

# Bochnak - Real Algebraic Geometry

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## 1 Ordered Fields, Real Closed Fields

### 1.1 Ordered Fields, Real Fields

- (Definition 1.1.1, ordering of a field):  $\leq$  is an ordering of a field  $F \iff$ 
  1. (total):  $\leq$  is a total.
  2. (addition):  $x \leq y \implies x + z \leq y + z$
  3. (non-negative and mult.):  $0 \leq x, 0 \leq y \implies 0 \leq xy$ .
- (Small prop.):  $x \leq y, z \geq 0 \implies xz \leq yz$ .  $\odot x \leq y \iff 0 \leq y - x \iff 0 \leq (y - x)z \leq 0 \leq yz - xz \iff xz \leq yz$ .
- Let's define a ordering of the field of rational function  $\mathbb{R}(X)$ . (Think  $X$  as "infinite small").
- (Example 1.1.2): There exists the unique ordering of  $\mathbb{R}(X)$  satisfying
  - it preserves the ordering of  $\mathbb{R}$ .<sup>\*1</sup>
  - $X$  is smaller than any positive real number.
  - $X$  is positive.

$\odot$ We prove the ordering is unique if any first. Let  $\text{FC}(f)$  is the coefficient of the lowest term of  $f$  for  $f \in \mathbb{R}[X]$ . (FC stands for Following Coefficient twinned with Leading Coefficient) Let  $\mathbb{R}[X]^+ = \{f \in \mathbb{R}[X]; \text{FC}(\cdot)\}$

1.  $0 < X$   $\odot$ Requirement.
2.  $\forall a > 0: X < a$   $\odot$ Requirement.
3.  $\leq$  preserves the ordering of  $\mathbb{R}$ .  $\odot$ Requirement.
4.  $\forall a > 0: \forall n \geq 0: X^{n+1} < aX^n$
5.  $\forall a > 0: \forall m > n \geq 0: X^m < aX^n$
6.  $\forall a > 0, b \in \mathbb{R}: \forall m > n \geq 0: bX^m < aX^n$
7.  $\forall a > 0, b \in \mathbb{R}: \forall m > n \geq 0: 0 < bX^m + aX^n$
8.  $\forall P(X) \in \mathbb{R}[X]^+: 0 < P(X)$
9.  $\forall Q(X) \in \mathbb{R}[X]^+: 0 < \frac{1}{Q(X)}$   $\odot$ think of

$$Q'(X) = \begin{cases} 1/Q^2; Q > 0 \\ -1/Q^2; Q < 0 \end{cases} \quad (1)$$

Or assume  $0 \geq 1/Q$ . Then multiplying  $Q$ ,  $0 \geq 1$ . This contradicts to the axiom of fields.

10.  $\forall P(X), Q(X) \in \mathbb{R}[X]^+: 0 < \frac{P(X)}{Q(X)}$
11.  $\forall P(X) \in \mathbb{R}[X]^-, Q(X) \in \mathbb{R}[X]^+: \frac{P(X)}{Q(X)} < 0$

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<sup>\*1</sup> This come from the axiom of fields. Or, for  $a \in \mathbb{R}$ ,  $0 < X < a$ .

12.

$$\forall P(X), R(X) \in \mathbb{R}[X], Q(X), S(X) \in \mathbb{R}[X]^+ : \begin{cases} \text{FC}(PS - RQ) > 0 & \rightarrow \frac{P}{Q} > \frac{R}{S} \\ \text{FC}(PS - RQ) = 0 & \rightarrow \frac{P}{Q} = \frac{R}{S} \\ \text{FC}(PS - RQ) < 0 & \rightarrow \frac{P}{Q} < \frac{R}{S} \end{cases} \quad (2)$$

This requirement defines a binary relation  $\leq$  (check the sign of FC of the numerator<sup>\*2</sup>). We prove it is exactly an ordering.

- (Reflexivity): Obvious.
- (Anti-symmetry): Obvious.
- (Total): Obvious.
- (Non-negative and mult.): Assume  $\frac{P}{Q} \geq 0$  and  $\frac{R}{S} \geq 0$ .  $\text{FC}(P) \geq 0$  and  $\text{FC}(R) \geq 0$  hold. Paying attention to managing lowest terms,  $\text{FC}(PR) = \text{FC}(P)\text{FC}(R) \geq 0$ . This means  $\frac{PR}{QS} \geq 0$ .
- (Transitivity): Assume  $\frac{P}{Q} \leq \frac{R}{S}, \frac{R}{S} \leq \frac{T}{U}$  and  $Q, S, U \in \mathbb{R}[X]^+$ . By (Non-negative and mult.), they are equivalent to  $PSU \leq RQU$  and  $RQU \leq TQS$ . We write for a polynomial  $f$   $f$ 's  $n$ -th coefficient  $f_n$ . For a pair of polynomials  $(f, g)$ , let  $\varphi(f, g)$  is an  $n$  such that  $f_0 = g_0, \dots, f_{n-1} = g_{n-1}, f_n \neq g_n$ . (If  $f = g$ , let  $\varphi(f, g) = \infty$ .)  $\varphi(PSU, TQS) = \min(\varphi(PSU, RQU), \varphi(RQU, TQS))$  holds. Let  $N = \varphi(PSU, TQS)$ .
  - \* If  $N = \infty$  then  $\varphi(PSU, RQU) = \varphi(RQU, TQS) = \infty$ . This means  $PSU = RQU = TQS$ .
  - \* If  $N < \infty$  then  $(PSU)_0 = (RQU)_0 = (TQS)_0, \dots, (PSU)_{N-1} = (RQU)_{N-1} = (TQS)_{N-1}$  holds. Moreover,  $(PSU)_N \leq (RQU)_N$  and  $(RQU)_N \leq (TQS)_N$  hold. This means  $PSU \leq TQS$ .
- (Addition): Obvious.
- Define  $\leq$  of  $\mathbb{R}(X)$  as
  - 1.

$$[a_k X^k + \dots + a_n X^n \geq 0, a_k \neq 0, k \leq n] \iff [a_k > 0] \quad (3)$$

2.

$$[P(X)/Q(X) > 0] \iff [P(X)Q(X) > 0] \quad (4)$$

- This implies immediately

$$\dots < X^2 < X < 1 < X^{-1} < X^{-2} < \dots \quad (5)$$

- (Small prop.): These two rules generates an ordering of a field (Def. 1.1.1). ☺TODO.
- (Small prop.):  $\mathbb{R}(X)$  is not archimedean <sup>\*3</sup> i.e.

$$\exists P(X) \in \mathbb{R}(X) : \forall n \in \mathbb{N} : n < P(X). \quad (6)$$

☺Take  $P(X) = 1/X$ . Fix  $n \in \mathbb{N}$ .  $X < 1/n$  holds.

$$X < \frac{1}{n} \iff \frac{1}{n} - X > 0 \quad (7)$$

$$\iff \frac{1 - nX}{n} > 0 \quad (8)$$

$$\iff 1 - nX > 0 \quad (9)$$

$$\iff \frac{1}{X} - n > 0 \quad (10)$$

$$\iff \frac{1}{X} > n. \quad (11)$$

- This implies  $1/X$  is "infinitely large", and  $X$  is "infinitely small".

<sup>\*2</sup> denominator:分母、 numerator:分子

<sup>\*3</sup> Accumulating  $1_{\mathbb{R}(X)}$  finitely overwhelms any fixed element of  $\mathbb{R}(X)$

- (Definition, cut): (This is probably not the normal definiton...) A pair of subsets of  $\mathbb{R}$   $(I, J)$  is a cut  $\iff$ 
  - $I \cap J = \emptyset$
  - $I \cup J = \mathbb{R}$
  - $I < J$  i.e.  $\forall i \in I: \forall j \in J: i < j$ .
- An ordering of  $\mathbb{R}(X)$  determinmes a cut  $(I, J)$  where

$$I = \{x \in \mathbb{R}; x < X\}, J = \{x \in \mathbb{R}; X < x\}. \quad (12)$$

$$(\text{an ordering of } \mathbb{R}(X)) \rightsquigarrow (\text{a cut of } \mathbb{R}) \quad (13)$$

Pay attention to forall  $x \in \mathbb{R}$  either  $x < X$  or  $X < x$  holds because the ordering is total.

- (Definition,  $-\infty, a_-, a_+, \infty$ ): Let  $a \in \mathbb{R}$ .  $-\infty, a_-, a_+, \infty$  are defined with cuts.
  - $-\infty := (\emptyset, \mathbb{R})$
  - $a_- := ([-\infty, a[, [a, \infty[)$
  - $a_+ := (]-\infty, a], ]a, \infty])$
  - $+\infty := (\mathbb{R}, \emptyset)$
- (Small prop.):  $Y = -1/X$  is a bijection between  $\{\leq (\mathbb{R}(X))\}$ ; the cut of  $\leq$  is  $-\infty$  and  $\{\leq (\mathbb{R}(Y))\}$  of Def. 1.1.1}.  
\*4

☺The bijection of  $\rightarrow$  part is defining a ordering  $\mathbb{R}(Y)$  from a fixed ordering  $\mathbb{R}(X)$  whose cut is  $-\infty$ . Define it as  $P(Y) \geq 0 \iff P(-1/X) \geq 0$ . We have to check the cut of  $P(Y)$  is  $(]-\infty, 0], ]0, \infty])$ . We have to check if  $0 < Y$  and  $Y < (\text{any positive})$ . The other side is omitted.

- (Small prop.):  $a \in \mathbb{R}$ .  $Y = a - X$  is a bijection between  $\{\leq (\mathbb{R}(X))\}$ ; the cut of  $\leq$  is  $a_-$  and  $\{\leq (\mathbb{R}(Y))\}$  of Def. 1.1.1}.
- (Small prop.):  $a \in \mathbb{R}$ .  $Y = X - a$  is a bijection between  $\{\leq (\mathbb{R}(X))\}$ ; the cut of  $\leq$  is  $a_+$  and  $\{\leq (\mathbb{R}(Y))\}$  of Def. 1.1.1}.
- (Small prop.):  $Y = 1/X$  is a bijection between  $\{\leq (\mathbb{R}(X))\}$ ; the cut of  $\leq$  is  $+\infty$  and  $\{\leq (\mathbb{R}(Y))\}$  of Def. 1.1.1}.
- (Small prop.): These props states that for each cut, there exists the unique ordering.
- ☺At Def. 1.1.1., we have already seen for cut  $(]-\infty, 0], ]0, \infty])$  the ordering whose cut is it is unique. These props states that the number of ordering whose cut is  $-\infty, a_-, a_+, \infty$  equals to the number of Def. 1.1.1.'s ordering.
- (Small prop.): This is stated as: there exists bijection

$$\{\text{all orderings of } \mathbb{R}(X)\} \simeq \{a_+; a \in \mathbb{R}\} \cup \{a_-; a \in \mathbb{R}\} \cup \{-\infty, +\infty\}. \quad (14)$$

- (Abuse of term.): By the above bijection, we also the orderings by cuts.
- (TODO, p8): Note that the sign of  $f \in \mathbb{R}(X)$  for the ordering  $a_-$  is the sign of  $f$  on some small open interval  $]a - \epsilon, a[$ .
- (Definition 1.1.3., cone): A cone  $P$  of a field \*5  $F$  is a subset  $P$  of  $F$  such that
  - (Addition):  $x, y \in P \implies x + y \in P$
  - (Multiply):  $x, y \in P \implies xy \in P$
  - (Square):  $x \in K \implies x^2 \in P$
The cone  $P$  is said to be proper if  $-1 \notin P$ .
- (Small example):  $\{0\}$  is obviously a proper cone.
- (Definition 1.1.4., positive cone): Let  $(F, \leq)$  be an ordered field. The subset  $P = \{x \in F; x \geq 0\}$  is called the positive cone of  $(F, \leq)$ .
- (Proposition 1.1.5., ordering and cone): Let  $F$  be an ordered field.  $P$  be a cone.

\*4  $-\infty$  is the cut defined already. Def 1.1.1's cut is  $(]-\infty, 0], ]0, \infty])$ .

\*5 Need not be ordered.

- ( $F$  is ordered ( $F, \leq$ ) and  $P$  is positive.)  $\implies (P \cup (-P) = \mathbb{R}(X)$  and  $P$  is proper.)
- ( $P \cup (-P) = \mathbb{R}(X)$  and  $P$  is proper.)  $\implies (F$  is ordered and its ordering is defined by ( $x \leq y \iff y - x \in P$ ))

⊙ Prove the first half. Proving  $-1 \geq 0$  is false is sufficient. Assume  $-1 \geq 0$ . By (non-negative and mult.),  $1 = (-1) \cdot (-1) \leq 0$ . By (addition), adding  $+1$  both sides yields  $0 \leq 1$ . Combining them,  $1 \leq 0 \leq 1$ . This means  $0 = 1$ . Contradiction.

Prove the last half.

- (Reflectivity): Let  $x \in F$ . Cones always contain  $0 = x - x$ . This means  $x \leq x$ .
- (Anti-symmetry): Let  $x, y \in F$  and  $x \leq y$  and  $y \leq x$ .  $y - x, x - y \in P$  holds. Assume  $x - y \neq 0$ . By (Multiply),  $-(x - y)^2 = (y - x)(x - y) \in P$ . Because  $x - y \neq 0$ , there exists  $1/(x - y) \in F$ . By (Square),  $1/(x - y)^2 \in P$ .  $-(x - y)^2 \cdot 1/(x - y)^2 = -1 \in P$ . This contradicts the properness, so  $x - y = 0$ .
- (Transitivity): Let  $x \leq y \in F$  and  $y \leq z \in F$ .  $y - x \in P$  and  $z - y \in P$  hold. By (Addition),  $z - x = (z - y) + (y - x) \in P$ . This means  $x \leq z$ .
- (Total): Obvious from  $P \cup (-P) = \mathbb{R}(X)$ .
- (Addition): Obvious.
- (Non-negative and Mult.): Obvious.
- (Definition, sum of square): The set of sums of squares is denoted by  $\sum F^2$ .
- (Small prop.):  $\sum F^2$  is a cone (not always proper).  $\sum F^2$  is contained in every cone of  $F$  (smallest!).
- ⊙ Obvious.
- (Lemma 1.1.7.): Let  $P$  be a proper cone of  $F$ .
  - (i) If  $-a \notin P$  then  $P[a] = \{x + ay; x, y \in P\}$  is a proper cone of  $F$ .
  - (ii) There exists an ordering of  $F$  and its positive cone  $P'$  such that  $P \subset P'$ .
- ⊙ (i) Assume that  $-1 \in P[a]$ . There exists  $x, y \in P$  such that  $-1 = x + ay$ .  $(-a)y = x + 1$  holds.
  - When  $y = 0$ :  $-1 = x \in P$  holds, but this contradicts that  $P$  is proper and  $-1 \notin P$ .
  - When  $y \neq 0$ : There exists  $1/y \in F$  and  $1/y^2 \in P$  by the property of cones.

$$-a = \frac{x+1}{y} = \underbrace{y}_{\in P} \cdot \underbrace{\frac{1}{y^2}}_{\in P(\text{square})} \cdot \left( \underbrace{x}_{\in P} + \underbrace{1}_{\in P(\text{Square})} \right) \in P. \quad (15)$$

This contradicts the assumption.

Both case lead to contradiction, so  $-1 \in P[a]$  is false.  $-1 \notin P[a]$ .

⊙ (ii)

1.  $\mathbb{X}$ : Let

$$\mathbb{X} = \{Q' \subset F; P \subset Q', Q' \text{ is a proper cone}\}. \quad (16)$$

- $Q$ :  $\mathbb{X}$  is not empty because  $P \in \mathbb{X}$ . For a chain of  $\mathbb{X}$ , its union is an upper bound of it. We can apply the Zorn's lemma now, and we obtain a maximal element of  $\mathbb{X}$ . We name it  $Q$ ,  $Q$  is a maximal element of  $\mathbb{X}$ .
- $Q \cup -Q = F$ ?
  - $a$ : Let  $a \in F - Q$ .
  - By (i),  $Q[-a]$  is a proper cone.
  - $Q$  is maximal (by 2), and  $Q[-a]$  is a proper cone containing  $Q$  (by b). Hence  $Q = Q[-a]$ .
  - Hence  $-a \in Q$ .
  - (End of a):  $Q \cup -Q = F$ .
- $Q$  is proper (by 2) and  $Q \cup -Q = F$  (by 3) imply (by Prop. 1.1.5.) the existence of an ordering  $\leq$  of  $F$ . And  $Q$  is positive in the ordering (by Prop. 1.1.5.).

- (Theorem 1.1.8): Let  $F$  be a field. The following properties are equivalent:
  - (i)  $F$  can be ordered.
  - (ii) The field  $F$  has a proper cone.
  - (iii)  $-1 \notin \sum F^2$ .
  - (iv) For every  $x_1, \dots, x_n \in F$ ,

$$\sum_{i=1}^n x_i^2 = 0 \implies x_1 = \dots = x_n = 0. \quad (17)$$

⊙

- (i  $\Rightarrow$  ii): By Prop. 1.1.5., the positive cone of  $F$  is proper. So the positive cone satisfies the requirement.
- (ii  $\Rightarrow$  iii):
  1. Let the proper cone  $P$ .
  2. By (Small prop.),  $\sum F^2$  is the smallest cone, so  $\sum F^2 \subset P$ .
  3. Hence

$$-1 \in F - P \subset F - (\sum F^2). \quad (18)$$

So

$$-1 \notin \sum F^2. \quad (19)$$

- (iii  $\Rightarrow$  iv):
  1. We prove the contraposition. Assume  $\sum_i x_i^2 = 0$  and  $x_1 \neq 0$ .
  2.  $-x_1^2 = \sum_{i=2}^n x_i^2$ .
  3. Deviding both side by  $x_1^2$  (by a, we can divide by  $x_1 \neq 0$ .)

$$-1 = \underbrace{\frac{1}{x_1^2}}_{\in \sum F^2} \underbrace{\sum_{i=2}^n x_i^2}_{\in \sum F^2 \text{ Cone!}} \in \sum F^2. \quad (20)$$

- (iv  $\Rightarrow$  iii):
  1. We prove the contraposition. Assume  $-1 \in \sum F^2$ .
  2. There exists  $a_1, \dots, a_n \in F$  such that  $-1 = \sum_{i=1}^n a_i^2$  (by 1).
  3. Hence  $\sum_{i=1}^n a_i^2 + 1^2 = 0$ .

- (Definition 1.1.9.): A field satisfying (Proposition 1.1.8.) is called real.
- (Small prop.): A real field has characteristic 0. ⊙ Assume the characteristic is finite  $n$ .  $\sum_{i=1}^n 1^2 = 0$ . This contradicts to (Proposition 1.1.8)'s (iv).
- (Proposition 1.1.10.):
  - $F$ : a field such that  $\mathbb{Q} \subset F$  (characteristic 0)
  - $P$ : a cone of  $F$

Then

$$P = \bigcap \underbrace{\{Q; [\leq \text{ is an ordering of } F] \wedge [P \subset Q] \wedge [Q \text{ is a positive cone of } \leq]\}}_{:=\mathfrak{X}}. \quad (21)$$

⊙⊂ is obvious. We prove  $\supset$ .

1.  $a$ : Let  $a \in F - P$ .
2.  $P$  is proper?
  - (a) Assume  $-1 \in P$ . (Proof by contradiction)

(b)

$$a = \underbrace{\frac{1}{4}}_{\in \sum F^2} \underbrace{[(1+a)^2]}_{\in \sum F^2} \underbrace{-}_{-1 \in P} \underbrace{(1-a)^2}_{\in \sum F^2} \in \sum F^2 \overset{\boxed{\text{SoS is smallest}}}{\subset} P. \quad (22)$$

(the assumption  $\mathbb{Q} \subset F$  supports the existence of  $1/4$ )

(c) This contradicts to 1.

3.  $a \notin P$  (by 1), the properness of  $P$  (by 2) and (Lemma 1.1.7.) show that  $P[-a]$  is proper.
4. By (Lemma 1.1.7), there exists an order  $\leq$  and its positive cone  $Q$  such that  $P[-a] \subset Q$  (because  $P[-a]$  is proper by 3).
5.  $a \notin Q$ ?
  - (a) Assume  $a \in Q$ . (proof by contradiction)
  - (b)  $-a \in Q$  because  $-a \in P[-a] \subset Q$  (by 4).
  - (c)  $-a^2 \in Q$  because  $Q$  is a cone (by 4), 1 and 2.
  - (d)  $a \neq 0$  because  $a \notin P$ ,  $P$  is a cone (cones always contain zero).
  - (e)  $1/a^2$  is valid and  $1/a^2 \in Q$  because  $Q$  is a cone.
  - (f) (c) and (e) say

$$-1 = \underbrace{-a^2}_{\in Q} \cdot \underbrace{(1/a^2)}_{\in Q} \in Q. \quad (23)$$

(g) This contradicts to the properness of  $Q$  ((Prop. 1.1.5) says the positive cone is proper. )

6.  $P \subset P[-a] \subset Q$ .
7. 4 and 6 says  $Q \in \mathbb{X}$ .
8. This shows

$$a \in F - Q \subset F - (\bigcap \mathbb{X}). \quad (24)$$

9. (End of 1):

$$F - P \subset F - (\bigcap \mathbb{X}). \quad (25)$$

This means

$$\bigcap \mathbb{X} \subset P. \quad (26)$$

- (Corollary 1.1.11.): Let  $F$  be a field containing  $\mathbb{Q}$ . Then

$$\sum F^2 = \bigcap \{Q; [\leq \text{ is an ordering of } F] \wedge [Q \text{ is a positive cone of } \leq]\} \quad (27)$$

⊙ Use (Prop. 1.1.10.) to  $\sum F^2$ .

## 1.2 Real Closed Fields

- (Fact): 体  $F$  と、 $F$  係数既約多項式  $f \in F[X]$  について、 $F/(f)$  は体になる。
- (代数拡大): 体  $F'$  が  $F$  の代数拡大体であるとは、 $F'$  のすべての元が<sup>\*5</sup>、 $F$  係数多項式の根になっていること。  
\*6
- (代数拡大って具体的には？): 次の命題がある。

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\*5 戯言: 体  $F$  に、 $F$  係数既約多項式  $f$  の根を追加して体にすることができる。これは、「 $F$  にシンボル  $X$  を追加して、その  $X$  が  $f(X) = 0$  となる」という規則を追加することに外ならないので、 $F[X]/(f)$  は  $F$  の代数拡大となる。(ただし、拡大したつもりでできていないことはありえる。)

- (雪江 3.1.23):  $K$  を体、 $f$  を  $K$  上既約で  $\deg f = n$  とする。このとき、次の 3 つが成り立つ。
  - (1)  $L = K[x]/(f)$  は体で、 $[L : K] = n$  である。
  - (2)  $\alpha = x + (f)$  とおくと、 $f(\alpha) = 0$
  - (3)  $L$  の  $K$  上の基底として  $B = \{1, \dots, \alpha^{n-1}\}$  をとれる。
- つまり、(1,2) 体について既約多項式を考えて、その根が含まれるような代数拡大体が存在する。(3) その基底は単項式たち。
- (Fact:代数的閉包): 体  $F$  について、その代数拡大体で、代数的閉体になっているものが存在し、しかも一意である。これを  $\overline{F}$  と書くことがある。[Yukie, Theorem 3.2.3, Corollary 3.2.4].
- (Gauss の対称式の定理): See [Cox].
- (Definition 1.2.1): real field  $F$  が real closed field である  $\iff F$  が非自明な real algebraic extension を持たない i.e.  $F$  の真の代数的拡張  $F_1 \supset F$  で、
  - $F_1$  が real field であり、
  - $F_1$  が algebraic extension である
 というようなものは存在しない。
- (Theorem 1.2.2.):
  - (i $\Rightarrow$ ii):
    1. (First half starts): Let  $a \in F$  and  $a$  is not a square in  $F$ .
    2.  $F[\sqrt{a}] = F[X]/(X^2 - a)$ . Hence  $X^2 - a$  is (by 1) irreducible,  $F[X]/(X^2 - a)$  is a nontrivial algebraic extension of  $F$ .
    3. (2), (Definition 1.2.1) and (Assumption i) imply  $F[\sqrt{a}]$  is not real.
    4. By (3) and (Theorem 1.1.8, iii),  $-1 \in F[\sqrt{a}]$ . So there exists  $x_i, y_i \in F$

$$-1 = \sum_{i=1}^n (x_i + \sqrt{a}y_i)^2. \quad (28)$$

5. Hence 1 and  $\sqrt{a}$  are linearly independent in vector space  $F[\sqrt{a}]$ <sup>\*7</sup>, picking the coefficients of 1,

$$-1 = \sum_{i=1}^n x_i^2 + a \left( \sum_{i=1}^n y_i^2 \right) \quad (29)$$

in  $F$ .

6. Since  $F$  is real and (Theorem 1.1.8, iii)

$$\underbrace{-1 - \sum_{i=1}^n x_i^2}_{\neq 0} = a \sum_{i=1}^n y_i^2. \quad (30)$$

So  $\sum_{i=1}^n y_i^2 \neq 0$ . (Strictly speaking, we need the fact  $F$  be an integral domain.)

7. We can divide by  $\sum_{i=1}^n y_i^2$ ,

$$-a = \frac{1 + \sum_{i=1}^n x_i^2}{\sum_{i=1}^n y_i^2} \in \sum F^2. \quad (31)$$

8. (End of 1): For all  $a \in F$ ,

- \* if  $a$  is a square  $\rightarrow a \in \sum F^2$ ,
- \* (by 1-7) if  $a$  is not a square  $\rightarrow a \in -\sum F^2$ .

Hence

$$a \in \sum F^2 \cup -\sum F^2. \quad (32)$$

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<sup>\*7</sup> Remember  $F[\sqrt{a}]$  is a quotient of  $F[X]$ .

9.

$$F = \sum F^2 \cup - \sum F^2. \quad (33)$$

10. By (Theorem 1.1.8),  $\sum F^2$  is a proper cone. In this situation, (Proposition 1.1.5) says  $\sum F^2$  generates an ordering of  $F$ . And  $\sum F^2$ .
11. Assume if another ordering exists. Let its positive cone  $P$ . By (Theorem 1.1.5)  $P \cup -P = F$ .  $\sum F^2$  is the smallest cone, so

$$F \stackrel{\square_9}{=} \sum F^2 \cup - \sum F^2 \subset P \cup -P = F. \quad (34)$$

So  $\sum F^2 \cup - \sum F^2 = P \cup -P$ . Asserting  $\sum F^2 \cap - \sum F^2 = \emptyset$  and  $P \cap -P = \emptyset$ ,  $\sum F^2 = P$ . This means the ordering of  $P$  and  $\sum F^2$  coincides.

12. (First half end): (10) and (11) says there exists unique ordering for  $F$  and its positive cone is  $\sum F^2$ .
13. (Last half starts) : Let  $f \in F[X]$  has odd degree. We want to prove  $f$  have a root in  $F$ , so we negate this proposition. Assume  $f$  have no roots in  $F$ . Let  $d = \deg f$ .
14. We can assume  $d > 1$  because if  $d = 1$  then obviously  $f$  have the root in  $F$ .
15. We can assume that polynomials whose degree is  $< d$  have a root in  $F$ . \*8
16. **(ODD)**  $f$  is irreducible.  $\odot$  Assume  $f$  is reducible and there exists decomposition  $f = gh$  ( $\deg g, \deg h > 0$ ). Then  $\deg g, \deg h < d$ .  $\deg g + \deg h = \deg f$  and  $\deg f$  is odd, so Either  $\deg g$  or  $\deg h$  is odd. Without loss of generality, we can assume  $\deg g$  is odd. So by (15)  $g$  have a root in  $F$ . So  $f$  have a root as the root of  $g$ . This contradicts to (13).
17.  $F[X]/(f)$  is a nontrivial extension of  $F$ . By (Assumption i),  $F[X]/(f)$  is not real. So  $-1 \bmod (f) \in \sum (F[X]/(f))^2$ .
18. There exists  $h_i \in F[X]$ ,  $\deg(h_i) < d$  and  $g \in F[X]$  such that

$$-1 = \sum_{i=1}^n h_i^2 + fg. \quad (35)$$

Pay attention to  $\deg(h_i) < d \iff \deg(h_i) \leq d-1$ . (the assumption  $\deg(h_i) < d$  is from the fact that the ring is a quotient of  $f$ .)

19. Calculate the degree of both sides of  $-1 - \sum_{i=1}^n h_i^2 = fg$ .

$$d + \deg(g) = \deg(f) + \deg(g) \quad (36)$$

$$= \deg(fg) \quad (37)$$

$$= \deg(-1 - \sum_{i=1}^n h_i^2) \quad (38)$$

$$\leq \max_i \deg(h_i^2) \quad (39)$$

$$= 2 \max_i \deg(h_i) \quad (40)$$

$$\leq 2(d-1) \quad (41)$$

$$= 2d-2. \quad (42)$$

20.  $\deg(g) \leq d-2$ .

21. Seeing the equation of (18),  $\deg(-1) = 0$ ,  $\deg(\sum_i h_i^2)$  is odd and  $\deg(f)$  is odd, so  $\deg(g)$  is odd.

22. By (19), (20) and (15),  $g$  has a root in  $F$ . Let the root  $x$ .

---

\*8 Strictly, this is proved by the well-ordering set.  $\{d; f \text{ has no roots}\}$  is not empty because the assumption. This have the smallest element. Take a polynomial that realize the smallest element.



23. Substitute  $x$  in the equation of (18).

$$-1 = \sum_{i=1}^n h_i^2(x) + f(x)g(x) \stackrel{\boxed{22}}{=} \sum_{i=1}^n h_i^2(x). \quad (43)$$

24. This means  $-1 \in \sum F^2$ . This contradicts to  $F$  be the real. (by (12),  $\sum F^2$  is a positive cone.)

– (ii  $\Rightarrow$  iii):

1. (First half starts): Let  $f \in F[X]$ . Set  $d = \deg f$ . We will prove that  $f$  have a root in  $F[i]$ .
2. Write  $d = 2^m n$  ( $n$  is odd).
3. Prove  $f$  has a root in  $F[i]$  by induction on  $m$ . The case of  $m = 0$  is obvious from the assumption. Assume that the case of  $m - 1$  holds.
4. Take  $y_1, \dots, y_d$  to be the roots of  $f$  in  $\overline{F}$ .
5. Define for all  $h \in \mathbb{Z}$  an element of  $F[X]$

$$g_h = \prod_{1 \leq \lambda < \mu \leq d} (X - y_\lambda - y_\mu - h y_\lambda y_\mu). \quad (44)$$

X6  $g_h$  is symmetry in  $y_1, \dots, y_d$ , so (by Gauss)  $g_h \in F[(y_1 + \dots + y_d), \dots, (y_1 \dots y_d)]$ .

6. The coefficients of  $g_h$  are symmetry in  $y_1, \dots, y_d$ , so (by Gauss) the coefficients of  $g_h$  are in  $F[(y_1 + \dots + y_d), \dots, (y_1 \dots y_d)]$ .
7.  $y_1, \dots, y_d$  are the roots of  $f \in F[X]$ , so  $(y_1 + \dots + y_d), \dots, (y_1 \dots y_d) \in F$ .
8. By (5) and (6),  $g_h \in F$ .
- 9.

$$\deg g_h = {}_d C_2 = \frac{d(d-1)}{2} = \frac{2^m n \cdot (2^m n - 1)}{2} = 2^{m-1} \underbrace{(2^m n - 1)}_{\text{odd}} n. \quad (45)$$

10. Assumption of induction says  $g_h$  have a root in  $F[i]$ .

11.

$$\forall h \in \mathbb{Z}: \exists 1 \leq \lambda_h < \mu_h \leq d: y_{\lambda_h} + y_{\mu_h} + h y_{\lambda_h} y_{\mu_h} \in F[i]. \quad (46)$$

12. The pairs of  $(\lambda_h, \mu_h)$  is finite, but  $h$  runs over  $\mathbb{Z}$ . By pigeonhole principle, there exist different integers  $h, h'$  such that  $(\lambda_h, \mu_h) = (\lambda_{h'}, \mu_{h'})$ . We call this pair  $(\lambda, \mu)$ .

$$y_\lambda + y_\mu + h y_\lambda y_\mu, \quad y_\lambda + y_\mu + h' y_\lambda y_\mu \in F[i]. \quad (47)$$

13.

$$y_\lambda + y_\mu \in F[i], \quad y_\lambda y_\mu \in F[i]. \quad (48)$$

14. 2nd degree equation with  $F[i]$  coefficients have their roots in  $F[i]$ ?

(a)  $x^2 = a + bi$  ( $a, b \in F$ ) have a root in  $F[i]$ ?

i. If  $b = 0$  and  $a \geq 0$  <sup>\*9</sup> then we can take the square root of  $a \in F_+ = \sum F^2$  (assumption ii).

We call the positive square root of  $a \in F_+$  as  $\sqrt{a}$ . If  $b = 0$  and  $a \leq 0$  then we can take the square root  $\sqrt{-a}i$ . So we can assume  $b \neq 0$ .

ii. Set

$$L = \sqrt{a^2 + b^2}, \quad p = \frac{L + (a + bi)}{2}, \quad M = \frac{\sqrt{(L + a)^2 + b^2}}{2}, \quad q = \frac{p}{M} \sqrt{L}. \quad (49)$$

$M \neq 0$  because  $b \neq 0$ .

---

<sup>\*9</sup> By assumption ii, we can determine if a number is positive or negative.

iii.  $q^2 = a + bi$  holds.  $\odot$

$$q^2 = \frac{4}{(L+a)^2 + b^2} \cdot \frac{(L+a+bi)^2}{4} \cdot L \quad (50)$$

$$= \frac{(L+a)^2 - b^2 + 2(L+a)bi}{L^2 + 2aL + a^2 + b^2} L \quad (51)$$

$$= \frac{L^2 + 2aL + a^2 - b^2 + 2(L+a)bi}{2L^2 + 2aL} L \quad (52)$$

$$= \frac{2a^2 + 2aL + 2(L+a)bi}{2L + 2a} \quad (53)$$

$$= a + bi. \quad (54)$$

(b)  $ax^2 + bx + c = 0$  ( $a, b, c \in F[i]$ ) have a root in  $F[i]$ .  $\odot$  If  $a = 0$  then obvious. If  $a \neq 0$ , we can make the completing square, so we can solve the equation by (a).

15.  $y_\lambda, y_\mu \in \bar{F}$  are the roots of  $X^2 - (y_\lambda + y_\mu)X + y_\lambda y_\mu$ . This polynomial have  $F[i]$  coefficients by (13). By (14), the roots are in  $F[i]$ , so  $y_\lambda, y_\mu \in F[i]$ .

16. (First half ends): Hence  $f$  has a root in  $F[i]$ .

17. (Last half starts): Let  $f \in F[i][X]$ .

18.  $f\bar{f} \in F[X]$  holds.  $\odot$  Write  $f$  as  $\sum_j (a_j + ib_j)x^j$ .

$$f\bar{f} = \left[ \sum_j (a_j + ib_j)x^j \right] \cdot \left[ \sum_k (a_k + ib_k)x^k \right] \quad (55)$$

$$= \left[ \sum_j (a_j + ib_j)(a_j - ib_j)x^{2j} \right] + \left[ \sum_{j>k} (a_j + ib_j)(a_k - ib_k)x^{j+k} \right] + \left[ \sum_{j<k} (a_j - ib_j)(a_k + ib_k)x^{j+k} \right] \quad (56)$$

$$= \sum_j (a_j^2 + b_j^2)x^{2j} + 2 \sum_{j>k} (a_j a_k + b_j b_k)x^{j+k} \quad (57)$$

$$\in F[X]. \quad (58)$$

19. By (1-16),  $f\bar{f}$  has a root  $x$  in  $F[i]$ . So  $x$  is a root of  $f$  or a root of  $\bar{f}$  <sup>\*10</sup>. If  $x$  is a root of  $f$ , we complete the proof. If  $x$  is a root of  $\bar{f}$ ,  $\bar{x}$  is a root of  $f$  (Take an allover conjugate).

– (iii  $\Rightarrow$  i):

1.  $F$  is real? (We will prove  $-1 \notin F$  and use Theorem 1.1.8)

(a) The solutions of  $X^2 = -1$  are only  $i, -i$ . <sup>\*11</sup>

(b)  $i, -i \notin F$ , so  $-1 \notin F^2$ .

(c)  $F^2 = \sum F^2$ ? ( $\subset$  is obvious. We will prove only  $\supset$ .)

i. It is sufficient to prove for all  $a, b \in F$  there exists  $x \in F$  such that  $a^2 + b^2 = x^2$ .

ii. Let  $c, d \in F$  as  $a + ib = (c + id)^2$ . Take  $c, d$  exists because  $F[i]$  is algebraically closed.

iii. We can take  $x$  as  $c^2 + d^2$ .  $\odot$

$$x^2 = (c^2 + d^2)^2 \quad (59)$$

$$= c^4 + 2c^2 d^2 + d^4 \quad (60)$$

$$= (c^2 - d^2)^2 + 4c^2 d^2 \quad (61)$$

$$\stackrel{\text{ii}}{=} a^2 + b^2. \quad (62)$$

(d) By (b) and (c),  $-1 \notin \sum F^2$ .

(e) By Theorem 1.1.8,  $F$  is real.

<sup>\*10</sup> Assume  $x$  is not a root of neither.  $f(x) \neq 0$  and  $\bar{f}(x) \neq 0$ . So  $f(x)\bar{f}(x) \neq 0$ . But this is a contradiction.

<sup>\*11</sup> "Since  $F[i]$  is a field." is nonsense to me.

2.  $F[i]$  is the only nontrivial algebraic extension because  $F[i]$  is (by assumption iii) algebraically closed. (If we intend to add a root  $x$  of  $f$  to  $F$ ,  $x \in F[i]$ .)
  3.  $-1 \in \sum (F[i])^2$  because  $i^2 = -1 \in F[i]$ .
  4.  $F[i]$  is not real.
  5. By (2) and (4), all the algebraic extensions of  $F$  are not real.
  6. By (1) and (5),  $F$  is real closed.
- (Theorem 1.2.2.): キモだけ。
    - (i $\Rightarrow$ ii):
      - \* 「hence,  $F[\sqrt{a}]$  is not real」: 真に拡張してしまっているの、 $\sqrt{a}$  が「real field である」という方がおかしいということになる。
      - \* 「only one possible ordering」:
        - $\sum F^2$  について、 $F$  は real なので、 $-1 \notin \sum F^2$  となり、 $\sum F^2$  は proper cone になっている。よって、Proposition 1.1.5. より、proper cone によって ordering が定まってしまう。
      - \* 「it remains to show that, if  $f \in F[X]$  has...」: 奇数次を持つ  $f \in F[X]$  が  $F$  に根を持たなかったとする。deg  $f = 1$  だと根を持つにきまっているから deg  $f > 1$  としてよい。さらに、 $d = \deg f$  として、 $d$  より小さい奇数次までは根を持っていたとしてもよい。すると、 $f$  は既約であるということになる。なぜなら、仮に分解できたら、奇数次を分解するのだから分解した因子のほうに  $d$  次より小さい奇数次の多項式が出てきて、それが仮定より根を持つからである。
      - \* 「The polynomial  $g_h$  is symmetric in ...」: Fact として、対称多項式は、その係数の基本対称式の和と積 (つまり多項式) として書くことができる。さらに、 $y_1, \dots, y_d$  を根に持つ多項式が  $f$  であり、 $f$  は  $F$  係数だったのだから、根と係数の関係から  $y_1, \dots, y_d$  の基本対称式は  $\in F$  であり、したがって  $g_h \in F[X]$  である。
      - \* (range over  $\mathbb{Z}$ ): ハトノスを使う。
      - \* (The field  $F$  is real...): なぜか順序が逆に書いてあるので、 $a^2 + b^2 = (c^2 + d^2)^2$  まで読めばできる。 $c, d$  は、代数的閉体と仮定したので存在する。
      - \* (To conclude...):  $F$  の代数的拡張は、 $F[X]/(f)$  だが、 $F[i]$  は代数的閉体という仮定から、 $f$  が既約ならそれは 2 次以下であることがわかる (共役を根に持つから)。(cf.  $\mathbb{C}$  の 2 次拡大はない。)
    - (Example 1.2.3):
      - ( $\mathbb{R}$ ):  $\mathbb{C} = \mathbb{R}[i]$ , and  $\mathbb{C}$  is algebraically closed. Use (iii).
      - ( $\mathbb{R}_{\text{alg}}$ ):
        - \* (Field): Let  $a, b \in \mathbb{R}_{\text{alg}}$ .  $\mathbb{Q} \subset \mathbb{Q}[a, b] \subset \mathbb{R}_{\text{alg}}$  and  $\mathbb{Q}[a, b]$  is an algebraic extension of  $\mathbb{Q}$ . So  $a + b \in \mathbb{Q}[a, b] \subset \mathbb{R}_{\text{alg}}$  and  $ab \in \mathbb{Q}[a, b]$ . If  $a \neq 0$  then  $a^{-1} \in \mathbb{Q}[a] \subset \mathbb{R}_{\text{alg}}$ .
        - \* (point):  $\mathbb{R}_{\text{alg}}$ -coefficient polynomial's roots are in  $\mathbb{R}_{\text{alg}}$ .  $\odot x$  is a root of  $a_n x^n + \dots + a_0 = 0$  ( $a_i \in \mathbb{R}_{\text{alg}}$ ).

$$a_0, \dots, a_n \in \mathbb{Q}[a_0, \dots, a_n]. \quad (63)$$

Because  $a_0, \dots, a_n$  are algebraic over  $\mathbb{Q}$ ,  $\mathbb{Q}(a_0, \dots, a_n)$  is an algebraic extension of  $\mathbb{Q}$ . So  $[\mathbb{Q}(a_0, \dots, a_n) : \mathbb{Q}] < \infty$ .  $\mathbb{Q}(a_0, \dots, a_n)(x)$  is an algebraic extension of  $\mathbb{Q}(a_0, \dots, a_n)$ . So  $[\mathbb{Q}(a_0, \dots, a_n, x) : \mathbb{Q}(a_0, \dots, a_n)] < \infty$ . By a fact,

$$[\mathbb{Q}(a_0, \dots, a_n, x) : \mathbb{Q}] = [\mathbb{Q}(a_0, \dots, a_n, x) : \mathbb{Q}(a_0, \dots, a_n)][\mathbb{Q}(a_0, \dots, a_n) : \mathbb{Q}] < \infty. \quad (64)$$

So  $\mathbb{Q}(a_0, \dots, a_n, x)$  is an algebraic extension of  $\mathbb{Q}$  (think of  $1, x, x^2, \dots$ . We have a linearly dependent.). So  $x$  is algebraic over  $\mathbb{Q}$ , then  $x \in \mathbb{R}_{\text{alg}}$ .

- \* (unique ordering): We will prove  $\sum (\mathbb{R}_{\text{alg}})^2 = \mathbb{R}_{\text{alg} \geq 0}$ . If  $a \in \mathbb{R}_{\text{alg}}$  and  $a \geq 0$  then  $\sqrt{a} \in \mathbb{R}$ . Because  $a$  is a root of  $\mathbb{R}_{\text{alg}}$ -coefficient  $X^2 - a$ . So  $\sqrt{a} \in \mathbb{Q}[\sqrt{a}] \subset \mathbb{R}_{\text{alg}}$ . We have  $\sum (\mathbb{R}_{\text{alg}})^2 \cup -\sum (\mathbb{R}_{\text{alg}})^2 = \mathbb{R}_{\text{alg} \geq 0} \cup \mathbb{R}_{\text{alg} \leq 0} = \mathbb{R}_{\text{alg}}$ . So induced ordering by  $\mathbb{R}$  is the unique ordering of  $\mathbb{R}_{\text{alg}}$ .

- \* (odd polynomial):  $f = a_n x^n + \dots + a_0$  is odd degree ( $a_i \in \mathbb{R}_{\text{alg}}$ ).  $f$  have a root in  $\mathbb{R}$ . By (point), the root in  $\mathbb{R}_{\text{alg}}$ .
- \* (real closed): Use (ii).
- (Puisseux series with real coefficients):  $\mathbb{R}(X)^\wedge$  is a set of formal series:

$$\mathbb{R}(X)^\wedge = \left\{ \sum_{i=k}^{\infty} a_i X^{i/q}; k \in \mathbb{Z}, q \in \mathbb{N} - \{0\}, a_i \in \mathbb{R} \right\}. \quad (65)$$

$\mathbb{C}(X)^\wedge$  is similiar.  $\mathbb{R}(X)^\wedge$  is real closed.  $\odot$  It is known that  $\mathbb{C}(X)^\wedge$  is algebraically closed.  $\mathbb{C}(X)^\wedge = \mathbb{R}(X)^\wedge[i]$  because

$$\sum_{i=k}^{\infty} (a_i + \sqrt{-1}b_i) X^{i/q} = \sum_{i=k}^{\infty} a_i X^{i/q} + \sqrt{-1} \sum_{i=k}^{\infty} b_i X^{i/q}. \quad (66)$$

- A positive element of  $\mathbb{R}(X)^\wedge$  is a Puiseux series of the form  $\sum_{i=k}^{\infty} a_i x^{i/q}$  with  $a_k > 0$ .  $\odot$  We need to prove that it is square. Think of a square of an element of  $\mathbb{R}(X)^\wedge$ .

$$\left( \sum_{i=k}^{\infty} b_i X^{i/2q} \right)^2 = \left( \sum_{i=k}^{\infty} b_i X^{i/2q} \right) \left( \sum_{i=k}^{\infty} b_i X^{i/2q} \right) \quad (67)$$

$$= \sum_{d=2k}^{\infty} \sum_{i=0}^{d-2k} b_{k+i} b_{(d-2k)-i} X^{d/2q} \quad (68)$$

$$= b_k^2 X^{2k/2q} + (b_k b_{k+1} + b_{k+1} b_k) X^{(2k+1)/2q} + \dots \quad (69)$$

So we can set  $b_k = \sqrt{a_k}$  and  $b_{k+1}, \dots$  recursively. If  $a_k < 0$ , we cannot make such a process.

- We use the same interval symbols  $[a, b], ]a, b[$ .
- (Proposition 1.2.4):
  - $\mathbb{R}$ : real closed field
  - $f \in R[X]$
  - $a, b \in R$ :  $a < b$
  - $f(a)f(b) < 0$

then there exists  $x \in ]a, b[$  such that  $f(x) = 0$ .

$\odot$

1. By (iii) of the (Theorem 1.2.2), the irreducible factors of  $f$  are linear or have the form of  $(X - (c + di))(X - (c - di)) = (X - c)^2 + d^2$  for  $c, d \in R$ .
  2. The latters don't yield opposite sign.
  3. There exists a linear factor of  $f$  who has opposite sign at  $a$  and  $b$ . Name it  $g(X) = X - x$ . Now,  $x$  is a root of  $f$ .  $g(a)g(b) < 0$ .
  4.  $g$  is strictly increasing, so  $g(a) < 0$  and  $g(b) > 0$ . So  $g(a) < g(x) = 0 < g(b)$ . By increasingness,  $a < x < b$ .
- (Proposition 1.2.5):
    - $R$ : real closed field
    - $f \in R[X]$
    - $a, b \in R$ :  $a < b$ ,  $f(a) = f(b) = 0$

then  $f'$  has a root in  $]a, b[$ .

$\odot$

1. We can suppose that  $a$  and  $b$  are two consecutive roots of  $f$ , i.e.  $f$  never vanishes in  $]a, b[$ . (We can replace nearer roots if they are not consecutive.)
2. Factorize  $f$  as

$$f = (X - a)^m (X - b)^n g \quad (70)$$

where  $g$  never vanishes in  $]a, b[$ .

3. Differentiate  $f$  (algebraic derivative)

$$f' = m(X-a)^{m-1}(X-b)^n g + (X-a)^m n(X-b)^{n-1} g + (X-a)^m (X-b)^n g' \quad (71)$$

$$= (X-a)^{m-1}(X-b)^{n-1} \underbrace{[m(X-b)g + n(X-a)g + (X-a)(X-b)g']}_{:=g_1}. \quad (72)$$

4.  $g(a)$  and  $g(b)$  have the same signs because (2) and the contraposition of (Proposition 1.2.4).

5.  $g_1(a) = m(a-b)g(a)$  and  $g_1(b) = n(b-a)g(b)$ , hence  $g_1(a)$  and  $g_1(b)$  have opposite signs.

6. By (Proposition 1.2.4),  $g_1$  has a root in  $]a, b[$  and so does  $f'$ .

• (Corollary 1.2.6):

- $R$ : real closed field
- $f \in R[X]$
- $a, b \in R$ :  $a < b$

then there exists  $c \in ]a, b[$  such that  $f(b) - f(a) = (b-a)f'(c)$ .  $\odot$

1. Let  $g(x) = f(x) - [\frac{f(b)-f(a)}{b-a}(x-a) + f(a)]$ .
2.  $g(a) = 0$  obviously holds.

$$g(b) = f(b) - [\frac{f(b)-f(a)}{b-a}(b-a) + f(a)] = 0. \quad (73)$$

3. Apply (Proposition 1.2.6) to  $g$ .

• (Corollary 1.2.7):

- $R$ : real closed field
- $f \in R[X]$
- $a, b \in R$ :  $a < b$
- $f'$  is positive (resp. negative) on  $]a, b[$

then  $f$  is strictly increasing (resp. strictly decreasing) on  $[a, b]$ .

$\odot$  Obvious from (Corollary 1.2.6).

• (Definition 1.2.8):

- $R$ : real closed field
- $f, g \in R[X]$

The Sturm sequence of  $f$  and  $g$  is the sequence of polynomials  $(f_0, \dots, f_k)$  define as follows

- $f_0 = f$
- $f_1 = f'g$
- $f_2 = f_1 q_2 - f_0$  with  $q_2 \in R[X]$  and  $\deg(f_2) < \deg(f_1)$ .
- $f_i = f_{i-1} q_i - f_{i-2}$  with  $q_i \in R[X]$  and  $\deg(f_i) < \deg(f_{i-1})$
- $f_k$  is a GCD of  $f$  and  $f'g$ . <sup>\*12</sup>

$\odot$  The Sturm sequence is determined by  $f$  and  $g$  because for  $i \geq 2$ ,  $f_i$  is determined by division algorithm.

The stop of the sequence is Euclid algorithm. ( $\text{GCD}(f_0, f_1) = \text{GCD}(f_1, f_2) = \dots$ )

• (Definition, sign change): Define for an sequence  $(a_0, \dots, a_k)$  where  $a_0 \neq 0$ . count one sign change  $a_i a_l < 0$

- with  $l = i + 1$
- $l < i + 1$  and  $a_j = 0$  for every  $j(i < j < l)$ .

i.e. the number of successive subsequence such that  $ab < 0$  and

- $(a, b)$
- $(a, 0, b)$
- $(a, 0, 0, b)$

---

<sup>\*12</sup> GCD has an ambiguity of unit.

–  $\vdots$

I write the sign change of a sequence  $(a_0, \dots, a_k)$  as  $\text{SC}(a_0, \dots, a_k)$  on my own.

- (Definition,  $v(f, g; a)$ ):
  - $f, g \in R[X]$
  - $a \in R$ :  $a$  is not a root of  $f$  (To satisfy the hypothesis of the definition of sign change.)
  - $(f_0, \dots, f_k)$ : the Strum sequence of  $f$  and  $g$
- (Theorem 1.2.9, Sylvester's Theorem):
  - $R$ : real closed field
  - $f, g \in R[X]$
  - $a, b \in R$ :  $a < b$ , neither  $a$  nor  $b$  are roots of  $f$

then

$$\# \{x \in ]a, b[; f(x) = 0 \wedge g(x) > 0\} - \# \{x \in ]a, b[; f(x) = 0 \wedge g(x) < 0\} = v(f, g; a) - v(f, g; b). \quad (74)$$

(We don't care of multiplicity.)

- 1. Define  $(g_\bullet)$  as

$$(g_0, \dots, g_k) := (f_0/f_k, \dots, f_k/f_k). \quad (75)$$

2. Let  $x$  is not a root of  $f$ . Because  $f_k \nmid f$ ,  $x$  is not a root of  $f_k$ . So division by  $f_k(x)$  is reasonable and a sequence  $(g_0(x), \dots, g_k(x))$  makes sense.
3. The signs of  $(f_0(x), \dots, f_k(x))$  and  $(g_0(x), \dots, g_k(x))$  coincide for each  $x \in R$ .  
(Book: This implies for all  $x \in R \setminus \{\text{roots of } f\}$ )\*<sup>13</sup>

$$\text{SC}(f_0(x), f_1(x)) = \text{SC}(g_0(x), g_1(x)), \quad \text{SC}(f_{i-1}(x), f_i(x), f_{i+1}(x)) = \text{SC}(g_{i-1}(x), g_i(x), g_{i+1}(x)). \quad (76)$$

)

4.

$$\{\text{roots of } g_0\} = \{\text{roots of } f\} \setminus \{\text{roots of } g\} \quad (77)$$

?

(a) Calculate  $g_0$ .

(b) Assume

$$f = (x - a_1)^{A_1} \dots (x - a_l)^{A_l} (x - b_1)^{B_1} \dots (x - b_m)^{B_m} F(x) \quad (78)$$

$$g = (x - b_1)^{C_1} \dots (x - b_m)^{C_m} (x - c_1)^{D_1} \dots (x - c_n)^{D_n} G(x) \quad (79)$$

where  $a_\bullet, b_\bullet, c_\bullet$  are different and  $F, G$  don't have root in  $R$ .  $A_\bullet, B_\bullet, C_\bullet, D_\bullet \geq 1$ . ( $b_\bullet$  are the common roots of  $f$  and  $g$ ,  $a_\bullet$  are the roots only of  $f$ ,  $c_\bullet$  are the roots only of  $g$ .)

(c) There exists  $F_1(x) \in R[x]$  such that

$$f' = (x - a_1)^{A_1-1} \dots (x - a_l)^{A_l-1} (x - b_1)^{B_1-1} (x - b_m)^{B_m-1} F_1(x). \quad (80)$$

where  $F_1$  doesn't disappear at  $a_\bullet, b_\bullet$  (Calculate!).

(d)

$$f'g = (x - a_1)^{A_1-1} \dots (x - a_l)^{A_l-1} (x - b_1)^{B_1+C_1-1} (x - b_m)^{B_m+C_m-1} F_1(x)G(x). \quad (81)$$

---

\*<sup>13</sup> the exclusion of roots is needed for the definition of  $\text{SC}(f_0(x), f_1(x)) = \text{SC}(f(x), f'g(x))$ .

(e)

$$\begin{aligned} \text{GCD}(f, f'g) &= (x - a_1)^{A_1-1} \dots (x - a_l)^{A_l-1} (x - b_1)^{B_1} \dots (x - b_m)^{B_m} \\ &\times \text{GCD} \left( \underbrace{(x - a_1) \dots (x - a_l) F_1(x)}_{H_1(x) :=}, \underbrace{(x - b_1)^{C_1-1} \dots (x - b_m)^{C_m-1} F_1(x) G(x)}_{H_2(x) :=} \right). \end{aligned} \quad (82)$$

$$\underbrace{\hspace{15em}}_{H(x) :=} \quad (83)$$

(by (b),  $C_\bullet - 1 \geq 0$ )

(f) By the definition of GCD ( $H|H_1$  and  $H|H_2$ ), the roots of  $H$  is a root of  $H_1$  and  $H_2$ .

(g) If  $\xi$  is not a root of  $H_1$  or not a root of  $H_2$  then  $\xi$  is not a root of  $H$ .

(h) By (c),  $b_\bullet$  are not roots of  $H_1$ .

(i) By (b) and (c),  $a_\bullet$  are not roots of  $H_2$ .

(j) (g,h,i) implies  $a_\bullet, b_\bullet$  are not roots of  $H$ .

(k) By (e, j),

$$\frac{f}{\text{GCD}(f, f'g)} = (x - a_1) \dots (x - a_l) \underbrace{\frac{F(x)}{H(x)}}_{\in R[x]}. \quad (84)$$

because  $f/\text{GCD}(f, f'g) \in R[x]$ . By (b),  $\frac{F}{H}$  have no root at  $a_\bullet$ .

(l) By definition of  $g_0$ ,  $g_0 = \pm f/\text{GCD}(f, f'g)$ .  $a_\bullet$  were the roots of  $f$  which are not roots of  $g$ .

5.  $i \in \{0, \dots, k\}$ ,  $g_{i-1} \perp g_i \odot$  Because  $f_k = \pm \text{GCD}(f, f'g)$ ,  $\text{GCD}(g_0, g_1) = 1$ . Next  $f_i = f_{i-1}g_i - f_{i-2}$ , so  $(f_{i-1}, f_{i-2}) = (f_{i-1}, f_{i-1}g_i - f_i) = (f_{i-1}, f_i) = (1)$ .

6. Let  $c$  be a polynomial  $g_i$ .

(a) When  $g_i = g_0$ .  $c$  is a root of  $g_0$ . (Pay attention to Proposition 1.2.4 intermediate-value theorem from here!)

i. By (5),  $c$  is not a root of  $g_1$ . (the sign change happens immediately!)

ii. By (4),  $f(c) = 0$  and  $g(c) \neq 0$ .

iii. We define the sign of  $f'(c_-)$  as the sign of  $f'$  immediately to the left of  $c$ . We can take "immediate left" because the roots of  $f'$  are finite and intermediate-value theorem. We define  $f'(c_+)$  similarly.

iv.  $f'(c_-) \neq 0$  and  $f'(c_+) \neq 0$ .  $\odot$  Assume  $f'(c_-) = 0$ . We have infinitely many "immediate left" points, so  $f'$  vanishes at infinitely many points. Polynomial  $f' \equiv 0$ .  $f(c) = 0$  (ii) and  $f' \equiv 0$  imply  $f \equiv 0$ . This contradicts to "neither  $a$  nor  $b$  are roots of  $f$ ".

v. By (ii,iv), we have eight cases:

$$(g(c), f'(c_-), f'(c_+)) = (+++), (++-), (+-+), (+--), (-++), (-+-), (- - +), (- - -). \quad (85)$$

vi. In every case as  $x$  passes through  $c$  <sup>\*14</sup>, the number of sign changes in  $(f_0(x), f_1(x))$

–  $g(c) > 0 \implies$  decreases by 1

–  $g(c) < 0 \implies$  increases by 1

(We don't have to think of the case of  $g(c) = 0$  because ii)

(b) i. When  $i = 1, \dots, k$ .

ii.  $g_i(c) = 0$

iii. By (5),  $g_{i-1} \perp g_i$  and  $g_i \perp g_{i+1}$ . This means  $g_{i-1}(c) \neq 0$  and  $g_{i+1}(c) \neq 0$ .

---

<sup>\*14</sup> Immediate left  $c_-$  and right  $c_+$

iv.  $g_{i-1}(c)g_{i+1}(c) < 0$ . ☺By definition of a sequence,  $g_{i+1} = g_i q_{i+1} - g_{i-1}$

$$g_{i+1}(c) = g_i(c)q_{i+1}(c) - g_{i-1}(c) \stackrel{\boxed{\text{ii}}}{=} -g_{i-1}(c). \quad (86)$$

v. The signs of  $(f_{i-1}(x), f_i(x), f_{i+1}(x))$  is  $(++-), (-++), (+--), (-++)$ .

vi. The number of sign changes in  $(f_{i-1}(x), f_i(x), f_{i+1}(x))$  does not change passing  $c$ .

7. By intermediate-value theorem and (6), the sign changes in intervals made by roots of  $g_\bullet$ . We can chase the sign changes only by watching roots of  $g_\bullet$ , and the way the change happens is (a) or (b) (may happen simultaneously).

8.

$$\#\{x \in ]a, b[; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in ]a, b[; f(x) = 0 \wedge g(x) < 0\} = v(f, g; a) - v(f, g; b). \quad (87)$$

• (Example of sign change):

$$(+-+--+-, 6) \rightarrow (- - + - + -, 5) \quad (88)$$

$$\rightarrow (- + + - + -, 5) \quad (89)$$

$$\rightarrow (+ + + - + -, 4). \quad (90)$$

• (TODO): Why "real closed"?

• (Corollary 1.2.10, Strum's Theorem):

–  $R$ : real closed field

–  $f \in R[X]$

–  $a, b \in R$ :  $a < b$ ,  $f(a) \neq 0$ ,  $f(b) \neq 0$

then

$$\#\{\text{roots of } f\} = v(f, 1; a) - v(f, 1; b). \quad (91)$$

☺Apply 1.2.9 with  $g = 1$ .

• (Lemma 1.2.11):

–  $R$ : real closed field

–  $f = a_n X^n + \dots + a_0 \in R[X]$ ,  $a_n \neq 0$

–  $M = 1 + |a_{n-1}/a_n| + \dots + |a_0/a_n|$

then

–  $f$  never vanishes on  $[M, +\infty[$  and its sign is the sign of  $a_n$ .

–  $f$  never vanishes on  $] -\infty, -M]$  and its sign is the sign of  $(-1)^n a_n$ .

• ☺We prove the first one.

1. Let  $x \in R$ ,  $|x| \geq M$ . (Aim:  $f(x) \neq 0$  and  $\text{sign} f(x) = \text{sign} a_n$ )

2. Triangle ineq. holds.

$$\left| \frac{a_{n-1}}{a_n} x^{-1} + \dots + \frac{a_0}{a_n} x^{-n} \right| \stackrel{\boxed{M > 1}}{\leq} (|b_{n-1}| + \dots + |b_0|) M^{-1} < 1. \quad (92)$$

3.

$$-1 < \frac{a_{n-1}}{a_n} x^{-1} + \dots + \frac{a_0}{a_n} x^{-n} < 1. \quad (93)$$

4.

$$0 < 1 + \frac{a_{n-1}}{a_n} x^{-1} + \dots + \frac{a_0}{a_n} x^{-n}. \quad (94)$$

5.

$$f(x) = a_n x^n \underbrace{\left( 1 + \frac{a_{n-1}}{a_n} x^{-1} + \dots + \frac{a_0}{a_n} x^{-n} \right)}_{>0}. \quad (95)$$



- (Corollary 1.2.12):
  - $R$ : real closed field
  - $f, g \in R[X]$
  - $(f_0, \dots, f_k)$ : the Strum sequence of  $f$  and  $g$
  - $v(f, g; +\infty) = \text{SC}(\text{LC}f_0, \dots, \text{LC}f_k)$
  - $v(f, g; -\infty) = \text{SC}(\text{LC}f_0(-X), \dots, \text{LC}f_k(-X))$

then

$$\#\{x \in R; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in R; f(x) = 0 \wedge g(x) < 0\} = v(f, g; -\infty) - v(f, g; +\infty). \quad (96)$$

- ☺
  1. Let  $M$  is larger than all the roots of  $f_0, \dots, f_k$  are in  $] -M, M[$ . (This is possible because the roots are finite.)
  2. By 1.2.11 (the latter),

$$v(f, g, +\infty) = \text{SC}(\text{LC}f_0, \dots, \text{LC}f_k) \stackrel{1.2.11}{=} \text{SC}(f_0(M), \dots, f_k(M)) = v(f, g, M), \quad (97)$$

$$v(f, g, -\infty) = \text{SC}(\text{LC}f_0(-X), \dots, \text{LC}f_k(-X)) = \text{SC}((-1)^{\deg f_0} \text{LC}f_0, \dots, (-1)^{\deg f_k} \text{LC}f_k) \stackrel{1.2.11}{=} v(f, g, -M). \quad (98)$$

3. By 1.2.9,

$$\#\{x \in R; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in R; f(x) = 0 \wedge g(x) < 0\} = \#\{x \in ] -M, M[; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in ] -M, M[; f(x) = 0 \wedge g(x) < 0\} \quad (99)$$

$$= v(f, g; -M) - v(f, g; M) \quad (100)$$

$$= v(f, g; -\infty) - v(f, g; +\infty). \quad (101)$$

- (Remark 1.2.13):
  - $f \in R[X]$ : monic, square free, degree  $n$  <sup>\*15</sup>

then  $f$  has  $n$  roots  $\iff$  the Strum sequence of  $f$  and 1 have  $n+1$  length  $((\underbrace{f_0}_{=f}, \underbrace{f_1}_{=1 \cdot f' = f'}, \dots, f_n))$  and leading coefficients of  $f_0, \dots, f_n$  are positive.

  - ☺ $\Rightarrow$ : By 1.2.12,  $v(f, 1; -\infty) - v(f, 1; +\infty) = n$ . Because  $\deg f = n$ , the length of the Strum sequence is  $\leq n+1$ . So  $0 \leq v(f, 1; -\infty) \leq n$  and  $0 \leq v(f, 1; +\infty) \leq n$ .  $v(f, 1; -\infty)$  must be  $n$  and  $v(f, 1; +\infty)$  must be 0. The signs of  $(f_0(+\infty), \dots, f_n(+\infty))$  <sup>\*16</sup> are  $(++ \dots +)$  because  $f$  is monic.
  - $\Leftarrow$ : By the definition of Strum sequences,  $\deg f_i = n-i$ . The signs of  $(f_0(+\infty), \dots, f_n(+\infty)) = (++ \dots +)$ . These two imply  $(f_0(-\infty), \dots, f_n(-\infty)) = (\dots \pm \mp)$ .
  - (Proposition 1.2.14, Descartes's Lemma):
    - $R$ : real closed field
    - $f = a_n X^n + \dots + a_k X^k \in R[X]$  with  $a_n a_k \neq 0$

then

$$\#\{x \in ]0, +\infty[; f(x) = 0\} \leq \text{SC}(a_n, \dots, a_k). \quad (102)$$

- ☺
  1. Think of  $n = 1$ .
    - (a)  $f$  has the form of  $f = a_1 X + a_0$  or  $f = a_1 X$ .
    - (b) If  $f = a_1 + a_0$ ,

---

<sup>\*15</sup>  $f \perp f'$ , or have no multiple roots

<sup>\*16</sup> leading coefficients

- $a_1 > 0$  and  $a_0 < 0$ :  $f$  has one positive root. SC is 1.
- $a_1 > 0$  and  $a_0 > 0$ :  $f$  has no positive roots. SC is 0.
- $a_1 < 0$  and  $a_0 < 0$ :  $f$  has no positive roots. SC is 0.
- $a_1 < 0$  and  $a_0 > 0$ :  $f$  has one positive root. SC is 1.

OK.

(c) If  $f = a_1 X$ ,  $f$  has no positive roots (it is zero!) and SC is 0. OK.

2. So if  $n = 1$ , OK.

3. We prove the statement by induction. The base case is already proved in (1-2). We assume the case of  $n - 1$ .

4. We can assume  $X$  does not divide  $f$ , i.e.  $a_0 \neq 0$ , because we can divide  $X$  as many as possible. The division doesn't change the SC nor positive roots. So  $f = a_n X^n + \dots + a_q X^q + a_0$  where  $a_n \neq 0$ ,  $a_q \neq 0$ ,  $a_0 \neq 0$ .

5.  $f' = na_n X^{n-1} + \dots + qa_q X^{q-1}$ .

6. We can apply the hypothesis of induction,

$$\#\{x \in ]0, +\infty[; f'(x) = 0\} \leq \text{SC}(a_n, \dots, a_q). \quad (103)$$

7. Let  $c \in R$  be the smallest positive root of  $f'$ . If it does not exist, let  $c = +\infty$ .

8. By interval theorem,

$$\text{sign} a_q = \underbrace{\text{sign } ]0, c[}_{\text{interval}} \quad (104)$$

9.  $f(0) = a_0$ .

10. – The case  $f$  has a root in  $]0, c[$ :

(a) Seeing the variation of  $f$ ,  $a_q a_0 < 0$  is necessary for the case.

(b)

$$\text{SC}(a_n, \dots, a_q) + 1 = \text{SC}(a_n, \dots, a_q, a_0). \quad (105)$$

(c) By Rolle's theorem, there is exactly one root in  $]0, c[$ . ☺ If any, the property of  $c$  in (7) is wrong.

(d) So by interval theorem

$$\#\{\text{positive roots of } f\} - 1 \leq \#\{\text{positive roots of } f'\}. \quad (106)$$

(for a interval of  $f$ 's roots, there exist at least one root of  $f'$  <sup>\*17</sup>)

(e)

$$\#\{\text{positive roots of } f\} \stackrel{\boxed{d}}{\leq} \#\{\text{positive roots of } f'\} + 1 \quad (107)$$

$$\stackrel{\boxed{e}}{\leq} \text{SC}(a_n, \dots, a_q) + 1 \quad (108)$$

$$\stackrel{\boxed{b}}{=} (\text{SC}(a_n, \dots, a_0) - 1) + 1 \quad (109)$$

$$= \text{SC}(a_n, \dots, a_0). \quad (110)$$

– Otherwise:

(a) By assumption, there are no roots in  $]0, c[$ .

(b) So (similar to 10-d)

$$\#\{\text{positive roots of } f\} \leq \#\{\text{positive roots of } f'\}. \quad (111)$$

---

<sup>\*17</sup> Assume  $f$  is not zero.

(c)

$$\# \{\text{positive roots of } f\} \stackrel{\boxed{b}}{\leq} \# \{\text{positive roots of } f'\} \quad (112)$$

$$\stackrel{\boxed{6}}{\leq} \text{SC}(a_n, \dots, a_q) \quad (113)$$

$$\leq \text{SC}(a_n, \dots, a_0). \quad (114)$$

11. In both cases of (10),

$$\# \{\text{positive roots of } f\} \leq \text{SC}(a_n, \dots, a_0). \quad (115)$$

### 1.3 Real Closure of an Ordered Field

• (Definition 1.3.1):

- $(F, \leq)$ : ordered field
- $R$ : algebraic extension of  $F$

$R$  is a real closure of  $F \iff$

- $R$  is real closed
- $R$ 's unique ordering extends the ordering of  $F$ . i.e.  $F \hookrightarrow R$  preserves ordering.

• (Lemma 1.3.3):

- $(F, \leq)$ : ordered field
- $R$ : real closure of  $F$
- $R'$ : real closed field containing  $F$  and preserving the ordering of  $F$  ( $F \hookrightarrow R'$ )
- $L$ : intermediate field between  $F$  and  $R$ . ( $F \subset L \subset R$ , not usually order preserving)
- $L_1$ : extension of finite degree of  $L$  ( $F \subset L \subset L_1 \subset R$ )
- $\Phi: L \rightarrow R'$ : order preserving

then there exists a homomorphism  $\Phi_1: L_1 \rightarrow R'$  extending  $\Phi$ .

• ☺

1. By primitive element theorem (Yukie Thm. 3.7.1.), there exists  $a \in L_1 \setminus L$  such that  $L_1 = L(a)$ .
2. Let  $f = \sum_{i=0}^q c_i X^i \in L[X]$  be the  $a$ 's minimal polynomial. (This means  $[L_1 : L] = q$ .) (The uniqueness of minimal polynomial is Yukie Prop. 3.1.24.)
3.  $f$  has no multiple roots because  $\text{ch} L = 0$ . (Yukie Prop. 3.3.5.)
4. By 3, we can assume  $a_1 < \dots < a_n$  are roots of  $f$  in  $R$ .
5. Set  $j$  to be  $a_j = a$ .
6. Pay attention to  $v(f, 1; +\infty)$  was the sign change of COEFFICIENTS. Let  $f_\Phi = \sum_i \Phi(c_i) X^i$ .

$$n \stackrel{\boxed{4}}{=} \# \{x \in R; f(x) = 0\} \quad (116)$$

$$\stackrel{\boxed{\text{Cor 1.2.12.}}}{=} v(f, 1; -\infty) - v(f, 1; +\infty) \quad (117)$$

$$\stackrel{\boxed{\Phi \text{ pres. ord.}}}{=} v(f_\Phi, 1; -\infty) - v(f_\Phi, 1; +\infty) \quad (118)$$

$$\stackrel{\boxed{\text{Cor 1.2.12.}}}{=} \# \{x \in R'; f_\Phi(x) = 0\}. \quad (119)$$

7.  $f_\Phi$  has  $n$  roots  $b_1 < \dots < b_n \in R'$ .

8. Define  $\Phi_1: L(a) \rightarrow R'$  as  $\Phi_1(a) = b_j$ . This is well-defined. ☺ Because  $L(a) = L[X]/(f)$  (Yukie 3.1.32), we have to check if  $\Phi_1(f(a)) = 0$ .  $\Phi_1(f(a)) = f_{\Phi_1}(\Phi(a)) = f_\Phi(b_j) = 0$ .

• (Proposition 1.3.4):

- $(F, \leq)$ : ordered field

–  $R$ : real closure of  $F$

–  $R'$ : real closed extension of  $F$  whose ordering extends that of  $F$

then there exists the unique  $F$ -homomorphism ( $F$ -algebra homomorphism)  $\Phi: R \rightarrow R'$ .

• ☺

1. Let

$$\mathcal{F} = \{\varphi: K \rightarrow R'; F \subset K \subset R(\text{intermediate field}) \varphi \text{ preserves ordering}\} \quad (120)$$

The ordering of  $F$  is the limitation of  $R$ .

2. Define a partial order of  $\mathcal{F}$  as

$$\begin{array}{ccc} K_1 & \hookrightarrow & K_2 \\ & \searrow \varphi_1 & \downarrow \varphi_2 \\ & & R' \end{array} \text{ commutes.}$$

3. (Illustration)

$$\begin{array}{ccccc} F & \hookrightarrow & K & \hookrightarrow & R \\ & \searrow & \downarrow \varphi & & \\ & & R' & & \end{array}$$

moves

4. Applying Zorn's lemma to  $\mathcal{F}$ , we get a field  $L$  and  $\Phi: L \rightarrow R'$  to be maximal in  $\mathcal{F}$ .

5.  $L = R$ ?

(a) Assume that  $L \neq R$  i.e.  $L \subsetneq R$ . (We will prove by contradiction.)

(b) Pick  $a \in R \setminus L$ .

(c) Using the construction of (Lemma 1.3.3) for  $L(a)$ , we get

–  $f = \sum_{i=0}^q c_i X^i \in L[X]$ : minimal polynomial over  $L$

–  $a_1 < \dots < a_n$ : the roots of  $f$  in  $R$  such that  $a = a_j$

–  $b_1 < \dots < b_n$ : the roots of  $f_\Phi$  in  $R'$

–  $\Psi: L(a) \rightarrow R'$ : extension of  $\Phi$  such that  $\Psi(a) = b_j$ .

.

(d)  $\Psi: L(a) \rightarrow R'$  is order-preserving?

i. Let  $y \in L(a)$  and  $y \geq 0$ . (Aim:  $\Psi(y) \geq 0$ .)

ii. Paying attention to "squareness  $\iff$  positivity" in real closed field, we can choose

$x_1, \dots, x_{n-1}, z \in R$  as

–  $x_i^2 = a_{i+1} - a_i$ , ( $a_{i+1} - a_i > 0$  by (c))

–  $z^2 = y$ .

iii. Let

$$L_1 = L(a_1, \dots, a_n, y, x_1, \dots, x_{n-1}, z). \quad (121)$$

iv. Using Lemma 1.3.3, we have  $\Phi_1: L_1 \rightarrow R'$  extending  $\Phi$ .

v.

$$f_\Phi(\Phi_1(a_i)) = \sum_{k=0}^q \Phi(c_k) \Phi_1(a_i)^k \quad (122)$$

$$= \sum_{k=0}^q \Phi_1(c_k) \Phi_1(a_i)^k \quad (123)$$

$$\stackrel{\boxed{\text{hom}}}{=} \Phi_1\left(\sum_{k=0}^q c_k a_i^k\right) \quad (124)$$

$$= \Phi_1(f(a_i)) \quad (125)$$

$$\stackrel{\boxed{a_i}}{=} \Phi_1(0) \quad (126)$$

$$= 0. \quad (127)$$

vi.  $\Phi_1(a_\bullet)$  are roots of  $f_\Phi$  in  $R'$ . (From the discussion,  $\Phi_1(a_i) \in \{b_1, \dots, b_n\}$ , but we don't know where it is. )

vii.

$$\Phi_1(a_{i+1}) - \Phi_1(a_i) = \Phi_1(a_{i+1} - a_i) \stackrel{\text{ii}}{=} \Phi_1(x_i^2) = \Phi_1(x_i)^2 \geq 0. \quad (128)$$

(we are now in real closed field!)

viii. From (vii),

$$\Phi_1(a_1) \leq \dots \leq \Phi_1(a_n) \quad (129)$$

holds. By (vi),  $\Phi_1(a_\bullet)$  are roots of  $f_\Phi$ . By (c), the roots of  $f_\Phi$  are  $b_1 < \dots < b_n$ . Hence,  $\Phi_1(a_i) = b_i$ .

ix.  $\Phi_1(a) = \Phi_1(a_j) = b_j$ .

x.  $\Psi(a) = b_j$  (c, by construction) and  $\Phi(a) = b_j$  hold. The behaviour of linear maps is determined by its generator, so  $\Phi_1|_{L(a)} = \Psi$ .

xi.

$$\Psi(y) = \Phi_1(y) = (\Phi_1(z))^2 \geq 0. \quad (130)$$

(The end of aim at (i).)

(e)  $\Phi_1 \in \mathcal{F}$ .

(f)  $\Phi < \Phi_1$  in  $\mathcal{F}$ .

(g) This is contradiction because  $\Phi$  was maximal in  $\mathcal{F}$ .

6.  $L = R$ .  $\Phi: L \rightarrow R'$  is now a  $F$ -homomorphism  $\Phi: R \rightarrow R'$ .

7. This completes existence part.

8. (Uniqueness part): Let  $\Phi: R \rightarrow R'$  satisfies the conditions.

9. Because  $R$  is an algebraic extension of  $F$ ,  $[R : F] < \infty$  (Yukie 3.1.18). By primitive element theorem, there exists  $a \in R \setminus L$  such that  $R = F(a)$ .

10. Let  $f = \sum_{i=0}^q c_i X^i \in F[X]$  be a minimal polynomial of  $a$ .

11. Let roots of  $f$  be  $a_1 < \dots < a_n$  and  $a_j = a$ .

12. By the corollary of Strum theorem (Cor. 1.2.12),

$$n = \# \{x \in R; f(x) = 0\} \quad (131)$$

$$= v(f, 1; -\infty) - v(f, 1; +\infty) \quad (132)$$

$$= v(f_\Phi, 1; -\infty) - v(f_\Phi, 1; +\infty) \quad (133)$$

$$= \# \{x \in R'; f_\Phi(x) = 0\}. \quad (134)$$

13. By (12), let roots of  $f_\Phi$  be  $b_1 < \dots < b_n$ .

14.  $\Phi(a_1), \dots, \Phi(a_n)$  are roots of  $f_\Phi$ .

15. By monotone of  $\Phi$ ,

$$\Phi(a_1) \leq \dots \leq \Phi(a_n). \quad (135)$$

16. By (13,14,15),  $b_i = \Phi(a_i)$ .

17. This determines where the basis  $a_\bullet$  goes to as  $b_\bullet$ , and the behaviour of  $\Phi$ . Uniqueness is proved.

• (Theorem 1.3.2):

1. Every ordered field  $(F, \leq)$  has a real closure.

2. If  $R$  and  $R'$  are two real closures of  $(F, \leq)$ , there exists the unique  $F$ -isomorphism  $\Phi: R \rightarrow R'$ .

• ☺

1. (First half)

- (a) There exists an algebraic closure  $\overline{F}$  of  $F$ . (Yukie 3.2.3)  
(b)

$$\mathcal{E} = \{(K, \leq); F \subset K \subset \overline{F}, \text{ order-preserving}\}. \quad (136)$$

- (c) Define a partial order on  $\mathcal{E}$   $(K, \leq) \preceq (K', \leq)$  by  $K \subset K'$  and the inclusion is order-preserving.  
(d) Using Zorn's lemma on  $\mathcal{E}$ , there exists a maximal element  $(R, \leq)$  on  $\mathcal{E}$ .  
(e)  $R$  is real closed?

i. An  $R$ 's positive element is square?

A. Assume there exists  $a \in R$  is positive but not a square in  $R$ . (Aim: make a contradiction.)

B. Let

$$P = \left\{ \sum_{i=1}^n b_i (c_i + d_i \sqrt{a})^2 \in R(\sqrt{a}); c_i, d_i \in R, b_i \geq_R 0 \right\} \subset \overline{F} \quad (137)$$

C.  $P$  is obviously cone.

D.  $P$  is a proper cone. ☹️ Assume

$$-1 = \sum_{i=1}^n b_i (c_i + d_i \sqrt{a})^2 \in R(\sqrt{a}). \quad (138)$$

Take the element of 1 ( $1 \perp \sqrt{a}!$ ),

$$-1 = \sum_{i=1}^n b_i (c_i^2 + ad_i^2) \geq 0. \quad (139)$$

Contradiction.

E. By Lemma 1.1.7,  $P$  determines an ordering on  $R(\sqrt{a})$  extending  $R$ .

F. This contradicts the maximality of  $(R, <)$ .

ii. (i) determines the unique ordering on  $R$  whose positive elements are squares of  $R$ .

iii. Let  $K$  be a real field such that  $R \subset K \subset \overline{F}$ .

iv. Squareness is preserved in inclusion, so  $K$  extends the ordering of  $R$ .

v. This means  $(R, \leq) \preceq (K, \leq)$  in  $\mathcal{E}$ .

vi. Contradict to the maximality (d).

2. (Last half): follows the textbook.

(a) Let  $R$  and  $R'$  are two real closures of  $(F, \leq)$ .

(b) By proposition 1.3.4, there are two unique  $F$ -homomorphisms  $\Phi: R \rightarrow R'$  and  $\Phi': R' \rightarrow R$ .

(c) By uniqueness,  $\Phi' \circ \Phi = \text{Id}_R$ ,  $\Phi \circ \Phi' = \text{Id}_{R'}$ .

- (Remark 1.3.5) from now on, we shall speak of the real closure of an ordered field. (THE is from uniqueness in the sense of the existence of no other  $F$ -automorphism except the identity.)
- (Example 1.3.6) : follow the textbook.
- (Proposition 1.3.7.):
  - $(F, \leq)$  : ordered field
  - $a \in \overline{F}$
  - $F(a)$ : finite algebraic extension of  $F$  generated by  $a$
  - $f \in F[X]$ : minimal polynomial of  $a$  over  $F$