

# Project Title

Role of Weight Initialization: Xavier vs He vs Random Uniform

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# Problem Statement

- Goal: Train the same CNN on CIFAR-10 to see how weight initialization affects learning — comparing naive random uniform against activation-aware Xavier (for Tanh) and He (for ReLU) in terms of convergence speed, stability (activations/gradients), and final validation accuracy.
- Focus: How weight initialization affects our prediction and the final performance.
- Metrics: Accuracy, convergence speed (epochs to target), activation variance, etc

# System and Setup

- Model: CNN with three  $3 \times 3$  conv blocks ( $32 \rightarrow 64 \rightarrow 128$  channels) plus a final linear classifier for CIFAR-10, run with ReLU/Tanh, using naive uniform init initially and Xavier/He init later
- Datasets: CIFAR-10 (50k/10k), standard train/val splits.
- Hardware: *T4 GPU Google Colab*.
- Framework: *PyTorch*

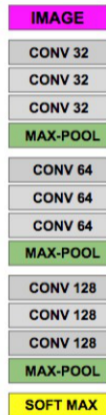
# Initialization Formulas (Math)

- Xavier / Glorot:  $w \sim \mathcal{U}\left(-\sqrt{\frac{6}{\text{fan}_{\text{in}} + \text{fan}_{\text{out}}}}, \sqrt{\frac{6}{\text{fan}_{\text{in}} + \text{fan}_{\text{out}}}}\right)$  or  $w \sim \mathcal{N}\left(0, \sqrt{\frac{2}{\text{fan}_{\text{in}} + \text{fan}_{\text{out}}}}\right)$ .
- He / Kaiming (ReLU-family):  $w \sim \mathcal{U}\left(-\sqrt{\frac{6}{\text{fan}_{\text{in}}}}, \sqrt{\frac{6}{\text{fan}_{\text{in}}}}\right)$  or  $w \sim \mathcal{N}\left(0, \sqrt{\frac{2}{\text{fan}_{\text{in}}}}\right)$ .
- $\text{fan}_{\text{in}}$  = inputs to a neuron;  $\text{fan}_{\text{out}}$  = outputs from a neuron. Choice of normal vs. uniform matches PyTorch defaults.

# Model Diagram

*CNN schematic: 3x3 conv blocks, activation, etc.*

Reference: On weight initialization in deep neural networks



## Challenges: Naive Uniform Init (CIFAR-10)

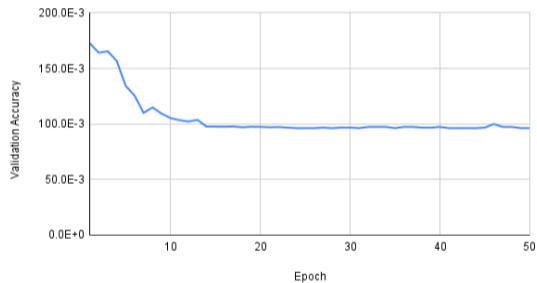
- ReLU, bound=5: activations/gradients blow up, loss in millions, val acc 18.16%; no learning.
- ReLU, bound=1: activations/gradients shrink up, loss in millions, val acc 17.26%; no learning.
- ReLU, bound=0.005: activations/gradients  $\rightarrow 0$ , loss stuck at 2.302, val acc 10.06%; dead network.
- Tanh, bound=5: activations/gradients blow up, loss in millions, val acc 11.72%; no learning.
- Tanh, bound=1: unstable, high val loss, val acc 11.32%, gradients noisy.
- Tanh, bound=0.005: 68.81% val acc; activations drift/saturate, loss rises later.

## Improvements: Xavier (tanh) and He (ReLU)

- Accuracy: He+ReLU - 79.5%; Xavier+Tanh - 77.3%; Naive ReLU - 17.26%; Naive Tanh - 68.81%.
- Convergence: He/Xavier drop val loss fast; naive ReLU explodes or stays at 2.302; naive Tanh slows then plateaus.
- Gradients: He/Xavier steady; naive ReLU tiny or massive; naive Tanh is varying too much.
- Bottom line: use He for ReLU, Xavier for Tanh.

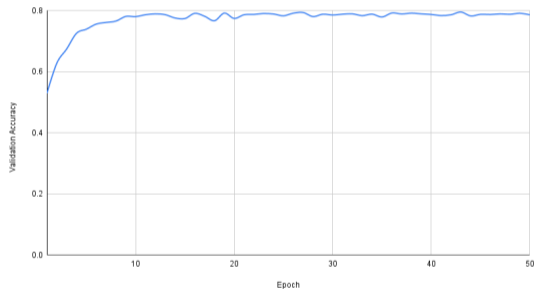
# Metric 1: Validation Accuracy for ReLU

ReLU Activation with Naive Uniform Initialization



Naive Uniform

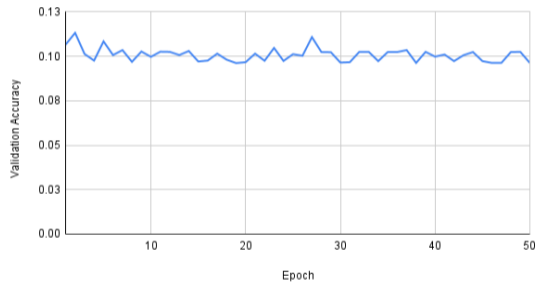
For ReLU Activation with He Initialization



He

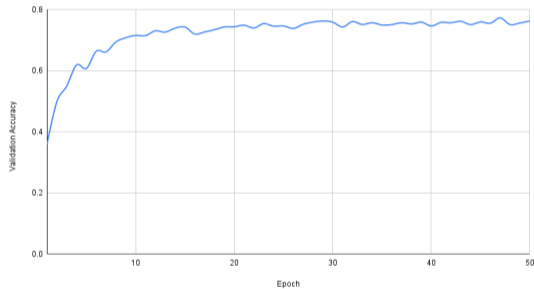
# Metric 1: Validation Accuracy for tanh

Tanh Activation with Naive Uniform Initialization



Naive Uniform

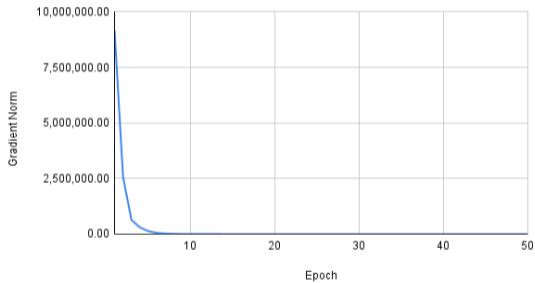
For Tanh Activation with Xavier Initialization



Xavier

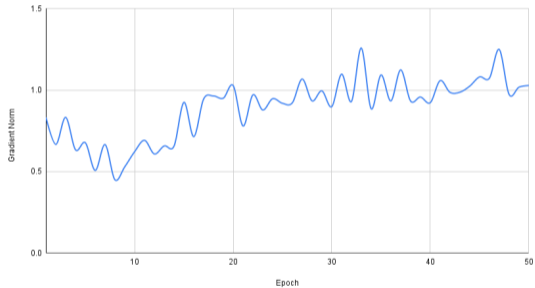
## Metric 2: Gradient Norm for ReLU

ReLU Activation with Naive Uniform Initialization



Naive Uniform

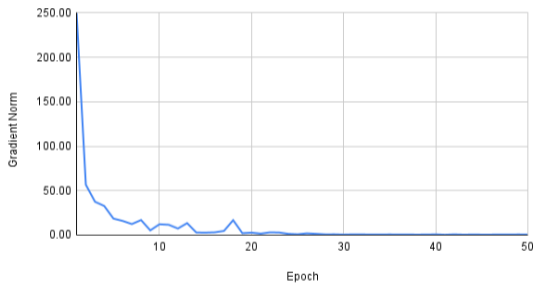
For ReLU Activation with He Initialization



He

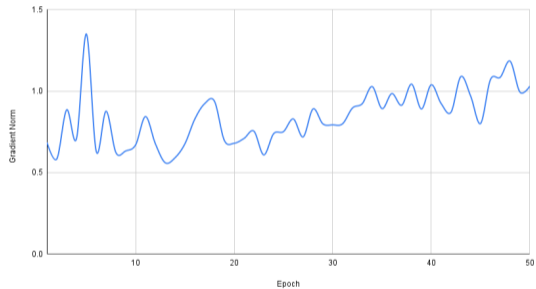
## Metric 2: Gradient Norm for tanh

Tanh Activation with Naive Uniform Initialization



Naive Uniform

For Tanh Activation with Xavier Initialization



Xavier

# Conclusion

- He + ReLU: 79.5% val accuracy; fast, stable. Best choice.
- Xavier + Tanh: 77.3% val accuracy; steady.
- Naive ReLU: large bound explodes; tiny bound sits at 17.26% (no learning).
- Naive Tanh: 68.81% ceiling; slower, noisier, val loss drifts.
- Overall: use He for ReLU, Xavier for Tanh. Naive uniform is either unstable or too weak.

GitHub Repository: [github.com/ashar-viraj/glorot-vs-he](https://github.com/ashar-viraj/glorot-vs-he)