Binary Search Trees

Paul Fodor

CSE260, Computer Science B: Honors

Stony Brook University

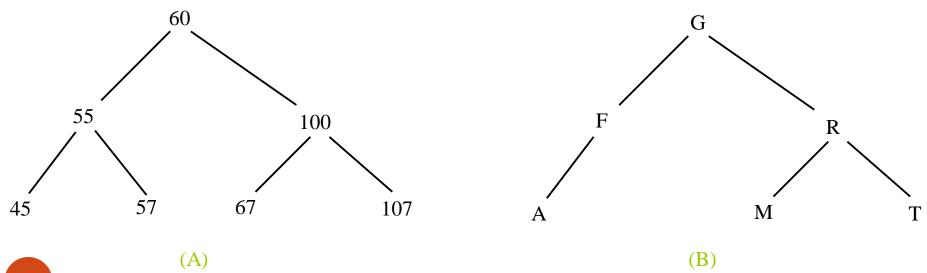
http://www.cs.stonybrook.edu/~cse260

Objectives

- To design and implement a binary search tree
- To represent binary trees using linked data structures
- To search an element in binary search tree
- To insert an element into a binary search tree
- To traverse elements in a binary tree
- To delete elements from a binary search tree
- To display binary tree graphically
- To create iterators for traversing a binary tree
- To implement Huffman coding for compressing data using a binary tree

Binary Trees

- A *binary tree* is a <u>hierarchical</u> structure: it is either empty or consists of an element, called the *root*, and two distinct binary trees, called the *left subtree* and *right subtree*
 - The root of left (right) subtree of a node is called a *left* (right) child of the node
 - A node without children is called a *leaf*



Binary Tree Terms

• A special type of binary tree called a <u>binary search tree</u> is a binary search tree with no duplicate elements and the property that for every node in the tree the value of any node in its <u>left subtree</u> is <u>less</u> than the value of the node and the value of any node in its <u>right subtree</u> is <u>greater</u> than the value of the node

Representing Binary Trees

• A binary tree can be represented using a set of <u>linked nodes</u>: each node contains a value and two links named <u>left</u> and <u>right</u> that reference the left child and right child

```
class TreeNode<E> {
    E element;
    TreeNode<E> left;
    TreeNode<E> right;

public TreeNode(E o)
    element = o;
}
```

Searching an Element in a Binary Search Tree

```
public boolean search(E element) {
  // Start from the root
  TreeNode<E> current = root;
  while (current != null)
    if (element < current.element) {</pre>
      current = current.left; // Go left
    } else if (element > current.element) {
      current = current.right; // Go right
    } else // Element matches current.element
      return true; // Element is found
  return false; // Element is not in the tree
```

Inserting an Element to a Binary Search Tree

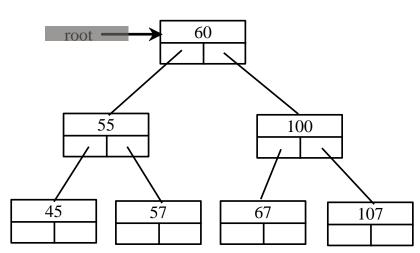
- If a binary tree is empty, create a root node with the new element
- Otherwise, we insert the element into a leaf as follows: locate the parent node for the new element node:
 - Initialize a current node with the root of the tree
 - If the new element is less than the current element, the current node becomes the left child of the parent and continue recursively to find the parent node for the new element
 - If the new element is greater than the current element, the current node becomes the right child of the parent and continue recursively

Inserting an Element to a Binary Tree

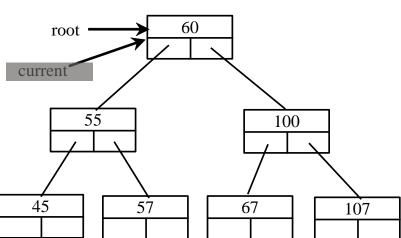
```
public boolean insert(E element) {
 if (root == null)
    root = new TreeNode(element);
 else {
  // Locate the parent node
  Node<E> current = root, parent = null;
  while (current != null)
    if (element < current.element) {</pre>
      parent = current;
      current = current.left;
    } else if (element > current.element) {
      parent = current;
      current = current.right;
    } else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(element);
  else
    parent.right = new TreeNode(element);
  return true; // Element inserted
```

```
if (root == null)
  root = new TreeNode(element);
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
```

Insert 101 into the following tree.

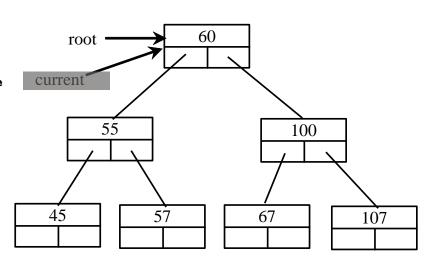


```
if (root == null)
  root = new TreeNode(element);
                                                             Insert 101 into the following tree.
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
                                                                    root
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
                                                                current
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
                                                                        55
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
                                                                 45
                                                                              57
```



```
if (root == null)
  root = new TreeNode(element);
else {
  // Locate the parent node
  current = root;
  while (current != null)
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      current = current.right;
    else
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  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
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  return true; // Element inserted
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Insert 101 into the following tree.



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if (root == null)
  root = new TreeNode(element);
                                                             Insert 101 into the following tree.
else {
  // Locate the parent node
  current = root;
  while (current != null)
   if (element value < the value in current.element) {</pre>
      parent = current;
                                                                101 < 60?
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
                                                                                   60
                                                                    root
      return false; // Duplicate node not inserted
                                                                current
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
                                                                        55
                                                                                               100
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
                                                                  45
                                                                              57
                                                                                           67
                                                                                                       107
```

```
if (root == null)
  root = new TreeNode(element);
                                                             Insert 101 into the following tree.
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
                                                                  101 > 60?
      current = current.right;
    else
                                                                                   60
                                                                    root
      return false; // Duplicate node not inserted
                                                                current
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
                                                                        55
                                                                                               100
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                                                                  45
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if (root == null)
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                                                             Insert 101 into the following tree.
else {
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  while (current != null)
    if (element value < the value in current.element) {
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
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                                                                  101 > 60 true
      current = current.right;
    else
                                                                                   60
                                                                   root
      return false; // Duplicate node not inserted
                                                              parent
                                                               current
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
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      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
                                                                  101 > 60 true
      current = current.right;
    else
                                                                                    60
                                                                    root
      return false; // Duplicate node not inserted
                                                              parent
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
                                                                                                        current
    parent.left = new TreeNode(elemenet);
                                                                        55
                                                                                               100
  else
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                                                                  45
                                                                              57
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      current = current.left;
    else if (element value > the value in current.element) {
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                                                                  101 > 60 true
      current = current.right;
    else
                                                                                    60
                                                                    root
      return false; // Duplicate node not inserted
                                                              parent
  // Create the new node and attach it to the parent node
                                                                                                        current
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
                                                                        55
                                                                                               100
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                                                                  45
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                                                                                                        107
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                                                              Insert 101 into the following tree.
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
                                                                  101 < 100 \text{ false}
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
                                                                                    60
                                                                     root
      return false; // Duplicate node not inserted
                                                               parent
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
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                                                                                                100
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```

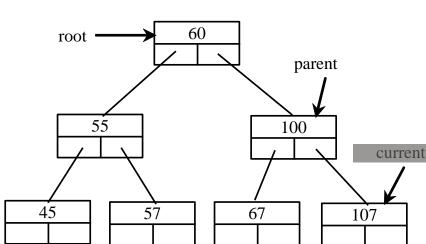
current

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if (root == null)
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                                                                   101 > 100 true
      current = current.right;
    else
                                                                                    60
                                                                    root
      return false; // Duplicate node not inserted
                                                              parent
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
                                                                                                        current
    parent.left = new TreeNode(elemenet);
                                                                        55
                                                                                               100
  else
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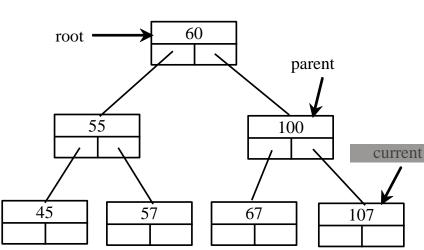
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                                                                  101 > 100 true
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  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
                                                                       55
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
                                                                 45
```

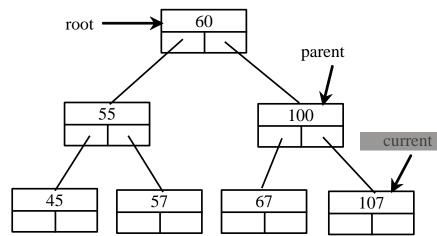
Insert 101 into the following tree.



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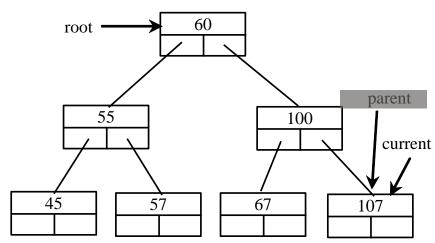
101 < 107 true



```
if (root == null)
  root = new TreeNode(element);
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
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    parent.right = new TreeNode(elemenet);
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```

Insert 101 into the following tree.

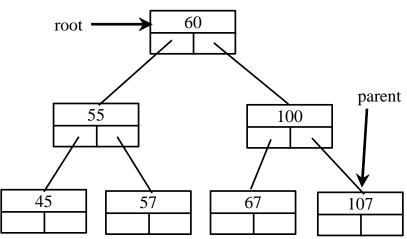
101 < 107 true



```
if (root == null)
  root = new TreeNode(element);
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
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    else
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  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
```

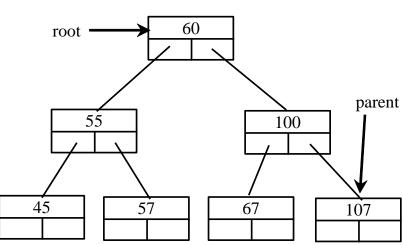
Insert 101 into the following tree.

101 < 107 true



Since current.left is null, current becomes null

```
if (root == null)
  root = new TreeNode(element);
                                                            Insert 101 into the following tree.
else {
  // Locate the parent node
                                                current is null now
  current = root;
  while (current != null)
    if (element value < the value in current.element) {
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
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    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
```



Since current.left is null, current becomes null

```
if (root == null)
  root = new TreeNode(element);
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else {
  // Locate the parent node
  current = root;
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      current = current.right;
    else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
                                            101 < 107 true
  return true; // Element inserted
```

```
60
root
                                           parent
    55
                                100
```

57

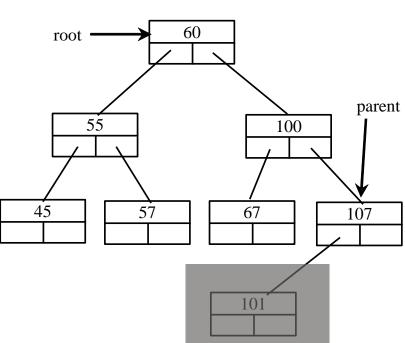
Since current.left is null, current becomes null

107

67

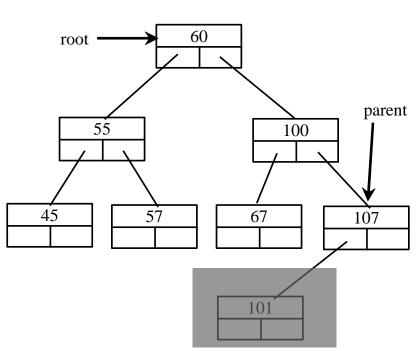
45

```
if (root == null)
  root = new TreeNode(element);
                                                            Insert 101 into the following tree.
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
                                            101 < 107 true
  return true; // Element inserted
```



```
if (root == null)
  root = new TreeNode(element);
else {
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
      parent = current;
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
      current = current.right;
    else
      return false; // Duplicate node not inserted
  // Create the new node and attach it to the parent node
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
                                            101 < 107 true
  return true; // Element inserted
```

Insert 101 into the following tree.

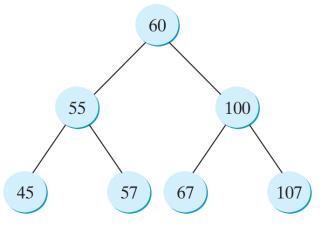


Inserting 59 into the Tree

```
if (root == null)
  root = new TreeNode(element);
                                                                                     60
else {
                                                                     root
  // Locate the parent node
  current = root;
  while (current != null)
    if (element value < the value in current.element) {</pre>
                                                                         55
      parent = current;
                                                                                                100
      current = current.left;
    else if (element value > the value in current.element) {
      parent = current;
                                                                   45
                                                                                57
      current = current.right;
                                                                                            67
    else
      return false; // Duplicate node not inserted
                                                                                                   101
  // Create the new node and attach it to the parent node
                                                                                      59
  if (element < parent.element)</pre>
    parent.left = new TreeNode(elemenet);
  else
    parent.right = new TreeNode(elemenet);
  return true; // Element inserted
```

107

- <u>Tree traversal</u> is the process of visiting each node in the tree exactly once
 - There are several ways to traverse a tree: *inorder*, *preorder*, *postorder*, *depth-first*, *breadth-first* traversals
 - The *preorder traversal* is to visit the **current** node first, then the **left** subtree of the current node recursively, and finally the **right** subtree of the current node recursively

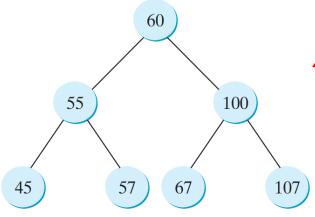


60, 55, 45, 57, 100, 67, 107

Note: An application of preorder is to print a table of contents.

Note 2: some languages use the preorder syntax for arithmetical expressions, e.g. Lisp: (+ 1 2)

• The *inorder traversal* is to visit the **left** subtree of the current node first recursively, then the **current** node itself, and finally the **right** subtree of the current node recursively



45, 55, 57, 60, 67, 100, 107

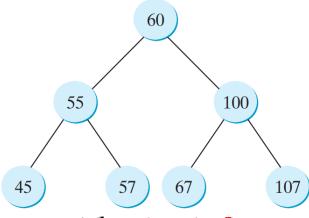
Note: An application of inorder is to read an arithmetic expression from a tree that represents it, e.g, (1 + 1) + 2 For a BST, inorder means sorted.

• The *postorder traversal* is to visit the **left** subtree of the current node first, then the **right** subtree of the current node, and finally the **current** node itself

45, 57, 55, 67, 107, 100, 60

Note: some languages represent expressions in postorder due to the advantages of having a unique (unambiguous) representation or to efficiency of parsing.

• The *breadth-first traversal* is to visit the nodes **level by level**: first visit the root, then all children of the root from left to right, then grandchildren of the root from left to right, and so on



60, 55, 100, 45, 57, 67, 107

Note: some graph algorithms use breath first search for paths in the graph. It guarantees that the shortest path is found.

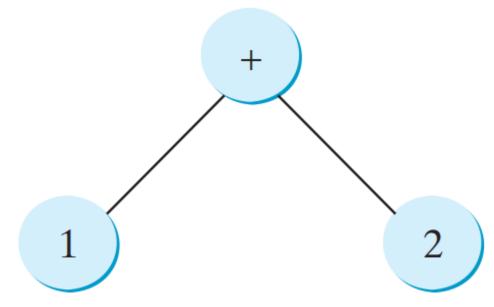
• The *depth-first traversal* is to visit the nodes **branch by branch** from left to right

60, 55, 45, 57, 100, 67, 107

Note: Depth-first traversal is the same as preorder traversal

Note: some graph algorithms use depth first search for paths in the graph with backtracking.

• You can use the following tree to help remember preorder, inorder, and postorder.



- preorder is **+ 1 2**
- inorder is 1 + 2
- postorder is **1 2** +

The Tree Interface

«interface» java.lang.Iterable<E>

+iterator(): Iterator<E>



«interface» Tree<E>

+search(e: E): boolean +insert(e: E): boolean +delete(e: E): boolean

+inorder(): void
+preorder(): void
+postorder(): void

+getSize(): int

+isEmpty(): boolean

+clear(): void



Returns an iterator for traversing the elements in this collection

The **Tree** interface defines common operations for trees

Returns true if the specified element is in the tree.

Returns true if the element is added successfully.

Returns true if the element is removed from the tree successfully.

Prints the nodes in inorder traversal.

Prints the nodes in preorder traversal.

Prints the nodes in postorder traversal.

Returns the number of elements in the tree.

Returns true if the tree is empty.

Removes all elements from the tree.

The **AbstractTree** class partially implements **Tree**

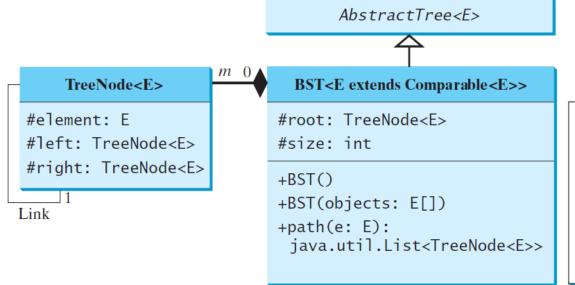
```
public interface Tree<E> extends Iterable<E> {
  /** Return true if the element is in the tree */
 public boolean search(E e);
  /** Insert element o into the binary tree
   * Return true if the element is inserted successfully */
 public boolean insert(E e);
  /** Delete the specified element from the tree
   * Return true if the element is deleted successfully */
 public boolean delete(E e);
  /** Preorder traversal from the root */
 public void preorder();
  /** Inorder traversal from the root*/
 public void inorder();
  /** Postorder traversal from the root */
 public void postorder();
  /** Get the number of nodes in the tree */
 public int getSize();
  /** Return true if the tree is empty */
35public boolean isEmpty();
```

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```
public abstract class AbstractTree<E> implements Tree<E> {
  @Override /** Preorder traversal from the root */
 public void preorder() {
  @Override /** Inorder traversal from the root*/
  public void inorder() {
  @Override /** Postorder traversal from the root */
 public void postorder() {
  @Override /** Return true if the tree is empty */
 public boolean isEmpty() {
    return getSize() == 0;
```

The **BST** Class

• A concrete binary tree class **BST** extends **AbstractTree**



The root of the tree.

The number of nodes in the tree.

Creates a default BST.

Creates a BST from an array of elements.

Returns the path of nodes from the root leading to the node for the specified element. The element may not be in the tree.

```
public class BST<E extends Comparable<E>> extends AbstractTree<E> {
 protected TreeNode<E> root;
 protected int size = 0;
  /** Create a default binary tree */
  public BST() {
  /** Create a binary tree from an array of objects */
  public BST(E[] objects) {
    for (int i = 0; i < objects.length; i++)
      insert(objects[i]);
  @Override /** Returns true if the element is in the tree */
  public boolean search(E e) {
    TreeNode<E> current = root; // Start from the root
    while (current != null) {
      if (e.compareTo(current.element) < 0) {</pre>
        current = current.left;
      } else if (e.compareTo(current.element) > 0) {
        current = current.right;
      } else // element matches current.element
        return true; // Element is found
    return false;
```

```
/** This inner class is static, because it does not access
    any instance members defined in its outer class */
public static class TreeNode<E extends Comparable<E>>> {
  protected E element;
  protected TreeNode<E> left;
  protected TreeNode<E> right;
  public TreeNode(E e) {
    element = e;
protected TreeNode<E> createNewNode(E e) {
  return new TreeNode<>(e);
```

```
@Override /** Insert element o into the binary tree
 * Return true if the element is inserted successfully */
public boolean insert(E e) {
  if (root == null)
    root = createNewNode(e); // Create a new root
  else {
    // Locate the parent node
    TreeNode<E> parent = null;
    TreeNode<E> current = root;
    while (current != null)
      if (e.compareTo(current.element) < 0) {</pre>
        parent = current;
        current = current.left;
      } else if (e.compareTo(current.element) > 0) {
        parent = current;
        current = current.right;
      } else
        return false; // Duplicate node not inserted
    // Create the new node and attach it to the parent node
    if (e.compareTo(parent.element) < 0)</pre>
      parent.left = createNewNode(e);
    else
      parent.right = createNewNode(e);
  size++;
  return true; // Element inserted successfully
                        (c) Paul Fodor (CS Stony Brook) & Pearson
```

```
@Override /** Preorder traversal from the root */
public void preorder() {
 preorder(root);
/** Preorder traversal from a subtree */
protected void preorder(TreeNode<E> root) {
  if (root == null) return;
  System.out.print(root.element + " ");
  preorder(root.left);
  preorder(root.right);
```

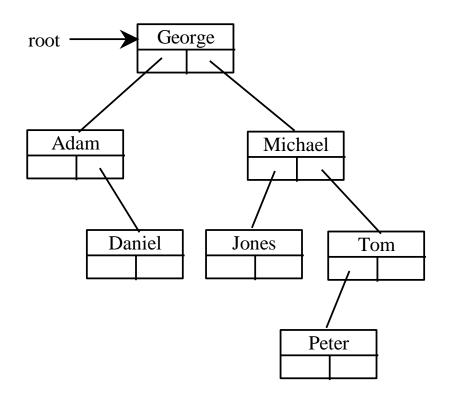
```
@Override /** Inorder traversal from the root */
public void inorder() {
  inorder(root);
/** Inorder traversal from a subtree */
protected void inorder(TreeNode<E> root) {
  if (root == null) return;
  inorder(root.left);
  System.out.print(root.element + " ");
  inorder(root.right);
@Override /** Postorder traversal from the root */
public void postorder() {
  postorder(root);
/** Postorder traversal from a subtree */
protected void postorder(TreeNode<E> root) {
  if (root == null) return;
  postorder(root.left);
  postorder(root.right);
  System.out.print(root.element + " ");
```

```
/** Returns a path from the root leading to the specified element */
public java.util.ArrayList<TreeNode<E>> path(E e) {
  java.util.ArrayList<TreeNode<E>> list = new java.util.ArrayList<>();
  TreeNode<E> current = root; // Start from the root
  while (current != null) {
    list.add(current); // Add the node to the list
    if (e.compareTo(current.element) < 0) {</pre>
      current = current.left;
    } else if (e.compareTo(current.element) > 0) {
      current = current.right;
    } else
      break;
  return list; // Return an array list of nodes
@Override /** Get the number of nodes in the tree */
public int getSize() {
  return size;
/** Returns the root of the tree */
public TreeNode<E> getRoot() {
  return root;
```

A program that creates a binary tree using BST and adds strings into the binary tree and traverse the tree in inorder, postorder, and preorder:

```
public class TestBST {
  public static void main(String[] args) {
    // Create a BST
    BST<String> tree = new BST<>();
    tree.insert("George");
    tree.insert("Michael");
    tree.insert("Tom");
    tree.insert("Adam");
    tree.insert("Jones");
    tree.insert("Peter");
    tree.insert("Daniel");
    // Traverse tree
    System.out.print("Inorder (sorted): ");
    tree.inorder();
    System.out.print("\nPostorder: ");
    tree.postorder();
    System.out.print("\nPreorder: ");
    tree.preorder();
    System.out.print("\nThe number of nodes is " + tree.getSize());
```

Tree After Insertions



Inorder: Adam, Daniel George, Jones, Michael, Peter, Tom

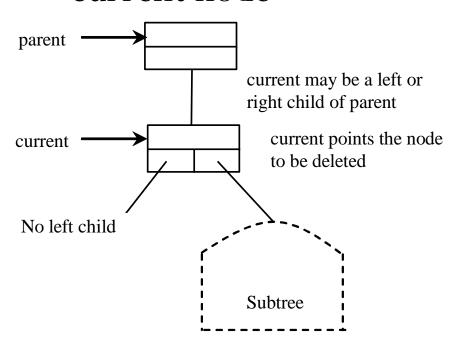
Postorder: Daniel Adam, Jones, Peter, Tom, Michael, George

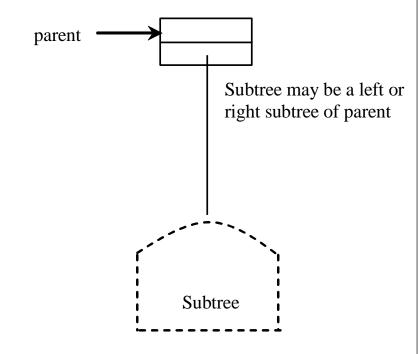
Preorder: George, Adam, Daniel, Michael, Jones, Tom, Peter

```
// Search for an element
System.out.print("\nIs Peter in the tree? " +
  tree.search("Peter"));
// Get a path from the root to Peter
System.out.print("\nA path from the root to Peter is: ");
java.util.ArrayList<BST.TreeNode<String>> path = tree.path("Peter");
for (int i = 0; path != null && i < path.size(); i++)
  System.out.print(path.get(i).element + " ");
Integer[] numbers = \{2, 4, 3, 1, 8, 5, 6, 7\};
BST<Integer> intTree = new BST<>(numbers);
System.out.print("\nInorder (sorted): ");
                                // inorder of BST means sorted
intTree.inorder();
```

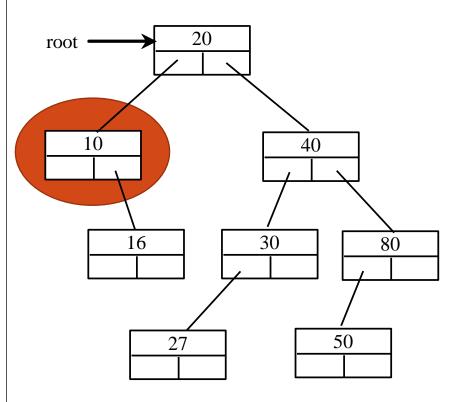
- To delete an element from a binary tree, you need to **first locate the node that contains the element** and **also its parent** node
 - Let **current** point to the node that contains the element in the binary tree and **parent** point to the parent of the current node
 - The current node may be a left child or a right child of the parent node
 - There are two cases to consider:
 - Case 1: The current node does not have a left child
 - Case 2: The current node has a left child

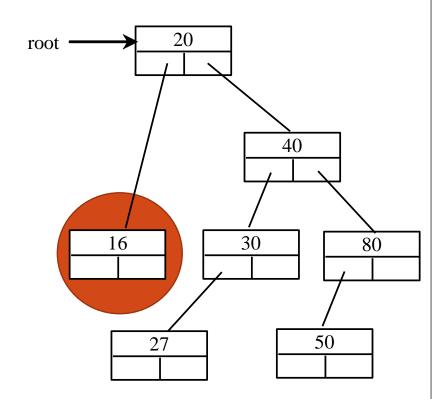
- Case 1: The current node does not have a left child
 - Simply connect the parent with the right child of the current node



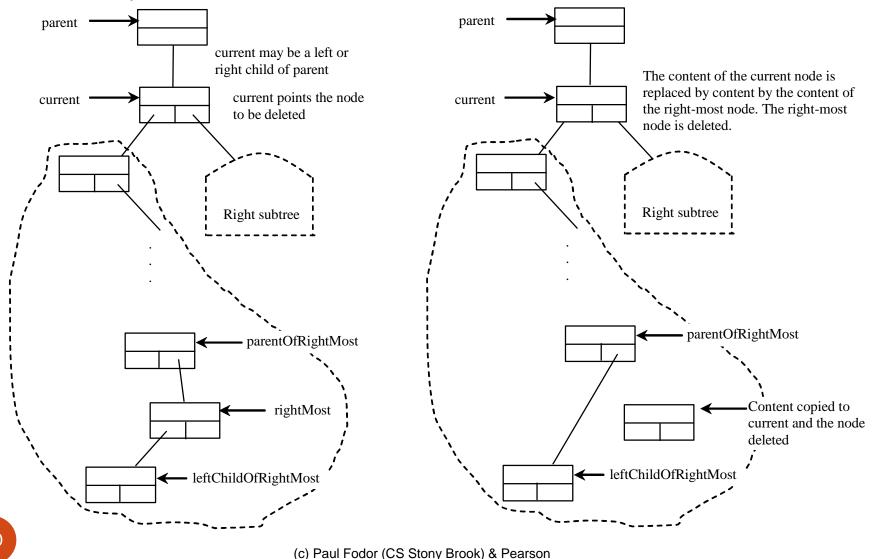


• For example, to delete node 10 connect the parent of node 10 with the right child of node 10:



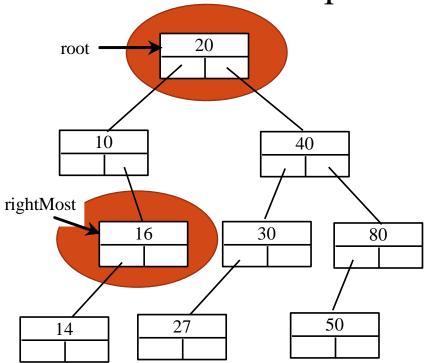


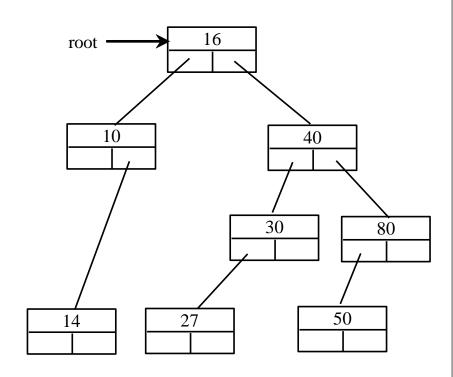
• Case 2: The current node has a left child



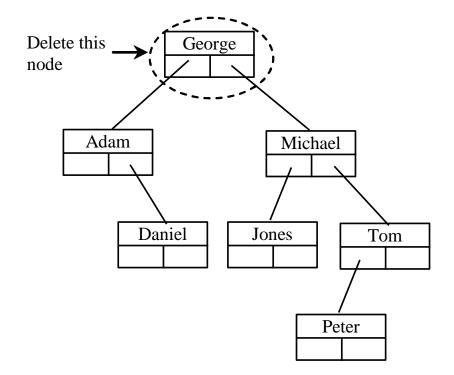
- Let **rightMost** point to the node that contains the largest element in the left subtree of the current node and **parentOfRightMost** point to the parent node of the **rightMost** node
 - The rightMost node cannot have a right child, but may have a left child
 - Replace the element value in the current node with the one in the rightMost node, connect the parentOfRightMost node with the left child of the rightMost node, and delete the rightMost node

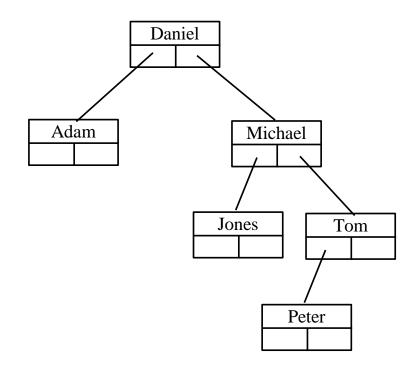
• Case 2 example: delete 20



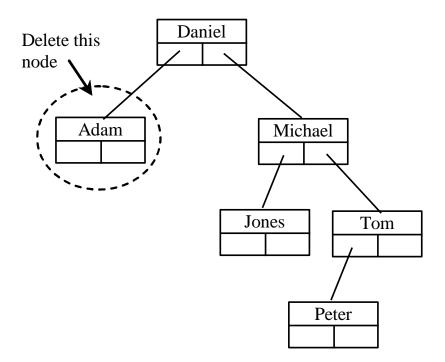


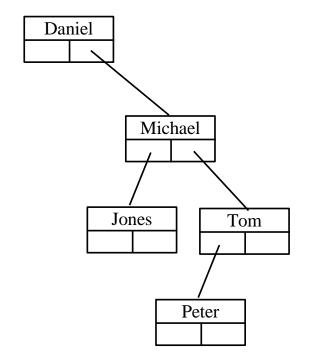
Examples



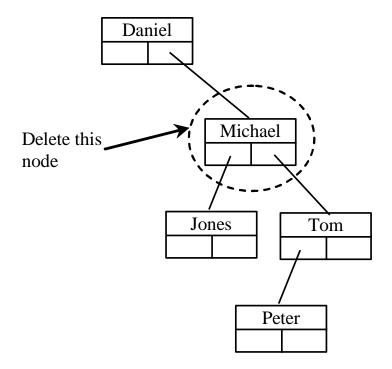


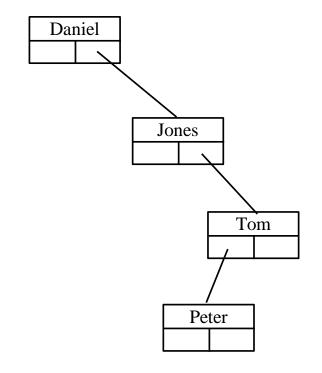
Examples





Examples





```
@Override /** Delete an element from the binary tree.
 * Return true if the element is deleted successfully
 * Return false if the element is not in the tree */
public boolean delete(E e) {
  // Locate the node to be deleted and also locate its parent node
  TreeNode<E> parent = null;
  TreeNode<E> current = root;
  while (current != null) {
    if (e.compareTo(current.element) < 0) {</pre>
      parent = current;
      current = current.left;
    } else if (e.compareTo(current.element) > 0) {
      parent = current;
      current = current.right;
    } else
      break; // Element is in the tree pointed at by current
  if (current == null)
    return false; // Element is not in the tree
  // Case 1: current has no left child
  if (current.left == null) {
    // Connect the parent with the right child of the current node
    if (parent == null) {
      root = current.right;
    } else {
      if (e.compareTo(parent.element) < 0)</pre>
                       (c) Paul Fodor (CS Stony Brook) & Pearson
```

```
parent.left = current.right;
   else
     parent.right = current.right;
} else {
 // Case 2: The current node has a left child
 // Locate the rightmost node in the left subtree of
 // the current node and also its parent
 TreeNode<E> parentOfRightMost = current;
 TreeNode<E> rightMost = current.left;
 while (rightMost.right != null) {
   parentOfRightMost = rightMost;
    rightMost = rightMost.right; // Keep going to the right
 // Replace the element in current by the element in rightMost
 current.element = rightMost.element;
 // Eliminate rightmost node
 if (parentOfRightMost.right == rightMost)
   parentOfRightMost.right = rightMost.left;
 else
    // Special case: parentOfRightMost == current
   parentOfRightMost.left = rightMost.left;
size--;
return true; // Element deleted successfully
```

```
public class TestBSTDelete {
  public static void main(String[] args) {
    BST<String> tree = new BST<String>();
    tree.insert("George");
    tree.insert("Michael");
    tree.insert("Tom");
    tree.insert("Adam");
    tree.insert("Jones");
    tree.insert("Peter");
    tree.insert("Daniel");
    printTree(tree);
    System.out.println("\nAfter delete George:");
    tree.delete("George");
    printTree(tree);
    System.out.println("\nAfter delete Adam:");
    tree.delete("Adam");
    printTree(tree);
    System.out.println("\nAfter delete Michael:");
    tree.delete("Michael");
    printTree(tree);
```

```
public static void printTree(BST tree) {
    // Traverse tree
    System.out.print("Inorder (sorted): ");
    tree.inorder();
    System.out.print("\nPostorder: ");
    tree.postorder();
    System.out.print("\nPreorder: ");
    tree.preorder();
    System.out.print("\nThe number of nodes is " + tree.getSize());
    System.out.println();
}
```

Inorder (sorted): Adam Daniel George Jones Michael Peter Tom

Postorder: Daniel Adam Jones Peter Tom Michael George

Preorder: George Adam Daniel Michael Jones Tom Peter

The number of nodes is 7

After delete George:

Inorder (sorted): Adam Daniel Jones Michael Peter Tom

Postorder: Adam Jones Peter Tom Michael Daniel

Preorder: Daniel Adam Michael Jones Tom Peter

The number of nodes is 6

After delete Adam:

Inorder (sorted): Daniel Jones Michael Peter Tom

Postorder: Jones Peter Tom Michael Daniel

Preorder: Daniel Michael Jones Tom Peter

The number of nodes is 5

After delete Michael:

Inorder (sorted): Daniel Jones Peter Tom

Postorder: Peter Tom Jones Daniel

Preorder: Daniel Jones Tom Peter

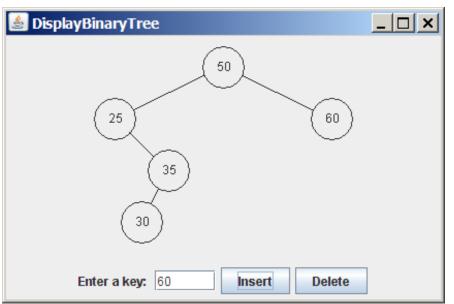
The number of nodes is 4

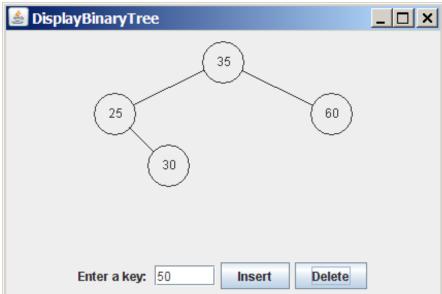
Binary Tree Time Complexity

- The time complexity for the inorder, preorder, and postorder is **O(n)**, since each node is traversed only once
- The time complexity for search, insertion and deletion is the <u>height of the tree</u>
 - In the worst case, the height of the tree is O(n)

Tree Visualization

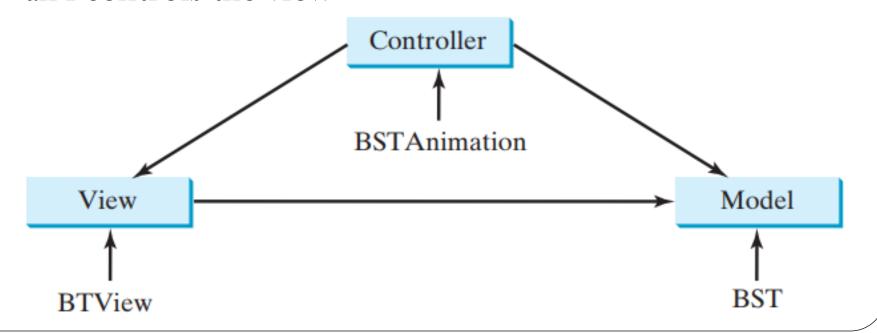
- It is a recursive structure, so you can display a binary tree using recursion
 - Display the root, then display the two subtrees recursively





Tree Visualization

- Tree visualization is an example of the *model-view-controller* (MVC) software architecture
 - The model is for storing and handling data
 - The view is for visually presenting the data
 - The controller handles the user interaction with the model and controls the view



```
import javafx.application.Application;
import javafx.geometry.Pos;
import javafx.stage.Stage;
import javafx.scene.Scene;
import javafx.scene.control.Button;
import javafx.scene.control.Label;
import javafx.scene.control.TextField;
import javafx.scene.layout.BorderPane;
import javafx.scene.layout.HBox;
public class BSTAnimation extends Application {
  @Override // Override the start method in the Application class
  public void start(Stage primaryStage) {
    BST<Integer> tree = new BST<>(); // Create a tree
    BorderPane pane = new BorderPane();
    BTView view = new BTView(tree); // Create a View
    pane.setCenter(view);
    TextField tfKey = new TextField();
    tfKey.setPrefColumnCount(3);
    tfKey.setAlignment(Pos.BASELINE RIGHT);
    Button btInsert = new Button("Insert");
    Button btDelete = new Button("Delete");
    HBox hBox = new HBox(5);
    hBox.getChildren().addAll(new Label("Enter a key: "),
            tfKey, btInsert, btDelete);
    hBox.setAlignment(Pos.CENTER);
    pane.setBottom(hBox);
```

```
btInsert.setOnAction(e -> {
    int key = Integer.parseInt(tfKey.getText());
    if (tree.search(key)) { // key is in the tree already
      view.displayTree();
      view.setStatus(key + " is already in the tree");
    } else {
      tree.insert(key); // Insert a new key
      view.displayTree();
      view.setStatus(key + " is inserted in the tree");
    }
  });
  btDelete.setOnAction(e -> {
    int key = Integer.parseInt(tfKey.getText());
    if (!tree.search(key)) { // key is not in the tree
      view.displayTree();
      view.setStatus(key + " is not in the tree");
    } else {
      tree.delete(key); // Delete a key
      view.displayTree();
      view.setStatus(key + " is deleted from the tree");
    }
  });
  // Create a scene and place the pane in the stage
  Scene scene = new Scene (pane, 450, 250);
  primaryStage.setTitle("BSTAnimation"); // Set the stage title
  primaryStage.setScene(scene); // Place the scene in the stage
 primaryStage.show(); // Display the stage
public static void main(String[] args) {
  launch(args);
                        (c) Paul Fodor (CS Stony Brook) & Pearson
```

```
import javafx.scene.layout.Pane;
import javafx.scene.paint.Color;
import javafx.scene.shape.Circle;
import javafx.scene.shape.Line;
import javafx.scene.text.Text;
public class BTView extends Pane {
  private BST<Integer> tree = new BST<>();
  private double radius = 15; // Tree node radius
  private double vGap = 50; // Gap between two levels in a tree
  BTView(BST<Integer> tree) {
    this.tree = tree;
    setStatus("Tree is empty");
  public void setStatus(String msg) {
    getChildren().add(new Text(20, 20, msg));
 public void displayTree() {
    this.getChildren().clear(); // Clear the pane
    if (tree.getRoot() != null) {
      // Display tree recursively
      displayTree(tree.getRoot(), getWidth() / 2, vGap, getWidth() / 4)
                        (c) Paul Fodor (CS Stony Brook) & Pearson
```

```
/** Display a subtree rooted at position (x, y) */
private void displayTree(BST.TreeNode<Integer> current,
    double x, double y, double hGap) {
  if (current.left != null) {
    // Draw a line to the left node
    getChildren().add(new Line(x - hGap, y + vGap, x, y));
    // Draw the left subtree recursively
    displayTree(current.left, x - hGap, y + vGap, hGap / 2);
  if (current.right != null) {
    // Draw a line to the right node
    getChildren().add(new Line(x + hGap, y + vGap, x, y));
    // Draw the right subtree recursively
    displayTree(current.right, x + hGap, y + vGap, hGap / 2);
  // Display the current node
  Circle circle = new Circle(x, y, radius);
  circle.setFill(Color.WHITE);
  circle.setStroke(Color.BLACK);
  getChildren().addAll(circle,
     new Text(x - 4, y + 4, current.element + ""));
```

Iterators

- The **Tree** interface extends **java.lang.Iterable** interface which defines the **iterator** method, which returns an instance of the **java.util.Iterator** interface
 - An *iterator* is an object that provides a uniform way for traversing the elements in a container such as a set, list, binary tree, etc.

Returns true if the iterator has more elements.

Returns the next element in the iterator.

Removes from the underlying container the last element returned by the iterator (optional operation).

- Since **BST** is a subclass of **AbstractTree** and **AbstractTree** implements **Tree**, **BST** is a subtype of **Iterable**
- The **iterator** method simply returns an instance of **InorderIterator**
- The **InorderIterator** constructor invokes the **inorder** method that stores all the elements from the tree

Once an **Iterator** object is created, its **current** value is initialized to 0, which points to the first element in the list

- Invoking the **next()** method returns the current element and moves **current** to point to the next element in the list.
- The hasNext() method checks whether current is still in the range of list
- The **remove ()** method removes the current element from the tree and a new list is created current does not need to be changed

```
@Override /** Obtain an iterator. Use inorder. */
public java.util.Iterator<E> iterator() {
  return new InorderIterator();
// Inner class InorderIterator
private class InorderIterator implements java.util.Iterator<E> {
  // Store the elements in a list
 private java.util.ArrayList<E> list = new java.util.ArrayList<>();
 private int current = 0; // Point to the current element in list
 public InorderIterator() {
    inorder(); // Traverse binary tree and store elements in list
  /** Inorder traversal from the root*/
 private void inorder() {
    inorder(root);
  /** Inorder traversal from a subtree */
 private void inorder(TreeNode<E> root) {
    if (root == null)return;
    inorder(root.left);
    list.add(root.element);
    inorder(root.right);
```

```
@Override /** More elements for traversing? */
  public boolean hasNext() {
    if (current < list.size())</pre>
      return true;
    return false;
  @Override /** Get the current element and move to the next */
  public E next() {
    return list.get(current++);
  @Override /** Remove the current element */
  public void remove() {
    delete(list.get(current)); // Delete the current element
    list.clear(); // Clear the list
    inorder(); // Rebuild the list
/** Remove all elements from the tree */
public void clear() {
  root = null;
  size = 0;
```

Test:

```
public class TestBSTWithIterator {
  public static void main(String[] args) {
    BST<String> tree = new BST<String>();
    tree.insert("George");
    tree.insert("Michael");
    tree.insert("Tom");
    tree.insert("Adam");
    tree.insert("Jones");
    tree.insert("Peter");
    tree.insert("Daniel");
    for (String s: tree)
      System.out.print(s.toUpperCase() + " ");
```

Data Compression: Huffman Coding

- In ASCII, every character is encoded in 8 bits
- *Huffman coding* compresses data by using fewer bits to encode more frequently occurring characters

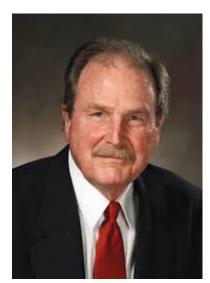
is encoded to

is decoded to

Mississippi ======>0001010110110110010011======>Mississippi

• The codes for characters are constructed based on the occurrence of characters in the text using a binary tree, called the *Huffman coding tree*

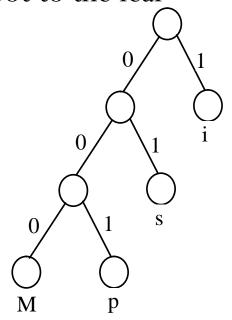
As a student, David A. Huffman was given the choice of a term paper on the problem of finding the most efficient binary code or a final exam in his information theory class. Huffman, unable to prove any codes were the most efficient, was about to give up and start studying for the final when he hit upon the idea of using a frequency-sorted binary



73tree and proved this method the most efficient.
(c) Paul Fodor (CS Stony Brook) & Pearson

Data Compression: Huffman Coding

- The left and right edges of any node are assigned a value 0 or 1
- Each character is a leaf in the tree
- The code for a character consists of the edge values in the path from the root to the leaf



Code	Frequency
000	1
001	2
01	4
1	4
	000 001

Mississippi ======>0001010110110110010011======>Mississippi

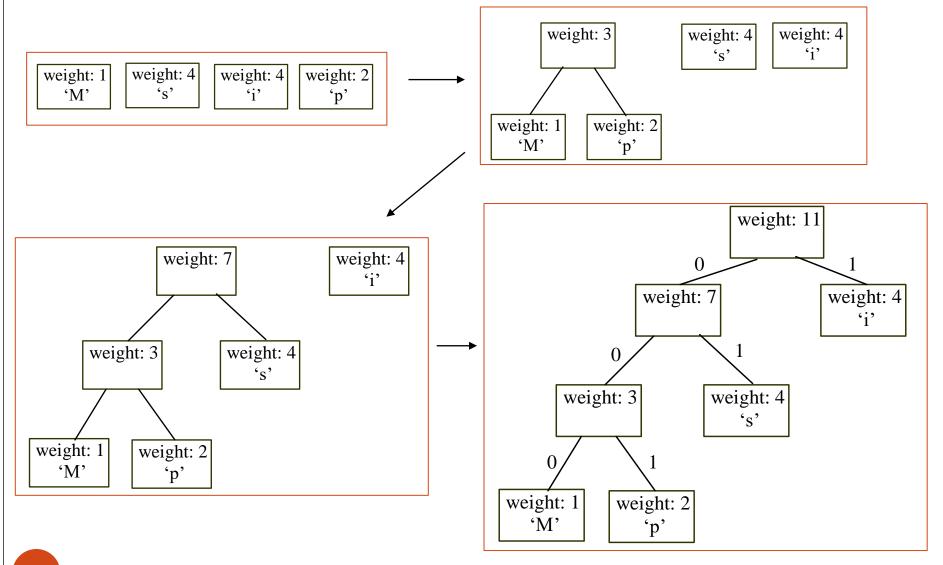
is encoded to

is decoded to

Constructing Huffman Tree

- A *greedy algorithm* is an algorithmic paradigm that follows the problem solving heuristic of making the <u>locally optimal choice at each stage</u> with the intent of finding a global optimum
 - In many problems, a greedy strategy does not usually produce an optimal solution, but nonetheless a greedy heuristic may yield locally optimal solutions that approximate a globally optimal solution in a reasonable amount of time
- To construct a Huffman coding tree, use a *greedy algorithm* as follows:
 - Begin with a forest of trees:
 - Each tree contains a single node for a character, and
 - The weight of the node is the frequency of the character in the text
 - Repeat this step until there is only one tree:
 - Choose two trees with the smallest weight (using a priority queue implemented with a Heap) and create a new node as their parent
 - The weight of the new tree is the sum of the weight of the subtrees

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```
import java.util.Scanner;
public class HuffmanCode {
  public static void main(String[] args) {
    Scanner input = new Scanner(System.in);
    System.out.print("Enter a text: ");
    String text = input.nextLine();
    int[] counts = getCharacterFrequency(text); // Count frequency
    System.out.printf("\$-15s\$-15s\$-15s\$-15s\n",
      "ASCII Code", "Character", "Frequency", "Code");
    HuffmanTree tree = getHuffmanTree(counts); // Create a Huffman tree
    String[] codes = getCode(tree.root); // Get codes
    for (int i = 0; i < codes.length; i++)</pre>
      if (counts[i] != 0) // (char)i is not in text if counts[i] is 0
        System.out.printf("\$-15d\$-15s\$-15d\$-15s\n", i, (char)i + "",
              counts[i], codes[i]);
  Enter text: Welcome
  ASCII Code
                    Character
                                      Frequency
                                                        Code
  87
                                                        110
  99
                                      1
                                                        111
                                      2
  101
                                                        10
                    1
                                      1
                                                        011
  108
  109
                                      1
                                                        010
  111
                                                        00
  /** Get the frequency of the characters */
  public static int[] getCharacterFrequency(String text) {
    int[] counts = new int[256]; // 256 ASCII characters
    for (int i = 0; i < text.length(); i++)
      counts[(int)text.charAt(i)]++; // Count the character in text
    return counts;
```

```
/** Get a Huffman tree from the codes */
public static HuffmanTree getHuffmanTree(int[] counts) {
  // Create a heap priority queue to hold trees
  Heap<HuffmanTree> heap = new Heap<HuffmanTree>();
  for (int i = 0; i < counts.length; i++) {</pre>
    if (counts[i] > 0)
      heap.add(new HuffmanTree(counts[i], (char)i)); // A leaf node tree
  while (heap.getSize() > 1) {
    HuffmanTree t1 = heap.remove(); // Remove the smallest weight tree
    HuffmanTree t2 = heap.remove(); // Remove the next smallest weight
    heap.add(new HuffmanTree(t1, t2)); // Combine two trees
  return heap.remove(); // The final tree
/** Define a Huffman coding tree */
public static class HuffmanTree implements Comparable<HuffmanTree> {
  HuffmanNode root; // The root of the tree
  /** Create a tree containing a leaf node */
  public HuffmanTree(int weight, char element) {
    root = new HuffmanNode(weight, element);
  @Override /** Compare trees based on their weights */
  public int compareTo(Tree t) {
    if (root.weight < t.root.weight) // Purposely reverse the order
      return 1:
    else if (root.weight == t.root.weight)
      return 0;
    else
                        (c) Paul Fodor (CS Stony Brook) & Pearson
      return -1;
```

```
/** Create a tree with two subtrees */
public HuffmanTree(Tree t1, Tree t2) {
  root = new HuffmanNode();
  root.left = t1.root;
  root.right = t2.root;
  root.weight = t1.root.weight + t2.root.weight;
}
public class HuffmanNode {
  char element; // Stores the character for a leaf node
  int weight; // weight of the subtree rooted at this node
 Node left; // Reference to the left subtree
 Node right; // Reference to the right subtree
  String code = ""; // The code of this node from the root
  /** Create an empty node */
 public HuffmanNode() {
  /** Create a node with the specified weight and character *
 public HuffmanNode(int weight, char element) {
    this.weight = weight;
    this.element = element;
```

```
/** Get Huffman codes for the characters
 * This method is called once after a Huffman tree is built */
public static String[] getCode(HuffmanTree.HuffmanNode root) {
  if (root == null) return null;
  String[] codes = new String[256];
  assignCode(root, codes);
  return codes;
/* Recursively get codes to the leaf node */
private static void assignCode (HuffmanTree.HuffmanNode root,
    String[] codes) {
  if (root.left != null) {
    root.left.code = root.code + "0";
    assignCode(root.left, codes);
    root.right.code = root.code + "1";
    assignCode(root.right, codes);
  } else {
    codes[(int)root.element] = root.code;
```