

## Lower Bound Theory(Decision Tree)

Lower Bound Theory Concept is based upon the calculation of minimum time that is required to execute an algorithm is known as a lower bound theory or Base Bound Theory.

Lower Bound Theory uses a number of methods/techniques to find out the lower bound.

**Aim:** The main aim is to calculate a minimum number of comparisons required to execute an algorithm.

### Techniques:

The techniques which are used by lower Bound Theory are:

1. Comparisons Trees.
2. Oracle and adversary argument
3. State Space Method

### 1. Comparison trees:

In a comparison sort, we use only comparisons between elements to gain order information about an input sequence  $(a_1; a_2, \dots, a_n)$ .

Given  $a_i, a_j$  from  $(a_1, a_2, \dots, a_n)$  We Perform One of the Comparisons

- $a_i < a_j$  less than
- $a_i \leq a_j$  less than or equal to
- $a_i > a_j$  greater than
- $a_i \geq a_j$  greater than or equal to
- $a_i = a_j$  equal to

To determine their relative order, if we assume all elements are distinct, then we just need to consider  $a_i \leq a_j$  '=' is excluded &  $\geq, \leq, >, <$  are equivalent.

Consider sorting three numbers  $a_1, a_2$ , and  $a_3$ . There are  $3! = 6$  possible combinations:

1.  $(a_1, a_2, a_3), (a_1, a_3, a_2),$
2.  $(a_2, a_1, a_3), (a_2, a_3, a_1)$
3.  $(a_3, a_1, a_2), (a_3, a_2, a_1)$

The Comparison based algorithm defines a decision tree.

## Decision Tree:

A decision tree is a full binary tree that shows the comparisons between elements that are executed by an appropriate sorting algorithm operating on an input of a given size. Control, data movement, and all other conditions of the algorithm are ignored.

In a decision tree, there will be an array of length  $n$ .

So, total leaves will be  $n!$  (I.e. total number of comparisons)

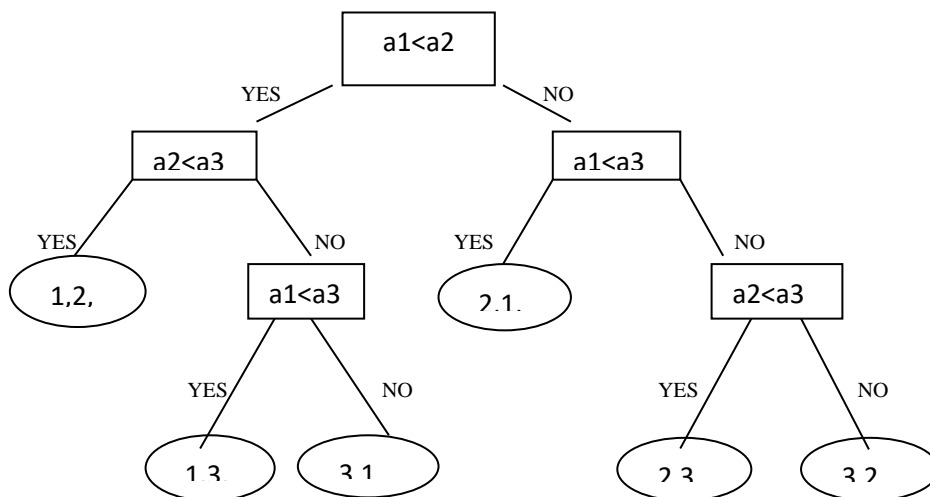
If tree height is  $h$ , then surely

$$n! \leq 2^h \text{ (tree will be binary)}$$

Taking an Example of comparing  $a_1$ ,  $a_2$ , and  $a_3$ .

Left subtree will be true condition i.e.  $a_i \leq a_j$

Right subtree will be false condition i.e.  $a_i > a_j$



So from above, we got

$$N! \leq 2^h$$

Taking Log both sides

$$\log n! \leq h \log 2$$

$$h \log 2 \geq \log n!$$

$$h \geq \log_2 [1, 2, 3, \dots, n]$$

$$h \geq \log_2 1 + \log_2 2 + \log_2 3 + \dots + \log_2 n$$

$$h \geq \sum_{i=1}^n \log_2 i$$

$$h \geq \int_1^n \log_2 i - 1 \, di$$

$$h \geq \log_2 i \cdot x^0 \int_1^n - \int_1^n \frac{1}{i} x^i \, di$$

$$h \geq n \log_2 n - \int_1^n 1 \, di$$

$$h \geq n \log_2 n - i \int_1^n$$

$$h \geq n \log_2 n - n + 1$$

ignoring the constant terms

$$h \geq n \log_2 n$$

$$h = \pi n(\log n)$$

### Comparison tree for Binary Search:

Example: Suppose we have a list of items according to the following Position:

- 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14

$$\text{Mid} = \left( \frac{1+14}{2} \right) = \frac{15}{2} = 7.5 = 7$$

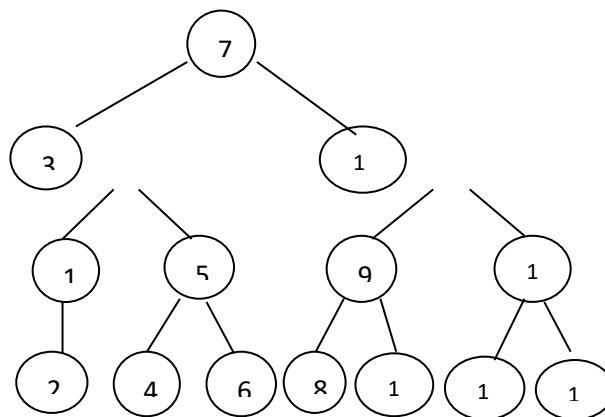
1, 2, 3, 4, 5, 6		8, 9, 10, 11, 12, 13, 14	
$\text{Mid} = \left( \frac{1+6}{2} \right) = \frac{7}{2} = 3.5 = 3$		$\text{Mid} = \left( \frac{8+14}{2} \right) = \frac{22}{2} = 11$	
1, 2	4, 5, 6	8, 9, 10	12, 13, 14
$\text{Mid} = \left( \frac{1+2}{2} \right) = \frac{3}{2} = 1.5 = 1$	$\text{Mid} = \left( \frac{4+6}{2} \right) = \frac{10}{2} = 5$	$\text{Mid} = \left( \frac{8+10}{2} \right) = \frac{18}{2} = 9$	$\text{Mid} = \left( \frac{12+14}{2} \right) = \frac{26}{2} = 13$

And the last midpoint is:

2, 4, 6, 8, 10, 12, 14

Thus, we will consider all the midpoints and we will make a tree of it by having stepwise midpoints.

According to Mid-Point, the tree will be:



**Step1:** Maximum number of nodes up to k level of the internal node is  $2^k - 1$

For Example

$2^k - 1$   
 $2^3 - 1 = 8 - 1 = 7$   
Where  $k = \text{level} = 3$

**Step2:** Maximum number of internal nodes in the comparisons tree is  $n!$

(Here Internal Nodes are Leaves.)

**Step3:** From Condition1 & Condition 2 we get

$N! \leq 2^k - 1$   
 $14 < 15$   
Where  $N = \text{Nodes}$

**Step4:** Now,  $n+1 \leq 2^k$

Here, Internal Nodes will always be less than  $2^k$  in the Binary Search.

**Step5:**

$$n+1 \leq 2^k$$

$$\log_2(n+1) = k \log_2 2$$

$$k \geq$$

$$k \geq \log_2(n+1)$$

**Step6:**

$$1. \quad T(n) = k$$

**Step7:**

$$T(n) \geq \log_2(n+1)$$

Here, the minimum number of Comparisons to perform a task of the search of n terms using Binary Search