

# $H^p$ spaces

## A Study of $H^p$ spaces and Inner Outer Factorization of functions in $H^p$ spaces

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on 14th May, 2023

# Notations

## » Notation and Conventions

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- \* (Open Unit Disc)  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$ .
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- \*  $\mathbb{T}$  has the normalised Lebesgue measure  $\frac{dt}{2\pi}$  unless specified otherwise.
- \*  $\mathcal{M}(\mathbb{T})$ : Banach space of complex measures on  $\mathbb{T}$  with the total variation norm.

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- \*  $\mathcal{M}(\mathbb{T})$ : Banach space of complex measures on  $\mathbb{T}$  with the total variation norm.
- \*  $n$ th Fourier coefficient of  $f \in \mathcal{L}^1(\mathbb{T})$  and  $\mu \in \mathcal{M}(\mathbb{T})$ ,  $n \in \mathbb{Z}$ :

$$\hat{f}(n) := \frac{1}{2\pi} \int_{-\pi}^{\pi} f(e^{it}) e^{-int} dt.$$

$$\hat{\mu}(n) := \int_{\mathbb{T}} e^{-int} d\mu(e^{it}).$$

- \*  $H(\mathbb{D}) = \{f : \mathbb{D} \rightarrow \mathbb{C} : f \text{ is holomorphic on } \mathbb{D}\}$   
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# Hardy Spaces

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Let  $1 \leq p \leq \infty$  and  $f \in H(\mathbb{D})$ .

For  $0 \leq r < 1$ , define  $f_r : \mathbb{T} \rightarrow \mathbb{C}$ ,  $f_r(e^{i\theta}) = f(re^{i\theta})$  for each  $e^{i\theta} \in \mathbb{T}$ .

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Define  $H^p(\mathbb{D})$ , *Hardy class of analytic functions*, by

$$H^p(\mathbb{D}) = \left\{ f \in H(\mathbb{D}) : \left\{ \|f_r\|_{L^p(\mathbb{T})} \right\}_{0 \leq r < 1} \text{ is bounded} \right\}$$

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**Theorem ([Har15])**

If  $f \in H^p(\mathbb{D})$  then  $\|f_{r_1}\|_{L^p(\mathbb{T})} \leq \|f_{r_2}\|_{L^p(\mathbb{T})}$  for  
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## Theorem

For  $1 \leq p \leq \infty$ ,  $H^p(\mathbb{D})$  is a Banach space with the norm

$$\|f\|_{H^p(\mathbb{D})} := \sup_{0 < r < 1} \|f_r\|_{L^p(\mathbb{T})} = \lim_{r \rightarrow 1} \|f_r\|_{L^p(\mathbb{T})}.$$

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$H^1$  is nice!  
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Define  $H^p(\mathbb{T}) = \left\{ f \in L^p(\mathbb{T}) : \hat{f}(n) = 0 \text{ for each } n < 0 \right\}$ .

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Define  $\mathcal{M}_a(\mathbb{T}) = \{ \mu \in \mathcal{M}(\mathbb{T}) : \hat{\mu}(n) = 0 \text{ for each } n < 0 \}$ .

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## Question

$H^p(\mathbb{D}), H^p(\mathbb{T})$  are Banach Spaces. Are they related?



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## » Poisson Kernel

## Definition (Poisson Kernel)

For each  $r \in [0, 1)$ , we define  $P_r : \mathbb{T} \rightarrow \mathbb{R}$  by

$$P_r(e^{it}) = \frac{1 - r^2}{1 + r^2 - 2r \cos t}$$

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- \* Recall the Dirichlet problem on the disk: Given  $f : \mathbb{T} \rightarrow \mathbb{C}$ . Does there exist a continuous function  $u : \overline{\mathbb{D}} \rightarrow \mathbb{C}$  such that  $u|_{\mathbb{D}}$  is harmonic and  $u|_{\mathbb{T}} = f$ ?

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- \* The solution to the Dirichlet problem on the  $\mathbb{D}$  is:

$$u(re^{i\theta}) = \begin{cases} \frac{1}{2\pi} \int_{-\pi}^{\pi} P_r(e^{i(\theta-t)}) f(e^{it}) dt & re^{i\theta} \in \mathbb{D} \\ f(e^{i\theta}) & e^{i\theta} \in \mathbb{T} \end{cases}$$

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# » Poisson Integral

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# » Poisson Integral

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## Definition (Poisson Integral)

Let  $\mu \in \mathcal{M}(\mathbb{T})$  and  $f \in L^1(\mathbb{T})$ . Then Poisson integral of  $\mu$ , denoted by  $P[\mu] : \mathbb{D} \rightarrow \mathbb{C}$  is given by

$$P[\mu](re^{i\theta}) = \int_{\mathbb{T}} P_r(e^{i(\theta-t)}) d\mu(e^{it})$$

and Poisson integral of  $f$ , denoted by  $P[f] : \mathbb{D} \rightarrow \mathbb{C}$  is given by

$$P[f](re^{i\theta}) = \frac{1}{2\pi} \int_{-\pi}^{\pi} P_r(e^{i(\theta-t)}) f(e^{it}) dt.$$

## » Fatou's theorem (1906)

## Corollary ([Fat06])

Let  $\mu \in \mathcal{M}(\mathbb{T})$ . Then

$$\lim_{r \rightarrow 1} P[\mu] \left( re^{i\theta} \right)$$

exists for almost all  $e^{i\theta} \in \mathbb{T}$  and equals "the" Radon-Nikodym derivative of the absolutely continuous part of  $\mu$  with respect to the Lebesgue measure. As a consequence, we have that if  $f \in L^1(\mathbb{T})$  then

$$\lim_{r \rightarrow 1} P[f] \left( re^{i\theta} \right) = f \left( e^{i\theta} \right)$$

for almost all  $e^{i\theta} \in \mathbb{T}$ .



» Interaction of  $\mathbb{D}$  and  $\mathbb{T}$ 

Let  $u : \mathbb{D} \rightarrow \mathbb{C}$  be a harmonic function and  $1 \leq p \leq \infty$ . Suppose that for all  $0 \leq r < 1$ , we have that

$$\|u_r\|_p < M < +\infty$$

for some  $M > 0$ .

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$$\tilde{u}(e^{i\theta}) = \lim_{r \rightarrow 1} u(re^{i\theta})$$

exist and define a function  $\tilde{u}$  in  $L^p(\mathbb{T})$ . The following also holds:

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1. If  $p > 1$  then  $u = P[\tilde{u}]$ .
2. If  $p = 1$  then  $f = P[\mu]$  for some complex measure  $\mu$  whose absolutely continuous part is  $\frac{1}{2\pi} \tilde{u} dt$ .

## » Answering the Question

 $p > 1$ 

For  $p > 1$ , consider the map

$$\begin{aligned} H^p(\mathbb{T}) &\rightarrow H^p(\mathbb{D}) \\ u &\mapsto P[u] \end{aligned}$$

This is an isometric isomorphism.

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For  $p = 1$ , consider the map

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This turns out to be a isometric isomorphism.

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Theorem (F and M Riesz (1916))

*Let  $\mu \in \mathcal{M}_a(\mathbb{T})$  then  $\mu$  is absolutely continuous.*

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## » Szegő's theorem

 $H^1$ 

## Theorem (Szegő)

Let  $f \in H^1(\mathbb{T})$ ,  $f \not\equiv 0$ . Then the function  $\log |f|$  is integrable and

$$\frac{1}{2\pi} \int_{\mathbb{T}} \log |f(e^{it})| dt \geq \log |f(e^{i0})|$$

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## Corollary

Let  $f \in H^1(\mathbb{T})$ . If  $f \not\equiv 0$  then  $f$  cannot vanish on a (measurable) subset of  $\mathbb{T}$  with positive Lebesgue measure.

# The Factorization

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## » Inner Function

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## Definition (Inner Function)

Let  $f: \mathbb{D} \rightarrow \mathbb{C}$ . Then  $f$  is said to be an *inner function* if  $f \in H^\infty(\mathbb{D})$  and the corresponding boundary function  $\tilde{f} \in H^\infty(\mathbb{T})$  has unit modulus almost everywhere on  $\mathbb{T}$ . In other words, the function  $\tilde{f}$  defined almost everywhere on  $\mathbb{T}$  by

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## Finite Blaschke Product

Let  $z_1, z_2, \dots, z_n \in \mathbb{D}$  and  $\alpha \in \mathbb{R}$ . Then the finite Blaschke product is the function given by

$$B(z) = e^{i\alpha} \prod_{k=1}^n \frac{z - z_j}{1 - \bar{z}_j z}.$$

## » Outer Function

## Definition (Outer Function)

An *outer function* is a holomorphic function  $f: \mathbb{D} \rightarrow \mathbb{C}$  of the form

$$f(re^{it}) = \alpha \exp \left[ \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{e^{it} + re^{i\theta}}{e^{it} - re^{i\theta}} k(e^{i\theta}) d\theta \right]$$

where  $\alpha \in \mathbb{C}$  with  $|\alpha| = 1$  and  $k$  is a real valued integrable function on  $\mathbb{T}$ .



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where  $\alpha \in \mathbb{C}$  with  $|\alpha| = 1$  and  $k$  is a real valued integrable function on  $\mathbb{T}$ .

## Proposition

Let  $f: \mathbb{D} \rightarrow \mathbb{C}$  be an outer function with above form.  
Then

$$f \in H^1(\mathbb{D}) \Leftrightarrow e^k \in L^1(\mathbb{T})$$

## » Inner Outer Factorization

## Theorem ([Beu49])

*Let  $f \in H^1(\mathbb{D})$  and  $f \not\equiv 0$ . Then  $f$  has a factorization  $\theta \cdot u$  where  $\theta$  is inner and  $u$  is outer. This factorization is unique up to a constant of modulus 1.*

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Idea of Proof: Define

$$u(z) = \exp \left( \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{e^{it} + z}{e^{it} - z} \log |\tilde{f}(e^{it})| dt \right)$$
$$\theta = \frac{f}{u}.$$

» Disintegrating *Inner* part

Theorem (nonzero  $H^1$  functions satisfy the Blaschke condition)

Let  $f \in H^1(\mathbb{D})$  and  $f \not\equiv 0$ . Then the zeroes of  $f$  are countable in number and satisfy the **Blaschke condition**, that is, if  $z_1, z_2, \dots$  are the zeroes of  $f$ , then

$$\sum_{k=1}^{\infty} (1 - |z_n|) < \infty \iff \prod_{n=1}^{\infty} |z_n| < \infty$$

## » Infinite Blaschke Products

## Theorem ([Bla15])

Let  $\{z_n\}_{n \in \mathbb{N}} \subset \mathbb{D} \setminus \{0\}$  be a sequence. The infinite product

$$B(z) = \prod_{n=1}^{\infty} \frac{\bar{z}_n}{z_n} \frac{z_n - z}{1 - \bar{z}_n z}$$

converges uniformly on compact subsets of  $\mathbb{D}$  iff the product  $\prod_{n=1}^{\infty} |z_n|$  converges iff

$$\sum_{n=1}^{\infty} (1 - |z_n|) < \infty.$$

When either of these is satisfied,  $B$  defines an inner function whose zeroes are  $\{z_n : n \in \mathbb{N}\}$ .

## » Infinite Blaschke Products

## Definition

An (infinite) Blaschke product is a holomorphic function  $B$  of the form

$$B(z) = z^p \prod_{n=1}^{\infty} \left[ \frac{\bar{z}_n}{|z_n|} \cdot \frac{z_n - z}{1 - \bar{z}_n z} \right]^{p_n}$$

where

1.  $p, p_1, p_2, \dots \in \mathbb{N}$ ;
2.  $\{z_n : n \in \mathbb{N}\} \subset \mathbb{D} \setminus \{0\}$ ;
3. the product  $\prod_{n=1}^{\infty} |z_n|^{p_n}$  is convergent.

Corollary (Factoring all zeroes of  $H^1(\mathbb{D})$ )

The Blaschke product formed out of the zeroes of a nonzero  $H^1(\mathbb{D})$  function is an inner function.

» So far...

Let  $f \in H^1(\mathbb{D})$ ,  $f \not\equiv 0$ . Let

$$f = \theta \cdot u$$

be its inner outer factorisation where  $\theta$  is inner and  $u$  is outer.

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be its inner outer factorisation where  $\theta$  is inner and  $u$  is outer. The outer part  $u$  has no zeroes of  $F$ , so,  $\theta$  has all the zeroes. Let  $B$  be the Blaschke product formed out of zeroes of  $u$  (which is same as that of  $f$ ). Is

$$\theta/B$$

another inner function?

## » Riesz Decomposition Theorem

## Theorem ([Rie23])

*Let  $f \in H^p(\mathbb{D})$ ,  $1 \leq p \leq \infty$ ,  $f \not\equiv 0$  and let  $B$  be the Blaschke product formed with the zeroes of  $f$  in  $\mathbb{D}$ . Let*

$$g = f/B$$

*Then  $g \in H^p(\mathbb{D})$ ,  $g$  is zerofree in  $\mathbb{D}$  and*

$$\|g\|_p = \|f\|_p.$$

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## » Singular Inner Function

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Any nonzero  $H^1$  function  $f$  can be written as  $B \cdot S \cdot u$  where  $B$  is a Blaschke product,  $S$  is a zerofree inner function and  $u$  is the outer part of  $f$ . This representation is *unique* upto multiplication by unimodular constant.

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### Definition (Singular Inner function)

A inner function  $S$  which is zerofree and  $S(0) > 0$  is called singular function.

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### Definition (Singular Inner function)

A inner function  $S$  which is zerofree and  $S(0) > 0$  is called singular function.

### Theorem ([Her11])

*Let  $g$  be a singular inner function. Then there is a unique singular positive measure  $\mu$  such that*

$$g(z) = \exp \left[ - \int_{\mathbb{T}} \frac{e^{it} + z}{e^{it} - z} d\mu(e^{it}) \right]$$

## » The Factorization Theorem

Let  $f \neq 0$  be an  $H^1$  function in the unit disc. Then  $f$  is uniquely expressible in the form of  $f = B \cdot S \cdot u$  where  $B$  is a Blaschke product,  $S$  is a singular inner function and  $u$  is an outer function (in  $H^1$ ).

## » The Factorization Theorem

Let  $f \neq 0$  be an  $H^1$  function in the unit disc. Then  $f$  is uniquely expressible in the form of  $f = B \cdot S \cdot u$  where  $B$  is a Blaschke product,  $S$  is a singular inner function and  $u$  is an outer function (in  $H^1$ ).

Let  $p$  be the order of zero of  $f$  at the origin and let  $p_1, p_2, \dots$  be the multiplicities of the remaining zeroes  $\alpha_1, \alpha_2, \dots$  of  $f$ .

Then we have that

$$B(z) = z^p \prod_{n=1}^{\infty} \left[ \frac{\overline{\alpha_n}}{|\alpha_n|} \frac{\alpha_n - z}{1 - \overline{\alpha_n} z} \right]^{p_n}$$

$$u(z) = \exp \left[ \frac{1}{2\pi} \int_{-\pi}^{\pi} \frac{e^{i\theta} + z}{e^{i\theta} - z} \left( \log \left| \tilde{u}(e^{i\theta}) \right| + ia \right) d\theta \right]$$

$$S(z) = \frac{f(z)}{B(z) u(z)} = \exp \left[ - \int \frac{e^{i\theta} + z}{e^{i\theta} - z} d\mu(\theta) \right]$$

for some positive singular measure  $\mu$  and where  $a = \arg(f/B)(0)$ .



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Thank You!