

# Simultaneous Neural Machine Translation

Ashkan Alinejad

Supervisor : Dr. Anoop Sarkar

Simon Fraser University

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- ① Machine Translation  
RNN Encoder-Decoder
- ② Simultaneous Machine Translation
- ③ Neural SMT  
Greedy Decoding  
Trainable Agent

Machine  
TranslationRNN Encoder-  
DecoderSimultaneous  
Machine  
Translation

## Neural SMT

Greedy  
Decoding  
Trainable Agent

# Neural Machine Translation

## RNN structure

- Encoder

$$h_t = f(x_t, h_{t-1})$$
$$c = q(\{h_1, \dots, h_{T_x}\})$$

- Decoder

$$p(y) = \prod_{t=1}^T p(y_t | \{y_1, \dots, y_{t-1}\}, c)$$

With an RNN, each conditional probability is modeled as:

$$p(y_t | \{y_1, \dots, y_{t-1}\}, c) = g(y_{t-1}, s_t, c)$$

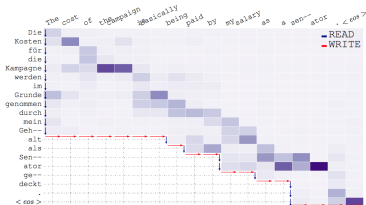
Machine  
Translation  
RNN Encoder-  
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**Simultaneous  
Machine  
Translation**

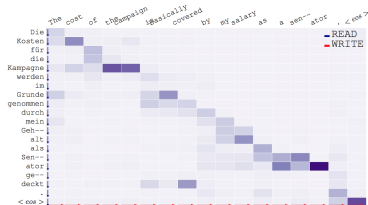
Neural SMT  
Greedy  
Decoding  
Trainable Agent

# Simultaneous Machine Translation

- Simultaneous Machine Translation is a challenging task of reading from the source language and at the same time, producing the target translation.
- The objective of translation system is defined as a combination of quality and delay.



(a) Simultaneous Neural Machine Translation



(b) Neural Machine Translation

## Previous works

- Most of the works in this direction are done in the context of speech translation. incoming speech is transcribed and segmented into a translation unit largely based on acoustic and linguistic cues.
- Each of these segments is then translated largely independent from each other

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# Neural SMT



- Sequentially making two interleaved decisions:

- 1 READ
- 2 WRITE



Input sequence  $X = \{x_1, \dots, x_{T_s}\}$

Decoded Output  $Y = \{y_1, \dots, y_{T_t}\}$

Action sequence  $A = \{a_1, \dots, a_T\}$

$$T = T_s + T_t$$

- The model structure is an attention-based neural network

$$\text{Encoder} : h_{\eta} = \phi_{\text{UNI-ENC}}(h_{\eta-1}, x_{\eta})$$

$$\text{Decoder} : c_{\tau}^{\eta} = \phi_{\text{ATT}}(z_{\tau-1}, y_{\tau-1}, H^{\eta})$$

$$z_{\tau}^{\eta} = \phi_{\text{DEC}}(z_{\tau-1}, y_{\tau-1}, c_{\tau}^{\eta})$$

$$\text{Output} : p(y|y_{<\tau}, H^{\eta}) \propto \exp[\phi_{\text{OUT}}(z_{\tau}^{\eta})]$$

$$y_{\tau}^{\eta} = \arg \max_y p(y|y_{<\tau}, H^{\eta})$$

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**Algorithm 1** Simultaneous Greedy Decoding

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**Require:**  $\delta$ ,  $s_0$ , Input Pipe  $X$ , Output Pipe  $Y$ 

```
1: Initialize  $s \leftarrow s_0$ ,  $C \leftarrow \text{READ}(X, s)$ ,  $C' \leftarrow \{\}$ 
2: Initialize the decoder's state  $\mathbf{z}_0$  based on  $C$ 
3: while true do
4:    $\hat{y}_t = \arg \max_{y_t} \log p(y_t | y_{< t}, C)$ 
5:   if  $s \geq T_X$  then
6:      $\text{WRITE}(Y, \hat{y}_t)$ ,  $t \leftarrow t + 1$ 
7:   else
8:      $C' \leftarrow \text{READ}(X, \delta)$  if  $|C'| = 0$ .
9:     if  $\Lambda(C, C \cup C')$  then
10:       $C \leftarrow C \cup C'$ ,  $s \leftarrow s + \delta$ ,  $C' \leftarrow \{\}$ 
11:      continue
12:     else
13:       $\text{WRITE}(Y, \hat{y}_t)$ ,  $t \leftarrow t + 1$ 
14:     end if
15:   end if
16:   if  $\hat{y}_t = \langle \text{eos} \rangle$  then
17:     break
18:   end if
19: end while
```

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- **Wait-If-Worse**

$$\Lambda(C, C \cup C') : (\log p(\hat{y}|\hat{y}_{<t}, C) > \log p(\hat{y}|\hat{y}_{<t}, C \cup C')),$$

where  $\hat{y} = \arg \max_y p(y|\hat{y}_{<t}, C)$

- **Wait-If-Diff**

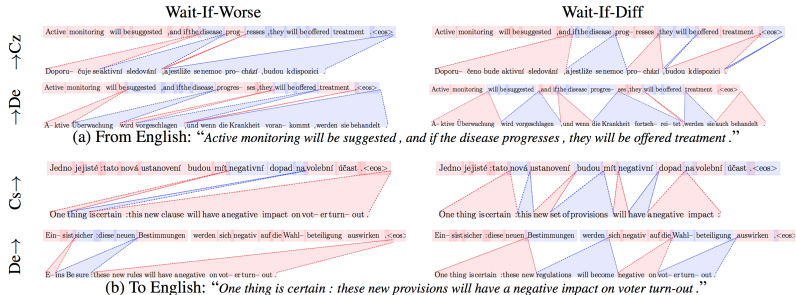
$$\Lambda(C, C \cup C') : (\hat{y} \neq \hat{y}'),$$

where  $\hat{y}' = \arg \max_y \log p(y|\hat{y}_{<t}, C \cup C')$ .

## Metrics

- **Quality** The metrics for evaluating quality of the translation is the BLEU score.
- **Delay**  $s(t)$  = In each time step for the decoded target symbol  $\hat{y}_t$ , how many source symbols were required. delay in translation ( $T$ ):

$$0 < T(X, \hat{Y}) = \frac{1}{|X||\hat{Y}|} \sum_{t=1}^{|\hat{y}|} s(t) \leq 1.$$



		Cs	De	Ru
En →	Ours	15.2	19.5	17.77
	★	13.84	21.75	19.54
↑ En	Ours	20.47	23.96	22.27
	★	20.32	24	22.44

Figure: BLEU scores on the test set (newstest-2015) obtained by the models used in the paper and (★) from (Firat et al., 2016). Although our models use a unidirectional recurrent net as an encoder, the translation qualities are comparable.

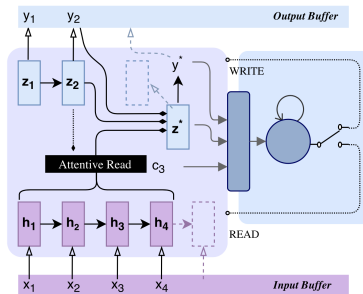
## Discussion

- ① They do not have good BLEU score compared to previous works.
- ② the waiting criteria proposed in this paper are both manually designed and does not exploit rich information embedded in the hidden representation learned by the recurrent neural networks.
- ③ The objective of the network is to improve translation quality and do not consider delay during training.



## Trainable Agent

- The idea is to have a separate trainable agent
- The framework can be trained using reinforcement learning and it considers both Quality and Delay during training.



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**Algorithm 1** Simultaneous Greedy Decoding

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**Require:** NMT system  $\phi$ , policy  $\pi_\theta$ ,  $\tau_{\text{MAX}}$ , input buffer  $X$ , output buffer  $Y$ , state buffer  $S$ .

- 1: **Init**  $x_1 \leftarrow X, h_1 \leftarrow \phi_{\text{ENC}}(x_1), H^1 \leftarrow \{h_1\}$
  - 2:  $z_0 \leftarrow \phi_{\text{INIT}}(H^1), y_0 \leftarrow \langle s \rangle$
  - 3:  $\tau \leftarrow 0, \eta \leftarrow 1$
  - 4: **while**  $\tau < \tau_{\text{MAX}}$  **do**
  - 5:  $t \leftarrow \tau + \eta$
  - 6:  $y_\tau^\eta, z_\tau^\eta, o_t \leftarrow \phi(z_{\tau-1}, y_{\tau-1}, H^\eta)$
  - 7:  $a_t \sim \pi_\theta(a_t; a_{<t}, o_{<t}), S \leftarrow (o_t, a_t)$
  - 8: **if**  $a_t = \text{READ}$  and  $x_\eta \neq \langle /s \rangle$  **then**
  - 9:  $x_{\eta+1} \leftarrow X, h_{\eta+1} \leftarrow \phi_{\text{ENC}}(h_\eta, x_{\eta+1})$
  - 10:  $H^{\eta+1} \leftarrow H^\eta \cup \{h_{\eta+1}\}, \eta \leftarrow \eta + 1$
  - 11: **if**  $|Y| = 0$  **then**  $z_0 \leftarrow \phi_{\text{INIT}}(H^\eta)$
  - 12: **else if**  $a_t = \text{WRITE}$  **then**
  - 13:  $z_\tau \leftarrow z_\tau^\eta, y_\tau \leftarrow y_\tau^\eta$
  - 14:  $Y \leftarrow y_\tau, \tau \leftarrow \tau + 1$
  - 15: **if**  $y_\tau = \langle /s \rangle$  **then break**
-

## Agent

A trainable agent is designed to make decisions

$A = \{a_1, \dots, a_T\}$ ,  $a_t \in \mathcal{A}$  sequentially based on observations

$O = \{o_1, \dots, o_T\}$ ,  $o_t \in \mathcal{O}$ .

- **Observation:**  $o_{\tau+\eta} = [c_\tau^\eta; z_\tau^\eta; E(y_\tau^\eta)]$
- **Action:**
  - READ: waits to encode the next word
  - WRITE: accepts the candidate and emits it as the prediction
- **Policy:** a stochastic policy  $\pi_\theta$  parameterized by a recurrent neural network

$$s_t = f_\theta(s_{t-1}, o_t),$$

$$\pi_\theta(a_t | a_{<t}, o_{\leq t}) \propto g_\theta(s_t)$$

## Reward Function

At each step the agent will receive a reward signal  $r_t$  based on  $(o_t, a_t)$ .

- **Quality**  $r_t^Q$  = smoothed BLEU
- **Delay**  $r_t^D$ 
  - ① **Average Proportion**
  - ② **Consecutive Wait Length**

The total reward will be computed as:

$$r_t = r_t^Q + r_t^D$$

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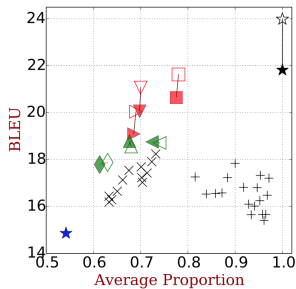
**Algorithm 2** Learning with Policy Gradient

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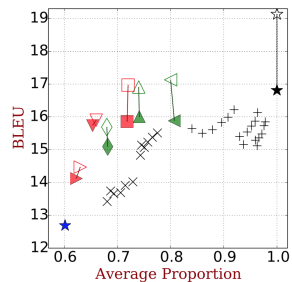
**Require:** NMT system  $\phi$ , agent  $\theta$ , baseline  $\varphi$ 

- 1: Pretrain the NMT system  $\phi$  using MLE;
  - 2: Initialize the agent  $\theta$ ;
  - 3: **while** stopping criterion fails **do**
  - 4:     Obtain a translation pairs:  $\{(X, Y^*)\}$ ;
  - 5:     **for**  $(Y, S) \sim \text{Simultaneous Decoding}$  **do**
  - 6:         **for**  $(o_t, a_t)$  in  $S$  **do**
  - 7:             Compute the quality:  $r_t^Q$ ;
  - 8:             Compute the delay:  $r_t^D$ ;
  - 9:             Compute the baseline:  $b_\varphi(o_t)$ ;
  - 10:     Collect the future rewards:  $\{R_t\}$ ;
  - 11:     Perform variance reduction:  $\{\tilde{R}_t\}$ ;
  - 12:     Update:  $\theta \leftarrow \theta + \lambda_1 \nabla_\theta [J - \kappa \mathcal{H}(\pi_\theta)]$
  - 13:     Update:  $\varphi \leftarrow \varphi - \lambda_2 \nabla_\varphi L$
-

## Results



(d) DE→EN



(c) EN→DE

(◀◀: CW=8, ▲△: CW=5, ◆◇: CW=2, ▶▷: AP=0.3, ▼▽: AP=0.5, ■□: AP=0.7). For each target, we select the model

Machine  
Translation  
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## Discussion



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# Thank You !