

ELECTRONICS AND COMPUTER SCIENCE

2010-2011

Code: 3SFE618
Title: Formal Methods
Date: 12 May 2011
Time: 14:00
Duration: 2 Hours

INSTRUCTIONS TO CANDIDATES

Answer ALL questions in Section A and TWO questions from Section B.

Section A is worth a total of 50 marks.

Each question in section B is worth 25 marks.

You may wish to consult Appendix D.

Information sheets and additional stationery supplied:

Section A

Answer ALL questions from this section

Question 1

Given the following Z schemas:

<i>First</i>
$x, y : \mathbb{N}$ $A, B : \mathbb{P}\mathbb{N}$
$x < y$ $x \in A$ $A \subseteq B$

<i>Second</i>
$z : \mathbb{N}$ $B, C : \mathbb{P}\mathbb{N}$
$z \in B$ $B \subseteq C$

- (a) State and explain the formal definitions of ΔS and $\exists S$ for any schema S . [5 marks]
- (b) Give the *expanded* version of $\Delta First$. [3 marks]
- (c) Give the *expanded* version of $\exists Second$. [4 marks]

Question 2

The following is part of a specification for the *Bikephone Shed* mobile phone shop. The following types representing individual phones, mobile manufacturers and unique mobile serial numbers.

$MOBILE ::= mobile1 \mid mobile2 \mid mobile3 \mid \dots$
 $MANUFACTURER ::= Nokia \mid Apple \mid Blackberry \mid HTC \mid \dots$
 $SERIAL_NO ::= MP0012 \mid MP0008 \mid MP0099 \mid \dots$

The state schema:

<i>Bikephone_Shed</i>
$stock :$ $manufacturers :$ $serialnumb :$ $make :$ $price :$
\vdots

(a) Copy the *Bikephone_Shed* state schema and complete the definitions of the following state variables:

- *stock* are the mobile phones waiting to be sold.
- *manufacturers* are the chosen phone manufacturers, e.g., Nokia, Apple, HTC, etc, whose phones *Bikephone Shed* sells.
- *serialnumb* maps each mobile to its *unique* serial number.
- *make* maps each mobile to its make.
- *price* maps each phone to price in pounds.

In addition, include the **state invariant** that *Bikephone Shed* only sells phones that are made by its chosen manufacturers.

[12 marks]

[Continued Overleaf]

(b) Define an **initial** *Bikephone Shed* state schema for the following situation:

- There are two phones *mobile1* and *mobile2*.
- The chosen *manufacturers* are Nokia, HTC and Blackberry.
- The registration numbers for *mobile1* is *MP0012* and for *mobile2* is *MP0099*.
- The make of *mobile1* is *Nokia* and *mobile2* is *HTC*.
- The price of each mobile is: *mobile1* is £250 and *mobile2* is £175.

[8 marks]

Question 3

Define the generic Z operator *range restriction* " \triangleright ", using a generic schema. Its textual definition is given below.

Definition: The range restriction, $R \triangleright A$, of the relation $R : X \leftrightarrow Y$ by the set $A : \mathbb{P} Y$ is the relation that relates x to y , if and only if, R relates x to y and y is a member of the set A .

[8 marks]

Question 4

The following:

$$\begin{aligned} multiply(3, 6) &= 18 \\ subtract(24, 9) &= 15 \\ increment(7) &= 8 \\ decrement(3) &= 2 \end{aligned}$$

are examples of the standard mathematical functions for *multiplication*, *subtraction*, *increment* and *decrement* respectively, for natural numbers (\mathbb{N}). Define the *signatures* of these functions. Note you are **not** required to give their definitions.

[10 marks]

Section B

Answer TWO questions from this section

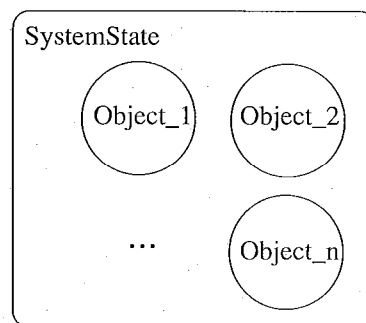
Question 5

- (a) When developing a Z specification of a system it is necessary to distinguish between *invalid*, *valid* and *initial* system states.

Describe the relationship between these different system states and explain the role of *system invariants* in this relationship. Illustrate this relationship using a diagram.

[7 marks]

- (b) Assume the general state of a system to be specified in Z consists of n objects – $Object_1$, ..., $Object_n$, as follows:



- (i) Give a brief description of how this system's *general state schema* should be structured. Illustrate this structure by means of suitable Z schemas.

[6 marks]

- (ii) Give a brief description of how this system's *initial state schema* should be structured. Illustrate this structure by means of suitable Z schemas.

[7 marks]

[Continued Overleaf]

- (iii) The following operation is specified *only* in terms of *Object_1*:

<i>Operation_on_Object_1</i>
$\Delta Object_1_State$
<i>Input Variables</i>
<i>Output Variables</i>
<i>PreConditions</i>
$Object_1' = New_Object_1$
<i>Outputs</i>

Briefly explain how this operation could be re-used to define an operation on the *whole* of the system state using the technique known as *operation promotion*. Illustrate this by means of a diagram. In addition, give the Z schema that represents the promoted operation on the whole state.

[5 marks]

Question 6

The following is part of a Library specification.

The following definitions represent the set of books, copies (i.e. instances) of books and borrowers.

[*BOOK*, *COPY*, *BORROWER*]

| *maxloans* : \mathbb{N}

LibraryDataBase

stock : *COPY* \leftrightarrow *BOOK*

registeredborrowers : \mathbb{F} *BORROWER*

LibraryLoans

onloan : *COPY* \leftrightarrow *BORROWER*

inlibrary : \mathbb{F} *COPY*

$\forall b : \text{BORROWER} \bullet \#(\text{onloan} \triangleright \{ b \}) \leq \text{maxloans}$

$\text{inlibrary} \cap \text{dom onloan} = \emptyset$

Library

LibraryDataBase

LibraryLoans

$\text{dom stock} = \text{inlibrary} \cup \text{dom onloan}$

$\text{ran onloan} \subseteq \text{registeredborrowers}$

[Continued Overleaf]

<i>IssueBook</i>
$\Delta \text{Library}$
$c? : \text{COPY}$
$b? : \text{BORROWER}$
$c? \in \text{inlibrary}$
$\text{stock}' = \text{stock}$
$\text{inlibrary}' = \text{inlibrary} \setminus \{ c? \}$
$\#(\text{onloan} \triangleright \{ b? \}) < \text{maxloans}$
$\text{registeredborrowers}' = \text{registeredborrowers}$
$b? \in \text{registeredborrowers}$
$\text{onloan}' = \text{onloan} \oplus \{ c? \mapsto b? \}$

- (a) Explain in "plain English" (i.e. do not give a literal translation) the meaning of each line of the following schemas:
- (i) *LibraryLoans* [3 marks]
- (ii) *Library* [3 marks]
- (b) Explain in "plain English" the meaning of each line of the constraint part of the *IssueBook* schema and the role it plays in the specification of the operation. [7 marks]
- (c) Specify the *ReturnBook* operation which is used when a borrower returns a book to the library. The specification of this operation must be total and output appropriate success and error reports. In addition the specification should be as modular as possible and make full use of the schema calculus. [12 marks]

Question 7

Part of a Z specification for a University's Computing degree course in particular module registration and de-registration is given in Appendix A.

- (a) The ZTC type checker output for the Module specification is given in Appendix B. For each error reported give an explanation and the necessary corrections. [10 marks]
- (b) Once all of the errors detailed in part (a) have been eliminated from the Module specification, explain what additions and modifications must be made to the specification to permit it to be animated by the ZANS animator. [10 marks]
- (c) Assuming all of the necessary modifications required in part (b) have been made, an attempt is made to animate the Module specification in ZANS. However, ZANS indicates that it can not animate the two operations RegisterForModule_Ok and DeregisterFromModule_Ok, by reporting that they are *"not explicit"*.
Give a brief explanation of what *"not explicit"* means in this context and state what modifications need to be made to these two operation schemas to correct this problem. [5 marks]

Appendix A. Module Specification

A.1 ZTC Box Style Version

```

1      specification
2
3      [ STUDENT ]
4
5      TITLE    ::= Formal_Methods | Compilers | Networks
6
7      STAFF     ::= P_Howells | A_Lecturer | M_Mouse
8
9      REPORT    ::= Success
10             | ERROR_Already_Registered
11             | ERROR_Module_Full
12             | ERROR_Not_Registered
13
14             | MODULE_LIMIT : N
15
16             | ACADEMIC_STAFF : P STAFF
17
18      --- Module -----
19      | title : TITLE ;
20      | leader : STAFF ;
21      | numbstudents : N ;
22      | students : P STUDENT
23      |-----
24      | leader in ACADEMIC_STAFF ;
25      | numbstudents <= MODULE_LIMIT ;
26      | numbstudents = students
27      |-----
28
29      --- InitialModule -----
30      | Module
31      |-----
32      | title = Formal_Methods ;
33      | leader = P_Howells ;
34      | numbstudents = 0 ;
35      | students = {}
36      |-----
37

```

```
38      ReportSuccess ^= [ report! : REPORT | report! = Success ]
39
40      --- RegisterForModule_Ok -----
41      |   Delta Module ;
42      |   newstudent? : STUDENT
43      |-----
44      |   newstudent? notin students ;
45      |   numbstudents < MODULE_LIMIT ;
46      |   students' = students || newstudent? ;
47      |   numbstudents' = numbstudents + 1 ;
48      |-----
49
50      RegisterForModule_Success ^= RegisterForModule_Ok
51                                  /\ ReportSuccess
52
53      --- AlreadyRegistered_Error -----
54      |   Xi Module ;
55      |   newstudent? : STUDENT ;
56      |   report! : REPORT
57      |-----
58      |   newstudent? in students
59      |   report! = ERROR_Already_Registered
60      |-----
61
62      --- ModuleFull_Error -----
63      |   Xi Module ;
64      |   newstudent? : STUDENT ;
65      |   report! : REPORT
66      |-----
67      |   numbstudents = MODULE_LIMIT ;
68      |   report! = ERROR_Module_Full
69      |-----
70
71      RegisterForModule  ^= RegisterForModule_Success
72                          \/ AlreadyRegistered_Error
73                          \/ ModuleFull_ERROR
74
```

```
75      --- DeregisterFromModule_Ok -----
76      | Module ;
77      | deregstudent? : STUDENT
78      |-----
79      | deregstudent? in students ;
80      | students' = students \ { deregstudent? } ;
81      | numbstudents' = numbstudents - 1 ;
82      |-----
83
84      DeregisterFromModule_Success
85          ^= DeregisterFromModule_Ok /\ ReportSuccess
86
87      --- Student_Not_Registered_Error -----
88      | Xi Module ;
89      | deregstudent? : STUDENT ;
90      | report! : REPORT
91      |-----
92      | deregstudent? = students ;
93      | report! = ERROR_Not_Registered
94      |-----
95
96      DeregisterFromModule
97          ^= DeregisterFromModule_Success \/
98             Student_Not_Registered_Error
99
100     --- PlacesLeftOnModule_Ok -----
101     | Xi Module ;
102     | placesleft! : N
103     |-----
104     | placesleft = MODULE_LIMIT - numbstudents
105     |-----
106
107     PlacesLeftOnModule ^= PlacesLeftOnModule_Ok /\
108                          ReportSuccess
```

A.2 Pretty-Printed Version

[*STUDENT*]

TITLE ::= *Formal_Methods* | *Compilers* | *Networks*

STAFF ::= *P_Howells* | *A_Lecturer* | *M_Mouse*

REPORT ::= *Success*

| *ERROR_Already_Registered*

| *ERROR_Module_Full*

| *ERROR_Not_Registered*

| *MODULE_LIMIT* : \mathbb{N}

| *ACADEMIC_STAFF* : \mathbb{P} *STAFF*

Module

title : *TITLE*

leader : *STAFF*

numbstudents : \mathbb{N}

students : \mathbb{P} *STUDENT*

leader \in *ACADEMIC_STAFF*

numbstudents \leq *MODULE_LIMIT*

numbstudents = *students*

InitialModule

Module

title = *Formal_Methods*

leader = *P_Howells*

numbstudents = 0

students = \emptyset

ReportSuccess $\hat{=}$ [*report!* : *REPORT* | *report!* = *Success*]

RegisterForModule_Ok
 ΔModule
newstudent? : *STUDENT*

newstudent? \notin *students*
numbstudents < *MODULE_LIMIT*
students' = *students* \cup *newstudent?*
numbstudents' = *numbstudents* + 1

RegisterForModule_Success $\hat{=}$ *RegisterForModule_Ok* \wedge *ReportSuccess*

AlreadyRegistered_Error
 $\exists \text{Module}$
newstudent? : *STUDENT*
report! : *REPORT*

newstudent? \in *students*
report! = *ERROR_Already_Registered*

ModuleFull_Error
 $\exists \text{Module}$
newstudent? : *STUDENT*
report! : *REPORT*

numbstudents = *MODULE_LIMIT*
report! = *ERROR_Module_Full*

RegisterForModule $\hat{=}$ *RegisterForModule_Success*
 \vee *AlreadyRegistered_Error*
 \vee *ModuleFull_ERROR*

DeregisterFromModule_Ok
 Module
deregstudent? : *STUDENT*

deregstudent? \in *students*
students' = *students* \setminus {*deregstudent?*}
numbstudents' = *numbstudents* - 1

$$\text{DeregisterFromModule_Success} \triangleq \text{DeregisterFromModule_Ok} \\ \wedge \text{ReportSuccess}$$

$\text{Student_Not_Registered_Error}$
$\exists \text{Module}$ $\text{deregstudent?} : \text{STUDENT}$ $\text{report!} : \text{REPORT}$
$\text{deregstudent?} = \text{students}$ $\text{report!} = \text{ERROR_Not_Registered}$

$$\text{DeregisterFromModule} \triangleq \text{DeregisterFromModule_Success} \\ \vee \text{Student_Not_Registered_Error}$$

$\text{PlacesLeftOnModule_Ok}$
$\exists \text{Module}$ $\text{placesleft!} : \mathbb{N}$
$\text{placesleft} = \text{MODULE_LIMIT} - \text{numbstudents}$

$$\text{PlacesLeftOnModule} \triangleq \text{PlacesLeftOnModule_Ok} \wedge \text{ReportSuccess}$$

Appendix B. ZTC output for Module Specification

The following is part of the ZTC type checker output from the **Module** specification.

```
Parsing main file: module.zbx
... Type checking Given set. "module.zbx" Line 3
... Type checking Free type definition: TITLE. "module.zbx" Line 5
... Type checking Free type definition: STAFF. "module.zbx" Line 7
... Type checking Free type definition: REPORT. "module.zbx" Lines 9-12
... Type checking Axiom box. "module.zbx" Line 14
... Type checking Axiom box. "module.zbx" Line 16
... Type checking Schema box: Module. "module.zbx" Lines 18-26
--- Typing error. "module.zbx" Line 26. Type mismatch:
... Type checking Schema box: InitialModule. "module.zbx" Lines 29-35
... Type checking Schema definition: ReportSuccess. "module.zbx" Line 38
... Type checking Schema box: RegisterForModule_Ok. "module.zbx" Lines 40-47
--- Typing error. "module.zbx" Line 46. Type mismatch: Infix expression
--- Typing error. "module.zbx" Line 46. Type mismatch: Right-hand side
... Type checking Schema definition: RegisterForModule_Success. "module.zbx"
    Lines 50-51
... Type checking Schema box: AlreadyRegistered_Error. "module.zbx" Lines 53-59
--- Typing error. "module.zbx" Line 58. Mapping expected:
... Type checking Schema box: ModuleFull_Error. "module.zbx" Lines 62-68
--- Syntax error. "module.zbx" Line 73, near "ModuleFull_ERROR"
... Type checking Schema box: DeregisterFromModule_Ok. "module.zbx" Lines 75-81
--- Typing error. "module.zbx" Line 80. Undefined name: students'
--- Typing error. "module.zbx" Line 81. Undefined name: numbstudents'
... Type checking Schema definition: DeregisterFromModule_Success. "module.zbx"
    Lines 84-85
... Type checking Schema box: Student_Not_Registered_Error. "module.zbx" Lines 87-93
--- Typing error. "module.zbx" Line 92. Type mismatch:
... Type checking Schema definition: DeregisterFromModule. "module.zbx" Lines 96-98
... Type checking Schema box: PlacesLeftOnModule_Ok. "module.zbx" Lines 100-104
--- Typing error. "module.zbx" Line 104. Undefined name: placesleft
... Type checking Schema definition: PlacesLeftOnModule. "module.zbx" Lines 107-108
--- Reached the end of the main file while parsing.
End of main file: module.zbx
```


Appendix C. ZANS output for Plane Specification

The following is part of the ZANS animator output from the **Plane** specification.

```
zans> load plane.zbx
zans> animate
... Initialization.
    Looking for state-schema pragma.
    No state-schema pragma found. Attempt auto-set.
    State schema: Plane
    State schema: InitialPlane
... Analyzing axiomatic definitions: not explicit.
... Analyzing schema Plane: state.
... Analyzing schema InitialPlane: state.
... Analyzing schema Board_OK: explicit.
... Analyzing schema ReportSuccess: explicit.
... Analyzing schema Board_Succ: explicit.
... Analyzing schema Board_AlreadyOnBoard: explicit.
... Analyzing schema Board_PlaneFull: explicit.
... Analyzing schema Board: explicit.
... Analyzing schema Disembark_OK: explicit.
... Analyzing schema Disembark_Succ: explicit.
... Analyzing schema Disembark_NotOnBoard: explicit.
... Analyzing schema Disembark_PlaneEmpty: explicit.
... Analyzing schema Disembark: explicit.
... Analyzing schema NumberOnBoard: explicit.
... Analyzing schema PersonOnBoard: explicit.
... Initializing global names.
capacity: Undef
... Check initialization schemas.
    Looking for init-schema pragma.
    No init-schema pragma found. Attempt auto-set.
Initialization schema: ReportSuccess
... Initializing ReportSuccess
... Execute schema: ReportSuccess
Schema: ReportSuccess
report!: OK

anim> exit
```

Appendix D. Table of Z Syntax

This appendix contains the Z notation for: sets, logic, ordered pairs, relations, functions, sequences, bags, schemas and the schema calculus.

D.1 Sets

Notation	Description
\mathbb{N}	Set of natural numbers from 0
\mathbb{N}_1	Set of natural numbers from 1
\mathbb{Z}	Set of integers
$x \in S$	x is an element of S
$x \notin S$	x is not an element of S
$S \subseteq T$	S is a subset of T
$S \subset T$	S is a strict subset of T
$\emptyset, \{ \}$	Empty set
$\mathbb{P}S$	Power set of S
$\mathbb{F}S$	Finite power set of S
$\mathbb{F}_1 S$	Non-empty finite subsets of S
$S \cup T$	Union of S and T
$S \cap T$	Intersection of S and T
$S \setminus T$	Set difference of S and T
$\#S$	Number of elements in set S
$\{ D \mid P \bullet t \}$	Set comprehension
$\bigcup SS$	Distributed union of SS
$\bigcap SS$	Distributed intersection of SS
$i \dots j$	Range of integers from i to j inclusive
disjoint $\langle A, B, C \rangle$	Disjoint sets A , B and C
$\langle A, B, C \rangle$ partition S	Sets A , B and C partition the set S

D.2 Logic

Notation	Description
$\neg P$	not P
$P \wedge Q$	P and Q
$P \vee Q$	P or Q
$P \Rightarrow Q$	P implies Q
$P \Leftrightarrow Q$	P is equivalent to Q
$\forall x : T \bullet P$	All elements x of type T satisfy P
$\exists x : T \bullet P$	There exists an element x of type T which satisfies P
$\exists_1 x : T \bullet P$	There exists a <i>unique</i> element x of type T which satisfies P

D.3 Ordered Pairs

Notation	Description
$X \times Y$	Cartesian product of X and Y
(x, y)	Ordered pair
$x \mapsto y$	Ordered pair, (maplet)
$first(x, y)$	Ordered pair projection function
$second(x, y)$	Ordered pair projection function

D.4 Relations

Notation	Description
$\mathbb{P}(X \times Y)$	Set of relations between X and Y
$X \leftrightarrow Y$	Set of relations between X and Y
$\text{dom } R$	Domain of relation R
$\text{ran } R$	Range of relation R
$S \triangleleft R$	Domain restriction of R to the set S
$S \triangleleft R$	Domain anti-restriction of R by the set S
$R \triangleright S$	Range restriction of R to the set S
$R \triangleright S$	Range anti-restriction of R by the set S
$R_1 \oplus R_2$	R_1 overridden by relation R_2
$R \circ Q$	Relational composition
$R \upharpoonright S$	Relational Image of the set S of relation R
$\text{id } X$	Identity relation
R^{-1}	Inverse relation
R^+	Transitive closure of R
R^*	Reflexive-transitive closure of R

D.5 Functions

Notation	Description
\leftrightarrow	Finite function
\rightarrowtail	Finite injection
\rightharpoonup	Partial function
\rightarrow	Total function
\rightarrowtail	Partial injection
\twoheadrightarrow	Total injection
\twoheadrightarrow	Partial surjection
\rightarrow	Total surjection
\twoheadrightarrow	Bijection

D.6 Sequences

Notation	Description
$\text{seq } X$	Finite sequences of type X
$\text{seq}_1 X$	Non-empty finite sequences of type X
$\text{iseq } X$	Injective finite sequences of type X
$\langle \rangle$	Empty sequence
$s \frown t$	Concatenation of the sequences s and t
$\text{head } s$	First element of a non empty sequence
$\text{tail } s$	All but first element of a non empty sequence
$\text{last } s$	Last element of a non empty sequence
$\text{front } s$	All but last element of a non empty sequence
$\text{rev } s$	Sequence Reversal
$\text{squash } s$	Sequence Compaction
$s \text{ prefix } t$	s is a <i>prefix</i> of t
$s \text{ suffix } t$	s is a <i>suffix</i> of t
$s \text{ in } t$	s is a <i>sub-sequence</i> of t

D.7 Bags

Notation	Description
$\text{bag } X$	Bag of type X
$[]$	Empty bag
$x \in B$	Bag membership
$x \notin B$	Bag non-membership
$B_1 \sqsubseteq B_2$	Sub-bag
$B_1 \sqsubset B_2$	Strict Sub-bag
$B_1 \uplus B_2$	Bag Union
$B_1 \ominus B_2$	Bag Difference
$\text{count } B \ x$	Bag Count of x
$B \# x$	Bag Count of x
$n \otimes B$	Bag Scaling
$\text{items } s$	Bag of the sequence s

D.8 Schemas

Schema Type	Schema Box
Axiom	<div> <div>declarations</div> <hr/> <div>constraints</div> </div>
Generic	<div> <div>$[X, \dots]$</div> <hr/> <div>declarations</div> <hr/> <div>constraints</div> </div>
Linear	$S \triangleq [\text{declarations} \mid \text{constraints}]$
State/Operation	<div> <div>S</div> <hr/> <div>declarations</div> <hr/> <div>constraints</div> </div>

D.9 Schema Calculus

Notation	Description
$[S; \text{declarations} \mid \text{constraints}]$	Schema inclusion
S'	Schema decoration
ΔS	Δ (Delta) Convention
ΞS	Ξ (Xi) Convention
$S \wedge T$	Schema Conjunction (S and T)
$S \vee T$	Schema Disjunction (S or T)