

# Functional Analysis Assignment 3

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## Note

A checkmark ✓ indicates the question has been done.

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# 1 Question 1

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Let  $V$  and  $W$  be two NLS and  $T : V \rightarrow W$  be a linear map. Show that  $T$  is continuous if and only if  $T$  maps every Cauchy sequence of  $V$  to a Cauchy sequence of  $W$ .

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*Proof.* Let  $V, W$  be two NLS and let  $T : V \rightarrow W$  be a linear map.

( $\Rightarrow$ ) Suppose that  $T$  is continuous. Let  $\{x_n\}$  be a Cauchy sequence in  $X$ . We want to show that  $\{Tx_n\}$  is Cauchy sequence in  $Y$ . To do so, let  $\varepsilon > 0$  be given. By the continuity of  $T$ , there is some  $k > 0$  such that

$$\|Tx\| \leq k \|x\| \text{ for every } x \in X. \quad (1.0.1)$$

Since  $\{x_n\}$  is Cauchy, there is some  $N \in \mathbb{N}$  such that

$$\|x_n - x_m\| < \frac{\varepsilon}{k} \text{ for every } n, m \geq N \quad (1.0.2)$$

Thus, for every  $n, m \geq N$ , we have that

$$\begin{aligned} \|Tx_n - Tx_m\| &\leq k \|x_n - x_m\| && \text{from 1.0.1} \\ &< \varepsilon && \text{from 1.0.2} \end{aligned}$$

This shows that  $\{Tx_n\}$  is Cauchy in  $Y$ .

( $\Leftarrow$ ) We prove it by contraposition. Suppose that  $T$  is not continuous. Then for every  $k > 0$ ,

$$\|Tx\| > k \|x\| \text{ for some } x \in X.$$

Thus, for each  $n \in \mathbb{N}$ , we can find some  $x_n \in X$  such that  $\|Tx_n\| > n^2 \|x_n\|$ . Consider the sequence  $\{y_n\}$  in  $V$  defined by

$$y_n = \frac{x_n}{n \|x_n\|} \text{ for each } n \in \mathbb{N}$$

We now show that  $\{y_n\}$  is Cauchy. Let  $\varepsilon > 0$  be given. Select  $N \in \mathbb{N}$  such that  $\frac{2}{N} < \varepsilon$ . For  $k \in \mathbb{N}$  and  $n \geq N$ , we have that

$$\begin{aligned} \|y_{n+k} - y_n\| &= \left\| \frac{x_{n+k}}{(n+k) \|x_{n+k}\|} - \frac{x_n}{n \|x_n\|} \right\| \\ &\leq \frac{1}{n+k} + \frac{1}{n} \\ &= \frac{2}{n} \leq \frac{2}{N} < \varepsilon \end{aligned}$$

This shows that  $\{y_n\}$  is Cauchy but on the other hand, we have that

$$\|Ty_n\| = \left\| T \left( \frac{x_n}{n \|x_n\|} \right) \right\| > n$$

This shows that  $\{Ty_n\}$  is unbounded, a property which Cauchy sequences cannot have.  $\square$

## 2 Question 2

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Let  $X$  be a real NLS and  $T : X \rightarrow \mathbb{R}$  be a non continuous linear functional. Then show that  $T(U) = \mathbb{R}$  for any non empty open subset  $U \subseteq X$ .

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*Proof.* We first show that  $T(B_X(0,1)) = \mathbb{R}$  and we will show that this is all we need. First, suppose that  $T$  is not continuous. Therefore, for every  $k > 0$ ,

$$|Tx| > k \text{ for some } x \in \overline{B_X(0,1)}. \quad (2.0.1)$$

It is clear that  $T(B_X(0,1)) \subset \mathbb{R}$ . To show the reverse inclusion, let  $\alpha \in \mathbb{R}$  then by 2.0.1, we have that there is some  $x \in X$  with  $\|x\| \leq 1$  and  $|Tx| > |\alpha| + 1$ . Now, now define the vector  $y = \frac{\alpha}{Tx}x$ . Observe that

$$Ty = \alpha \frac{Tx}{Tx} = \alpha$$

and

$$\begin{aligned} \|y\| &= \left| \frac{\alpha}{Tx} \right| \|x\| \\ &< \frac{\alpha}{|\alpha| + 1} \|x\| \\ &\leq \|x\| = 1 \end{aligned}$$

Hence, we have that  $\alpha \in T(B(0,1))$ . It remains to show that it suffices to work on the unit ball.

Let  $U$  be any nonempty open set in  $X$ . Then there is some point  $x_0 \in U$  and some  $r > 0$  such that  $B(x_0, r) \subset U$ . Observe that

$$\begin{aligned} T(B(x_0, r)) &= T(x_0 + rB(0,1)) \\ &= T(x_0) + rB(0,1) \end{aligned}$$

Since by the previous argument, we have  $B(0,1) = \mathbb{R}$ . Hence, we have that  $\mathbb{R} \subset U$  and thus, we are done.  $\square$

### 3 Question 3

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Let  $T : \mathcal{C}^1[0, 1] \rightarrow \mathcal{C}[0, 1]$  be the linear map defined by  $T(f) = f'$ ,  $f \in \mathcal{C}^1[0, 1]$ , where  $\mathcal{C}[0, 1]$  equipped with the usual sup norm  $\|\cdot\|_\infty$ . Show that  $T$  is not continuous if  $\mathcal{C}^1[0, 1]$  is equipped with the usual sup norm  $\|\cdot\|_\infty$ . But  $T$  is a continuous linear transformation and  $\|T\| = 1$ , if  $\mathcal{C}^1[0, 1]$  endowed with the following norm

$$\|f\| = \max\{\|f\|_\infty, \|f'\|_\infty\}. \quad (3.0.1)$$

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*Solution.* Let  $T : \mathcal{C}^1[0, 1] \rightarrow \mathcal{C}[0, 1]$  be given by  $Tf = f'$ . Let  $\mathcal{C}^1[0, 1]$  be given the sup norm first and  $\mathcal{C}[0, 1]$  be given the same sup norm. Consider the sequence of functions  $f_n : [0, 1] \rightarrow \mathbb{R}$  given by

$$f_n(x) = \sin(nx)$$

for every  $x \in [0, 1]$ . Then we have that

$$f'_n(x) = n \cos(nx)$$

for each  $x \in [0, 1]$ . Hence, we have that  $\|f_n\|_\infty = 1$  and

$$\begin{aligned} \|Tf_n\| &= \|f'_n\|_\infty = \|n \cos(nx)\|_\infty \\ &= n \end{aligned}$$

for each  $n \in \mathbb{N}$ . Hence, we have that  $T$  is not a bounded linear operator. On the other hand, let's suppose that  $\mathcal{C}^1[0, 1]$  is given the norm specified in Equation 3.0.1. We now that that  $T$  is continuous with the specified norm. Let  $f \in \mathcal{C}^1[0, 1]$  with  $\|f\| \leq 1$  then we have that

$$\|Tf\|_\infty = \|f'\|_\infty \leq \|f\| \leq 1.$$

Hence, this shows that  $T$  is continuous. □

## 4 Question 4

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Let  $X$  and  $Y$  be two NLS and  $T$  be a continuous linear map from  $X$  into  $Y$ . Show that following holds:

$$\underbrace{\sup\{\|Tx\|_Y : \|x\|_X \leq 1\}}_{:=\alpha} = \underbrace{\sup\{\|Tx\|_Y : \|x\|_X < 1\}}_{:=\beta} \quad (4.0.1)$$

$$= \underbrace{\sup\{\|Tx\|_Y : \|x\|_X = 1\}}_{:=\chi} \quad (4.0.2)$$

$$= \underbrace{\sup\left\{\frac{\|Tx\|_Y}{\|x\|_X} : x \in X, x \neq 0\right\}}_{:=\delta}. \quad (4.0.3)$$

*Solution.* We first prove that  $\alpha = \beta$ . Observe that

$$\begin{aligned} \{\|Tx\|_Y : \|x\|_X < 1\} &\subset \{\|Tx\|_Y : \|x\|_X \leq 1\} \\ \rightsquigarrow \sup\{\|Tx\|_Y : \|x\|_X < 1\} &\leq \sup\{\|Tx\|_Y : \|x\|_X \leq 1\} \\ &\rightsquigarrow \beta \leq \alpha \end{aligned}$$

Now, let  $\varepsilon > 0$  be given. Then there exists  $x_0 \in X$  satisfying  $\|x_0\|_X \leq 1$  such that

$$\alpha - \varepsilon < \|Tx_0\|_Y.$$

For each  $n \in \mathbb{N}$ , we have that

$$\left(1 - \frac{1}{n}\right)(\alpha - \varepsilon) < \left\|T\left(\left(1 - \frac{1}{n}\right)x_0\right)\right\| \leq \beta. \quad (4.0.4)$$

Note that last inequality is true because

$$\left\|\left(1 - \frac{1}{n}\right)x_0\right\| = \left(1 - \frac{1}{n}\right)\|x_0\| < 1.$$

Let  $n \rightarrow \infty$  in 4.0.4, we have that

$$(\alpha - \varepsilon) \leq \beta.$$

Since  $\varepsilon > 0$  is arbitrary, we have that  $\alpha \leq \beta$  and this completes the proof of the first equality.

We now proceed to show the equality  $\alpha = \chi$ . By subset argument, it is easy to see that  $\chi \leq \alpha$ . To show the reverse inequality, let  $\varepsilon > 0$  be given. Then there exists  $x \in X$  with  $\|x\|_X \leq 1$  such that

$$\alpha - \varepsilon < \|Tx_0\|_Y$$

If  $\|x_0\| = 0$  then we would have that  $\alpha - \varepsilon < 0 \leq \beta$  and since  $\varepsilon > 0$  is arbitrary, we would be done. So, assume that  $\|x_0\| > 0$ . Then we would have that

$$\frac{\alpha - \varepsilon}{\|x_0\|} < \left\|T\left(\frac{x_0}{\|x_0\|}\right)\right\| \leq \chi \rightsquigarrow \alpha - \varepsilon \leq \|x_0\| \chi \rightsquigarrow \alpha - \varepsilon \leq \chi.$$

Since  $\varepsilon > 0$  is arbitrary, we would be done.

We finally show that  $\chi = \delta$ . Observe that the sets

$$\sup\{\|Tx\|_Y : \|x\|_X = 1\} = \sup\left\{\frac{\|Tx\|_Y}{\|x\|_X} : x \in X, x \neq 0\right\}.$$

and hence we are done. □

## 5 Question 5

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Let  $T$  be a finite rank (say of rank  $k$ ) continuous linear operator from a Hilbert space  $H$  into itself. Show that there exist a linearly independent set  $\{x_1, \dots, x_k\}$  and  $\{y_1, \dots, y_k\}$  in  $H$  such that

$$T = (x_1 \otimes y_1) + \dots + (x_k \otimes y_k).$$


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*Solution.* Let  $k \in \text{ran } T$ . Then  $k = Tf$  for some  $f$ , i.e.  $k = \lambda g$ , where  $\lambda = \langle f, h \rangle$ . So every element in  $\text{ran } T$  is a scalar multiple of  $g$ . Thus,  $\text{ran } T$  has a basis consisting of  $\{g\}$ , i.e. it has dimension 1.

Now assume that  $T$  is finite rank. Let  $g'_1, \dots, g'_n$  be an orthonormal basis of  $\text{ran } T$ . Then, for every  $f \in H$ ,  $Tf = \sum_j \lambda_j(f) g'_j$ , with the coefficients  $\lambda_1(f), \dots, \lambda_n(f)$  uniquely determined for each  $f$ . So, for each  $j$ , the map  $f \mapsto \lambda_j(f)$  is a linear functional on  $H$ . Note that

$$|\lambda_j(g)| \leq \left( \sum_{k=1}^n |\lambda_k(f)|^2 \right)^{1/2} = \|Tf\| \leq \|T\| \|f\|,$$

so every  $\lambda_j$  is a bounded functional. By the Riesz Representation Theorem, there exist vectors  $e'_1, \dots, e'_n$  such that  $\lambda_j(f) = \langle f, e'_j \rangle$ . So

$$Tf = \sum_{j=1}^n \langle f, e'_j \rangle g'_j, \quad f \in H.$$

Now, using Gram-Schmidt, there exist  $e_1, \dots, e_n$ , orthonormal, such that

$$e'_k = \sum_{j=1}^k \lambda_{kj} e_j$$

for coefficients  $\{\lambda_{kj}\}_{k=1, \dots, n; j=1, \dots, k}$  (note that these are not the equalities from Gram-Schmidt, but rather the \*inverse\* form, where we express the old vectors in terms of the new orthonormal ones). Then

$$Tf = \sum_{k=1}^n \langle f, e'_k \rangle g'_k = \sum_{k=1}^n \langle f, \sum_{j=1}^k \lambda_{kj} e_j \rangle g'_k = \sum_{k=1}^n \sum_{j=1}^k \lambda_{kj} \langle f, e_j \rangle g'_k = \sum_{j=1}^n \langle f, e_j \rangle \left( \sum_{k=j}^n \lambda_{kj} g'_k \right).$$

Letting  $g_j = \sum_{k=j}^n \lambda_{kj} g'_k$ ,  $j = 1, \dots, n$ , we get the desired expression.  $\square$

This solution was borrowed from here!

## 6 Question 6

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For each  $y = (y_j)_{j \in \mathbb{N}}$  in  $\ell^\infty(\mathbb{N})$ , consider the map  $T_y : \ell^1(\mathbb{N}) \rightarrow \mathbb{C}$  defined by

$$T_y(x) = \sum_{j=1}^{\infty} x_j y_j, \quad x = (x_j)_{j \in \mathbb{N}} \in \ell^1(\mathbb{N}).$$

Show that the map  $y \rightarrow T_y$  is an isometry from  $\ell^\infty(\mathbb{N})$  onto  $(\ell^1(\mathbb{N}))^*$ . Thus  $(\ell^1(\mathbb{N}))^*$  is isometrically isomorphic to  $\ell^\infty(\mathbb{N})$ .

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*Solution.* Fix  $y = (y_j)_{j \in \mathbb{N}} \in \ell^\infty(\mathbb{N})$ . Consider the map

$$T_y(x) = \sum_{j=1}^{\infty} x_j y_j$$

for each  $x = (x_j)_{j \in \mathbb{N}} \in \ell^1(\mathbb{N})$ .

It is easy to see that this map is well defined, continuous linear functional by the Holder's inequality. Hence, we have that  $T_y \in (\ell^1(\mathbb{N}))^*$ .

Now, we show that the map  $F : \ell^\infty(\mathbb{N}) \rightarrow (\ell^1(\mathbb{N}))^*$  given by

$$y \mapsto T_y$$

It is easy to see that the map is linear and all we need to show is that this map is an isometry and an isomorphism as well. First, fix a  $y \in \ell^\infty(\mathbb{N})$  and observe that for any  $x \in \ell^1(\mathbb{N})$  with  $\|x\|_1 = 1$ , we have that

$$\begin{aligned} |T_y(x)| &= \left| \sum_{j=1}^{\infty} x_j y_j \right| \\ &\leq \|x\|_1 \|y\|_\infty && \text{Holder's inequality} \\ &= \|y\|_\infty \end{aligned}$$

Thus, taking supremum, we have from Question 4 that

$$\|T_y\|_{(\ell^1(\mathbb{N}))^*} \leq \|y\|_\infty$$

To show the reverse inequality, observe that for each  $i \in \mathbb{N}$ , we have that  $\|e_i\|_1 = 1$  and hence, we have that

$$|T_y(e_i)| = |y_i| \leq \|T_y\|_{(\ell^1(\mathbb{N}))^*}$$

for each  $i \in \mathbb{N}$ . Taking supremums over  $i \in \mathbb{N}$ , we have that

$$\|y\|_\infty \leq \|T_y\|_{(\ell^1(\mathbb{N}))^*}$$



This shows that  $y \mapsto T_y$  is an isometry. It remains to show that  $F$  is an isomorphism. It suffices to show that  $F$  is onto.

Let  $T \in (\ell^1(\mathbb{N}))^*$ . We need to find a  $y \in \ell^\infty(\mathbb{N})$  such that  $T = T_y$ .

For each  $i \in \mathbb{N}$ , we define

$$y_i = T(e_i).$$

We now claim that  $T = T_y$ . It is easy to see that

$$T(e_i) = T_y(e_i)$$

Note that  $\text{span}\{e_i : i \in \mathbb{N}\} = c_{00}$  and since  $\overline{c_{00}} = \ell^1(\mathbb{N})$ , we have that  $T = T_y$  as they agree on a dense subset.

This completes the proof of the claim. □

## 7 Question 7

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For each  $y = (y_j)_{j \in \mathbb{N}}$  in  $\ell^1(\mathbb{N})$ , consider the map  $T_y : \ell^\infty(\mathbb{N}) \rightarrow \mathbb{C}$  defined by

$$T_y(x) = \sum_{j=1}^{\infty} x_j y_j, \quad x \in \ell^\infty(\mathbb{N}).$$

Show that the map  $y \rightarrow T_y$  is an isometry from  $\ell^1(\mathbb{N})$  into  $(\ell^\infty(\mathbb{N}))^*$ , but not surjective.

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*Solution.* Fix  $y = (y_j)_{j \in \mathbb{N}} \in \ell^1(\mathbb{N})$ . Consider the map  $T_y : \ell^\infty(\mathbb{N}) \rightarrow \mathbb{C}$  given by

$$T_y x = \sum_{j=1}^{\infty} x_j y_j$$

for all  $x = (x_j)_{j \in \mathbb{N}} \in \ell^\infty$ . It is easy to show that this map is welldefined and that  $\|T_y\|_{(\ell^\infty)^*} \leq \|y\|_{\ell^1}$  by Holder's inequality.

Also, we need to show that  $\|y\|_{\ell^1} \leq \|T_y\|_{(\ell^\infty)^*}$ . To show the reverse inequality, let us denote  $y = (y_n)_{n \in \mathbb{N}}$ . Now, define for each  $n \in \mathbb{N}$ ,

$$\psi_n := \sum_{k=1}^n e^{-i \arg y_k} e_k.$$

It is easy to see that  $\psi_n \in c_0$  for each  $n \in \mathbb{N}$  and  $\|\psi_n\|_{c_0} = 1$ . Now observe that for each  $n \in \mathbb{N}$ , we have that

$$\begin{aligned} f_y(\psi_n) &= f_y \left( \sum_{k=1}^n e^{-i \arg y_k} e_k \right) \\ &= \sum_{k=1}^n e^{-i \arg y_k} f_y(e_k) \\ &= \sum_{k=1}^n e^{-i \arg y_k} y_k \\ &= \sum_{k=1}^n |y_k|. \end{aligned}$$

Thus, we have that  $\|f_y\|_{(\ell^\infty)^*} \geq \sum_{k=1}^n |y_k|$ . Letting  $n \rightarrow \infty$ , we have that  $\|f_y\|_{(\ell^\infty)^*} \geq \sum_{k=1}^{\infty} |y_k| = \|y\|_{\ell^1}$ .

If it happened that  $y \rightarrow T_y$  was an isometric isomorphism then  $(\ell^\infty(\mathbb{N}))^*$  would be separable since  $\ell^1(\mathbb{N})$  is separable. Then  $(\ell^\infty(\mathbb{N}))^*$  being separable would imply  $\ell^\infty(\mathbb{N})$  would be separable. But  $\ell^\infty(\mathbb{N})$  is not separable.<sup>1</sup>

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<sup>1</sup>  $X^*$  separable  $\rightsquigarrow$   $X$  separable.

Here's how one can show that  $\ell^\infty$  is not separable. It is easy to see that the set of binary sequences in  $\ell^\infty$  is uncountable. If  $\ell^\infty$  was separable then there would be a sequence  $\{x_n : n \in \mathbb{N}\}$  such that  $\overline{\{x_n : n \in \mathbb{N}\}} = \ell^\infty$ . Now, if  $y$  is a binary sequence, then there would be some  $k(y) \in \mathbb{N}$  such that  $\|y - x_{k(y)}\|_\infty < \frac{1}{2}$ .

We claim that this map  $y \mapsto k(y)$  is injective. Suppose not. Then for  $y_1, y_2$  with  $y_1 \neq y_2$  we have  $x_{y_1} = x_{y_2}$ . Then we have that

$$\begin{aligned}
 1 = \|y_1 - y_2\|_\infty &= \|y_1 - x_{y_1} + x_{y_2} - y_2\| && \text{adding zero} \\
 &\leq \|y_1 - x_{y_1}\|_\infty + \|y_2 - x_{y_2}\| && \text{triangle inequality} \\
 &< \frac{1}{2} + \frac{1}{2} \\
 &= 1 && \text{Boom! } 1 < 1!
 \end{aligned}$$

*Do I need to say more?*

□

## 8 Question 8

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Let  $c$  denotes the set of all convergent sequence and  $c_0$  denotes the set of all convergent sequences whose limit is 0.

- (a) Show that  $c$  and  $c_0$  is a closed subspace of  $\ell^\infty(\mathbb{N})$ .
  - (b) Show that  $c_0$  admits a Schauder basis, namely,  $\{e_j : j \in \mathbb{N}\}$ .
  - (c) Let  $e$  be the sequence  $(1, 1, 1, \dots)$ . Show that  $\{e, e_1, e_2, e_3, \dots\}$  forms a Schauder basis for  $c$ .
  - (d) Show that  $c_0^*$  is isometrically isomorphic to  $\ell^1(\mathbb{N})$ .
  - (e) Show that  $c^*$  is isometrically isomorphic to  $\ell^1(\mathbb{N})$  as well.
  - (f)\* Show that the space  $c_0$  and  $c$  are not isometrically isomorphic. (Hint: A point  $p$  of a closed convex set  $S$  in a normed linear space  $X$  is called an extreme point of  $S$  if  $p$  can not be written as convex combination of two distinct points in  $S$ . An isometry must take an extreme point to an extreme point. Note that closed unit ball of  $c_0$  has no extreme point but closed unit ball of  $c$  has extreme points.)
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*Proof.* Well, well:

- (a) Let  $(x_n)_{n \in \mathbb{N}}$  be a sequence in  $c_0$  which converges to some  $y \in \ell^\infty(\mathbb{N})$ . We need to show that  $y \in c_0$ .

For each  $n \in \mathbb{N}$ , let us denote

$$x_n = (x_{nk})_{k \in \mathbb{N}}.$$

Since  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $c_0$ , we have that for each  $n \in \mathbb{N}$ , the sequence  $(x_{nk})_{k \in \mathbb{N}}$  converges to 0.

Now, we proceed to show that the sequence  $(y_k)_{k \in \mathbb{N}}$  converges to  $0 \in \mathbb{C}$ . First, let  $\varepsilon > 0$  be given. Select an  $N \in \mathbb{N}$  such that

$$\|y - x_N\|_\infty < \frac{\varepsilon}{2}.$$

This can be done because  $(x_n)_{n \in \mathbb{N}}$  converges to  $y$  in the  $\ell^\infty(\mathbb{N})$  norm. Since  $(x_{Nk})_{k \in \mathbb{N}}$  converges to  $0 \in \mathbb{C}$ , we can find a  $M \in \mathbb{N}$  such that

$$|x_{Nk}| < \frac{\varepsilon}{2} \text{ for every } k \geq N.$$

Consider the following for  $k \geq N$ :

$$\begin{aligned} |y_k| &\leq |y_k - x_{Nk}| + |x_{Nk}| \\ &\leq \|y - x_N\|_\infty + |x_{Nk}| \\ &< \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \end{aligned}$$

This shows that  $y \in c_0$ . Hence,  $c_0$  is closed.

Now, we proceed to show that  $c$  is closed. Let  $(x_n)_{n \in \mathbb{N}}$  be a sequence in  $c$  converging to some  $y \in \ell^\infty(\mathbb{N})$ . We want to show that  $y \in c$ . Since for each  $n \in \mathbb{N}$ ,  $x_n \in c$ , we can let  $\xi_n = \lim_{k \rightarrow \infty} x_{nk}$ .

We now show that  $(\xi_n)_{n \in \mathbb{N}}$  is Cauchy in  $\mathbb{C}$  (hence convergent). Let  $\varepsilon > 0$  be given. Select  $N \in \mathbb{N}$  such that

$$\|x_n - x_m\|_\infty < \frac{\varepsilon}{3} \text{ for each } n, m \geq N.$$

This can be done because  $(x_n)_{n \in \mathbb{N}}$  is convergent, hence, Cauchy in  $\ell^\infty(\mathbb{N})$ .

Now, let  $n, m \geq N$ . Select  $K \in \mathbb{N}$  large enough so that

$$|\xi_n - x_{nK}| < \frac{\varepsilon}{3} \text{ and } |\xi_m - x_{mK}| < \frac{\varepsilon}{3}.$$

This can be done because  $\xi_n = \lim_{k \rightarrow \infty} x_{nk}$  for each  $n \in \mathbb{N}$ .

Therefore, we have

$$\begin{aligned} |\xi_n - \xi_m| &\leq |\xi_n - x_{nK}| + |\xi_{mK} - x_{mK}| + |x_{mK} - x_{nK}| \\ &\leq |\xi_n - x_{nK}| + |\xi_{mK} - x_{mK}| + \|x_n - x_m\|_\infty \\ &< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon. \end{aligned}$$

This shows that  $(\xi_n)_{n \in \mathbb{N}}$  is Cauchy. Hence,  $(\xi_n)_{n \in \mathbb{N}}$  converges to some  $\xi \in \mathbb{C}$ .

We now show that  $(y_k)_{k \in \mathbb{N}}$  converges to  $\xi$ . Let  $\varepsilon > 0$  be given. Select  $N \in \mathbb{N}$  large enough so that

$$\|y - x_N\|_\infty < \frac{\varepsilon}{3} \text{ and } |\xi_N - \xi| < \frac{\varepsilon}{3}.$$

Now, select  $K \in \mathbb{N}$  such that

$$|x_{Nk} - \xi_N| < \frac{\varepsilon}{3} \text{ for every } k \geq K.$$

For  $k \geq K$ , we have

$$\begin{aligned} |y_k - \xi| &= |y_k - x_{Nk} + x_{Nk} - \xi_N + \xi_N - \xi| \\ &\leq |y_k - x_{Nk}| + |x_{Nk} - \xi_N| + |\xi_N - \xi| \\ &< \|y - x_N\|_\infty + |x_{Nk} - \xi_N| + |\xi_N - \xi| \\ &< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} = \varepsilon. \end{aligned}$$

This shows that  $c$  is closed.

(b) Let  $x \in c_0$ . We show that there exists unique scalars  $(\alpha_n)_{n \in \mathbb{N}} \subset \mathbb{F}$  such that

$$x = \sum_{i=1}^{\infty} \alpha_i e_i.$$

Let  $x = (x_n)_{n \in \mathbb{N}}$ . We claim that

$$x = \lim_{n \rightarrow \infty} \sum_{i=1}^n x_i e_i$$

where the convergence is the  $\ell^\infty$ -convergence.

Let  $\varepsilon > 0$  be given. Select  $N \in \mathbb{N}$  such that

$$|x_i| < \frac{\varepsilon}{2} \text{ for all } i \geq N \rightsquigarrow \sup_{i \geq N} |x_i| \leq \frac{\varepsilon}{2} < \varepsilon.$$

Thus for  $n \geq N$ , we have

$$\begin{aligned} \|x - x_1 e_1 - x_2 e_2 - \dots - x_n e_n\|_\infty &\leq \sup_{i \geq n+1} |x_i| \\ &\leq \sup_{i \geq N} |x_i| < \varepsilon. \end{aligned}$$

This proves our claim.

Now, we prove uniqueness. Suppose that  $x \in c_0$  has two different representations:

$$x = \sum_{i=1}^{\infty} \alpha_i e_i \text{ and } x = \sum_{i=1}^{\infty} \beta_i e_i$$

We show that  $\alpha_i = \beta_i$  for each  $i \in \mathbb{N}$ . Let  $i \in \mathbb{N}$  be arbitrary. Then for any  $n \geq i$ , we have that

$$\begin{aligned} |\alpha_i - \beta_i| &\leq \left\| \sum_{k=1}^n (\alpha_k - \beta_k) e_k \right\|_\infty && \text{by definition of the } \infty \text{ norm} \\ &\leq \left\| \sum_{k=1}^{\infty} (\alpha_k - \beta_k) e_k \right\|_\infty && \text{let } n \rightarrow \infty \\ &= \|0\|_\infty = 0. \end{aligned}$$

Since  $i \in \mathbb{N}$  was arbitrary, we are done.

- (c) Let  $e = (1, 1, 1, \dots)$ . We wish to show that  $\{e, e_1, e_2, \dots\}$  is a Schauder basis for  $c$ . To do so, let  $x \in c$ . Suppose that  $x = (x_n)_{n \in \mathbb{N}}$  converges to  $\xi$ . Define a new sequence  $x_0 = x - \xi e$ . It is easy to see that  $x_0 \in c_0$ . Thus, we have from part (b) that for some unique  $(\alpha_i)_{i \in \mathbb{N}} \subset \mathbb{F}$ ,

$$x_0 = \sum_{i=1}^{\infty} \alpha_i e_i \rightsquigarrow x = \xi e + \sum_{i=1}^{\infty} \alpha_i e_i.$$

It remains to prove uniqueness. Let  $x = (x_n)_{n \in \mathbb{N}} \in c$ . Suppose that

$$x = \alpha e + \sum_{i=1}^{\infty} \alpha_i e_i \text{ and } x = \beta e + \sum_{i=1}^{\infty} \beta_i e_i.$$

It is easy to see that

$$\lambda e = \sum_{i=1}^{\infty} \lambda e_i \text{ for any } \lambda \in \mathbb{F}.$$

Therefore, we have that

$$x = \alpha e + \sum_{i=1}^{\infty} \alpha_i e_i = \sum_{i=1}^{\infty} \alpha e_i + \sum_{i=1}^{\infty} \alpha_i e_i = \sum_{i=1}^{\infty} (\alpha + \alpha_i) e_i$$

Likewise, we have that

$$x = \sum_{i=1}^{\infty} (\beta + \beta_i) e_i.$$

The same argument at the end of item (b) shows that  $\alpha + \alpha_i = \beta + \beta_i$  for each  $i \in \mathbb{N}$ . Taking limit  $i \rightarrow \infty$ , we have that  $\alpha = \beta$ .<sup>2</sup> Hence, we are done.

- (d) We need to show that there is an isometric isomorphism between  $c_0^*$  and  $\ell^1(\mathbb{N})$ . For  $y \in \ell^1(\mathbb{N})$ , consider the linear map  $f_y : c_0 \rightarrow \mathbb{F}$  given by  $f_y(x) = \sum_{i=1}^{\infty} x_i y_i$  for each  $y \in c_0$ .

We will show that the linear map  $T : \ell^1(\mathbb{N}) \rightarrow c_0^*$  given by

$$y \mapsto f_y$$

is an isometric isomorphism.

First, let  $y \in \ell^1(\mathbb{N})$ . For  $x \in c_0$  with  $\|x\|_{c_0} \leq 1$ , we have by Holder's inequality that (one does not even need Holder :))

$$|f_y(x)| \leq \|x\|_{c_0} \|y\|_{\ell^1} \leq \|y\|_{\ell^1}$$

To show the reverse inequality, let us denote  $y = (y_n)_{n \in \mathbb{N}}$ . Now, define for each  $n \in \mathbb{N}$ ,

$$\psi_n := \sum_{k=1}^n e^{-i \arg y_k} e_k.$$

It is easy to see that  $\psi_n \in c_0$  for each  $n \in \mathbb{N}$  and  $\|\psi_n\|_{c_0} = 1$ . Now observe that for each  $n \in \mathbb{N}$ , we have that

$$\begin{aligned} f_y(\psi_n) &= f_y \left( \sum_{k=1}^n e^{-i \arg y_k} e_k \right) \\ &= \sum_{k=1}^n e^{-i \arg y_k} f_y(e_k) \\ &= \sum_{k=1}^n e^{-i \arg y_k} y_k \\ &= \sum_{k=1}^n |y_k|. \end{aligned}$$

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<sup>2</sup>It can be shown that if  $\sum_{i=1}^{\infty} v_n$  converges then  $\lim \|v_n\| = 0$ .

Thus, we have that  $\|f_y\|_{c_0^*} \geq \sum_{k=1}^n |y_k|$ . Letting  $n \rightarrow \infty$ , we have that  $\|f_y\|_{c_0^*} \geq \sum_{k=1}^{\infty} |y_k| = \|y\|_{\ell^1}$ . This shows that  $T$  is an isometry.

Let  $f \in c_0^*$ . We wish to show that there is some  $y \in \ell^1$  such that  $f_y = f$ . Let  $y = (y_n)_{n \in \mathbb{N}}$  be the sequence defined by

$$y_n = f(e_n) \text{ for all } n \in \mathbb{N}.$$

Note the same argument as before shows that  $y \in \ell^1$ . Do you want me to be more explicit? There you go: Now, define for each  $n \in \mathbb{N}$ ,

$$\psi_n := \sum_{k=1}^n e^{-i \arg y_k} e_k.$$

It is easy to see that  $\psi_n \in c_0$  for each  $n \in \mathbb{N}$  and  $\|\psi_n\|_{c_0} = 1$ . Now observe that for each  $n \in \mathbb{N}$ , we have that

$$\begin{aligned} f(\psi_n) &= f\left(\sum_{k=1}^n e^{-i \arg y_k} e_k\right) \\ &= \sum_{k=1}^n e^{-i \arg y_k} f(e_k) \\ &= \sum_{k=1}^n e^{-i \arg y_k} y_k \\ &= \sum_{k=1}^n |y_k|. \end{aligned}$$

Thus, we have that  $\|f\|_{c_0^*} \geq \sum_{k=1}^n |y_k|$ . Letting  $n \rightarrow \infty$ , we have that  $\|f\|_{c_0^*} \geq \sum_{k=1}^{\infty} |y_k| = \|y\|_{\ell^1}$ . Didn't I tell you the same argument works?

Now, observe that  $f(e_i) = f_y(e_i)$  for each  $i \in \mathbb{N}$  and since they agree on a dense subset, namely  $c_{00}$ , we have that  $f = f_y$  on  $c_0$ .

- (e) The same proof as above works *mutatis mutandis*.
- (f) We first show that closed unit ball of  $c_0$  contains no extreme point. Equivalently, we need to show that every point of  $c_0$  can be written as a convex combination of two distinct points in the closed unit ball of  $c_0$ .

Let  $(x_n)$  be a sequence in the closed unit ball of  $c_0$ . Then select  $N \in \mathbb{N}$  such that  $|x_N| < \frac{1}{2}$ . Now, we define two sequences  $(y_n)_{n \in \mathbb{N}}$  and  $(z_n)_{n \in \mathbb{N}}$ :

$$y_n = \begin{cases} x_n & n \neq N \\ x_N + \frac{1}{2} & n = N \end{cases}$$

and



$$y_n = \begin{cases} x_n & n \neq N \\ x_N - \frac{1}{2} & n = N \end{cases}$$

It is easy to see that both  $(y_n)$  and  $(z_n)$  are in  $c_0$  and both are distinct. Also note that  $(x_n) = \frac{1}{2}(y_n) + \frac{1}{2}(z_n)$ . So, no point of the closed unit ball of  $c_0$  is an extreme point.

On the other hand, we show that the closed unit ball of  $c$  contains a extreme point. Consider the point  $x = (1, 1, 1, \dots)$ . Clearly,  $x$  is in the closed unit ball of  $c$ . We now show that that  $x$  is an extreme point.

Assume the contrary that  $x$  is not an extreme point. Then there exists two distinct sequences  $y = (y_n)_{n \in \mathbb{N}}$  and  $z = (z_n)_{n \in \mathbb{N}}$  such that

$$x = \lambda y + (1 - \lambda) z$$

for some  $\lambda \in [0, 1]$ .

Since  $y$  and  $z$  are distinct, select a  $n_0 \in \mathbb{N}$  such  $y_{n_0} \neq z_{n_0}$ . We may assume that  $y_{n_0} < z_{n_0}$  without loss of generality.

We now claim that  $z_{n_0} = 1$ . If not, let  $z_{n_0} < 1$ .<sup>3</sup> Then we have that

$$\begin{aligned} 1 = x_{n_0} &= \lambda y_{n_0} + (1 - \lambda) z_{n_0} \\ &< \lambda z_{n_0} + (1 - \lambda) z_{n_0} \\ &= z_{n_0}. \end{aligned}$$

This contradicts the fact that  $z_{n_0} < 1$ . Hence  $z_{n_0} = 1$ .

From here, we can conclude that

$$1 = \lambda y_{n_0} + (1 - \lambda) z_{n_0} = \lambda y_{n_0} + (1 - \lambda) 1 \rightsquigarrow \lambda = \lambda y_{n_0}.$$

If  $\lambda = 0$  then  $y = z$  which is a contradiction. Otherwise if  $\lambda \neq 0$  then  $y_{n_0} = 1$  which contradict the fact that  $y_{n_0} \neq z_{n_0}$ . This completes the proof.

□

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<sup>3</sup>Note that  $z_{n_0}$  cannot be bigger than 1 because  $z$  lies in the closed unit ball of  $c$ .