

# Algorithms

## (Divide-and-Conquer)

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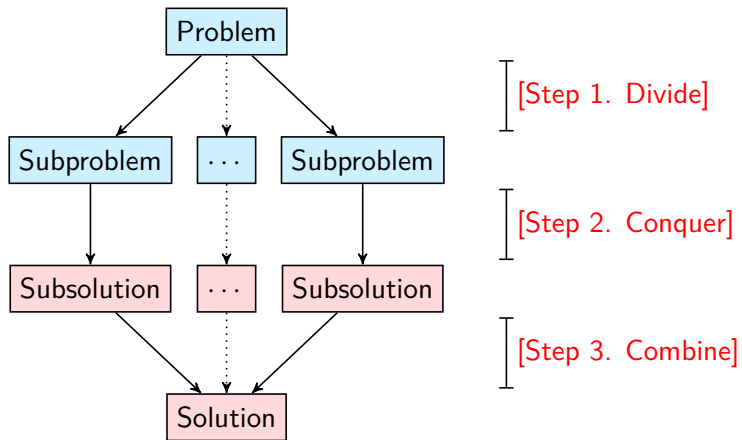
April 27, 2021



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- Merge Sort
- Quicksort
- Karatsuba's Integer Multiplication
- Strassen's Matrix Multiplication

# Divide-and-conquer



# Divide-and-conquer problem-solving template

## 5-step process:

Step 1. Problem

Step 2. Core idea

Step 3. Example

Step 4. Algorithm

Step 5. Complexity

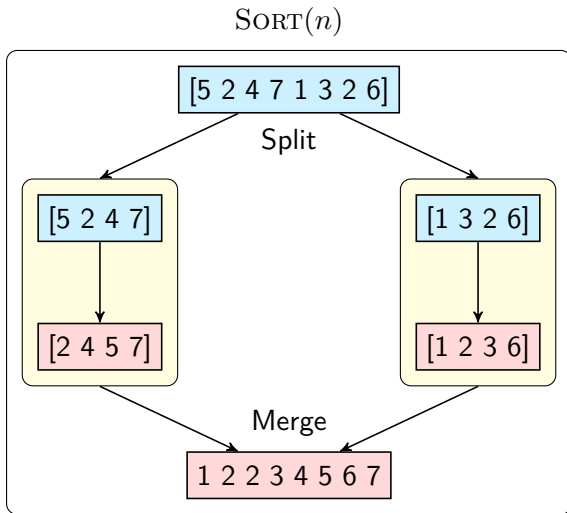
# Merge sort

# Step 1. Problem

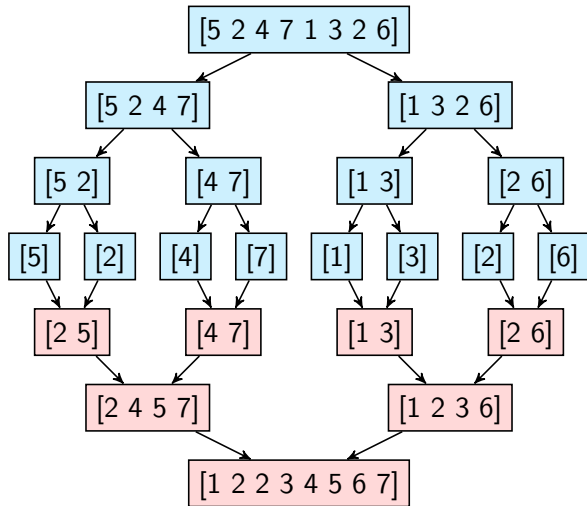
## Problem

- Sort a given  $n$ -sized array in nondecreasing order.

## Step 2. Core idea



## Step 3. Example





## Step 4. Algorithm

MERGESORT( $A[0..(n-1)]$ )

**Input:** An array  $A[0..(n-1)]$  of orderable elements

**Output:** Array  $A[0..(n-1)]$  sorted in nondecreasing order

1. **if**  $n > 1$  **then**
2.    $B[0..(\lfloor n/2 \rfloor - 1)] \leftarrow A[0..(\lfloor n/2 \rfloor - 1)]$
3.    $C[0..(\lceil n/2 \rceil - 1)] \leftarrow A[\lfloor n/2 \rfloor..(n-1)]$
4.   MERGESORT( $B[0..(\lfloor n/2 \rfloor - 1)]$ )
5.   MERGESORT( $C[0..(\lceil n/2 \rceil - 1)]$ )
6.   MERGE( $A, B, C$ )

## Step 4. Algorithm

MERGE( $A[0..(p+q-1)], B[0..(p-1)], C[0..(q-1)]$ )

**Input:** Arrays  $B[0..(p-1)]$  and  $C[0..(q-1)]$  both sorted

**Output:** Sorted array  $A[0..(p+q-1)]$  of the elements of  $B$  and  $C$

1.  $i \leftarrow 0; j \leftarrow 0; k \leftarrow 0$
2. **while**  $i < p$  **and**  $j < q$  **do**
3.   **if**  $B[i] \leq C[j]$  **then**
4.      $A[k] \leftarrow B[i]$
5.      $i \leftarrow i + 1$
6.   **else**
7.      $A[k] \leftarrow C[j]$
8.      $j \leftarrow j + 1$
9.      $k \leftarrow k + 1$
10. **if**  $i = p$  **then**
11.    $A[k..(p+q-1)] \leftarrow C[j..(q-1)]$
12. **else**
13.    $A[k..(p+q-1)] \leftarrow B[i..(p-1)]$

## Step 5. Complexity

- Time complexity.

$$T_{\text{SORT}}(n) = \begin{cases} 0 & \text{if } n = 1, \\ 2T_{\text{SORT}}(n/2) + T_{\text{MERGE}}(n) & \text{if } n > 1. \end{cases}$$

$$T_{\text{MERGE}}(n) \in \Theta(n)$$

$$\text{Solving, } T_{\text{SORT}}(n) \in \Theta(n \log n)$$

- Space complexity.

Extra space is  $\Theta(n)$ .

$$S_{\text{SORT}}(n) = \begin{cases} \mathcal{O}(1) & \text{if } n = 1, \\ 2S_{\text{SORT}}(n/2) & \text{if } n > 1. \end{cases}$$

$$\text{Solving, total space } S_{\text{SORT}}(n) \in \Theta(n)$$

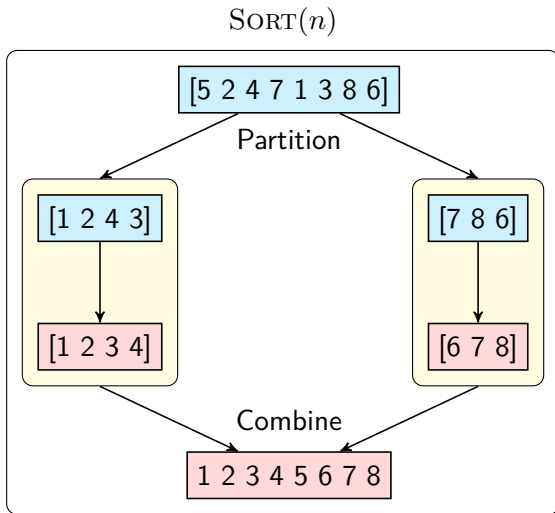
# Quicksort

# Step 1. Problem

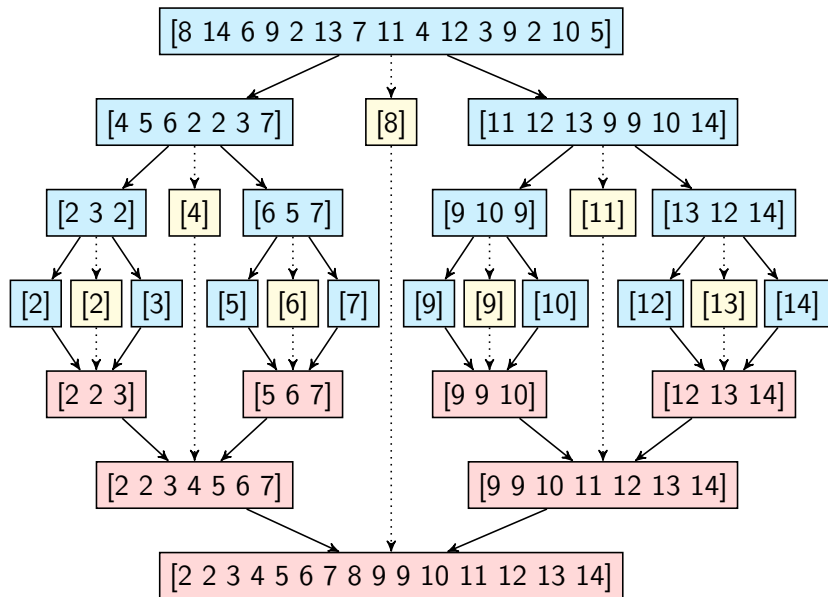
## Problem

- Sort a given  $n$ -sized array in nondecreasing order.

## Step 2. Core idea



## Step 3. Example

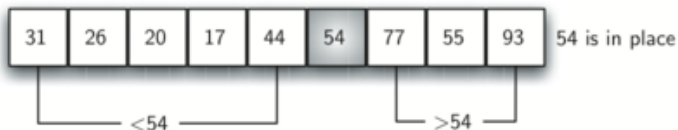


## Step 3. Example

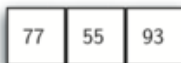
- Before partition



- After partition



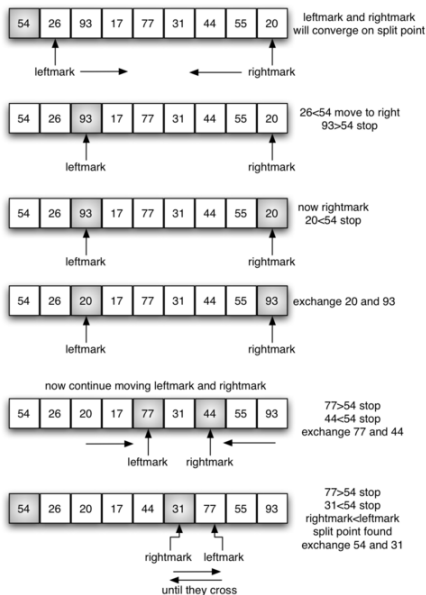
quicksort left half



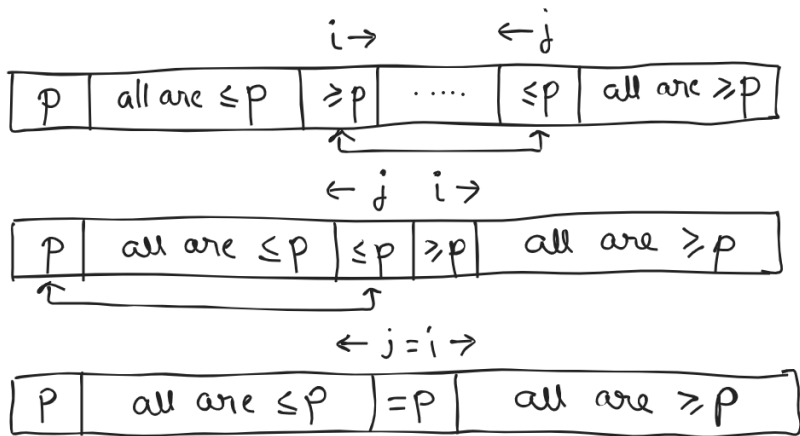
quicksort right half



# Step 3. Example



### Step 3. Example



## Step 4. Algorithm

QUICKSORT( $A[\ell..r]$ )

**Input:** An array  $A[\ell..r]$  of orderable elements

**Output:** Array  $A[\ell..r]$  sorted in nondecreasing order

1. **if**  $\ell < r$  **then**

2.    $s \leftarrow \text{PARTITION}(A[\ell..r])$

▷  $s$  is a split position

3.   QUICKSORT( $A[\ell..s-1]$ )

4.   QUICKSORT( $A[s+1..r]$ )

## Step 4. Algorithm

PARTITION( $A[\ell..r]$ )

Partitions a subarray by Hoare's algorithm, using the first element as a pivot

**Input:** An array  $A[\ell..r]$  of orderable elements

**Output:** Partition of  $A[\ell..r]$ , with the split position returned as this function's value

1.  $p \leftarrow A[\ell]$
  2.  $i \leftarrow \ell; j \leftarrow r + 1$
  3. **repeat**
  4.   **repeat**  $i \leftarrow i + 1$  **until**  $A[i] \geq p$
  5.   **repeat**  $j \leftarrow j - 1$  **until**  $A[j] \leq p$
  6.   SWAP( $A[i], A[j]$ )
  7. **until**  $i \geq j$
  8. SWAP( $A[i], A[j]$ )
  9. SWAP( $A[\ell], A[j]$ )
  10. **return**  $j$
- ▷ undo last swap when  $i \geq j$

## Step 5. Complexity

- Time complexity.

$$T_{\text{SORT}}(n) = \begin{cases} \Theta(1) & \text{if } n = 2, \\ T_{\text{SORT}}(n-1) + T_{\text{PARTITION}}(n) & \text{if } n > 2. \end{cases}$$

$$T_{\text{PARTITION}}(n) = \Theta(n)$$

$$\text{Solving, } T_{\text{SORT}}(n) \in \Theta(n^2)$$

- Space complexity.

Extra space is  $\Theta(\log n)$  stack space for recursion.

## Karatsuba's integer multiplication

# Step 1. Problem

## Problem

- Multiply two  $n$ -bit nonnegative binary numbers.

For simplicity, we assume  $n$  is a power of 2.

- Formally, let  $A$  and  $B$  be  $n$ -bit binary numbers

$A = A[n-1]A[n-2] \dots A[0]$  and

$B = B[n-1]B[n-2] \dots B[0]$ .

Compute  $C = C[2n-1]C[2n-2] \dots C[0]$  such that

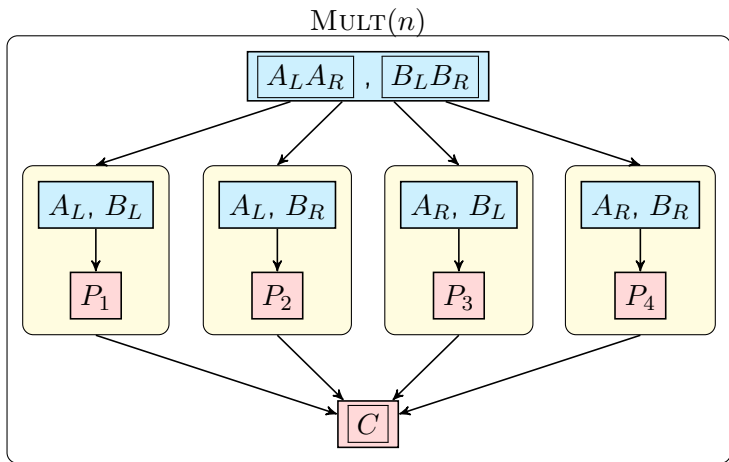
$$\begin{aligned} C &= A \times B \\ &= A[n-1] \dots A[0] \times B[n-1] \dots B[0] \\ &= C[2n-1] \dots C[0] \end{aligned}$$

## Step 2. Core Idea

$$\begin{aligned} A \times B &= (A_L A_R) \times (B_L B_R) \\ &= (A_L \cdot 2^{n/2} + A_R) \times (B_L \cdot 2^{n/2} + B_R) \\ &= (A_L \times B_L) \cdot 2^n + (A_L \times B_R + A_R \times B_L) \cdot 2^{n/2} \\ &\quad + (A_R \times B_R) \end{aligned}$$



## Step 2. Core idea



## Step 3. Example

$$\begin{aligned}1100 \times 1001 &= (11)(00) \times (10)(01) \\&= (11 \cdot 2^2 + 00) \times (10 \cdot 2^2 + 01) \\&= (11 \times 10) \cdot 2^4 + (11 \times 01 + 00 \times 10) \cdot 2^2 + (00 \times 01)\end{aligned}$$

## Step 4. Algorithm

PRODUCT( $A[h \dots \ell], B[h \dots \ell]$ )

**Input:** Two  $n$ -bit nonnegative binary numbers  $A$  and  $B$ , where  $h$  and  $\ell$  are the higher and lower order bits and  $n = h - \ell + 1$

**Output:** Product of nonnegative integers  $A$  and  $B$

1. **if**  $h = \ell$  **then**
2.   **return**  $A[h] \times B[h]$
3. **else**
4.    $mid \leftarrow \lfloor (h + \ell) / 2 \rfloor$ ;  $n \leftarrow h - \ell + 1$
5.    $A_L \leftarrow A[h \dots mid]$ ,  $A_R \leftarrow A[mid + 1 \dots \ell]$
6.    $B_L \leftarrow B[h \dots mid]$ ,  $B_R \leftarrow B[mid + 1 \dots \ell]$
7.    $P_1 \leftarrow \text{PRODUCT}(A_L, B_L)$
8.    $P_2 \leftarrow \text{PRODUCT}(A_L, B_R)$
9.    $P_3 \leftarrow \text{PRODUCT}(A_R, B_L)$
10.    $P_4 \leftarrow \text{PRODUCT}(A_R, B_R)$
11. **return**  $(P_1 \cdot 2^n + (P_2 + P_3) \cdot 2^{n/2} + P_4)$

## Step 5. Complexity

- Time complexity.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 4T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$
$$\in \Theta(n^2)$$

- Space complexity.

$$S(n) \in \Theta(n)$$

# Amazing idea

## Problem

Is there is a strategy to perform multiplication of two complex numbers with only 3 multiplications?

$$(a + ib)(c + id) = (ac - bd) + i(bc + ad)$$

## Solution 1

Let  $x = bd$ ,  $y = ac$ , and  $z = (a + b)(c + d)$ .

Then, real part =  $y - x$  and imaginary part =  $z - x - y$ .

## Solution 2

Let  $x = c(a + b)$ ,  $y = a(d - c)$ , and  $z = b(c + d)$ .

Then, real part =  $x - z$  and imaginary part =  $x + y$ .

## Solution 3

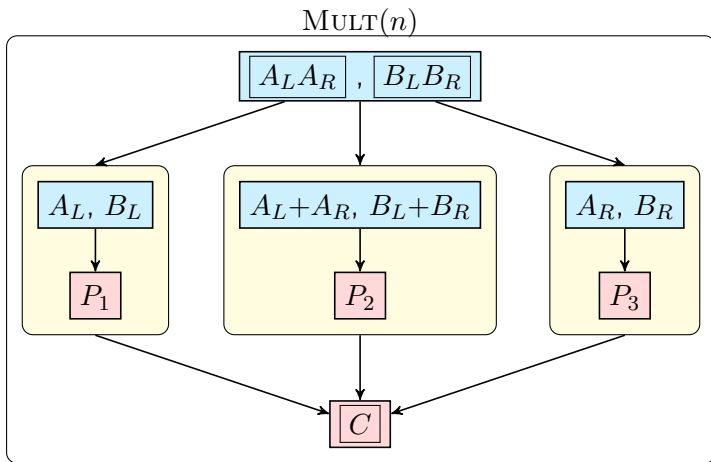
Let  $x = c(a + b)$ ,  $y = a(c - d)$ , and  $z = d(a - b)$ .

Then, real part =  $y + z$  and imaginary part =  $x - y$ .

## Step 2. Core idea

$$\begin{aligned} A \times B &= (A_L A_R) \times (B_L B_R) \\ &= (A_L \cdot 2^{n/2} + A_R) \times (B_L \cdot 2^{n/2} + B_R) \\ &= (A_L \times B_L) \cdot 2^n + (A_L \times B_R + A_R \times B_L) \cdot 2^{n/2} \\ &\quad + (A_R \times B_R) \\ &= (A_L \times B_L) \cdot 2^n \\ &\quad + \left( \begin{array}{l} (A_L + A_R) \times (B_L + B_R) \\ -(A_L \times B_L) - (A_R \times B_R) \end{array} \right) \cdot 2^{n/2} \\ &\quad + (A_R \times B_R) \end{aligned}$$

## Step 2. Core idea



### Step 3. Example

$$\begin{aligned}1100 \times 1001 &= (11)(00) \times (10)(01) \\&= (11 \cdot 2^2 + 00) \times (10 \cdot 2^2 + 01) \\&= (11 \times 10) \cdot 2^4 + (11 \times 01 + 00 \times 10) \cdot 2^2 + (00 \times 01) \\&= (11 \times 10) \cdot 2^4 + \left( \begin{array}{c} (11 + 00) \times (10 + 01) \\ -11 \times 10 - 00 \times 01 \end{array} \right) \cdot 2^2 \\&\quad + (00 \times 01)\end{aligned}$$



## Step 4. Algorithm

KARATSUBA-PRODUCT( $A[h \dots \ell], B[h \dots \ell]$ )

**Input:** Two  $n$ -bit nonnegative binary numbers  $A$  and  $B$ , where  $h$  and  $\ell$  are the higher and lower order bits and  $n = h - \ell + 1$

**Output:** Product of nonnegative integers  $A$  and  $B$

1. **if**  $h = \ell$  **then**
2.   **return**  $A[h] \times B[h]$
3. **else**
4.    $mid \leftarrow \lfloor (h + \ell) / 2 \rfloor$ ;  $n \leftarrow h - \ell + 1$
5.    $A_L \leftarrow A[h \dots mid]$ ,  $A_R \leftarrow A[mid + 1 \dots \ell]$
6.    $B_L \leftarrow B[h \dots mid]$ ,  $B_R \leftarrow B[mid + 1 \dots \ell]$
7.    $P_1 \leftarrow \text{KARATSUBA-PRODUCT}(A_L, B_L)$
8.    $P_2 \leftarrow \text{KARATSUBA-PRODUCT}((A_L + A_R), (B_L + B_R))$
9.    $P_3 \leftarrow \text{KARATSUBA-PRODUCT}(A_R, B_R)$
10. **return**  $(P_1 \cdot 2^n + (P_2 - P_1 - P_3) \cdot 2^{n/2} + P_3)$

## Step 5. Complexity

- Time complexity.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 3T(n/2) + \Theta(n) & \text{if } n > 1. \end{cases}$$
$$\in \Theta(n^{\log 3})$$

- Space complexity.

$$S(n) \in \Theta(n)$$

## Strassen's matrix multiplication

## Step 1. Problem

### Example

$$\begin{bmatrix} 2 & 7 & 3 & 6 \\ 5 & 8 & 3 & 8 \\ 6 & 4 & 5 & 6 \\ 0 & 3 & 9 & 7 \end{bmatrix} \times \begin{bmatrix} 8 & 4 & 4 & 3 \\ 7 & 7 & 6 & 8 \\ 5 & 3 & 8 & 4 \\ 2 & 5 & 5 & 7 \end{bmatrix} = \begin{bmatrix} 92 & 96 & 104 & 116 \\ 127 & 125 & 132 & 147 \\ 113 & 97 & 118 & 112 \\ 80 & 83 & 125 & 109 \end{bmatrix}$$

- $A$ 's  $i$ th row  $\times$   $B$ 's  $j$ th column =  $C[i, j]$  cell
- E.g.:  $5 \times 4 + 8 \times 6 + 3 \times 8 + 8 \times 5 = 132$

### Definition

If  $A$  and  $B$  are  $n \times n$  matrices consisting of real numbers, then the matrix product  $C = A \times B$  is defined and computed as

$$C[i, j] = \sum_{k=1}^n A[i, k] \times B[k, j] \text{ for } i, j \in [1, n]$$

## Step 2. Core idea

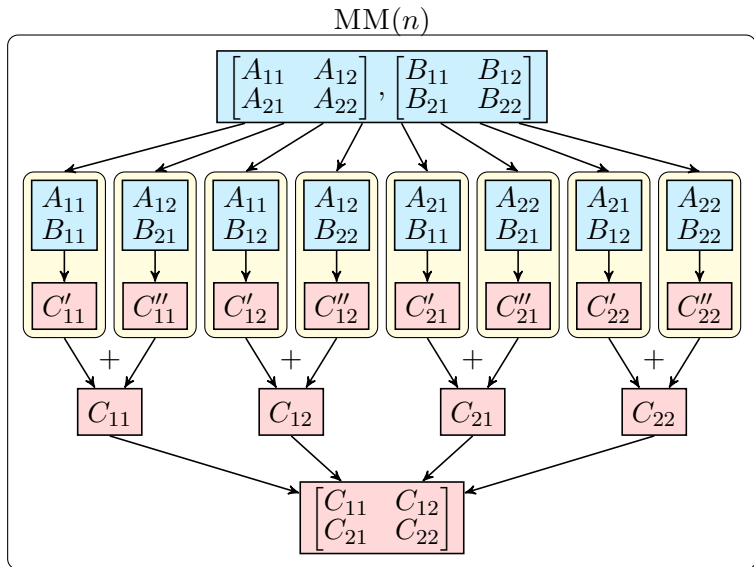
$$\begin{array}{|c|} \hline C \\ \hline \end{array} = \begin{array}{|c|} \hline A \\ \hline \end{array} \times \begin{array}{|c|} \hline B \\ \hline \end{array}$$

$$\begin{array}{|c|c|} \hline C_{11} & C_{12} \\ \hline C_{21} & C_{22} \\ \hline \end{array} = \begin{array}{|c|c|} \hline A_{11} & A_{12} \\ \hline A_{21} & A_{22} \\ \hline \end{array} \times \begin{array}{|c|c|} \hline B_{11} & B_{12} \\ \hline B_{21} & B_{22} \\ \hline \end{array}$$

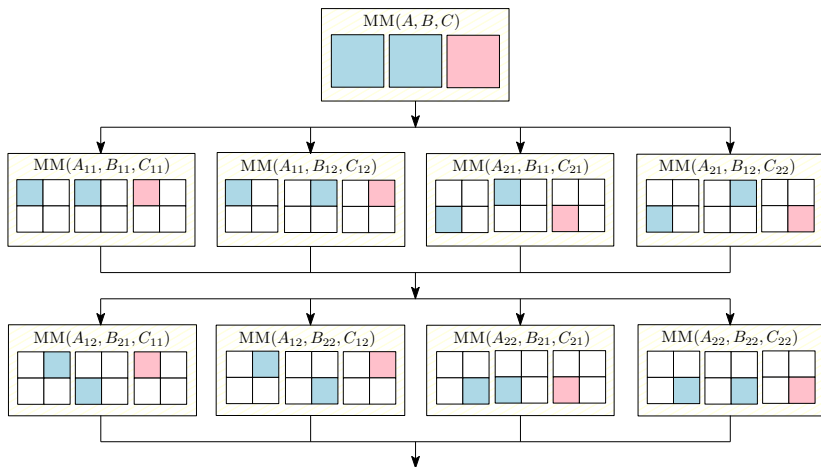
$$= \begin{array}{|c|c|} \hline A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ \hline A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \\ \hline \end{array}$$

$$= \begin{array}{|c|c|} \hline A_{11}B_{11} & A_{12}B_{21} \\ \hline A_{21}B_{11} & A_{22}B_{21} \\ \hline \end{array} + \begin{array}{|c|c|} \hline A_{11}B_{12} & A_{12}B_{22} \\ \hline A_{21}B_{12} & A_{22}B_{22} \\ \hline \end{array}$$

## Step 2. Core idea



## Step 2. Core idea



## Step 4. Algorithm

$\text{MM}(A, B, C, n)$

1. **if**  $n = 1$  **then**
2.    $\text{MM-LOOP}(A, B, C, n)$
3. **else**
4.    $\text{MM}(A_{11}, B_{11}, C_{11}, n/2)$
5.    $\text{MM}(A_{12}, B_{21}, C_{11}, n/2)$
6.    $\text{MM}(A_{11}, B_{12}, C_{12}, n/2)$
7.    $\text{MM}(A_{12}, B_{22}, C_{12}, n/2)$
8.    $\text{MM}(A_{21}, B_{11}, C_{21}, n/2)$
9.    $\text{MM}(A_{22}, B_{21}, C_{21}, n/2)$
10.    $\text{MM}(A_{21}, B_{12}, C_{22}, n/2)$
11.    $\text{MM}(A_{22}, B_{22}, C_{22}, n/2)$



## Step 5. Complexity

- Time complexity.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 8T(n/2) + \Theta(1) & \text{if } n > 1. \end{cases}$$
$$\in \Theta(n^3)$$

- Space complexity.

$$S(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 4S(n/2) + \Theta(1) & \text{if } n > 1. \end{cases}$$
$$\in \Theta(n^2)$$

# Amazing idea

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## Solution 1

Let  $x = bd$ ,  $y = ac$ , and  $z = (a + b)(c + d)$ .

Then, real part =  $y - x$  and imaginary part =  $z - x - y$ .

## Solution 2

Let  $x = c(a + b)$ ,  $y = a(d - c)$ , and  $z = b(c + d)$ .

Then, real part =  $x - z$  and imaginary part =  $x + y$ .

## Solution 3

Let  $x = c(a + b)$ ,  $y = a(c - d)$ , and  $z = d(a - b)$ .

Then, real part =  $y + z$  and imaginary part =  $x - y$ .

## Step 2. Core idea

Problem	Traditional	Solution 1	Solution 2	Solution 3
Complex number mult.	4 mults 2 adds	3 mults 5 adds	3 mults 5 adds	3 mults 5 adds

$$C = A \times B$$

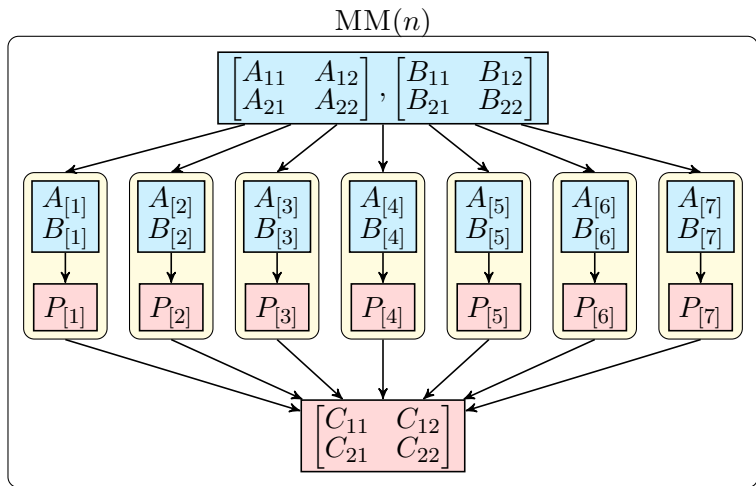
$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$= \begin{bmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{bmatrix}$$

$$= \begin{bmatrix} A_{11}B_{11} & A_{12}B_{21} \\ A_{21}B_{11} & A_{22}B_{21} \end{bmatrix} + \begin{bmatrix} A_{11}B_{12} & A_{12}B_{22} \\ A_{21}B_{12} & A_{22}B_{22} \end{bmatrix}$$

Problem	Traditional	Strassen	Winograd
$2 \times 2$ MM	8 mults 4 adds	7 mults 18 adds	7 mults 15 adds
$n \times n$ MM	$n^3$ mults $(n^3 - n^2)$ adds	$n^{\log_2 7}$ mults $(6n^{\log_2 7} - 6n^2)$ adds	$n^{\log_2 7}$ mults $(5n^{\log_2 7} - 5n^2)$ adds

## Step 2. Core idea



# Step 2. Core idea

		$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$P_6$	$P_7$
	$B_{11} B_{21} B_{12} B_{22}$	$A_{11}$	$A_{11}$	$A_{11}$	$A_{11}$	$A_{11}$	$A_{11}$	$A_{11}$
	$B_{11} B_{21} B_{12} B_{22}$	$A_{12}$	$A_{12}$	$A_{12}$	$A_{12}$	$A_{12}$	$A_{12}$	$A_{12}$
	$B_{11} B_{21} B_{12} B_{22}$	$A_{21}$	$A_{21}$	$A_{21}$	$A_{21}$	$A_{21}$	$A_{21}$	$A_{21}$
	$B_{11} B_{21} B_{12} B_{22}$	$A_{22}$	$A_{22}$	$A_{22}$	$A_{22}$	$A_{22}$	$A_{22}$	$A_{22}$
$C_{11}$	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
$C_{12}$	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
$C_{21}$	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
$C_{22}$	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							
	$B_{11} B_{21} B_{12} B_{22}$							

## Step 4. Algorithm

STRASSEN-MM( $A, B, C, n$ )

**Input:**  $n \times n$  matrices  $A$  and  $B$

**Output:**  $C \leftarrow A \times B$

1. **if**  $n = 1$  **then**  $C \leftarrow A \times B$

2. **else**

    {Step 1. Divide} .....

3.  $A_{[1]} \leftarrow A_{11} + A_{22}, \quad B_{[1]} \leftarrow B_{11} + B_{22},$

$A_{[2]} \leftarrow A_{21} + A_{22}, \quad B_{[2]} \leftarrow B_{11},$

$A_{[3]} \leftarrow A_{11}, \quad B_{[3]} \leftarrow B_{12} - B_{22},$

$A_{[4]} \leftarrow A_{22}, \quad B_{[4]} \leftarrow B_{21} - B_{11},$

$A_{[5]} \leftarrow A_{11} + A_{12}, \quad B_{[5]} \leftarrow B_{22},$

$A_{[6]} \leftarrow A_{21} - A_{11}, \quad B_{[6]} \leftarrow B_{11} + B_{12},$

$A_{[7]} \leftarrow A_{12} - A_{22}, \quad B_{[7]} \leftarrow B_{21} + B_{22}$

    {Step 2. Conquer} .....

4. **for**  $i \leftarrow 1$  **to** 7 **do**

    STRASSEN-MM( $A_{[i]}, B_{[i]}, P_{[i]}, n/2$ )

    {Step 3. Combine} .....

5.  $C_{11} \leftarrow P_{[1]} + P_{[4]} - P_{[5]} + P_{[7]}, \quad C_{12} \leftarrow P_{[3]} + P_{[5]},$

$C_{21} \leftarrow P_{[2]} + P_{[4]}, \quad C_{22} \leftarrow P_{[1]} - P_{[2]} + P_{[3]} + P_{[6]}$

## Step 5. Complexity

- Time complexity.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 7T(n/2) + \Theta(n^2) & \text{if } n > 1. \end{cases}$$
$$\in \Theta\left(n^{\log_2 7}\right)$$

- Space complexity.

$$S(n) = \begin{cases} \Theta(1) & \text{if } n = 1, \\ 7S(n/2) + \Theta(1) & \text{if } n > 1. \end{cases}$$
$$\in \Theta\left(n^{\log_2 7}\right)$$

# Fast MM algorithms

Year	Discoverer	$T(n)$
—	Classical	$\mathcal{O}(n^3)$
1969	Volker Strassen	$\mathcal{O}(n^{2.808})$
1973	Shmuel Winograd	$\mathcal{O}(n^{2.808})$
1978	Victor Pan	$\mathcal{O}(n^{2.78017})$
1979	Dario Bini et al.	$\mathcal{O}(n^{2.7799})$
1979	Victor Pan	$\mathcal{O}(n^{2.6054})$
1981	Arnold Schönhage	$\mathcal{O}(n^{2.5479})$
1982	Don Coppersmith & Shmuel Winograd	$\mathcal{O}(n^{2.4955480})$
1986	Volker Strassen	$\mathcal{O}(n^{2.4785})$
1987	Don Coppersmith & Shmuel Winograd	$\mathcal{O}(n^{2.3754770})$
2010	Andrew Stothers	$\mathcal{O}(n^{2.3737})$
2014	Virginia Vassilevska Williams	$\mathcal{O}(n^{2.372873})$
2014	François Le Gall	$\mathcal{O}(n^{2.3728639})$