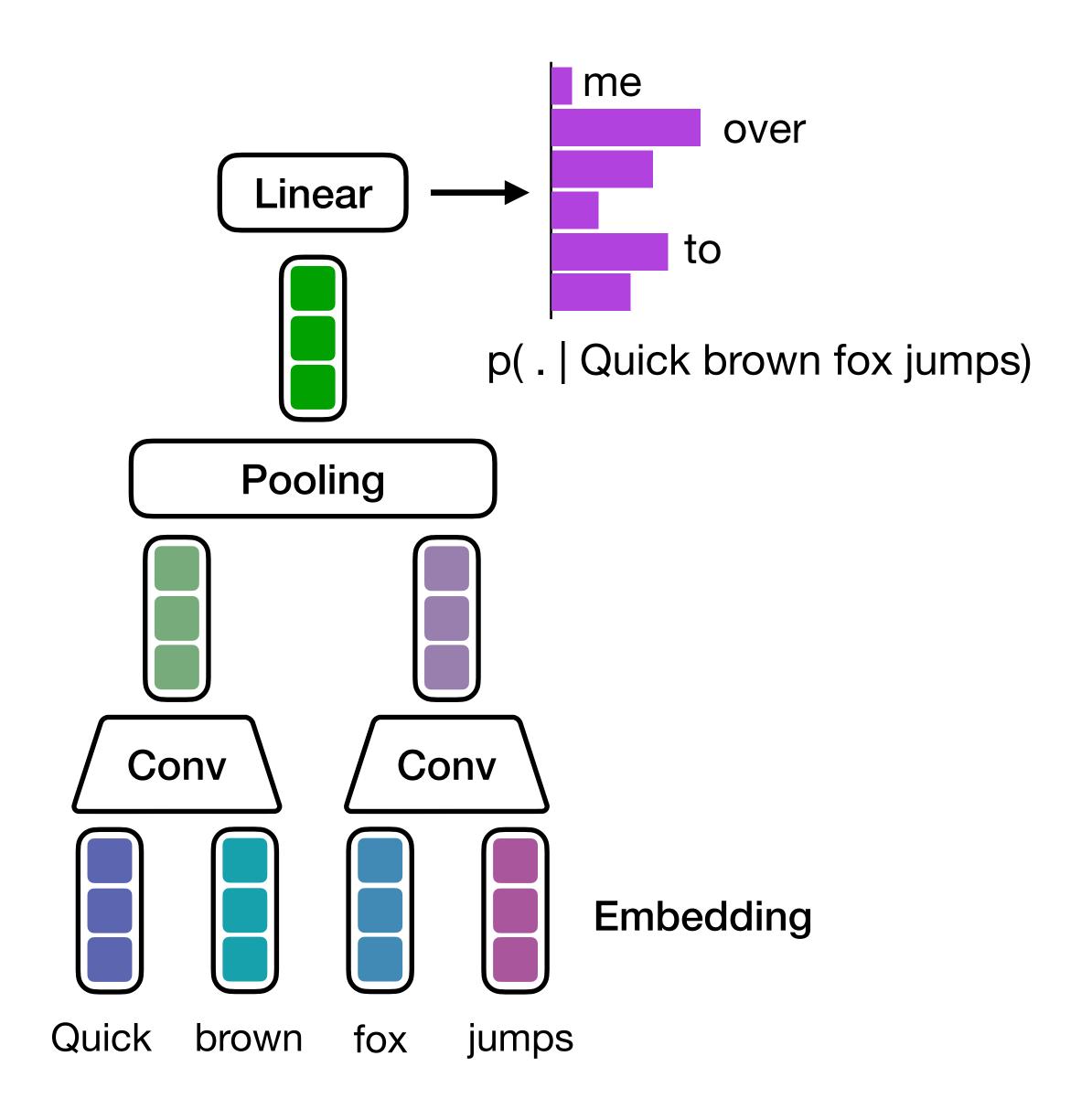
# Recurrent Neural Network (RNN)

#### Neural networks for text generation



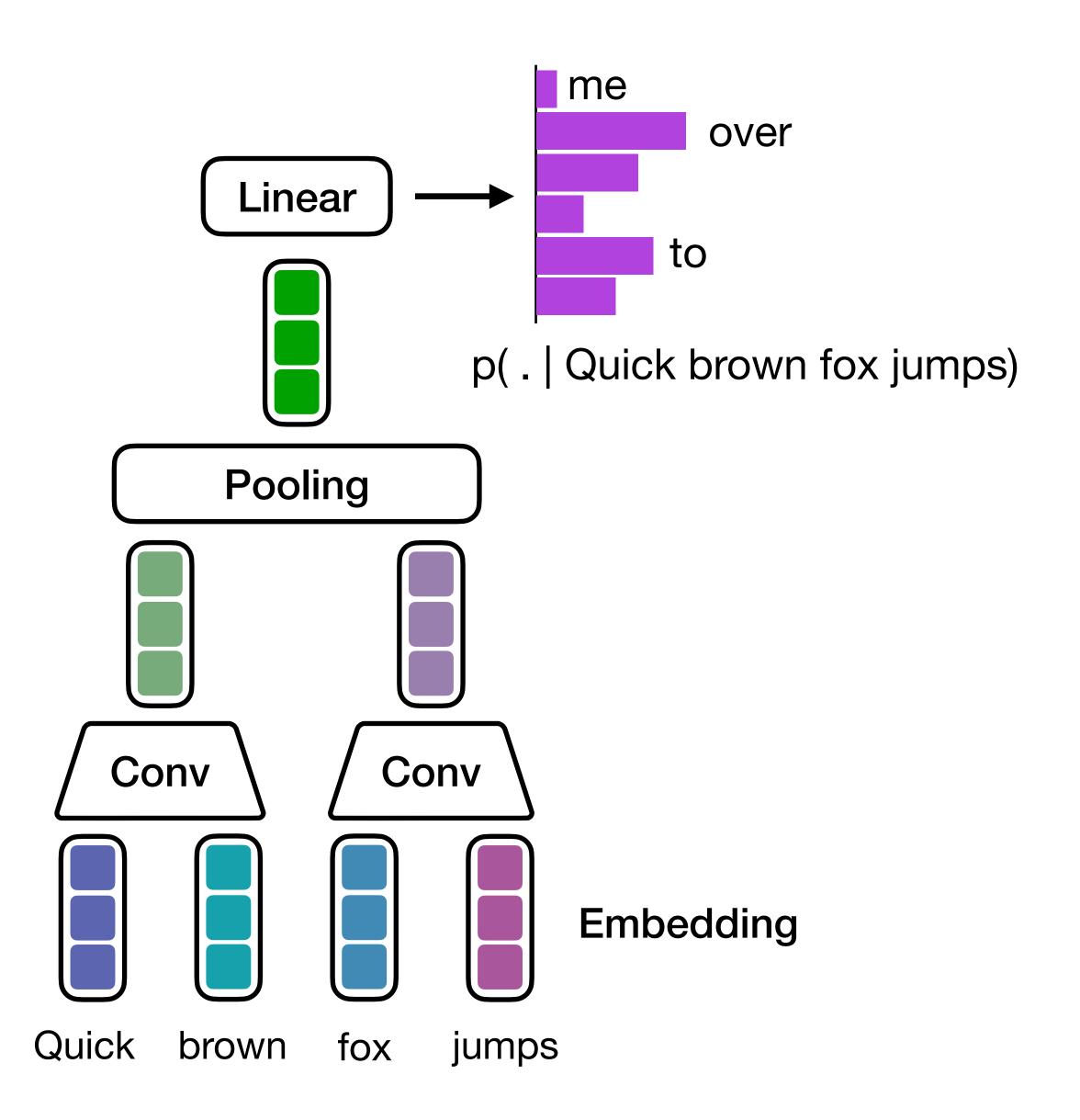
#### Convolutional neural networks

Can CNN be used for generation?



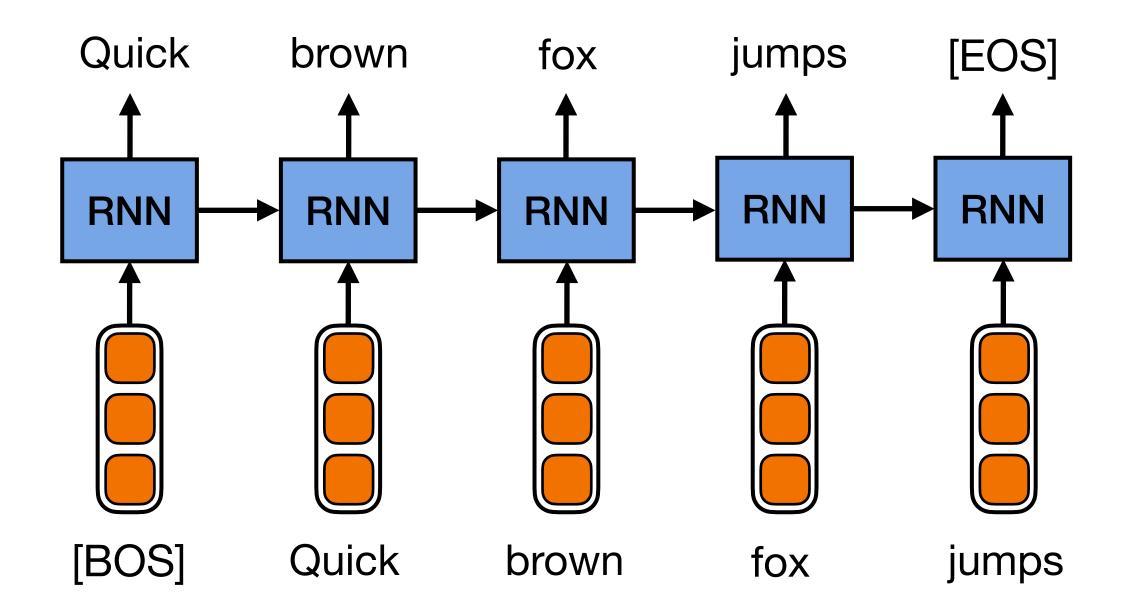
#### Convolutional neural networks

- Can CNN be used for generation?
- Yes, but should not
- Information will blur as text length increases
- Training and generation is not effective



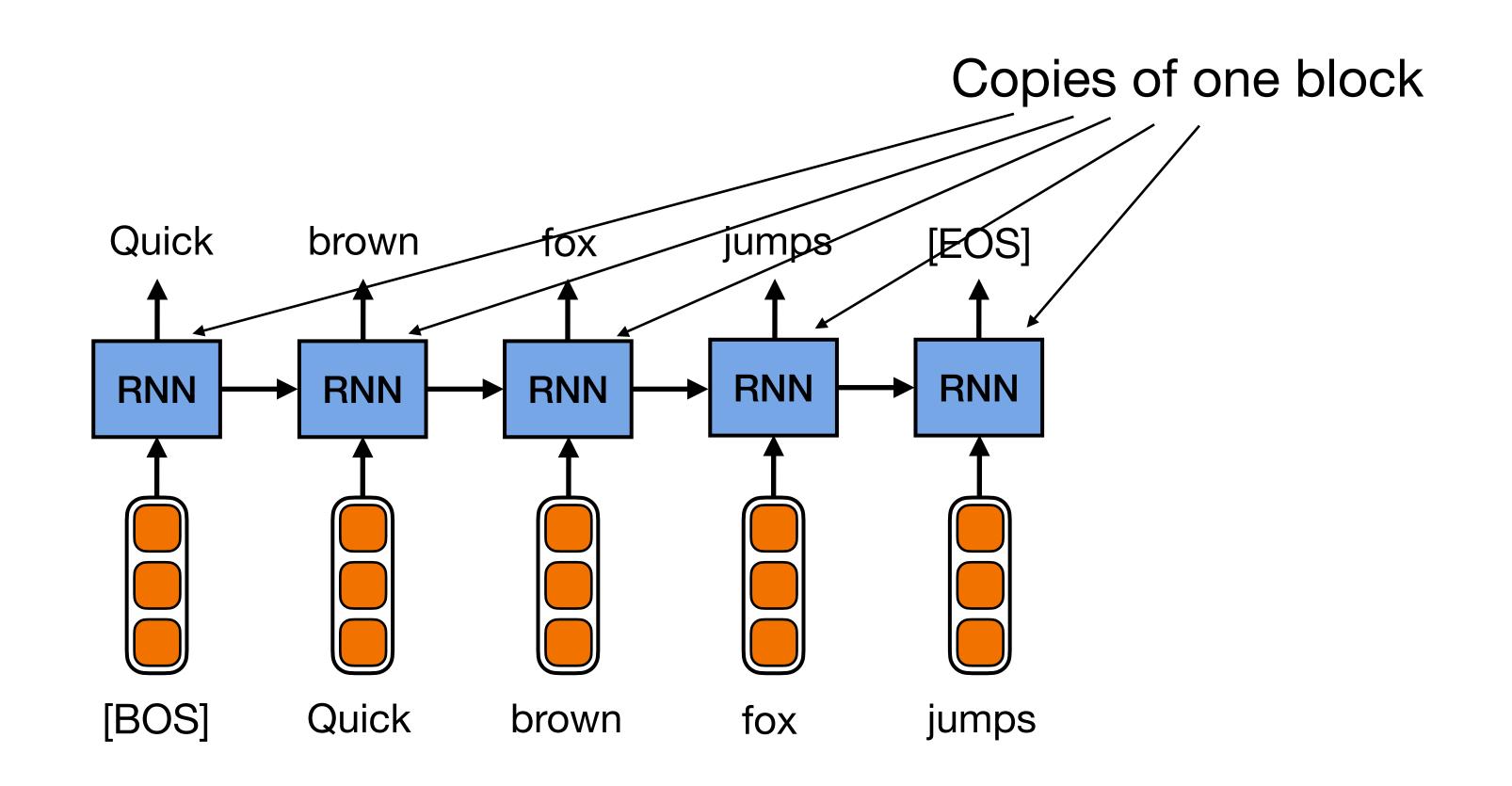
#### Recurrent neural networks (RNN)

- Designed to work with sequential data
- Each block predicts the next token



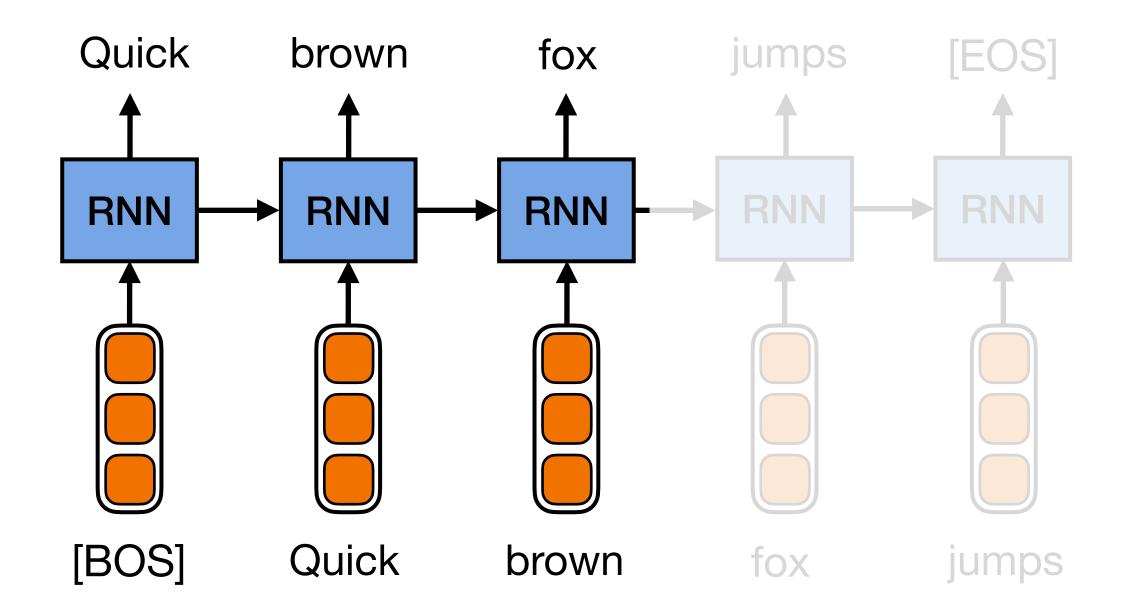
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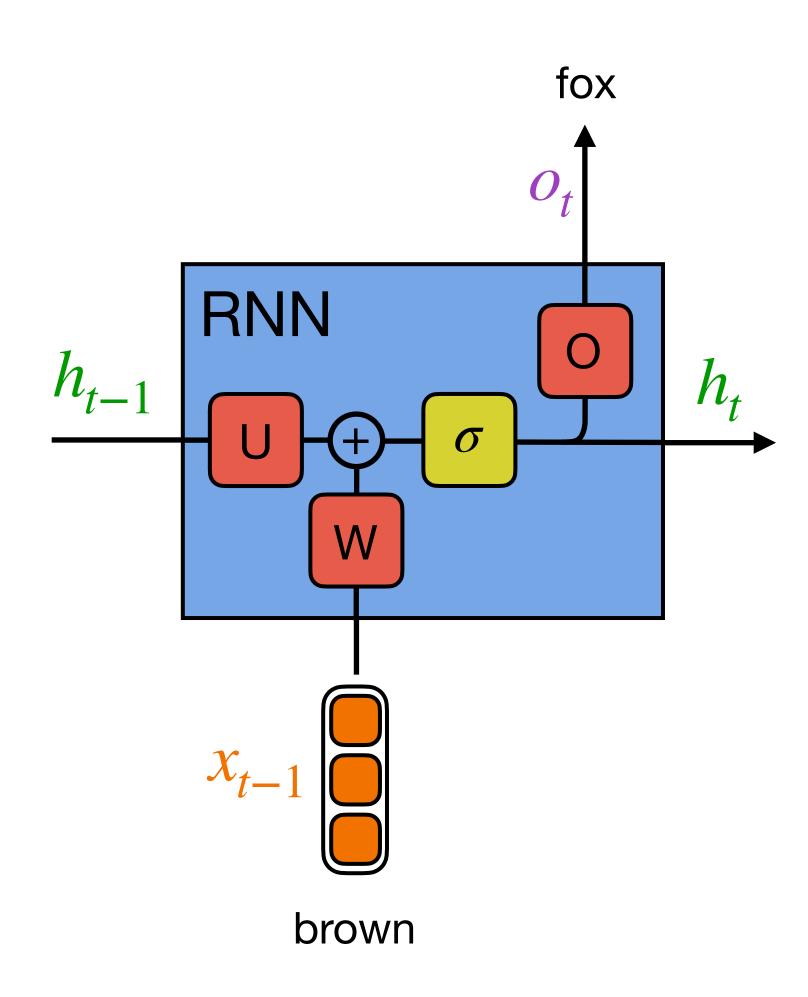


#### Recurrent neural networks (RNN)

- Designed to work with sequential data
- Each block predicts the next token
- The generation process is intuitive

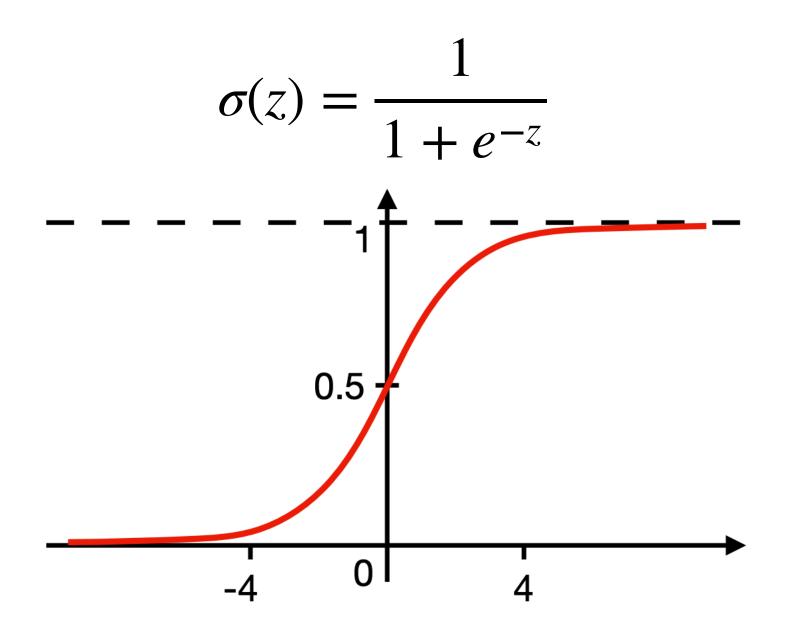


#### RNN block



$$h_t = \sigma(Wx_{t-1} + Uh_{t-1} + b_h)$$

$$o_t = Oh_t + b_o$$



## RNN: training

$$p(x_1, ..., x_m) = \prod_{t=1}^{m} p(x_t | x_{< t}) \to \max$$

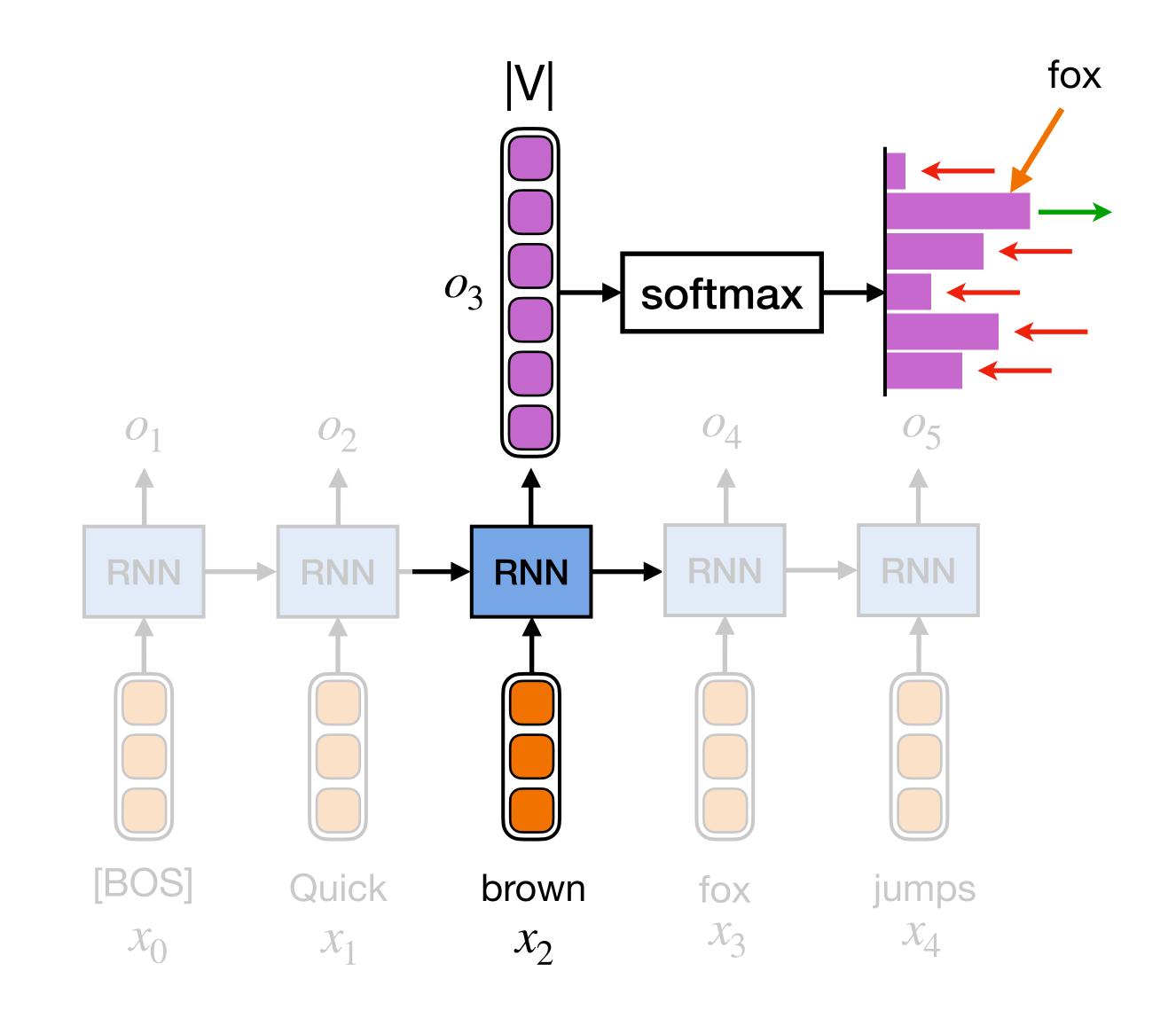
$$p(. | x_{< t}) = \operatorname{softmax}(o_t)$$

Add logarithm and negation to get the cross-entropy

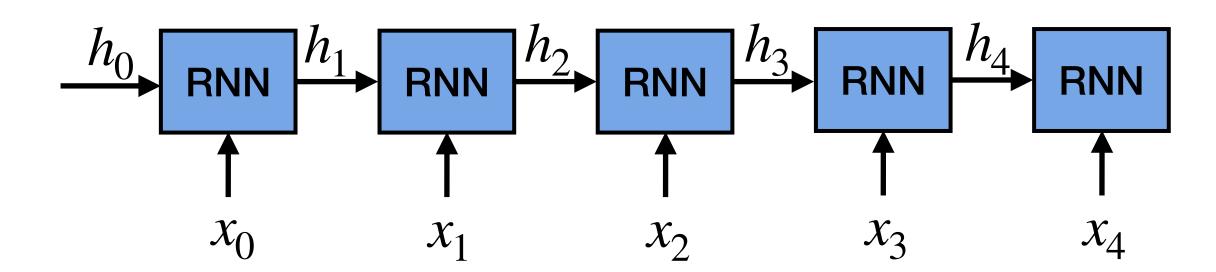
$$L(x) = -\sum_{t=1}^{m} \log p(x_t | x_{< t}) \to \min$$

Loss for the whole corpus

$$L(X) = -\frac{1}{|X|} \sum_{x \in X} \sum_{t=1}^{m} \log p(x_t | x_{< t}) \to \min$$

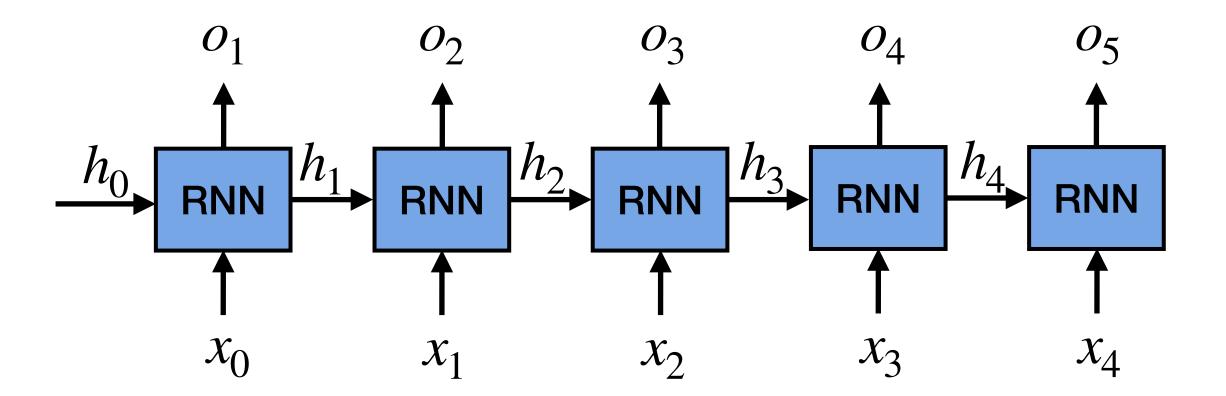


$$h_t = \sigma(Wx_t + Uh_{t-1} + b_h)$$



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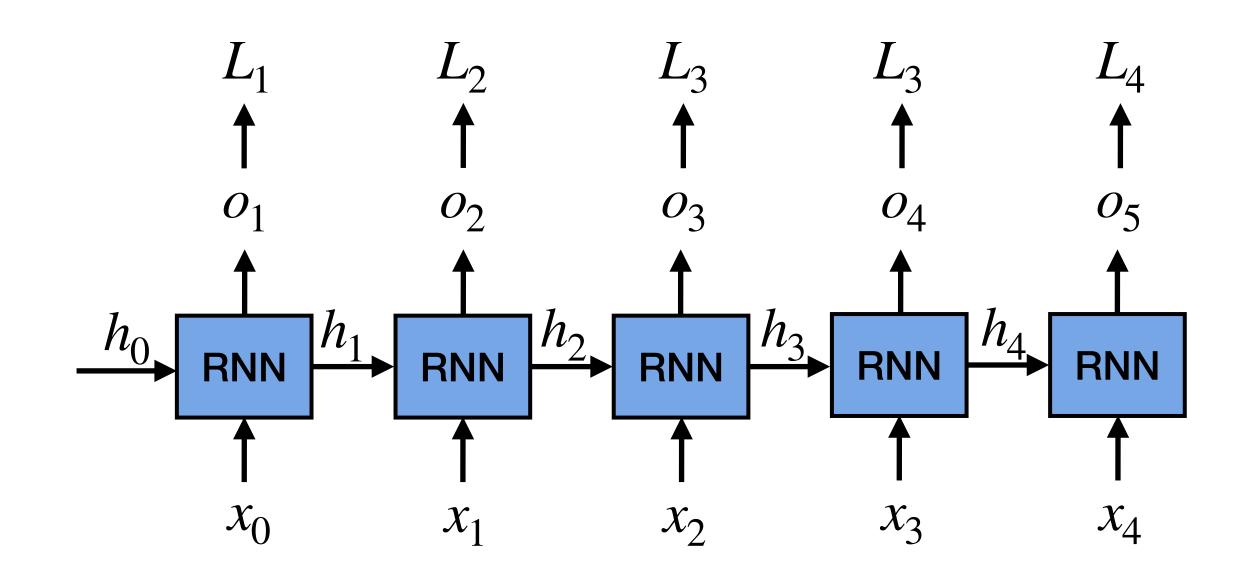
$$o_t = Oh_t + b_o$$



$$h_t = \sigma(Wx_t + Uh_{t-1} + b_h)$$

$$o_t = Oh_t + b_o$$

$$L_t = -\log p(x_t | x_{< t}) = -\log \operatorname{softmax}(o_t)_{x_t}$$

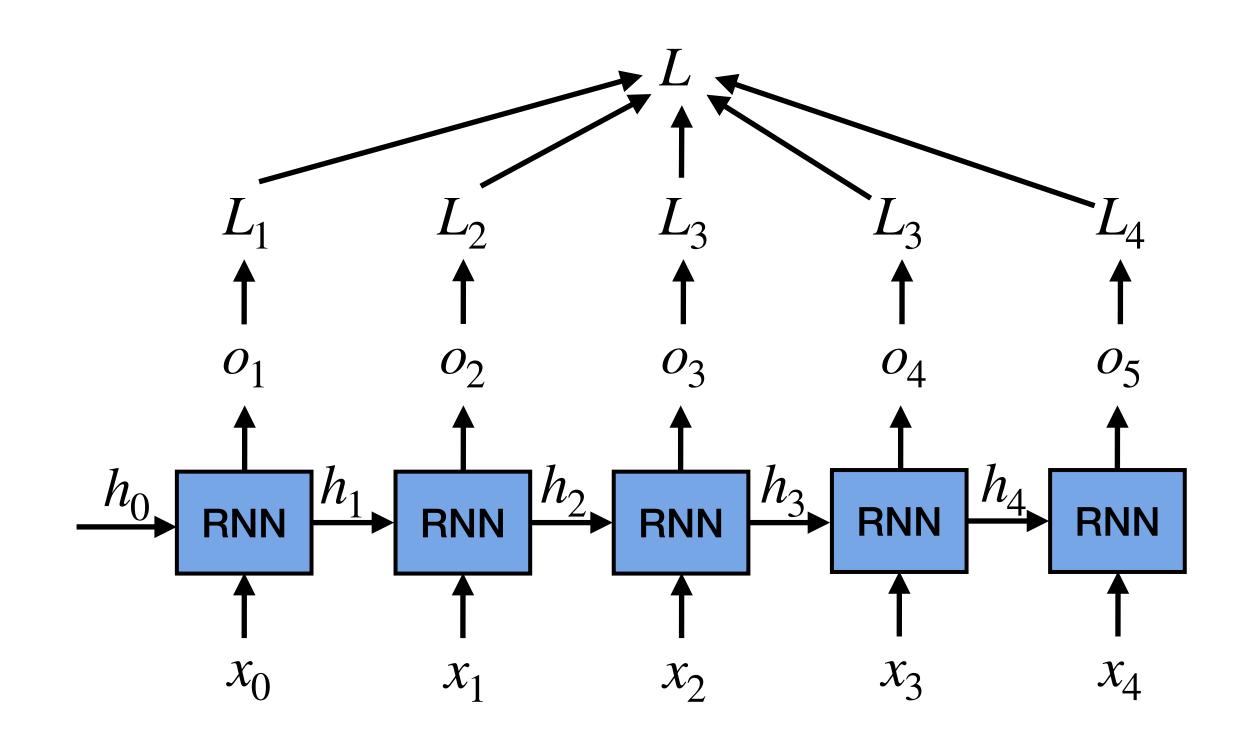


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$$L = \sum_{t=1}^{m} L_t$$

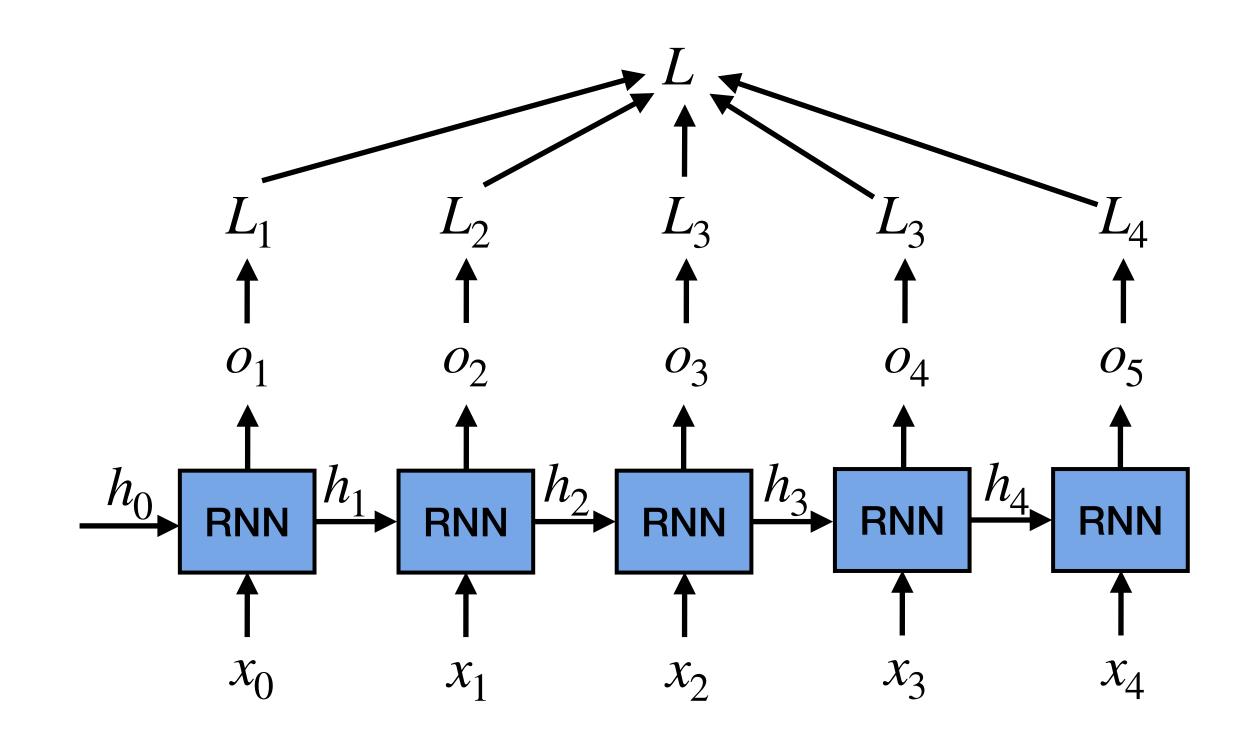


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Let's see how gradients behave. It's going to hurt a little.

$$h_t = \sigma(Wx_t + Uh_{t-1} + b_h)$$

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chain rule

$$\frac{dL}{dU} = \sum_{t=1}^{m} \frac{dL_t}{dU} = \sum_{t=1}^{m} \frac{dL_t}{do_t} \frac{do_t}{dh_t} \frac{dh_t}{dU}$$

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$$\frac{dh_t}{dU} = \frac{\partial h_t}{\partial U} + \frac{\partial h_t}{\partial h_{t-1}} \frac{dh_{t-1}}{dU}$$

Moving from derivatives to partial derivatives

$$h_t = \sigma(Wx_t + Uh_{t-1} + b_h)$$

$$o_t = Oh_t + b_o$$

$$L_t = -\log p(x_t | x_{< t}) = -\log \operatorname{softmax}(o_t)_{x_t}$$

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$$\frac{dh_t}{dU} = \frac{\partial h_t}{\partial U} + \frac{\partial h_t}{\partial h_{t-1}} \frac{dh_{t-1}}{dU} = \frac{\partial h_t}{\partial U} + \frac{\partial h_t}{\partial h_{t-1}} \left( \frac{\partial h_{t-1}}{\partial U} + \frac{\partial h_{t-1}}{\partial h_{t-2}} \frac{dh_{t-2}}{\partial U} \right)$$

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$$= \frac{\partial h_t}{\partial U} + \frac{\partial h_t}{\partial h_{t-1}} \left( \frac{\partial h_{t-1}}{\partial U} + \frac{\partial h_{t-1}}{\partial h_{t-2}} \frac{dh_{t-2}}{dU} \right) =$$

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$$h_t = \sigma(Wx_t + Uh_{t-1} + b_h)$$

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#### Gradient explosion

$$\frac{dL}{dU} = \sum_{t=1}^{m} \frac{dL_t}{do_t} \frac{do_t}{dh_t} \left[ \sum_{k=1}^{t} \left( \prod_{j=k+1}^{t} \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial U} \right]$$

Chain of derivatives multiplication

$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| > 1$$

- Gradient  $\frac{dL}{dU}$  explodes
- Model diverges, NaNs in weights

#### Gradient explosion

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Chain of derivatives multiplication

#### **Solutions:**

- Regularization
- Reducing learning rate
- Gradient clipping

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### Gradient explosion

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#### **Solutions:**

- Regularization
- Reducing learning rate

• Gradient clipping 1. 
$$g \leftarrow \min\left(1, \frac{\max norm}{\|g\|}\right) \cdot g$$
 Correct way

2. 
$$g \leftarrow \text{clip}(g, -C, C)$$
 Lazy way (changes gradient direction)

#### Gradient vanishing

$$\frac{dL}{dU} = \sum_{t=1}^{m} \frac{dL_t}{do_t} \frac{do_t}{dh_t} \left[ \sum_{k=1}^{t} \left( \prod_{j=k+1}^{t} \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial U} \right]$$

$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| < 1$$

- Gradient vanishes
- The model stops training
- The model does not capture distant dependencies!

### Gradient vanishing

$$\frac{dL}{dU} = \sum_{t=1}^{m} \frac{dL_t}{do_t} \frac{do_t}{dh_t} \left[ \sum_{k=1}^{t} \left( \prod_{j=k+1}^{t} \frac{\partial h_j}{\partial h_{j-1}} \right) \frac{\partial h_k}{\partial U} \right]$$

$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| < 1$$

- Gradient vanishes
- The model stops training
- The model does not capture distant dependencies!

Gradient decay is a common problem with RNNs.

It cannot be fixed with tricks.

$$h_{j} = \sigma(Wx_{j} + Uh_{j-1} + b_{h})$$

$$z_{j}$$

$$\frac{\partial h_j}{\partial h_{j-1}} = \frac{\partial \sigma(z_j)}{\partial h_{j-1}} = \frac{\partial \sigma(z_j)}{\partial z_j} \frac{\partial z_j}{\partial h_{j-1}}$$

$$h_{j} = \sigma(\underbrace{Wx_{j} + Uh_{j-1} + b_{h}})$$

$$z_{j}$$
Element-wise multiplication
$$\frac{\partial h_{j}}{\partial h_{j-1}} = \frac{\partial \sigma(z_{j})}{\partial h_{j-1}} = \frac{\partial \sigma(z_{j})}{\partial z_{j}} \frac{\partial z_{j}}{\partial h_{j-1}} = \left(\sigma(z_{j}) \odot (1 - \sigma(z_{j}))\right) U$$

$$h_{j} = \sigma(\underbrace{Wx_{j} + Uh_{j-1} + b_{h}})$$

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Element-wise multiplication
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Let's look at the spectral norm

$$\left\| \begin{array}{c} \partial h_j \\ \overline{\partial h_{j-1}} \end{array} \right\| \leq \left\| \underline{\sigma(z_j)} \odot (1 - \underline{\sigma(z_j)}) \right\| \cdot \|U\|$$

$$< 1$$

$$\mathsf{T. \ K. \ } \underline{\sigma(z)} \in [0,1]$$

$$h_{j} = \sigma(\underbrace{Wx_{j} + Uh_{j-1} + b_{h}})$$

$$z_{j}$$
Element-wise multiplication
$$\frac{\partial h_{j}}{\partial h_{j-1}} = \frac{\partial \sigma(z_{j})}{\partial h_{j-1}} = \frac{\partial \sigma(z_{j})}{\partial z_{j}} \frac{\partial z_{j}}{\partial h_{j-1}} = \left(\sigma(z_{j}) \odot (1 - \sigma(z_{j}))\right) U$$

Let's look at the spectral norm

$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| \leq \left\| \sigma(z_j) \odot (1 - \sigma(z_j)) \right\| \cdot \|U\|$$

$$< 1$$

$$\mathsf{T. \ K. \ } \sigma(z) \in [0,1]$$

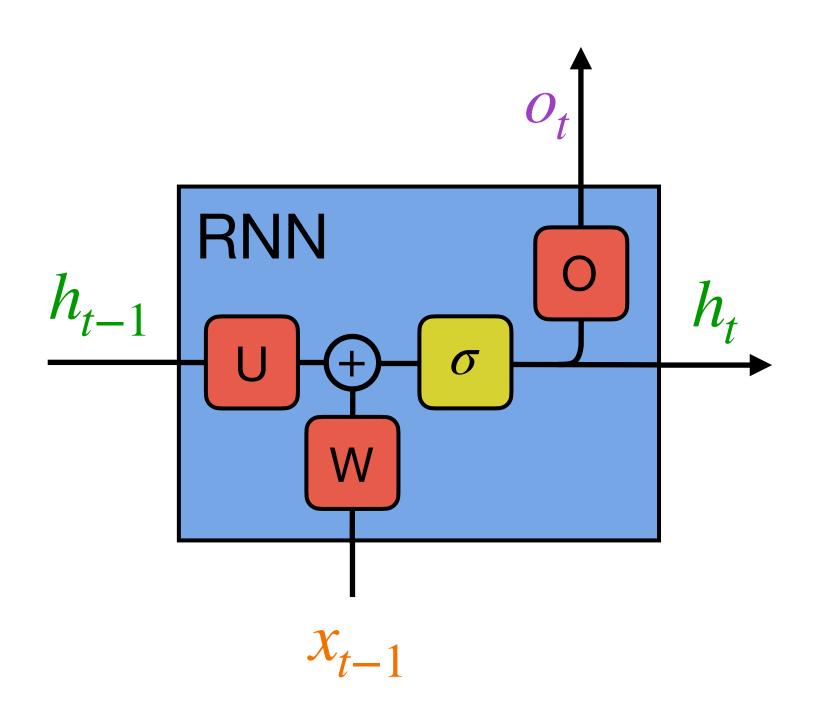
If U is orthogonal, then

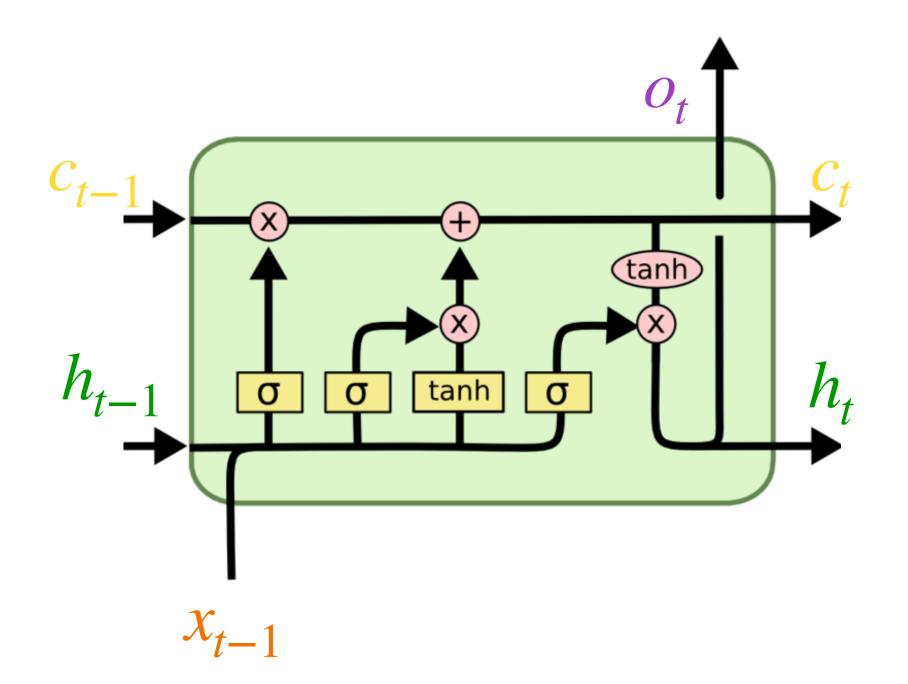
$$\left\| \frac{\partial h_j}{\partial h_{j-1}} \right\| \leq \|\sigma(z_j) \odot (1 - \sigma(z_j))\| < 1$$

#### Long shot-term memory (LSTM)

A memory cell  $C_{\ell}$  is added to LSTM

Thanks to it, the model does not forget old information.

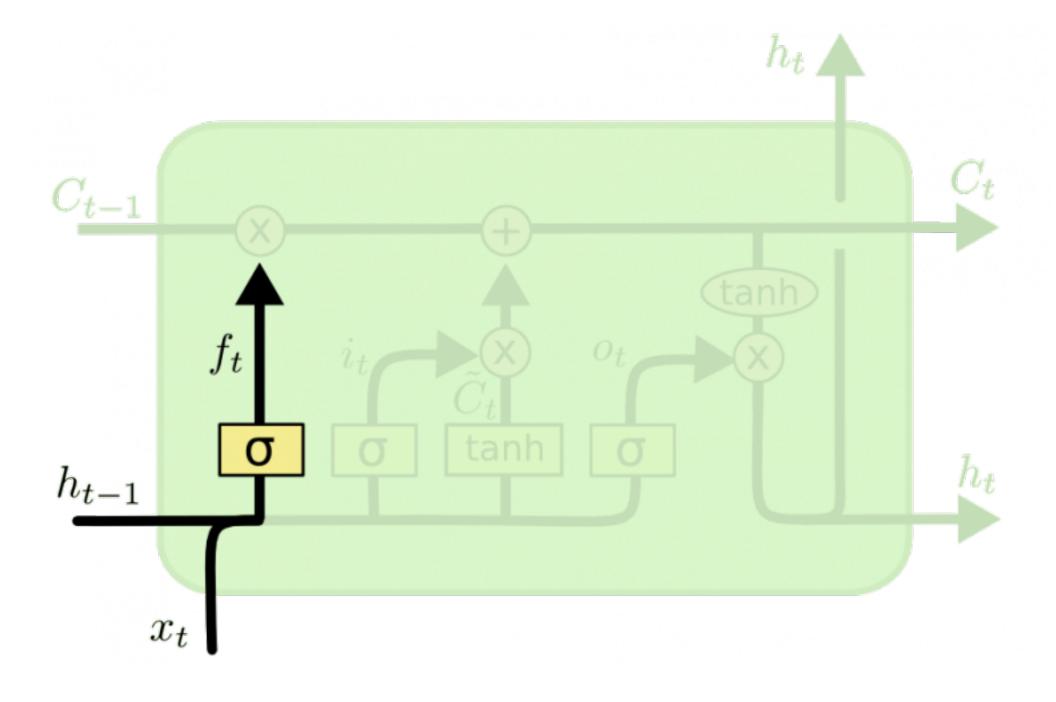




No activations are applied to  $C_{f}$ . Gradients don't vanish

# LSTM: forget gate

Controls what information should be forgotten and what should be left.

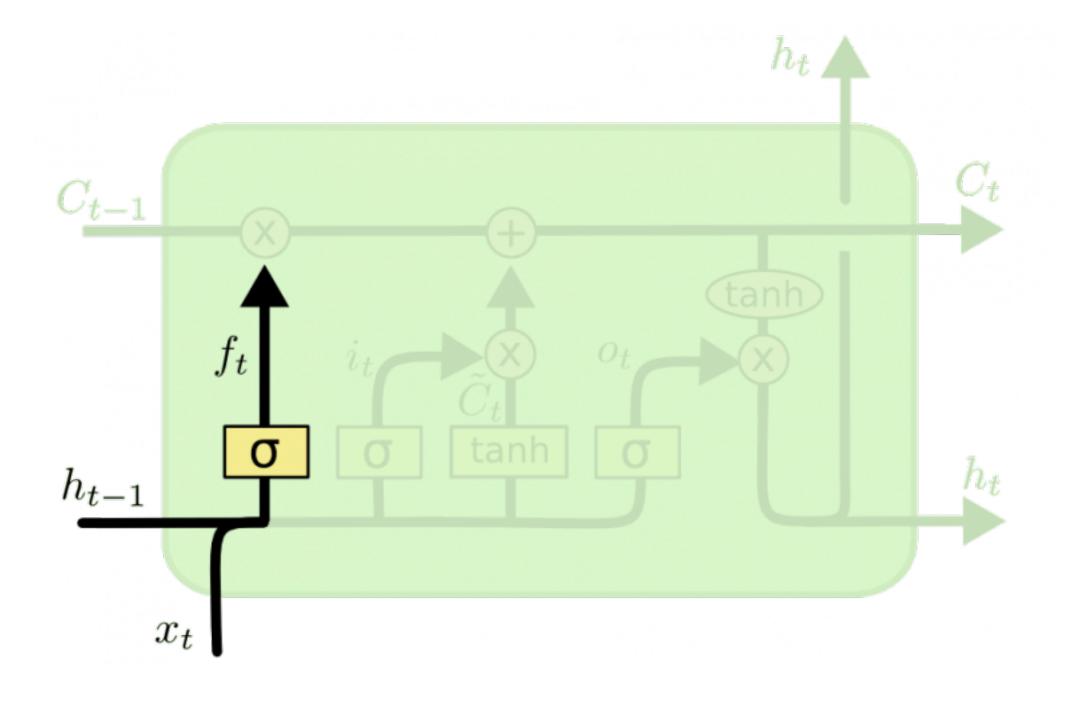


$$f_{t} = \sigma(W_{f}x_{t-1} + U_{f}h_{t-1} + b_{f})$$

$$f_{t} \in [0,1]$$

### LSTM: forget gate

Controls what information should be forgotten and what should be left.



$$f_{t} = \sigma(W_{f}x_{t-1} + U_{f}h_{t-1} + b_{f})$$

$$f_{t} \in [0,1]$$

The food was tasty and we were not disappointed.

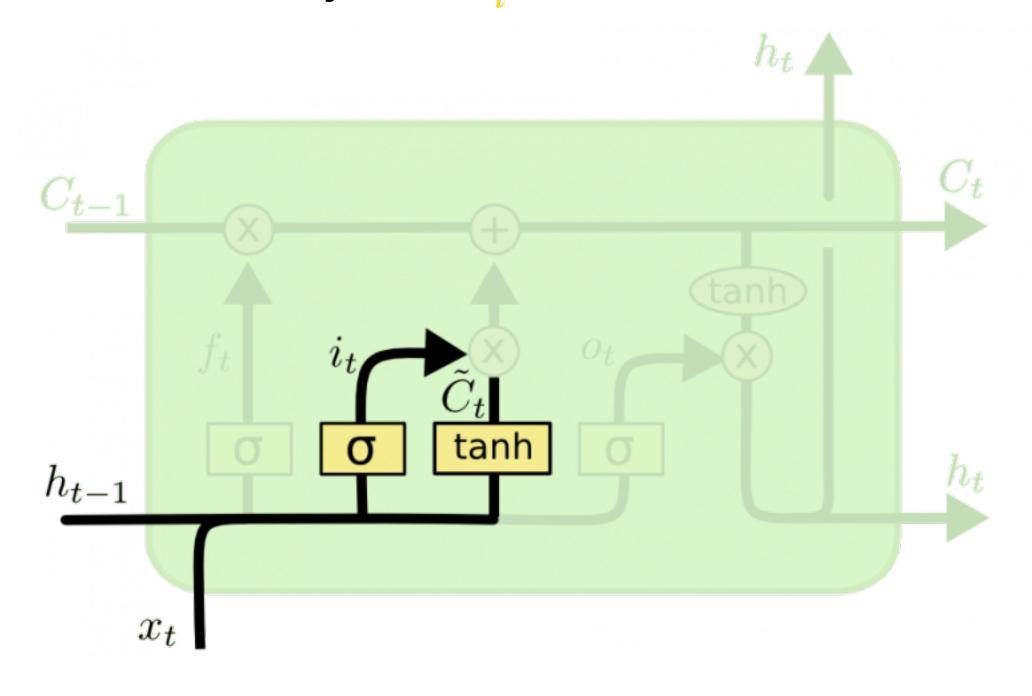
1

 $x_3$  – marker word, we can forget everything before it

 $x_7$  – негативный маркер, но до него идет "не". Знаем об этом из  $h_7$ .

### LSTM: input gate

Controls what information should be added to the memory cell  $C_t$ 



Because of  $i_t$  we can avoid adding

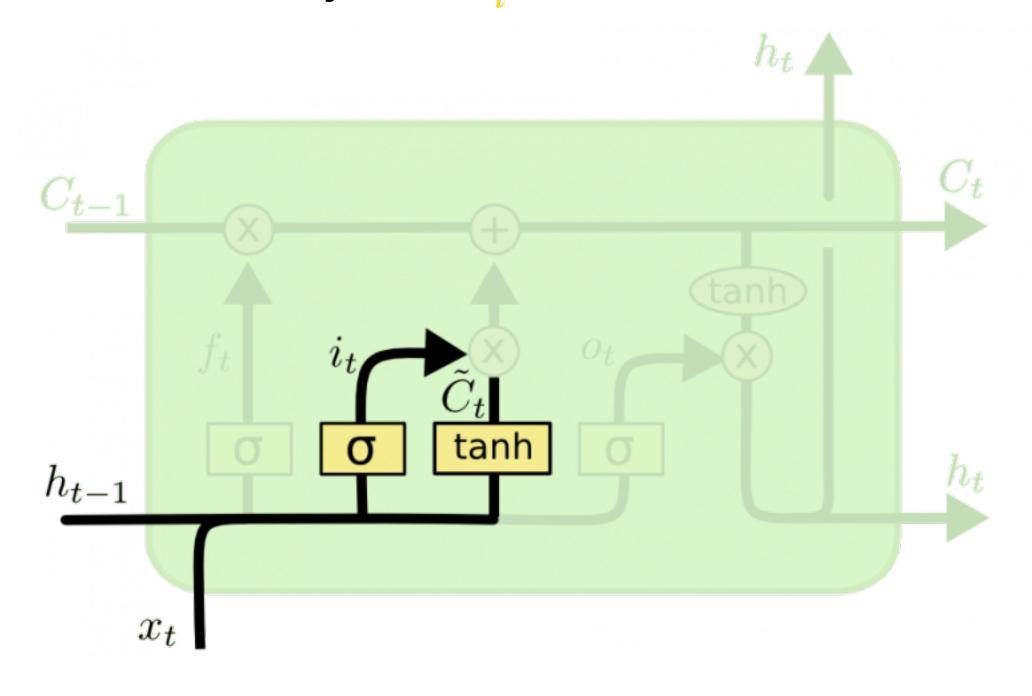
$$i_{t} = \sigma(W_{i}x_{t-1} + U_{i}h_{t-1} + b_{i})$$

$$i_{t} \in [0,1]$$

$$\tilde{c}_{t} = \tanh(W_{i}x_{t-1} + U_{i}h_{t-1} + b_{i})$$

#### LSTM: input gate

Controls what information should be added to the memory cell  $C_t$ 



Because of  $i_t$  we can avoid adding

$$i_t = \sigma(W_i x_{t-1} + U_i h_{t-1} + b_i)$$
  
 $i_t \in [0,1]$ 

 $\tilde{c}_t = \tanh(W_i x_{t-1} + U_i h_{t-1} + b_i)$ 

The food was tasty and we were not disappointed.

 $x_3$  – marker word. Remember that the

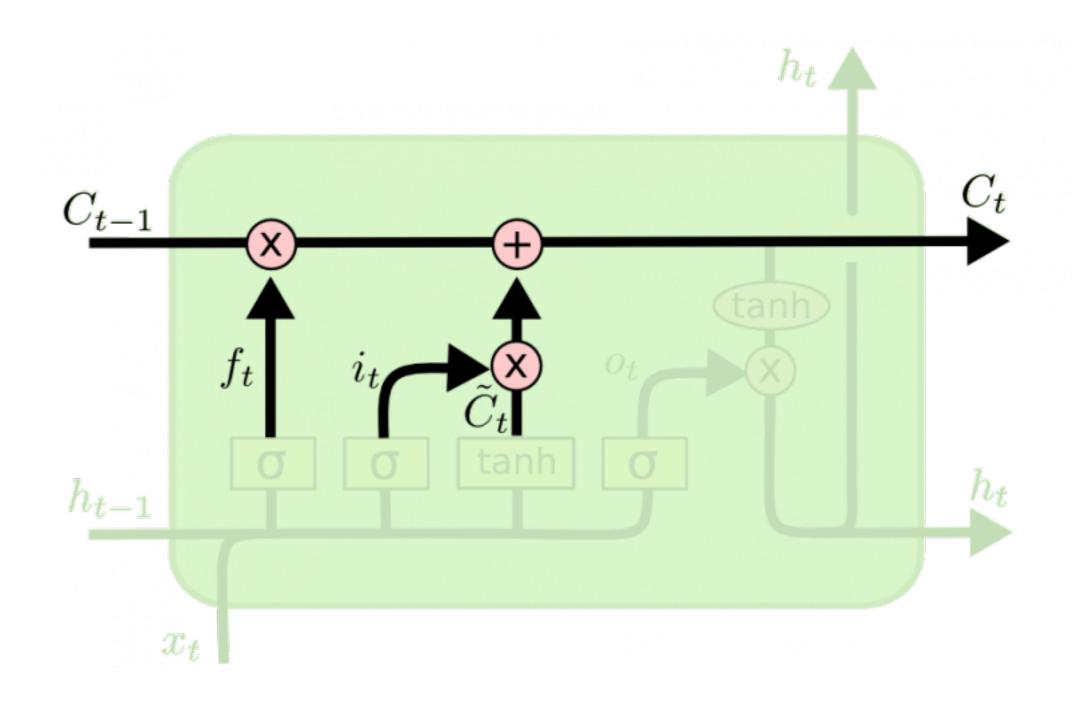
class is positive.

9

 $x_5$  – negative marker, but before it comes "not". We know about this from  $h_t$ 

### LSTM: memory update

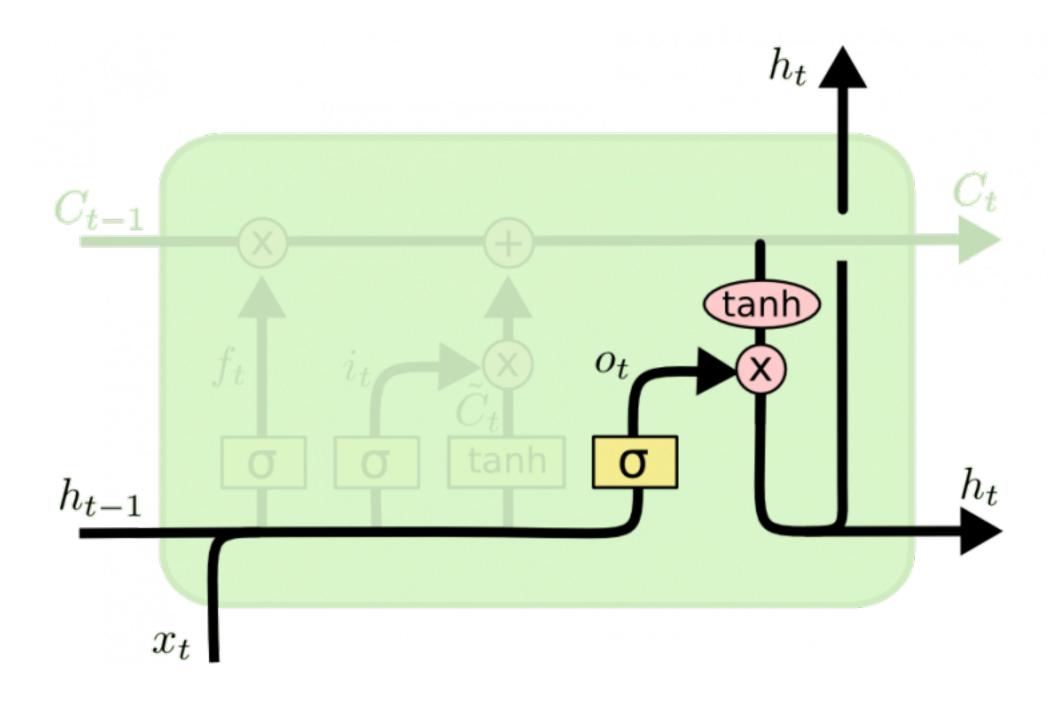
Remove unnecessary information and add new information.



$$c_t = f_t \odot c_{t-1} + i_t \odot \tilde{c}_t$$

# LSTM: output gate

Controls the output of the current step.

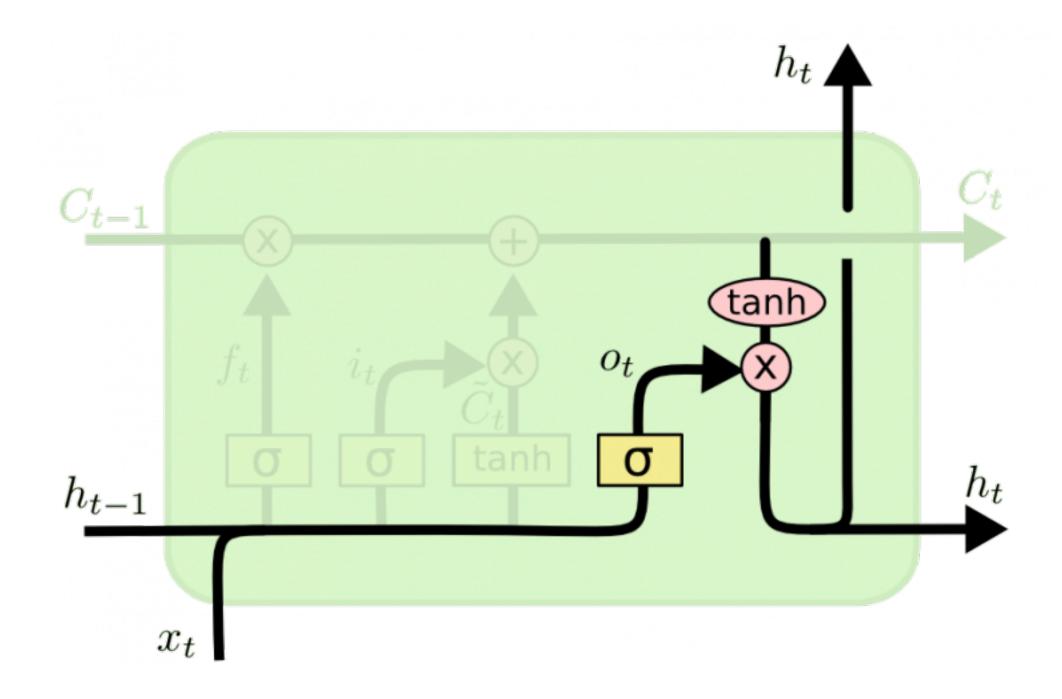


$$o_t = \sigma(W_t x_{t-1} + U_t h_{t-1} + b_t)$$

$$h_t = o_t \odot \tanh(c_t)$$

### LSTM: output gate

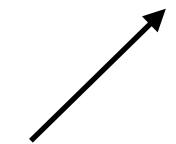
Controls the output of the current step.



$$o_t = \sigma(W_t x_{t-1} + U_t h_{t-1} + b_t)$$

$$h_t = o_t \odot \tanh(c_t)$$

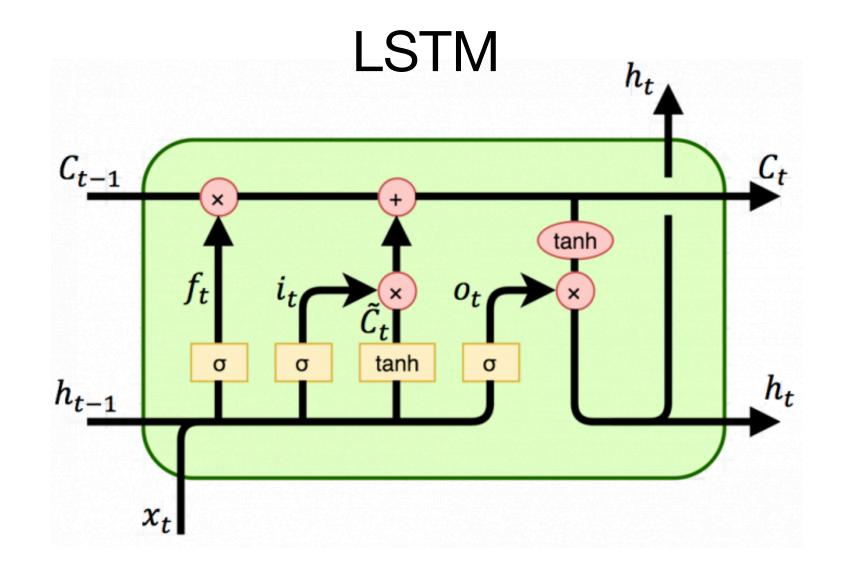
The teacher is teaching a lesson on recurrent models. \_

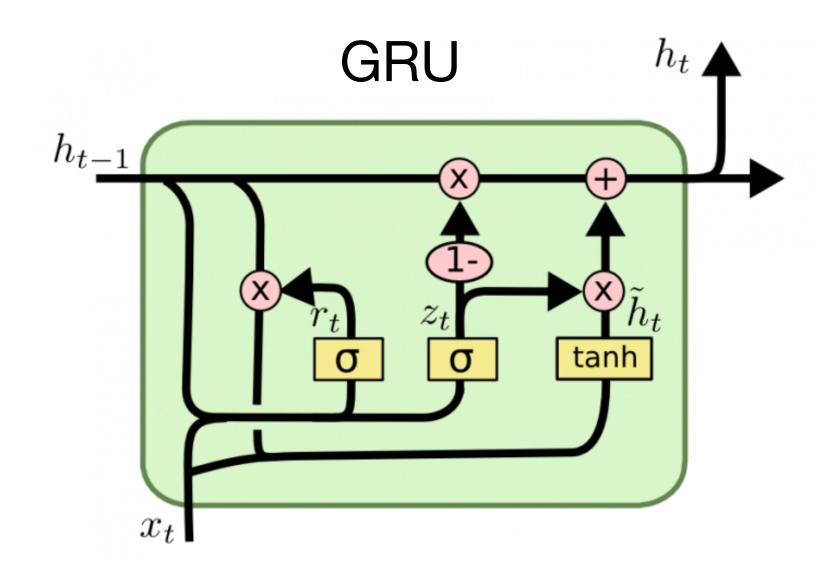


Beginning of a new sentence. We must remember that we are talking about a teacher and a lesson.

### Gated recurrent units (GRU)

The most successful variation of LSTM for reducing the number of parameters.

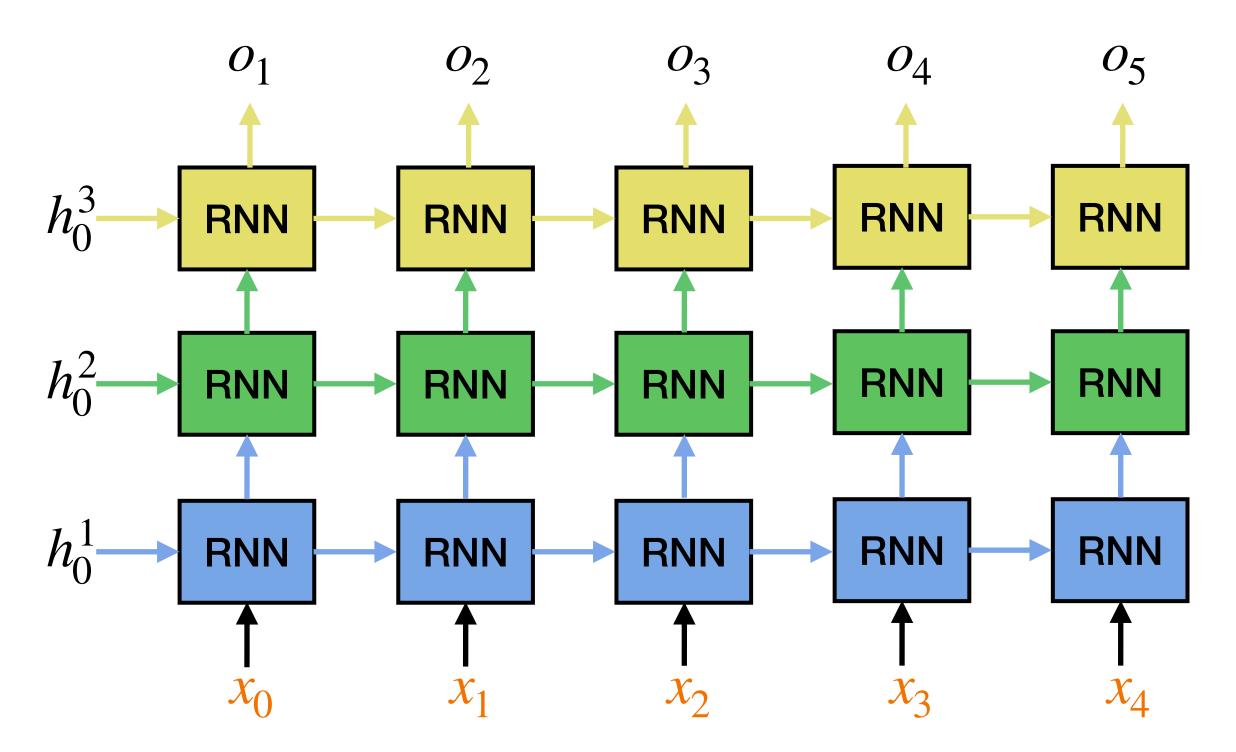




- Both models work well with remote dependencies.
- GRU has 3 layers, LSTM has 4.
- GRU trains better when corpus is limited

#### Multilayer recurrent networks

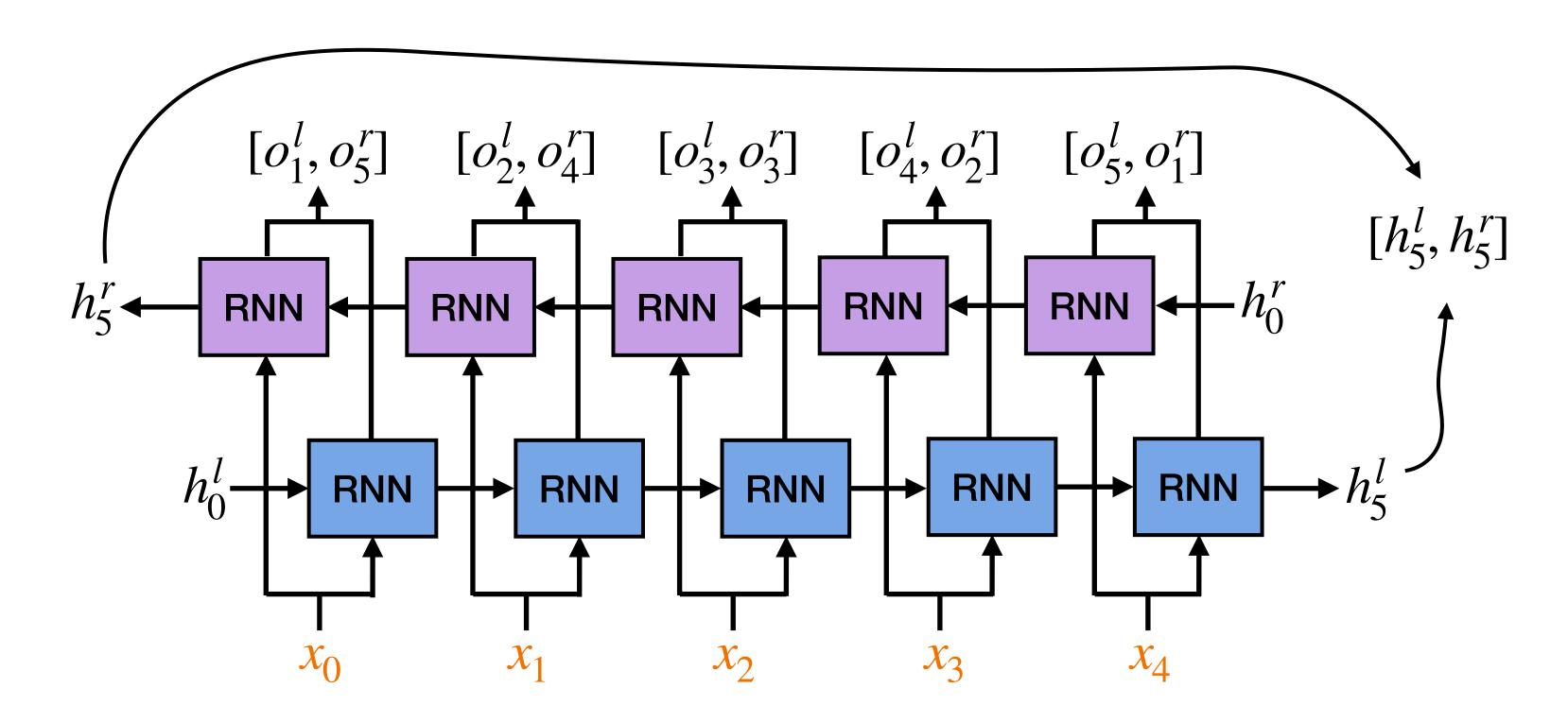
- The outputs of the current layer are the inputs of the next one
- More complex features are extracted



- The number of parameters increases by the number of layers
- Usually limited to two layers

#### Bidirectional recurrent networks (biRNN)

Reads text from left to right and right to left.



- Has twice as many parameters
- Useless for generation