# cse371/math371 LOGIC

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# LECTURE 7a

# Chapter 7 Introduction to Intuitionistic and Modal Logics

PART 4: Gentzen Sequent System LI

# Gentzen Sequent System LI

G. Gentzen formulated in 1935 a first syntactically decidable (in propositional case) proof systems for classical and intuitionistic logics

He proved their equivalence with their well established, respective Hilbert style formalizations

He **named** his classical system **LK** ( K for Klassisch) and intuitionistic system **LI** ( I for Intuitionistisch)



# Gentzen Sequent System LI

In order to prove the **completeness** of the system **LK** and to prove the **adequacy** of **LI** he introduced a special inference rule, called **cut rule** that **corresponds** to the Modus Ponens rule in Hilbert style proof systems

Then, as the next step he proved the now famous **Hauptzatz**, called in English the **Cut Elimination Theorem** 

# Gentzen Sequent System LI

Gentzen original proof system LI is a particular case of his proof system LK for the classical logic

Both of them are presented in chapter 6 together with the original Gentzen's proof of the **Hauptzatz** for both, **LK** and **LI** proof systems

The elimination of the **cut** rule and the **structure** of other rules makes it possible to define effective automatic procedures for **proof** search, what is impossible in a case of the Hilbert style systems

# LI Sequents

The Gentzen system LI is defined as follows. Let

$$SQ = \{ \Gamma \longrightarrow \Delta : \Gamma, \Delta \in \mathcal{F}^* \}$$

be the set of all Gentzen sequents built out of the formulas of the language

$$\mathcal{L} = \mathcal{L}_{\{\cup,\cap,\Rightarrow,\neg\}}$$

and the additional Gentzen arrow symbol →
We assume that all LI sequents are elements of a following subset ISQ of the set SQ of all sequents

$$ISQ = \{\Gamma \longrightarrow \Delta : \Delta \text{ consists of at most one formula } \}$$

The set *ISQ* is called the set of all **intuitionistic sequents**; the **LI** sequents



#### Axioms of LI

**Logical Axioms** of **LI** consist of any sequent from the set *ISQ* which contains a formula that appears on both sides of the sequent arrow  $\longrightarrow$ , i.e any sequent of the form

$$\Gamma, A, \Delta \longrightarrow A$$

for  $\Gamma, \Delta \in \mathcal{F}^*$ 

The set inference rules of **LI** is divided into two groups: the structural rules and the logical rules

There are three **Structural Rules** of **LI**: Weakening, Contraction and Exchange

Weakening structural rule

$$(\textit{weak} \rightarrow) \quad \frac{\Gamma \longrightarrow \Delta}{A, \ \Gamma \longrightarrow \Delta}$$
$$(\rightarrow \textit{weak}) \quad \frac{\Gamma \longrightarrow}{\Gamma \longrightarrow A}$$

is called the **weakening formula** Remember that  $\Delta$  contains at most one formula



#### Contraction structural rule

$$(contr \rightarrow) \quad \frac{A, A, \ \Gamma \longrightarrow \Delta}{A, \ \Gamma \longrightarrow \Delta}$$

A is called the contraction formula

**Remember** that  $\triangle$  contains at most one formula

The rule below is **not VALID** for **LI**; we list it as it is used in the classical case

$$(\rightarrow contr) \quad \frac{\Gamma \longrightarrow \Delta, A, A}{\Gamma \longrightarrow \Delta, A}$$

# **Exchange** structural rule

(exch 
$$\rightarrow$$
)  $\frac{\Gamma_1, A, B, \Gamma_2 \longrightarrow \Delta}{\Gamma_1, B, A, \Gamma_2 \longrightarrow \Delta}$ 

**Remember** that  $\triangle$  contains at most one formula

The rule below is **not VALID** for **LI**; we list it as it is used in the classical case

$$(\rightarrow exch) \quad \frac{\Delta \longrightarrow \Gamma_1, A, B, \Gamma_2}{\Delta \longrightarrow \Gamma_1, B, A, \Gamma_2}.$$

# **Logical Rules**

# **Conjunction rules**

$$(\cap \to) \quad \frac{A,B,\ \Gamma \longrightarrow \Delta}{(A\cap B),\ \Gamma \longrightarrow \Delta},$$

$$(\to \cap) \quad \frac{\Gamma \longrightarrow A \; ; \; \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \cap B)}$$

Remember that  $\triangle$  contains at most one formula

# Disjunction rules

$$(\rightarrow \cup)_{1} \quad \frac{\Gamma \longrightarrow A}{\Gamma \longrightarrow (A \cup B)}$$

$$(\rightarrow \cup)_{2} \quad \frac{\Gamma \longrightarrow B}{\Gamma \longrightarrow (A \cup B)}$$

$$(\cup \rightarrow) \quad \frac{A, \ \Gamma \longrightarrow \Delta \ ; \ B, \ \Gamma \longrightarrow \Delta}{(A \cup B), \ \Gamma \longrightarrow \Delta}$$

**Remember** that  $\triangle$  contains at most one formula

# Implication rules

$$(\rightarrow \Rightarrow) \quad \frac{A, \ \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \Rightarrow B)}$$
$$(\Rightarrow \rightarrow) \quad \frac{\Gamma \longrightarrow A \ ; \ B, \ \Gamma \longrightarrow \Delta}{(A \Rightarrow B), \ \Gamma \longrightarrow \Delta}$$

**Remember** that  $\triangle$  contains at most one formula

# Gentzen System LI

# **Negation rules**

$$(\neg \rightarrow) \quad \frac{\Gamma \longrightarrow A}{\neg A, \ \Gamma \longrightarrow}$$

$$(\rightarrow \neg) \quad \frac{A, \Gamma \longrightarrow}{\Gamma \longrightarrow \neg A}$$

We define the Gentzen system LI as

$$LI = (\mathcal{L}, ISQ, LA, Structural rules, Logical rules)$$

# LI Completeness

The completeness of the **cut-free** LI follows directly from LI Hauptzatz proved in chapter 6 and the intuitionistic completeness (Mostowski 1948)

# Completeness of LI

For any sequent  $\Gamma \longrightarrow \Delta \in ISQ$ ,

 $\vdash_{LI} \Gamma \longrightarrow \Delta$  if and only of  $\models_I \Gamma \longrightarrow \Delta$ 

In particular, for any formula A,

 $\vdash_{L} A$  if and only of  $\models_{l} A$ 



# Intuitionistic Disjunction

The particular form the following theorem was stated without the proof by Gödel in 1931

The theorem proved by Gentzen in 1935 via **Hauptzatz** and we follow his proof

# Intuitionistically Derivable Disjunction

For any formulas  $A, B \in \mathcal{F}$ ,

$$\vdash_{LI} (A \cup B)$$
 if and only if  $\vdash_{LI} A$  or  $\vdash_{LI} B$ 

In particular, a disjunction  $(A \cup B)$  is intuitionistically **provable** in any proof system I if and only if either A or B is intuitionistically **provable** in I



### Intuitionistic Disjunction

#### Proof of

There is no other possibilities

Assume 
$$\vdash_{LI} (A \cup B)$$
  
This equivalent to  $\vdash_{LI} \longrightarrow (A \cup B)$   
The last step in the proof of  $\longrightarrow (A \cup B)$  in LI must be the application of the rule  $(\rightarrow \cup)_1$  to the sequent  $\longrightarrow A$ , or the application of the rule  $(\rightarrow \cup)_2$  to the sequent  $\longrightarrow B$ 

 $\vdash_{II}(A \cup B)$  if and only if  $\vdash_{LI} A$  or  $\vdash_{LI} B$ 

The inverse implication is obvious by respective applications of rules  $(\to \cup)_1$  or  $(\to \cup)_2$  to the sequents  $\longrightarrow A$  or  $\longrightarrow B$ 

We have proved that  $\vdash_{II} (A \cup B)$  implies  $\vdash_{II} A$  or  $\vdash_{II} B$ 

**Search for proofs** in **LI** is a much more complicated process then the one in classical logic systems **RS** or **GL** defined in chapter 6

Here, as in any other Gentzen style proof system, proof search procedure consists of building the **decomposition** trees

#### Remark 1

In **RS** the decomposition tree  $T_A$  of any formula A is always unique

#### Remark 2

In **GL** the "blind search" defines, for any formula **A** a **finite** number of decomposition trees,

Nevertheless, it can be proved that the search can be **reduced** to examining only **one** of them, due to the **absence** of structural rules

#### Remark 3

In **LI** the structural rules play a **vital role** in the proof construction and hence, in the proof search

The fact that a given **decomposition** tree ends with an non-axiom leaf **does not** always imply that the proof does not exist. It might only imply that our search strategy was **not good** 

The problem of **deciding** whether a given formula *A* **does**, or **does not** have a proof in **LI** becomes more complex than in the case of Gentzen system for classical logic



Before we define a heuristic method of **searching** for proof and **deciding** whether such a proof exists or not we make some observations

#### **Observation 1**

**Logical rules** of **LI** are similar to those in Gentzen type classical formalizations we already examined in previous chapters in a sense that each of them introduces a logical connective

#### **Observation 2**

The process of searching for a proof is a **decomposition** process in which we use the inverse of logical and structural rules as **decomposition** rules

For **example** the implication rule:

$$(\to \Rightarrow) \frac{A, \Gamma \longrightarrow B}{\Gamma \longrightarrow (A \Rightarrow B)}$$

becomes an implication **decomposition** rule (we use the same name  $(\rightarrow \Rightarrow)$  in both cases)

$$(\to \Rightarrow) \frac{\Gamma \longrightarrow (A \Rightarrow B)}{A.\Gamma \longrightarrow B}$$

#### Observation 3

We write proofs as **trees**, so the proof search process is a process of building decomposition trees

To facilitate the process we write the **decomposition** rules in a tree decomposition form as follows

$$\Gamma \longrightarrow (A \Rightarrow B)$$
$$|(\rightarrow \Rightarrow)$$
$$A, \Gamma \longrightarrow B$$

The two premisses rule  $(\Rightarrow \rightarrow)$  written as the tree decomposition rule becomes

$$(A \Rightarrow B), \Gamma \longrightarrow$$

$$\bigwedge (\Rightarrow \rightarrow)$$

$$\Gamma \longrightarrow A \qquad B, \Gamma \longrightarrow$$

The structural weakening rule written as the decomposition rule is

$$(\rightarrow weak) \xrightarrow{\Gamma \longrightarrow A}$$

We write it in a tree decomposition form as

$$\begin{array}{c} \Gamma \longrightarrow A \\ | (\rightarrow \textit{weak}) \\ \Gamma \longrightarrow \end{array}$$

We define the notion of decomposable and indecomposable formulas and sequents as follows

**Decomposable formula** is any formula of the degree ≥ 1 **Decomposable sequent** is any sequent that contains a decomposable formula

**Indecomposable formula** is any formula of the degree 0 i.e. is any propositional variable



#### Remark

In a case of formulas written with use of capital letters  $A, B, C, \dots$  etc, we treat these letters as propositional variables, i.e. as **indecomposable formulas** 

**Indecomposable sequent** is a sequent formed from indecomposable formulas only.

# **Decomposition Tree Construction (1)**

Given a formula A we construct its **decomposition** tree  $T_A$  as follows

**Root** of the tree  $T_A$  is the sequent  $\longrightarrow A$ 

Given a **node** *n* of the tree we identify a **decomposition** rule applicable at this node and write its **premisses** as the **leaves** of the **node** *n* 

We **stop** the decomposition process when we obtain an **axiom** or all leaves of the tree are **indecomposable** 

#### **Observation 4**

The decomposition tree  $T_A$  obtained by the **Construction** (1) most often is not unique

#### **Observation 5**

The fact that we **find** a decomposition tree  $T_A$  with a non-axiom leaf **does not** mean that  $F_{LI}$  A

This is due to the role of **structural rules** in **LI** and will be discussed later

# **Proof Search Examples**

We perform proof search and **decide** the existence of proofs in **LI** for a given formula  $A \in \mathcal{F}$  by constructing its **decomposition** trees  $T_A$ 

We examine here some **examples** to show the **complexity** of the problem

#### Reminder

In the following and similar examples when building the decomposition trees for formulas representing general schemas we treat the capital letters *A*, *B*, *C*, *D*... as propositional variables, i.e. as **indecomposable** formulas



# Example 1

Determine] whether

$$\vdash_{\mathsf{LI}} ((\neg A \cap \neg B) \Rightarrow \neg (A \cup B))$$

#### Observe that

If we find a decomposition tree of *A* in **LI** such that all its leaves are axiom, we have a proof, i.e

If all possible decomposition trees have a non-axiom leaf then the proof of A in LI does not exist, i.e.

$$\mathcal{L}_{\mathsf{LI}} A$$



# Consider the following decomposition tree T1<sub>A</sub>

 $A \longrightarrow B$ 

$$\rightarrow ((\neg A \cap \neg B) \Rightarrow (\neg (A \cup B))$$

$$|(\longrightarrow \Rightarrow)$$

$$(\neg A \cap \neg B) \rightarrow \neg (A \cup B)$$

$$|(\longrightarrow \neg)$$

$$(\neg A \cap \neg B), (A \cup B) \rightarrow$$

$$|(\cap \longrightarrow)$$

$$\neg A, \neg B, (A \cup B) \rightarrow$$

$$|(\neg \longrightarrow)$$

$$\neg B, (A \cup B) \rightarrow A$$

$$|(\longrightarrow weak)$$

$$\neg B, (A \cup B) \rightarrow$$

$$|(\neg \longrightarrow)$$

$$(A \cup B) \rightarrow B$$

$$\land (\cup \longrightarrow)$$

$$A \rightarrow B$$

$$B \rightarrow B$$

$$non - axiom$$

$$axiom$$

The tree T1<sub>A</sub> has a non-axiom leaf, so it does not constitute a proof in LI

Observe that the **decomposition** tree in **LI** is not always unique

Hence the existence of a non-axiom leaf does not yet prove that the **proof** of A does not exist

Consider the following decomposition tree T2A



 $|(\neg \longrightarrow)$ 

axiom

 $B, \neg A \longrightarrow B$ ; axiom 4 D > 4 P > 4 B > 4 B > B 900

All leaves of T2<sub>A</sub> are axioms

This means that the tree T2A is a a proof of A in LI

We hence proved that

$$\vdash_{\mathsf{LI}} ((\neg A \cap \neg B) \Rightarrow \neg (A \cup B))$$

# **Example 2:** Show that

1. 
$$\vdash_{\mathsf{LI}} (A \Rightarrow \neg \neg A)$$

2. 
$$\mathcal{F}_{LI} (\neg \neg A \Rightarrow A)$$

### Solution of 1.

We construct some, or all decomposition trees of

$$\longrightarrow (A \Rightarrow \neg \neg A)$$

A tree  $T_A$  that **ends** with all leaves being axioms is a proof of A in LI

We construct  $T_A$  as follows

All leaves of **T**<sub>A</sub> are axioms so we found the **proof**We **do not** need to construct any other decomposition trees.

### Solution of 2.

In order to prove that

$$\mathcal{F}_{\mathsf{LI}} \quad (\neg \neg A \Rightarrow A)$$

we have to construct all decomposition trees of

$$\longrightarrow (\neg \neg A \Rightarrow A)$$

and show that each of them has a non-axiom leaf

# Here is $T1_A$

## Here is $T2_A$

We can see from the above **decomposition** trees that the "blind" construction of all possible trees only leads to more complicated trees

This is due to the presence of structural rules

The "blind" application of the rule  $(contr \rightarrow)$  gives always an infinite number of **decomposition** trees

In order to decide that **none** of them will produce a proof we need some **extra knowledge** about patterns of their **construction**, or just simply about the number o **useful** of application of **structural rules** 



In this case we can just make an "external" **observation** that the our first tree  $\mathbf{T1}_A$  is in a sense a minimal one It means that all other trees would only **complicate** this one in an inessential way, i.e. the we will never produce a tree with all axioms leaves

One can formulate a deterministic procedure giving a finite number of trees, but the proof of its correctness is needed and that requires some extra knowledge

Within the scope of this book we accept the "external explanation as a sufficient solution



As we can see from the above examples the structural rules and especially the  $(contr \longrightarrow)$  rule **complicates** the proof searching task.

Both Gentzen type proof systems RS and GL from the previous chapter don't contain the structural rules

They also are as we have proved, complete with respect to classical semantics.

The <u>original Gentzen</u> system **LK** which does contain the structural rules is also, as proved by Gentzen, **complete** 



Hence all three classical proof system RS, GL, LK are equivalent

This proves that the structural rules can be eliminated from the system **LK** 

A natural question of elimination of structural rules from the system LI arises

The following example illustrates the negative answer



# Example 3

We know that for any formula  $A \in \mathcal{F}$ ,

 $\models A$  if and only if  $\vdash_I \neg \neg A$ 

where  $\models A$  means that A is classical tautology

If A means that A is Intutionistically provable in any intuitionistically complete proof system.

The system  $\coprod$  is intuitionistically **complete** so have that for any formula  $A \in \mathcal{F}$ ,

 $\models A$  if and only if  $\vdash_{\sqcup} \neg \neg A$ 



Obviously  $\models (\neg \neg A \Rightarrow A)$ , so we must have that

$$\vdash_{\mathsf{LI}} \neg \neg (\neg \neg A \Rightarrow A)$$

We are going to prove now that the rule  $(contr \longrightarrow)$  is **essential** to the **existence** of the proof  $\neg\neg(\neg\neg A \Rightarrow A)$  It means that  $\neg\neg(\neg\neg A \Rightarrow A)$  **is not provable** without the rule  $(contr \longrightarrow)$ 

The following decomposition tree  $T_A$  is a proof of  $\neg\neg(\neg\neg A \Rightarrow A)$  with use of the rule (*contr*  $\longrightarrow$ )



Assume now that the rule ( $contr \longrightarrow$ ) is not available. All possible decomposition trees are as follows Tree  $T1_A$ 



## The next is $T2_A$

The next is  $T3_A$ 

# The last one is $T4_A$

We have considered all possible decomposition trees that **do not** involve the contraction rule  $(contr \longrightarrow)$  and **none** of them was a proof

This shows that the formula

$$\neg\neg(\neg\neg A\Rightarrow A)$$

is not provable in LI without  $(contr \longrightarrow)$  rule, i.e. that we proved the following

#### **Fact**

The contraction rule  $(contr \longrightarrow)$  can not be eliminated from LI



Before we define a heuristic method of searching for proof in LI let's make some additional observations to the already made observations 1-5

## **Observation 6**

The goal of constructing the decomposition tree is to **obtain** axioms or indecomposable leaves

With respect to this goal the use logical decomposition rules has a priority over the use of the structural rules

We use this information while describing the proof search **heuristic** 



#### Observation 7

All logical decomposition rules  $(\circ \to)$ , where  $\circ$  denotes any connective, must have a formula we want to decompose as the first formula at the decomposition node

It means that if we want to **decompose** a formula  $\circ A$  the node must have a form  $\circ A$ ,  $\Gamma \longrightarrow \Delta$ 

Remember: order of decomposition is important
Also sometimes it is necessary to decompose a formula
within the sequence \( \Gamma \) first, before decomposing \( \circ A \) in order
to find a proof



For example, consider two nodes

$$n_1 = \neg \neg A, \ (A \cap B) \longrightarrow B$$

and

$$n_2 = (A \cap B), \neg \neg A \longrightarrow B$$

We are going to see that the results of decomposing  $n_1$  and  $n_2$  differ dramatically

Let's decompose the node  $n_1$ 

Observe that the only way to be able to decompose the formula  $\neg \neg A$  is to use the rule  $(\rightarrow weak)$  as a **first step** 

The **two possible** decomposition trees that starts at the node  $n_1$  are as follows



### First Tree

# $T1_{n_1}$

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $| ( \rightarrow weak )$ 
 $\neg \neg A, (A \cap B) \longrightarrow \\
| ( \neg \rightarrow )$ 
 $(A \cap B) \longrightarrow \neg A$ 
 $| ( \cap \rightarrow )$ 
 $A, B \longrightarrow \neg A$ 
 $| ( \rightarrow \neg )$ 
 $A, A, B \longrightarrow \\
non - axiom$ 

### Second Tree

# $T2_{n_1}$

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $| ( \rightarrow weak )$ 
 $\neg \neg A, (A \cap B) \longrightarrow$ 
 $| ( \neg \rightarrow )$ 
 $(A \cap B) \longrightarrow \neg A$ 
 $| ( \rightarrow \neg )$ 
 $A, (A \cap B) \longrightarrow$ 
 $| ( \cap \rightarrow )$ 
 $A, A, B \longrightarrow$ 
 $non - axiom$ 

Let's now decompose the node  $n_2$ Observe that following our **Observation 6** we start by decomposing the formula  $(A \cap B)$  by the use of the rule  $(\cap \rightarrow)$  as the **first step** A decomposition tree that starts at the node  $n_2$  is as follows

$$(A \cap B), \neg \neg A \longrightarrow B$$
  
 $|(\cap \rightarrow)$   
 $A, B, \neg \neg A \longrightarrow B$   
axiom

 $T_{n_2}$ 

This proves that the node  $n_2$  is **provable** in **LI**, i.e.

$$\vdash_{\mathsf{LI}} (A \cap B), \neg \neg A \longrightarrow B$$

#### **Observation 8**

The use of structural rules is **important** and **necessary** while we search for proofs

Nevertheless we have to **use them** on the "must" basis and set up some **guidelines** and **priorities** for their use

For example, the use of weakening rule discharges the weakening formula, and hence we might loose an information that may be essential to finding the proof

We should use the weakening rule only when it is absolutely necessary for the next decomposition steps



Hence, the use of weakening rule ( $\rightarrow$  weak) can, and should be restricted to the cases when it leads to possibility of the future use of the negation rule ( $\neg \rightarrow$ )

This was the case of the decomposition tree  $\mathbf{T1}_{n_1}$  We used the rule  $(\rightarrow weak)$  as an necessary step, but it discharged too much information and we didn't get a proof, when proof on this node existed

# Here is such a proof

 $T3_{n_1}$ 

$$\neg \neg A, (A \cap B) \longrightarrow B$$
 $\mid (exch \longrightarrow)$ 
 $(A \cap B), \neg \neg A \longrightarrow B$ 
 $\mid (\cap \rightarrow)$ 
 $A, B, \neg \neg A \longrightarrow B$ 
axiom

### Method

For any  $A \in \mathcal{F}$  we construct the set of decomposition trees  $T_{\rightarrow A}$  following the rules below.

- 1. Use first logical rules where applicable.
- 2. Use (exch →) rule to decompose, via logical rules, as many formulas on the left side of → as possible

  Remember that the order of decomposition matters! so you have to cover different choices
- 3. Use  $(\rightarrow weak)$  only on a "must" basis and in connection with the **possibility** of the future use of the  $(\neg \rightarrow)$  rule
- **4.** Use  $(contr \rightarrow)$  rule as the **last recourse** and only to formulas that contain  $\neg$  or  $\Rightarrow$  as a main connective
- **5.** Let's call a formula  $\frac{A}{A}$  to which we apply  $\frac{contr}{A}$  rule a a contraction formula
- 6. The only contraction formulas are formulas containing ¬or ⇒ between theirs logical connectives

- 7. Within the process of construction of all possible trees use  $(contr \rightarrow)$  rule **only** to **contraction formulas**
- **8.** Let C be a **contraction formula** appearing on a node n of the decomposition tree of  $T_{\rightarrow A}$

For any **contraction formula** C, any node n, we apply  $(contr \rightarrow)$  rule to the the formula C at the node n **at most** as many times as the number of sub-formulas of C

If we find a tree with all axiom leaves we have a proof, i.e.

## $\vdash_{LI} A$

If **all trees** (finite number) have a non-axiom leaf we have proved that proof of **A** does not exist, i.e.

YLI A