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1	IN THE SUPREME COURT OF THE UN	ITED STATES
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3	DUANE EDWARD BUCK, :	
4	Petitioner :	No. 15-8049
5	v. :	
6	LORIE DAVIS, DIRECTOR, TEXAS :	
7	DEPARTMENT OF CRIMINAL JUSTICE, :	
8	CORRECTIONAL INSTITUTIONS :	
9	DIVISION, :	
10	Respondent. :	
11	x	
12	Washington, D.	С.
13	Wednesday, Oct	ober 5, 2016
14		
15	The above-entitled matt	er came on for oral
16	argument before the Supreme Court of the United States	
17	at 11:07 a.m.	
18	APPEARANCES:	
19	CHRISTINA A. SWARNS, ESQ., New York, N.Y.; on behalf of	
20	the Petitioner.	
21	SCOTT A. KELLER, ESQ., Solicitor Gene	ral, Austin, Tex.;
22	on behalf of the Respondent.	
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1	PROCEEDINGS
2	(11:07 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear argument
4	next today in Case 15-8049, Buck v. Davis.
5	Ms. Swarns.
6	ORAL ARGUMENT OF CHRISTINA A. SWARNS
7	ON BEHALF OF THE PETITIONER
8	MS. SWARNS: Mr. Chief Justice, and may it
9	please the Court:
10	Duane Buck was condemned to death after his
11	own court appointed trial attorneys knowingly introduced
12	an expert opinion that he was more likely to commit
13	criminal acts of violence in the future because he is
14	black. This evidence encouraged the sentencing jury to
15	make its critical future dangerousness decision which
16	was a prerequisite for a death sentence and the central
17	disputed issue at sentencing based not on the individual
18	facts and circumstances of Mr. Buck's crime or his life
19	history, but instead based on a false and pernicious
20	group-based stereotype.
21	JUSTICE GINSBURG: Didn't that expert say, I
22	don't think he's a future I don't think he's going to
23	be a future danger?
24	MS. SWARNS: On cross-examination
25	Dr. Quijano testified that he did believe that Mr. Buck

- 1 was likely to commit future crimes of violence. He said
- 2 that -- at the prosecutor's questioning that Mr. Buck
- 3 was on the low end of the continuum, but that he could
- 4 not say that Mr. Buck was not likely to commit criminal
- 5 acts of violence. But Mr. Buck was, unquestionable --
- 6 JUSTICE GINSBURG: But more likely than not
- 7 that he wouldn't.
- 8 MS. SWARNS: Yes. He was on the low end of
- 9 the spectrum in terms of the risk of violence.
- But here this expert's evidence not only
- 11 prejudiced Mr. Buck at sentencing, it also put the very
- 12 integrity of the courts in jeopardy. For that reason,
- 13 Texas acknowledged that its ordinary interest and
- 14 finality does not apply. It publicly declared that it
- 15 would waive its procedural defenses and allow new
- 16 sentencing hearings in six capital cases, including
- 17 Mr. Buck's, that involved the same expert's race as
- 18 criminal violence opinion. Texas conceded error in five
- 19 cases and then reversed course in Mr. Buck's case alone.
- 20 As a result, Mr. Buck is the only Texas
- 21 prisoner to face execution pursuant to a death sentence
- 22 that Texas itself has acknowledged is compromised by
- 23 racial bias that undermines confidence in the criminal
- 24 justice system.
- 25 CHIEF JUSTICE ROBERTS: There's a tension in

- 1 your -- your briefing over what you're really arguing
- 2 for. In the question presented, you focus on the Fifth
- 3 Circuit standard for a COA in saying they're imposing an
- 4 improper and unduly burdensome. But most of the
- 5 briefing, and as you sort of begun today, is really
- 6 focused on the underlying merits of the case. And you
- 7 sort of have to make a choice, don't you, because if we
- 8 didn't focus on the merits and rule in your favor, we
- 9 don't get to say too much about the threshold for
- 10 Certificate of Appealability. Well, if we focus on the
- 11 Certificate of Appealability, all we're saying on the
- 12 merits is there's a substantial showing. So what do you
- 13 want us to do, on the merits or on the Certificate of
- 14 Appealability?
- 15 MS. SWARNS: Well, in order to determine
- 16 whether Mr. Buck was expired -- was entitled to a
- 17 Certificate of Appealability, this Court and the Fifth
- 18 Circuit was required to determine whether or not the
- 19 district court decision with respect to both the
- 20 constitutional question and the procedural question
- 21 would be debatable among jurors.
- 22 CHIEF JUSTICE ROBERTS: Right. Right. So
- 23 is that what you want us to say, that because the merits
- 24 are debatable, he should have gotten a Certificate of
- 25 Appealability? Or do you want us to say, well, he

- 1 should have won, and so he obviously should have gotten
- 2 a Certificate of Appealability?
- 3 MS. SWARNS: We believe that the district
- 4 court's decision is wrong, and, therefore, Mr. Buck was
- 5 entitled to a Certificate of Appealability.
- 6 CHIEF JUSTICE ROBERTS: Okay. So on the
- 7 merits -- on the merits then, you just want us to say,
- 8 oh, reasonable jurists could disagree about whether or
- 9 not he was unconstitutionally sentenced?
- 10 MS. SWARNS: Or that the -- that the
- 11 reasonable jurists would conclude that the district
- 12 court's decision that Mr. Buck was not prejudiced was
- 13 incorrect, and, therefore, Mr. Buck was -- was entitled
- 14 to a Certificate of Appealability.
- JUSTICE KAGAN: But, for example, last year
- in a case called Welch, the question came up on the
- 17 Certificate of Appealability, and we just said, well, of
- 18 course he should have gotten a Certificate of
- 19 Appealability because he's right. And similarly, we did
- 20 the same thing, oddly enough, in one of the cases here.
- 21 We did the same thing in Trevino. Yes, he should have
- 22 gotten a Certificate of Appealability because he has the
- 23 merits on his side. That's essentially what you would
- 24 want us to do?
- MS. SWARNS: Yes.

- JUSTICE KAGAN: I mean, that does leave on
 the table -- maybe this is what the Chief Justice was
- 3 saying -- this question of whether the Fifth Circuit is
- 4 just using the wrong approach and the wrong standards
- 5 for the Certificate of Appealability question.
- 6 MS. SWARNS: Well, in this case the Fifth
- 7 Circuit's analysis completely ignored the heart of the
- 8 case in making its Certificate of Appealability
- 9 determination, right?
- The center of Mr. Buck's claim has always
- 11 been the introduction of racial discrimination that
- 12 undermines the confidence in, not only his own death
- 13 sentence, but the integrity of the court's as well.
- In assessing the debatability of the
- 15 district court's decision, the Fifth Circuit doesn't
- 16 engage at all around the central question here about
- 17 the -- the critical role of race in Mr. Buck's case, in
- 18 his sentence, in the integrity of the court's, and
- 19 ultimately in what Texas did in terms of acknowledging
- 20 the absence of finality in its case. So the Fifth
- 21 Circuit's conduct in conducting the Certificate of
- 22 Appealability analysis, you know, ignored critical facts
- 23 in this case. So that --
- JUSTICE SOTOMAYOR: The centers in this
- 25 case --

- 1 MS. SWARNS: Yes.
- JUSTICE SOTOMAYOR: -- argue that the Court
- 3 had improperly denied a COA, and that was their basic
- 4 position. They didn't really engage the merits; they
- 5 just engaged the standard of issuance of a COA. We go
- 6 back to that. Are you satisfied if we say they used the
- 7 wrong standard for denying the COA, or will you only be
- 8 satisfied if we say you win?
- 9 MS. SWARNS: I think that the Fifth
- 10 Circuit -- you know, obviously, I would like for this
- 11 Court to say we win and Mr. Buck is entitled to a new --
- 12 a new, fair sentencing hearing. That would obviously be
- my preference.
- I think in the posture of this case, this
- 15 Court can and should say that Mr. Buck is entitled to a
- 16 Certificate of Appealability because all of the
- 17 explanations and justifications that were presented by
- 18 Texas and the district court are incorrect and
- 19 unsustainable.
- 20 JUSTICE SOTOMAYOR: All right. Now let's
- 21 start with the COA issue. With respect to the COA
- 22 issue, I read your adversaries who are -- to say
- 23 Martinez, Trevino could never constitute an exceptional
- 24 circumstance to -- to justify the issuance of a COA.
- 25 Basically that's their position, 'cause they weren't

- 1 made retroactive.
- MS. SWARNS: Yes.
- JUSTICE SOTOMAYOR: So first, what does the
- 4 retroactivity argument have to do with anything? All
- 5 right? What does it apply to? And aren't you making
- 6 Martinez and -- and Trevino retroactive if we recognize
- 7 it as an exceptional reason to forgive a procedural
- 8 default.
- 9 And then second, there's a circuit split on
- 10 this question, and you recognize it in your brief. You
- 11 have the Third Circuit using a three-part test that says
- 12 Martinez and Trevino, under certain circumstances, can
- 13 be a reason to find exceptional circumstance.
- 14 The Ninth Circuit has a six- or seven- or
- 15 eight-part test. They never make it simple. And the
- 16 Fifth says never.
- 17 Where do you stand on all these tests? And
- 18 what's your position with respect to this -- to this
- 19 retroactivity question?
- MS. SWARNS: Well, with respect to
- 21 retroactivity, Teague governs new rules of
- 22 constitutional law that apply at the trial stage. This
- 23 is just a rule that doesn't -- has no applicability. It
- 24 squarely arises only in the habeas context, so Teague
- 25 just doesn't apply to Martinez and Trevino.

1 With respect to its applicability to 2 Mr. Buck and to -- to Mr. Buck, this is a circumstance where if the 60B was properly granted, Mr. Buck would be back in the same exact position as were the Petitioners 4 5 in Martinez and Trevino. He would be arguing -- seeking cause to excuse the default of his trial counsel in 6 7 effectiveness claim in the first petition for habeas 8 corpus relief. 9 JUSTICE ALITO: This is a very -- a very 10 unusual case, and what occurred at the penalty phase of this trial is indefensible. But what concerns me is 11 what the implications of your argument would be for all 12 13 of the other prisoners who -- let's say they're not even capital cases, but they have -- they want now to raise 14 some kind of ineffective-assistance-of-counsel claim. 15 16 That is procedurally defaulted. And they say we should 17 have relief from a prior judgment denying habeas relief. And that -- what would prevent a ruling in 18 19 your favor in this case from opening the door to the 20 litigation of all of those issues so that those --Martinez and Trevino would effectively be retroactive. 21 22 MS. SWARNS: Well, I think there are three 23 factors, I think, that makes Mr. Buck's case unique. 24 First and foremost, it involves an express

appeal to racial bias that not only undermined the

25

- 1 integrity of his own death sentence, it undermined the
- 2 integrity of the court's.
- 3 Second, he now faces execution. This is a
- 4 death penalty case. He now faces execution pursuant to
- 5 that death sentence that is unquestionably -- and I will
- 6 agree with you -- indefensible and uncompromised by
- 7 racial bias.
- 8 Third, there's no question of Mr. Buck's
- 9 diligence here. Mr. Buck has consistently and
- 10 unrelentingly, you know, pursued relief on his claims.
- 11 So I think that those factors make Mr. Buck's case
- 12 unique from the vast majority --
- 13 JUSTICE SOTOMAYOR: That's the Third Circuit
- 14 test, isn't it?
- 15 MS. SWARNS: Yes. It is. And that makes
- 16 Mr. Buck unique from the vast majority of noncapital or
- 17 other prisoners who are going to bring these cases to
- 18 the Federal courts.
- 19 CHIEF JUSTICE ROBERTS: So the -- the -- the
- 20 answer to Justice Alito is that in our opinion, we
- 21 should say our interpretation of Rule 60B, in case it
- 22 doesn't apply unless it's a capital case? Rule 60B
- 23 doesn't draw that distinction.
- MS. SWARNS: No. I think in terms of the
- 25 question of the extraordinariness factors, I think this

- 1 Court can and should look to those that we've identified
- 2 in our brief.
- First, is there a risk of injustice to the
- 4 Petitioner? Here we unquestionably have that. We're
- 5 facing an execution.
- 6 CHIEF JUSTICE ROBERTS: The risk of
- 7 injustice, if it was a sentence for ten years, that's
- 8 unjust.
- 9 MS. SWARNS: Absolutely.
- 10 CHIEF JUSTICE ROBERTS: Okay. So that
- 11 doesn't work.
- MS. SWARNS: So there are more.
- 13 CHIEF JUSTICE ROBERTS: What else?
- MS. SWARNS: There are more.
- The risk of injustice and impairing the
- 16 integrity of the judicial system more broadly. The
- 17 States --
- 18 CHIEF JUSTICE ROBERTS: I guess the same
- 19 answer there. Sentenced to 40 years, that impairs the
- 20 integrity of the system. I mean, I know that obviously,
- 21 death is different.
- MS. SWARNS: Right.
- 23 CHIEF JUSTICE ROBERTS: But it's hard to
- 24 factor in why it's different in the context of
- 25 interpreting particular rules.

- 1 MS. SWARNS: You know, I would say
- 2 additionally, though, here, Your Honor, particularly
- 3 unique to Mr. Buck's case, we have the State
- 4 acknowledging that it has no significant finality
- 5 interest in Mr. Buck's death sentence.
- And when you add to that the fact that
- 7 Mr. Buck's claim of ineffective assistance of counsel
- 8 is -- is, you know, to be mildly meritorious, you know,
- 9 you have a group of factors which I think can -- this
- 10 Court should provide guidance around --
- JUSTICE KENNEDY: The State did change its
- 12 mind with respect to Mr. Buck's case, and I assume
- 13 they'll tell us that there's a reason for that. It's
- 14 not just because his defense counsel introduced it,
- 15 because that -- that was true in some other cases as
- 16 well.
- 17 But if -- if we rely on that too much, won't
- 18 this discourage prosecutors from offering discretionary
- 19 concessions?
- 20 MS. SWARNS: You know, this is a unique
- 21 circumstance. I think that -- I don't believe it would
- 22 discourage prosecutors, because Texas doesn't actually
- 23 disagree with -- and cannot disagree with -- the
- 24 fundamental problem in this case, which is that it is
- 25 compromised by racial bias that undermines the integrity

- 1 of the courts.
- 2 Texas has certainly taken a different
- 3 position about what it should do about it, but it cannot
- 4 get away from those -- those core facts that establish
- 5 that, like no State has an interest in a death sentence
- 6 that is undermined by racial bias.
- 7 CHIEF JUSTICE ROBERTS: To the -- to the
- 8 extent it is a unique case, it really doesn't provide a
- 9 basis for us to say anything at all about how the Fifth
- 10 Circuit approaches Certificates of Appealability, does
- 11 it? It's a unique case, so this would be an odd
- 12 platform to issue general rules.
- But in the brief you say, well, the Fifth
- 14 Circuit grants these in a very small percentage of
- 15 cases. The other circuits are much higher.
- 16 But if it is so unique, I don't know how we
- 17 can use it to articulate general rules.
- 18 MS. SWARNS: Well, it's certainly an
- 19 extraordinary case. And I think that because it is so
- 20 extraordinary, and because the lower courts failed to,
- 21 you know, acknowledge that and -- and reach that
- 22 conclusion, that this case sort of underscores the deep
- 23 need for guidance to the lower courts on the evaluation
- 24 and assessment and what factors should be considered in
- determining when 60B is or is not appropriate.

- 1 CHIEF JUSTICE ROBERTS: Was it wrong? Was
- 2 it wrong for the court of appeals to conduct the merits
- 3 inquiry in this case? I mean, they went to considerable
- 4 length in trying to determine whether or not the claims
- 5 were valid.
- 6 Was that an error? Should they have just
- 7 said, well, you know, the -- the test is what,
- 8 substantial -- showing a substantial -- a substantial
- 9 showing of denial? They should have just done, you
- 10 know, kind of a sort of quick-and-dirty peek at the
- 11 merits and say, yeah, there might be something there.
- MS. SWARNS: Yes.
- 13 CHIEF JUSTICE ROBERTS: So did they err in
- 14 looking at it more closely?
- MS. SWARNS: Certainly this Court has made
- 16 clear time and again the COA analysis is a threshold
- 17 review of the merits.
- 18 CHIEF JUSTICE ROBERTS: So should our
- 19 decision be just that, they erred in looking at the
- 20 merits? They should have just issued a Certificate of
- 21 Appealability and sent it back? That's not what you
- 22 want, is it?
- 23 MS. SWARNS: I -- no, no, it's not. Again,
- 24 I believe that this Court, because we do have the Fifth
- 25 Circuit and the district court going past the threshold

- 1 analysis and speaking substantively to the merits, this
- 2 Court can and should explain that those reasons that
- 3 have been offered by those courts are incorrect. And
- 4 under the COA standard, if this Court -- a COA should
- 5 issue if the district court's decision was debatable or
- 6 wrong.
- 7 CHIEF JUSTICE ROBERTS: Well, but it seems
- 8 to me we're well beyond a COA should issue. You don't
- 9 want us to say that. You want us to say that there's
- 10 been a constitutional violation in this case and the
- 11 court of appeals was wrong in determining that there
- 12 wasn't.
- 13 MS. SWARNS: I would like for this Court to
- 14 say that there was a constitutional violation in this
- 15 case --
- 16 JUSTICE KAGAN: Ms. Swarns, I would have
- 17 thought that your answer would be that, you know, you
- 18 think this is so -- such an extraordinary case, and that
- 19 the Fifth Circuit got this so wrong, that it's the best
- 20 proof that there is that the Court is -- is approaching
- 21 the COA inquiry in the wrong way.
- MS. SWARNS: Right.
- 23 JUSTICE KAGAN: If they reached the wrong
- 24 result in this case --
- MS. SWARNS: Right.

- 1 JUSTICE KAGAN: -- it's because they are
- 2 just not understanding what the COA inquiry is all
- 3 about.
- 4 MS. SWARNS: Right. I mean, I agree,
- 5 absolutely. I mean, just the fact that this Court
- 6 found -- was unable to find these facts and
- 7 circumstances debatable shows the -- the fact that the
- 8 Fifth Circuit is applying the standard incorrectly for
- 9 sure.
- 10 And it goes also to the need for guidance,
- 11 right, to the Fifth Circuit not only on the COA point,
- 12 but again, on the 60(b) point, because there really is a
- 13 substantial lack of information available to the lower
- 14 courts with respect to the evaluation of what is or is
- 15 not extraordinary.
- 16 CHIEF JUSTICE ROBERTS: So what is the test
- 17 you -- should we say the Fifth Circuit should apply in
- 18 considering whether to issue Certificates of
- 19 Appealability? Do you have anything to add to the
- 20 statutory language?
- MS. SWARNS: You know, I don't think -- I --
- 22 I don't have additional language. I think this Court
- 23 has made quite clear that it's a threshold application.
- 24 What this case demonstrates is that the Fifth Circuit
- 25 has not been, and as this Court has noted in previous

- 1 decisions, that the Fifth Circuit has not scrupulously
- 2 adhered to the application of the COA standard, and the
- 3 data that we provided to this Court sort of amplifies
- 4 and demonstrates that fact.
- 5 So I think that what you can do is use this
- 6 Court, again, as an example of how far the Fifth Circuit
- 7 is out of line from the -- the proper application of the
- 8 COA standard under these circumstances.
- 9 JUSTICE ALITO: Would it be possible to
- 10 defend what the Fifth Circuit did based on the prejudice
- 11 prong of Strickland? There -- there was a lot of
- 12 evidence both relating to the offense that was committed
- 13 and to other conduct by Petitioner that would show
- 14 future dangerousness. It would -- it didn't have to
- 15 rest exclusively on this bizarre expert testimony; isn't
- 16 that correct?
- 17 MS. SWARNS: There is certainly the -- Texas
- 18 certainly presented evidence of future dangerousness in
- 19 this case. I think that, however, the heart of those --
- 20 that evidence was sort of the facts and circumstances of
- 21 the instant crime, Mr. Buck's lack of remorse
- 22 immediately after he was arrested for the instant crime,
- 23 and the domestic violence incidents and the prior
- 24 offenses.
- This Court has recognized that aggravated

- 1 crimes like this, exactly like the kind we are talking
- 2 about here, can and do trigger a racialized fear of
- 3 violence that can yield arbitrary death sentencing
- 4 decisions. That was your holding in Turner v. Murray.
- 5 So the fact that we do face a case that does have very
- 6 aggravated facts sort of compounds the risk of prejudice
- 7 to Mr. Buck.
- 8 And what we have here is a circumstance
- 9 where not only do the terrible facts of the crime
- 10 trigger that real risk of an arbitrary death sentencing
- 11 decision, you have the expert stepping in and
- 12 compounding that risk and putting it -- putting an
- 13 expert scientific validity to this pernicious idea that
- 14 Mr. Buck would be more likely to commit criminal acts of
- 15 violence because he's black. So the risk in Mr. Buck's
- 16 case is doubled, essentially.
- 17 In light of -- in light of those facts, in
- 18 light of the aggravating evidence here, and how
- 19 Dr. Quijano's opinion compounded the risk of violence --
- 20 JUSTICE SOTOMAYOR: Counselor, I know that
- 21 there's been a lot of talk about how small the reference
- 22 to race was with respect to the questioning at trial on
- 23 both sides, but how much was it a part of the actual
- 24 report, because that's what the jury asked for?
- MS. SWARNS: Uh-huh.

- 1 JUSTICE SOTOMAYOR: And they asked for two
- 2 things: Could they consider life without parole?
- 3 MS. SWARNS: Uh-huh.
- 4 JUSTICE SOTOMAYOR: So they were obviously
- 5 considering mercy. Somebody was.
- 6 MS. SWARNS: Correct.
- 7 JUSTICE SOTOMAYOR: I don't know if all of
- 8 them, but someone wanted to talk about it, that's what
- 9 they told the judge. Can we talk about life without --
- 10 life without parole? I don't even know what the answer
- 11 to that was. I should have checked that --
- MS. SWARNS: Uh-huh.
- JUSTICE SOTOMAYOR: -- but if you do you,
- 14 can tell me?
- MS. SWARNS: Yeah.
- JUSTICE SOTOMAYOR: But, number two, they
- 17 asked for the psychiatric report.
- 18 MS. SWARNS: That's correct.
- 19 JUSTICE SOTOMAYOR: Does that -- not to have
- 20 the testimony reread, but for the report.
- 21 So tell me what -- how that changes the
- 22 calculus, those two things in any way.
- 23 MS. SWARNS: Sure. So first the issue of
- 24 life without parole was negotiated in the trial
- 25 proceedings. It was absolute -- they were not given any

- 1 information about the feasibility of parole in this
- 2 case, but as Your Honor correctly observes --
- 3 JUSTICE GINSBURG: They were told that he
- 4 would be eligible for parole after, what was it,
- 5 40 years?
- 6 MS. SWARNS: No, they were not.
- 7 JUSTICE GINSBURG: They were not given that
- 8 information?
- 9 MS. SWARNS: If that were true -- no, they
- 10 were not. They were not given information. In fact,
- 11 the trial prosecutor fought very hard to make sure that
- 12 this jury did not receive the information about parole
- 13 eligibility. It was one of the issues that she was very
- 14 concerned about making sure was redacted from
- 15 Dr. Quijano's report because he, in his report, had a
- 16 reference to the -- the 40 --
- 17 JUSTICE SOTOMAYOR: How old was Mr. Buck?
- 18 How old was Mr. Buck at the time?
- MS. SWARNS: I think he was in his 20s. I
- 20 a.m. not sure at this moment.
- So we do know that the jury was considering
- 22 the possibility of -- of a life sentence, and then we
- 23 have them asking for the psychiatric report, which
- 24 contains a sentence that says that Mr. Buck is, in fact,
- 25 more likely to commit criminal acts of violence because

- 1 he's black. That evidence, of course, once you have
- 2 that report, after the jury had heard it on direct and
- 3 cross-examination from the witness stand, so ultimately
- 4 we have a situation where the jury is literally making
- 5 the decision about Mr. Buck's life and death -- making
- 6 the future-dangerous decision while they have this
- 7 imprint in their hands.
- 8 And we also know, this is a jury that was
- 9 not able to make a quick decision on sentence. You
- 10 know, notwithstanding Texas's claims that its case in
- 11 future dangerousness was overwhelming, this jury didn't
- 12 make a quick decision as you would have expected to see
- 13 if the case was, in fact, overwhelming. This jury was
- 14 out for two days on the questions that it was presented
- 15 with. And so what this shows is at -- during this
- 16 pivotal time when it was obviously struggling to
- 17 determine an answer to the question of whether or not
- 18 Mr. Buck was or was not likely dangerous, it had in its
- 19 hands a piece of paper that validated evidence that came
- 20 from both sides of the aisle in this case.
- 21 JUSTICE GINSBURG: Do we know what the
- 22 composition of the jury was in this case?
- 23 MS. SWARNS: It's not in the records, Your
- 24 Honor. Our research that we would -- the only thing
- 25 we've been able to confirm on our own is that ten of the

- 1 jurors were white. I don't know the race of the other
- 2 two, and it's certainly not in the record.
- 3 But ultimately I don't think it matters what
- 4 the race of the jury is. This is evidence of an
- 5 explicit appeal to racial bias. This is the kind of
- 6 evidence that courts for over a hundred years have said,
- 7 once it is introduced, even just once, it's impossible
- 8 to unring the bell. And the -- this is because this --
- 9 this evidence in this case spoke to the pivotal question
- 10 of whether or not Mr. Buck would be executed.
- 11 The future dangerousness question in Texas
- 12 was the prerequisite for a death sentence. If this jury
- 13 did not find a future dangerousness, then Mr. Buck
- 14 couldn't be executed. This evidence put the thumb
- 15 heavily on the -- on the death scale, and particularly
- 16 as it fit into the evidence in this case.
- 17 As I said, Texas presented three categories
- 18 of evidence. The crime, the lack of remorse, and the
- 19 prior domestic violence, but nothing that Texas
- 20 presented spoke to the question of whether or not
- 21 Mr. Buck was likely to commit criminal acts of violence
- 22 if he was, in fact, sentenced to life in prison. They
- 23 just didn't present any evidence on that subject.
- Mr. Buck, on the other hand, presented
- 25 Dr. Lawrence, and Dr. Lawrence spoke -- you know,

- 1 powerfully to the question of whether Mr. Buck was
- 2 likely to commit criminal acts of violence if he were in
- 3 prison, which was the only alternative to a death
- 4 sentence that the jury was presented with.
- 5 Dr. Lawrence --
- 6 JUSTICE ALITO: He killed people. You --
- 7 you said that the evidence of his dangerousness was
- 8 limited to those with whom he had a romantic
- 9 relationship, but he killed at least two people with
- 10 whom he didn't have a -- he killed two people with whom
- 11 he did not have a romantic relationship; isn't that
- 12 right?
- MS. SWARNS: No. He killed --
- JUSTICE ALITO: His stepsister?
- MS. SWARNS: No. She survived.
- JUSTICE ALITO: I'm sorry. All right.
- 17 Well, he shot her --
- 18 MS. SWARNS: Yes, exactly. And this is all
- 19 clearly in the context -- absolutely, he did. There's
- 20 no question about the fact that he shot his -- his
- 21 sister. And -- but all of that was in this one sequence
- 22 of events where it arises out of the breakdown of his
- 23 relationship with his ex-girlfriend. And again,
- 24 however, Dr. Lawrence presents evidence that the record
- 25 is that Mr. Buck has a positive institutional adjustment

- 1 history, that when he was previously incarcerated he was
- 2 held in minimum security, and that all of the crimes of
- 3 violence that took place in the Texas Department of
- 4 Corrections in the prior year were committed by people
- 5 who were getting involved, and there was no gang
- 6 involvement here.
- 7 So Dr. Lawrence's testimony highlights the
- 8 shortcomings or the limitations in Texas's case for
- 9 future dangerousness, right? They say here we do have
- 10 evidence that -- that goes beyond what Texas has
- 11 presented. And what fills the gap for Texas, the only
- 12 evidence that Texas has that says he will be dangerous
- in that context is Dr. Quijano's evidence that he has
- 14 immutable characteristics which establishes that he will
- 15 be dangerous no matter where he is. And I would like to
- 16 reserve the remainder of my time for rebuttal.
- 17 CHIEF JUSTICE ROBERTS: Thank you, counsel.
- 18 Mr. Keller.
- 19 ORAL ARGUMENT OF SCOTT A. KELLER
- ON BEHALF OF THE RESPONDENT
- 21 MR. KELLER: Thank you, Mr. Chief Justice,
- 22 and may it please the Court:
- We're here today defending the death
- 24 sentence because Petitioner murdered a mother in front
- 25 of her children. He put a gun to the chest of his

- 1 stepsister and shot her, and he murdered another man.
- 2 CHIEF JUSTICE ROBERTS: I assume the
- 3 facts -- I assume the facts in the other Saldano cases
- 4 are similarly heinous, the ones where the state
- 5 determined that nonetheless that there was a risk that
- 6 they would be sentenced to death because of their race.
- 7 And I don't understand -- I understand the procedural
- 8 differences in this case, but I don't understand why
- 9 that ultimate conclusion doesn't apply here as well. In
- 10 other words, regardless of whether the evidence was
- 11 admitted by the prosecution or by the defense, it would
- 12 seem to me that the same concern would be present.
- 13 MR. KELLER: There's a key distinction
- 14 between when a government, a prosecuting authority, is
- 15 introducing evidence of racist dangerousness. That
- 16 would be the equivalent of using race as an aggravator.
- 17 When the defense injects race, although we don't defend
- 18 counsel's actions in injecting race into the
- 19 proceeding --
- 20 JUSTICE KENNEDY: But the prosecutor
- 21 revisited it, Mr. Keller, in cross-examination.
- MR. KELLER: To put that into context, the
- 23 prosecutor did not go beyond the scope of direct. The
- 24 prosecutor saw the expert report for the first time that
- 25 day and had just reviewed it over the lunch hour. This

- 1 is JA154A and 165A. And the prosecutor is walking
- 2 through all of the various factors that Quijano had
- 3 considered in his testimony, but it did not go beyond
- 4 what was elicited on direct.
- 5 And to highlight an example in contrast, the
- 6 Alba case, in which we did confess error, there, the
- 7 prosecutor mentioned race four times, and at closing
- 8 said, quote, "And I went down all the indicators. They
- 9 didn't want to talk about those indicators, but I did,
- 10 and I forced the issue. He's male, he's Hispanic," etc.
- 11 That's at Volume 28 of the trial --
- 12 JUSTICE GINSBURG: Doesn't -- doesn't the
- 13 fact that Petitioner's own counsel introduced this show
- 14 how abysmal his representation was? I don't know why it
- 15 should make a difference that the Petitioner's counsel
- 16 introduced this evidence. This evidence, everyone
- 17 agrees, should not have -- not have come in. And -- and
- 18 what -- what counsel would put that kind of evidence
- 19 before a jury? What competent counsel would put that
- 20 evidence before a jury.
- 21 MR. KELLER: And we are not defending
- 22 defense counsel's actions. But the nature of that claim
- 23 is a Sixth Amendment ineffective assistance claim that
- 24 the court also reviews for prejudice. In the context of
- 25 a prosecutor offering the testimony and using it as an

- 1 aggravator, that would be an equal protection and due
- 2 process violation. And the nature of the evidence
- 3 coming in, in that instance would be significantly
- 4 highly prejudicial when the State is putting its in
- 5 primata behind it and using it as an aggravator.
- 6 JUSTICE SOTOMAYOR: Why does it matter who
- 7 uses race? I mean, in Batson challenges we don't care
- 8 if the person exercising a racial challenge is the
- 9 prosecutor or the defense attorney. We say neither
- 10 should use race in a negative way against a defendant.
- 11 So why is it different here? Why is it okay or not okay
- 12 for the prosecutor to introduce the greater likelihood
- of a person being dangerous on the basis of race alone?
- 14 Not okay for the prosecutor, but it's less bad for the
- 15 defense attorney to do it?
- 16 MR. KELLER: Yeah. To be clear, it's not
- 17 okay. The issue, though, goes to the level of
- 18 prejudice. And when defense counsel --
- 19 JUSTICE SOTOMAYOR: Well, the level of
- 20 prejudice is the reasonable possibility that if one
- 21 juror, because Texas uses one juror does not agree with
- 22 death, death is not imposed, correct?
- MR. KELLER: Correct.
- 24 JUSTICE SOTOMAYOR: So if one -- is it a
- 25 reasonable possibility that one juror, even the one who

- 1 sent the note that says is it possible to do parole,
- 2 life without parole, could have been convinced to
- 3 exercise mercy if race wasn't used, can you answer that
- 4 question "absolutely not"? When, in at least one of the
- 5 Saldano cases, a man poured gasoline on a woman and
- 6 watched her die, we had a nation that was mortified,
- 7 shocked, and completely traumatized by watching a pilot
- 8 burn to death. So why is that crime any less heinous
- 9 than this one?
- 10 MR. KELLER: Here, Petitioner executed a
- 11 mother when she was on her knees in front of her
- 12 children with her daughter jumping on her --
- JUSTICE SOTOMAYOR: I don't say it's not,
- 14 but why is that heinousness so much greater that no jury
- 15 could have exercised mercy? No juror.
- 16 MR. KELLER: The standard -- the standard in
- 17 the Strickland second-prong prejudice analysis is
- 18 whether there is a substantial likelihood of a different
- 19 outcome. As Juan vs. Valmontez noted, the State doesn't
- 20 have to rule out --
- JUSTICE SOTOMAYOR: "Reasonable probability"
- is the actual language, not "substantial."
- 23 MR. KELLER: And Harrington v. Richter said,
- 24 "The likelihood of a different result must be
- 25 substantial, not just conceivable." It's 562 U.S. at

- 1 111.
- 2 If I can address the jury deliberation point
- 3 for a moment: The Petitioner is correct the jury
- 4 deliberated over the course of two days, but this is
- 5 only for three hours and 13 minutes. This is at Record
- 6 1918 to 1919. On the first day, the jury asked for the
- 7 police reports and the psychology reports. On the
- 8 second day, the jury asked to see the crime scene video.
- 9 This was JA210A, Record 5956 and Record 6333.
- 10 So insofar as the Court were to look at the
- 11 circumstances of the jury's deliberations -- and I'm not
- 12 sure that that is necessary for the Court to do, but the
- 13 inference to be drawn is in this final 95 minutes before
- 14 the jury returned a verdict to future dangerousness. It
- 15 was looking at the crime scene video.
- 16 CHIEF JUSTICE ROBERTS: I'm not sure how the
- 17 quickness of the determination helps you at all, when
- 18 one response would be, well, they had this evidence that
- 19 he was, by virtue of his race, likely to be dangerous,
- 20 so they didn't spend that much time on it.
- 21 MR. KELLER: And the -- and the argument
- 22 here is that under these circumstances when they were
- 23 focused on the crime scene video, that would have been
- 24 what the jury --
- JUSTICE BREYER: We're not in the jury room.

- 1 We do know that the prosecutor asked the expert witness,
- 2 is it correct that the race factor, black, increases the
- 3 future dangerousness for various complicated reasons.
- 4 And he says, yes.
- 5 So that seems -- I mean, you can't prove it,
- 6 that that was the key factor, but it seems like it could
- 7 have been a substantial factor. And Texas, in six
- 8 cases, says this is totally wrong. And now in this
- 9 seventh case, you're taking the opposite position. And
- 10 I have to admit, like what the Chief Justice seemed, I
- 11 don't understand the reason. It seems to me it proves
- 12 the arbitrariness of what's going on.
- But regardless, the issue here is, is there
- 14 some good reason why this person shouldn't have been
- 15 able to reopen his case? I mean, that's the question.
- 16 What's the reason?
- 17 I mean, after all, we later decided these
- 18 other cases, Martinez. His circumstances seem to fit
- 19 Martinez pretty much like a glove. The State certainly
- 20 doesn't have a strong interest any more than in the
- 21 other cases, or at least not obvious to me, some kind of
- 22 reliance. So he has a case where Martinez seems to
- 23 apply. He couldn't -- he was diligent -- diligent, not
- 24 much -- not too much reliance on the other side, and
- 25 seems to meet Martinez's criteria for hearing the issue.

- 1 Why doesn't that make it extraordinary
- 2 enough to reopen under Rule 60(b)? That seems to me the
- 3 question in the case.
- 4 MR. KELLER: For two reasons, and both are
- 5 controlled by Gonzalez v. Crosby. The first is that the
- 6 only changed circumstance in this case since 2006 is the
- 7 Martinez and Trevino change in the law. And the second
- 8 is there was a lack of diligence in pursuing this claim.
- 9 An ineffective assistance claim is raised on Federal
- 10 habeas in the district court. The COA is not asked for
- 11 on that claim. And the ineffective assistance claim
- 12 also is not even raised in the first 60(b) motion.
- 13 JUSTICE BREYER: And all this took place
- 14 after this Court decided Martinez and Trevino?
- 15 MR. KELLER: In the context of the second
- 16 60(b) motion.
- 17 JUSTICE BREYER: Yeah, I mean, you listed a
- 18 whole bunch of things in which he could have done. Did
- 19 those take place or not after we decided our case? If
- 20 some of them did, which?
- 21 MR. KELLER: The Federal habeas petition
- 22 asking for a COA and the first 60 (b) motion were before
- 23 Martinez. But in Gonzalez v. Crosby, the Court noted
- 24 that there the Petitioner was not pursuing the claim
- 25 with diligence even before the change in the law. And

- 1 the court said --
- 2 JUSTICE KAGAN: He did exactly what you
- 3 would have expected him to do. Given that Coleman was
- 4 still on the books, you would have said it would be --
- 5 had been improper for him to ask for the relief that you
- 6 are now suggesting that he should have asked for. At
- 7 least it would have been futile with Coleman still on
- 8 the books.
- 9 MR. KELLER: Yeah. Although the same would
- 10 have been said under existing precedent in Gonzalez v.
- 11 Crosby, there that the statue of limitations would have
- 12 run. And so the essence --
- JUSTICE KAGAN: Isn't this substantially
- 14 different than Gonzalez? Wasn't it important in
- 15 Gonzalez that the nature -- what the nature of the error
- 16 was? In Gonzalez what the court said, the error is
- 17 commonplace to -- lawyers misjudge time limits all the
- 18 time. The one thing we know about this error is that
- 19 it's not commonplace. Even the two people who called
- 20 the Quijano as defense witnesses never themselves raised
- 21 race as a cause -- as a reason for future dangerousness.
- 22 Only this attorney who's been disciplined repeatedly for
- 23 his malfeasance in representing clients, who one
- 24 newspaper said if you want to ensure a death penalty,
- 25 hire this lawyer. In that situation, isn't this that

- 1 rare case that Gonzalez talked about?
- 2 MR. KELLER: This is certainly an unusual
- 3 case. And the standard for extraordinary circumstances
- 4 in this posture, though, is not simply would an
- 5 appellate judge in the first instance conclude that, but
- 6 did the district court abuse its discretion in declining
- 7 to find extraordinary circumstances when Gonzalez v.
- 8 Crosby is on the books.
- 9 JUSTICE BREYER: Gonzalez v. Crosby, to my
- 10 understanding, involved a change in the AEDPA statute of
- 11 limitations; is that right?
- MR. KELLER: Correct.
- JUSTICE BREYER: As soon as I say those
- 14 words, I'm confused.
- 15 (Laughter.)
- 16 JUSTICE BREYER: I mean, there are all kinds
- 17 of statutes of limitations, and this is one of them that
- 18 the court said he didn't -- he didn't pursue the change
- 19 diligently, and besides, it wasn't that big a deal, and
- 20 not every interpretation of Federal statute setting
- 21 habeas requirements provides cause for reopening cases
- 22 long since filed, and the change was not extraordinary,
- 23 and it was because in part of Petitioner's lack of
- 24 diligence in pursuing it. There's a whole list of
- 25 reasons there. As I read those reasons, I don't think

- one of them applies here. So which one applies here.
- 2 MR. KELLER: Well, insofar as the
- 3 extraordinary circumstances analysis under 60(b) has
- 4 been performed, I believe the Fifth Circuit was correct
- 5 in that it has to be an extraordinary circumstance
- 6 justifying relief from the judgment. And when the facts
- 7 of this case obviously have existed for over 20 years,
- 8 there's been nothing new about raising that claim in a
- 9 second rule 60(b) motion to reopen the judgment. And so
- 10 in that sense, this is even further than Gonzalez v.
- 11 Crosby where that was just a 60(b) motion. This is the
- 12 second 60(b) motion.
- 13 CHIEF JUSTICE ROBERTS: I understand your
- 14 arguments on the merits, but do they apply equally to
- 15 the Certificate of Appealability? I mean, you argue
- 16 that you should prevail on the merits. But the question
- on a Certificate of Appealability is whether there's
- 18 been a substantial showing of denial of a constitutional
- 19 right.
- 20 Assuming you haven't already seen the
- 21 analysis on the merits and you're looking at this
- 22 question for the first time before going through this
- analysis, wouldn't it seem pretty straightforward to
- 24 say, okay, maybe he's right, maybe he's wrong, but at
- least he's made a substantial showing. Let's give him a

- 1 Certificate of Appealability, and then we'll go through
- 2 the normal procedures on the merits?
- 3 MR. KELLER: It's clearly a harder standard
- 4 for us under the Certificate of Appealability standard,
- 5 but even then you'd be asking would reasonable jurists
- 6 debate whether the district court abused its discretion
- 7 in declining to find extraordinary circumstances.
- 8 CHIEF JUSTICE ROBERTS: Well, that gets
- 9 tougher and tougher. I mean, you're talking about
- 10 reasonable jurists debate. Okay. That's -- that's a
- 11 very low threshold. But when you say reasonable jurists
- 12 debate, whether there's been an abuse of discretion, I
- 13 mean, abuse of discretion gives a broad range to the
- 14 district court. And now you're asking, well, is there a
- 15 reasonable person out there who could debate that you
- 16 ought to have deferred to that exercise of discretion?
- 17 It seems to me, yes, it's a different standard, but it's
- 18 quite a different standard.
- 19 And the broader question here is whether the
- 20 Fifth Circuit applies the wrong standard on a
- 21 Certificate of Appealability, and it seems to me that if
- 22 you're going to say, particularly when you are reviewing
- 23 an abuse of discretion standard, that you're going to be
- 24 able to look at and say, no, no, there's nothing
- 25 substantial here.

- 1 MR. KELLER: And I think this would be a
- 2 difficult case to infer anything widespread from the
- 3 Fifth Circuit's practice. Just to put some context into
- 4 the substantial practice that was allowed here, the
- 5 Petitioner filed a 70-page opening brief. The State
- 6 filed a 37-page response brief, and Petitioner filed and
- 7 moved to file a 35-page reply brief. And so this was
- 8 also the third time that the Fifth Circuit had seen this
- 9 case.
- 10 CHIEF JUSTICE ROBERTS: You know, I guess my
- 11 question kind of cuts the other way. I'm saying they
- 12 don't -- yes, and you make the point, there was a
- 13 substantial amount of process. There was a long
- 14 consideration. There was a lot of briefing. I would
- 15 have thought the purpose of a Certificate of
- 16 Appealability would be to make the decision to move
- 17 forward without all that elaborate process?
- 18 MR. KELLER: Well, and the Fifth Circuit on
- 19 occasion hears oral argument in considering whether to
- 20 grant a COA in the capital posture insofar as the court
- 21 would provide or believe that that is not the type of
- 22 process that should be afforded at the COA stage, in
- 23 accordance with AEDPA --
- JUSTICE SOTOMAYOR: Oral argument -- oral
- 25 argument on whether to grant the COA?

- 1 MR. KELLER: Yes. The Fifth Circuit on
- 2 occasion -- this is page 50 and 51 of our Respondent's
- 3 brief -- will hear oral argument --
- 4 JUSTICE KAGAN: Mr. Keller, you know, some
- 5 of the statistics that Petitioner have pointed us to --
- 6 in capital cases, a COA is denied in 60 percent of Fifth
- 7 Circuit cases as compared to 6 percent of Eleventh
- 8 Circuit cases, two roughly similar circuits where COA's
- 9 are denied in capital cases ten times more in the Fifth
- 10 Circuit. I mean, it does suggest one of these two
- 11 circuits is doing something wrong.
- MR. KELLER: And the court has said that the
- 13 COA should serve a gatekeeping function. The court also
- 14 noted that death is different. And at the same time,
- 15 the Fifth Circuit is provided substantial process. Now,
- 16 insofar, though, as this Court were to -- if it were
- 17 going to conclude in this case that a COA should have
- 18 issued, it -- any such decision, I think, would be
- 19 limited to the unique facts of this case. And I don't
- 20 think there's anything that could be drawn by the Fifth
- 21 Circuit's wider practice in denying or granting COAs,
- 22 particularly in the capital posture when substantial
- 23 process is being afforded. This is not a situation
- 24 where the Fifth Circuit is simply ignoring these cases
- 25 and ignoring these claims. Quite the opposite.

- 1 CHIEF JUSTICE ROBERTS: So is your
- 2 suggestion that they deny more because they've taken up
- 3 more search and look at the merits than the other
- 4 circuits?
- 5 MR. KELLER: I think it -- insofar as the
- 6 statistics could be shown that there is, in fact, a
- 7 different denial and grant rate, I think the level of
- 8 process that the Fifth Circuit is receiving and -- and
- 9 the quantum of argument may be going to those
- 10 statistics, because the Fifth Circuit is not simply
- 11 ignoring these claims. And even here --
- 12 JUSTICE KAGAN: But this is the whole point,
- 13 really. They are not supposed to be doing what you do
- 14 when you decide an appeal. And they -- and they
- 15 actually don't have jurisdiction to decide the appeal.
- 16 I mean, they are supposed to be performing a gatekeeping
- 17 function, not deciding the merits of the case.
- 18 MR. KELLER: And I don't think what the
- 19 Fifth Circuit did here is decide the merits. It
- 20 correctly articulated the COA standard, and it examined
- 21 the 11 facts that Petitioner alleged as a basis for
- 22 ruling on the 60(b) motion. Now, five of those were
- 23 essentially the underlying and effective assistance
- 24 claim, and if the Fifth Circuit had --
- JUSTICE SOTOMAYOR: It doesn't say anything

- 1 to the Fifth Circuit that three State court judges, two
- 2 of their colleagues on the Fifth Circuit, two justices
- 3 of this Court, have said or found Mr. Buck's case
- 4 debatable, because that's the standard. It's debatable.
- 5 They don't pause and say, you know, people have some
- 6 basis for an argument here? This is not frivolous.
- 7 This is a serious question.
- 8 MR. KELLER: And the Fifth Circuit took
- 9 these arguments seriously. And this is our response --
- 10 JUSTICE SOTOMAYOR: That's not the issue.
- 11 They are supposed to decide whether to grant COA or not
- on whether the questions are serious or not, debatable,
- 13 not decide the merits. I know it can appear a fine line
- 14 in some situations, but how do you justify saying that
- 15 this is not debatable?
- 16 MR. KELLER: Here the issue would be could
- 17 reasonable jurists debate whether the district court
- 18 abused its discretion in finding extraordinary
- 19 circumstances?
- 20 And so while the reasonable jurist standard
- 21 is lower, that's balanced, though, against the more
- 22 deferential abuse of discretion standard and the
- 23 heightened extraordinary circumstances standard that
- 24 this Court has noted will rarely be met in the habeas
- 25 context.

- In our brief we present a few examples of
- 2 courts finding extraordinary circumstances. That would
- 3 be when counsel wholly abandons a Petitioner, or a
- 4 prison guard actively thwarts a Petitioner filing a
- 5 habeas petition.
- Now, we don't mean to suggest those are the
- 7 only instances in which that can give rise to --
- 8 JUSTICE GINSBURG: There -- there were
- 9 extraordinary circumstances in the other cases? In the
- 10 other five cases?
- 11 MR. KELLER: In the other five cases in
- 12 which the State confessed error?
- 13 JUSTICE GINSBURG: Yes.
- 14 MR. KELLER: Well, there we admit that since
- 15 the prosecution was the one that was eliciting the
- 16 race-based testimony, that that would go to a -- a due
- 17 process and equal protection violation, and that would
- 18 be an extraordinary circumstance --
- 19 JUSTICE KAGAN: But if you said that that's
- 20 because those -- that's -- it's more prejudicial when
- 21 the prosecution introduces this? Is that what you said
- 22 --
- MR. KELLER: Yes.
- JUSTICE KAGAN: -- to Justice Ginsburg?
- 25 That -- that's your basic theory?

- 1 MR. KELLER: The State was using it as an
- 2 aggravator.
- JUSTICE KAGAN: Yeah. But -- and -- and
- 4 that makes it more prejudicial. That's your basic
- 5 theory?
- 6 MR. KELLER: Both points. The State --
- 7 JUSTICE KAGAN: Because I don't -- I quess
- 8 if there's both points, tell me what the other point is
- 9 because I guess I just don't understand that point. But
- 10 it seems more prejudicial when the defense attorney uses
- 11 it.
- I mean, prosecution, you have a jury sitting
- 13 there, and it realizes that the prosecutor has an
- 14 interest in convicting a person and in getting a -- a
- 15 sentence that the prosecution wants, so everything is
- 16 discounted a little bit. But when your own -- when the
- 17 defendant's own lawyer introduces this, the jury is
- 18 going to say, well, it must be true. Even the
- 19 defendant's lawyer thinks that this is true. So, you
- 20 know, who a.m. I to -- to argue with that? It seems
- 21 wildly more prejudicial to me when the defense attorney
- 22 introduces it.
- 23 MR. KELLER: Except it's not the case here
- 24 that Quijano was only testifying about race. Quijano
- 25 said that it would be unlikely the Petitioner would be a

- 1 future danger. And so Quijano's ultimate conclusion, in
- 2 multiple other aspects of his testimony, was favorable
- 3 to Petitioner, as Petitioner conceded. And so in that
- 4 circumstance, the prejudice would not be nearly as great
- 5 as when the State is injecting race into a proceeding.
- JUSTICE ALITO: I didn't think that your
- 7 primary argument had to do with the -- the relative
- 8 prejudice of having it done by the prosecutor and the
- 9 defense attorney. I thought your argument was that the
- 10 State of Texas feels a certain -- feels a special
- 11 responsibility when one of its employees engages in this
- 12 misconduct. And when the -- when the evidence is
- introduced by the defendant's attorney, it's an
- 14 ineffective assistance-of-counsel question, and it has
- 15 to be adjudicated under the Strickland test.
- 16 MR. KELLER: That's absolutely correct. And
- 17 then when you look at the aggravating evidence of
- 18 executing a mother in front of her children and laughing
- 19 about it, and saying that the mother, quote, "got what
- 20 she deserved," unquote, and when we put in evidence from
- 21 ex-girlfriend -- this is a JA127A -- of repeatedly
- 22 beating her and threatening her with a gun, all of those
- 23 go to whether there would in fact be prejudice under the
- 24 Sixth Amendment, ineffective --
- 25 JUSTICE KAGAN: Yes. And the legal question

- 1 here, right, is whether this ineffective assistance of
- 2 counsel claim, which has never been heard by any court,
- 3 is a strong one. And a strong one including that the
- 4 ineffective assistance here is likely to be prejudicial,
- 5 which it seems as though it's -- it's far more likely to
- 6 be prejudicial when the defense counsel does it.
- 7 MR. KELLER: Justice Kagan, when the State
- 8 is the one injecting race into a proceeding, that's
- 9 using it as an aggravator. And if the Court will --
- 10 JUSTICE KAGAN: People expect the State to
- 11 use whatever aggravators it has at hand. Now, people
- don't expect the State to do something as improper as
- 13 this, but the people who understand that not everything
- 14 that the prosecution says about a defendant, you know,
- 15 that people -- the jurors should -- should think about
- 16 those claims seriously because the prosecution has
- 17 interests of its own. But the defense counsel's
- interests are supposed to be with the defendant.
- 19 I'm just repeating myself. If the defense
- 20 counsel does it, I mean, you know, who is the jury to
- 21 complain?
- MR. KELLER: Well, this Court, I don't
- 23 believe, has ever recognized a situation in which a
- 24 defense counsel's act could give rise to structural
- 25 error or per se prejudice. And any such rule, I

- 1 believe, would invite gamesmanship. Of course the
- 2 prejudice analysis can still be done, but to say whether
- 3 it would be per se prejudicial, I think it would have to
- 4 be balanced against the aggravating evidence. And in
- 5 the context of Quijano testifying helpfully to
- 6 Petitioner, that there would be an unlikely event of it
- 7 being a future danger.
- 8 CHIEF JUSTICE ROBERTS: What is the
- 9 relationship between the ruling on prejudice with
- 10 respect to ineffective assistance and the 60(b)
- 11 analysis? I mean, do you agree that if we disagree with
- 12 your submission on prejudice under Strickland, that your
- 13 60(b) analysis kind of falls apart?
- 14 MR. KELLER: I --
- 15 CHIEF JUSTICE ROBERTS: Clearly the
- 16 underlying claim on the merits would be stronger, and --
- 17 and it would be a lot more extraordinary under 60(b).
- 18 MR. KELLER: It is a factor that could be
- 19 considered in doing the extraordinary circumstances
- 20 analysis, because if there were extraordinary
- 21 circumstances that were going to justify, really, from
- 22 the judgment, that would be a factor in the totality of
- 23 the circumstances the Court would be -- it could
- 24 consider in doing that analysis.
- 25 If you have no further questions, we'd ask

1 the Court to affirm the judgment of the Fifth Circuit. 2 CHIEF JUSTICE ROBERTS: Thank you, counsel. 3 Ms. Swarns, you have four minutes remaining. REBUTTAL ARGUMENT OF CHRISTINA A. SWARNS 4 5 ON BEHALF OF THE PETITIONER 6 MS. SWARNS: This Court has long recognized 7 that the integrity of the courts requires unceasing events to eradicate racial prejudice from our criminal 8 9 justice system. That commitment is as urgent today as 10 at any time in our nation's history. 11 Duane Buck's case requires meaningful 12 Federal review of his claim that his trial counsel 13 knowingly introduced an expert opinion that he was more 14 likely to commit criminal acts of violence in the future, a Certificate of Appealability should certainly 15 16 issue. 17 With respect to -- to Texas's arguments, I want to begin by making clear that, first of all, this 18 19 Court in Georgia v. McCollum did make clear, as I think 20 Justice Sotomayor noted, that the equal protection 21 concerns that are implicated by the introduction of race 22 into the criminal justice system absolutely are 23 triggered by defense counsel's conduct. And certainly 24 that was a situation where defense counsel exercised

preemptory challenges based on race.

25

- 1 And in that circumstance, that was actually
- 2 an exercise of peremptory challenges intended to benefit
- 3 the client, right? They were trying to strategically
- 4 gain advantage by using a race-based peremptory
- 5 challenges.
- 6 Here, we have trial counsel making an
- 7 inexplicable decision to introduce -- a knowing,
- 8 inexplicable decision to introduce race. This is
- 9 certainly worse and more aggravating for Mr. Buck.
- I would also like to just be clear that the
- 11 prosecution's reliance on Dr. Quijano's testimony here
- 12 was real. This wasn't a circumstance where the
- 13 prosecutor was required to follow up on Dr. Quijano's
- 14 opinion and -- and reiterate it on cross-examination,
- and then go further and argue in closing that the jury
- 16 should rely on Dr. Quijano to find Mr. Buck likely to
- 17 commit criminal acts of violence, and further argue that
- 18 the jury should disregard the aspects of Dr. Quijano's
- 19 opinion that conflicted with a finding of future
- 20 dangerousness.
- 21 When Texas did its -- its review of -- of
- 22 death row after it conceded error in Saldano, it looked
- 23 through all of the cases on death row to see what else
- 24 was contaminated by Dr. Quijano's racist criminal
- 25 violence opinion. And one of the other cases it looked

- 1 at and ruled out was the Anthony Graves case, which
- 2 demonstrates the options available to this prosecutor
- 3 under these circumstances.
- In the Anthony Graves case, Dr. Quijano was
- 5 called as a defense witness, just like he was here. In
- 6 the Anthony Graves case, the defense elicited
- 7 Dr. Quijano's race as criminal violence opinion on
- 8 direct examination, just as here. But the difference is
- 9 in the Graves case, the prosecutor did not reiterate it
- 10 on direct examination, and -- and then in closing argued
- 11 that the jury should disregard Dr. Quijano's opinion.
- The prosecutor here absolutely capitalized
- on trial counsel's error. There is just no question
- 14 about that. They made a choice that, you know, they
- 15 could have gone the Graves route, but this prosecutor
- 16 chose to go through the door that was opened by trial
- 17 counsel and rely on Dr. Quijano's race as criminal
- 18 violence opinion.
- 19 Counsel for Texas also notes that the last
- 20 note that the jury sent out was a request to review the
- 21 crime scene video, which is absolutely true, but it
- 22 means that the last two notes that this jury looked
- 23 at -- the two -- two things that they asked for, right,
- 24 was the expert's report. So we now have the race, and
- 25 then we have the crime.

Τ	This is exactly the circumstance that this
2	Court addressed in Turner. Right? You have the facts
3	of the crime that trigger this racialized fear of
4	violence and raised the real risk of an arbitrary death
5	sentencing decision, and then you have the report which
6	compounds that risk because it gives a defense expert
7	scientific imprimatur to that pernicious group-based
8	stereotype. So that is further evidence of prejudice to
9	Mr. Buck.
10	Last, I would just be clear that when
11	Mr. Buck litigated his first 60(b) motion, Coleman,
12	as as Texas has acknowledged, stood as an unqualified
13	bar. There was no opportunity, before Martinez was
14	announced, for him to argue.
15	Thank you.
16	CHIEF JUSTICE ROBERTS: Thank you, counsel.
17	The case is submitted.
18	(Whereupon, at 12:02 p.m., the case in the
19	above-entitled matter was submitted.)
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