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Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

通告 CIRCULAR

Subject: Derivatives Trading Infrastructure Upgrade and Relocation of Secondary Data

Centre

Enquiry: HKATS Hotline¹ 2211-6360

Reference is made to the circular dated 18 December 2020 (Ref. No.: MO/DT/275/20). Following the successful completion of two Market Rehearsals, the upgraded infrastructure and new Secondary Data Centre ("SDC") will commence in production from 8 March 2021 (Monday).

The infrastructure upgrade and relocation of SDC will be performed by Hong Kong Exchanges and Clearing Limited ("HKEX") and transparent to the market. To verify the connectivity to HKATS after the upgrade, Exchange Participants ("EPs") are strongly recommended to participate in a Connectivity Test ("CT") via HKATS Online and/or OAPI program on 6 March 2021 (Saturday).

Details of the CT are as follows:

Date	Time	Objective
6 March 2021 (Saturday)	19:00-21:00	Verify the connectivity to HKATS via HKATS Online and/or OAPI program

For better communication on the related activities, EPs who plan to join the CT are required to provide their designated contact details through "Event" under <u>Client Connect</u> on or before 3 March 2021.

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/eng/global/privacy_policy.htm



EPs are strongly recommended to coordinate with their IT teams and/or vendors for necessary preparation and support at all times during the CT. For any enquiries, please contact the HKATS Hotline at 2211 6360.

After the infrastructure upgrade on 8 March 2021, there will be a two-week stabilization period from 8 March to 19 March 2021. In the unlikely event of system outage of HKATS or any other contingencies, HKEX will announce the detailed arrangements and suspend the trading temporarily. After the fallback, trading will resume with an empty order book. EPs are required to re-enter any outstanding orders, where necessary.

HKEX would like to take this opportunity to thank for your cooperation and participation in the Upgrade of Derivatives Trading Infrastructure and Relocation of Secondary Data Centre.

Sanly Ho
Co-Head
Trading Operations
Markets Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.