Bochnak - Real Algebraic Geometry

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1 Ordered Fields, Real Closed Fields

1.1 Ordered Fields, Real Fields

- (Definition 1.1.1, ordering of a field): $\leq$ is an ordering of a field F $\iff$
    1. (total): $\leq$ is a total.
    2. (addition): $x \leq y \implies x + z \leq y + z$
    3. (non-negative and mult.): $0 \leq x$, $0 \leq y \implies 0 \leq xy$.
- (Small prop.): $x \leq y$, $z \geq 0 \implies xz \leq yz$. ☺$x \leq y \iff 0 \leq y - x \iff 0 \leq (y-x)z \leq 0 \leq yz - xz \iff xz \leq yz$.
- Let's define a ordering of the field of rational function $\mathbb{R}(X)$. (Think X as "infinite small").
- (Example 1.1.2): There exists the unique ordering of $\mathbb{R}(X)$ satisfying
    - it preserves the ordering of $\mathbb{R}$.[*1]
    - X is smaller than any positive real number.
    - X is positive.

    ☺We prove the ordering is unique if any first. Let $\mathrm{FC}(f)$ is the coefficient of the lowest term of f for $f \in \mathbb{R}[X]$. (FC stands for Following Coefficient twinned with Leading Coefficient) Let $\mathbb{R}[X]^+ = \{f \in \mathbb{R}[X]; \mathrm{FC}()\}$
    1. $0 < X$ ☺Requirement.
    2. $^\forall a > 0$: $X < a$ ☺Requirement.
    3. $\leq$ preserves the ordering of $\mathbb{R}$. ☺Requirement.
    4. $^\forall a > 0$: $^\forall n \geq 0$: $X^{n+1} < aX^n$
    5. $^\forall a > 0$: $^\forall m > n \geq 0$: $X^m < aX^n$
    6. $^\forall a > 0, b \in \mathbb{R}$: $^\forall m > n \geq 0$: $bX^m < aX^n$
    7. $^\forall a > 0, b \in \mathbb{R}$: $^\forall m > n \geq 0$: $0 < bX^m + aX^n$
    8. $^\forall P(X) \in \mathbb{R}[X]^+$: $0 < P(X)$
    9. $^\forall Q(X) \in \mathbb{R}[X]^+$: $0 < \frac{1}{Q(X)}$ ☺think of

$$Q'(X) = \left\{ 1/Q^2 ; Q > 0 (-1/Q)^2 ; Q < 0 \right. \quad . \tag{1}$$

    Or assume $0 \geq 1/Q$. Then multiplying Q, $0 \geq 1$. This contradicts to the axiom of fields.
    10. $^\forall P(X), Q(X) \in \mathbb{R}[X]^+$: $0 < \frac{P(X)}{Q(X)}$
    11. $^\forall P(X) \in \mathbb{R}[X]^-, Q(X) \in \mathbb{R}[X]^+$: $\frac{P(X)}{Q(X)} < 0$

[*1] This come from the axiom of fields. Or, for $a \in \mathbb{R}$, $0 < X < a$.

12.

$$\forall P(X), R(X) \in \mathbb{R}[X], Q(X), S(X) \in \mathbb{R}[X]^+ : \begin{cases} \text{FC}(PS - RQ) > 0 & \to \frac{P}{Q} > \frac{R}{S} \\ \text{FC}(PS - RQ) = 0 & \to \frac{P}{Q} = \frac{R}{S} \\ \text{FC}(PS - RQ) < 0 & \to \frac{P}{Q} < \frac{R}{S} \end{cases}. \tag{2}$$

This requirement defines a binary relation $\leq$ (check the sign of FC of the numerator[*2]). We prove it is exactly an ordering.

- (Reflexivity): Obvious.
- (Anti-symmetry): Obvious.
- (Total): Obvious.
- (Non-negative and mult.): Assume $\frac{P}{Q} \geq 0$ and $\frac{R}{S} \geq 0$. $\text{FC}(P) \geq 0$ and $\text{FC}(R) \geq 0$ hold. Paying attention to managing lowest terms, $\text{FC}(PR) = \text{FC}(P)\text{FC}(R) \geq 0$. This means $\frac{PR}{QS} \geq 0$.
- (Transitivity): Assume $\frac{P}{Q} \leq \frac{R}{S}, \frac{R}{S} \leq \frac{T}{U}$ and $Q, S, U \in \mathbb{R}[X]^+$. By (Non-negative and mult.), they are equivalent to $PSU \leq RQU$ and $RQU \leq TQS$. We write for a polynomial f f's n-th coeffficient f_n. For a pair of polynomials (f, g), let $\varphi(f, g)$ is an n such that $f_0 = g_0, \ldots, f_{n-1} = g_{n-1}, f_n \neq g_n$. (If $f = g$, let $\varphi(f, g) = \infty$.) $\varphi(PSU, TQS) = \min(\varphi(PSU, RQU), \varphi(RQU, TQS))$ holds. Let $N = \varphi(PSU, TQS)$.
  * If $N = \infty$ then $\varphi(PSU, RQU) = \varphi(RQU, TQS) = \infty$. This means $PSU = RQU = TQS$.
  * If $N < \infty$ then $(PSU)_0 = (RQU)_0 = (TQS)_0, \ldots, (PSU)_{N-1} = (RQU)_{N-1} = (TQS)_{N-1}$ holds. Moreover, $(PSU)_N \leq (RQU)_N$ and $(RQU)_N \leq (TQS)_N$ hold. This means $PSU \leq TQS$.
- (Addition): Obvious.

• Define $\leq$ of $\mathbb{R}(X)$ as

1.

$$[a_k X^k + \cdots + a_n X^n \geq 0, a_k \neq 0, k \leq n] \iff [a_k > 0] \tag{3}$$

2.

$$[P(X)/Q(X) > 0] \iff [P(X)Q(X) > 0] \tag{4}$$

• This implies immediately

$$\cdots < X^2 < X < 1 < X^{-1} < X^{-2} < \ldots. \tag{5}$$

• (Small prop.): These two rules generates an ordering of a field (Def. 1.1.1). ☉TODO.
• (Small prop.): $\mathbb{R}(X)$ is not archimedean [*3] i.e.

$$\exists P(X) \in \mathbb{R}(X) : \forall n \in \mathbb{N} : n < P(X). \tag{6}$$

☉Take $P(X) = 1/X$. Fix $n \in \mathbb{N}$. $X < 1/n$ holds.

$$X < \frac{1}{n} \iff \frac{1}{n} - X > 0 \tag{7}$$

$$\iff \frac{1 - nX}{n} > 0 \tag{8}$$

$$\iff 1 - nX > 0 \tag{9}$$

$$\iff \frac{1}{X} - n > 0 \tag{10}$$

$$\iff \frac{1}{X} > n. \tag{11}$$

• This implies $1/X$ is "infinitely large", and X is "infinitely small".

[*2] denominator:分母、numerator:分子
[*3] Accumulating $1_{\mathbb{R}(X)}$ finitely overwhelms any fixed element of $\mathbb{R}(X)$

- (Definition, cut): (This is probably not the normal definiton...) A pair of subsets of $\mathbb{R}$ (I, J) is a cut $\iff$
  - $I \cap J = \emptyset$
  - $I \cup J = \mathbb{R}$
  - $I < J$ i.e. $^\forall i \in I$: $^\forall j \in J$: $i < j$.
- An ordering of $\mathbb{R}(X)$ deterimnes a cut (I, J) where

$$I = \{x \in \mathbb{R}; x < X\}, \ J = \{x \in \mathbb{R}; X < x\}. \tag{12}$$

$$(\text{an ordering of } \mathbb{R}(X)) \rightsquigarrow (\text{a cut of } \mathbb{R}) \tag{13}$$

Pay attention to forall $x \in \mathbb{R}$ either $x < X$ or $X < x$ holds because the ordering is total.
- (Definition, $-\infty, a_-, a_+, \infty$): Let $a \in \mathbb{R}$. $-\infty, a_-, a_+, \infty$ are defined with cuts.
  - $-\infty := (\emptyset, \mathbb{R})$
  - $a_- := ([-\infty, a[\,, [a, \infty[)$
  - $a_+ := (]-\infty, a]\,,]a, \infty[)$
  - $+\infty := (\mathbb{R}, \emptyset)$
- (Small prop.): $Y = -1/X$ is a bijection between $\{\leq (\mathbb{R}(X)); \text{the cut of } \leq \text{ is } -\infty\}$ and $\{\leq (\mathbb{R}(Y)) \text{of Def. 1.1.1}\}$. [4]

  ☺The bijection of $\rightarrow$ part is defining a ordering $\mathbb{R}(Y)$ from a fixed ordering $\mathbb{R}(X)$ whose cut is $-\infty$. Define it as $P(Y) \geq 0 \iff P(-1/X) \geq 0$. We have to check the cut of $P(Y)$ is $(]-\infty, 0]\,,]0, \infty[)$. We have to check if $0 < Y$ and $Y < $ (any positive). The other side is omitted.
- (Small prop.): $a \in \mathbb{R}$. $Y = a - X$ is a bijection between $\{\leq (\mathbb{R}(X)); \text{the cut of } \leq \text{ is } a_-\}$ and $\{\leq (\mathbb{R}(Y)) \text{of Def. 1.1.1}\}$.
- (Small prop.): $a \in \mathbb{R}$. $Y = X - a$ is a bijection between $\{\leq (\mathbb{R}(X)); \text{the cut of } \leq \text{ is } a_+\}$ and $\{\leq (\mathbb{R}(Y)) \text{of Def. 1.1.1}\}$.
- (Small prop.): $Y = 1/X$ is a bijection between $\{\leq (\mathbb{R}(X)); \text{the cut of } \leq \text{ is } +\infty\}$ and $\{\leq (\mathbb{R}(Y)) \text{of Def. 1.1.1}\}$.
- (Small prop.): These props states that for each cut, there exists the unique ordering.

  ☺At Def. 1.1.1., we have already seen for cut $(]-\infty, 0]\,,]0, \infty[)$ the ordering whose cut is it is unique. These props states that the number of ordering whose cut is $-\infty, a_-, a_+, \infty$ equals to the number of Def. 1.1.1.'s ordering.
- (Small prop.): This is stated as: there exists bijection

$$\{\text{all orderings of } \mathbb{R}(X)\} \simeq \{a_+; a \in \mathbb{R}\} \cup \{a_-; a \in \mathbb{R}\} \cup \{-\infty, +\infty\}. \tag{14}$$

- (Abuse of term.): By the above bijection, we also the orderings by cuts.
- (TODO, p8): Note that the sign of $f \in \mathbb{R}(X)$ for the ordering a_- is the sign of f on some small open interval $]a - \epsilon, a[$.
- (Definition 1.1.3., cone): A cone P of a field [5] F is a subset P of F such that
  - (Addition): $x, y \in P \implies x + y \in P$
  - (Multiply): $x, y \in P \implies xy \in P$
  - (Square): $x \in K \implies x^2 \in P$

  The cone P is said to be proper if $-1 \notin P$.
- (Small example): $\{0\}$ is obviously a proper cone.
- (Definition 1.1.4., positive cone): Let $(F, \leq)$ be an ordered field. The subset $P = \{x \in F; x \geq 0\}$ is called the positive cone of $(F, \leq)$.
- (Proposition 1.1.5., ordering and cone): Let F be an ordered field. P be a cone.

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[4] $-\infty$ is the cut defined already. Def 1.1.1's cut is $(]-\infty, 0[\,, [0, \infty[)$.

[5] Need not be ordered.

- – (F is ordered $(F, \leq)$ and P is positive.) $\implies$ ($P \cup (-P) = \mathbb{R}(X)$ and P is proper.)
- – ($P \cup (-P) = \mathbb{R}(X)$ and P is proper.) $\implies$ (F is ordered and its ordering is defined by ($x \leq y \iff y - x \in P$))

☺Prove the first half. Proving $-1 \geq 0$ is false is sufficient. Assume $-1 \geq 0$. By (non-negative and mult.), $1 = (-1) \cdot (-1) \leq 0$. By (addition), adding $+1$ both sides yields $0 \leq 1$. Combining them, $1 \leq 0 \leq 1$. This means $0 = 1$. Contradiction.

Prove the last half.

- – (Reflectivity): Let $x \in F$. Cones always contain $0 = x - x$. This means $x \leq x$.
- – (Anti-symmetry): Let $x, y \in F$ and $x \leq y$ and $y \leq x$. $y - x, x - y \in P$ holds. Assume $x - y \neq 0$. By (Multiply), $-(x - y)^2 = (y - x)(x - y) \in P$. Because $x - y \neq 0$, there exists $1/(x - y) \in F$. By (Square), $1/(x - y)^2 \in P$. $-(x - y)^2 \cdot 1/(x - y)^2 = -1 \in P$. This contradicts the properness, so $x - y = 0$.
- – (Transitivity): Let $x \leq y \in F$ and $y \leq z \in F$. $y - x \in P$ and $z - y \in P$ hold. By (Addition), $z - x = (z - y) + (y - x) \in P$. This means $x \leq z$.
- – (Total): Obvious from $P \cup (-P) = \mathbb{R}(X)$.
- – (Addition): Obvious.
- – (Non-negative and Mult.): Obvious.

- (Definition, sum of square): The set of sums of squares is denoted by $\sum F^2$.
- (Small prop.): $\sum F^2$ is a cone (not always proper). $\sum F^2$ is contained in every cone of F (smallest!).
  ☺Obvious.
- (Lemma 1.1.7.): Let P be a proper cone of F.
  (i) If $-a \notin P$ then $P[a] = \{x + ay; x, y \in P\}$ is a proper cone of F.
  (ii) There exists an ordering of F and its positive cone P' such that $P \subset P'$.
  ☺(i) Assume that $-1 \in P[a]$. There exists $x, y \in P$ such that $-1 = x + ay$. $(-a)y = x + 1$ holds.
  - – When $y = 0$: $-1 = x \in P$ holds, but this contradicts that P is proper and $-1 \notin P$.
  - – When $y \neq 0$: There exists $1/y \in F$ and $1/y^2 \in P$ by the property of cones.

$$-a = \frac{x+1}{y} = \underbrace{y}_{\in P} \cdot \underbrace{\frac{1}{y^2}}_{\in P(\text{square})} \cdot (\underbrace{x}_{\in P} + \underbrace{1}_{\in P(\text{Square})}) \in P. \tag{15}$$

This contradicts the assumption.

Both case lead to contradiction, so $-1 \in P[a]$ is false. $-1 \notin P[a]$.

☺(ii)

1. $\mathbb{X}$: Let

$$\mathbb{X} = \{Q' \subset F; P \subset Q', Q' \text{ is a proper cone}\}. \tag{16}$$

2. Q: $\mathbb{X}$ is not empty because $P \in \mathbb{X}$. For a chain of $\mathbb{X}$, its union is a upper bound of it. We can apply the Zorn's lemma now, and we obtain a maximal element of $\mathbb{X}$. We name it Q, Q is a maximal element of $\mathbb{X}$.

3. $Q \cup -Q = F$?
   (a) a: Let $a \in F - Q$.
   (b) By (i), $Q[-a]$ is a proper cone.
   (c) Q is maximal (by 2), and $Q[-a]$ is a proper cone containing Q (by b). Hence $Q = Q[-a]$.
   (d) Hence $-a \in Q$.
   (e) (End of a): $Q \cup -Q = F$.

4. Q is proper (by 2) and $Q \cup -Q = F$ (by 3) imply (by Prop. 1.1.5.) the existence of an ordering $\leq$ of F. And Q is positive in the ordering (by Prop. 1.1.5.).

- (Theorem 1.1.8): Let F be a field. The following properties are equivalent:
  (i) F can be ordered.
  (ii) The field F has a proper cone.
  (iii) $-1 \notin \sum F^2$.
  (iv) For every $x_1, \ldots, x_n \in F$,

$$\sum_{i=1}^{n} x_i^2 = 0 \implies x_1 = \cdots = x_n = 0. \tag{17}$$

  $\odot$
  - (i$\Rightarrow$ ii): By Prop. 1.1.5., the positive cone of F is proper. So the positive cone satisfies the requirement.
  - (ii $\Rightarrow$ iii):
    1. Let the proper cone P.
    2. By (Small prop.), $\sum F^2$ is the smallest cone, so $\sum F^2 \subset P$.
    3. Hence

$$-1 \in F - P \subset F - \left(\sum F^2\right). \tag{18}$$

   So

$$-1 \notin \sum F^2. \tag{19}$$

  - (iii $\Rightarrow$ iv):
    1. We prove the contraposition. Assume $\sum_i x_i^2 = 0$ and $x_1 \neq 0$.
    2. $-x_1^2 = \sum_{i=2}^{n} x_i^2$.
    3. Deviding both side by x_1^2 (by a, we can divide by $x_1 \neq 0$.)

$$-1 = \underbrace{\frac{1}{x_1^2}}_{\in \sum F^2} \underbrace{\sum_{i=2}^{n} x_i^2}_{\in \sum F^2} \underbrace{\in}_{Cone!} \sum F^2. \tag{20}$$

  - (iv $\Rightarrow$ iii):
    1. We prove the contraposition. Assume $-1 \in \sum F^2$.
    2. There exists $a_1, \ldots, a_n \in F$ such that $-1 = \sum_{i=1}^{n} a_i^2$ (by 1).
    3. Hence $\sum_{i=1}^{n} a_i^2 + 1^2 = 0$.
- (Definition 1.1.9.): A field satisfying (Proposition 1.1.8.) is called real.
- (Small prop.): A real field has characteristic 0. $\odot$Assume the characteristic is finite n. $\sum_{i=1}^{n} 1^2 = 0$. This contradicts to (Proposition 1.1.8)'s (iv).
- (Proposition 1.1.10.):
  - F: a field such that $\mathbb{Q} \subset P$ (characteristic 0)
  - P: a cone of F
  Then

$$P = \bigcap \underbrace{\{Q; [\leq \text{ is an ordering of } F] \wedge [P \subset Q] \wedge [Q \text{ is a positive cone of } \leq]\}}_{:= \mathbb{X}}. \tag{21}$$

  $\odot \subset$ is obvious. We prove $\supset$.
  1. a: Let $a \in F - P$.
  2. P is proper?
     (a) Assume $-1 \in P$. (Proof by contradiction)

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(b)

$$a = \underbrace{\frac{1}{4}}_{\in \sum F^2} [\underbrace{(1+a)^2}_{\in \sum F^2} \underbrace{-}_{-1 \in P} \underbrace{(1-a)^2}_{\sum F^2}] \in \sum F^2 \overset{\boxed{\text{SoS is smallest}}}{\subset} P. \tag{22}$$

(the assumption $\mathbb{Q} \subset F$ supports the existence of 1/4)

  (c) This contradicts to 1.

3. $a \notin P$ (by 1), the properness of P (by 2) and (Lemma 1.1.7.) show that $P[-a]$ is proper.

4. By (Lemma 1.1.7), there exists an order $\leq$ and its positive cone Q such that $P[-a] \subset Q$ (because $P[-a]$ is proper by 3).

5. $a \notin Q$?

  (a) Assume $a \in Q$. (proof by contradiction)

  (b) $-a \in Q$ because $-a \in P[-a] \subset Q$ (by 4).

  (c) $-a^2 \in Q$ because Q is a cone (by 4), 1 and 2.

  (d) $a \neq 0$ because $a \notin P$, P is a cone (cones always contain zero).

  (e) $1/a^2$ is valid and $1/a^2 \in Q$ because Q is a cone.

  (f) (c) and (e) say

$$-1 = \underbrace{-a^2}_{\in Q} \cdot \underbrace{(1/a^2)}_{\in Q} \in Q. \tag{23}$$

  (g) This contradicts to the properness of Q ((Prop. 1.1.5) says the positive cone is proper.)

6. $P \subset P[-a] \subset Q$.

7. 4 and 6 says $Q \in \mathbb{X}$.

8. This shows

$$a \in F - Q \subset F - (\bigcap \mathbb{X}). \tag{24}$$

9. (End of 1):

$$F - P \subset F - (\bigcap \mathbb{X}). \tag{25}$$

This means

$$\bigcap \mathbb{X} \subset P. \tag{26}$$

- (Corollary 1.1.11.): Let F be a field containing $\mathbb{Q}$. Then

$$\sum F^2 = \bigcap \{Q; [\leq \text{ is an ordering of } F] \wedge [Q \text{ is a positive cone of } \leq]\} \tag{27}$$

☺Use (Prop. 1.1.10.) to $\sum F^2$.

1.2 Real Closed Fields

- (Fact): 体 F と、F 係数既約多項式 $f \in F[X]$ について、$F/(f)$ は体になる。
- (代数拡大): 体 F' が F の代数拡大体であるとは、F' のすべての元が、F 係数多項式の根になっていること。[6]
- (代数拡大って具体的には？): 次の命題がある。

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[6] 戯言:体 F に、F 係数既約多項式 f の根を追加して体にすることができる。これは、「F にシンボル X を追加して、その X が $f(X) = 0$ となる」という規則を追加することに外ならないので、$F[X]/(f)$ は F の代数拡大となる。(ただし、拡大したつもりでできていないことはありえる。)

- (雪江 3.1.23): K を体、f を K 上既約で $\deg f = n$ とする。このとき、次の 3 つが成り立つ。
  - (1) $L = K[x]/(f)$ は体で、$[L : K] = n$ である。
  - (2) $\alpha = x + (f)$ とおくと、$f(\alpha) = 0$
  - (3) L の K 上の基底として $B = \{1, \ldots, \alpha^{n-1}\}$ をとれる。
- つまり、(1,2) 体について既約多項式を考えて、その根が含まれるような代数拡大体が存在する。(3) その基底は単項式たち。

- (Fact:代数的閉包): 体 F について、その代数拡大体で、代数的閉体になっているものが存在し、しかも一意である。これを $\overline{F}$ と書くことがある。[Yukie, Theorem 3.2.3, Corollary 3.2.4].
- (Gauss の対称式の定理): See [Cox].
- (Definition 1.2.1): real field F が real closed field である $\iff$ F が 非自明な real algebraic extension を持たない i.e. F の真の代数的拡張 $F_1 \supset F$ で、
  - F_1 が real field であり、
  - F_1 が algebraic extension である

  というようなものは存在しない。
- (Theorem 1.2.2.):
  - (i⇒ii):
    1. (First half starts): Let $a \in F$ and a is not a square in F.
    2. $F[\sqrt{a}] = F[X]/(X^2 - a)$. Hence $X^2 - a$ is (by 1) irreducible, $F[X]/(X^2 - a)$ is a nontrivial algebraic extension of F.
    3. (2), (Definition 1.2.1) and (Assumption i) imply $F[\sqrt{a}]$ is not real.
    4. By (3) and (Theorem 1.1.8, iii), $-1 \in F[\sqrt{a}]$. So there exists $x_i, y_i \in F$

    $$-1 = \sum_{i=1}^{n} (x_i + \sqrt{a} y_i)^2. \tag{28}$$

    5. Hence 1 and $\sqrt{a}$ are linearly independent in vector space $F[\sqrt{a}]$ [*7], picking the coefficients of 1,

    $$-1 = \sum_{i=1}^{n} x_i^2 + a(\sum_{i=1}^{n} y_i^2) \tag{29}$$

    in F.

    6. Since F is real and (Theorem 1.1.8, iii)

    $$\underbrace{-1 - \sum_{i=1}^{n} x_i^2}_{\neq 0} = a \sum_{i=1}^{n} y_i^2. \tag{30}$$

    So $\sum_{i=1}^{n} y_i^2 \neq 0$. (Strictly speaking, we need the fact F be an integral domain.)

    7. We can divide by $\sum_i y_i^2$,

    $$-a = \frac{1 + \sum_{i=1}^{n} x_i^2}{\sum_{i=1}^{n} y_i^2} \in \sum F^2. \tag{31}$$

    8. (End of 1): Forall $a \in F$,
       * if a is a square $\to a \in \sum F^2$,
       * (by 1-7) if a is not a square $\to a \in -\sum F^2$.

       Hence

    $$a \in \sum F^2 \cup -\sum F^2. \tag{32}$$

[*7] Remember $F[\sqrt{a}]$ is a quotient of $F[X]$.

9.

$$F = \sum F^2 \cup -\sum F^2. \tag{33}$$

10. By (Theorem 1.1.8), $\sum F^2$ is a proper cone. In this situation, (Proposition 1.1.5) says $\sum F^2$ generates an ordering of F. And $\sum F^2$.

11. Assume if another ordering exists. Let its positive cone P. By (Theorem 1.1.5) $P \cup -P = F$. $\sum F^2$ is the smallest cone, so

$$F \overset{\boxed{9}}{=} \sum F^2 \cup -\sum F^2 \subset P \cup -P = F. \tag{34}$$

So $\sum F^2 \cup -\sum F^2 = P \cup -P$. Asserting $\sum F^2 \cap -\sum F^2 = \emptyset$ and $P \cap -P =, \sum F^2 = P$. This means the ordering of P and $\sum F^2$ coincides.

12. (First half end): (10) and (11) says there exists unique ordering for F and its positive cone is $\sum F^2$.

13. (Last half starts) : Let $f \in F[X]$ has odd degree. We want to prove f have a root in F, so we negate this proposition. Assume f have no roots in F. Let $d = \deg f$.

14. We can assume $d > 1$ because if $d = 1$ then obviously f have the root in F.

15. We can assume that polynomials whose degree is $< d$ have a root in F. [*8]

16. (**ODD**) f is irreducible. ☉Assume f is reducible and there exists decomposition $f = gh$ ($\deg g, \deg h > 0$). Then $\deg g, \deg h < d$. $\deg g + \deg h = \deg f$ and $\deg f$ is odd, so Either $\deg g$ or $\deg h$ is odd. Without loss of generality, we can assume $\deg g$ is odd. So by (15) g have a root in F. So f have a root as the root of g. This contradicts to (13).

17. $F[X]/(f)$ is a nontrivial extension of F. By (Assumption i), $F[X]/(f)$ is not real. So $-1 \mod (f) \in \sum (F[X]/(f))^2$.

18. There exists $h_i \in F[X], \deg(h_i) < d$ and $g \in F[X]$ such that

$$-1 = \sum_{i=1}^n h_i^2 + fg. \tag{35}$$

Pay attention to $\deg(h_i) < d \iff \deg(h_i) \le d - 1$. (the assumption $\deg(h_i) < d$ is from the fact that the ring is a quotient of f.)

19. Calculate the degree of both sides of $-1 - \sum_{i=1}^n h_i^2 = fg$.

$$d + \deg(g) = \deg(f) + \deg(g) \tag{36}$$
$$= \deg(fg) \tag{37}$$
$$= \deg(-1 - \sum_{i=1}^n h_i^2) \tag{38}$$
$$\le \max_i \deg(h_i^2) \tag{39}$$
$$= 2 \max_i \deg(h_i) \tag{40}$$
$$\le 2(d - 1) \tag{41}$$
$$= 2d - 2. \tag{42}$$

20. $\deg(g) \le d - 2$.

21. Seeing the equation of (18), $\deg(-1) = 0$, $\deg(\sum_i h_i^2)$ is odd and $\deg(f)$ is odd, so $\deg(g)$ is odd.

22. By (19), (20) and (15), g has a root in F. Let the root x.

[*8] Strictly, this is proved by the well-ordering set. $\{d; f\text{has no roots}\}$ is not empty because the assumption. This have the smallest element. Take a polynomial that realize the smallest element.

23. Substitute x in the equation of (18).

$$-1 = \sum_{i=1}^{n} h_i^2(x) + f(x)g(x) \overset{\boxed{22}}{=\!=} \sum_{i=1}^{n} h_i^2(x). \tag{43}$$

24. This means $-1 \in \sum F^2$. This contradicts to F be the real. (by (12), $\sum F^2$ is a positive cone.)

– (ii $\Rightarrow$ iii):

1. (First half starts): Let $f \in F[X]$. Set $d = \deg f$. We will prove that f have a root in $F[i]$.
2. Write $d = 2^m n$ (n is odd).
3. Prove f has a root in $F[i]$ by induction on m. The case of $m = 0$ is obvious from the assumption. Assume that the case of $m - 1$ holds.
4. Take $y_1, \ldots, y_d$ to be the roots of f in $\overline{F}$.
5. Define for all $h \in \mathbb{Z}$ an element of $F[X]$

$$g_h = \prod_{1 \le \lambda < \mu \le d} (X - y_\lambda - y_\mu - h y_\lambda y_\mu). \tag{44}$$

X6 g_h is symmetry in $y_1, \ldots, y_d$, so (by Gauss) $g_h \in F[(y_1 + \cdots + y_d), \ldots, (y_1 \ldots y_d)]$.

6. The coefficients of g_h are symmetry in $y_1, \ldots, y_d$, so (by Gauss) the coefficients of g_h are in $F[(y_1 + \cdots + y_d), \ldots, (y_1 \ldots y_d)]$.
7. $y_1, \ldots, y_d$ are the roots of $f \in F[X]$, so $(y_1 + \cdots + y_d), \ldots, (y_1 \ldots y_d) \in F$.
8. By (5) and (6), $g_h \in F$.
9.

$$\deg g_h = {}_d C_2 = \frac{d(d-1)}{2} = \frac{2^m n \cdot (2^m n - 1)}{2} = 2^{m-1} \underbrace{(2^m n - 1)n}_{\text{odd}}. \tag{45}$$

10. Assumption of induction says g_h have a root in $F[i]$.
11.

$$\forall h \in \mathbb{Z}: \ \exists 1 \le \lambda_h < \mu_h \le d: \ y_{\lambda_h} + y_{\mu_h} + h y_{\lambda_h} y_{\mu_h} \in F[i]. \tag{46}$$

12. The pairs of (λ_h, μ_h) is finite, but h runs over $\mathbb{Z}$. By pigeonhole principle, there exist different integers h, h' such that $(\lambda_h, \mu_h) = (\lambda_{h'}, \mu_{h'})$. We call this pair (λ, μ).

$$y_\lambda + y_\mu + h y_\lambda y_\mu, \quad y_\lambda + y_\mu + h' y_\lambda y_\mu \in F[i]. \tag{47}$$

13.

$$y_\lambda + y_\mu \in F[i], \quad y_\lambda y_\mu \in F[i]. \tag{48}$$

14. 2nd degree equation with $F[i]$ coefficients have their roots in $F[i]$?

  (a) $x^2 = a + bi$ ($a, b \in F$) have a root in $F[i]$?

    i. If $b = 0$ and $a \ge 0$ [*9] then we can take the square root of $a \in F_+ = \sum F^2$ (assumption ii). We call the positive square root of $a \in F_+$ as $\sqrt{a}$. If $b = 0$ and $a \le 0$ then we can take the square root $\sqrt{-a} i$. So we can assume $b \ne 0$.

    ii. Set

$$L = \sqrt{a^2 + b^2}, \quad p = \frac{L + (a + bi)}{2}, \quad M = \frac{\sqrt{(L+a)^2 + b^2}}{2}, q = \frac{p}{M}\sqrt{L}. \tag{49}$$

$M \ne 0$ because $b \ne 0$.

[*9] By assumption ii, we can detrermine if a number is positive or negative.

iii. $q^2 = a + bi$ holds. ☺

$$q^2 = \frac{4}{(L+a)^2 + b^2} \cdot \frac{(L+a+bi)^2}{4} \cdot L \tag{50}$$

$$= \frac{(L+a)^2 - b^2 + 2(L+a)bi}{L^2 + 2aL + a^2 + b^2} L \tag{51}$$

$$= \frac{L^2 + 2aL + a^2 - b^2 + 2(L+a)bi}{2L^2 + 2aL} L \tag{52}$$

$$= \frac{2a^2 + 2aL + 2(L+a)bi}{2L + 2a} \tag{53}$$

$$= a + bi. \tag{54}$$

(b) $ax^2 + bx + c = 0$ $(a, b, c \in F[i])$ have a root in $F[i]$. ☺If $a = 0$ then obvious. If $a \neq 0$, we can make the completing square, so we can solve the equation by (a).

15. $y_\lambda, y_\mu \in \overline{F}$ are the roots of $X^2 - (y_\lambda + y_\mu)X + y_\lambda y_\mu$. This polynomial have $F[i]$ coefficients by (13). By (14), the roots are in $F[i]$, so $y_\lambda, y_\mu \in F[i]$.

16. (First half ends): Hence f has a root in $F[i]$.

17. (Last half starts): Let $f \in F[i][X]$.

18. $f\overline{f} \in F[X]$ holds. ☺Write f as $\sum_j (a_j + ib_j)x^j$.

$$f\overline{f} = [\sum_j (a_j + ib_j)x^j] \cdot [\sum_k (a_k + ib_k)x^k] \tag{55}$$

$$= [\sum_j (a_j + ib_j)(a_j - ib_j)x^{2j}] + [\sum_{j>k}(a_j + ib_j)(a_k - ib_k)x^{j+k}] + [\sum_{j<k}(a_j - ib_j)(a_k + ib_k)x^{j+k}] \tag{56}$$

$$= \sum_j (a_j^2 + b_j^2)x^{2j} + 2\sum_{j>k}(a_j a_k + b_j b_k)x^{j+k} \tag{57}$$

$$\in F[X]. \tag{58}$$

19. By (1-16), $f\overline{f}$ has a root x in $F[i]$. So x is a root of f or a root of $\overline{f}$ [*10] . If x is a root of f, we complete the proof. If x is a root of $\overline{f}$, $\overline{x}$ is a root of f (Take an allover conjugate).

− (iii ⇒ i):

1. F is real? (We will prove $-1 \notin F$ and use Theorem 1.1.8)
   (a) The solutions of $X^2 = -1$ are only $i, -i$. [*11]
   (b) $i, -i \notin F$, so $-1 \notin F^2$.
   (c) $F^2 = \sum F^2$? ($\subset$ is obvious. We will prove only $\supset$.)
      i. It is sufficient to prove for all $a, b \in F$ there exists $x \in F$ such that $a^2 + b^2 = x^2$.
      ii. Let $c, d \in F$ as $a + ib = (c + id)^2$. Take c, d exists because $F[i]$ is algebraically closed.
      iii. We can take x as $c^2 + d^2$.☺

$$x^2 = (c^2 + d^2)^2 \tag{59}$$

$$= c^4 + 2c^2 d^2 + d^4 \tag{60}$$

$$= (c^2 - d^2)^2 + 4c^2 + d^2 \tag{61}$$

$$\overset{\boxed{\text{ii}}}{=} a^2 + b^2. \tag{62}$$

(d) By (b) and (c), $-1 \notin \sum F^2$.
(e) By Theorem 1.1.8, F is real.

[*10] Assume x is not a root of neither. $f(x) \neq 0$ and $\overline{f}(x) \neq 0$. So $f(x)\overline{f}(x) \neq 0$. But this is a contradiction.
[*11] "Since $F[i]$ is a field." is nonsense to me.

2. $F[i]$ is the only nontrivial algebraic extension because $F[i]$ is (by assumption iii) algebraically closed. (If we intend to add a root x of f to F, $x \in F[i]$.)

3. $-1 \in \sum(F[i])^2$ because $i^2 = -1 \in F[i]$.

4. $F[i]$ is not real.

5. By (2) and (4), all the algebraic extensions of F are not real.

6. By (1) nad (5), F is real closed.

- (Theorem 1.2.2.):キモだけ。
  - (i⇒ii):
    * 「hence, $F[\sqrt{a}]$ is not real」：真に拡張してしまっているので、「real field である」という方がおかしいということになる。
    * 「only one possible ordering」：
      $\sum F^2$ について、F は real なので、$-1 \notin \sum F^2$ となり、$\sum F^2$ は proper cone になっている。よって、Proposition 1.1.5. より、proper cone によって ordering が定まってしまう。
    * 「it remains to show that, if $f \in F[X]$ has...」：奇数次を持つ $f \in F[X]$ が F に根を持たなかったとする。$\deg f = 1$ だと根を持つにきまっているから $\deg f > 1$ としてよい。さらに、$d = \deg f$ として、d より小さい奇数次までは根を持っていたとしてもよい。
      すると、f は既約であるということになる。なぜなら、仮に分解できたら、奇数次を分解するのだから分解した因子のほうに d 次より小さい奇数次の多項式が出てきて、それが仮定より根を持つからである。
    * 「The polynomial g_h is symmetric in ...」：Fact として、対称多項式は、その係数の基本対称式の和と積 (つまり多項式) として書くことができる。
      さらに、$y_1, \ldots, y_d$ を根に持つ多項式が f であり、f は F 係数だったのだから、根と係数の関係から $y_1, \ldots, y_d$ の基本対称式は $\in F$ であり、したがって $g_h \in F[X]$ である。
    * (range over $\mathbb{Z}$): ハトノスを使う。
    * (The field F is real...): なぜか順序が逆に書いてあるので、$a^2 + b^2 = (c^2 + d^2)^2$ まで読めばできる。c, d は、代数的閉体と仮定したので存在する。
    * (To conclude..): F の代数的拡張は、$F[X]/(f)$ だが、$F[i]$ は代数的閉体という仮定から、f が既約ならそれは 2 次以下であることがわかる (共役を根に持つから。)。(cf, $\mathbb{C}$ の 2 次拡大はない。)

- (Example 1.2.3):
  - ($\mathbb{R}$): $\mathbb{C} = \mathbb{R}[i]$, and $\mathbb{C}$ is algebraically closed. Use (iii).
  - ($\mathbb{R}_{\text{alg}}$):
    * (Field): Let $a, b \in \mathbb{R}_{\text{alg}}$. $\mathbb{Q} \subset \mathbb{Q}[a, b] \subset \mathbb{R}_{\text{alg}}$ and $\mathbb{Q}[a, b]$ is an algebraic extension of $\mathbb{Q}$. So $a + b \in \mathbb{Q}[a, b] \subset \mathbb{R}_{\text{alg}}$ and $ab \in \mathbb{Q}[a, b]$. If $a \neq 0$ then $a^{-1} \in \mathbb{Q}[a] \subset \mathbb{R}_{\text{alg}}$.
    * (point): $\mathbb{R}_{\text{alg}}$-coefficient polynomial's roots are in $\mathbb{R}_{\text{alg}}$. $\odot x$ is a root of $a_n x^n + \cdots + a_0 = 0$ ($a_i \in \mathbb{R}_{\text{alg}}$).

$$a_0, \ldots, a_n \in \mathbb{Q}[a_0, \ldots, a_n]. \tag{63}$$

Because $a_0, \ldots, a_n$ are algebraic over $\mathbb{Q}$, $\mathbb{Q}(a_0, \ldots, a_n)$ is an algebraic extension of $\mathbb{Q}$. So $[\mathbb{Q}(a_0, \ldots, a_n) : \mathbb{Q}] < \infty$. $\mathbb{Q}(a_0, \ldots, a_n)(x)$ is an algebraic extension of $\mathbb{Q}(a_0, \ldots, a_n)$. So $[\mathbb{Q}(a_0, \ldots, a_n, x) : \mathbb{Q}(a_0, \ldots, a_n)] < \infty$. By a fact,

$$[\mathbb{Q}(a_0, \ldots, a_n, x) : \mathbb{Q}] = [\mathbb{Q}(a_0, \ldots, a_n, x) : \mathbb{Q}(a_0, \ldots, a_n)][\mathbb{Q}(a_0, \ldots, a_n), \mathbb{Q}] < \infty. \tag{64}$$

So $\mathbb{Q}(a_0, \ldots, a_n, x)$ is an algebraic extension of $\mathbb{Q}$ (think of $1, x, x^2, \ldots$. We have a linearly dependent.). So x is algebraic over $\mathbb{Q}$, then $x \in \mathbb{R}_{\text{alg}}$.

    * (unique ordering): We will prove $\sum(\mathbb{R}_{\text{alg}})^2 = \mathbb{R}_{\text{alg} \geq 0}$. If $a \in \mathbb{R}_{\text{alg}}$ and $a \geq 0$ then $\sqrt{a} \in \mathbb{R}$. Because a is a root of $\mathbb{R}_{\text{alg}}$-coefficient $X^2 - a$. So $\sqrt{a} \in \mathbb{Q}[\sqrt{a}] \subset \mathbb{R}_{\text{alg}}$. We have $\sum(\mathbb{R}_{\text{alg}})^2 \cup -\sum(\mathbb{R}_{\text{alg}})^2 = \mathbb{R}_{\text{alg} \geq 0} \cup \mathbb{R}_{\text{alg} \leq 0} = \mathbb{R}_{\text{alg}}$. So induced ordering by $\mathbb{R}$ is the unique ordering of $\mathbb{R}_{\text{alg}}$.

* (odd polynomial): $f = a_n x^n + \cdots + a_0$ is odd degree ($a_i \in \mathbb{R}_{\mathrm{alg}}$). f have a root in $\mathbb{R}$. By (point), the root in $\mathbb{R}_{\mathrm{alg}}$.
  * (real closed): Use (ii).
- (Puiseux series with real coefficients): $\mathbb{R}(X)\hat{\ }$ is a set of formal series:

$$\mathbb{R}(X)\hat{\ } = \left\{ \sum_{i=k}^{\infty} a_i X^{i/q}; k \in \mathbb{Z}, q \in \mathbb{N} - \{0\}, a_i \in \mathbb{R} \right\}. \tag{65}$$

$\mathbb{C}(X)\hat{\ }$ is similiar. $\mathbb{R}(X)\hat{\ }$ is real closed. ☺ It is known that $\mathbb{C}(X)\hat{\ }$ is algebraically closed. $\mathbb{C}(X)\hat{\ } = \mathbb{R}(X)\hat{\ }[i]$ because

$$\sum_{i=k}^{\infty} (a_i + \sqrt{-1} b_i) X^{i/q} = \sum_{i=k}^{\infty} a_i X^{i/q} + \sqrt{-1} \sum_{i=k}^{\infty} b_i X^{i/q}. \tag{66}$$

- A positive element of $\mathbb{R}(X)\hat{\ }$ is a Puiseux series of the form $\sum_{i=k}^{\infty} a_i x^{i/q}$ with $a_k > 0$. ☺ We need to prove that it is square. Think of a square of an element of $\mathbb{R}(X)\hat{\ }$.

$$(\sum_{i=k}^{\infty} b_i X^{i/2q})^2 = (\sum_{i=k}^{\infty} b_i X^{i/2q})(\sum_{i=k}^{\infty} b_i X^{i/2q}) \tag{67}$$

$$= \sum_{d=2k}^{\infty} \sum_{i=0}^{d-2k} b_{k+i} b_{(d-2k)-i} X^{d/2q} \tag{68}$$

$$= b_k^2 X^{2k/2q} + (b_k b_{k+1} + b_{k+1} b_k) X^{(2k+1)/2q} + \dots. \tag{69}$$

So we can set $b_k = \sqrt{a_k}$ and $b_{k+1}, \dots$ recursively. If $a_k < 0$, we cannot make such a process.
- We use the same interval symbols $[a, b]$, $]a, b[$.
- (Proposition 1.2.4):
  - R: real closed field
  - $f \in R[X]$
  - $a, b \in R$: $a < b$
  - $f(a)f(b) < 0$

  then there exists $x \in]a, b[$ such that $f(x) = 0$.

  ☺
  1. By (iii) of the (Theorem 1.2.2), the irreducible factors of f are linear or have the form of $(X - (c + di))(X - (c - di)) = (X - c)^2 + d^2$ for $c, d \in R$.
  2. The latters don't yield opposite sign.
  3. There exists a linear factor of f who has opposite sign at a and b. Name it $g(X) = X - x$. Now, x is a root of f. $g(a)g(b) < 0$.
  4. g is strictly increasing, so $g(a) < 0$ and $g(b) > 0$. So $g(a) < g(x) = 0 < g(b)$. By increasingness, $a < x < b$.

- (Proposition 1.2.5):
  - R: real closed field
  - $f \in R[X]$
  - $a, b \in R$: $a < b$, $f(a) = f(b) = 0$

  then f' has a root in $]a, b[$.

  ☺
  1. We can suppose that a and b are two consecutive roots of f, i.e. f never vanishes in $]a, b[$. (We can replace nearer roots if they are not consecutive.)
  2. Factorize f as

$$f = (X - a)^m (X - b)^n g \tag{70}$$

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where g never vanishes in $]a, b[$.

3. Differentiate f (algebraic derivative)

$$f' = m(X-a)^{m-1}(X-b)^n g + (X-a)^m n(X-b)^{n-1} g + (X-a)^m (X-b)^n g' \qquad (71)$$

$$= (X-a)^{m-1}(X-b)^{n-1} \underbrace{[m(X-b)g + n(X-a)g + (X-a)(X-b)g']}_{:=g_1}. \qquad (72)$$

4. $g(a)$ and $g(b)$ have the same signs because (2) and the contraposition of (Proposition 1.2.4).
5. $g_1(a) = m(a-b)g(a)$ and $g_1(b) = n(b-a)g(b)$, hence $g_1(a)$ and $g_1(b)$ have opposite signs.
6. By (Proposition 1.2.4), g_1 has a root in $]a, b[$ and so does f'.

- (Corollary 1.2.6):
    - R: real closed field
    - $f \in R[X]$
    - $a, b \in R$: $a < b$

  then there exists $c \in]a, b[$ such that $f(b) - f(a) = (b-a)f'(c)$. ☺
    1. Let $g(x) = f(x) - [\frac{f(b)-f(a)}{b-a}(x-a) + f(a)]$.
    2. $g(a) = 0$ obviously holds.

$$g(b) = f(b) - [\frac{f(b) - f(a)}{b - a}(b - a) + f(a)] = 0. \qquad (73)$$

   3. Apply (Proposition 1.2.6) to g.

- (Corollary 1.2.7):
    - R: real closed field
    - $f \in R[X]$
    - $a, b \in R$: $a < b$
    - f' is positive (resp. negative) on $]a, b[$

  then f is strictly increasing (resp. strictly decreasing) on $[a, b]$.
  ☺Obvious from (Corollary 1.2.6).

- (Definition 1.2.8):
    - R: real closed field
    - $f, g \in R[X]$

  The strum sequence of f and g is the sequence of polynomials $(f_0, \ldots, f_k)$ define as follows
    - $f_0 = f$
    - $f_1 = f'g$
    - $f_2 = f_1 q_2 - f_0$ with $q_2 \in R[X]$ and $\deg(f_2) < \deg(f_1)$.
    - $f_i = f_{i-1}q_i - f_{i-2}$ with $q_i \in R[X]$ and $\deg(f_i) < \deg(f_{i-1})$
    - f_k is a GCD of f and $f'g$. *12

  ☺The Strum sequence is determined by f and g because for $i \geq 2$, f_i is determined by division algorithm.
  The stop of the sequence is Euclid algorithm. $(\text{GCD}(f_0, f_1) = \text{GCD}(f_1, f_2) = \ldots)$

- (Definition, sign change): Define for an sequence $(a_0, \ldots, a_k)$ where $a_0 \neq 0$. count one sign change$a_i a_l < 0$
    - with $l = i + 1$
    - $l < i + 1$ and $a_j = 0$ ofr every $j(i < j < l)$.

  i.e. the number of successive subsequence such that $ab < 0$ and
    - (a, b)
    - $(a, 0, b)$
    - $(a, 0, 0, b)$

---

*12 GCD has an ambiguity of unit.

- $\vdots$

I write the sign change of a sequence $(a_0, \ldots, a_k)$ as $\mathrm{SC}(a_0, \ldots, a_k)$ on my own.

- (Definition, $v(f, g; a)$):
  - $f, g \in R[X]$
  - $a \in R$: a is not a root of f (To satisfy the hypothesis of the definition of sign change.)
  - $(f_0, \ldots, f_k)$: the Strum sequence of f and g
- (Theorem 1.2.9, Sylvester's Theorem):
  - R: real closed field
  - $f, g \in R[X]$
  - $a, b \in R$: $a < b$, neither a nor b are roots of f

  then

$$\# \{x \in \,]a, b[\, ; f(x) = 0 \wedge g(x) > 0\} - \# \{x \in \,]a, b[\, ; f(x) = 0 \wedge g(x) < 0\} = v(f, g; a) - v(f, g; b). \quad (74)$$

(We don't care of multiplicity.)

- 1. Define $(g_\bullet)$ as

$$(g_0, \ldots, g_k) := (f_0/f_k, \ldots, f_k/f_k). \quad (75)$$

2. Let x is not a root of f. Because $f_k | f$, x is not a root of f_k. So division by $f_k(x)$ is reasonable and a sequence $(g_0(x), \ldots, g_k(x))$ makes sense.

3. The signs of $(f_0(x), \ldots, f_k(x))$ and $(g_0(x), \ldots, g_k(x))$ coincide for each $x \in R$.
   (Book: This implies for all $x \in R \setminus \{\text{roots of } f\}$[13]

$$\mathrm{SC}(f_0(x), f_1(x)) = \mathrm{SC}(g_0(x), g_1(x)), \quad \mathrm{SC}(f_{i-1}(x), f_i(x), f_{i+1}(x)) = \mathrm{SC}(g_{i-1}(x), g_i(x), g_{i+1}(x)). \quad (76)$$

)

4.

$$\{\text{roots of } g_0\} = \{\text{roots of } f\} \setminus \{\text{roots of } g\} \quad (77)$$

   ?

   (a) Calculate g_0.

   (b) Assume

$$f = (x - a_1)^{A_1} \ldots (x - a_l)^{A_l}(x - b_1)^{B_1} \ldots (x - b_m)^{B_m} F(x) \quad (78)$$
$$g = (x - b_1)^{C_1} \ldots (x - b_m)^{C_m}(x - c_1)^{D_1} \ldots (x - c_n)^{D_n} G(x) \quad (79)$$

   where $a_\bullet, b_\bullet, c_\bullet$ are different and F, G don't have root in R. $A_\bullet, B_\bullet, C_\bullet, D_\bullet \geq 1$. ($b_\bullet$ are the common roots of f and g, $a_\bullet$ are the roots only of f, $c_\bullet$ are the roots only of g.)

   (c) There exists $F_1(x) \in R[x]$ such that

$$f' = (x - a_1)^{A_1 - 1} \ldots (x - a_l)^{A_l - 1}(x - b_1)^{B_1 - 1}(x - b_m)^{B_m - 1} F_1(x). \quad (80)$$

   where F_1 doesn't disappear at $a_\bullet, b_\bullet$ (Calculate!).

   (d)

$$f'g = (x - a_1)^{A_1 - 1} \ldots (x - a_l)^{A_l - 1}(x - b_1)^{B_1 + C_1 - 1}(x - b_m)^{B_m + C_m - 1} F_1(x) G(x). \quad (81)$$

---

[13] the exclusion of roots is needed for the definition of $\mathrm{SC}(f_0(x), f_1(x)) = \mathrm{SC}(f(x), f'g(x))$.

(e)

$$\mathrm{GCD}(f, f'g) = (x - a_1)^{A_1 - 1} \ldots (x - a_l)^{A_l - 1}(x - b_1)^{B_1} \ldots (x - b_m)^{B_m} \tag{82}$$

$$\times \mathrm{GCD}\left(\underbrace{\underbrace{(x - a_1) \ldots (x - a_l)F_1(x)}_{H_1(x):=}, \underbrace{(x - b_1)^{C_1 - 1} \ldots (x - b_m)^{C_m - 1}F_1(x)G(x)}_{H_2(x):=}}_{H(x):=} \right).$$

$$\tag{83}$$

(by (b), $C_\bullet - 1 \geq 0$)

(f) By the definition of GCD ($H|H_1$ and $H|H_2$), the roots of H is a root of H_1 and H_2.

(g) If ξ is not a root of H_1 or not a root of H_2 then ξ is not a root of H.

(h) By (c), $b_\bullet$ are not roots of H_1.

(i) By (b) and (c), $a_\bullet$ are not roots of H_2.

(j) (g,h,i) implies $a_\bullet, b_\bullet$ are not roots of H.

(k) By (e, j),

$$\frac{f}{\mathrm{GCD}(f, f'g)} = (x - a_1) \ldots (x - a_l) \underbrace{\frac{F(x)}{H(x)}}_{\in R[x]}. \tag{84}$$

because $f/\mathrm{GCD}(f, f'g) \in R[x]$. By (b), $\frac{F}{H}$ have no root at $a_\bullet$.

(l) By definition of g_0, $g_0 = \pm f/\mathrm{GCD}(f, f'g)$. $a_\bullet$ were the roots of f which are not roots of g.

5. $i \in \{0, \ldots, k\}$, $g_{i-1} \perp g_i$ ☺Because $f_k = \pm\mathrm{GCD}(f, f'g)$, $\mathrm{GCD}(g_0, g_1) = 1$. Next $f_i = f_{i-1}q_i - f_{i-2}$, so $(f_{i-1}, f_{i-2}) = (f_{i-1}, f_{i-1}q_i - f_i) = (f_{i-1}, f_i) = (1)$.

6. Let c be a polynomial g_i.

(a) When $g_i = g_0$. c is a root of g_0. (Pay attention to Proposition 1.2.4 intermediate-value theorem from here!)

i. By (5), c is not a root of g_1. (the sign change happens immediately!)

ii. By (4), $f(c) = 0$ and $g(c) \neq 0$.

iii. We define the sign of $f'(c_-)$ as the sign of f' immediately to the left of c. We can take "immediate left" because the roots of f' are finite and intermediate-value theorem. We define $f'(c_+)$ similarly.

iv. $f'(c_-) \neq 0$ and $f'(c_+) \neq 0$. ☺Assume $f'(c_-) = 0$. We have infinitely many "immediate left" points, so f' vanishes at infinitely many points. Polynomial $f' \equiv 0$. $f(c) = 0$ (ii) and $f' \equiv 0$ imply $f \equiv 0$. This contradicts to "neither a nor b are roots of f".

v. By (ii,iv), we have eight cases:

$$(g(c), f'(c_-), f'(c_+)) = (+++), (++-), (+-+), (+--), (-++), (-+-), (--+), (---). \tag{85}$$

vi. In every case as x passes through c [*14] , the number of sign changes in $(f_0(x), f_1(x))$
- $g(c) > 0 \implies$ decreases by 1
- $g(c) < 0 \implies$ increases by 1

(We don't have to think of the case of $g(c) = 0$ because ii)

(b) i. When $i = 1, \ldots, k$.

ii. $g_i(c) = 0$

iii. By (5), $g_{i-1} \perp g_i$ and $g_i \perp g_{i+1}$. This means $g_{i-1}(c) \neq 0$ and $g_{i+1}(c) \neq 0$.

[*14] Immediate left c_- and right c_+

iv. $g_{i-1}(c)g_{i+1}(c) < 0$. ☺By definition of a sequence, $g_{i+1} = g_i q_{i+1} - g_{i-1}$

$$g_{i+1}(c) = g_i(c)q_{i+1}(c) - g_{i-1}(c) \overset{\boxed{\text{ii}}}{=} -g_{i-1}(c). \tag{86}$$

v. The signs of $(f_{i-1}(x), f_i(x), f_{i+1}(x))$ is $(+ + -), (- + +), (+ - -), (- + +)$.

vi. The number of sign changes in $(f_{i-1}(x), f_i(x), f_{i+1}(x))$ does not change passing c.

7. By intermediate-value theorem and (6), the sign changes in intervals made by roots of $g_\bullet$. We can chase the sign changes only by watching roots of $g_\bullet$, and the way the change happens is (a) or (b) (may happen simultaneously).

8.

$$\#\{x \in \,]a, b[\,; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in \,]a, b[\,; f(x) = 0 \wedge g(x) < 0\} = v(f, g; a) - v(f, g; b). \tag{87}$$

- (Example of sign change):

$$(+ - + - + - +, 6) \to (- - + - + - +, 5) \tag{88}$$
$$\to (- + + - + - +, 5) \tag{89}$$
$$\to (+ + + - + - +, 4). \tag{90}$$

- (TODO): Why "real closed"?
- (Corollary 1.2.10, Strum's Theorem):
  - R: real closed field
  - $f \in R[X]$
  - $a, b \in R$: $a < b$, $f(a) \neq 0$, $f(b) \neq 0$

  then

$$\#\{\text{roots of } f\} = v(f, 1; a) - v(f, 1; b). \tag{91}$$

  ☺Apply 1.2.9 with $g = 1$.

- (Lemma 1.2.11):
  - R : real closed field
  - $f = a_n X^n + \cdots + a_0 \in R[X]$, $a_n \neq 0$
  - $M = 1 + |a_{n-1}/a_n| + \cdots + |a_0/a_n|$

  then

  - f never vanishes on $[M, +\infty[$ and its sign is the sign of a_n.
  - f never vanishes on $]-\infty, -M]$ and its sign is the sign of $(-1)^n a_n$.

- ☺We prove the first one.
  1. Let $x \in R$, $|x| \geq M$. (Aim: $f(x) \neq 0$ and $\operatorname{sign} f(x) = \operatorname{sign} a_n$)
  2. Triangle ineq. holds.

$$\left| \frac{a_{n-1}}{a_n} x^{-1} + \cdots + \frac{a_0}{a_n} x^{-n} \right| \overset{\boxed{M > 1}}{\leq} (|b_{n-1}| + \cdots + |b_0|) M^{-1} < 1. \tag{92}$$

  3.

$$-1 < \frac{a_{n-1}}{a_n} x^{-1} + \cdots + \frac{a_0}{a_n} x^{-n} < 1. \tag{93}$$

  4.

$$0 < 1 + \frac{a_{n-1}}{a_n} x^{-1} + \cdots + \frac{a_0}{a_n} x^{-n}. \tag{94}$$

  5.

$$f(x) = a_n x^n \underbrace{\left(1 + \frac{a_{n-1}}{a_n} x^{-1} + \cdots + \frac{a_0}{a_n} x^{-n}\right)}_{>0}. \tag{95}$$

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- (Corollary 1.2.12):
    - R: real closed field
    - $f, g \in R[X]$
    - $(f_0, \ldots, f_k)$: the Strum sequence of f and g
    - $v(f, g; +\infty) = \mathrm{SC}(\mathrm{LC}f_0, \ldots, \mathrm{LC}f_k)$
    - $v(f, g; -\infty) = \mathrm{SC}(\mathrm{LC}f_0(-X), \ldots, \mathrm{LC}f_k(-X))$

  then

$$\#\{x \in R; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in R; f(x) = 0 \wedge g(x) < 0\} = v(f, g; -\infty) - v(f, g; +\infty). \quad (96)$$

- ☺
    1. Let M is larger than all the roots of $f_0, \ldots, f_k$ are in $]-M, M[$. (This is possible because the roots are finite.)
    2. By 1.2.11 (the latter),

$$v(f, g, +\infty) = \mathrm{SC}(\mathrm{LC}f_0, \ldots, \mathrm{LC}f_k) \stackrel{\boxed{1.2.11}}{=} \mathrm{SC}(f_0(M), \ldots, f_k(M)) = v(f, g, M), \quad (97)$$

$$v(f, g, -\infty) = \mathrm{SC}(\mathrm{LC}f_0(-X), \ldots, \mathrm{LC}f_k(-X)) = \mathrm{SC}((-1)^{\deg f_0}\mathrm{LC}f_0, \ldots, (-1)^{\deg f_k}\mathrm{LC}f_k) \stackrel{\boxed{1.2.11}}{=} v(f, g, -M). \quad (98)$$

    3. By 1.2.9,

$$\#\{x \in R; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in R; f(x) = 0 \wedge g(x) < 0\} = \#\{x \in]-M, M[; f(x) = 0 \wedge g(x) > 0\} - \#\{x \in]- \quad (99)$$

$$= v(f, g; -M) - v(f, g; M) \quad (100)$$

$$= v(f, g; -\infty) - v(f, g; +\infty). \quad (101)$$

- (Remark 1.2.13):
    - $f \in R[X]$: monic, square free, degree n [*15]

  then f has n roots $\iff$ the Strum sequence of f and 1 have $n + 1$ length $((\underbrace{f_0}_{=f}, \underbrace{f_1}_{=1 \cdot f' = f'}, \ldots, f_n))$ and

  leading coefficients of $f_0, \ldots, f_n$ are positive.
- ☺⟹: By 1.2.12, $v(f, 1; -\infty) - v(f, 1; +\infty) = n$. Because $\deg f = n$, the length of the Strum sequence is $\leq n + 1$. So $0 \leq v(f, 1; -\infty) \leq n$ and $0 \leq v(f, 1; +\infty) \leq n$. $v(f, 1; -\infty)$ must be n and $v(f, 1; +\infty)$ must be 0. The signs of $(f_0(+\infty), \ldots, f_n(+\infty))$ [*16] are $(+ + \cdots + +)$ because f is monic.
  ⟸: By the definition of Strum sequences, $\deg f_i = n - i$. The signs of $(f_0(+\infty), \ldots, f_n(+\infty)) = (+ + \cdots + +)$. These two imply $(f_0(-\infty), \ldots, f_n(-\infty)) = (\cdots \pm \mp)$.
- (Proposition 1.2.14, Descartes's Lemma):
    - R: real closed field
    - $f = a_n X^n + \cdots + a_k X^k \in R[X]$ with $a_n a_k \neq 0$

  then

$$\#\{x \in]0, +\infty[; f(x) = 0\} \leq \mathrm{SC}(a_n, \ldots, a_k). \quad (102)$$

- ☺
    1. Think of $n = 1$.
        (a) f has the form of $f = a_1 X + a_0$ or $f = a_1 X$.
        (b) If $f = a_1 + a_0$,

[*15] $f \perp f'$, or have no multiple roots
[*16] leading coefficients

- $a_1 > 0$ and $a_0 < 0$:f has one positive root. SC is 1.
- $a_1 > 0$ and $a_0 > 0$: f has no positive roots. SC is 0.
- $a_1 < 0$ and $a_0 < 0$: f has no positive roots. SC is 0.
- $a_1 < 0$ and $a_0 > 0$: f has one positive root. SC is 1.

OK.

(c) If $f = a_1 X$, f has no positive roots (it is zero!) and SC is 0. OK.

2. So if $n = 1$, OK.

3. We prove the statement by induction. The base case is already proved in (1-2). We assume the case of $n - 1$.

4. We can assume X does not divide f, i.e. $a_0 \neq 0$, because we can divide X as many as possible. The division doesn't change the SC nor positive roots. So $f = a_n X^n + \cdots + a_q X^q + a_0$ where $a_n \neq 0$, $a_q \neq 0$, $a_0 \neq 0$.

5. $f' = n a_n X^{n-1} + \cdots + q a_q X^{q-1}$.

6. We can apply the hypothesis of induction,

$$\# \{x \in \,]0, +\infty[\,; f'(x) = 0\} \leq \mathrm{SC}(a_n, \ldots, a_q). \tag{103}$$

7. Let $c \in R$ be the smallest positive root of f'. If it does not exist, let $c = +\infty$.

8. By intervalue theorem,

$$\mathrm{sign}\, a_q = \underbrace{\mathrm{sign}\,]0, c[}_{\text{intervalue}} \tag{104}$$

9. $f(0) = a_0$.

10.   – The case f has a root in $]0, c[$:

(a) Seeing the variation of f, $a_q a_0 < 0$ is necessary for the case.

(b)

$$\mathrm{SC}(a_n, \ldots, a_q) + 1 = \mathrm{SC}(a_n, \ldots, a_q, a_0). \tag{105}$$

(c) By Rolle's theorem, there is exactly one root in $]0, c[$. ☺If any, the property of c in (7) is wrong.

(d) So by intervalue theorem

$$\# \{\text{positive roots of } f\} - 1 \leq \# \{\text{positive roots of } f'\}. \tag{106}$$

(for a interval of f's roots, there exist at least one root of f' [*17])

(e)

$$\# \{\text{positive roots of } f\} \overset{\boxed{d}}{\leq} \# \{\text{positive roots of } f'\} + 1 \tag{107}$$

$$\overset{\boxed{6}}{\leq} \mathrm{SC}(a_n, \ldots, a_q) + 1 \tag{108}$$

$$\overset{\boxed{b}}{=} (\mathrm{SC}(a_n, \ldots, a_0) - 1) + 1 \tag{109}$$

$$= \mathrm{SC}(a_n, \ldots, a_0). \tag{110}$$

– Otherwise:

(a) By assumption, there are no roots in $]0, c[$.

(b) So (similar to 10-d)

$$\# \{\text{positive roots of } f\} \leq \# \{\text{positive roots of } f'\}. \tag{111}$$

[*17] Assume f is not zero.

(c)

$$\# \left\{\text{positive roots of } f\right\} \overset{\boxed{\text{b}}}{\leq} \# \left\{\text{positive roots of } f'\right\} \qquad (112)$$

$$\overset{\boxed{6}}{\leq} \text{SC}(a_n, \ldots, a_q) \qquad (113)$$

$$\leq \text{SC}(a_n, \ldots, a_0). \qquad (114)$$

11. In both cases of (10),

$$\# \left\{\text{positive roots of } f\right\} \leq \text{SC}(a_n, \ldots, a_0). \qquad (115)$$