



The
University
Of
Sheffield.



6th International Granulation Workshop

Granulation Course
Sheffield, UK, 24th – 25th June 2013

Acknowledgements

The organisers thankfully acknowledge the financial contribution of the following sponsors for supporting the 6th International Granulation Workshop:



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The organisers would also like to thank Shin Etsu and DFE Pharma for the provision of materials for the practical sessions.

ShinEtsu



DFE pharma

Course Timetable

Day 1 – Monday 24th June

Time	Topic	Presenter
08.00 - 08.50	Registration	
08.50 - 09.00	Introduction	Prof. Agba Salman
09.00 – 09.30	<u>Lecture 1</u> Introduction to Granulation	Mr James Cartwright <i>GSK</i>
09.30 - 10.30	<u>Lecture 2</u> Wet High Shear Granulation Regime Map	Dr Ian Gabbott <i>AstraZeneca</i>
10.30 – 11.00	Coffee Break	
11.00 - 12.00	<u>Lecture 3</u> Batch Granulation: Process and Formulation Variables	Prof. Agba Salman <i>University of Sheffield</i>
12.00 - 13.00	<u>Lecture 4</u> Continuous Processing in the Pharmaceutical Industry	Mr James Cartwright <i>GSK</i>
13.00 - 14.00	Lunch	
14.00 - 15.00	Practical Session I (Group Rotation Basis) <ol style="list-style-type: none"> 1. Continuous Granulation (Mr James Cartwright, <i>GSK</i>) 2. Roller Compaction (Dr Bindhu Gururajan, <i>Novartis</i> & Manfred Felder, <i>Alexanderwerk</i>) 3. Extrusion: Spheronisation (Dr Csaba Sinka, <i>University of Leicester</i>) 4. Batch Granulation (Dr Robert Sochon, <i>GSK</i> & Mr James Osborne, <i>Nestlé</i>) 5. Tabletting (Dr Vikram Chouk, <i>Addivant Global Technology</i>) 6. Finished Product Characterisation (Dr Yuen Sin Cheong, <i>Procter & Gamble</i>) 	
15.00 - 15.30	Coffee Break	
15.30 - 16.30	Practical Session II	
16.30 - 17.30	Practical Session III	

Day 2 –Tuesday 25th June

Time	Topic	Presenter
09.00 - 10.00	<u>Lecture 5</u> Troubleshooting in Granulation Processes	Mr Nigel Somerville Roberts <i>Procter & Gamble</i>
10.00 - 11.00	<u>Lecture 6</u> Mathematical Modeling of Granulation Processes	Prof. Jim Litster <i>Purdue University</i>
11.00 - 11.30	Coffee Break	
11.30 – 12.30	<u>Lecture 7</u> Powder Characterisation	Prof. Stefan Palzer <i>Nestlé</i>
12.30 - 13.30	Lunch	
13.30 - 14.30	<u>Practical Session IV</u>	
14.30 - 15.30	<u>Practical Session V</u>	
15.30 – 16.00	Coffee Break	
16.00 - 17.00	<u>Practical Session VI</u>	
17.00	Wrap up session	

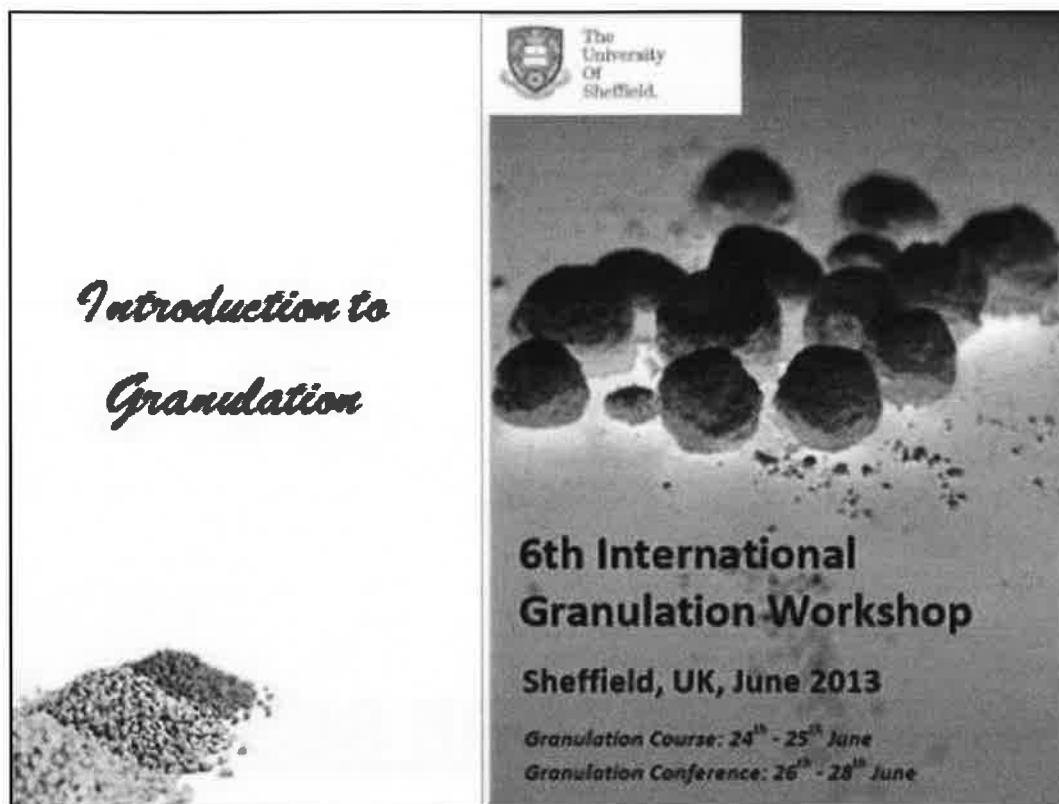
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PART 1 - LECTURE SESSIONS

Lecture 1. Introduction to Granulation

Mr James Cartwright (GSK)



Presentation Purpose

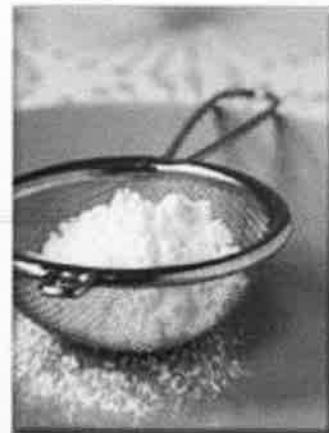
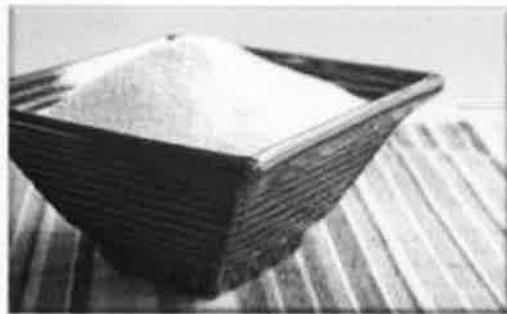
1. Raise awareness of granulation unit operations
2. Discuss High Shear Wet Granulation (HSWG)
3. Discuss Fluidised Bed Drying (FBD)
4. Discuss Roller Compaction (RC)
5. Discuss relationships between product and process

Presentation Outcomes

1. Increased understanding of HSWG and RC
2. Increased understanding of FBD
3. Increased awareness of granulation processes

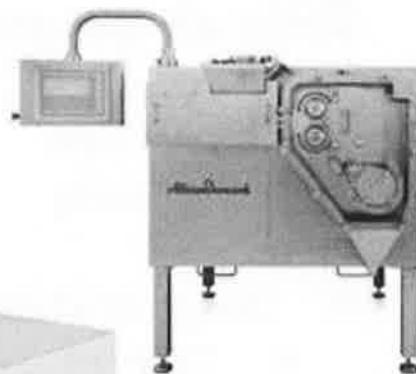
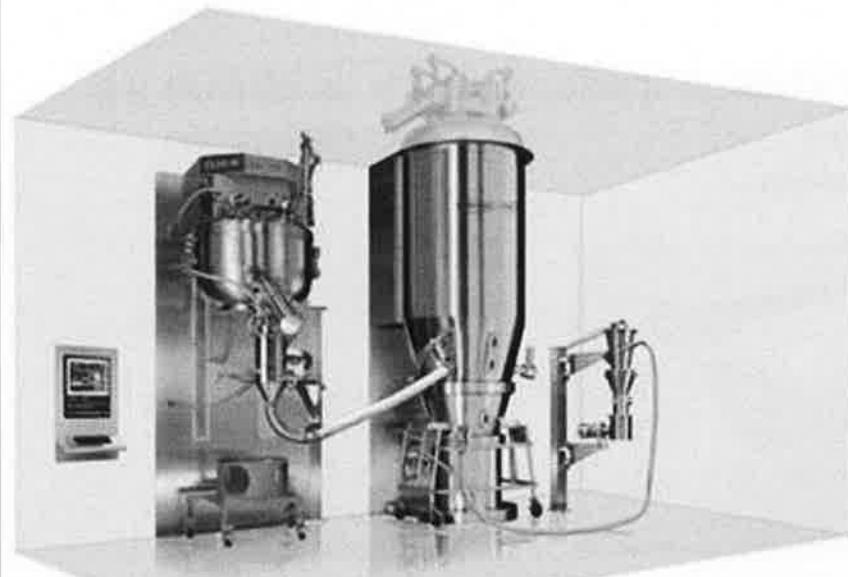
Why do we granulate?

1. Improve Flow
2. Homogeneity
3. Size
4. Design structural properties to deliver an attribute e.g.
 - a) Dissolution
 - b) Solid Fraction

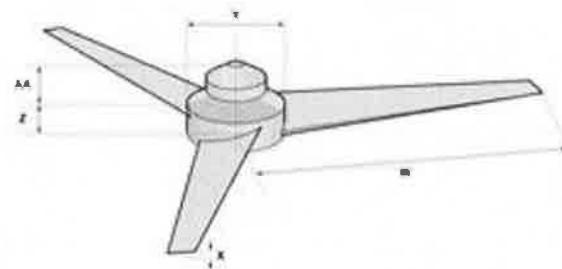
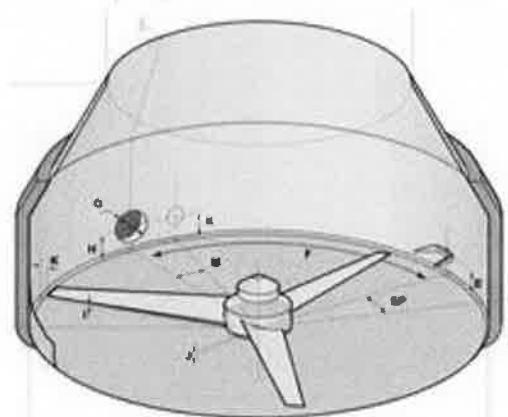
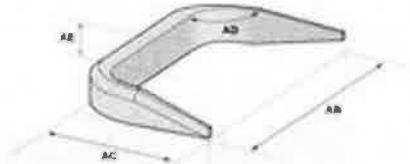
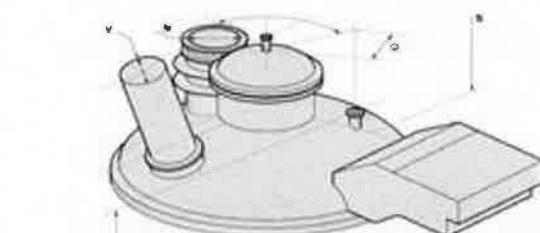


High Shear Wet Granulation

Granulators and Dryers

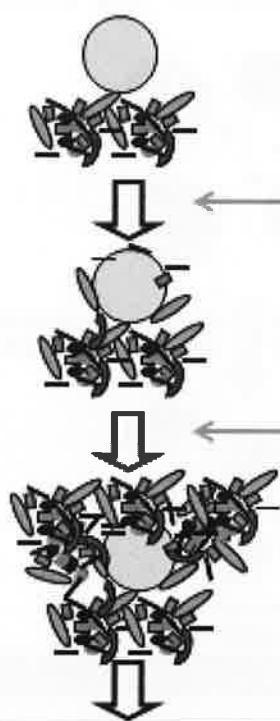


High Shear Wet Granulator (Bottom Driven)



Droplet interaction (micro & meso)

Micro scale

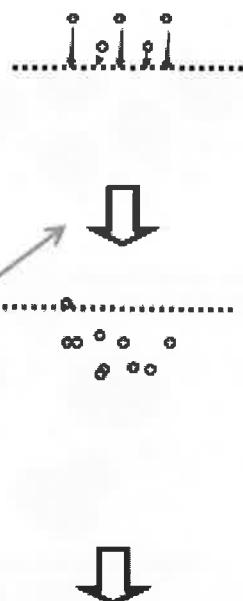


Comment / time

Coating of drop surface by (hydrophilic) particles –
Microseconds

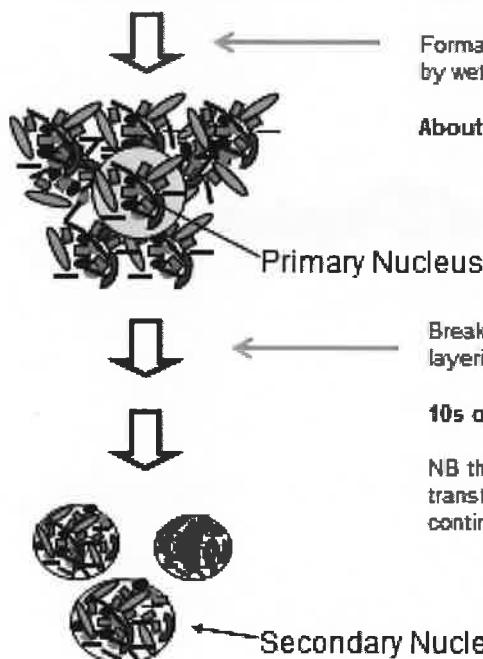
Submergence of drop below surface – 10s of milliseconds
to 10s of seconds
depending
on hydrophobicity
Rate also impacted by
-Inertia of droplet
-Motion in granulator
-Net buoyancy

Meso scale



Droplet interaction (micro & meso)

Micro scale



Comment / time

Formation of primary nuclei
by wetting

About 10 seconds to form

Primary Nucleus

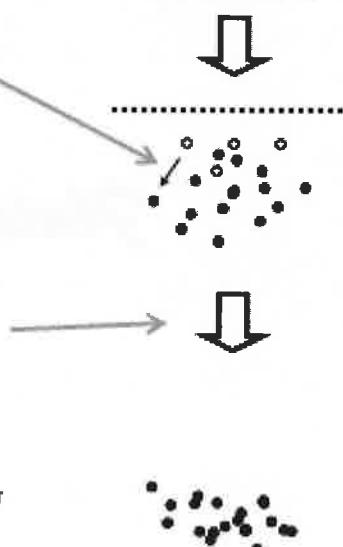
Breakage, consolidation,
layering, coalescence

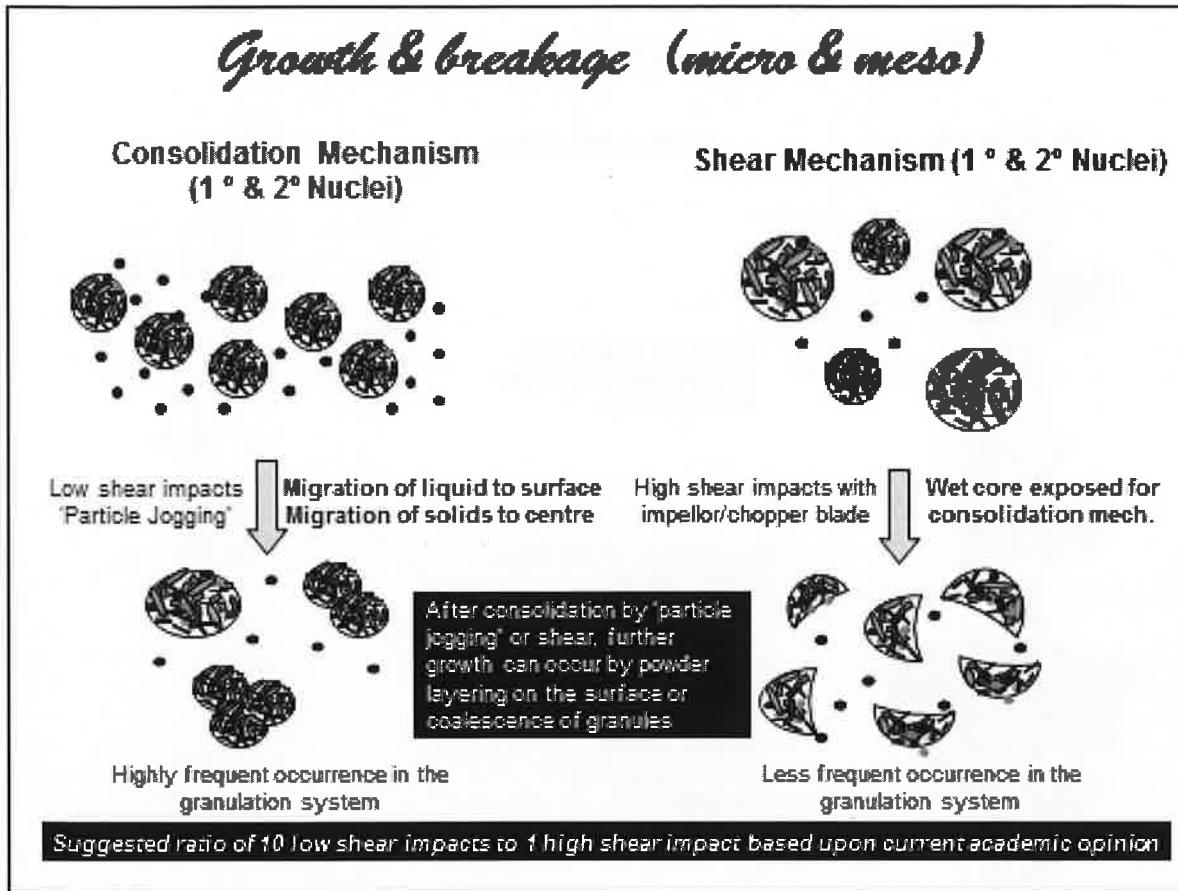
10s of seconds

NB there is a "phase
transformation" from powder
continuous to granular

Secondary Nucleus

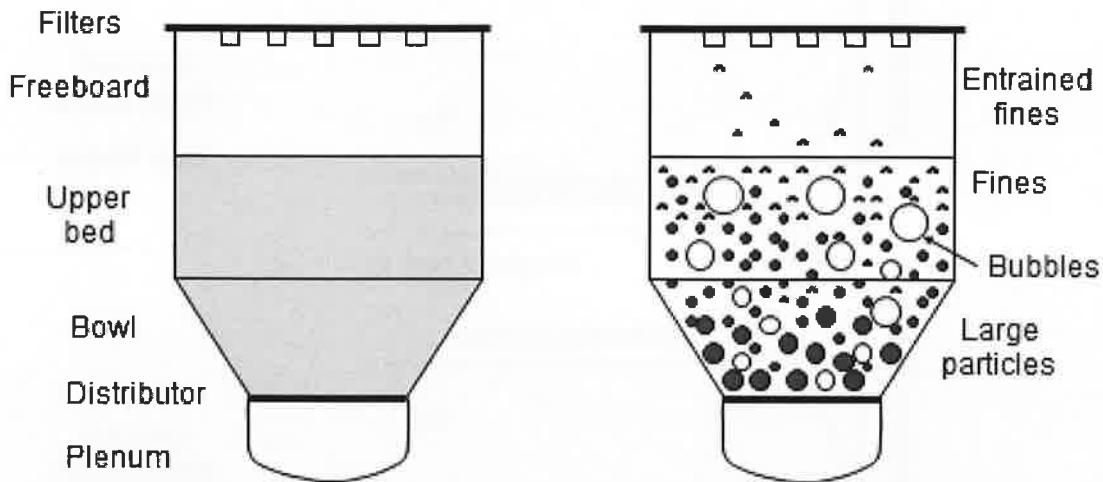
Meso scale





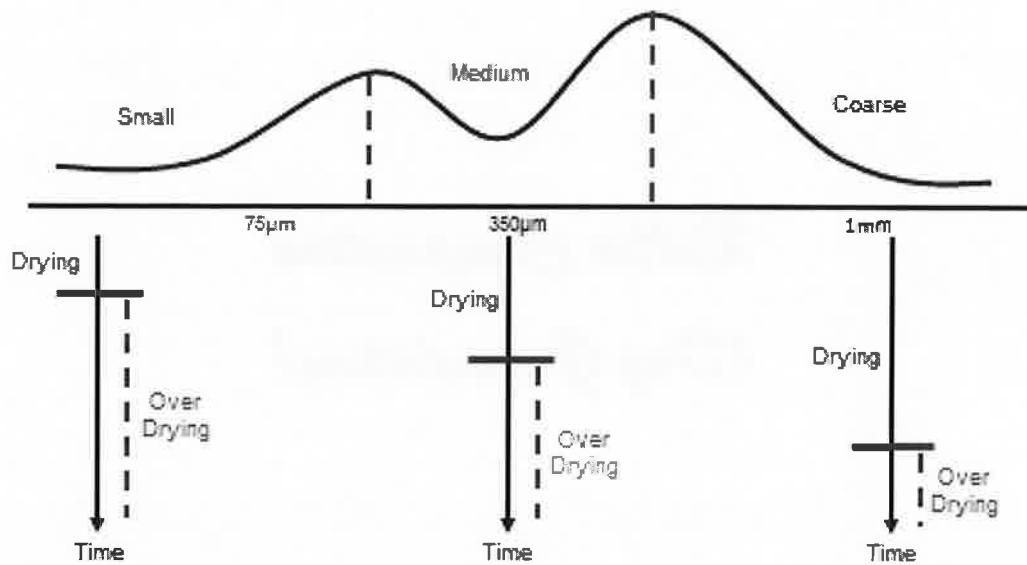
Fluidised Bed Drying

Equipment (Macro)



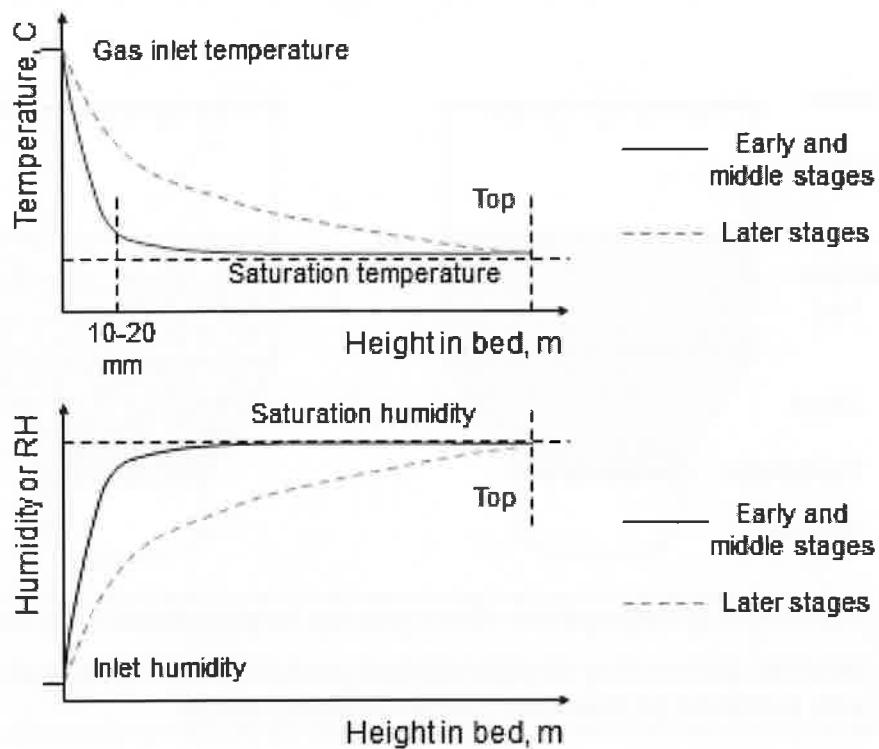
Some vertical segregation – fines near top, large particles near distributor
 Bubbles grow as they rise through bed, particles are thrown up at top but only entrained permanently if above terminal velocity

Transformation Map - Size Relationship to Drying



Smaller material may be able to 'strip' moisture from larger granules. This may lead to a less clearly defined boundary between constant rate and falling rate drying mechanisms

Temperature and humidity profiles in fluid bed dryers

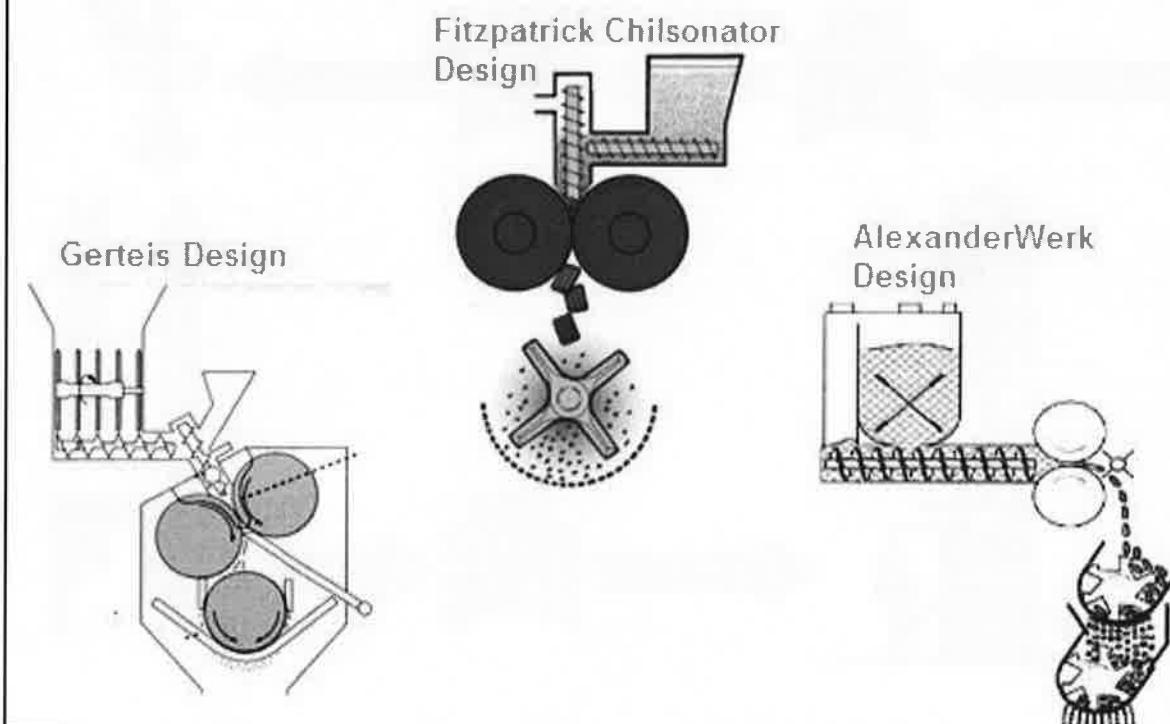


Roller Compaction (Dry Granulation)

Roller Compaction: Definition

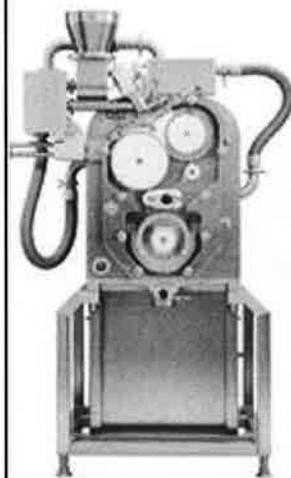
- Compaction of a powder blend using two counter rotating rollers.
- This process produces a ribbon, sheet or briquette, which can be milled to yield the desired granule particle size distribution.

3 Basic Design Concepts

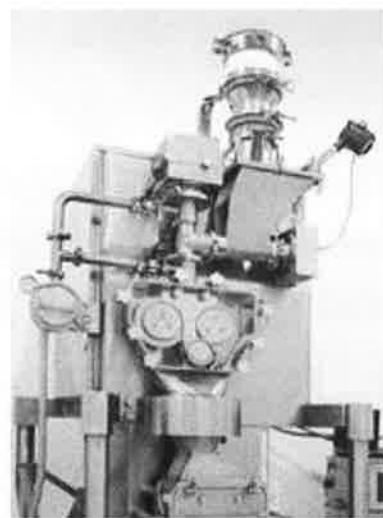


3 Basic Design Concepts

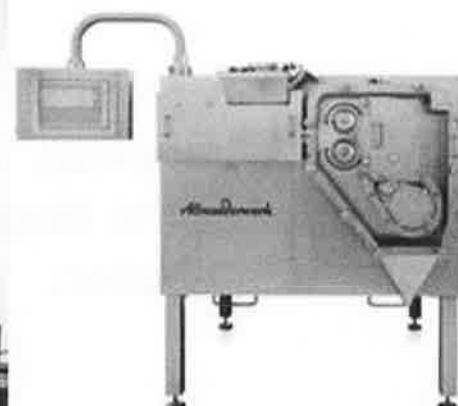
Gerteis Design



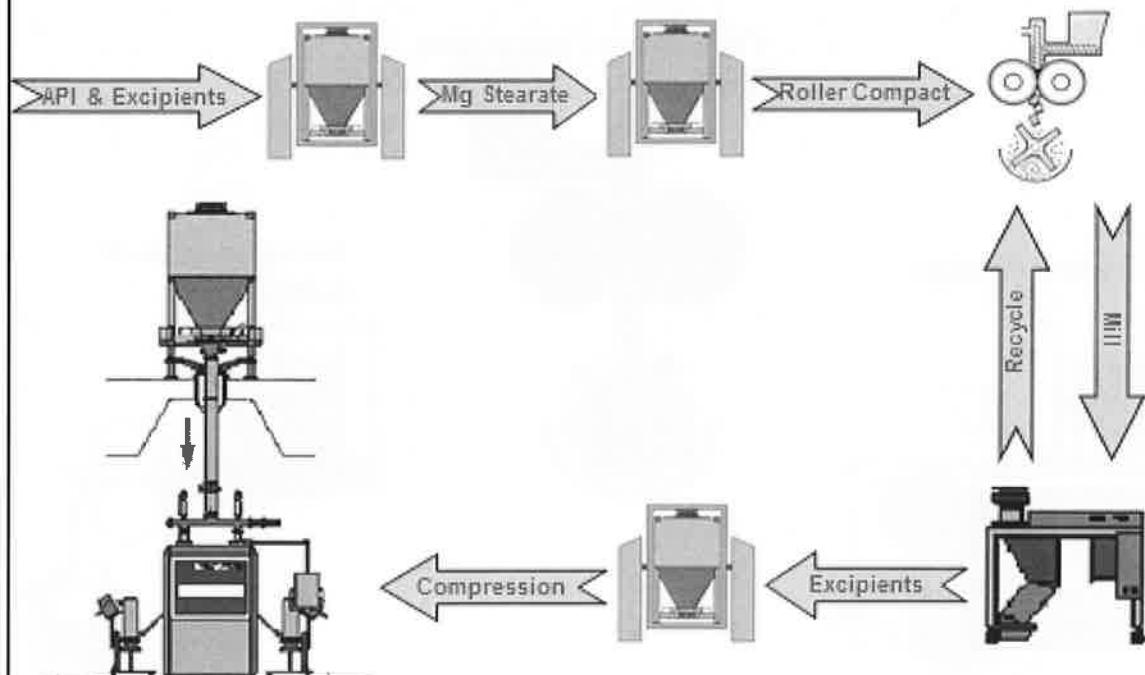
Fitzpatrick Chilsonator
Design



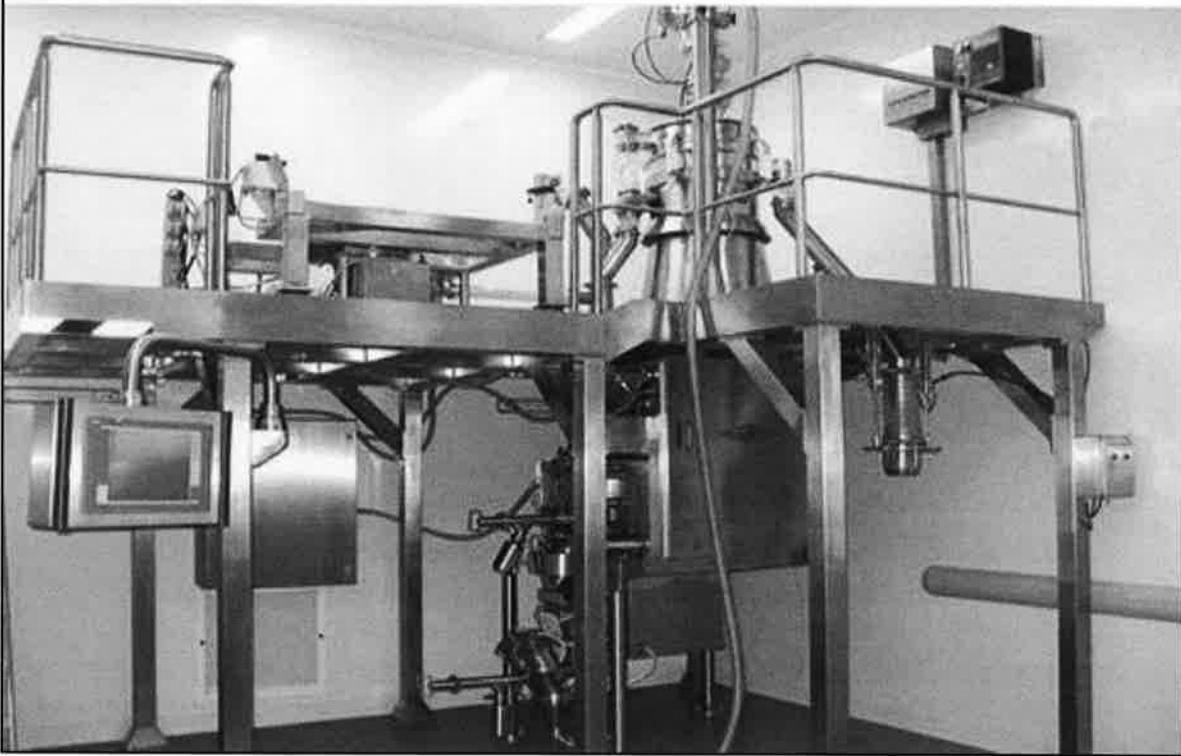
AlexanderWerk
Design



Typical roller compaction process



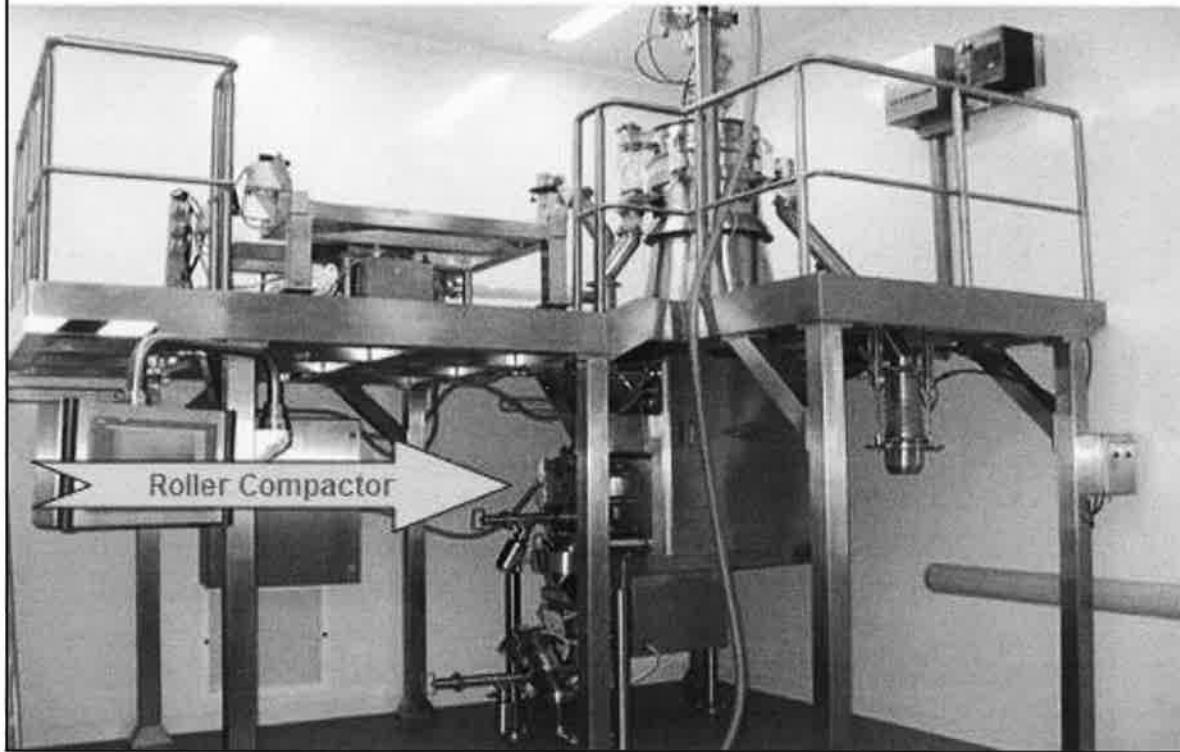
Typical roller compaction process



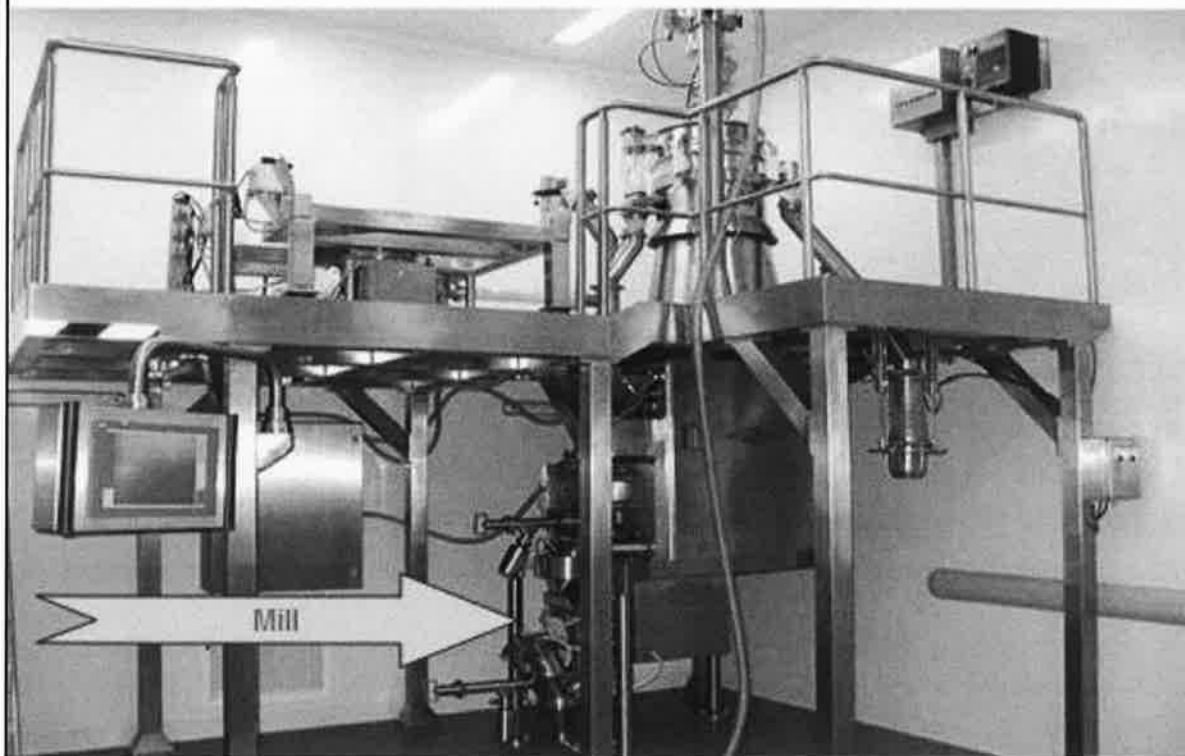
Typical roller compaction process



Typical roller compaction process



Typical roller compaction process



Typical roller compaction process



Typical roller compaction process



Recycling and Sieving



Recycling and Sieving

Coarse Product



Recycling and Sieving



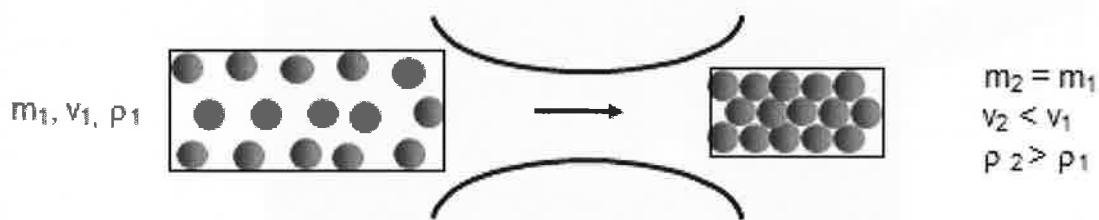
Recycling and Sieving



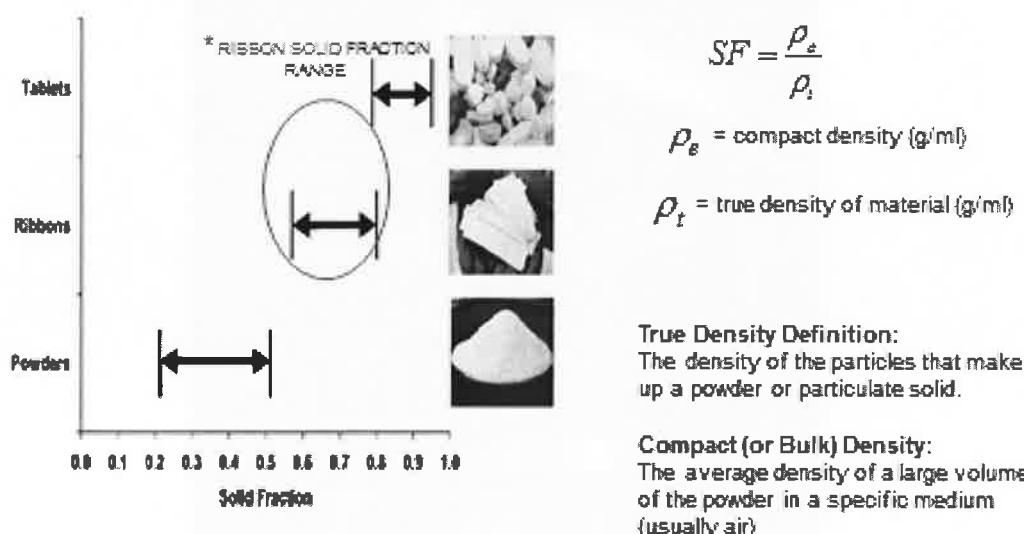
Roller Compaction Rationalisation

- Densification = Volume in/volume out
- The greater volume change, the greater the densification
- Mass in and out remains constant in a unit time

Hence density (Solid Fraction) is key parameter being changed

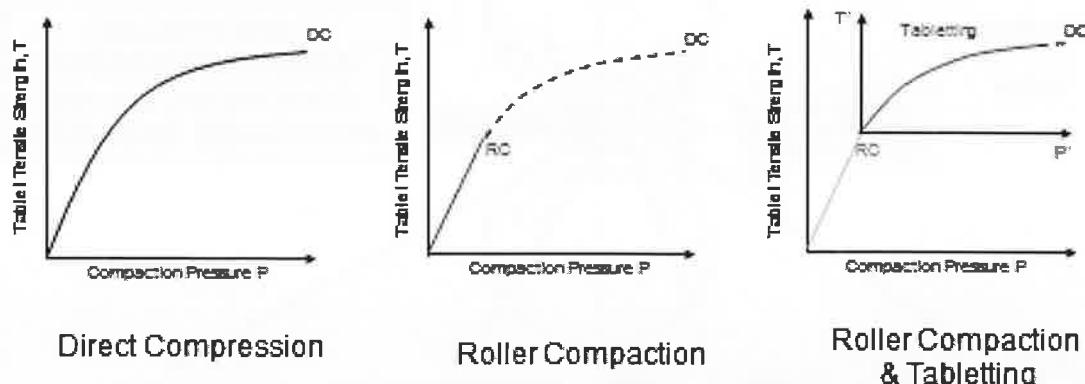


Solid Fraction during Roller Compaction



Zachrisson et al 2004

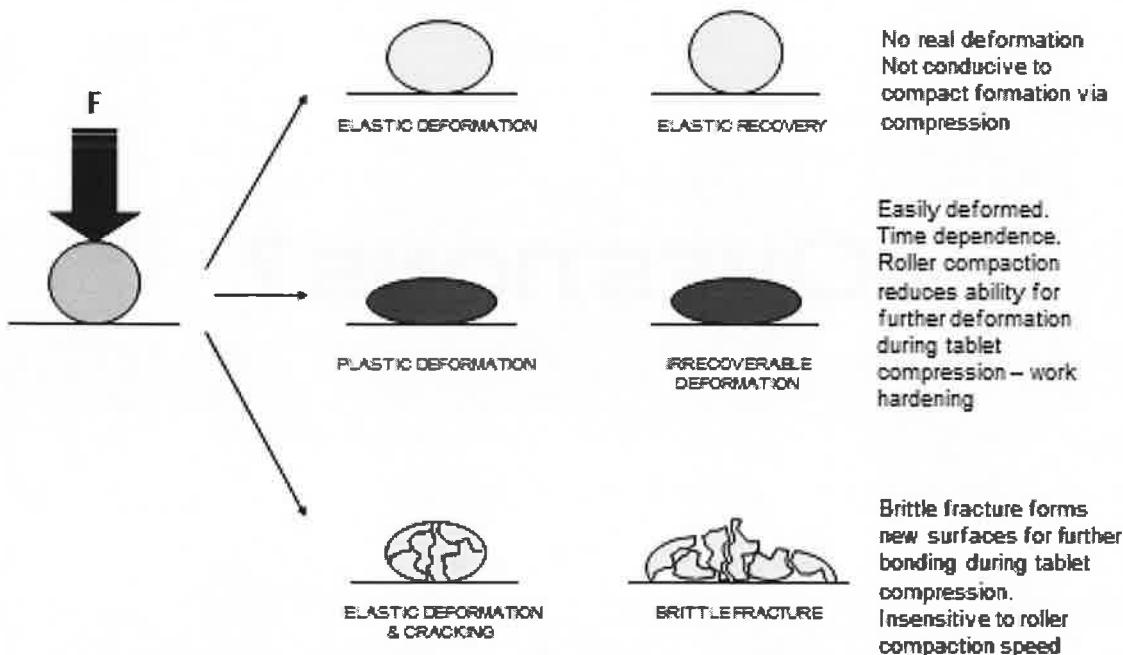
Concept of Cumulative Compaction Pressure



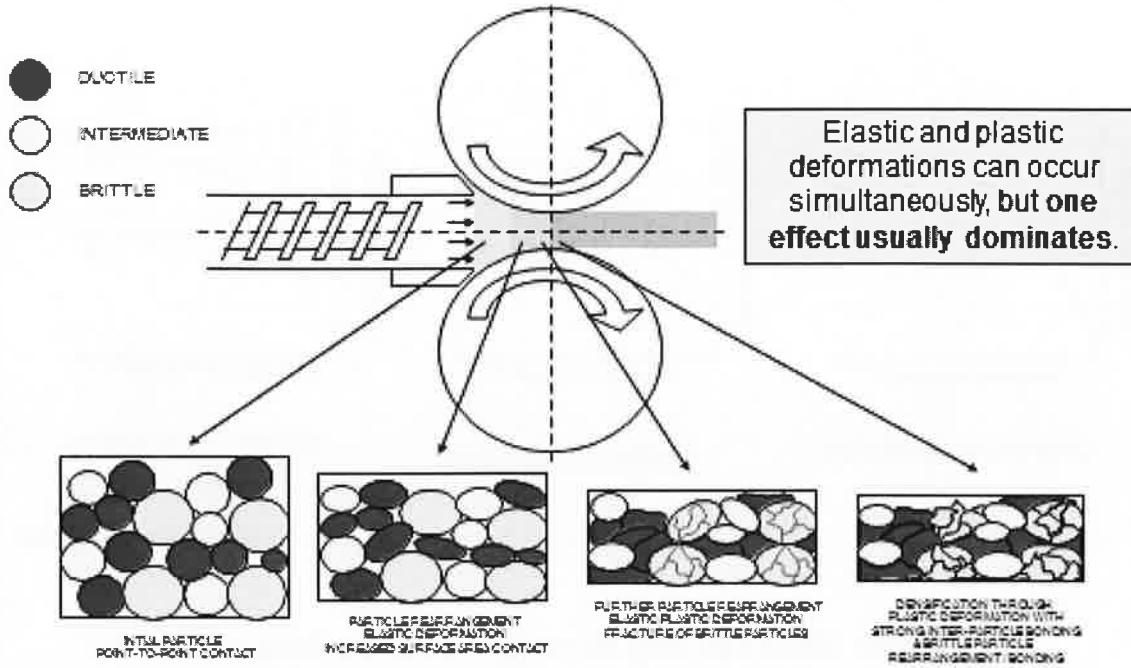
Redrawn after Farber et al. (2008)

The powder blend can only be compressed to a finite extent, dictated by the formulation.

Deformation & Intrinsic Material Properties



From Powder Densification to Ribbon



QUESTIONS ?

Further Reading

Roller Compaction Work

- Paul Nkansah et al (*Drug Dev and Ind Pharmacy*, 2008 34:142–148)
John Gamble et al (*Pharm Dev and Tech*, 2010, 15(3): 223–229)
Stefanie Peter et al (*Powder Technology*, 2010 199: 165–175)
Zinchuk et al (*Int. J. Pharm.*, (2004) 269: 403-415)

High Shear Wet Granulation Work

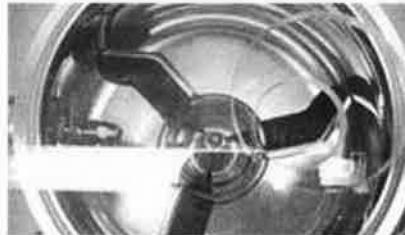
- Paul Sirois et al (*Pharm Dev and Tech*, 2000, 5(3), 365–374)
Karen Hapgood et al (*Powder Technology*, 2004, 141: 20–30)
Gossett Campbell et al (*Powder Technology*, 2011, 1-3: 184–192)
Simon Iveson et al (*Powder Technology*, 2001, 117: 3–39)

Lecture 2. Wet High Shear Granulation Regime Map

Dr Ian Gabbott (AstraZeneca)

Wet High Shear Granulation Regime Map

Ian Gabbott
Formulation Science, Pharmaceutical Development UK



AstraZeneca

Introduction Why Granulate?

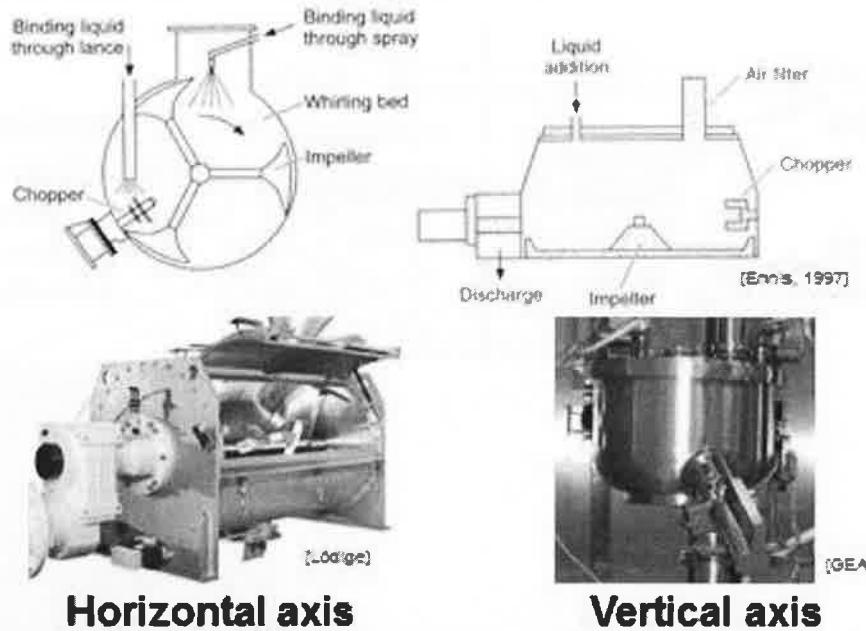
To produce larger agglomerates from fine powders



- Improve handling
- Improve product appearance
- Enhanced flow and mixing properties
- Control of solubility and porosity
- Increase bulk density for storage
- Creation of non-segregating blends



Introduction High Shear Wet Granulators



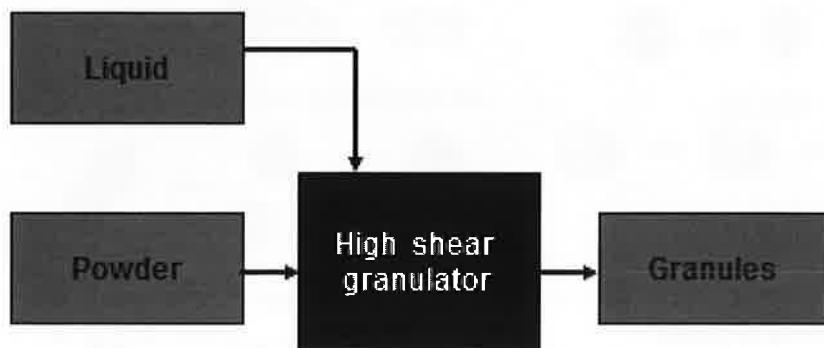
Horizontal axis

Vertical axis

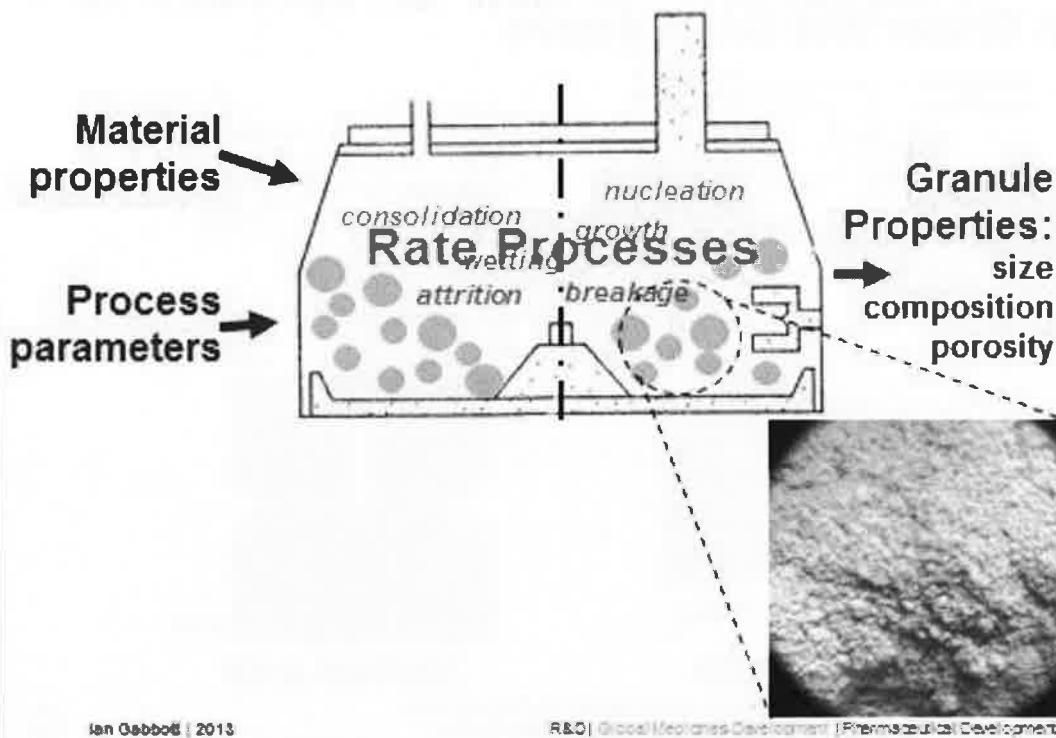
Terminology note: "binder" and "liquid" used interchangeably in this presentation

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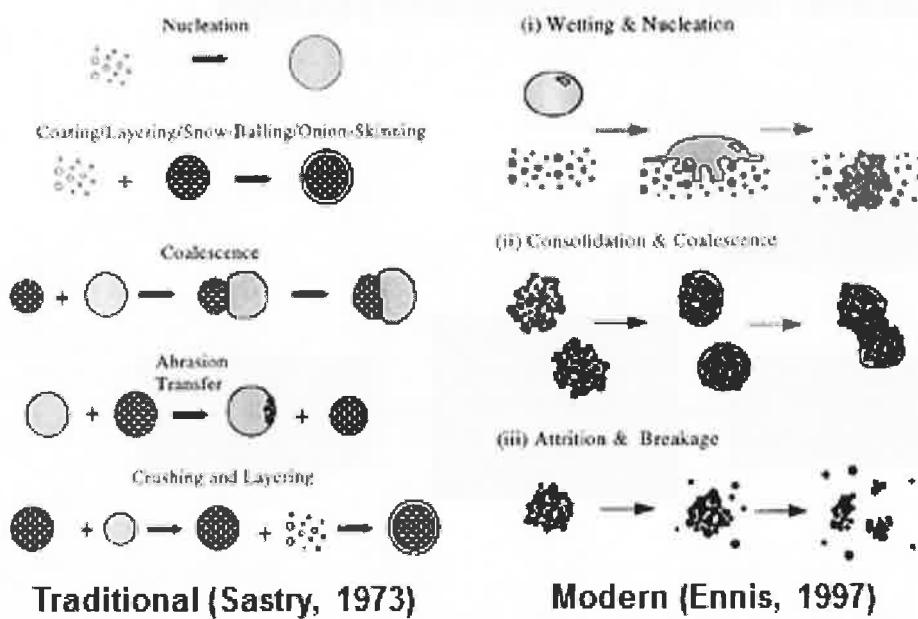
Introduction High Shear Wet Granulation Process



What happens in a High Shear Granulator?

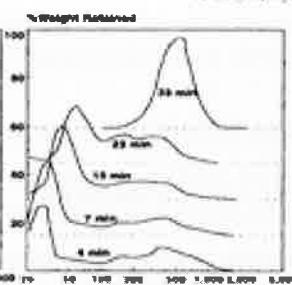
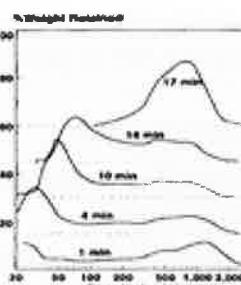
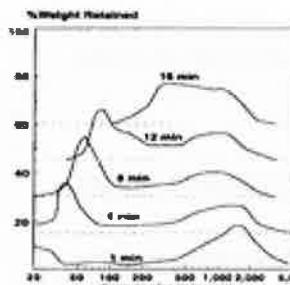
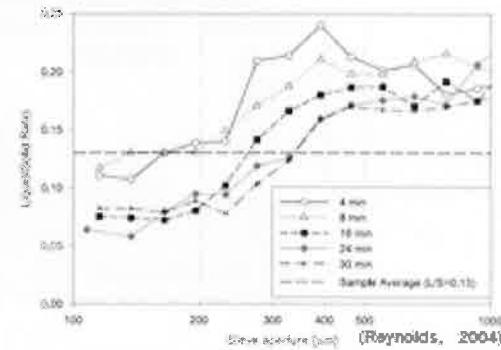
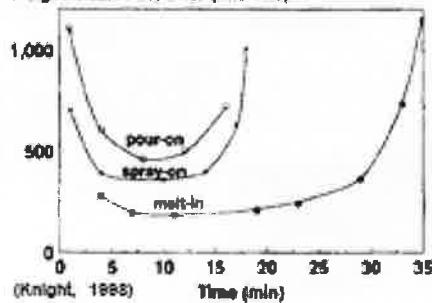


Summary of Rate Processes (Iveson, 2001)



Mechanisms: Nucleation and Wetting Liquid/Binder Mixing and Distribution

Weight mean size, D_{4,3} (microns)



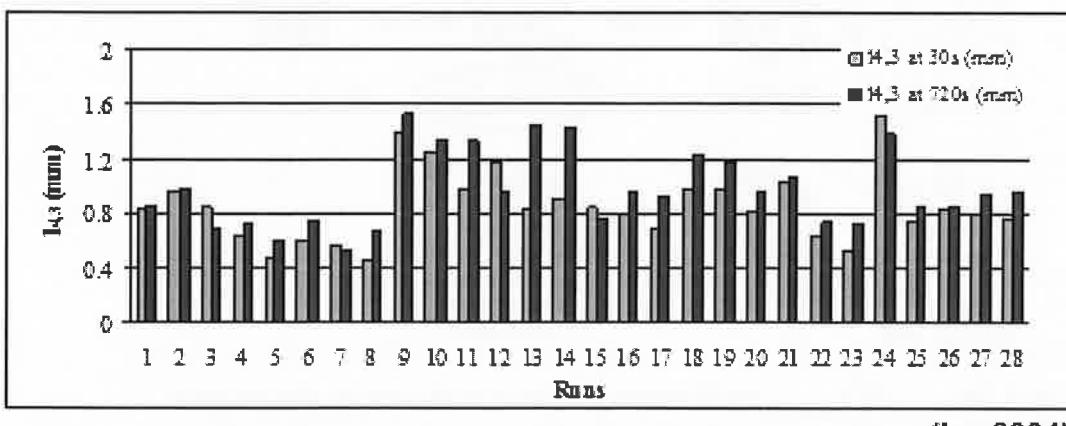
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Importance of Nucleation

Properties of granules substantially imprinted during this stage

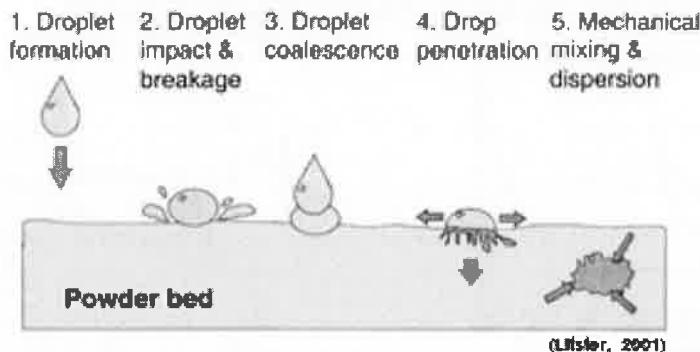


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Mechanisms: Nucleation and Wetting



Wetting and nucleation, a process where the liquid binder is brought into contact with a dry powder bed, and is distributed through the bed to give a distribution of nuclei granules.

Two processes are important in the nucleation zone.

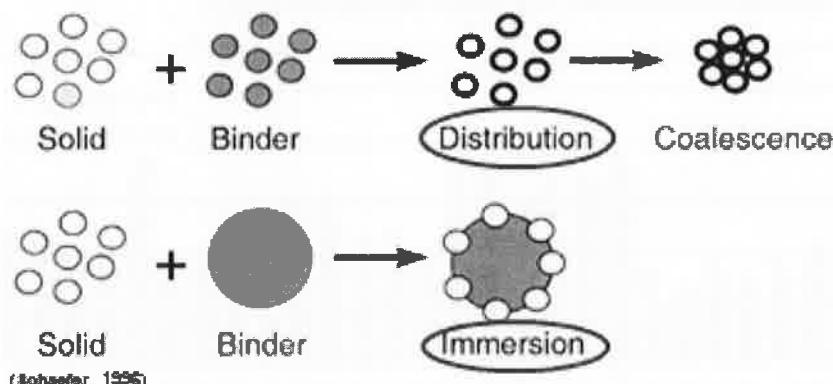
- 1) *nuclei formation*, which is a function of wetting thermodynamics (contact angle and spreading coefficients) and kinetics (penetration time).
- 2) *binder dispersion*, or effective mixing of the powder and binder, which is a function of process variables.

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Nucleation mechanisms



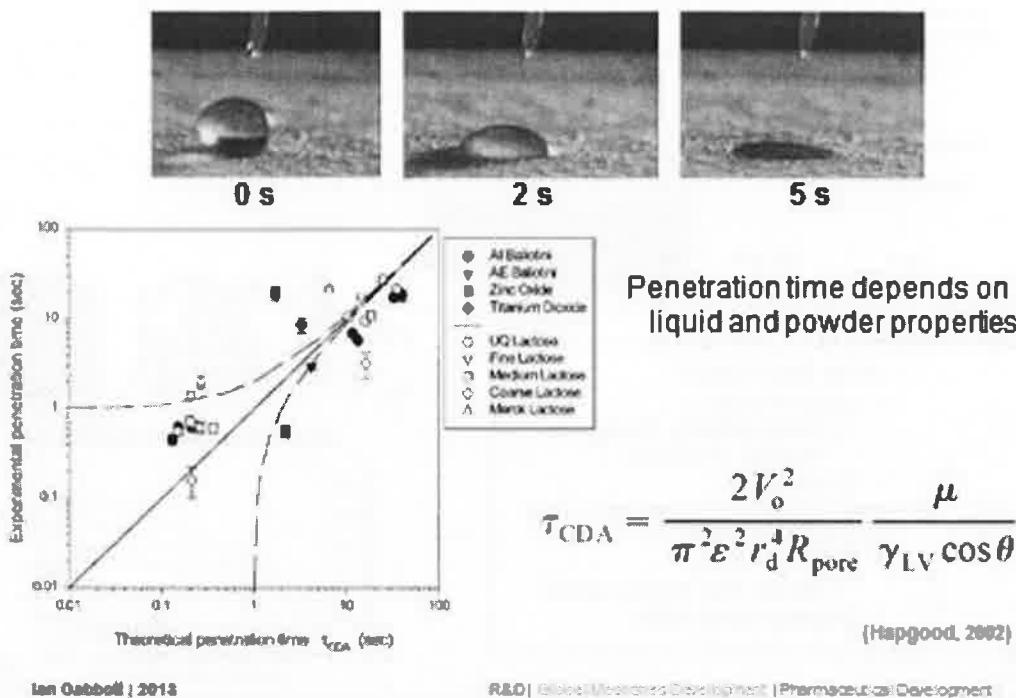
- (a) $D_{\text{droplet}} / D_{\text{particle}} \gg 1$: immersion mechanism dominant
- (b) $D_{\text{droplet}} / D_{\text{particle}} \ll 1$: distribution mechanism dominant

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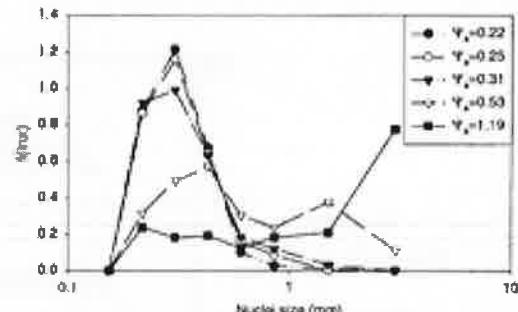
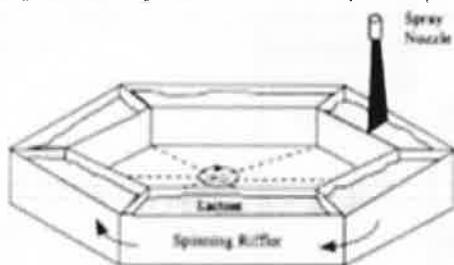


Nucleation kinetics – Penetration time prediction



Quantifying Liquid Distribution - Dimensionless Spray Flux

Proposed by Litster et al. (2001)

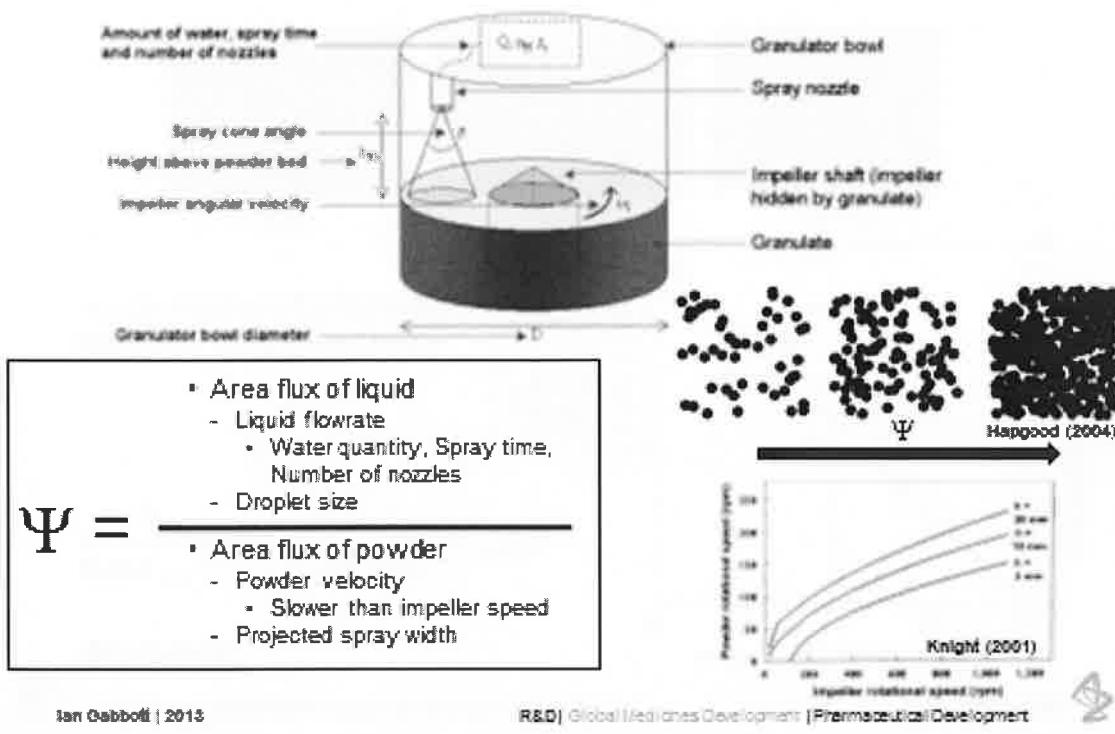


Experiments with carefully controlled liquid and powder flux.

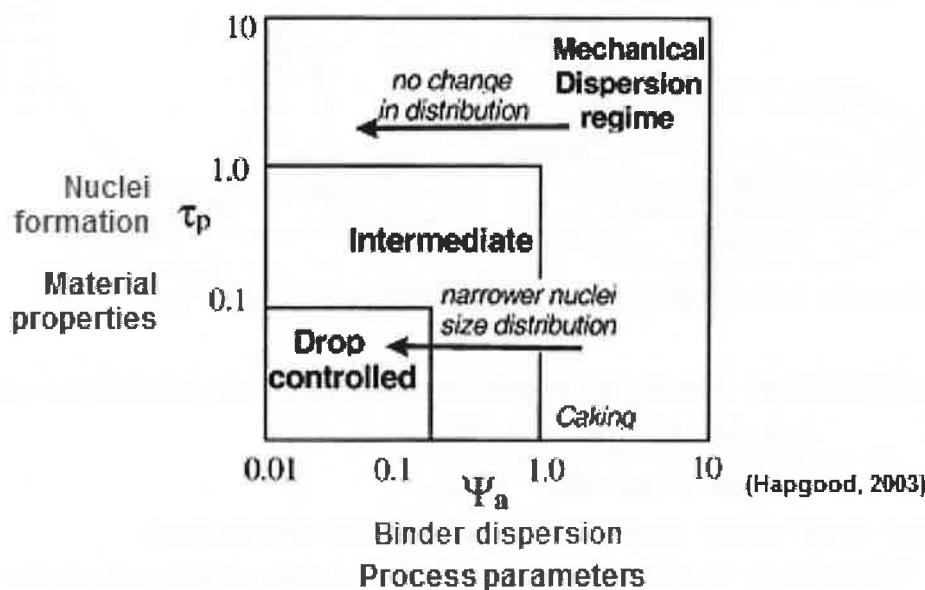
- Quantified liquid density on powder surface using dimensionless spray flux:

$$\psi = \frac{\text{area flux of liquid}}{\text{area flux of powder}} = \frac{3V}{2Ad_s}$$
- Found small values gave narrow monomodal distributions
 - Referred to as 'droplet controlled nucleation' i.e. a single droplet produces the 'nucleus' of a single granule

Spray Flux – Using real granulation parameters

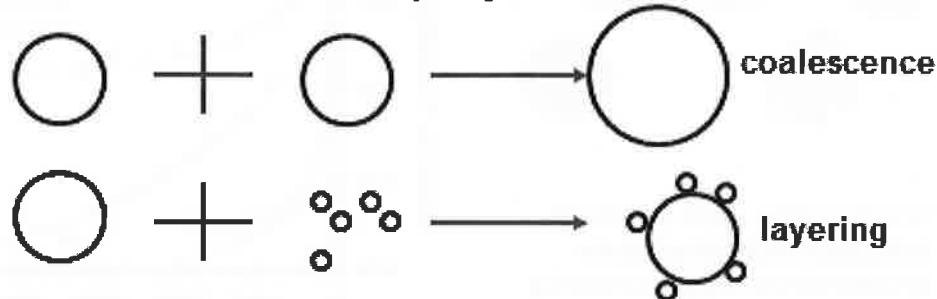


Summary – Nucleation regime map



Mechanisms: Consolidation & Coalescence Coalescence / Layering

- Process where collisions between two granules, granules and feed powder lead to granule aggregation
- Growth - coalescence and layering



- The success of granules coalescence is dependent on the **availability of the liquid on the granule surface and mechanical properties** (liquid bridge and inter-particle friction) of the granules.

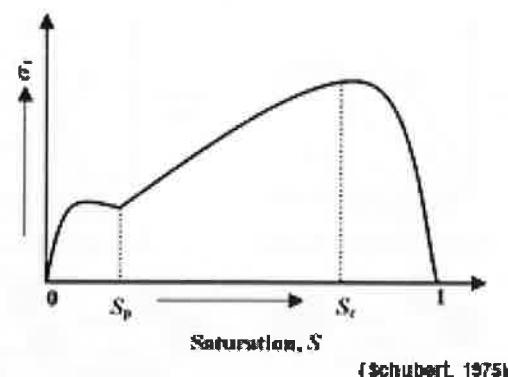
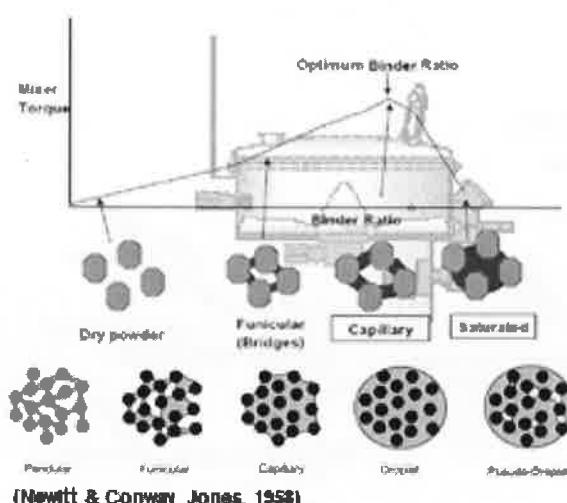
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Saturation of liquid-bound granules

Wet Granulation Process



Degree of saturation influences the mechanical properties of the granules

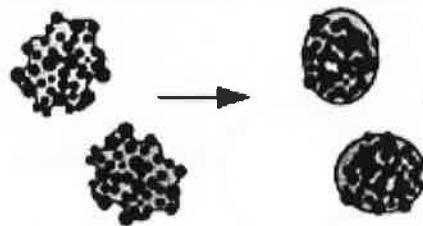
(Newitt & Conway Jones, 1958)

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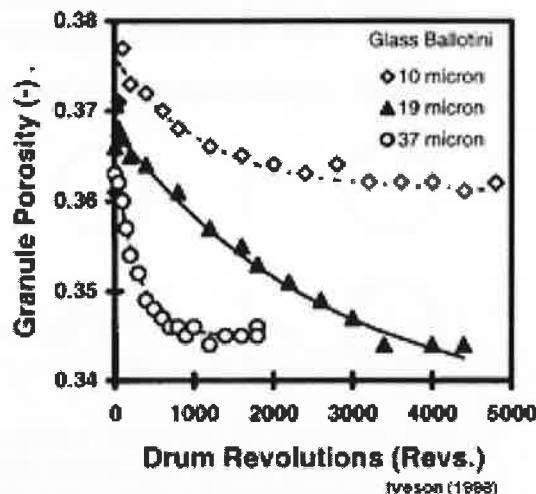
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Consolidation



It is not just the total degree of saturation that determines coalescence, but also how the liquid is distributed.



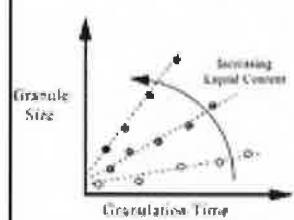
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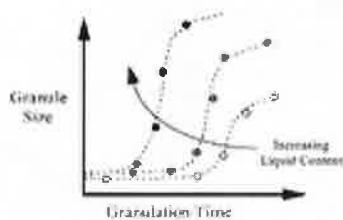
To coalesce or not to coalesce...

Steady Growth Behaviour

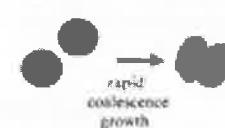


High Deformation System

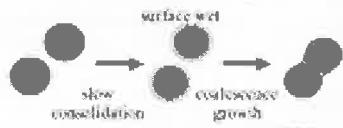
Induction Behaviour



Low Deformation System



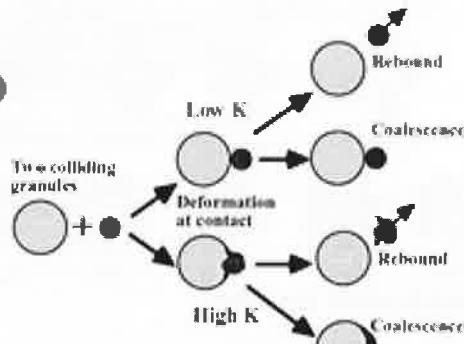
rapid coalescence growth



slow consolidation

coalescence growth

Iveson (1995)



Ouohiyama & Tanka (1982)
Perry's ChemEng HBk 8th Ed.

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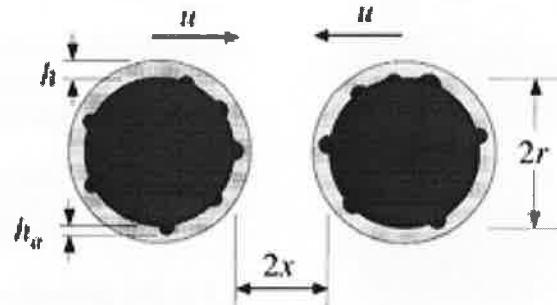
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Non-deformable granules: Stokes number

The outcome of the collisions of two **liquid-covered** particles is determined by the ratio:

$$St_v = \frac{\text{Initial kinetic energy}}{\text{viscous energy dissipation}} = \frac{8\mu ru}{9\mu}$$



(Ennis, 1991)

$$St_v^* = \left(1 + \frac{1}{e}\right) \ln\left(\frac{h}{h_s}\right)$$

Critical stokes number
e is restitution coefficient

- For a given collision velocity and liquid viscosity, the theory predicts that there will be a limiting granule size beyond which coalescence will not occur

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Non-deformable granules: Stokes number Coalescence regimes

$$St_v = \frac{\text{Initial kinetic energy}}{\text{viscous energy dissipation}} = \frac{8\mu ru}{9\mu}$$

$$St_v^* = \left(1 + \frac{1}{e}\right) \ln\left(\frac{h}{h_s}\right)$$

Non-inertial regime ($St_v \ll St_v^*$)

- all collisions are successful

Inertial regime ($St_v \approx St_v^*$)

- collisions between two small or one small and one large granule are more likely to lead to coalescence

Coating regime ($St_v \gg St_v^*$)

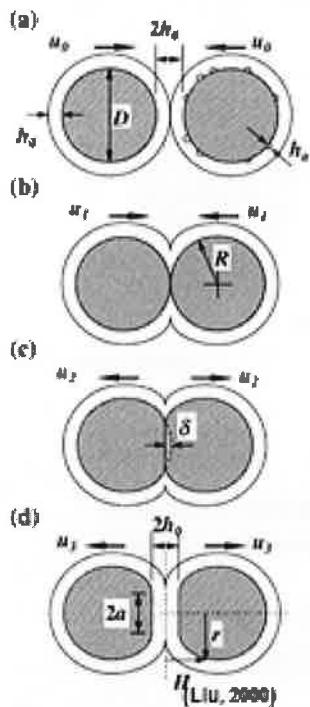
- all collisions between granules are unsuccessful

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Deformable granules: Stokes deformation number



- Successful coalescence depends on ratio of kinetic energy of collision compared to energy dissipated during granule deformation

$$St_{def} = \frac{\text{Impact kinetic energy}}{\text{plastic energy absorbed}} = \frac{\rho u^2}{2Y}$$

- Y is the granule dynamic yield stress, which varies with formulation properties and granule porosity. Iveson recommends the 'end-point' porosity for measurement.
- Stokes deformation number takes into account
 - Process agitation intensity (related to collision velocity)
 - Granule rheology (related to formulation and material properties)

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Granule dynamic strength

Powder/Binder Properties	Qualitative Prediction From Models (Equation 2.2 & Equation 2.8)	Experimental Results
Primary particle size, d_p	Increasing d_p decreases strength	Increasing d_p decreases strength (Iveson & Lister, 1998b; van den Dries et al., 2003)
Binder viscosity, μ	Increasing μ increases strength	Increasing μ increases strength (Gabbott, 2007)
Binder content, w (which affects granule saturation level, S_g)	Increasing w increases strength	Variable effect (Iveson & Lister, 1998b; Gabbott, 2007; Schubert, 1975)
Binder surface tension, γ	Increasing γ increases strength	Increasing γ increases strength (Iveson & Lister, 1998b)

- Some observations from literature on the influence of a range of material/formulation parameters on dynamic granule strength
- Linking these back to Stokes deformation number may indicate the influence on coalescence rates
- However, some observations can be conflicting and changes in materials may induce multivariate effects – need to be aware of competing effects

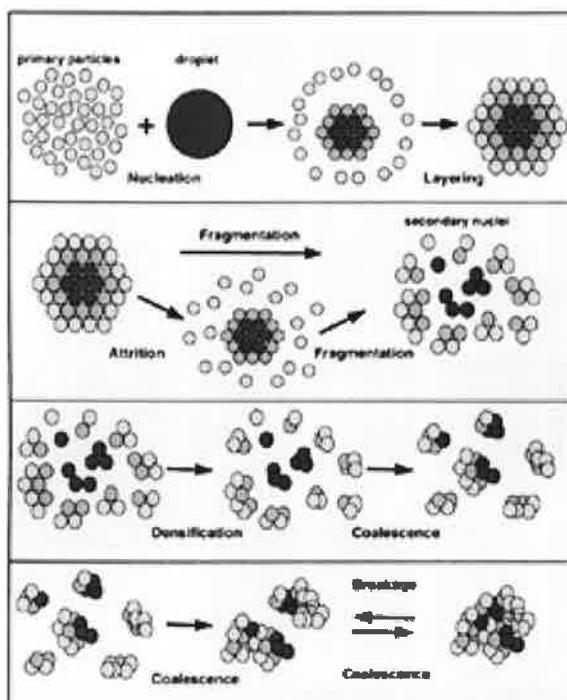
(Chan, 2008)

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Mechanisms: Attrition and Breakage



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(Vonk, 1987)

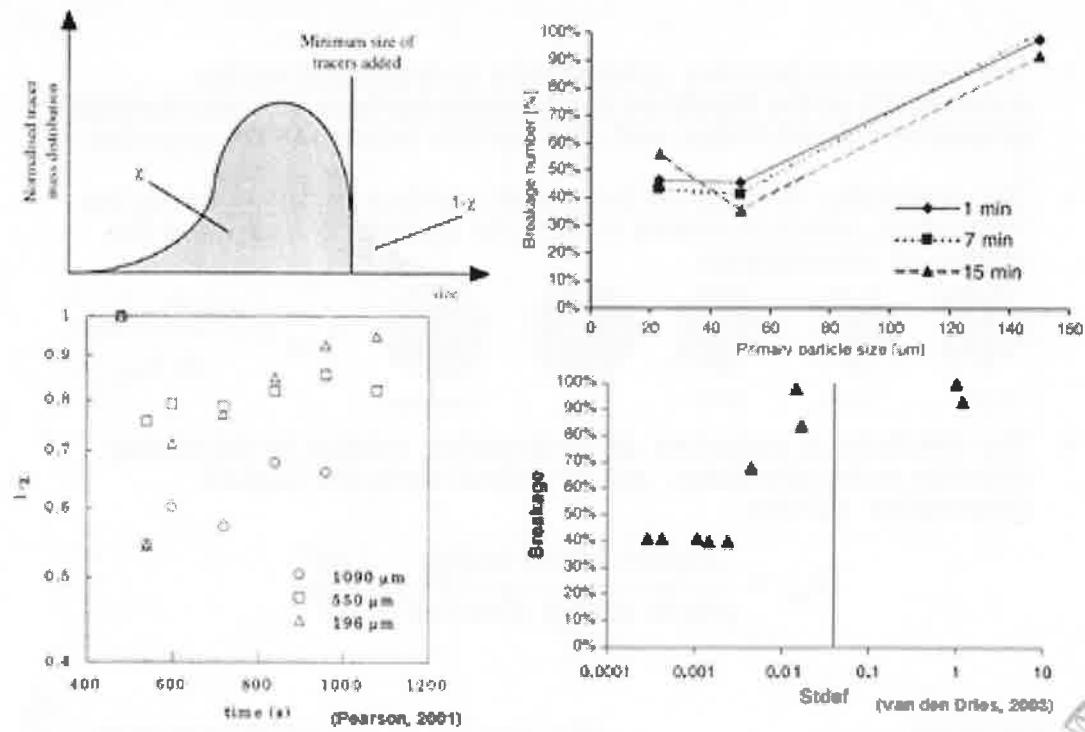
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Granule homogeneity and liquid distribution

- Attrition dominated
 - Limited redistribution of granule components
- Fragmentation dominated
 - Extensive redistribution of granule components

Evidence of breakage in high shear granulation

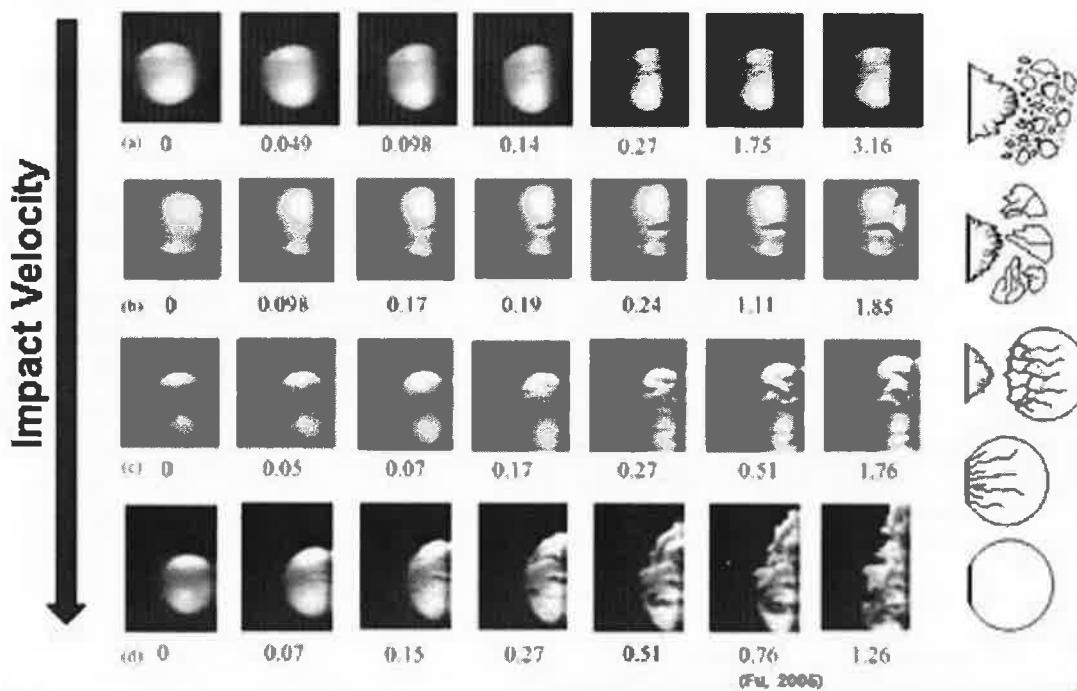


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Breakage of wet agglomerates



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Definition of a Granule Growth Regime Map

- The success of granules coalescence is dependent on the **availability of the liquid on the granule surface** and **mechanical properties** (liquid bridge and inter-particle friction) of the granules.
- The availability of liquid on the granule surface is described by the 'saturation', which is related to both the quantity of liquid and the degree of consolidation



Pendular



Funicular



Capillary



Droplet



Pseudo-Droplet

$$S_{\max} = \frac{w \rho_s (1 - \varepsilon_{\min})}{\rho_s \varepsilon_{\min}}$$

- The mechanical properties of the granules, relative to the mixing intensity in the granulator, are described using the Stokes deformation number

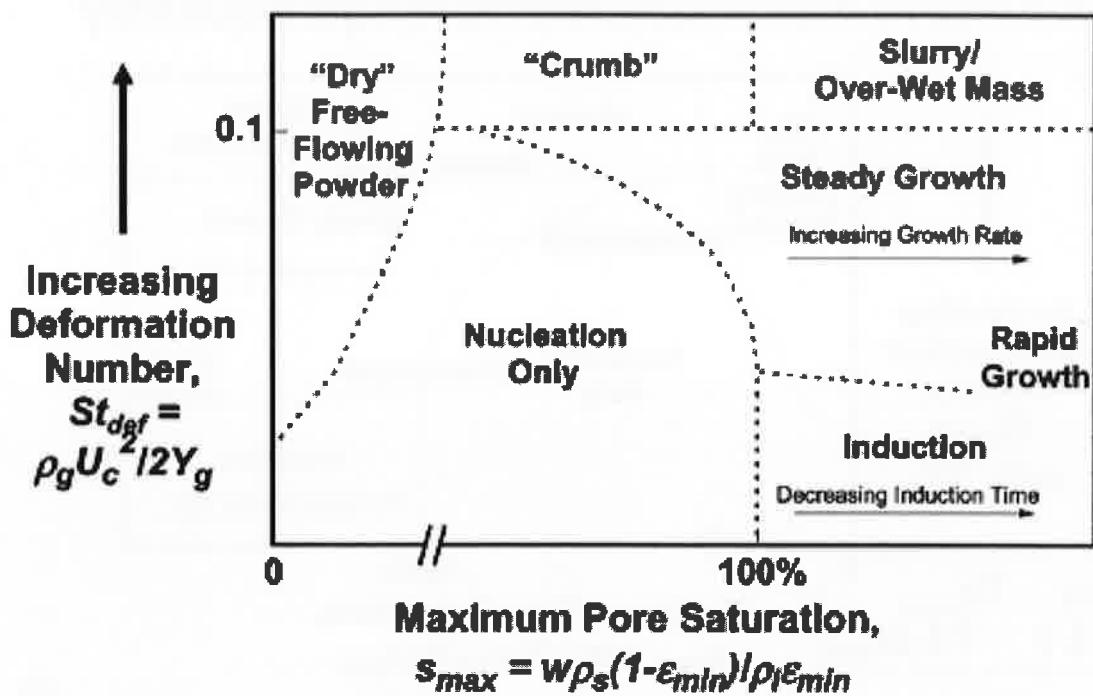
$$St_{\text{def}} = \frac{\text{Impact kinetic energy}}{\text{plastic energy absorbed}} = \frac{\rho u^2}{2Y}$$

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Granule Growth Regime Map (Iveson, 2001)

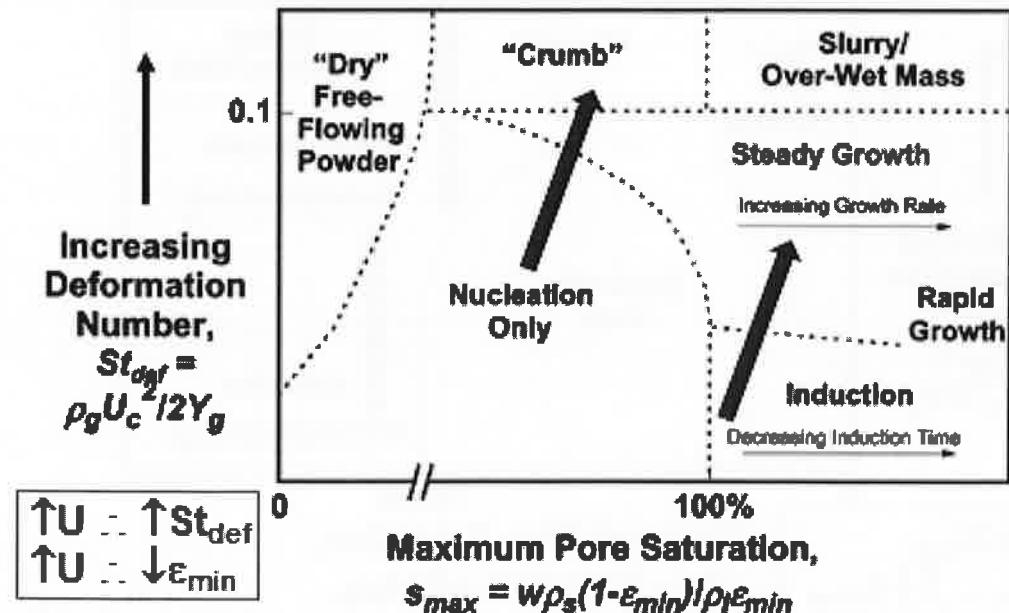


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Granule Growth Regime Map Qualitative movement: Increase impeller speed



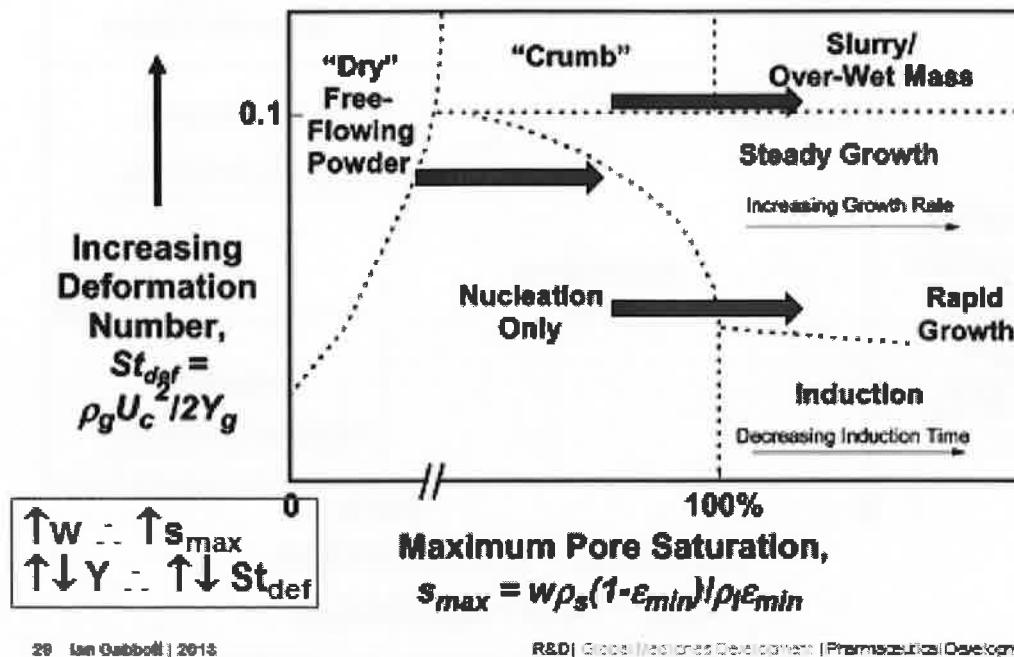
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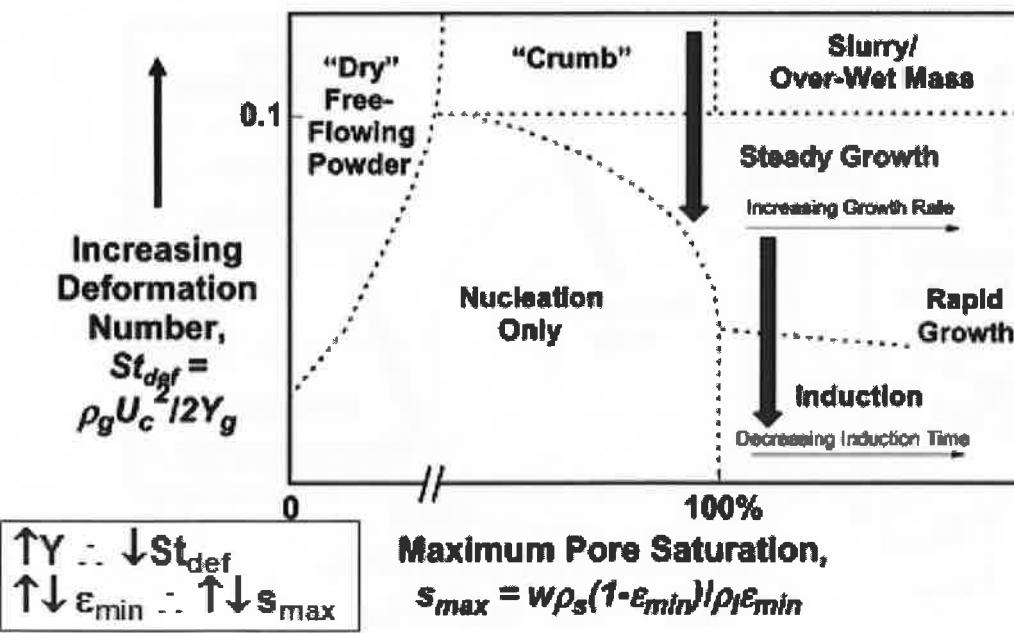
Granule Growth Regime Map

Qualitative movement: Increase liquid quantity



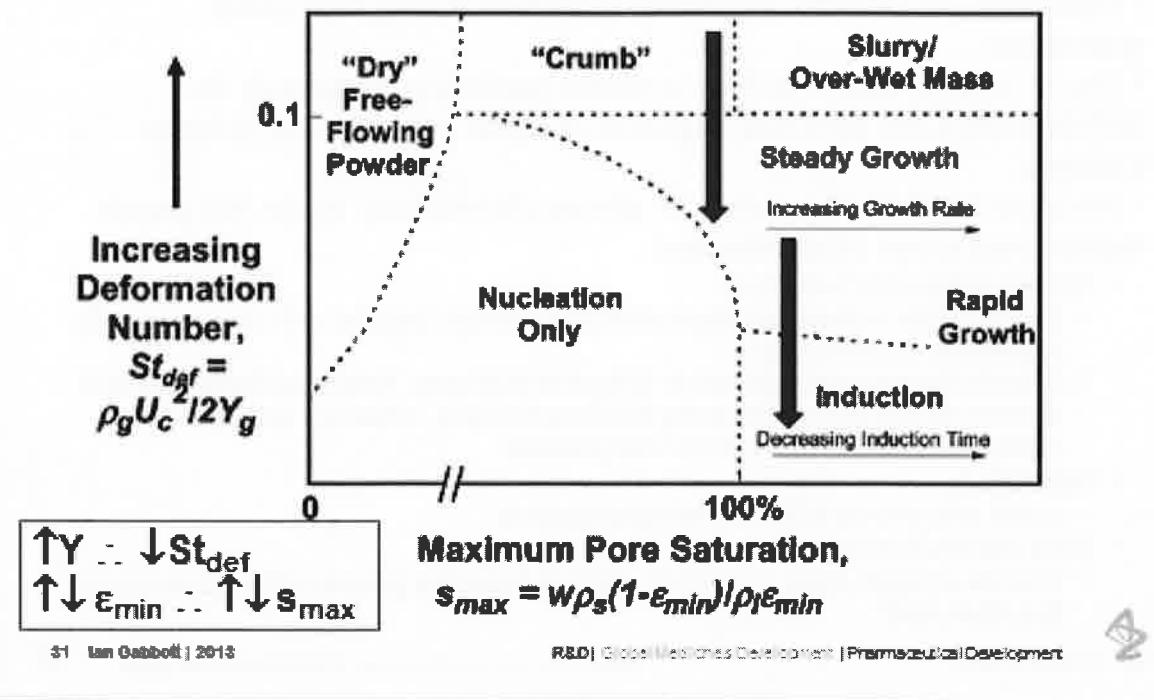
Granule Growth Regime Map

Qualitative movement: Decrease powder size

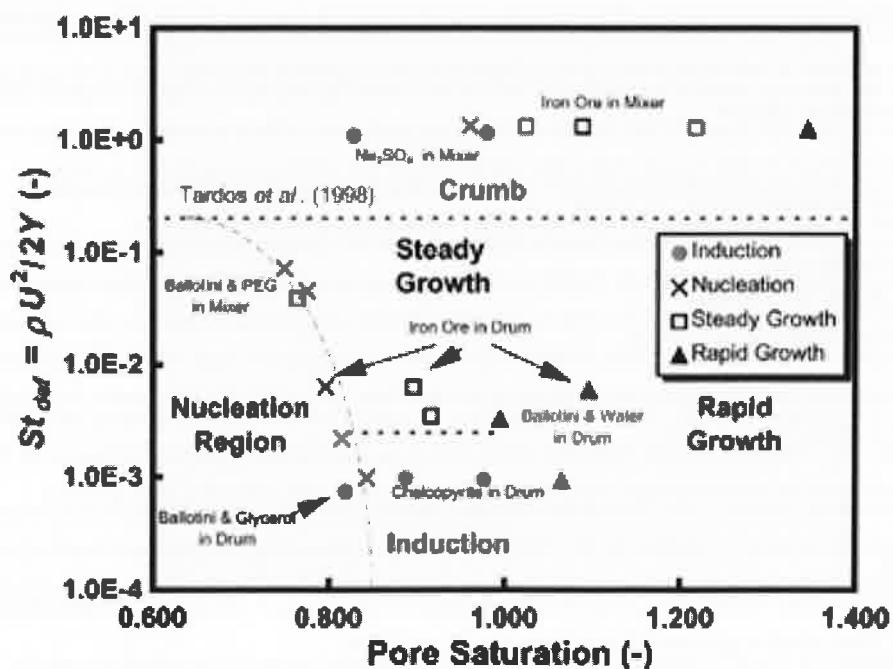


Granule Growth Regime Map

Qualitative movement: Increase liquid viscosity



Growth regime map validation (Iveson, 2001)



Conclusions / Caveats

- Examined the key rate processes important during high shear granulation
- Use of 'regime maps' provide a useful qualitative framework for understanding the potential impact of material property and process changes
- Absolute location of a particular process/formulation within the growth regime map is not straightforward:
 - Stokes deformation number
 - Characteristic collision velocity is difficult to estimate (impeller and chopper speeds can lead to overestimation)
 - Granule dynamic yield strength is difficult to determine. Iveson performed this test at relatively low strain rates using hand-made pellets, which are unlikely to be representative of the structure of real granules
 - Saturation
 - Liquid saturation is difficult to estimate/measure
 - Time dependence
 - Granule strength, liquid content etc. change during the process rather than remain at a fixed point



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Lecture 3. Batch Granulation: Process and Formulation Variables

Prof. Agba Salman (University of Sheffield)



Batch granulation: process and formulation variables

A. D. Salman

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University of Sheffield
United Kingdom

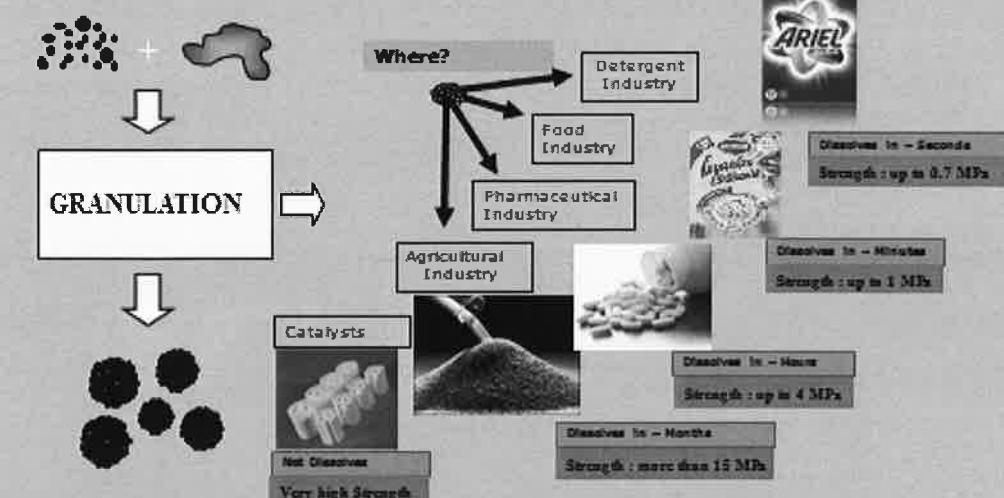
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Granule Properties. It is not just Size anymore! Dissolution time and Strength

Granulation is the name given to the process of agglomerating powder into larger aggregates called granules

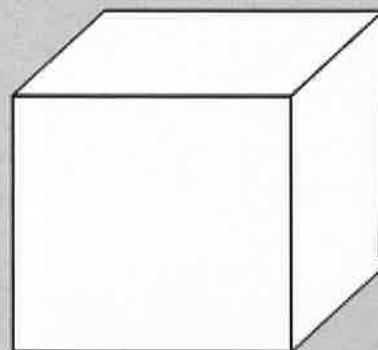


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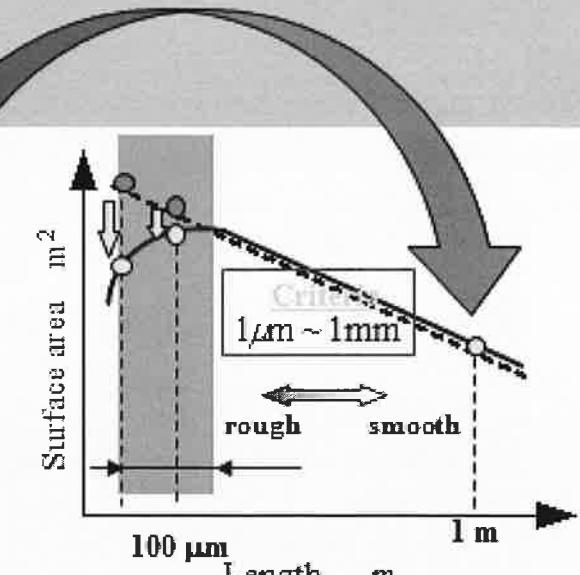
Why Particles: Large Surface Area



$$S_{total} = 6 [m^2]$$

$$\text{For } d=100 \mu \rightarrow S_{total}=6 \times 10^4 [m^2]$$

$$\text{For } d=1 \mu \rightarrow S_{total}=6 \times 10^6 [m^2]$$



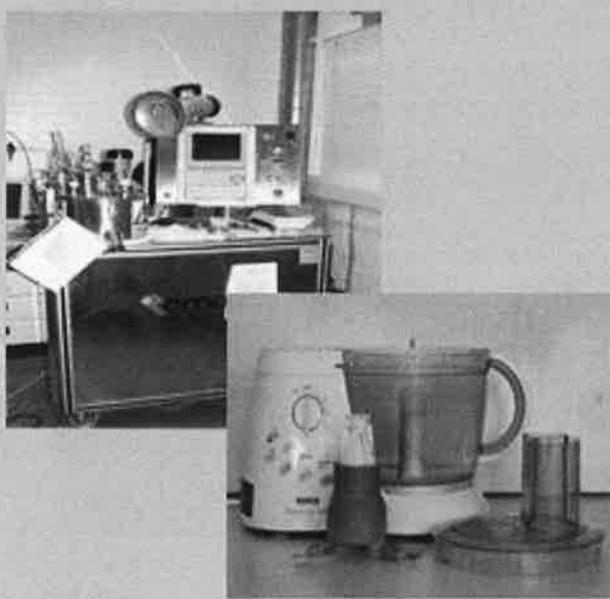
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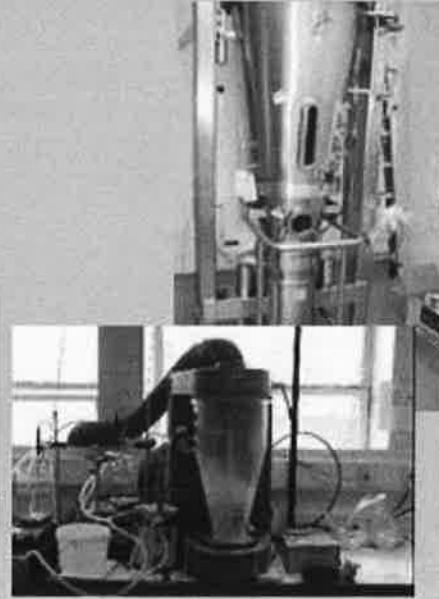


Common Equipment

- High Shear Granulation



- Fluidized Bed Granulation



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Magnitude of Shear Force



Fluidised bed granulation



High Shear Granulation

→ Increasing impact force

∴ Different growth mechanisms!



High Shear Granulation (HSG)

Process Variables:

Granulating time/Binder amount

Impeller speed

Binder addition method

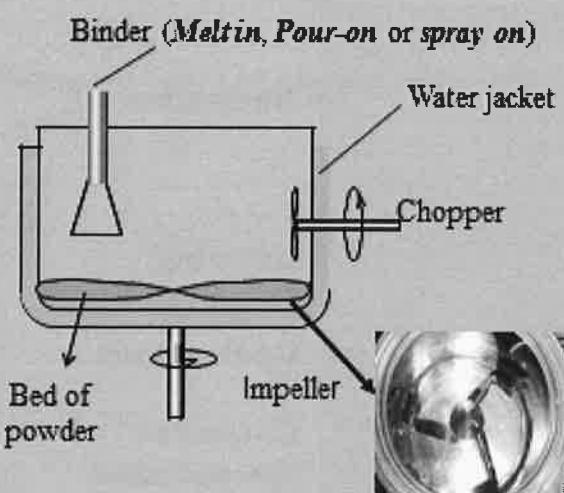
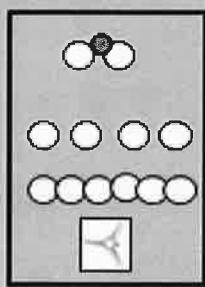
Formulation Variables:

Binder viscosity

Surface tension

Primary particle size

Droplet size



Granule Properties

Size, Structure, Porosity, Strength, Binder distribution



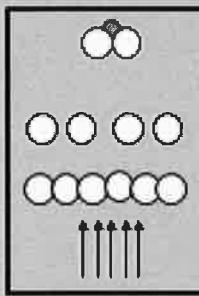
Fluidised Bed Granulation (FBG)

Process Variables:

Granulation time/Binder amount

Air velocity

Binder addition method



Formulation Variables:

Binder viscosity

Surface tension

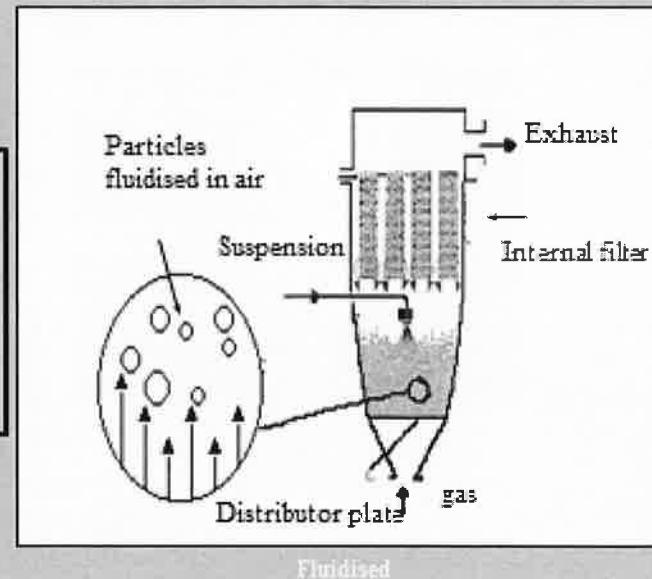
Primary particle size

Droplet size

Granule Properties

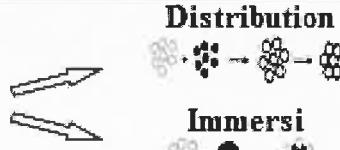
Size, Structure, Porosity, Strength,

Binder Distribution



Granulation Mechanisms HSM

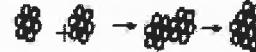
Nucleation



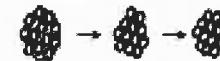
Layering



Coalescence



Compaction/ Spherization

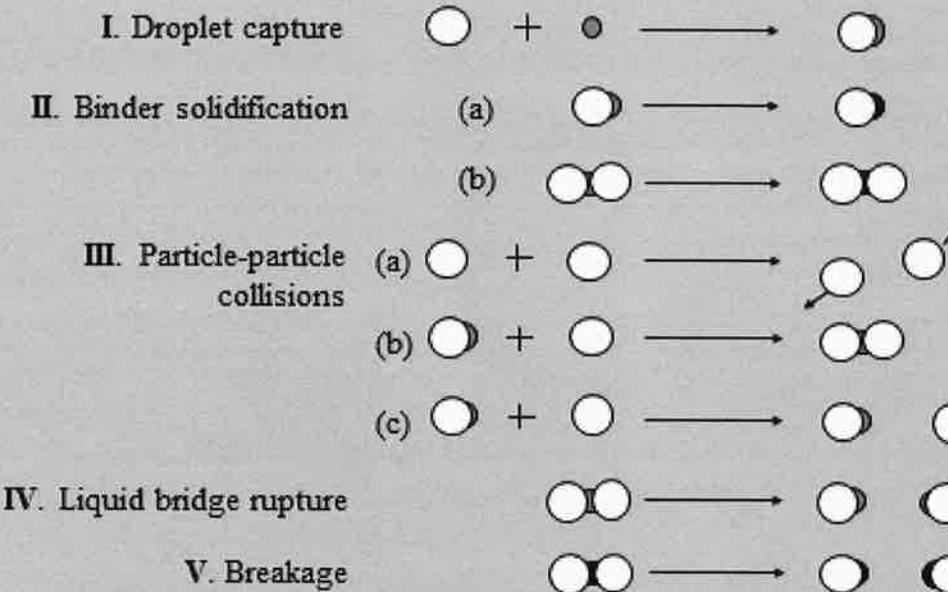


Breakage/Attrition





Mechanisms of FBG



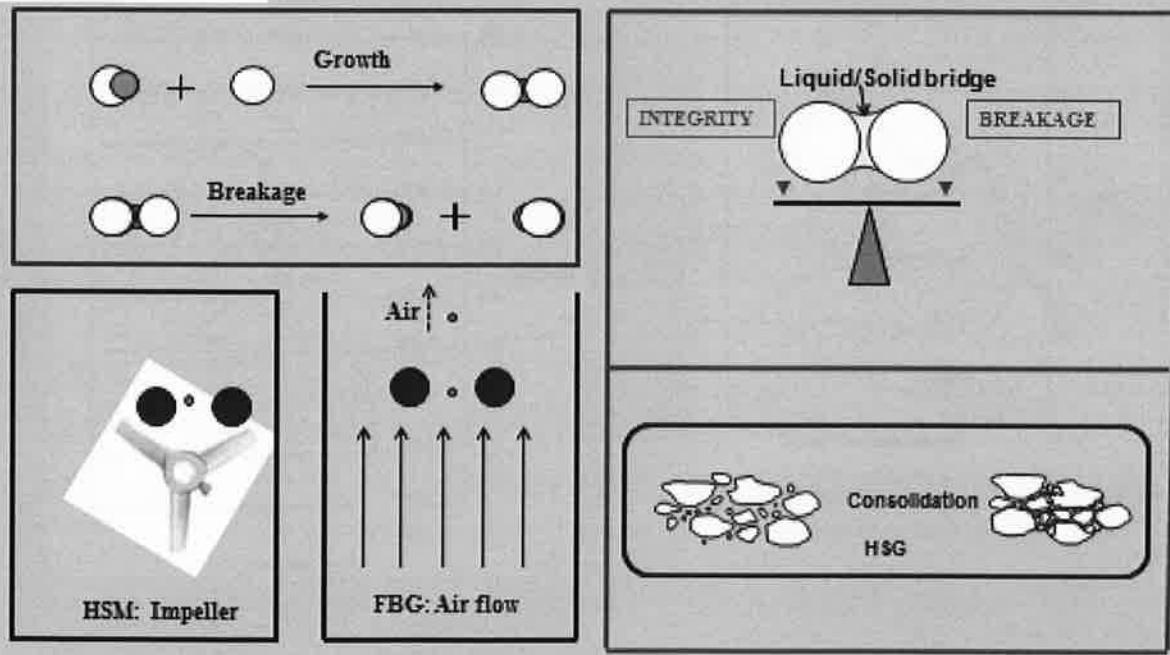
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Growth and Breakage



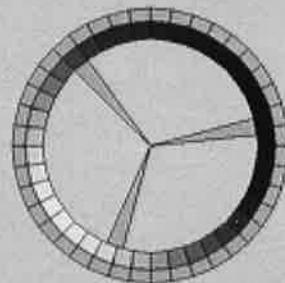
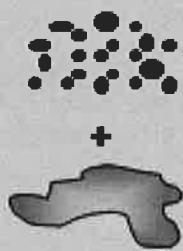
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HSG and FBG

What are Attributes of High Quality Granulation?

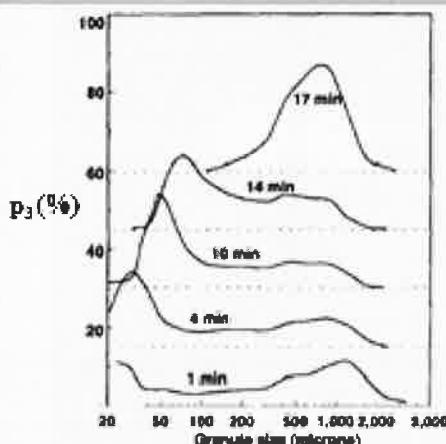


Product Properties:

- Granule size distribution
- Structure/Shape
- Porosity/ Dissolution
- Strength
- Homogeneity (Liquid/Solid ratio)

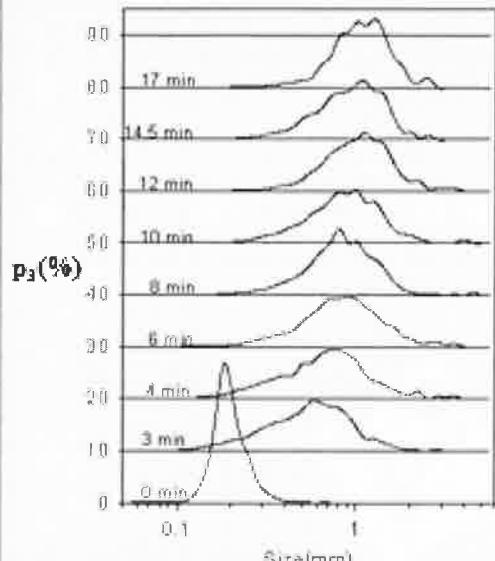


Evolution of Granule Size Distribution in FBG and HSG



Knight et.al 1998
High Shear Granulation

p_3 = normalized volumetric frequency
distribution



Tan et al. 2006
Fluidised Bed Granulation



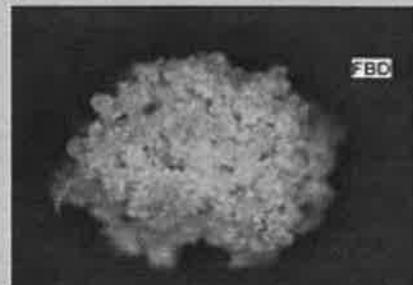
Granule Structures - SEM

High shear granules



- Higher density
- Lower surface and interstitial porosity
- Porosity $\approx 0.008 - 0.02$
- Lower dissolution rate

Fluidised bed granules



- Lower density
- Higher surface and interstitial porosity
- Porosity $\approx 0.1 - 0.3$
- Higher dissolution rate

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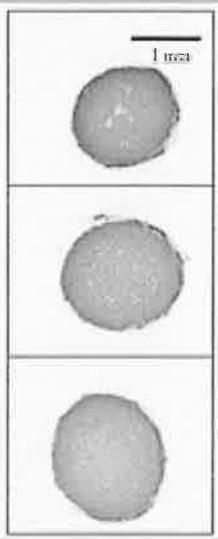
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Granule Structures - XRT

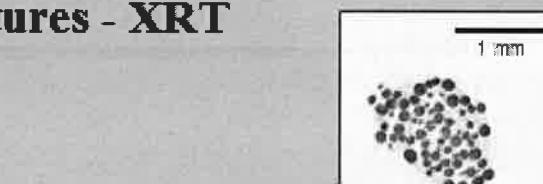


400 rpm

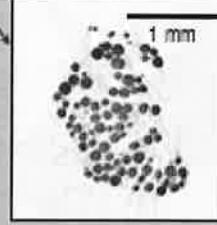
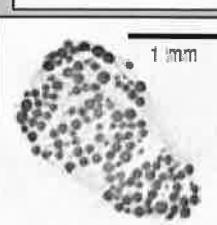
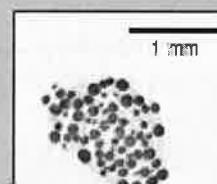


600 rpm

High shear granules



Fluidised bed granules



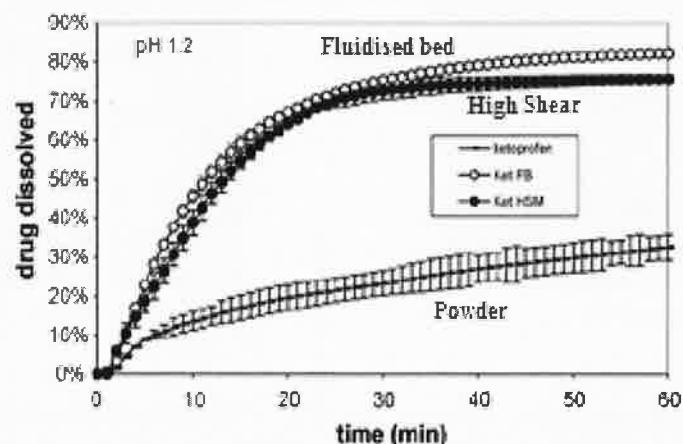
Daniel Barrera-Medrano, 2006

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Dissolution of Granules



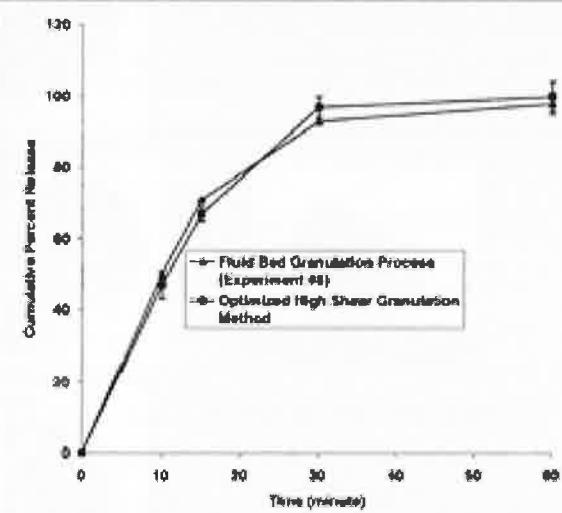
Passerini et al. 2010

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Dissolution Rate of Tablet



Despite the granules having different shape and flow properties, the tablet dissolution rate achieved with compacts made by high shear granulation can also be duplicated by those made by fluidised bed granulation.

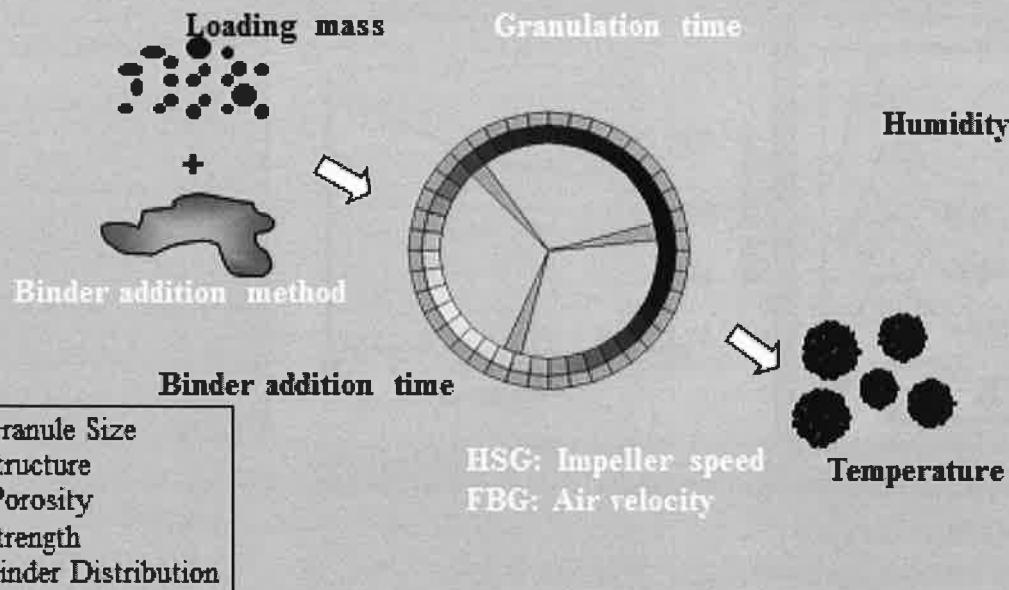
J.Z.H. Gao et al. 2002

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Main Process Variables: High Shear Granulation and Fluidised Bed

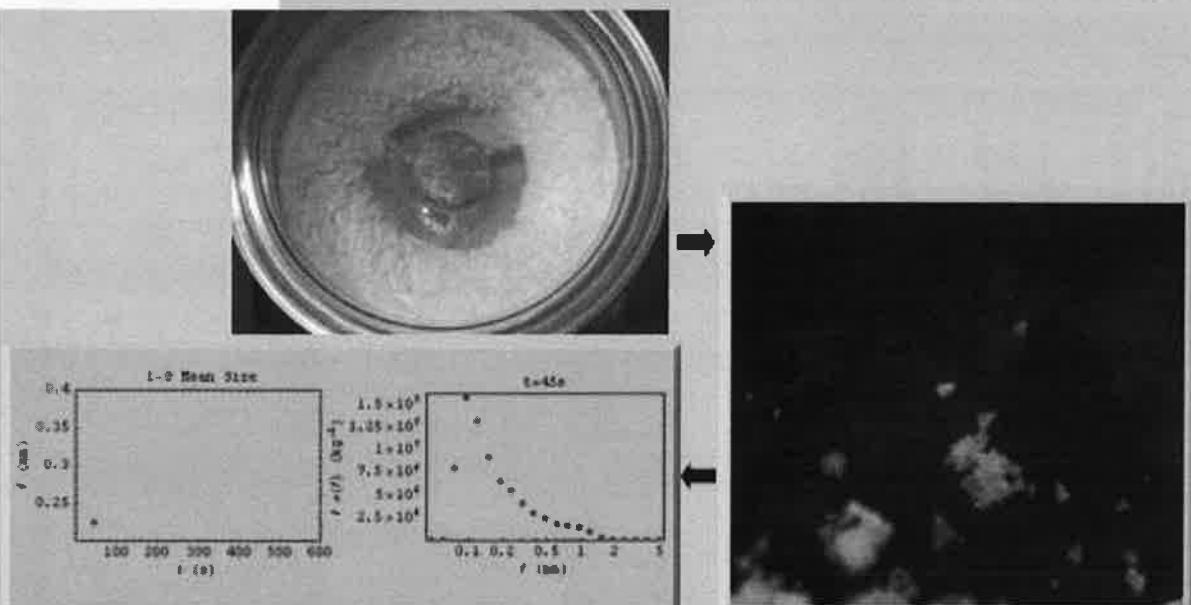


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VOS - Visual Online Sizing



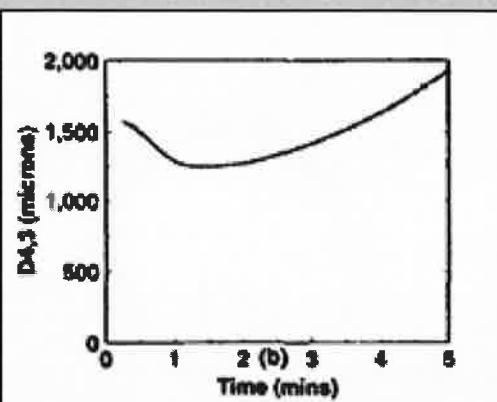
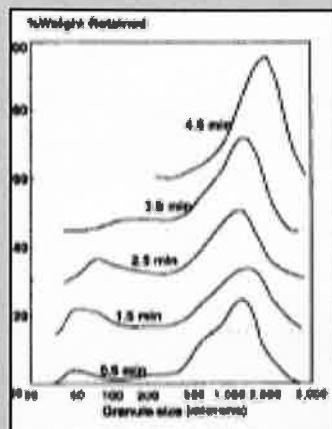
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Process Variables

1- Granulation Time on Granule Size



Materials

- Calcium Carbonate ($D_{5,0} = 4 \mu\text{m}$)
- Polyethylene glycol (PEG1500)

X

Increasing the processing time

→ homogenization

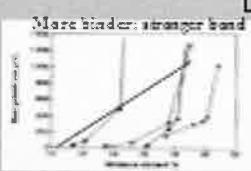
→ narrow granule size distribution

P.C. Knight et al, 1998

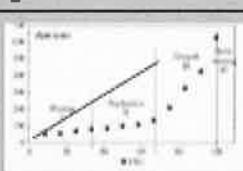
Effect of Granulation Time on: Granule Size



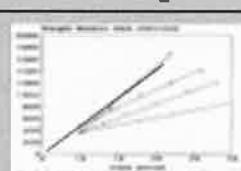
Increasing the granulation time: increasing the amount of binder
Increasing the growth
For low viscosity binder: increasing the breakage
More granulation time: increasing the consolidation and growth



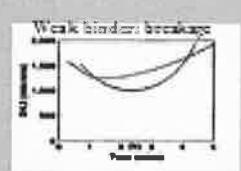
Kristensen, 1996



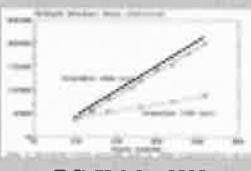
Benali et al, 2009



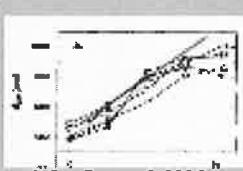
P.C. Knight, 1993



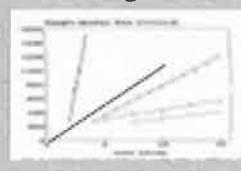
P.C. Knight et al, 1998



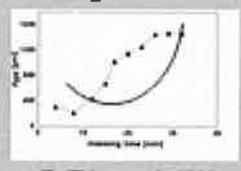
P.C. Knight, 1993



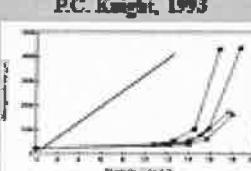
Schaefer et al, 1996



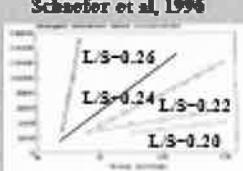
P.C. Knight, 1993



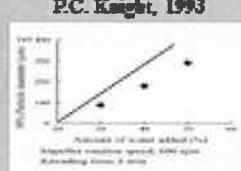
R. Thier et al, 1999



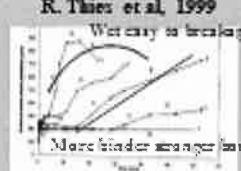
Kristensen, 1996



P.C. Knight, 1993



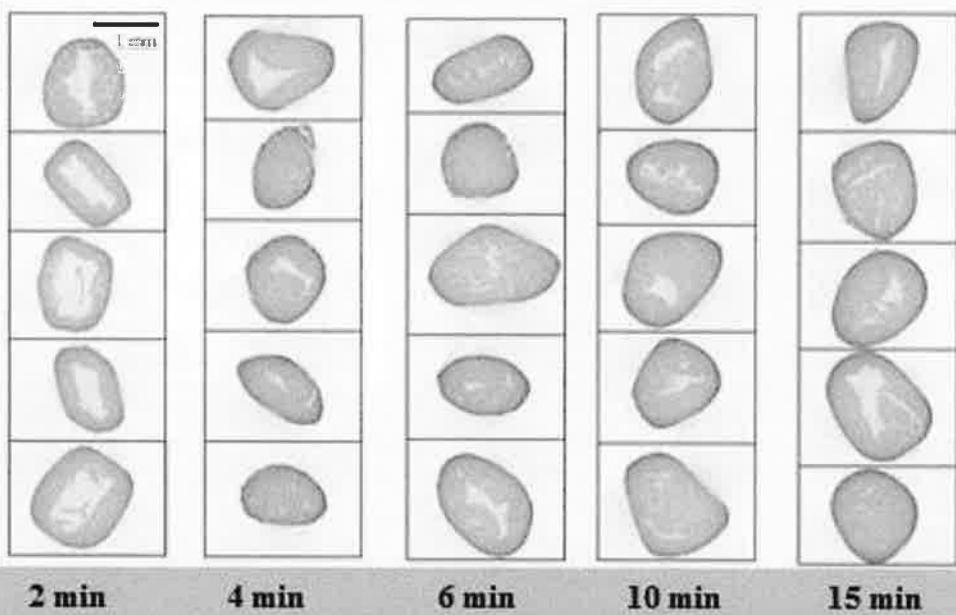
Ibarraa Olave, 2007



Heermann, et al 1998



Effect of Granulation Time on: Structure (Solid Binder)



David Barnes, Walmsley, 2006

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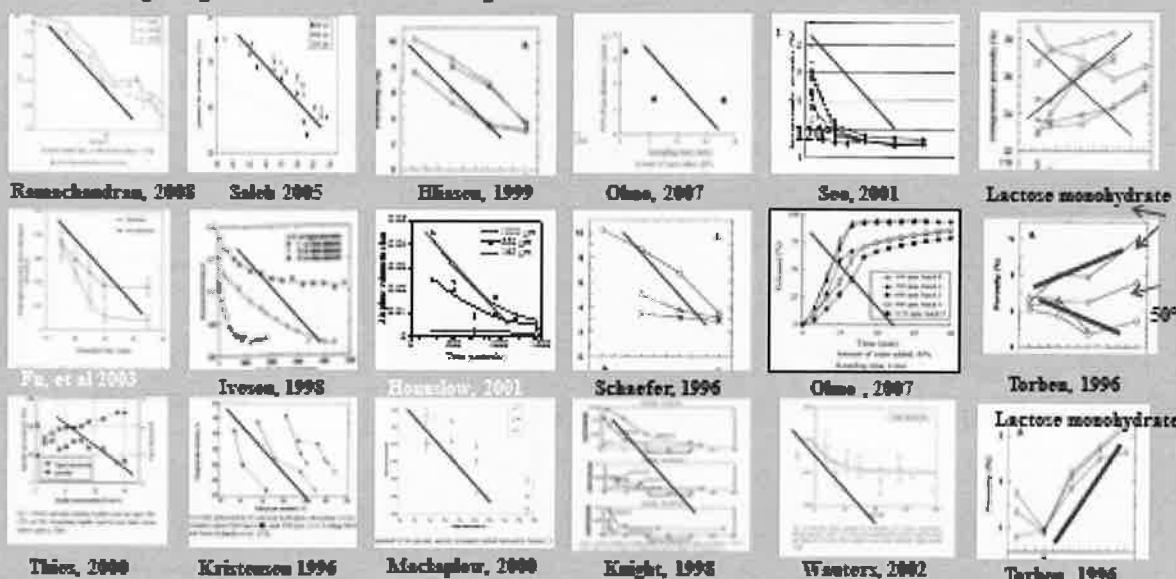


Effect of Granulation Time on Porosity

Porosity = The ratio of the volume of pores to the total volume of the granule



Increasing the granulation time increasing the consolidation

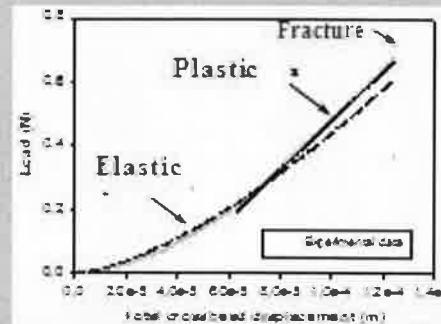
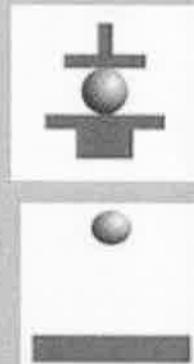


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Strength Parameters: assuming Elasto-Plastic Contact Mechanics for Spheres Dry Granules



$$\text{Elastic } P = \frac{\sqrt{2}}{3} R^3 E^* \delta^{2/3} \quad E^* = \frac{E_s}{1 - \nu_s^2}$$

$$\text{Plastic } P = \pi R H \delta \quad \text{Johnson (1985)}$$

σ : fracture stress, P : fracture load, ν : Poisson's ratio, δ : displacement, H : hardness, E^* : effective Young's modulus, R : granule radius, D : granule diameter

$$\text{Fracture } \sigma_f = 2.8 \frac{P_f}{R^2}$$

Hiramatsu & Oka (1966)

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Effect of Granulation Time on: Granule Compression Strength



$$\sigma = \sigma_{\max} - (\sigma_{\max} - \sigma_0) \exp(-t/\tau)$$

Where

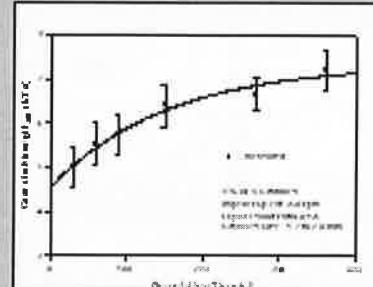
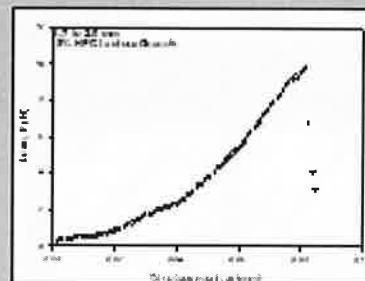
t is granulation time (s)

σ_{\max} is maximum granule strength

σ_0 is the initial granule strength

τ is the characteristic time of the strengthening process

σ_{\max} (MPa)	7.4 ± 0.3
σ_0 (MPa)	4.5 ± 0.4
τ (s)	164 ± 66



- Granule strength obtained from diametric compression is well described by the rate equation

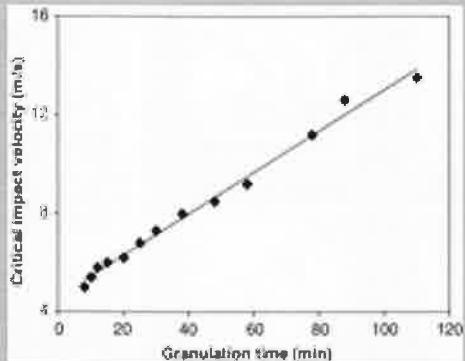
Mangwandi, 2008

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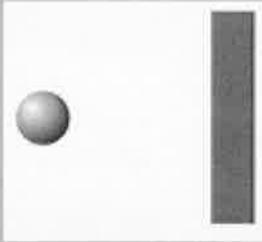
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Effect of Granulation Time on: Impact Strength



J. Fu et al., 2005



- Critical impact velocity is the minimum velocity required to break the pellet in impact test.
- Higher critical impact velocity means the stronger the pellet.

It can be inferred from this result that granule strength increases with granulation time. This could be due to the fact that granules become more denser with time due to consolidation.

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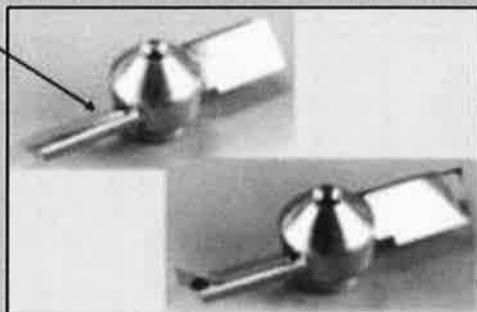
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Operating Conditions: 2- Impeller Speed

Granulator Design: Impeller Blade

Straight



Curved

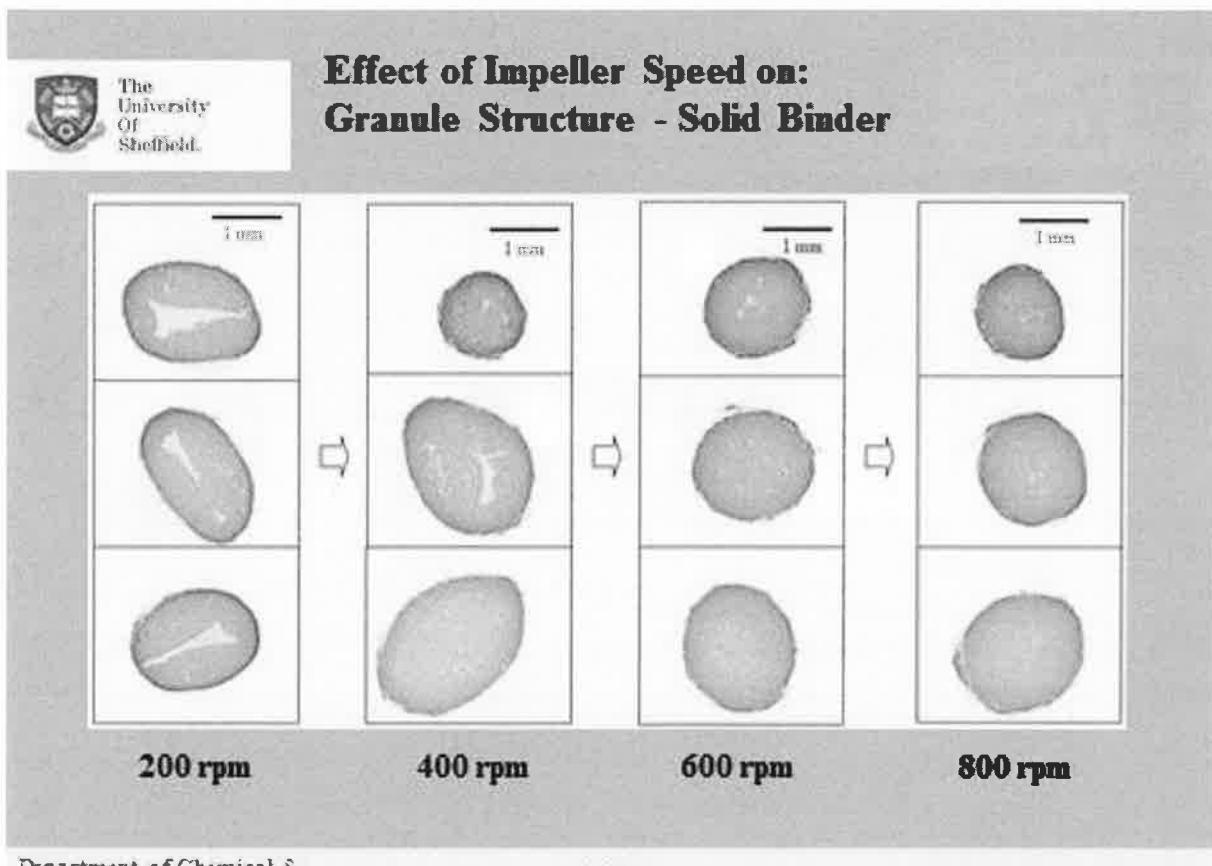
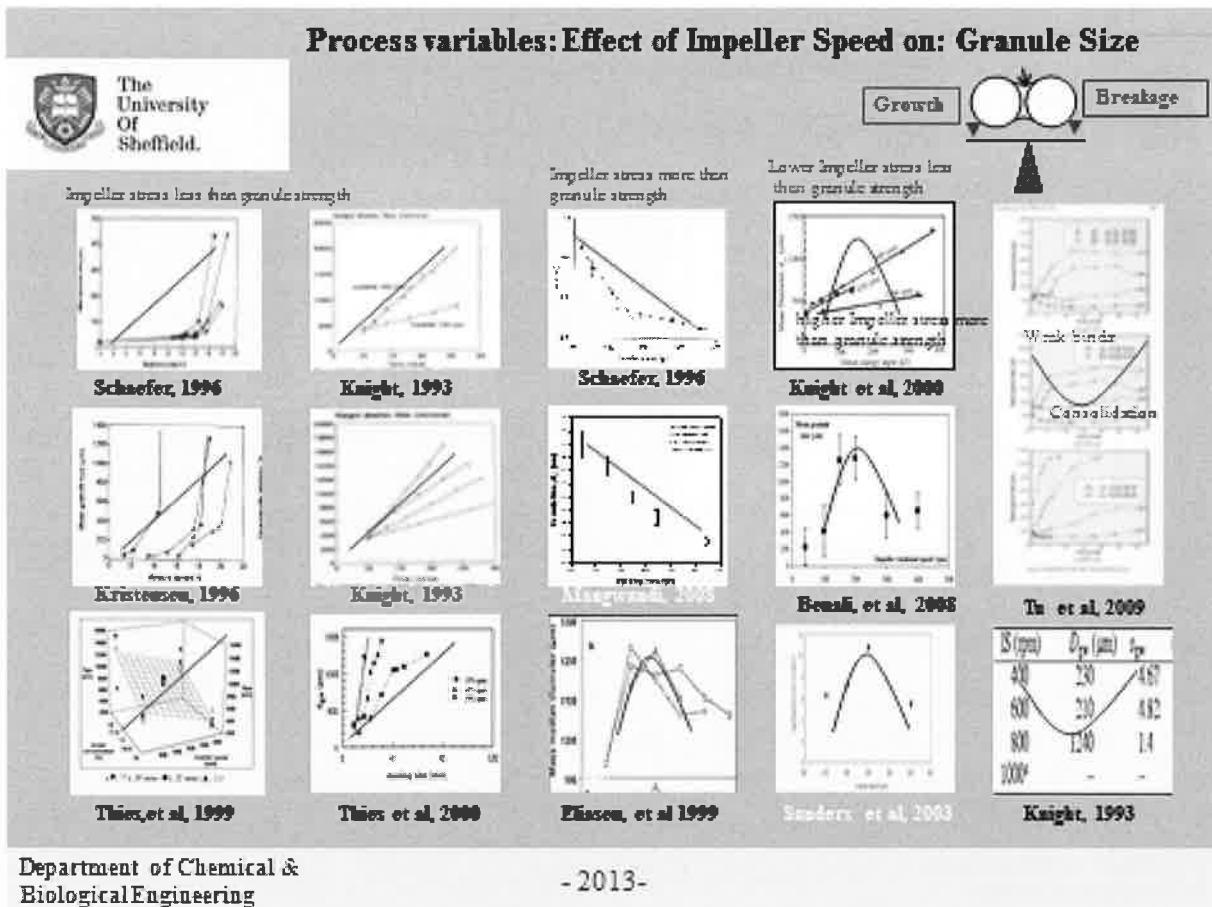
Curved Blades

- Helix-like movement of the mass
- More spherical agglomerates
- Narrower PSD
- Better reproducibility
- Lower intra-granular porosity

"High Shear Pelletization", L. Schaeffer, TTC

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Effect of Impeller Speed on: Shape

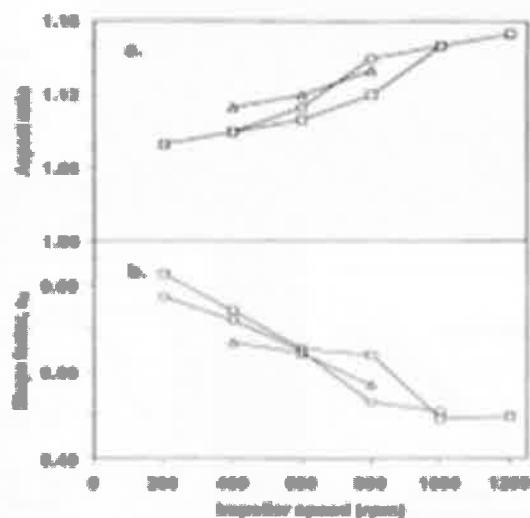
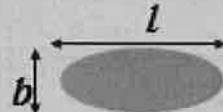


Fig. 9. Effect of impeller speed on the aspect ratio (a) and the shape factor (b) of the agglomerates at different jacket temperatures. Jacket temperature: (○) 10°C, (●) 40°C, (△) 80°C.

Aspect ratio, AR is given by

$$AR = \frac{l}{b}$$

l is the longest distance
b the shortest distance



Helle, 1999



Effect of Impeller Speed on: Granule Porosity

Increasing the impeller speed:
Increase the consolidation; decrease porosity
For low viscosity binder: increasing the breakage;
increase porosity

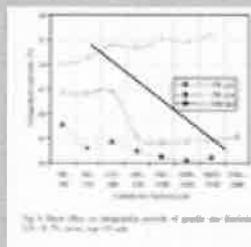
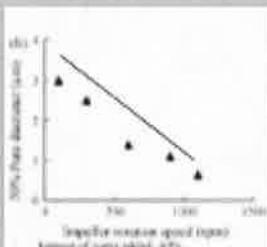
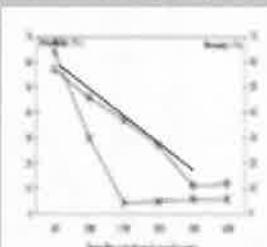


Fig. 10(a) Effect of impeller speed on granule density
Oulaha et al., 2003



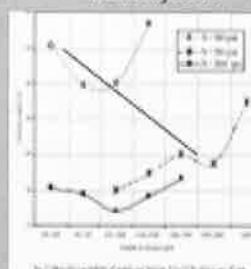
Ohno, 2007



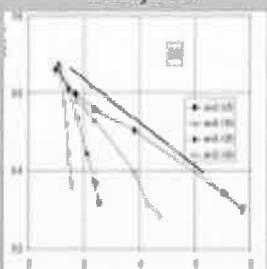
M. Benali, 2009



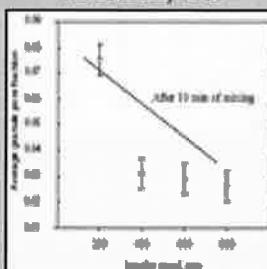
Devay et al., 2006



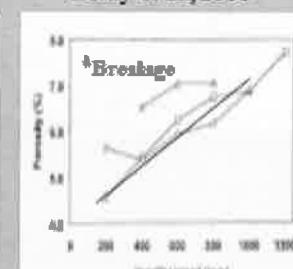
Oulaha, 2003



Gluba, 2005



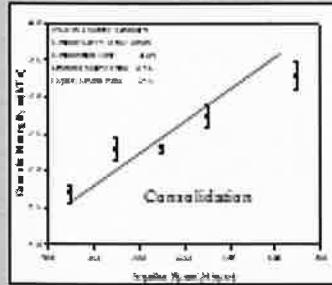
Cabbot, 2007



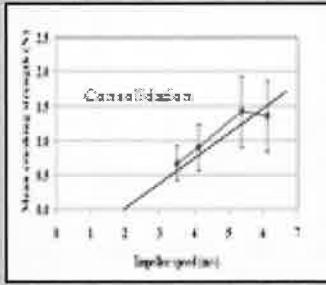
Eliassen, 1999



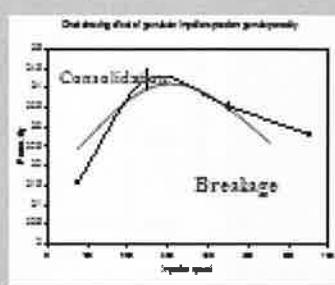
Effect of Impeller Speed on: Strength of Granules



Mangwandi, 2008



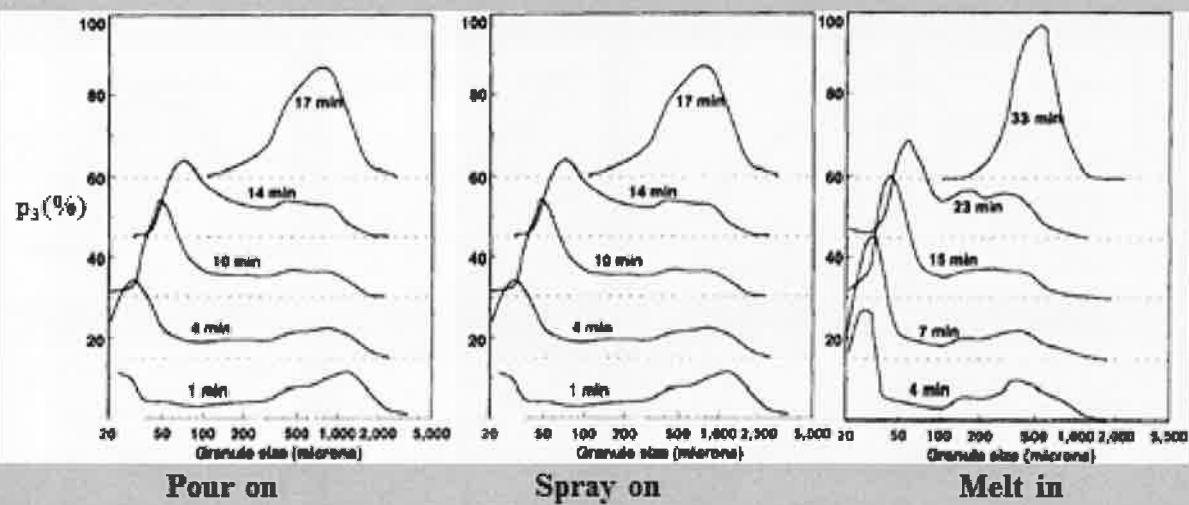
Rahmanian et al, 2007



Drovio, 2008



3- Effect of Binder addition method on Size



Pour on

Spray on

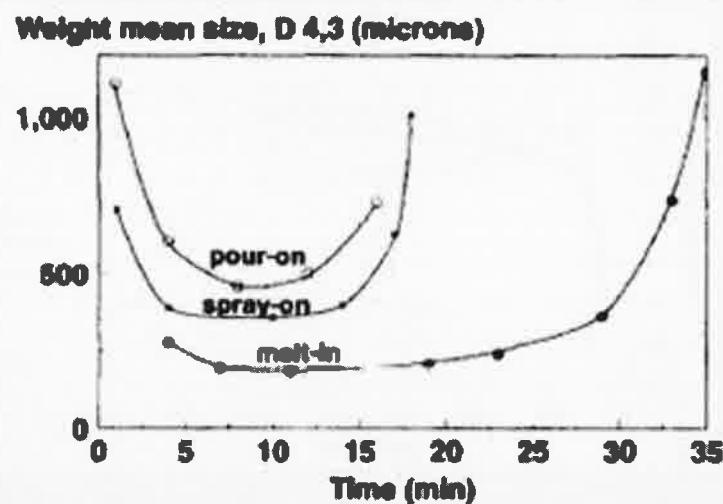
Melt in

Knight et.al 1998

Data for granulation in a 600 mm diameter vertical axis granulator.
Impeller speed: 150 rpm, chopper speed: 3000 rpm



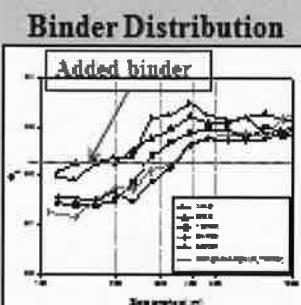
Process Variables Effect of Binder addition method on Size



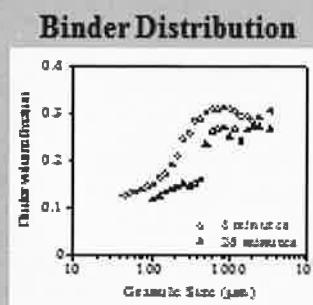
Knight et.al, 1998



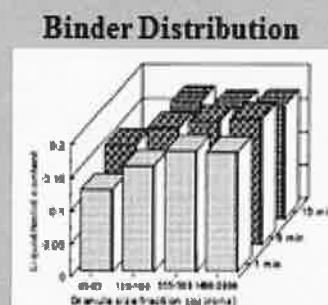
Granule Properties. It is not just Size anymore! Binder Distribution



Reynolds et al, 2004



Scott & Hounslow, 2000

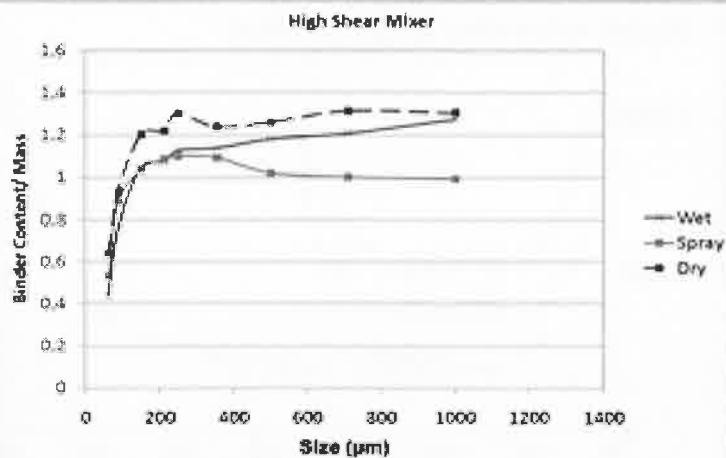


Knight et al, 1998



Binder Distribution

Spray addition, Wet addition and Dry addition



Binder distribution curves for each of the procedures in the High Shear mixer
Dry addition; Wet addition and Spray addition

J Osborne, 2009

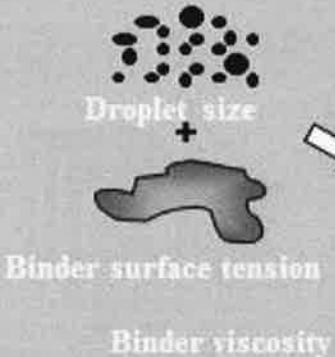
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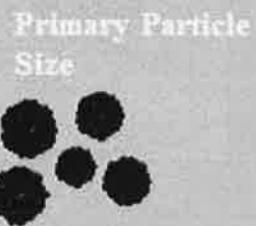


Main Formulation Variables

Binder type



Primary type



Granule Size
Structure
Porosity
Strength
Binder Distribution

Binder-Particle interactions

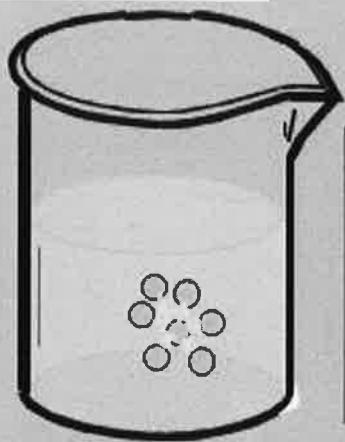
Materials Variables

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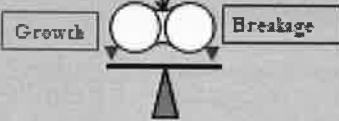
Viscosity



Viscosity is the measure of how “thick” a fluid is. It is a property of the fluid and defines its internal resistance to flow or stresses placed upon it. It can also be considered fluid friction.

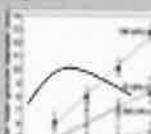
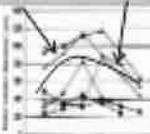
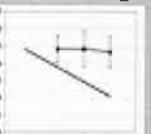
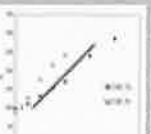


1- Effect of Binder Viscosity on Granule Size



Low viscosity

High viscosity



Thies 1999

Seo 2002

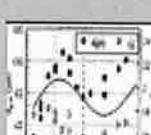
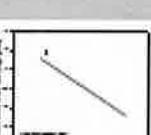
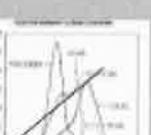
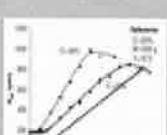
Chen 2003

Post 2001

Rehmanian 2009

Anits et al., 2001

MGh 2000

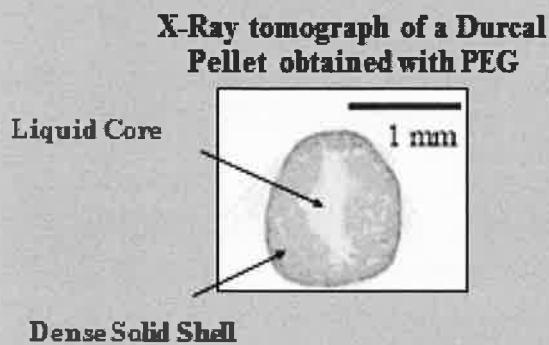




Effect of the Binder Viscosity on: Structure

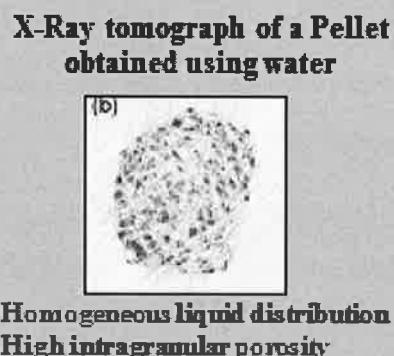
High Viscosity

→ Promotes Immersion

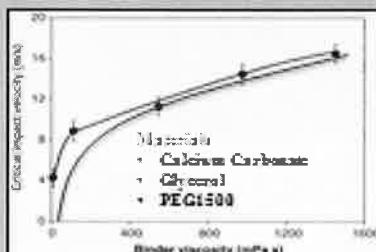


Low Viscosity

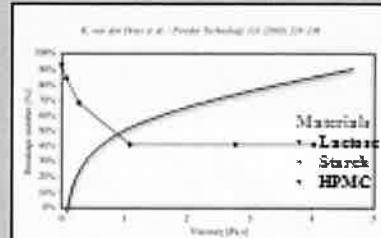
→ Promotes Distribution



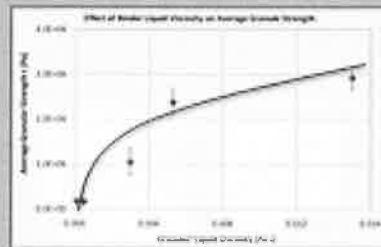
Effect Binder Viscosity on: Granule Strength



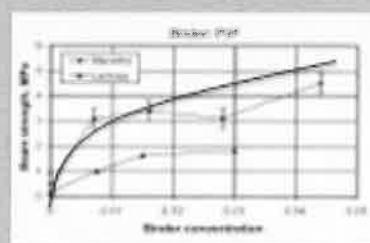
J. Fu et al., 2005



van den Dries et al., 2003



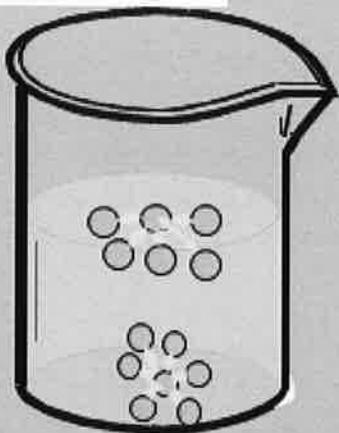
Drovlo, 2008



Bilka et al., 2005

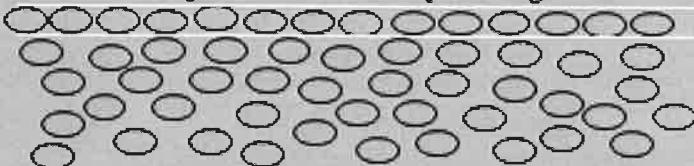


Formulation Variables: Surface Tension



**What's going on
at the surface
of a liquid?**

Surface molecules also form a much smoother surface than one would expect from randomly moving molecules



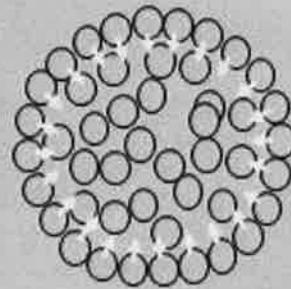
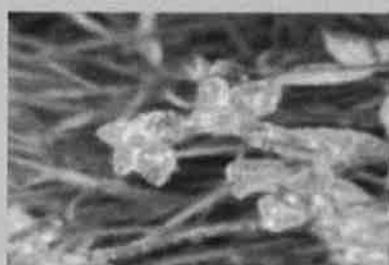
When the cohesion between the molecules of liquid is strong but between the liquid and air it is weak

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This explains the characteristic rounded shape that liquids form when dropping through the air: The molecules are all being pulled toward the centre.



Staple

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2- Effect of Surface Tension on: Granule Size

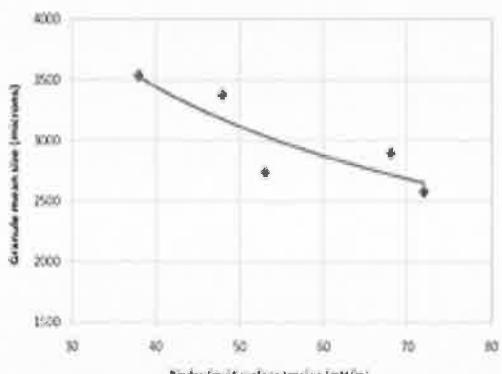


Figure 82: Effect of liquid surface tension on granule mean size

Difficult to consolidate the granules; and difficult to push the binder to the surface

Drovlo, 2008

The mean Granule size decreases with increasing surface tension

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3- Effect of Primary Particle Size: Strength

Rumpf (1962):

$$\sigma = \frac{8}{9} \frac{1-\varepsilon}{\varepsilon} \frac{F_{bond}}{d_{3,2}^2}$$

High shear: Strong, dense
Fluidised bed: Weak, porous

- Suggests that high Porosity granules will have low Strength

σ Granule strength

F_{bond} Bonding force between particles

ε Intra-granular porosity

$d_{3,2}$ Surface mean diameter of the primary particles

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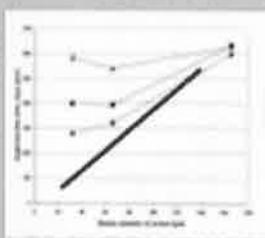
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Effect of Primary Particle Size

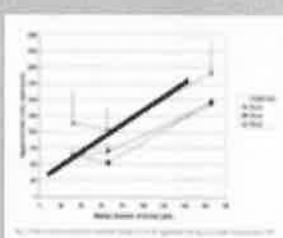
Increasing the primary particle size:
For strong and well spread binder: increase

Strong binder

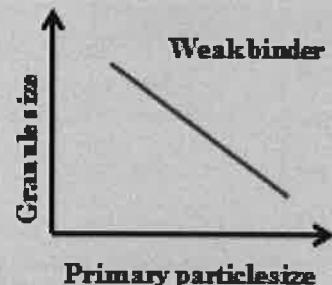


Alberger et al, 2002

Strong binder



Alberger et al, 2002

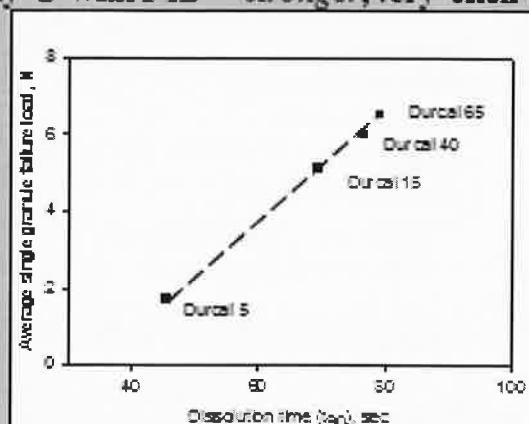


Effect of Primary Particle Size on Strength

Strength

⇒ Mono-sized → model (Rumpf) predict *smaller = stronger*

⇒ Reality → *wide PSD = stronger, very often larger mean size*



I. P. Gabbot, 2007



4- Effect of Droplet Size/Solid Binder size on Granule Size

Liquid Binder

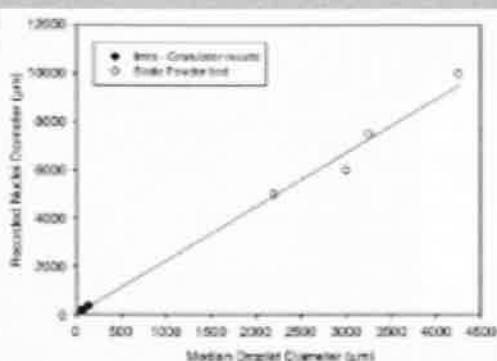


Fig. 11. Produced nuclei size as a function of droplet size.

K Ax, 2008

Solid Binder

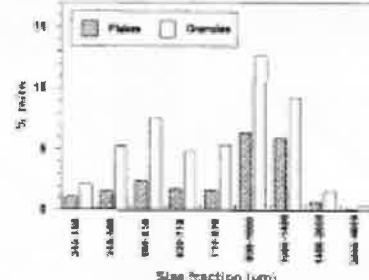


Fig. 6. Comparison between the particle size distribution of PEG 2000 flakes at 1 min before melting and the granule size distributions at 1 min of mixing.

Schaefer, 1996

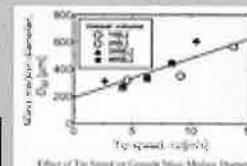
Scale-Up High Shear Granulation

Tip Speed of Impeller:

The product of the rotational speed and the radius of impeller

$$v_t = r \times \omega$$

v_t Rotational speed
 r Impeller radius

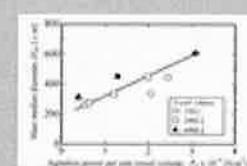


Watano et al., 2005

Agitation Power per unit Vessel Volume

$$P_a = \frac{\omega T}{V}$$

T Impeller torque
 V Volume of vessel
 ω Rotational speed

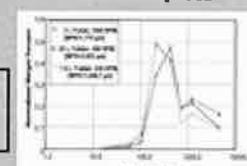


Sato et al., 2005

Shear Stress

$$\frac{N_s}{N_y} = \left(\frac{D_y}{D_x} \right)^n$$

N_s Scale-up rule which insures that the particles receive the same stress in which case $n = 0.8$, for constant tip speed, $n = 1$
 N_y Rotational speed, diameter D_y
 N_x Rotational speed, diameter D_x



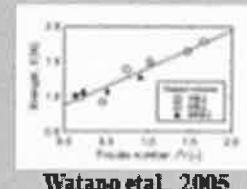
P.D. Hede et al. 2003

Froude Number

$$N_f = \frac{\omega^2 D}{g}$$

ω Rotational speed
 D Impeller diameter

Dimensionless number giving the ratio of the centripetal forces to gravitational forces



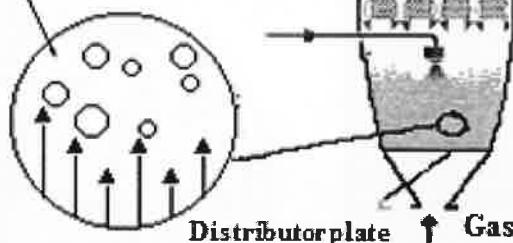
Watano et al., 2005



Fluidised Bed Granulation (FBG)

To improve flowability
To improve product homogeneity
To control product dissolution characteristics, strength; porosity; bulk density

Particles fluidised in air



Exhaust

Internal filter

Fluidised bed

Distributor plate

Gas

Process Variables:

- Effect of Granulation Time/ Amount of Binder on Granule Size/Shape
- Effect of Fluidizing Air Velocity

Formulation variable:

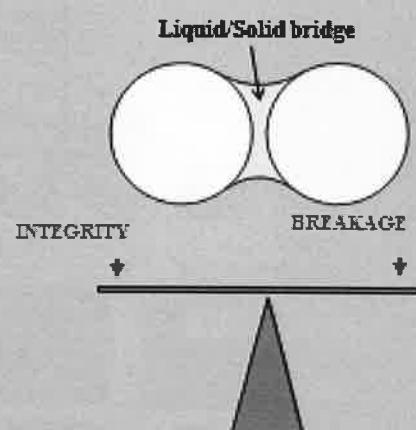
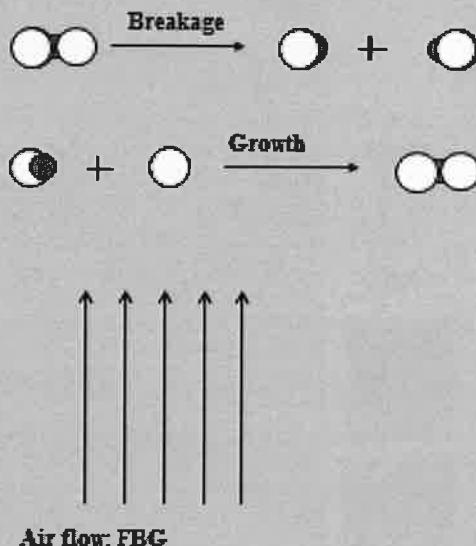
- Effect of Binder Viscosity/ Binder Concentration
- Effect of Primary Particles Size
- Effect of Droplet Size

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Growth and Breakage



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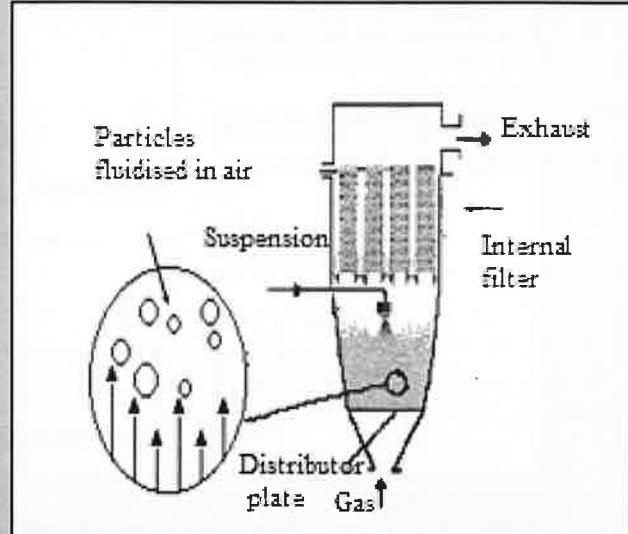
Fluidised Bed Granulation (FBG)

Process Variables:

- Effect of Granulation Time/Amount of Binder on Granule Size/Shape/Porosity
- Effect of Fluidizing Air Velocity

Formulation variables:

- Effect of Binder Viscosity/Binder Concentration
- Effect of Primary Particle Size
- Effect of Droplet Size



[Click here](#)

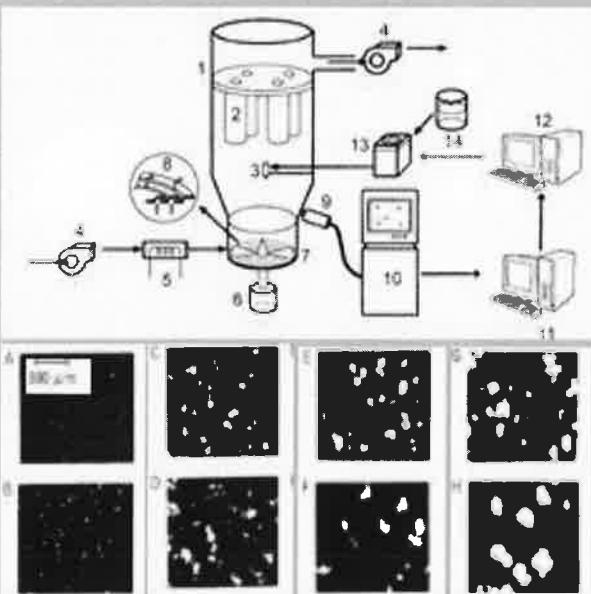
Fluidised

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Direct Control of Granulation Processes by Image Processing System



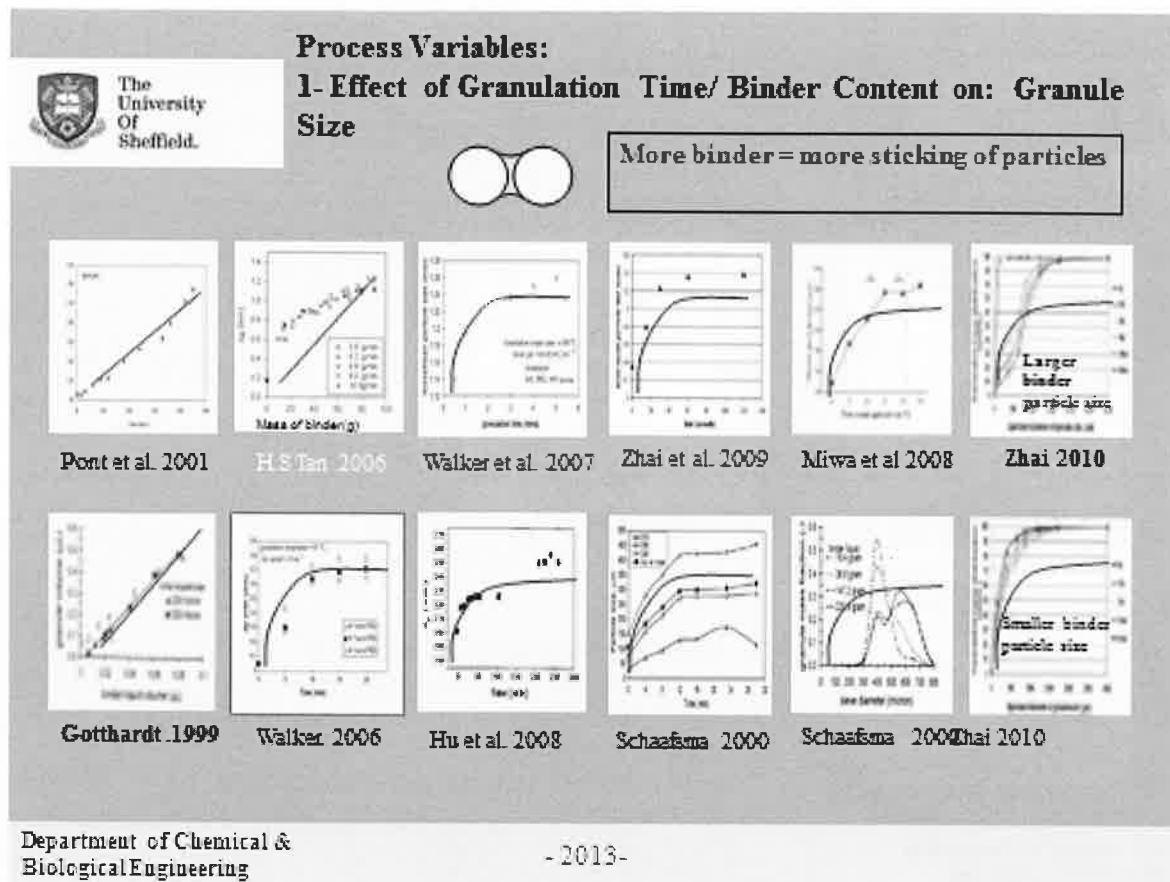
S. Watano 2001

Pictures of granules taken by the developed image processing system.

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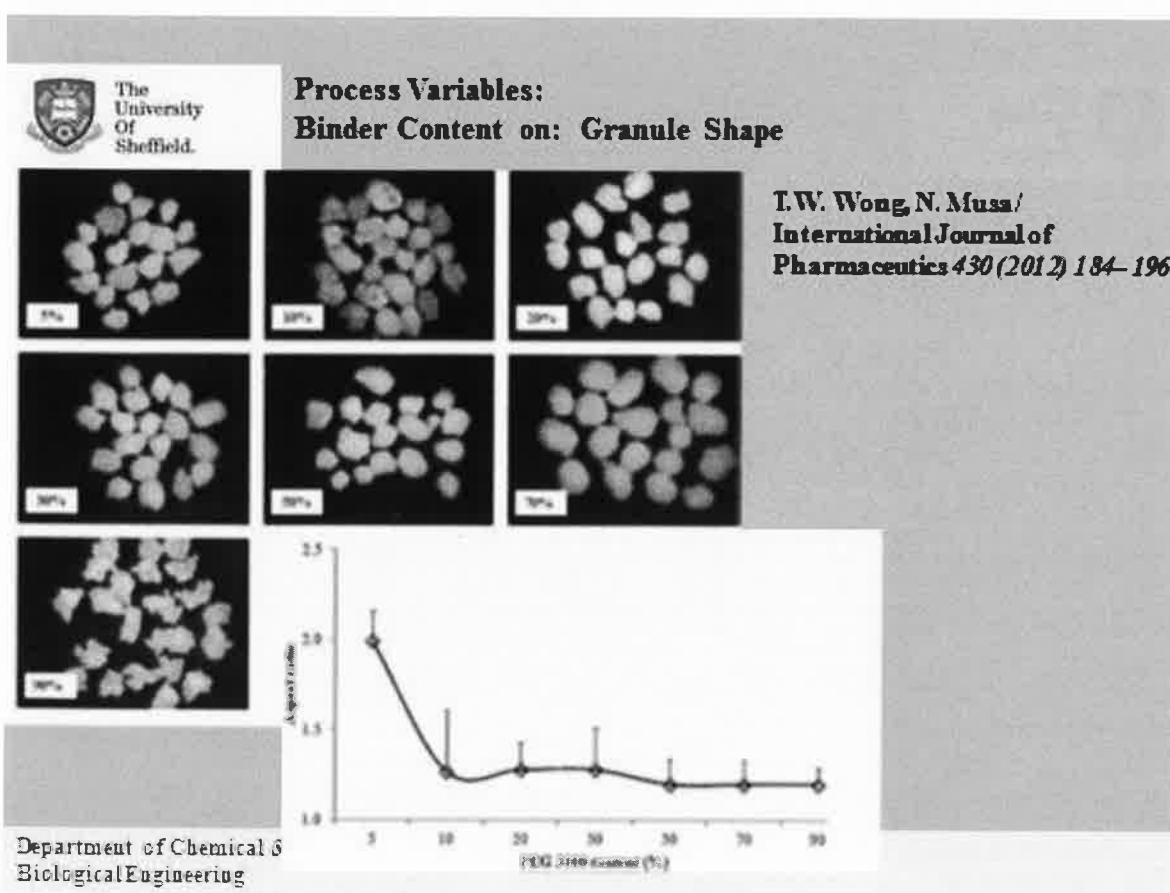
- 2013 -

Lecture 3. Batch Granulation: Process and Formulation Variables



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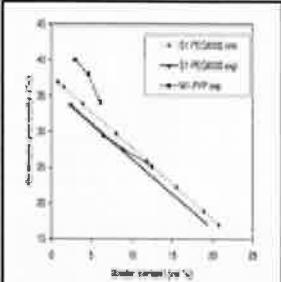
- 2013 -



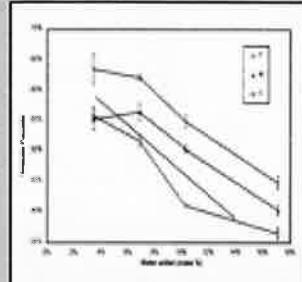


Effect of Binder Amount on Porosity

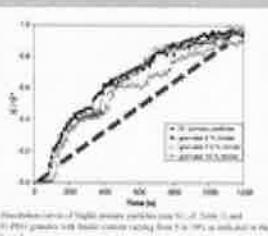
Increasing the binder content :
Increase the size of bond between particles: reducing porosity



Assari et al. 2008



Mackayle et al. 2009



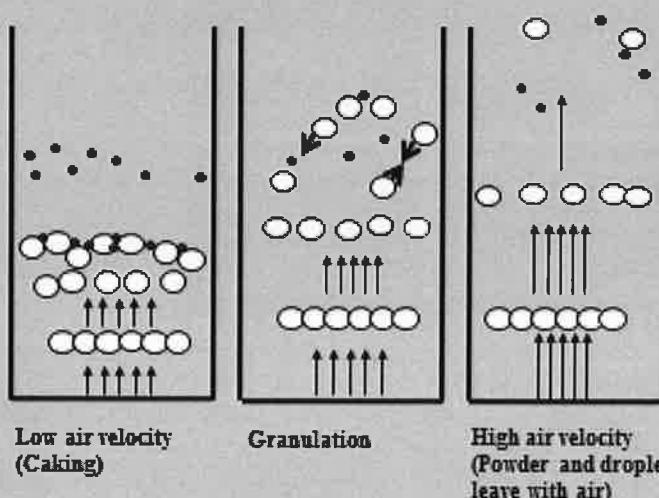
Assari et al. 2009

Effect of Binder Amount on Dissolution:

The higher the binder content results in granules which take longer to dissolve



Granulation: Air Velocity



• Droplet ○ Particle



Process Variables

2- Effect of Fluidising Air Velocity on: Granule Size

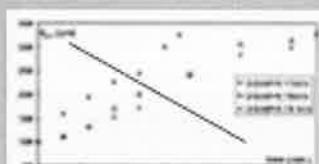
Increasing the air velocity:

Improve the collision rate: increase in size

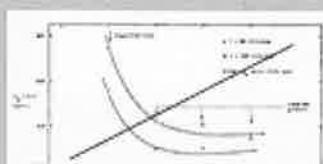
Increasing the number of droplets leaving with the air



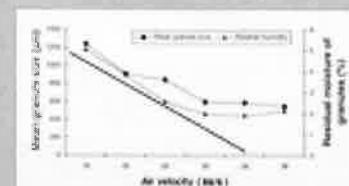
Smith & Nienow 1983



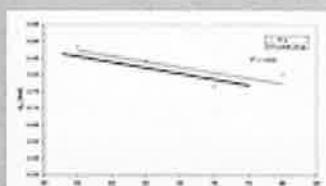
M. Hemati et al 2003



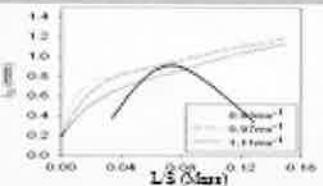
Smith & Nienow 1983



Behzadi et al. 2005



Mangwandi 2004



H.S. Tan et al. 2006



Effect of Viscosity

High viscosity



Low viscosity

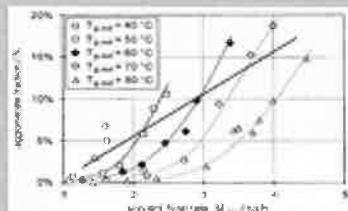


Tan 2005

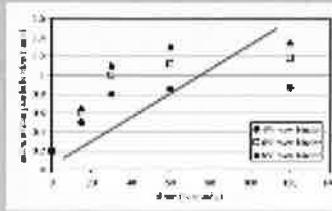


Formulation Variables

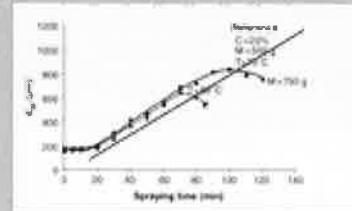
1- Effect of Binder Viscosity on Granule Size



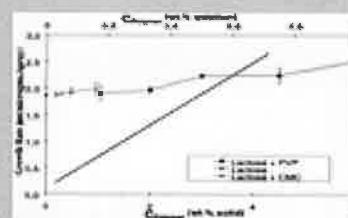
Becher et al 1998



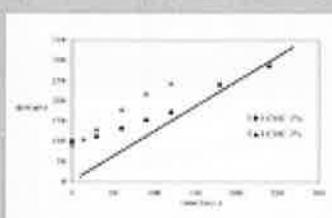
Zhai et al 2009



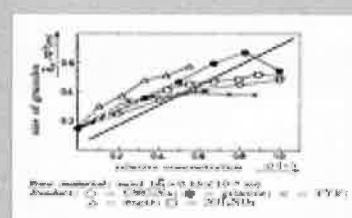
Jimenez' et al 2006



Panda et al 2000



Y. Chen et al 2008



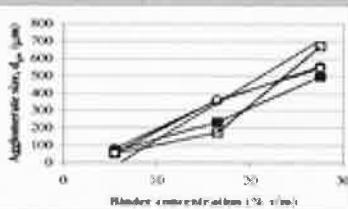
Ormos et al 1979

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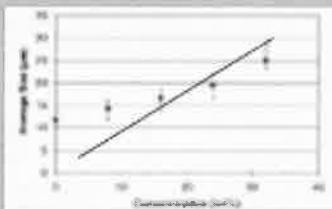
- 2013 -



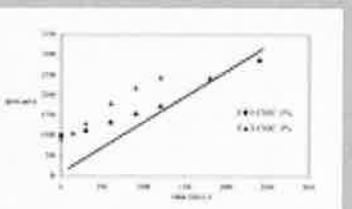
Effect of Binder Concentration on Granule Size



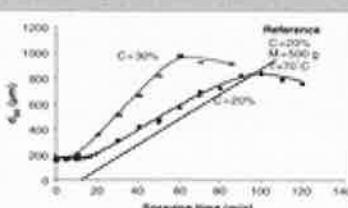
Seo et al 2002



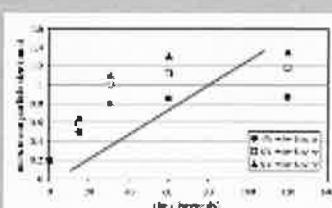
Y. Chen et al 2008



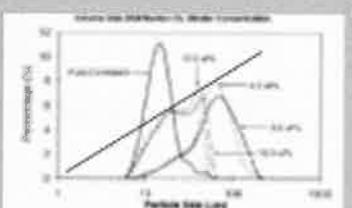
V. Pont et al 2001



Jimenez' et al 2006



Zhai et al 2009



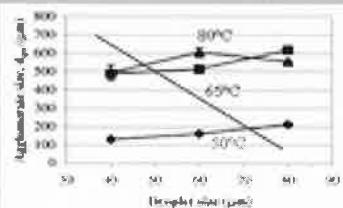
Y. Chen et al 2009

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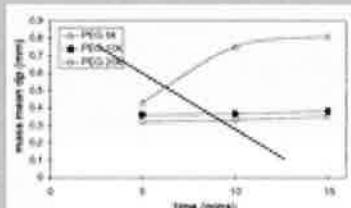
- 2013 -



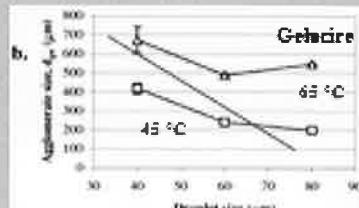
Effect of Viscosity (Fluidized Bed Melt Granulation)



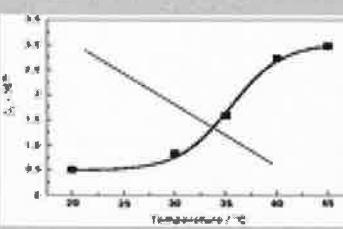
Seo et al 2002



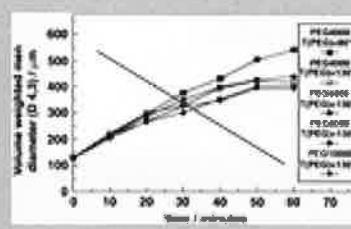
Walker et al 2005



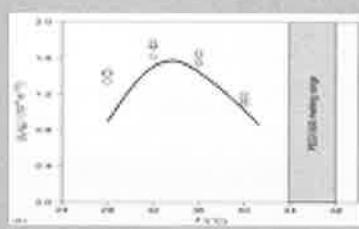
Seo et al 2002



Boerefijn & Hounslow 2005



Boerefijn & Hounslow 2005

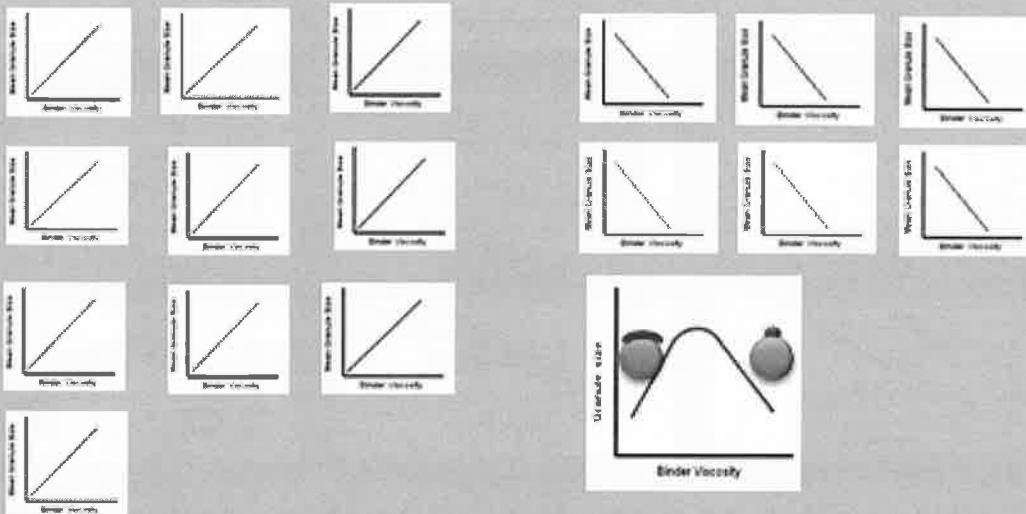


H.S. Tan et 2006



Effect of Binder Viscosity on Granule Size

Increasing the binder viscosity:
Increase the strength of bond between particles: increase
Decreasing the spreading of the droplet: decrease





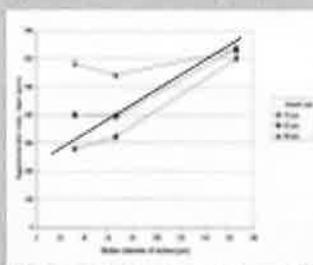
Formulation Variables

2- Effect of Primary Particle Size on Granule size

For strong binder: could hold big particle: increase in size

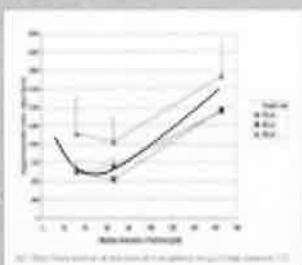
For weak binder: binder strength not enough to hold big particles; reduces size

Binder concentration 11.5%



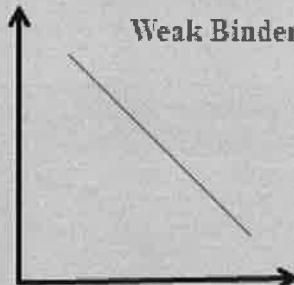
Abberger et al, 2002

Binder concentration 22%



Abberger et al, 2002

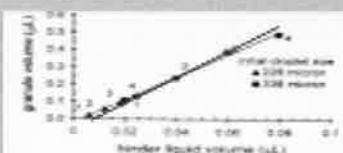
Weak Binder



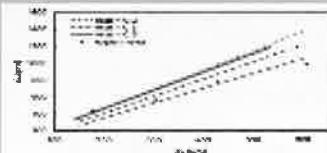
Effect of Droplet Size on Granule Size



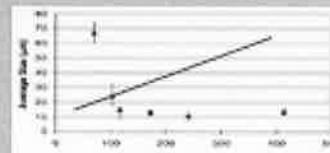
Small droplet; weak bond; may leave with air
Big droplet: stronger bonds between particles: decreasing droplet number



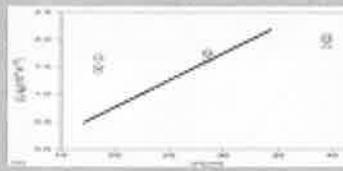
Schaafsmma et al 2000



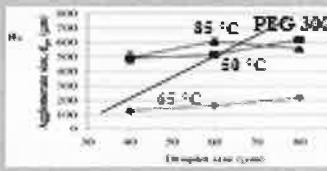
Ansari et al 2006



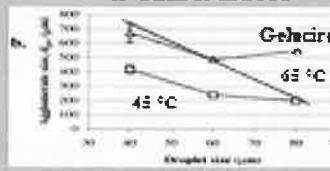
Y. Chen et al 2008



H.S. Tao et al 2006



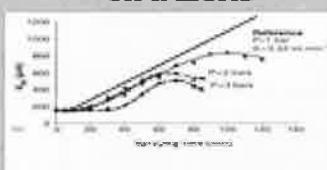
Seo et al 2002



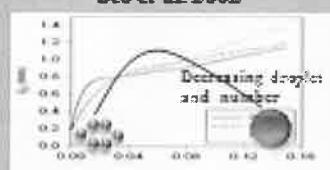
Seo et al 2002



Y. Yan et al 2009



Jemener et al 2006



L/S - Tao 2006



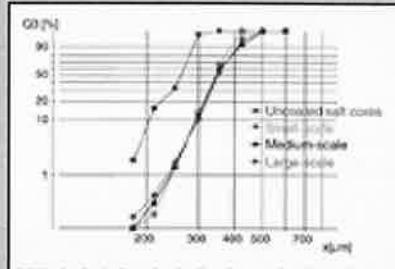
Scale-Up Fluidised Bed Granulation

Flux Number:

$$F_n = \log_{10} \left(\frac{\rho_p U_s}{q_b} \right)$$

q_b , Binder flow rate
 ρ_p , Particle density
 U_s , Velocity of solids

Flux Number: this is a dimensionless number indicating the balance between solid flux and spray flux that wets the solids in the spray zone



Hede et al, 2005

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High Shear Mixer

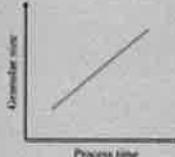
Process Variables

Fluidised bed

Granulation time/ binder amount on Size



Weak binder breakage



More binder
More growth

Granulation time/ binder amount on Porosity

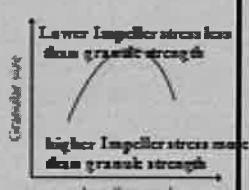


Breakage



More liquid,
increase the
bond between
particles: reducing
porosity

Impeller speed on size



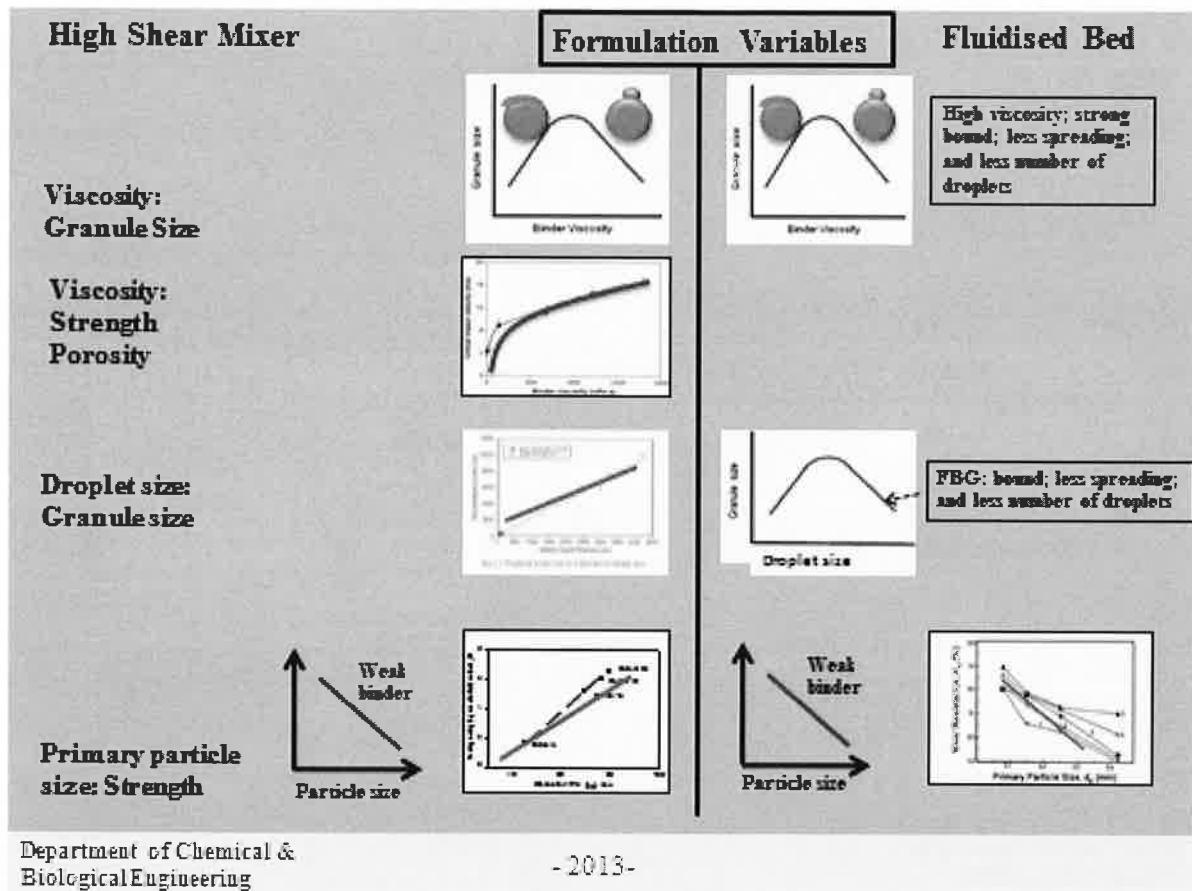
There is a critical air velocity;
below which growth; after which
breakage or droplets leaving the
fluidised bed

Impeller speed on Strength



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References

- B. NDri-Stempfer, D. Oulahna, O. Eterradoissi, A. Benhassaine and J. A. Dodds, "Binder granulation and compaction of coloured powders", Powder Technology, Volume 130, Issues 1-3, 19 (2003), Pages 247-252
- P. Vonk, G. CPF, J.S. Ramaker, H. Vromans, N.W.F. Kossen, "Growth mechanisms of high-shear pelletisation", Int. J. Pharm. 157 (1997) 93–102.
- P.C. Knight, T. Instone, J.M.K. Pearson, M.J. Hounslow, "An investigation into the kinetics of liquid distribution and growth in high shear mixer agglomeration", Powder Technol. 97 (1998) 246–257.
- S. Watano, H. Takashima, K. Miyanami, "Scale-up of agitation fluidized bed granulation: V. Effect of moisture content on scale-up characteristics", Chem. Pharm. Bull. 45 (1997) 710–714.
- S. M. Iveson, J. D. Litster, K. Hapgood, B. J. Ennis "Nucleation, growth and breakage phenomena in agitated wet granulation processes: a review", Powder Technology 117 (2001) 3-39
- J.Z.H. Gao, A. Jain, R. Motheram, D.B. Gray, M.A. Hussain, "Fluid bed granulation of a poorly water soluble, low density, micronized drug: comparison with high shear granulation", Int J. Pharm. 237 (2002) 1-14.
- I. Gabbott, G.K. Reynolds, A.D. Salman, M.J. Hounslow, "Improvement of strength and dissolution of two-phase granules", Proc. 8th International Symposium on Agglomeration, Bangkok, Thailand (2005).
- Reynolds, G. K., Biggs, C. A., Salman, A. D. and Hounslow, M. J. (2004). Non-uniformity of binder distribution in high-shear granulation, Powder Technology, 140, 203-208.

Lecture 4. Continuous Processing in the Pharmaceutical Industry

Mr James Cartwright (GSK)



Continuous Processing In the Pharmaceutical Industry

James Cartwright

GlaxoSmithKline



Background and History

Background and History

There are many well known examples of continuous processes and some less well known ones:

- Car production (Henry Ford)



Background and History

Fermentation



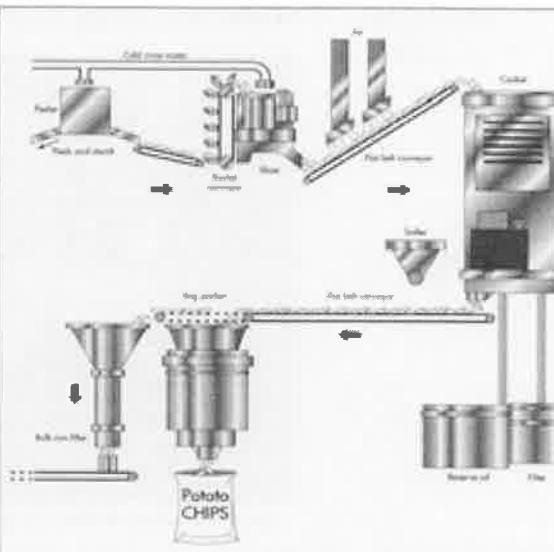
- 24 hours worth of production equals one batch of beer (we will come back to this point later)

Crisps (or Potato Chips) – Continuous Production

The origin of the humble potato crisp is somewhat disputed, however from those early days of batch production, there was a switch to continuous production.

This was no doubt fuelled by the popularity of the product.

Opposite is a process flow for a typical crisp production process



Crisps (or Potato Chips) – A Closer Look

Input Materials

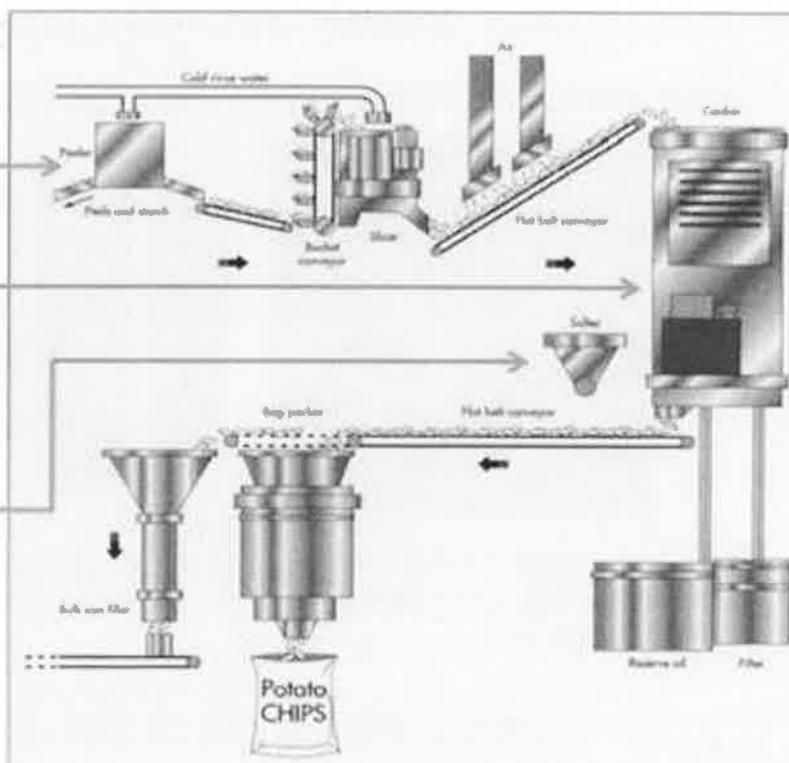
- Produce waste (peel and starches well as any QC rejected potatoes upstream)

Cooking

- Requires energy

Flavour addition step

- This is added after the frying of the potato slice (added to the surface only)



Pringles – Continuous Production

Proctor & Gamble, Tennessee

Won the Governor's Award for Excellence for its outstanding achievements in reducing the environmental footprint of the plant through major recycling efforts and significant reductions in energy consumption, water usage, and solid waste. This plant produces Pringles for North America and 75 other countries.



http://www.tn.gov/tnr/tnrmain/tncards/Observe2009_Governors_Awards_Social.aspx

Pringles – Continuous Innovation

Project undertaken to personalise the product for consumers



"An article of commerce comprising an edible substrate having an image disposed thereon, and a method for making edible substrates having a variety of different images."

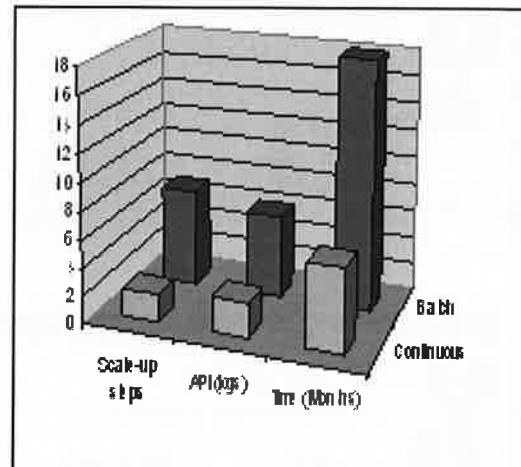
Publication number: WO2000029961 (1) Publication date: 2000-07-13 Inventor(s): WEN LUFENG (2); ROMANICH, RENATO ALBERTO (3); PHILLIPS, JEFF DAWSON (4); LIPKIN, LIU FUNG (5); ROMANICH, RENATO ALBERTO (6); PHILLIPS, JEFF DAWSON (7); Applicant(s): PROCTER & GAMBLE (8); WEN LUFENG (2); ROMANICH, RENATO ALBERTO (3); PHILLIPS, JEFF DAWSON (4)



Continuous Processing within Pharma

The Business Arguments Make Sense

- Allows a reduction in:
- Development time (weeks instead of months)
- API (230kg of API saved in 1 example)
- Waste (yield increased, wash waters reduced)
- Designed with integrated PAT for real time control & assurance
- Simplified site transfers
- Reduced infrastructure (80% footprint reduction compared to batch)
- No or very limited scale up



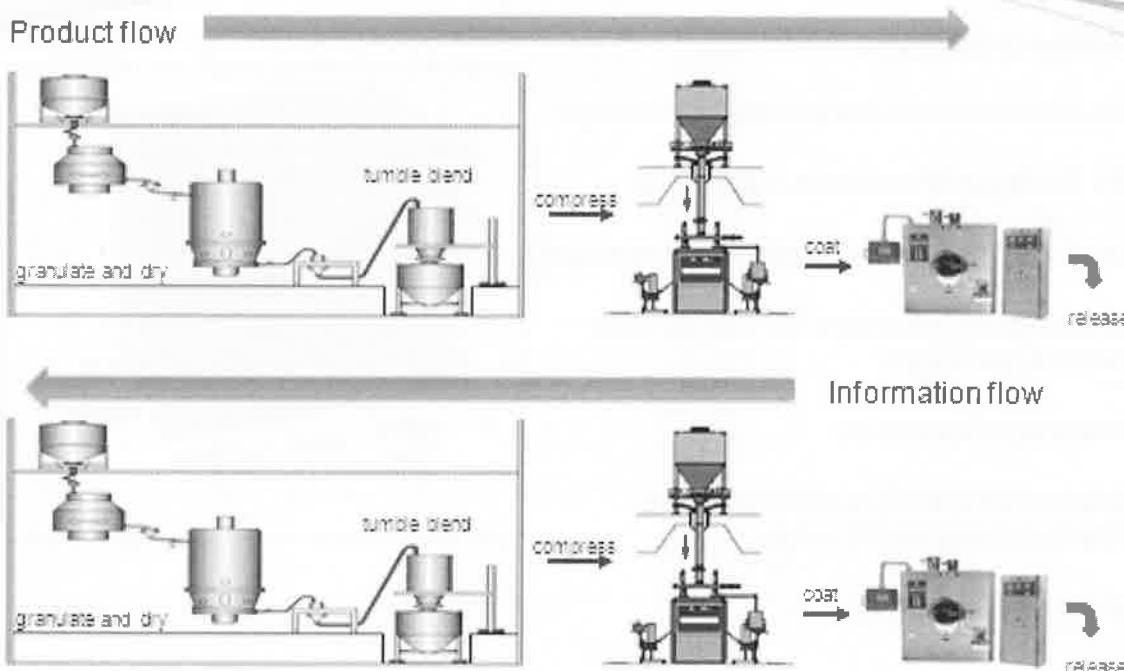
Examples of products using continuous unit operations

Absolutely anything that has been:

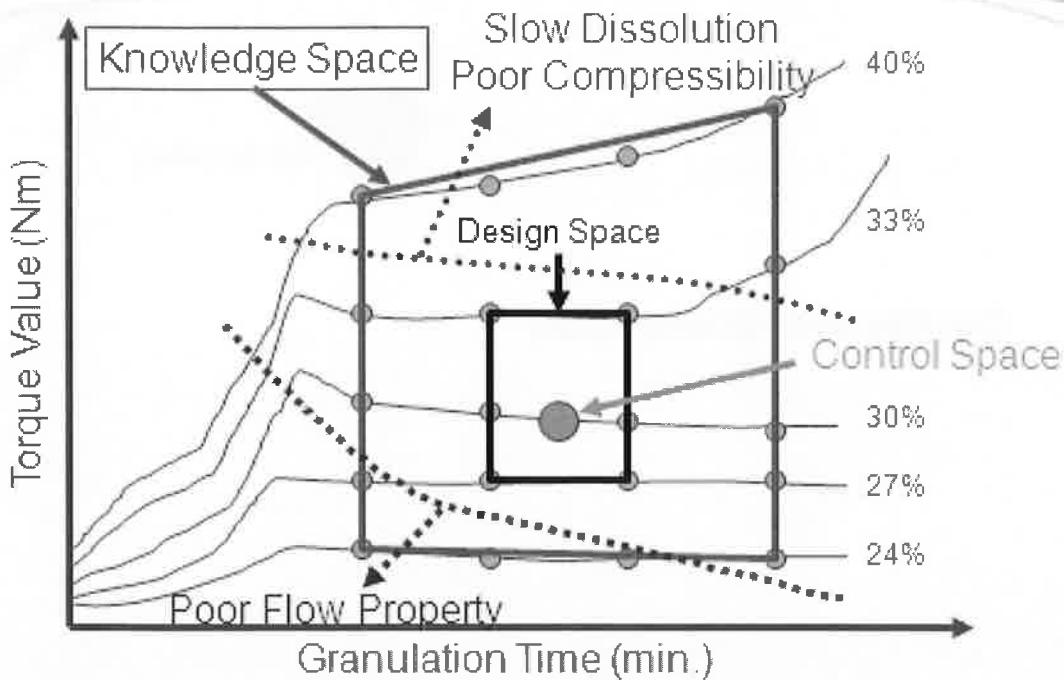
- Size reduced (milled, micronised ...)
- Roller Compacted
- Extruded
- Tabletted
- Checkweighed
- Metal checked
- Filled into a capsule/sachet/bottle/blister

These are continuous processes by definition when considered in isolation, so what is the big deal?

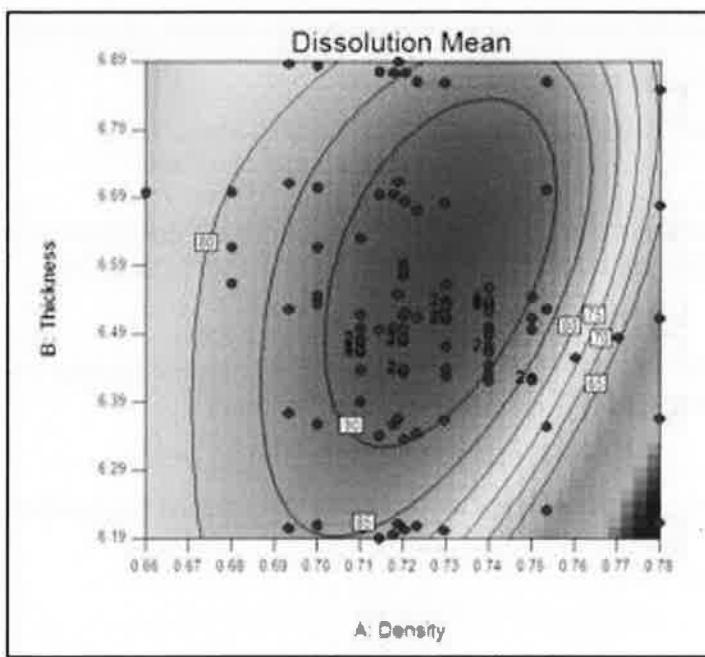
Linking Unit Operations together



Link via Quality by Design (QbD) principles



Response Surface of Mean Tablet Dissolution to Granule Tapped Density and Tablet Thickness





Continuous Granulation

Some obstacles remain

Although some unit operations are continuous by nature, others are not;

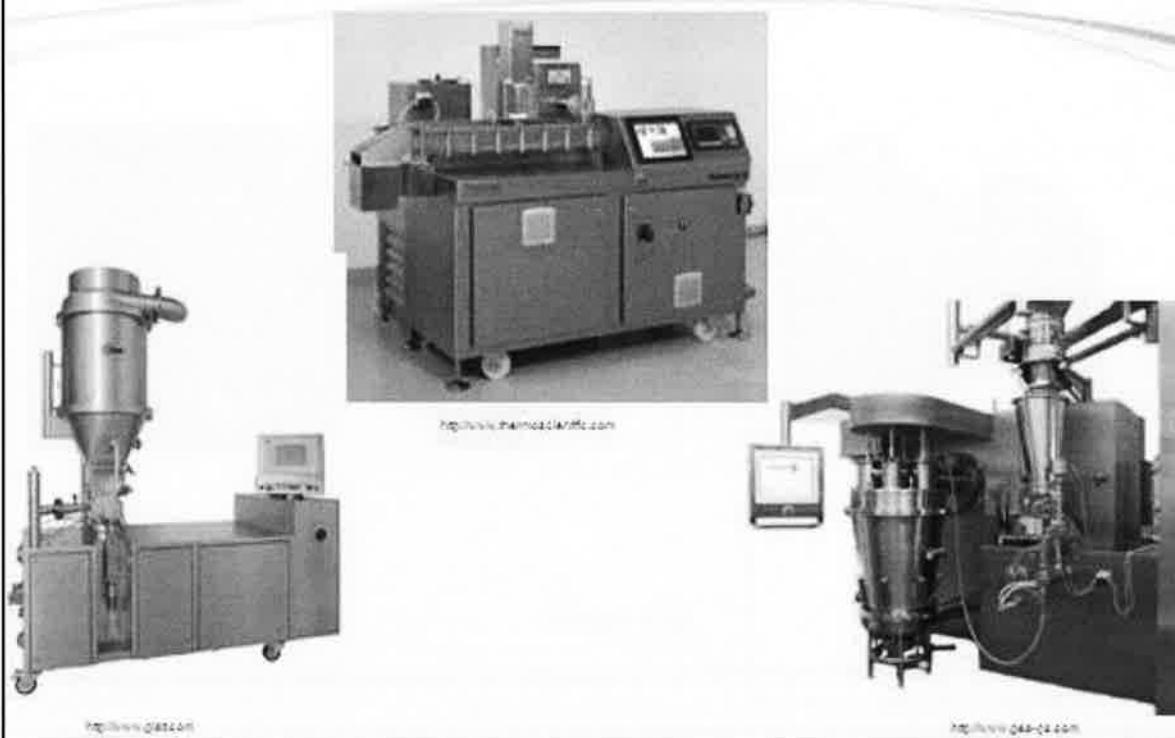
- High shear wet granulation (HSWG)
- Aqueous film coating of tablets

HSWG is by far the most common platform to address poor flow or poor compression properties of Active Pharmaceutical Ingredients (API)

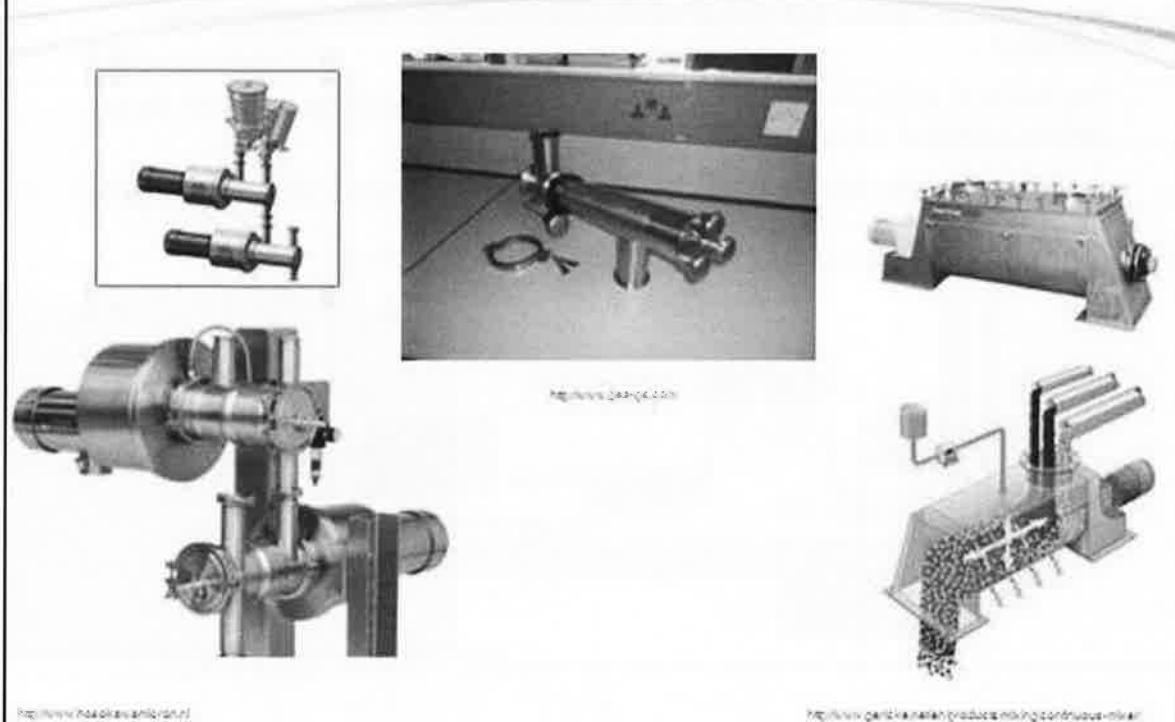
Until recently, pharmaceutically acceptable continuous granulators were not available "off the shelf"

The same can be said for development scale continuous fluid bed dryers

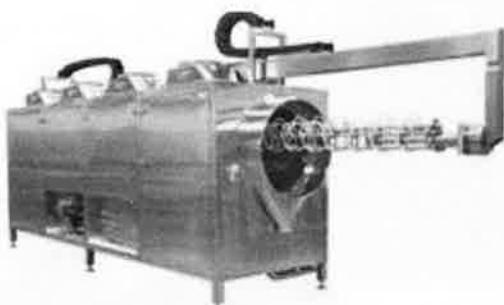
Recent improvements in continuous granulators/dryers



Recent improvements in continuous blenders



Recent improvements in continuous tablet coaters



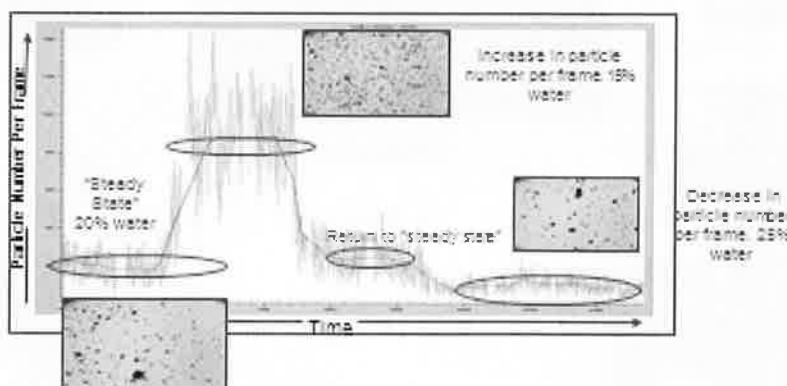
<http://www.viontech.com>

Endpoint

In the case of batch High Shear Wet Granulation, the outcome is typically variable*

This leads to extensive work to predict and measure a suitable endpoint across various scales of development and production.

Continuous granulation does not have an endpoint. It works under steady state conditions.



* (Bouchon, et al. Chemical Engineering Journal 164 (2010) 289-291).

Other obstacles still remain

Historically we have always done batch manufacturing.

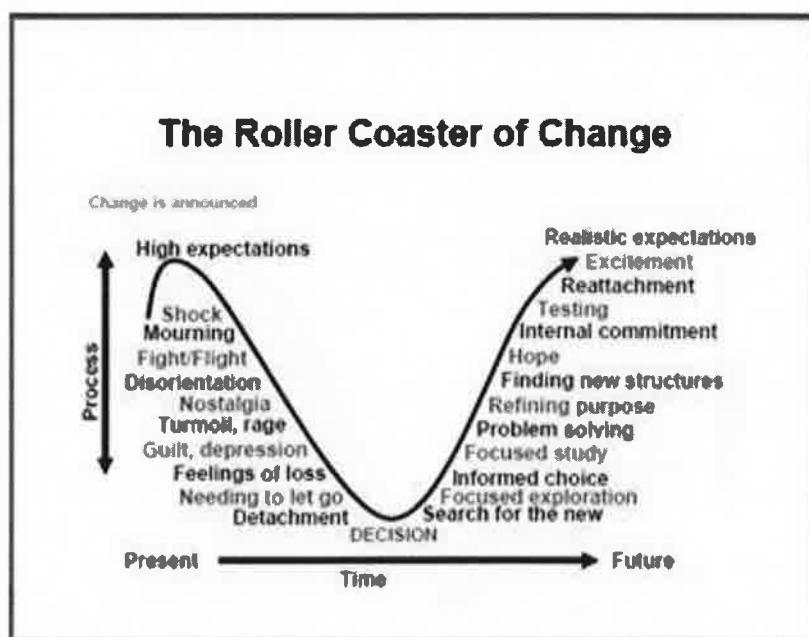
And these are just some of the ‘excuses’ reasons we use to justify that position

- 21 CFR 211.165(a) – Sampling
- 21 CFR 211.192 – Investigation
- 21 CFR 211.188 – Batch records
- 21 CFR 211.150(b) – Distribution
- 21 CFR 210.3(b)(2) – Batch definition
- 21 CFR 210.3(b)(10) – Lot definition



Food and Drug Administration Code of Federal Regulations (www.fda.gov)

Other obstacles also remain



Removal of obstacles

FDA - 1st Continuous Manufacturing Symposium

11th March 2010, Bethesda, MD

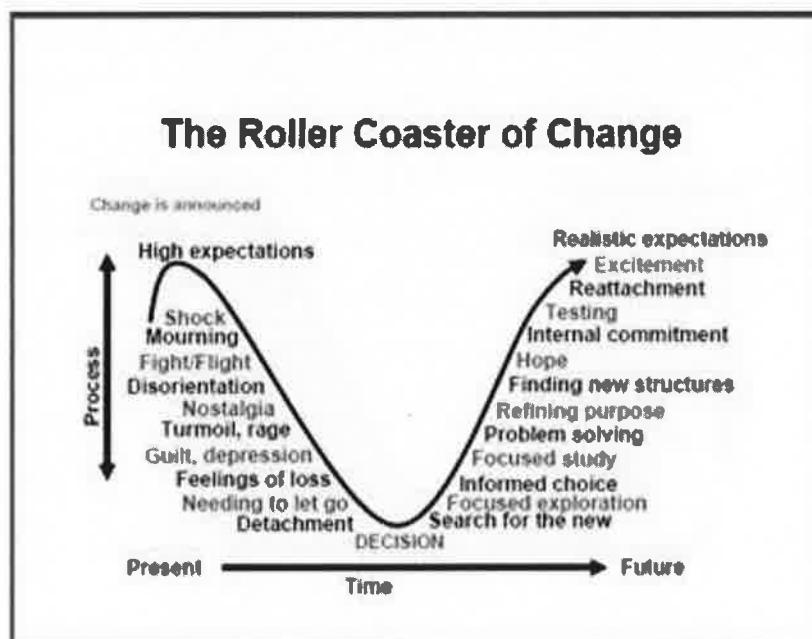
124 participants (1 from Pfizer, 1 from GSK and 1 from GEA)

All the others came from various internal FDA offices

The chairman's introductory presentation highlighted that in the view of the FDA, the use of continuous processing in pharmaceutical production will lead to an improvement in product quality.

Additionally the chairman stressed that the FDA encourages pharmaceutical companies to go that way and that the agency is very supportive of this.

Other obstacles also remain



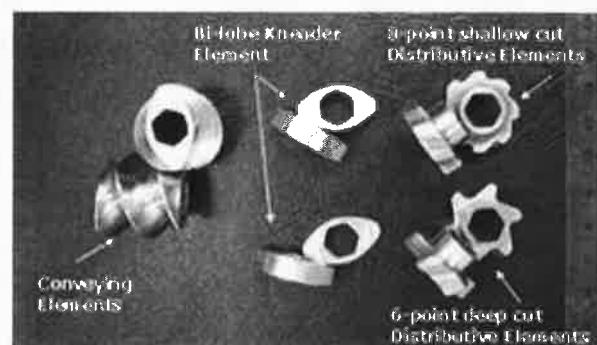
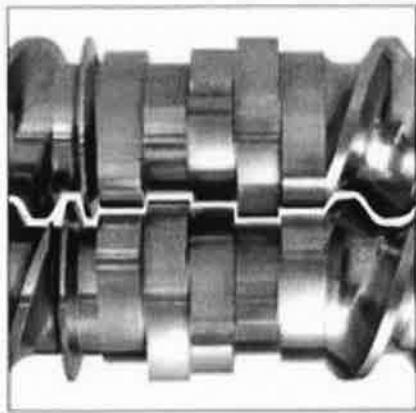


Continuous process flow in more detail

Twin Screw Granulation in more detail

Converted extruders

2 co-rotating screws containing a combination of transport, distributive and/or granulating (kneader) elements.



Elements are mounted on a hexagonal shaft – highly configurable to your products requirements

Twin Screw Granulation in more detail

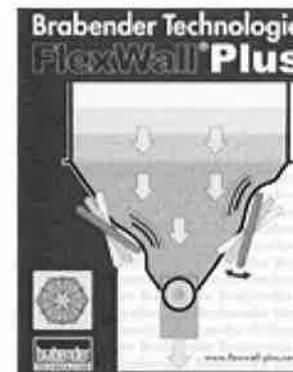
Granulators are fed by loss in weight (LIW) feeders.

These are typically run under gravimetric control conditions. This is because pharmaceutical products tend to have poor powder flow characteristics (hence volumetric feeding is less accurate due to densification upon transport).



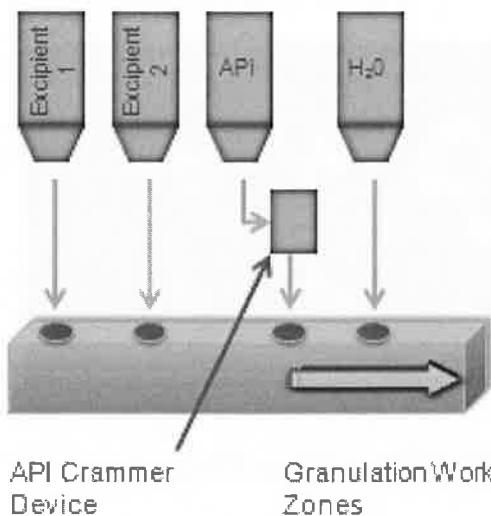
LIW feeders can be grouped into essentially 2 categories

- Rigid frame – used for free flowing powders (K-Tron Soder)
- Flexible frame – used for cohesive, sticky API's that easily bridge (Brabender, Schenck)



Twin Screw Granulation in more detail

Granulators are defined by a specific ratio L:D (Length : Diameter) of their barrel and their screw diameter.

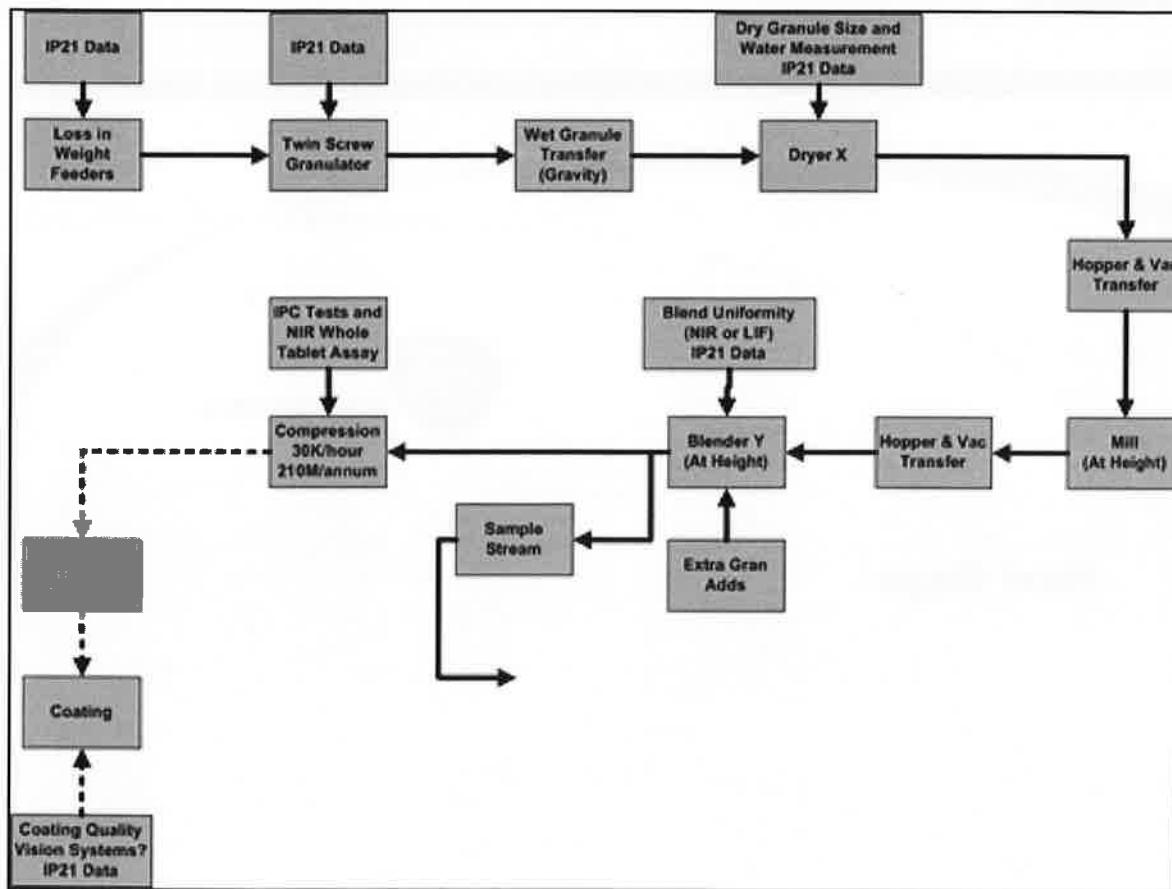
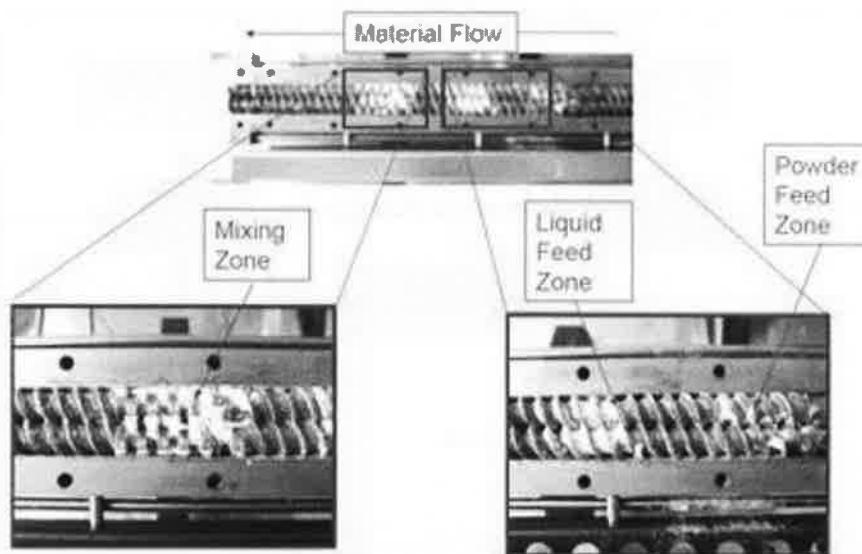


	Thermo Fisher	GEA	Lelefranz
Barrel size (mm)	16mm 24mm 36mm	25mm	18mm 27mm 40mm 50mm
L:D	25:1 (16mm) 30:1 (24mm) 40:1 (36mm)	10:1 (modular)	Variable up to 60:1

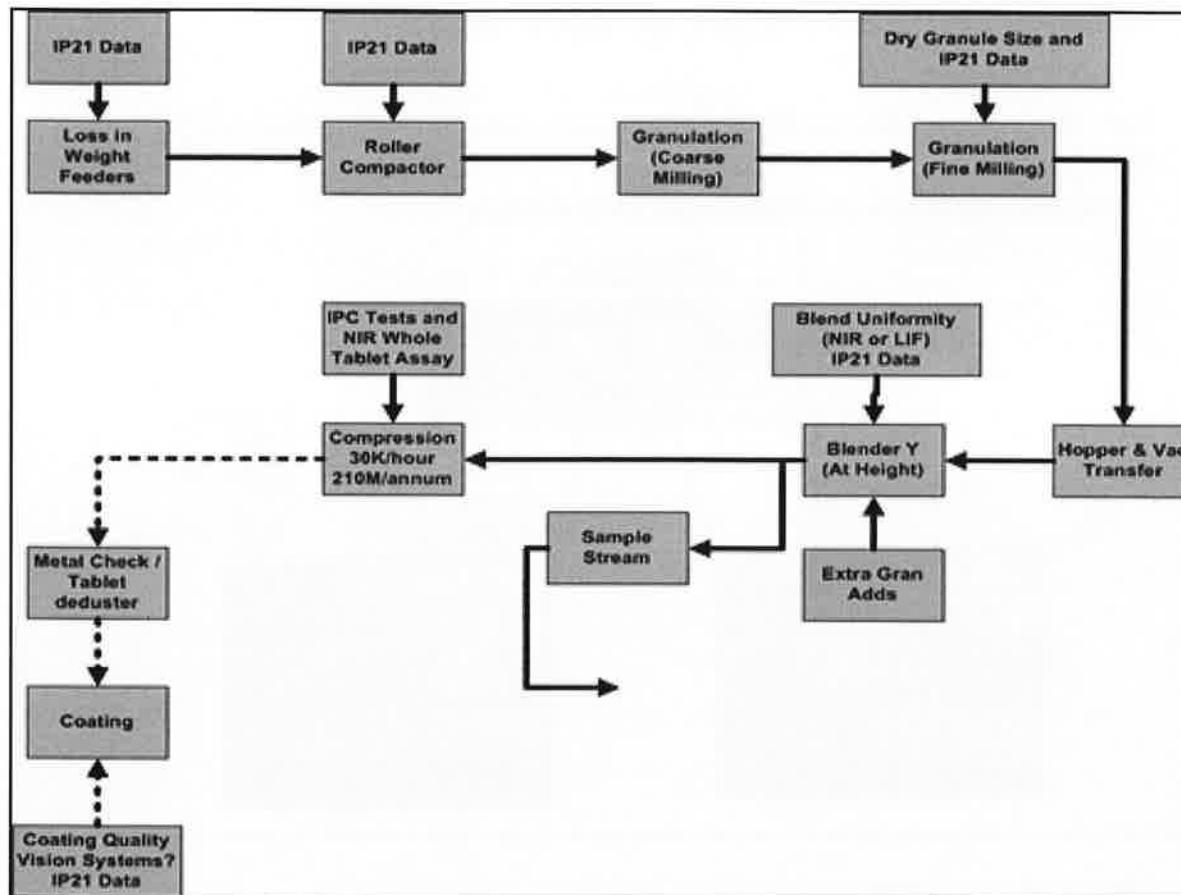
Twin Screw Granulation in more detail

Twin screw granulators are highly configurable and have a clam shell design typically.

- In development you can stop and look – frozen in time.



Lecture 4. Continuous Processing in the Pharmaceutical Industry



Next Steps



Some Knowledge Gaps

Mechanistic understanding of the twin screw agglomeration process

Scale up rules between Lab and Commercial systems

- 16mm system sweet spot is say 1.5kg/hr
- 24mm system sweet spot is say 20kg/hr for the same poorly flowing product

“Binder” hydration rates

- They are in contact and mixed with water in a matter of seconds yet they require minutes to become effective. Why don't granules break up in the dryer.

Extended processing events

Residence time distribution – Plug flow is not realistic.

PAT Solutions

Lecture 5. Troubleshooting in Granulation Processes

Mr Nigel Somerville Roberts (Procter & Gamble)

Troubleshooting in Granulation Processes

Thoughts and observations from 20+ years of trying
to work out what just went wrong - and why.

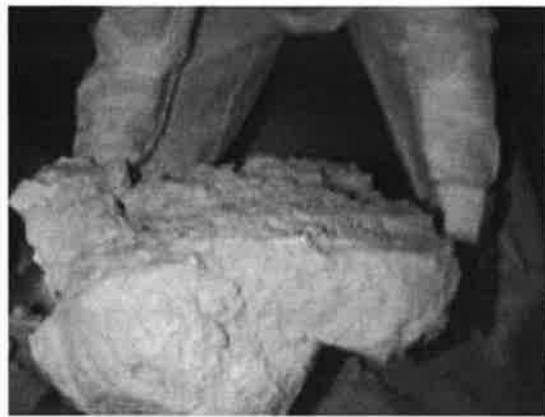
Nigel Somerville Roberts
(Procter and Gamble)

Troubleshooting in Granulation Processes

- Granulation processes are complex (recycles!) and often inherently unstable. Effective troubleshooting often means being able to separate effects from causes and disentangling complex chains of events into their constituent parts.
- The objective of this talk is to show how we can use basic granulation theory and mechanisms, as well as an understanding of agglomerate properties, to help understand what must be happening and hence extrapolate back to the root cause (why? why? why?).

Troubleshooting in Granulation Processes

Question – who can tell me what the problem is below?



Answer – the agglomerates are too big to fit in a box of washing powder.

Answer – a powder feeder momentarily blocked causing over-agglomeration and blockage of the mixer.

- Granulation – a natural system where simple interactions can give complex outcomes.
- All processes are a combination of
 - Material characteristics – esp mixture characteristics
AND
 - Mixer design and operation
- When a process deviates from centreline, it has to be because something has changed one of the above factors. Changes in one will very often cause changes in the other. Processes do not vary randomly – even though it may seem like it.
- If you cannot correlate defects to any variable, it probably just means that you are not measuring, or are aware of, the critical variable(s).

Troubleshooting in granulation processes

- Need to have a quick re-cap through some basic granulation mechanisms (with particular thanks to Karen Hapgood) to understand how material characteristics and mixer operation can interact.

Nucleation & Liquid Distribution

Karen Hapgood

- Nucleation processes is starting point for entire granulation process
- Poorly distributed liquid will form coarse, wet granules in granulator
 - Affects PSD and downstream properties
 - Harder to control, less reproducible
 - Why powder wettability is important
- Can use agglomeration regime map to understand what happens next.

Granulation mechanisms

Source: Iveson, Hapgood, Litster,
Powder Tech, 2001

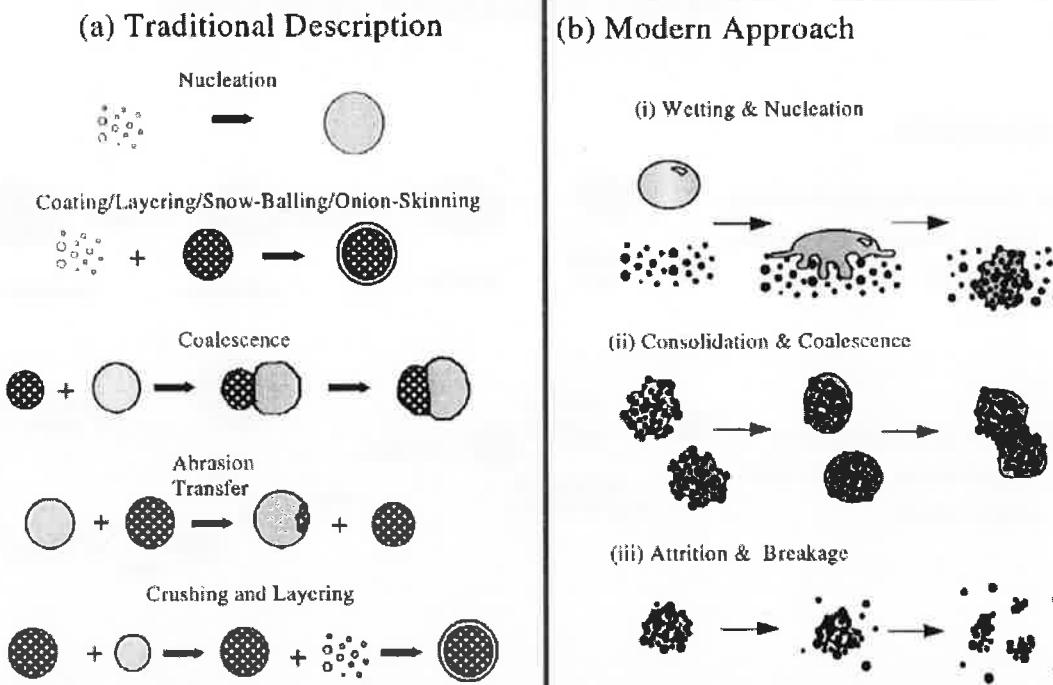


Fig. 1. Schematic of granulation processes (a) Traditional view (after Sastry and Fuerstenau [2012]); (b) Modern approach [1].

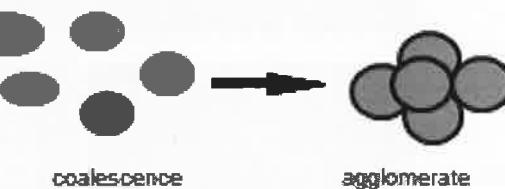
Agglomeration Mechanisms – Distribution (Low Viscosity binders)

DISTRIBUTION

a) Binder dispersion by particle wetting



b) Agglomeration by coalescence
of wetted particles.



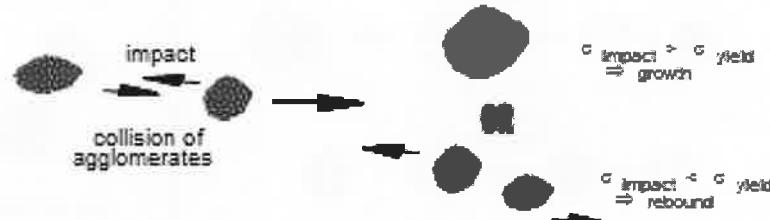
Agglomeration Mechanisms - Immersion. (High Viscosity binders)

IMMERSION

- a) Dispersion by globule cutting,
powder adhesion and powder
embedding:

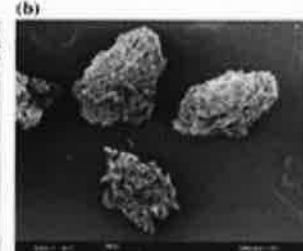


- b) Growth by coalescence of
agglomerates – plastic yield
stress criteria.



Agglomeration Stages

NUCLEI



COALESCENCE

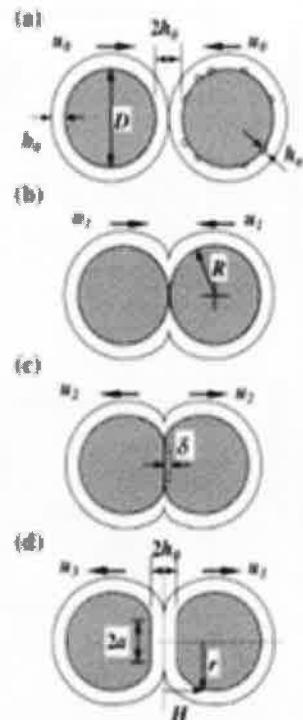
CONSOLIDATION



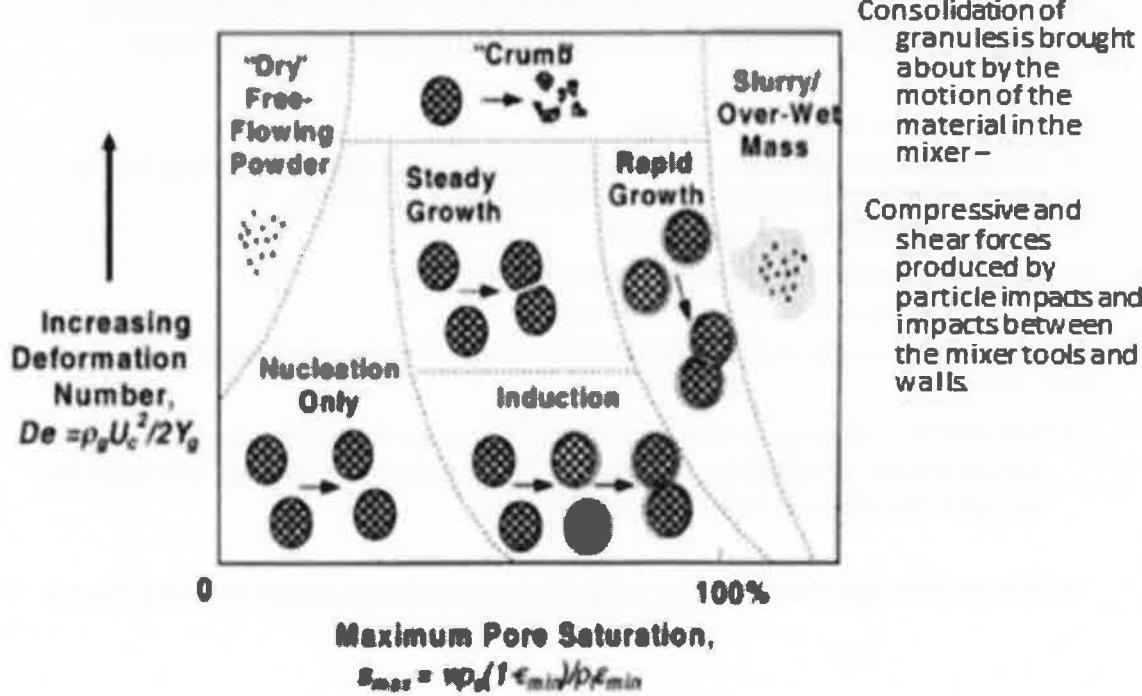
OVER GROWTH,
SATURATION

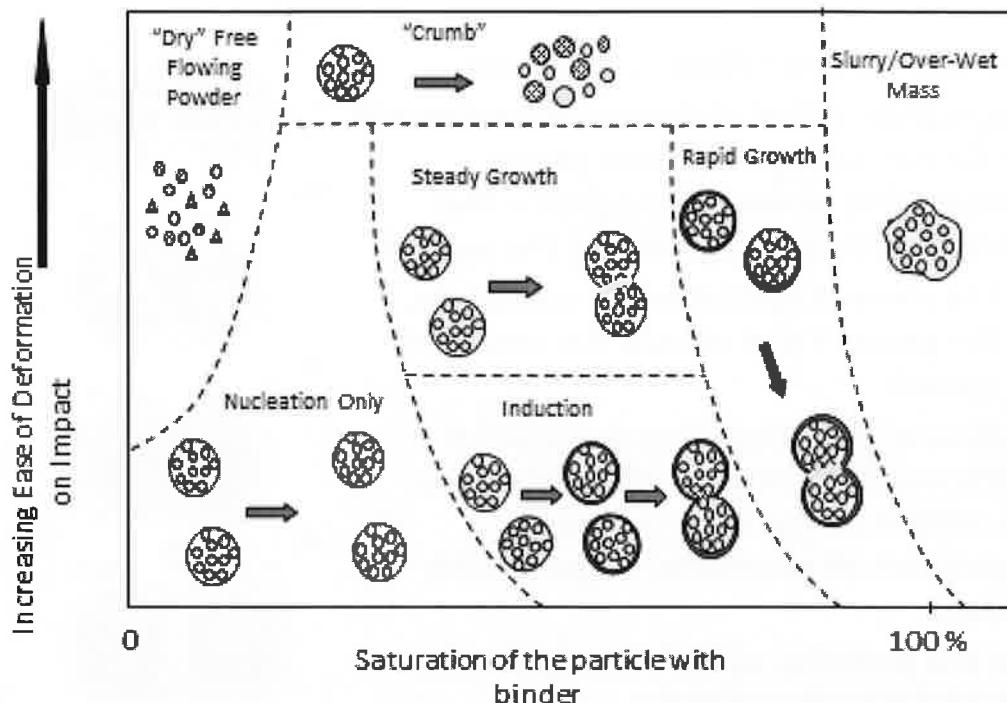
Consolidation and Coalescence

- Particles need to collide to coalesce.
 - Assumption is that particles can coalesce if the kinetic energy of impact can be dissipated by *viscous dissipation* – the energy taken up by deforming the particle.
 - The more deformable the particle is, the easier it can absorb the energy of impact.
 - Likelihood of coalescence is greater if additional fresh binder will be forced to the surface by the impact (hence importance of saturation of pores with binder).
 - Else the particles will bounce apart after consolidating the particles.



How to relate these to our processes ?





Troubleshooting in granulation processes

- Anything which can change the "% Saturation of the Particle with Binder" or the "Ease of Particle Deformation" will move the process around the map. This can put the process into an undesired state.
 - Changing the Liquid/Solid ratio
 - Increased consolidation by increased mechanical energy input (eg higher mixer speed, wall make-up, tool design, residence time)
 - Increased material temperatures
 - Less absorbent or more absorbent powders
 - Liquids being harder/easier to disperse
 - Viscosity changes due to temperature effects or chemical reaction.

REMEMBER – changes may only need to occur for a few seconds to cause major problems. A short deviation due to hold-up in a loss-in-weight feeder can easily block a mixer.

Will run through some of the situations which can cause these effects.

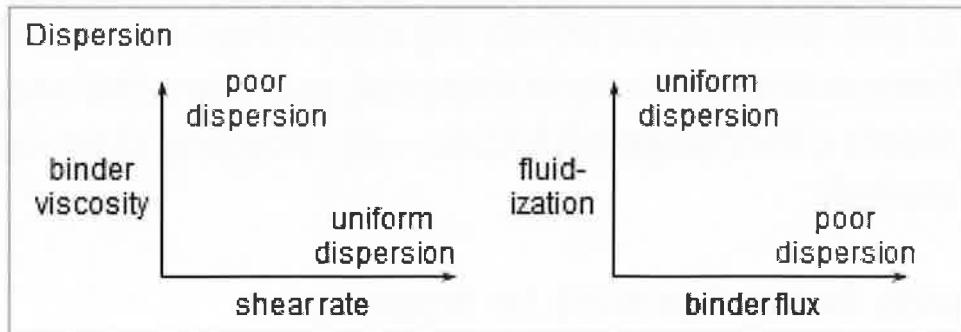
- **Liquid/Solid Ratio Variability**
typically arising due to feeder variability in continuous systems, causing process fluctuations, mixer make-up.
Improve feeder operation, eg air pads, vibrators etc.
- **Increased particle consolidation**
leading to increased granulation. Typically arising due to mixer make-up or running the mixer too fast. Often linked to feeder variability as the root cause.
- **Increased material temperature**
leading to increased granulation. Can happen due to ambient weather conditions, mixer make-up causing friction and high power use, recycling hot material over time, fresh deliveries of (hot) raw materials.

- **Less absorbent/more absorbent RM powders**
typically arising due to raw material changes – either fresh batches with different properties or RMs stored too long/incorrectly and caked/hydrated together to increase PSD and lower absorptivity (eg micronised powders).
Where processes recycle material, esp fines, the recycle streams can change with time – eg recycling start-up materials.
- **Liquids being hard(er) to disperse**
Can arise due to viscosity, visco-elasticity or wettability.

Binders Dispersion and Viscosity

- Dispersion of a binder into a powder depends both on the binder viscosity and the applied shear rate of the process. A combination of high shear and low viscosity will disperse the binder evenly throughout the powder mass
- Using a viscous binder with insufficient shear results in a heterogeneous mixture of over-wet globules and dry powder. Increase the mixer speed.
- Increasing the viscosity of the binder, eg by reducing the temperature, can give less wall make up. With some binders, this change in temperature / viscosity reduces the 'stickiness' of the material which modifies the growth rate.
- When fine solids are used (eg zeolites, ground powders), it can also give a more controlled consolidation stage and slower initial size enlargement.

Dispersion Influence



A current example of dispersion

The P&G plant in Worms, Germany, has been experiencing high pressures in the fluid bed dryer filters when making a new version of a perfume agglomerate.

No apparent change in the process conditions, such as recycle levels.

What is going on?

The new formulation has a more viscous, harder to disperse binder. Running the mixer at the same conditions meant that the binder was just not being dispersed well enough.

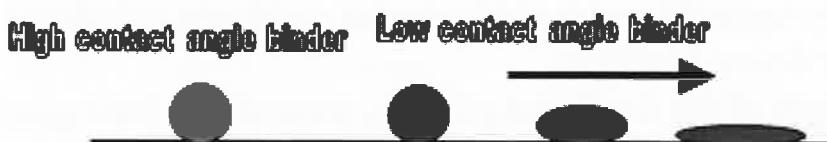
Unagglomerated fine raw material powder was being elutriated into the filters and coating the filters, causing high pressures.

Elutriation rate had not changed – but the nature of the material had.

The increased overs rate was not detected due to a mistake in the installation of the oversize recycle screen.

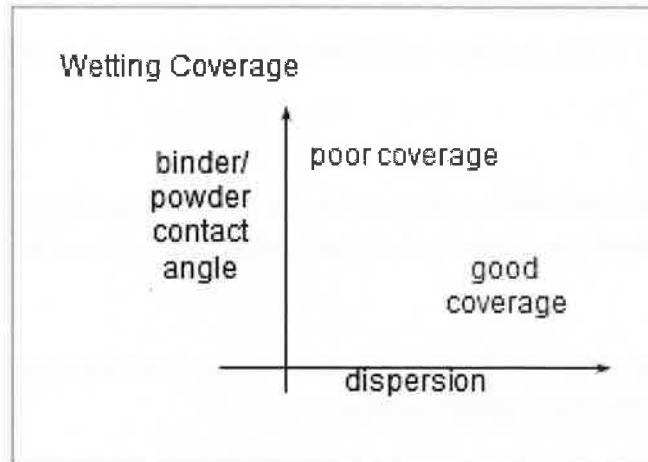
Wetting With Low Viscosity Binders

- Wetting depends on how well the binder disperses into the bulk of the powders.
- Wetting is also successful when the binder solution / melt and the solids are compatible materials, e.g. the contact angle is low and the binder spreads readily over the solid.



- If the particles are wetted poorly by the liquid, it may be difficult to form good granules.

Wetting Coverage

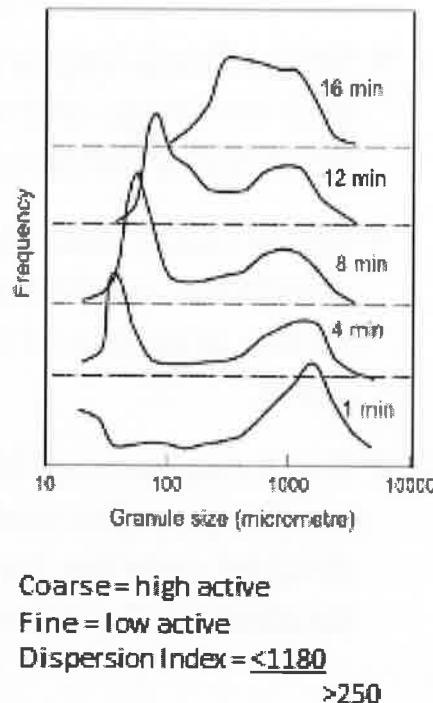


Wetting Of Powders

- Poor wetting leads to poor nucleation, and hence broad granule size distributions, leading to high recycle rates.
- In mixer granulation, increasing the mechanical energy (speed) can be used to force the binder liquid and solid to mix.
- However, it is easier to control the size and size distribution of drops from a spray nozzle than it is to mechanically disperse the liquid through the bed.
- The poorly wetted granules may also be weak and may break up during drying / cooling.
- The strength of the dry / cool granules depends on how good the adhesion is between the solidified binder and the solid, which is also determined by the initial wetting.
- However, it may be possible to form good granules if the binder viscosity is high due to formation by immersion.

Binder Distribution with Time

- Liquids added as streams -> large droplets from cutting action of mixer.
- Not homogeneous mix. Wetted powder surrounded by unwetted powder.
- Bimodal psd. Move to monomodal with mixing time increase.
- Due to breakage of coarse granules, transfer of liquid from coarse to drier granules, and coalescence of dry fine with coarse wet granules.



Knight, Instone, Pearson, Hounslow (1998). Powder Technology 97: 246-257.

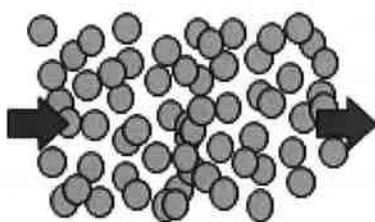
Effect Of Mixer Speed

- High impeller and chopper speeds are advantageous in distributing high viscosity binders.
- High impeller speeds may be advantageous in consolidating the particles.
- However, too much speed may increase risk of make up and over-agglomeration.
- Mixers with no chopper blade and or low impeller speed may give 'balling'. High impeller speeds can prevent this – unless wall make up is formed.
- Under favourable conditions, a high speed can produce a narrow size distribution and smooth, spherical granules.
- With weak granules, (large size, low viscosity binder) high speeds may produce a wet mass which adheres to the wall of the mixer.

SPRAY ZONES IN PADDLE GRANULATORS AND FLUID BEDS

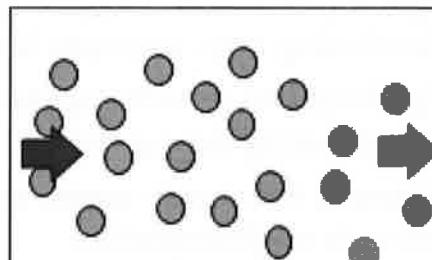
- Spray flux is important in granulators. Need to get good coverage and avoid droplet overlapping and poor spray patterns.
- Spray flux is defined as the ratio of the rate at which the wetted area is covered by the droplets to the area flux of the powder through the spray zone.
- It is a measure of the density of the drops falling on the powder surface. High values will mean droplet overlap, hence bad distribution and localised saturation and over-agglomeration.

Dimensionless Spray Flux Ψ_a



High Ψ_a

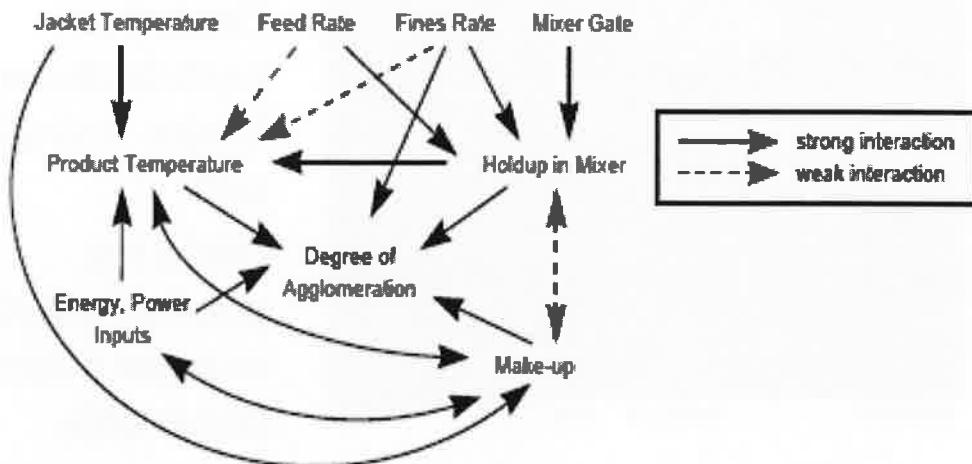
- high sprayrate or slow powder velocity
- high spray density
- significant drop overlap



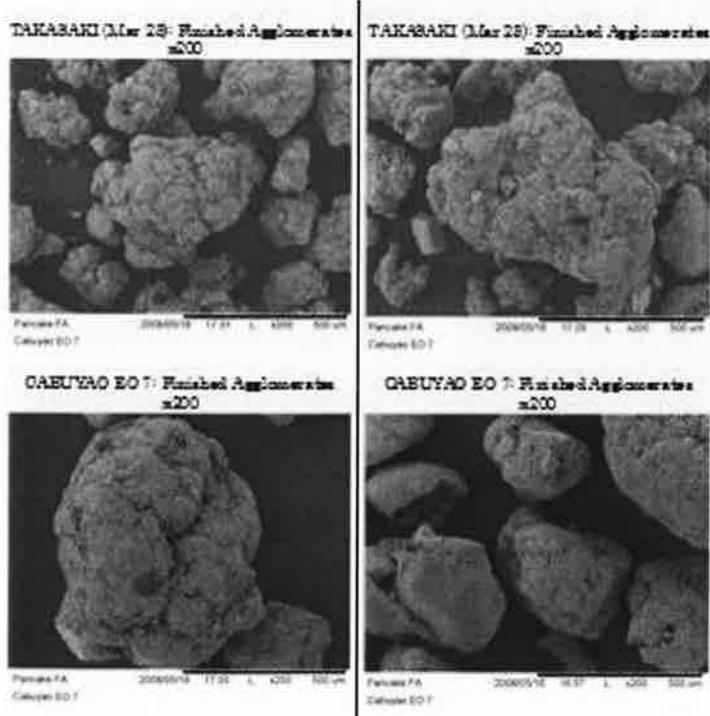
Low Ψ_a

- low sprayrate or fast powder velocity
- low spray density
- minimal drop overlap

Operating Variables – Some Possible Interactions

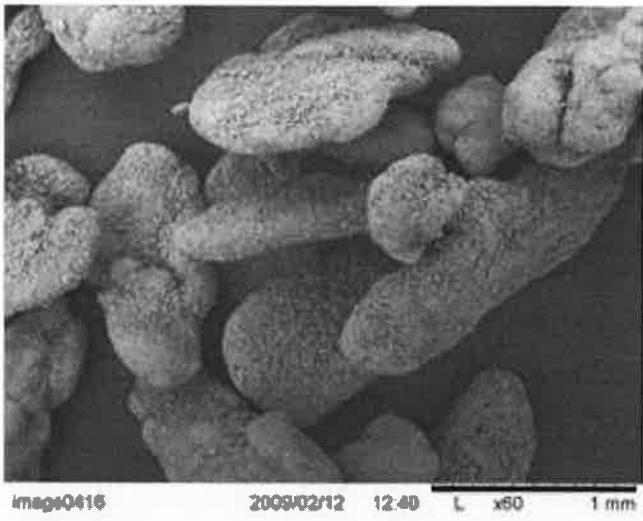


Looking at granule shapes



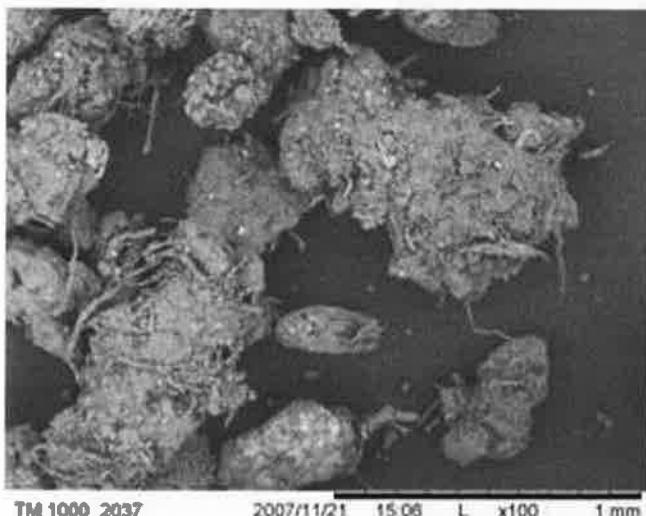
Agglomerate at the top is more irregular than the one at the bottom, despite identical compositions. Differences are due to how the powders were milled. The top agglomerate is more prone to break-up and fines generation than the rounder, bottom agglomerate.

Polymer Agglomerate



- Irregular shapes
- Elongated particles from binder 'stringing out'
- Dilute the polymer solution to make it more dispersible.

Perfume Agglomerate



- Poorly formed particles with very rough surfaces.
- Very poor handling properties.

Lecture 6. Mathematical Modeling of Granulation Processes

Prof. Jim Litster (Purdue University)

Mathematical modeling of granulation processes

Jim Litster

Purdue University



Outline

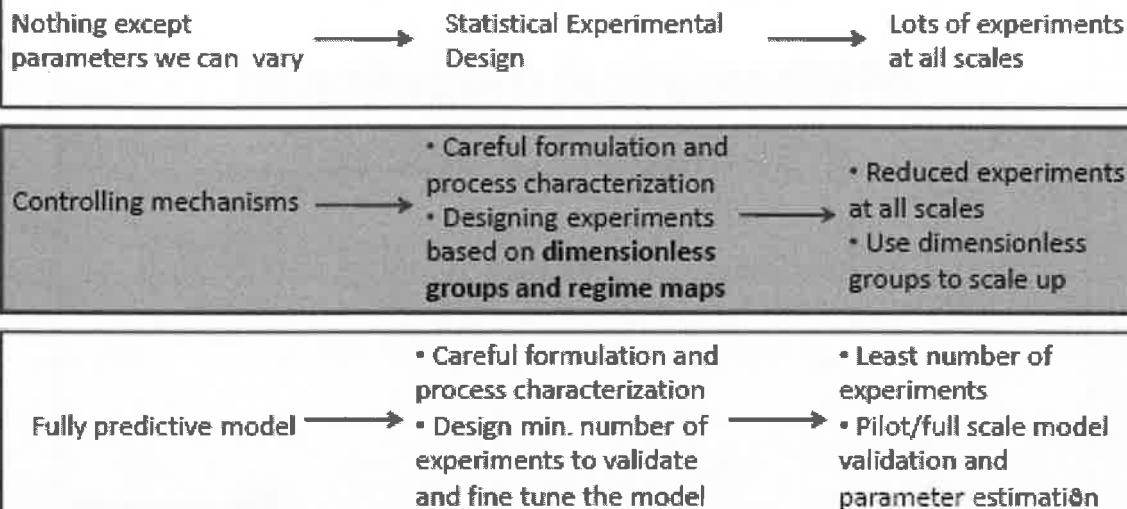
- Types of design and scaling models
- Population balance framework
- Modeling granulation rate processes using the population balance
- Examples and case studies

Quantitative Engineering Approaches

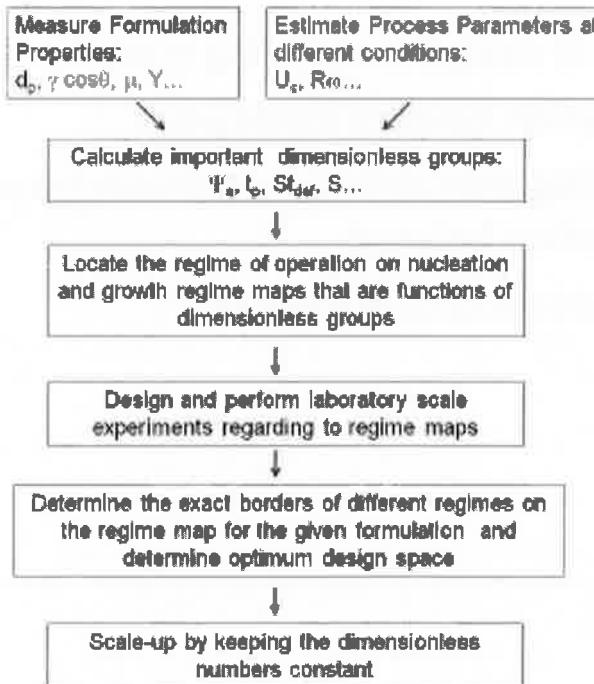
What do we know?

How do we design experiments and scale ?

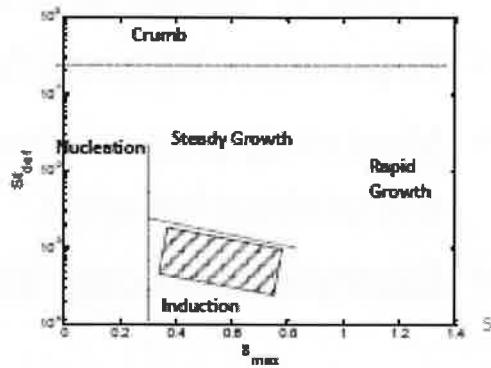
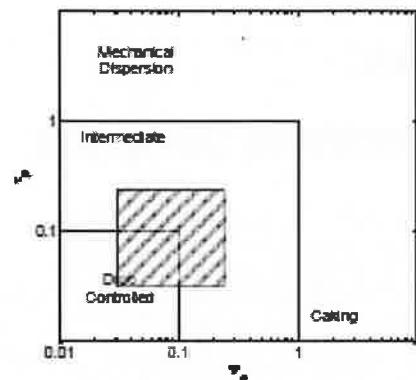
Implications



Defining Design Space ~~Regime Map Approach~~



Regime Map Approach



Problems with this approach

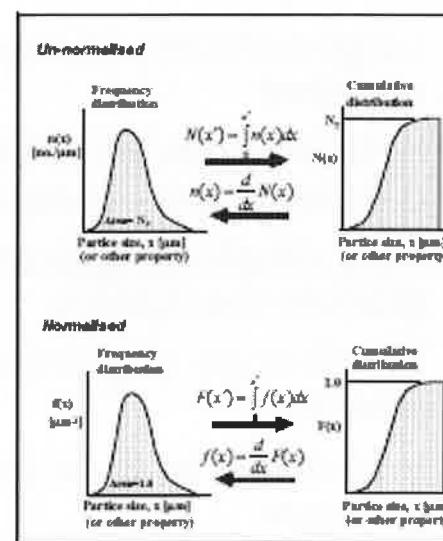
- Large numbers of experiments at various scales required
- Relationships do not easily transfer across different types of equipment
- Very simplistic approach to powder flow and granule mechanics
- Distribution of granule attributes is not predicted directly
- Impossible to scale keeping all granule attributes constant

Outline

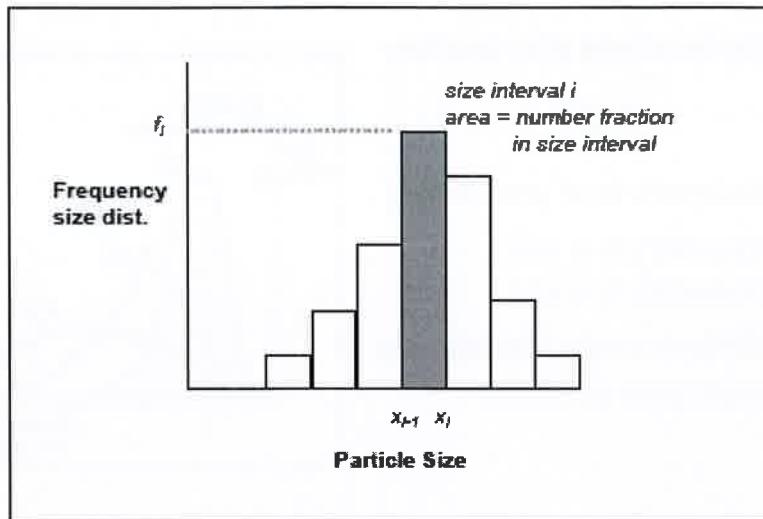
- Types of design and scaling models
- Population balance framework
- Modeling granulation rate processes using the population balance
- Examples and case studies

Particles have property distributions

- Frequency $f(x)$ or cumulative $F(x)$
- $F(x)$ is the number fraction of particles less than size x
- $f(x)dx$ is the number fraction of particles in the range x to $x+dx$
- Normalised $F(x)$, $f(x)$
Un-normalised $N(x)$, $n(x)$



Discrete size distributions

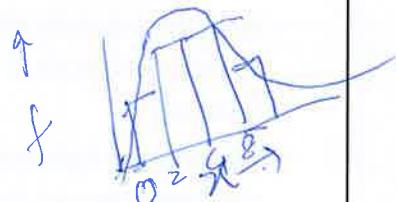


Example

- Sketch the cumulative distribution and frequency histogram for this data:

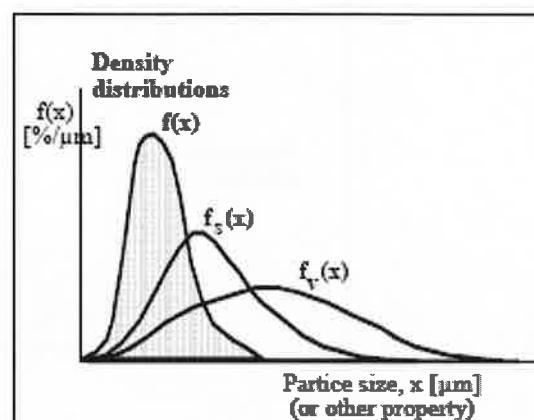
Range (μm)	No. of particles
0-2	5
2-4	10
4-8	10
8-16	5

Range (μm)	No. of particles
0-2	5
2-4	10
4-8	10
8-16	5



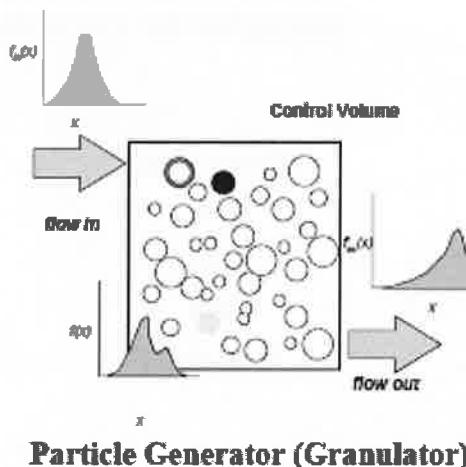
Different forms of size distribution

- Generalised distribution $f_\alpha(\xi)$
- Quantity α of property ξ
- Quantity is y axis
Property is x axis
- Different representations look *very* different



Deriving the PB

- Chemical reactor
 - mass, energy balances
 - reaction kinetics
 - mixing
- Particle generator
 - property distribution replaces chemical species
 - population balance replaces species balance

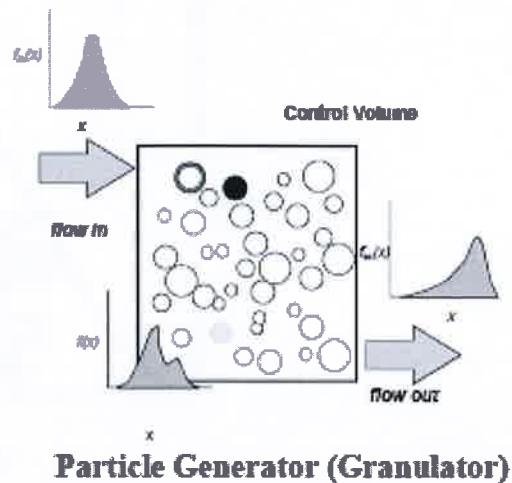


Deriving the PB

- Balance eqn.
IN - OUT = ACCUM.
- What processes need to be accounted for?

AGG
BREAK
NUCLE

ATTRITION
DISSⁿ
LAMINATING
COATING



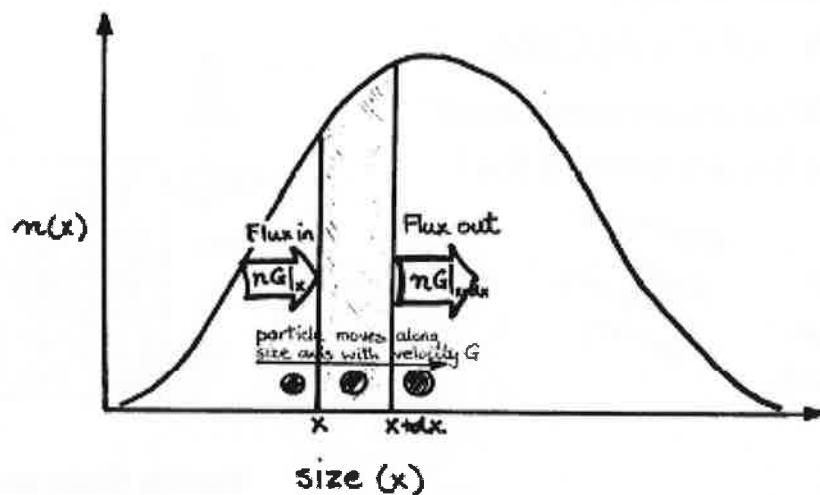
Derivation of the PB

- Accounting of number of particles in size range x to $x+dx$
Accum. = Flow in - Flow out + growth + birth - death
- This is the macroscopic, one dimensional population balance for a well mixed control volume

$$\frac{\partial Vn(x,t)}{\partial t} = \dot{Q}_{in} n_{in}(x) - \dot{Q}_{ex} n_{ex}(x) - V \frac{\partial G_n(x,t)}{\partial x} + V b(x) - V d(x)$$

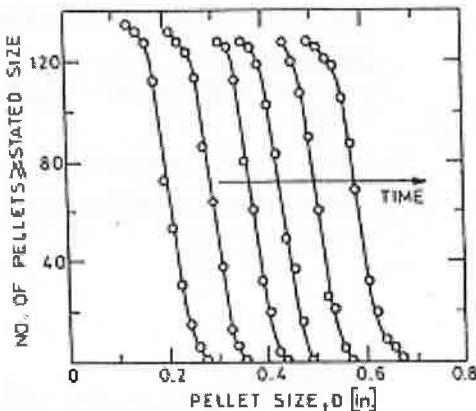
$$\frac{\partial Vn(v,t)}{\partial t} = \dot{Q}_{in} n_{in}(v) - \dot{Q}_{ex} n_{ex}(v) - V \frac{\partial (G^* - A^*) n(v,t)}{\partial v} + V (\dot{b}(v)_{nuc} + \dot{b}(v)_{coal} + \dot{b}(v)_{br} - \dot{d}(v)_{coal} - \dot{d}(v)_{br})$$

Layered growth as a “flux”



Layered Growth on Seed Granules

- Layered growth:
 - Growth rate controlled by mass balance
 - If **all** new feed goes to layering, then
$$\dot{V}_{\text{feed}} = (1-\varepsilon) \int_0^{\infty} G^*(v) n(v) dv$$
- If linear growth rate is size independent:
$$G^*(v) \propto v^{2/3} \propto x^2$$



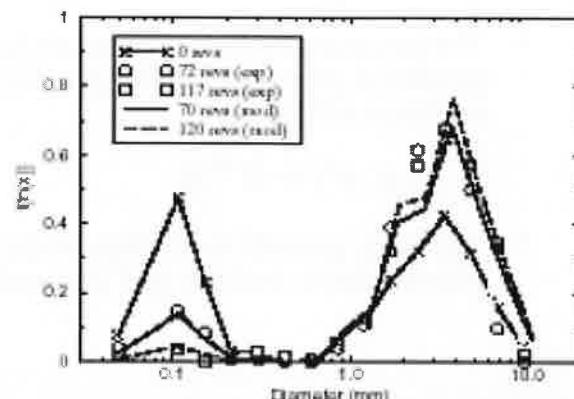
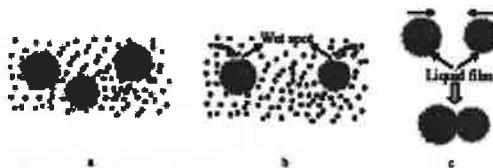
Modelling consolidation and layering

- Consolidating granules pick up fines (layering) as liquid is squeezed to the surface
- When fines are used up, coalescence may start

$$G(v) = -k_{con} v \frac{\epsilon - \epsilon_{min}}{\epsilon}$$

$$k_{con} = f(St_{def})$$

- Predict induction time in batch systems



Wildeboer, 2002

PURDUE
UNIVERSITY

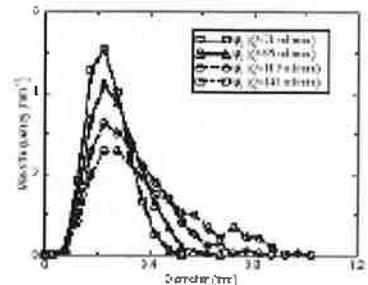
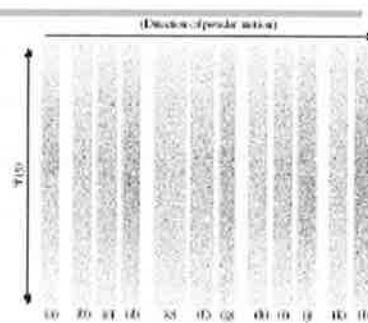
CP3

Modelling Nucleation

- Purely drop controlled:

$$\dot{b}(v)_{nuc} = S n_a (s \epsilon v)$$

- Extension to allow for non-uniform (real) sprays and some drop overlap using Monte Carlo simulation



Wildeboer, 2002

Modeling coalescence

- Birth and death terms for coalescence

$$\begin{aligned} \left[\frac{dn(v)}{dt} \right]_{agg} &= \dot{\delta}_{agg}(v) - \dot{\lambda}_{agg}(v) \\ &= \frac{1}{2} \int_0^v \beta n(v') n(v-v') dv' - \int_0^v \beta n(v) n(v') dv' \end{aligned}$$

- The key parameter is the coalescence kernel which is difficult to predict *a priori* and is the product of the collision frequency and collision efficiency

$$\beta(u, v) = k * \eta$$

- Typically, growth by coalescence gives broad granule size distributions and the gsd depends on the functional form of β

The coalescence kernel

- Many different forms

Kernel	Reference and comments
$\beta = \beta_a$	Kapur & Fuerstenau [J&EC Proc. Des. & Dev., 8(1), 56 (1969)]. Size independent kernel.
$\beta = \beta_o \frac{(u+v)^2}{(uv)^b}$	Kapur [Chem. Eng. Sci., 27, 1863 (1972)]. Preferential coalescence of limestone.
$\beta = \beta_o \frac{(u'+v')}{Y_u + Y_v}$	Sastray [Int. J. Min. Proc., 2, 187 (1975)]. Preferential balling of iron ore and limestone.
$\beta(u, v) = \begin{cases} k, w < w^* \\ 0, w > w^* \end{cases} \quad w = \frac{(uv)^2}{(u+v)^b}$	Adetayo & Emiss [I.J.Ch.E. J., (1996)]. Based on granulation regime analysis.
$w^* = \frac{\pi}{6} \left(\frac{9\mu}{4\rho_i U_s} S^2 \right)^{1/3}$	

- Kernel functional form effects the shape of the granule size distribution

The coalescence kernel

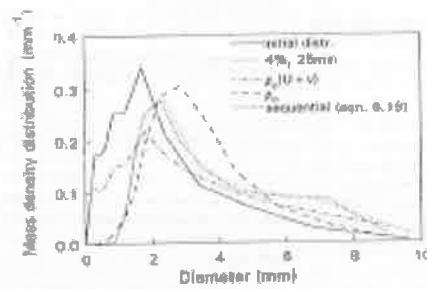
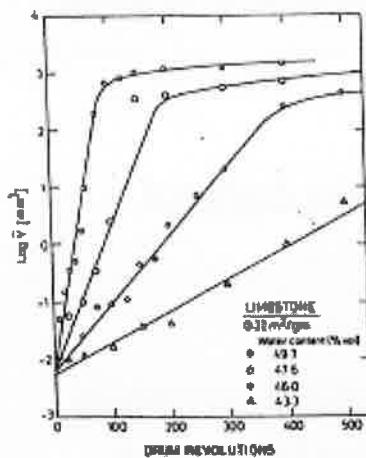


Figure 6.2b: Comparison of the predicted mass density distribution with experimental data for type I initial size distribution of DMP with 4% moisture content granulated for 30 minutes.

Modeling breakage

$$\dot{d}_{br} = S_{br}(v)n(v, t)$$

$$\dot{b}_{br} = \int \phi(v', v) S_{br}(v') n(v') dv'$$

- Two parameters are breakage rate S_{br} and the fragment distribution ϕ
- Breakage rate is a function of St_{def}

Using the population balance for granulation

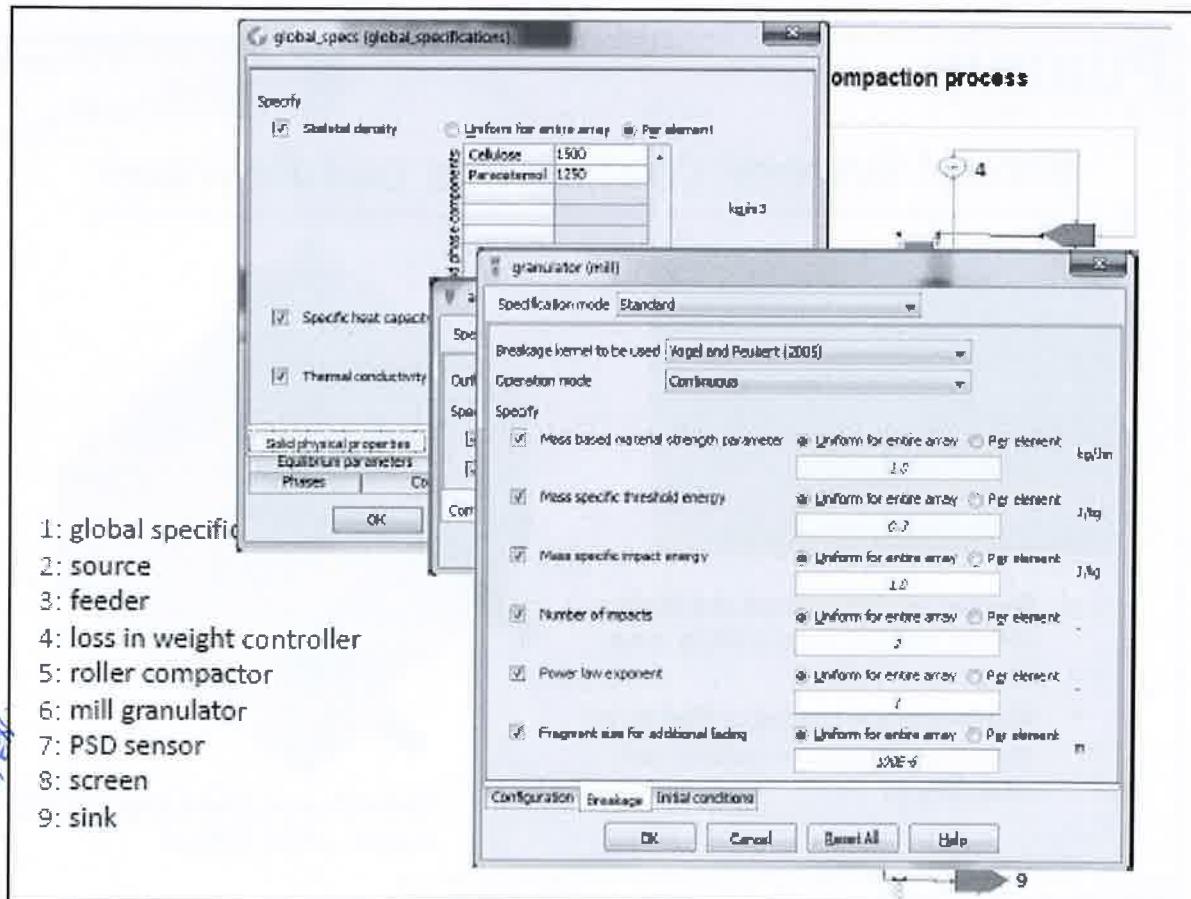
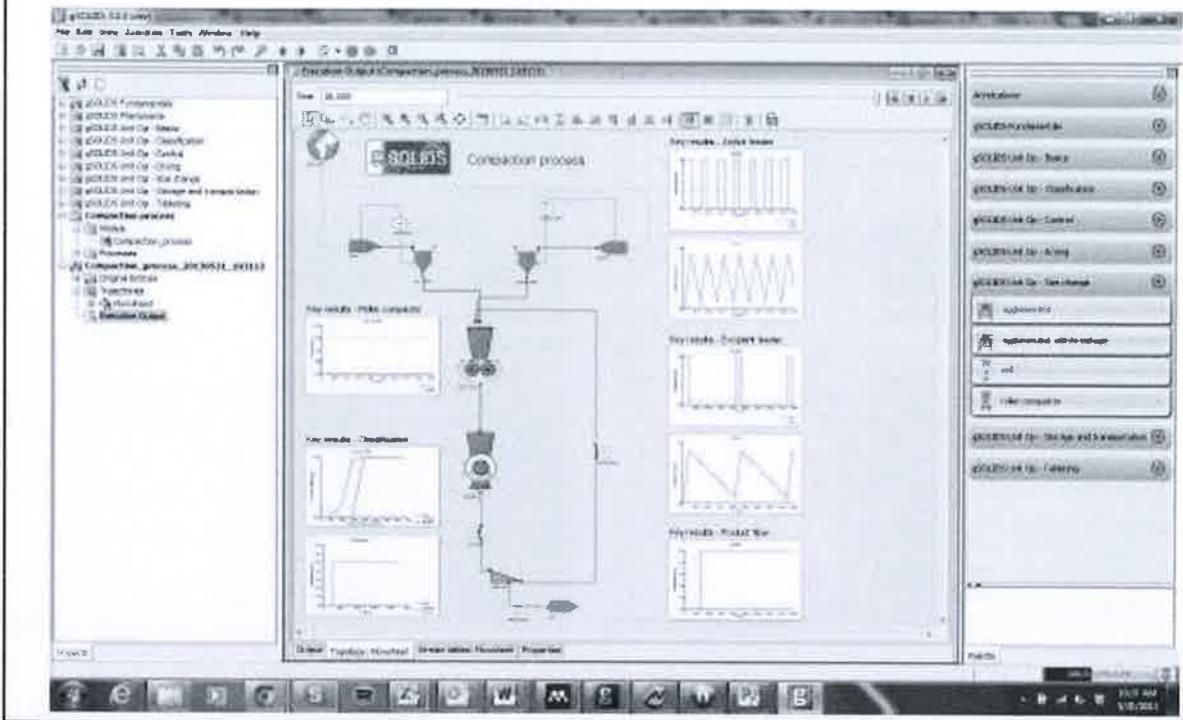
- Critical analysis of lab and plant data
- design
- sensitivity analysis
- optimisation and process control

- CAUTIONARY NOTES
 - only as good as the kinetic parameters
 - usually requires numerical solutions

Outline

- Types of design and scaling models
- Population balance framework
- Modeling granulation rate processes using the population balance
- Examples and case studies

Modeling a Roll Compaction Process in gSOLIDS



*gSolid and Solid S in
from 10/2011*

Simulation Results

Key results for the mill_sensor unit

Average particle sizes

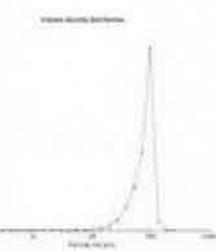
Property	Value at time 18000.0	Units
Number average mean D[1,0]("Solid")	246.374	μm
Gautier mean C[3,2]("Solid")	276.594	μm
Volume mean D[4,3]("Solid")	876.092	μm

Key Quantiles

Property	Value at time 18000.0	Units
D0.1("Solid")	546.758	μm
D0.5("Solid")	906.328	μm
D0.9("Solid")	1167.80	μm

All Quantiles

Property	Value at time 18000.0	Units
D0.05("Solid")	409.318	μm
D0.1("Solid")	546.758	μm
D0.16("Solid")	634.791	μm
D0.25("Solid")	703.324	μm
D0.5("Solid")	906.328	μm
D0.75("Solid")	1040.09	μm
D0.84("Solid")	1106.67	μm
D0.9("Solid")	1167.80	μm
D0.95("Solid")	1245.71	μm



Key results for the recycle_sensor unit

Average particle sizes

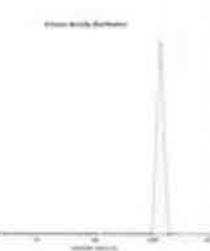
Property	Value at time 18000.0	Units
Number average mean D[1,0]("Solid")	1347.15	μm
Gautier mean C[3,2]("Solid")	1347.17	μm
Volume mean D[4,3]("Solid")	1347.19	μm

Key Quantiles

Property	Value at time 18000.0	Units
D0.1("Solid")	1128.57	μm
D0.5("Solid")	1347.15	μm
D0.9("Solid")	1608.12	μm

All Quantiles

Property	Value at time 18000.0	Units
D0.05("Solid")	1082.20	μm
D0.1("Solid")	1128.57	μm
D0.16("Solid")	1172.21	μm
D0.25("Solid")	1226.53	μm
D0.5("Solid")	1347.15	μm
D0.75("Solid")	1479.66	μm
D0.84("Solid")	1548.23	μm
D0.9("Solid")	1608.12	μm
D0.95("Solid")	1677.05	μm

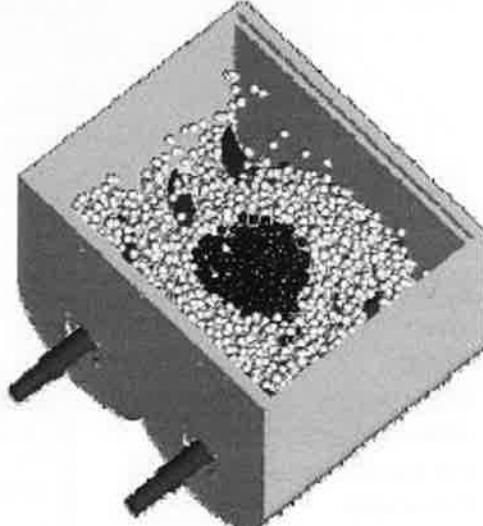
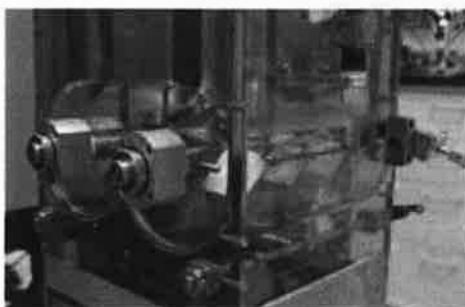


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STRUCTURED ORGANIC PARTICULATE SYSTEMS
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Model System: coating in a paddle mixer



- Develop models to track distribution of inter-particle spray coating over time
- Aim to improve coating uniformity by optimizing process conditions and mixer design

Freireich et al., Chem Eng Sci (2011)
Li et al., AIChE J (2011)

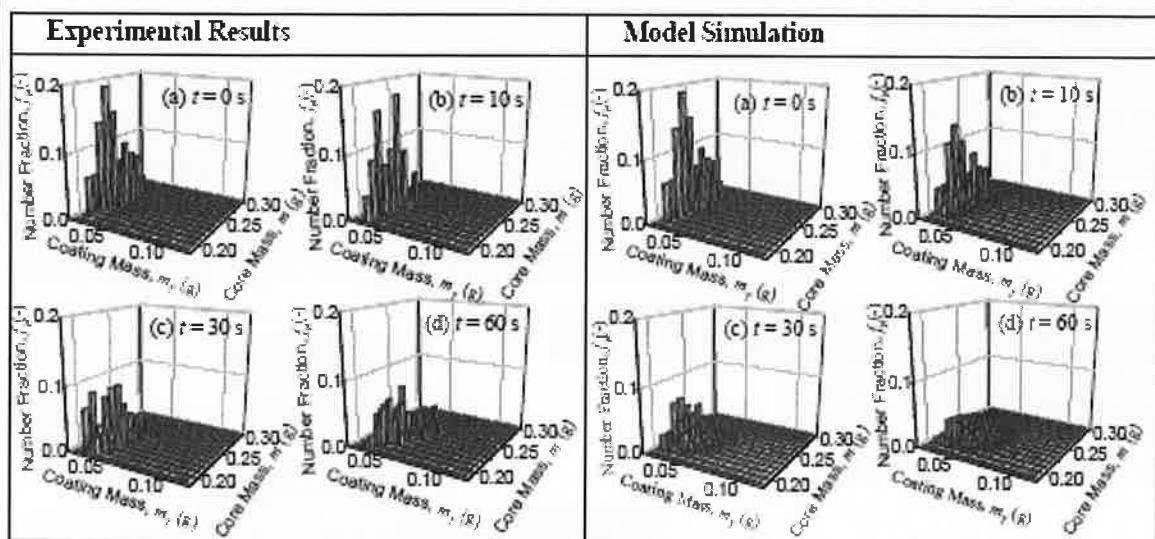
Ben Freireich, Jianfeng Li, Carl Wassgren, Jim Litster

Population Balance Model

- Particle 2-D volume distribution $n(v_a, v_l, t)$
 - Seed volume v_a , coating volume v_l
 - Initial log normal seed distribution
- Volume based growth rate $G_{v,l} = \frac{dv_l}{dt} = k(v_a + v_l)^r$
- Model equations

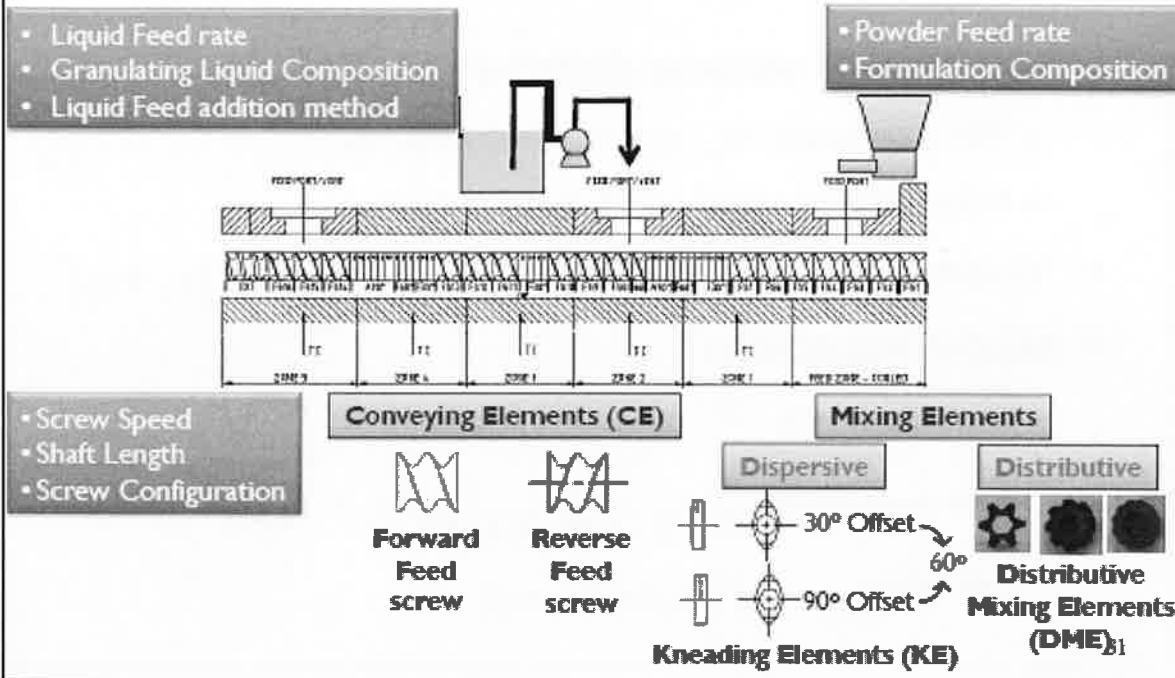
spray compartment $\frac{\partial n_s}{\partial t} + \frac{\partial}{\partial v_l} \cdot (G_{v,l} n_s) = \frac{1}{(1-\lambda_s) T_s} \left(\sum_j (n_j f_{j,s}) - n_s \right) \quad (*)$
 N bed compartments $\frac{\partial n_{Bi}}{\partial t} = \frac{1}{(1-\lambda_B)(1+R) T_B} \left(\sum_j (n_j f_{j,Bi}) - n_{Bi} \right), \text{ for } i = 1 \text{ to } N$
 mass balance $\int \int G_{v,l} V_i n_i dv_l v_a = \eta_j Y_i$

Do the predictions match experiment?



Li et al., paper 15

Twin Screw Case Study

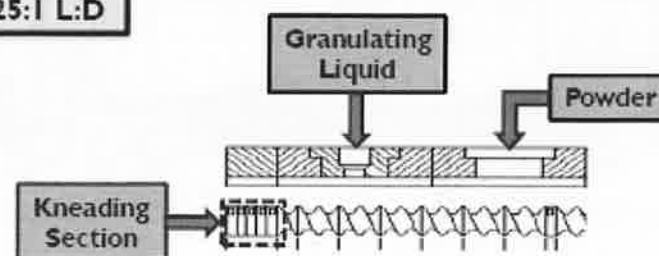


Experimental Setup

Formulation	Screw Type	Number	Advance Angle	Angle Direction
Lactose	Conveying	--	--	--
Avicel PH101	Kneading Elements	3, 5 and 7	30°	Forward
Hypromellose			60°	Reverse
Ac-Di-Sol		3, 5 and 7	30°	Forward
			60°	Reverse
		3, 5 and 7	90°	--

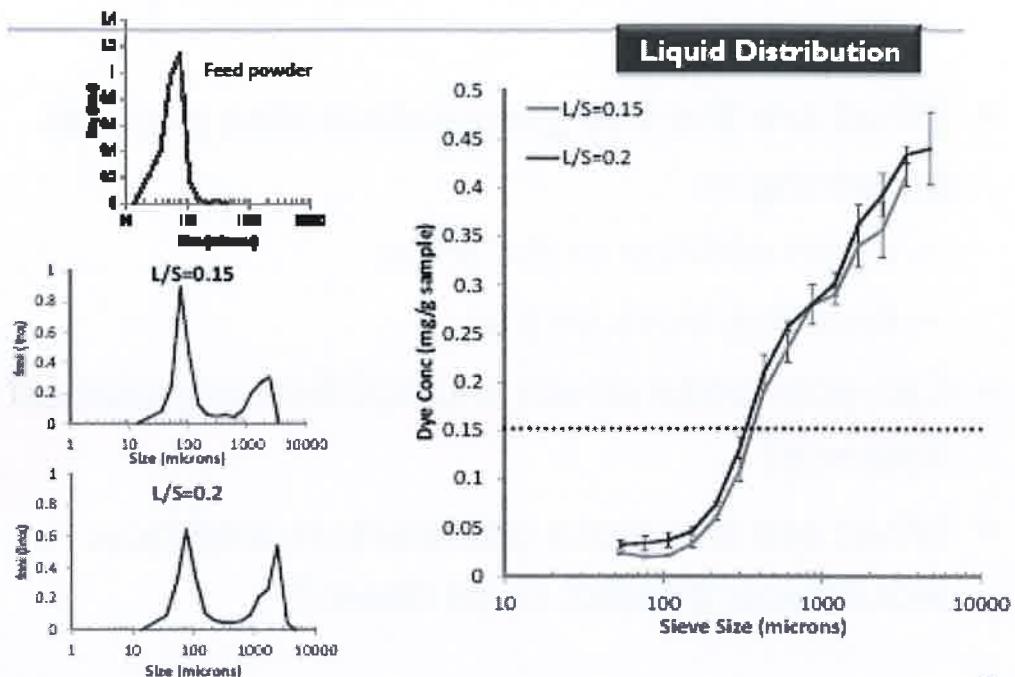
Equipment: EuroLab 16 mm TSG 25:1 L:D
Fixed Process Parameters

- Powder feed rate: 4 kg/h
- Screw speed: 400 rpm





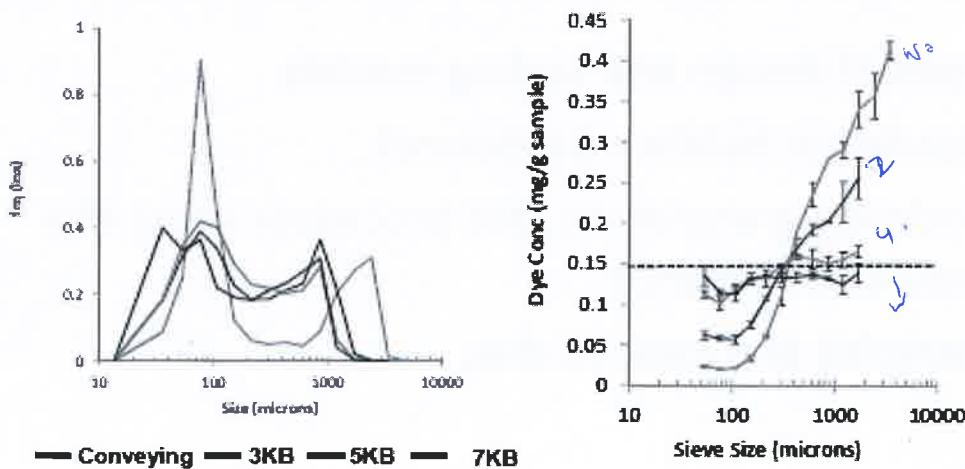
Granulation in Conveying Elements



33



Impact of the kneading elements





Twin screw case study

- What are the key granulation rate process occurring in
 - Liquid addition to the screw
 - Kneading block section
- Can you write down a simplified population balance?
- What are the main parameters and how would you predict or fit them?



Outline

- Types of design and scaling models
- Population balance framework
- Modeling granulation rate processes using the population balance
- Examples and case studies

Lecture 7. Powder Characterisation

Prof. Stefan Palzer (Nestlé)



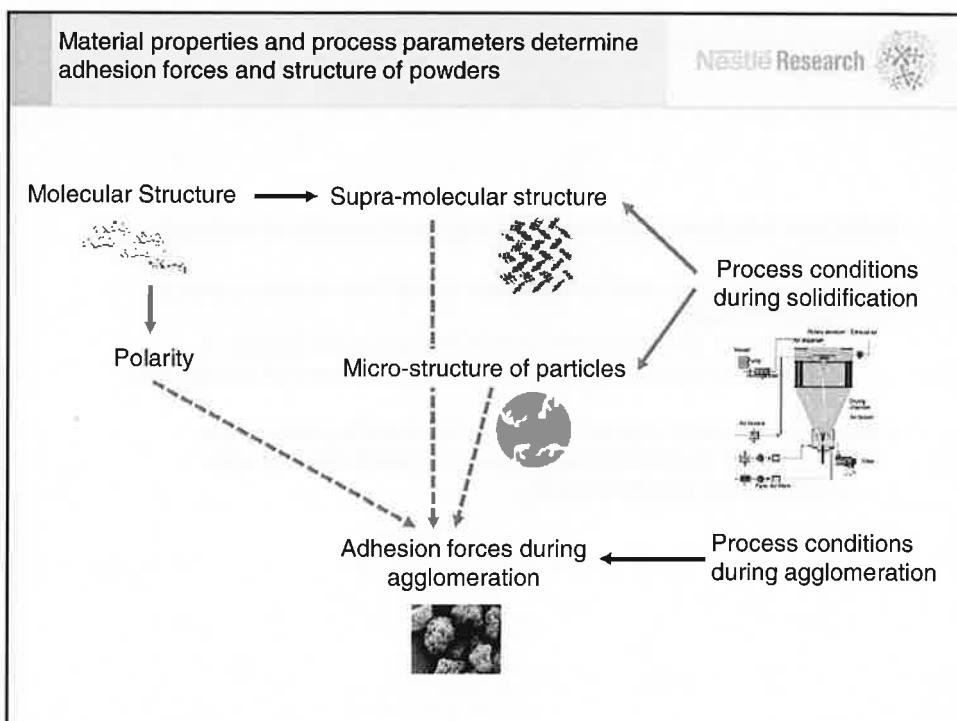
Material Science for Powders

-Structure and properties of powders –

- Molecular structure of materials
- Supra-molecular structure of solids
- Particle design and micro-structure
- Analysis of key powder properties
- Impact of properties on powder processing

Prof. Dr.-Ing. habil Stefan Palzer & Dr. Ing. Gerhard Niederreiter
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Molecular structures of solid materials

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Relevance of molecular structure for material properties



Molecular structure determines polarity and mobility of molecules

- Long and branched molecules are less mobile than shorter molecules
 - Higher viscosity
 - Formation of amorphous structures favored; lower degree of crystallinity (physical properties depending on degree of crystallinity)
- Solid matrices build of polar molecules interact with polar liquids
 - Migration of liquid into solid matrix → plastification of solid
 - Dissolution of solid in liquid

Molecular structure and Polarity of solids

NESTLE Research

Atoms: Neutrally charged

Molecules: Neutrally charged; composed of several atoms

Ions: Positively or negatively charged; 1 or several atoms

- Monomers: Building block molecules for oligo- or polymers
- Oligomers: Build of a few monomer units
- Polymers: Build of a larger number of monomer units

Polarity:
Homogeneity of charge distribution along molecular structure
→ interaction with polar or apolar solvents (e.g. hydrophobic or hydrophilic)

Structure of polymers and their impact on supra-molecular structure

NESTLE Research

- Polymers can be linear, branched or they can be a network (crosslinked)

Branching influences molecular mobility and thus viscosity

- Polymers can have an amorphous (no order), semi-crystalline or crystalline (highly ordered) supra-molecular structure

Branching and tacticity (distribution of side chains) influence molecular mobility and thus degree of crystallinity produced during e.g. drying processes

Atactic (randomly) polymers are mostly amorphous

Lecture 7. Powder Characterization

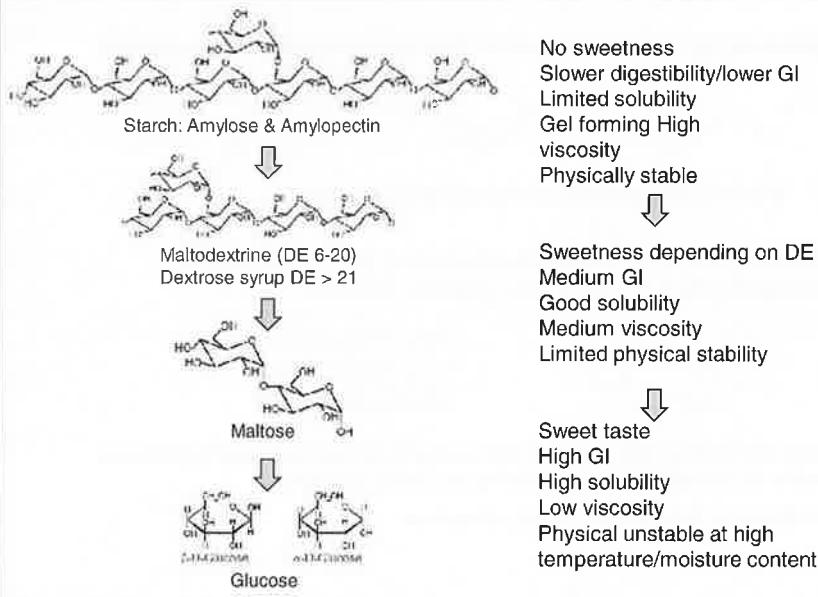
Molecular modifications performed to change physical properties or biological effect of solids



Examples for important molecular modifications:

- Isomerisation \equiv change in arrangement of atoms in molecules or ions \rightarrow change in physical properties
- Polymerization \equiv covalent bonding of monomer units (by e.g. condensation) \rightarrow creation of new materials
- Addition of functional groups (e.g. Methylation, Carboxylation) \rightarrow modify solubility and interaction between molecules
- Depolymerization \equiv decomposition of polymers into monomers (acid hydrolysed, enzymatically hydrolysed) \rightarrow generation of new substances, new mechanical properties
- Cross linking of macro-molecules (e.g. disulfide bridges) \rightarrow generate different mechanical properties

Molecular modifications
Example: De-polymerization



DE = dextrose equivalent/reducing potential

Lecture 7. Powder Characterization

Methods for analysing the molecular structure of solids

Nestle Research

- Gas chromatography (GC) e.g. with Flame Ionisation Detector (FID)
- High pressure liquid chromatography (HPLC)
- Thin film liquid chromatography (TLC)
- Size exclusion chromatography
- Mass spectroscopy (MS)
- Time of flight detector (e.g. LC QToF MS)

Principle: Interaction of molecules with static and mobile phase

Principle: Molecular size/mobility

Principle: Mass of different molecular fragments

Principle: Flight time of fragments

The diagram illustrates the analytical process. It starts with a grayscale image of a complex sample matrix, likely a 2D TLC plate with many spots. An arrow points to a second grayscale image showing a chromatogram with several distinct peaks. Another arrow points to a third image showing a detailed mass spectrum with a vertical y-axis labeled 'm/z' and a horizontal x-axis.

Supra-molecular structure of solid materials

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Nestle Research

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