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2	IN THE SUPREME COUR	T OF THE UNITED STATES
3		X
4	JENNI FER GRATZ and	:
5	PATRI CK HAMACHER	:
6	Petitioners	:
7	v.	: NO. 02-516
8	LEE BOLLINGER, et al.,	:
9	Respondents.	:
10		X
11	Wash	ni ngton, D. C.
12	Tues	sday, April 1, 2003
13	The above-entitl	ed matter came on for oral
14	argument before the Supre	eme Court of the United States
15	at 11:05 a.m.	•
16	APPEARANCES:	
17	MR. KIRK O. KOLBO, ESQ.,	Minneapolis, Minnesota; on
18	behalf of the Petitioners.	
19	GENERAL THEODORE B. OLSON, ESQ., Solicitor General,	
20	Department of Justic	ce, Washington, D.C.; as amicus
21	curiae, supporting t	he Petitioners.
22	JOHN PAYTON, ESQ., Washin	gton, D.C., on
23	behalf of the Respond	lents.
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2	PROCEEDINGS
3	(11:05 a.m.)
4	CHIEF JUSTICE REHNQUIST: We'll hear argument
5	next in No. 02-516, Jennifer Gratz and Patrick Hamacher v.
6	Lee Bollinger.
7	Mr. Kol bo.
8	ORAL ARGUMENT OF KIRK O. KOLBO
9	ON BEHALF OF THE PETITIONER
10	MR. KOLBO: Mr. Chief Justice, and may it please
11	the Court:
12	Jennifer Gratz and Patrick Hamacher were denied
13	admission to the University of Michigan's flagship
14	undergraduate institution, the College of Literature and
15	the Science and the Arts under an admissions under
16	admissions policies that facially and flagrantly
17	discriminated on the basis of race.
18	The history of their case and of the
19	University's defense of its discriminatory admissions
20	policies is a powerful argument about the perils of
21	entrusting to the discretionary judgments of educators the
22	protection of the Constitution's guarantee of equality to
23	all individuals.
24	For nearly 5 years, the University vigorously
25	defended in the district court and the court of anneals

- 1 the admissions systems that were in place when petitioners
- 2 Gratz and Hamacher applied. These systems featured
- 3 separate admissions guidelines for different races,
- 4 protected or reserved seats in the class for select
- 5 minorities, that is blacks, Hispanics and Native
- 6 Americans, racially-segregated wait lists, and a policy of
- 7 never automatically rejecting students from their
- 8 preferred -- from the preferred minority groups while
- 9 doing so for others.
- 10 QUESTION: Mr. -- Mr. Kolbo, as a preliminary
- 11 matter, would you address the question of whether the
- 12 named plaintiff Patrick Hamacher has standing in this
- 13 case. He was denied admission, I think, in 1997?
- 14 MR. KOLBO: Correct, Your Honor.
- 15 QUESTION: And he claimed that he intended to
- apply to transfer to the University of Michigan, from
- 17 wherever he was going to school, and yet the transfer
- 18 admissions policy, I guess isn't before us.
- 19 MR. KOLBO: The transfer admissions policy
- 20 itself is not before you -- the Court, Your Honor.
- 21 QUESTI ON: No
- MR. KOLBO: -- but the policy is essentially the
- 23 same with respect to the consideration of race and the
- 24 Court did -- we did certify a class in this case, with
- 25 respect to Mr. Hamacher, and I believe it was December of

- 1 1998. We moved for class certification and the district
- 2 court granted that certification. And as a result of
- 3 that, of course, anything with respect -- anything that's
- 4 happened with respect to Mr. Hamacher subsequent to that
- 5 time it seems to us is not irrelevant to the consideration
- 6 of standing.
- 7 QUESTION: Are you sure that the transfer policy
- 8 is the same as the admissions policy for new freshmen?
- 9 MR. KOLBO: Well, it's not exactly the --
- 10 QUESTION: We didn't find any such finding.
- 11 There was some little material in the record that gave me
- 12 a different thought about it.
- 13 MR. KOLBO: The transfer policy considers race,
- 14 Your Honor.
- 15 QUESTION: I know it considered race, but not in
- 16 precisely the same way as this --
- 17 MR. KOLBO: Not in precisely the same way, and
- 18 the Court -- there -- there is nothing -- it may be,
- 19 perhaps included in parts of the appendix materials, but
- 20 the district court did not address the issue of the
- 21 transfer policy in it -- in Mr. Hamacher's potential for
- 22 transferring under the policy.
- 23 QUESTION: Well, there's nothing, I take it --
- 24 if Mr. Hamacher prevails on the transfer -- there is
- 25 nothing in his prevailing that would hurt any other class

- 1 member.
- 2 MR. KOLBO: Nothing at all, Mr. Chief Justice.
- 3 QUESTION: It's not a -- okay.
- 4 MR. KOLBO: No. If Mr. Hamacher prevails, then
- 5 the rights of many thousands of others will have been
- 6 vindicated and they will be able to compete under a non-
- 7 discriminatory system.
- 8 QUESTION: Of course that would be true even if
- 9 he doesn't have standing.
- 10 (Laughter.)
- 11 MR. KOLBO: That's true. Well, Your Honor they
- would not be able to compete under a non-discriminatory
- 13 system unless this particular system is struck down.
- 14 QUESTION: I understand if it's struck down, but
- 15 that -- that begs the question of whether the named
- 16 plaintiff has standing to represent a class of people who
- 17 want to get into the freshman class. He wants to get in
- 18 as a transferring student. I mean, it -- maybe there's
- 19 standing, but the mere fact that if he wins everybody will
- 20 benefit certainly doesn't speak to the question whether he
- 21 has standing.
- 22 MR. KOLBO: No, Your Honor, but we -- we do
- 23 believe that because the -- the transfer policy and the
- 24 original admissions policy are fundamentally the same in
- 25 the respect that they both consider race in the admissions

- 1 process in a way that is discriminatory and we believe
- 2 that's --
- 3 QUESTION: And therefore if you're right that
- 4 any consideration of a race is enough to condemn the
- 5 program, then he would have standing, but if it -- if it
- 6 requires analysis of the particular components of the
- 7 policy, then we ought to know whether the transfer policy
- 8 is the same as the original policy.
- 9 MR. KOLBO: That would be true, Your Honor, if
- 10 the case were decided strictly on the issue of narrow
- 11 tailoring, but my understanding is that the University
- 12 considers race for a purpose to achieve a diversity that
- 13 we believe is not compelling, and if that is struck down
- 14 as a rationale, then the law would be same with respect to
- 15 the transfer policy as with respect to the original
- 16 admissions policy, Your Honor.
- 17 QUESTION: Oh, he has standing to challenge.
- 18 That's -- that seems clear, but the -- depending on the
- 19 rationale that the court adopts if it finds -- if it finds
- 20 the program unacceptable, he may not be entitled to
- 21 relief.
- MR. KOLBO: He would be -- it seems to me,
- 23 perhaps, Your Honor, entitled for relief for damages.
- 24 He's -- he's not at this point seeking to be admitted to
- 25 the University. He's graduated with the passage of time,

- 1 it's been five-plus years since this suit was filed,
- 2 Mr. Hamacher has attended and graduated elsewhere. It
- 3 seems to me he would be entitled to damages.
- 4 QUESTION: And the Court agreed with him as far
- 5 as the program that was in place when he applied. The
- 6 Court, I thought, held that program unconstitutional.
- 7 MR. KOLBO: It did, Your Honor.
- 8 QUESTION: And -- but upheld the program that
- 9 came into being after his application, and he hasn't
- 10 reapplied under the new -- but he -- but there was a class
- 11 certified, so I suppose you could substitute another
- 12 plaintiff, someone who is applying under the current
- 13 system
- MR. KOLBO: Well -- well, our position, Your
- 15 Honor, is that because the class was certified with
- 16 respect to Mr. Hamacher, that that's sufficient; that if
- 17 the -- if the system is found unconstitutional, he is an
- 18 adequate class representative. Sure. Certainly.
- 19 One of the critical things that is demonstrated
- 20 in this case is how easy it is for one system to be
- 21 disguised as another. What has happened in this case is
- 22 that for five years again, the University defended the
- 23 system with its facially separate admission guidelines,
- 24 with its reserved seats, and then in -- two years into
- 25 this case, in fact, was still using some of these

- 1 particular forms in its admissions policy. It is an
- 2 indication, I think, of how difficult it is to conclude
- 3 that what we have here is a system that, for example,
- 4 comports with what Justice Powell indicated he was -- he
- 5 approved of in the -- in the Bakke case.
- What we have here is a system that was -- is not
- 7 narrowly tailored to achieve any governmental interest,
- 8 any compelling governmental interest.
- 9 I would like, if I may, to return to the issue
- 10 of diversity and the diversity issue as a -- as a
- 11 compelling state interest. The fundamental problem with
- 12 the diversity rationale is that it depends upon the
- 13 standardless discretion of educators.
- 14 It is a discretion that would be exercised in a
- 15 number of different respects. And we need to be clear
- 16 about this. The University and its amicus have all made
- 17 it clear that in their judgment they ought to be entitled
- 18 to use race as much as necessary in their educational
- 19 discretion.
- If that is the rule that we end up accepting,
- 21 then universities are free in their discretion to choose
- 22 which races are discriminated against, which are favored.
- 23 We can have one institution that discriminates against one
- 24 group of individuals, and another against another. We can
- 25 have with the -- with shifting fashions and -- and

- 1 preferences and time, the preferences for the races can
- 2 shift. An example of that is found in comparing the facts
- 3 of this case to the Bakke case, wherein Bakke, Asian
- 4 Americans were included in the preference, and under the
- 5 University of Michigan's systems, they are excluded.
- 6 The -- the exercise of discretion will extend to
- 7 who's identified in a particular race.
- 8 It will be for educators to decide whether
- 9 someone of a mixed race is someone that is entitled to a
- 10 preference.
- 11 You can have anomalous situation of the
- 12 University's guidelines for example where someone who is
- 13 both half-white and half-black --
- 14 QUESTION: How -- how does the University of
- 15 Michigan decide those things? Do they -- is it just a
- 16 self-reporting type of system on the application?
- 17 MR. KOLBO: That's correct, Mr. Chief Justice,
- 18 it's a matter essentially of self-identification. So if
- 19 someone of mixed race who is white and black identifies
- 20 himself as white, then as far as the University is
- 21 concerned, they don't bring the diversity that they're
- 22 looking for. If that person identifies himself as black,
- 23 then merely from that identification, they have fallen
- 24 within the diversity that the University seeks.
- 25 QUESTION: And -- and the reason that these --

- 1 QUESTION: One thing I don't quite understand
- 2 what difference does it make to your client whether
- 3 they're three or four races or five or six races as long
- 4 as she's not one of them?
- 5 MR. KOLBO: Well, it seems to me, Your Honor,
- 6 it -- the problem --
- 7 QUESTION: She's equally being discriminated
- 8 against as a Caucasian, no matter how many other races are
- 9 preferred.
- 10 MR. KOLBO: That's true, Your Honor. I -- I
- 11 raised the point because it -- it indicates how
- 12 standardless this interest is. It is not defined with
- 13 respect to any constitutional principle like, for example,
- 14 an interest based on remedying discrimination. It is
- 15 entirely discretionary with the University.
- 16 QUESTION: So is it entirely discretionary when
- 17 you read a set of exam books, you know, it's highly
- 18 subjective, which is a little better than its --
- 19 Often I'd make a mistake as a professor, so --
- 20 so the fact that there aren't written-down standards is --
- 21 is -- I'm -- I'm not sure of the Constitutional relevance
- of that when what you're trying to do is something lawyers
- 23 don't normally do, which is to select among people
- 24 individually considered which one is better for this
- 25 particular slot. Businesspeople do that, lawyers don't

- 1 except when they're hiring.
- 2 (Laughter.)
- 3 QUESTION: But -- but I don't -- if you said to
- 4 a businessperson, this doesn't have standards, such a
- 5 thing, I think they might laugh and say my job and
- 6 experience is to select who's better for this slot, so --
- 7 so I'm not sure of the constitutional relevance of what
- 8 you say, which seems to me to me to grow out of the nature
- 9 of the problem.
- 10 MR. KOLBO: Well, again, Justice Breyer, the
- 11 constitutional relevance derives from the fact that we're
- 12 talking about a constitutional right here, the use of
- 13 race, which is not the same thing as --
- 14 QUESTION: Yes, yes, but I mean, as Justice
- 15 Stevens just said, the constitutional problem consists of
- 16 the injury to your client and that injury is the same
- 17 irrespective of the precise nature of the standards on the
- 18 other side. And -- and what I'm sort of struggling for
- 19 here is I see your point, if you say you cannot use race
- 20 at all, period. No matter what. That's a -- that's a
- 21 clear position, which I think is one of your positions.
- 22 But once you depart from that, now I'm -- I'm interested
- 23 in the detail. At that point I'm not quite sure the
- 24 relevance of what you're saying.
- 25 MR. KOLBO: Well, Your Honor, what I'm

- 1 suggesting is the Court itself has made clear that for an
- 2 interest to be compelling, one of the considerations that
- 3 the Court must look at is whether there are standards --
- 4 independent, ascertainable standards apart from the
- 5 discretion exercised by, say, an employer to determine
- 6 whether the interest is one that's compelling and one that
- 7 the Court can oversee. That interest, that standard --
- 8 that standard exists, for example, when we have an
- 9 interest in remedying identified discrimination. The
- 10 Court has made it clear that what with can be done in that
- 11 case is you can measure the extent to which there has been
- 12 past discrimination, that's not a matter of discretion for
- 13 the employer to decide, and once you've measured the
- 14 extent of that discrimination, you can tailor your remedy
- 15 to that interest.
- 16 QUESTION: Mr. Kolbo, because you mentioned the
- 17 employer and the employer's judgment, I gathered from your
- 18 brief that this case is not simply about public
- 19 universities. Employment -- because you bring up 1981 and
- 20 you bring up Title VI -- under Title VI, this case is as
- 21 much about Harvard as it is about Michigan, isn't that
- 22 true?
- 23 MR. KOLBO: The same standard would apply,
- 24 Justice Ginsburg, that's correct.
- 25 QUESTION: And it -- and in the private sector,

- 1 employment in the private sector, there's 1981. So there,
- 2 too. So this case is much larger than private --
- 3 public -- public universities. It's all colleges and
- 4 universities, and it's the entire realm of employment if
- 5 you're right.
- 6 MR. KOLBO: Well, Your Honor, I want to be clear
- 7 about what it is that we're arguing for here today. We
- 8 are not suggesting an absolute rule forbidding any use of
- 9 race under any circumstances. What we are arguing is that
- 10 the interest asserted here by the University, this
- 11 amorphous, ill-defined, unlimited interest in diversity is
- 12 not a compelling interest. Nothing we argue today and
- 13 nothing we seek to do today would undo the Court's
- 14 precedents that have recognized if some --
- 15 QUESTION: As far as --
- 16 QUESTION: But I think you are arguing that
- 17 anything except remedies for past discrimination is
- i mpermissible.
- 19 MR. KOLBO: Your Honor, that is not a conclusion
- 20 that we need to follow from this Court's decision.
- 21 QUESTION: No, I -- I think that's your
- 22 position, is it not? That the only permissible use of
- 23 race is as a remedy for past discrimination?
- MR. KOLBO: I would not go that far, Justice
- 25 Stevens, there may be other reasons. I think they would

- 1 have to be extraordinary and rare, perhaps, rising to the
- 2 level of life or limb. We do know that the Court has
- 3 recognized past identified discrimination.
- 4 QUESTION: What about Weber, to take a specific
- 5 case? Employment setting, the employer says I don't want
- 6 to confess to having been a past discriminator, but I'm
- 7 willing to engage in this voluntary affirmative action. I
- 8 take it that that would be impermissible if we adopt your
- 9 vi ew?
- 10 MR. KOLBO: Weber, as I understand it, is a
- 11 Title VII case, Your Honor and it's not implicated by this
- deci si on.
- 13 QUESTION: But there's 1981, then -- then the
- 14 person who was attacking it on grounds that it's racially
- 15 discriminatory just says my lawsuit is under 1981, which
- 16 it could be as well as Title VII and then what is the
- 17 result?
- 18 MR. KOLBO: Well, it seems to me, Your Honor,
- 19 that -- if the Court could resolve the issue consistent
- 20 with Title VII, which has remedial --
- 21 QUESTION: If the suit is brought under 1981,
- 22 the Court can decide what the plaintiff's complaint should
- 23 be?
- 24 MR. KOLBO: No, no, if this Court decides this
- 25 case under section 1981, the only interest asserted here

- 1 at least is an interest in diversity that we are asking to
- 2 strike down. It may be that there are some other
- 3 interests, including a remedial one, that would be
- 4 justified under some other statute. But the issue is not
- 5 presented.
- 6 QUESTION: But there was no -- this is a
- 7 voluntary affirmative action, no admission of prior
- 8 discrimination. I gather if someone brought a 1981 suit,
- 9 to stop that, your theory is that that person would
- 10 prevail?
- 11 MR. KOLBO: The use of race to exceed non-
- 12 remedial objectives, I think would have problems, Your
- 13 Honor.
- 14 QUESTION: Congress wanted race to be considered
- 15 by private institutions such as Harvard and what-not, if
- 16 there's a problem with 1981, or any of the other Federal
- 17 statutes, they can simply amend it. What the -- the only
- 18 thing that the Constitution applies to is State action.
- 19 MR. KOLBO: Yes, that's correct, Justice Scalia.
- 20 QUESTION: And all the rest is simply Congress'
- 21 decision to impose a similar restriction upon private
- 22 actors, which decision it can change if it wishes.
- 23 MR. KOLBO: That's my understanding, Your Honor.
- QUESTION: Or suppose you say you used the word
- extraordinary as compelling justification, and the other

- 1 side says, yes, extraordinary, we're 280 million people,
- 2 we have large racial diversity within the country, the
- 3 world is even more diverse, and we think from the point of
- 4 view of business, the Armed Forces, law, et cetera, that
- 5 this is an extraordinary need to have diversity among
- 6 elites throughout the country. That without it, the
- 7 country will be much worse off. That's what we're being
- 8 told.
- 9 In fact, the country might not function well at
- 10 all. And we have to train those people. We have to. All
- 11 right, now, how can you say, or can you say, that isn't
- 12 extraordinary? That isn't a question of life or limb for
- 13 the country? It isn't really that necessary, when so many
- 14 people are telling us the contrary?
- MR. KOLBO: Your Honor, because there are
- 16 important constitutional rights at stake. And those
- 17 rights are the right to equal protection. And a mere
- 18 social benefit that is having more minorities in
- 19 particular occupations or the schools simply doesn't rise
- 20 to the level of compelling interest.
- 21 It simply is not -- it doesn't remedy a
- 22 constitutional value, like --
- 23 QUESTION: So if the University president or the
- 24 dean told you just what Justice Breyer said, you would
- 25 tell them there's -- and that we have underrepresentation

- of minorities, you would tell them there's nothing you can
- 2 do about it?
- 3 MR. KOLBO: I would say, Your Honor, that racial
- 4 preferences are not the answer. If there are problems
- 5 again in not getting a sufficient number of -- if
- 6 minorities are not competing at the same level as other
- 7 racial groups then we should take steps to solve that
- 8 problem. But racial preferences, because they injure the
- 9 rights of innocent people, because it's a prohibition
- 10 contained in our Constitution, simply aren't permissible
- 11 to remedy that problem. If I may reserve the remainder of
- 12 my time, Mr. Chief Justice.
- 13 QUESTION: Very well, Mr. Kolbo.
- General Olson, we'll hear from you.
- ORAL ARGUMENT OF THEODORE B. OLSON
- ON BEHALF OF THE UNITED STATES AS AMICUS CURIAE
- 17 SUPPORTING THE PETITIONERS
- 18 GENERAL OLSON: Mr. Chief Justice, and may it
- 19 please the Court:
- The University of Michigan admissions program
- 21 has created a separate path and a separate door for
- 22 preferred minorities. For those groups, if they meet
- 23 basic qualifications, their path is always clear and their
- 24 door is always open.
- Non-preferred racial groups face rigorous

- 1 competition to get through the other door.
- 2 The University admits that race is such an
- 3 overarching factor in its admissions process that
- 4 eventually every qualified underrepresented minority
- 5 applicant will be admitted. The 20 point bonus, which is
- 6 one full grade point, nearly twice the benefit of a
- 7 perfect SAT score, and six times better than an
- 8 outstanding essay, the -- that bonus is actually
- 9 unnecessary with the way the plan actually works, because
- 10 every qualified candidate who gets the bonus gets into the
- 11 University. It might just as well be an admissions
- 12 ticket.
- The University acknowledges that its pre-1999
- 14 admissions program used separate grids, separate
- 15 qualifications, separate standards and protected seats.
- 16 They acknowledge that this system was -- which was held
- 17 unconstitutional and was not challenged, yet they
- 18 stipulated that the only changes that they made from that
- 19 system affected only the mechanics, not the substance of
- 20 how race and ethnicity were considered in the admissions
- 21 process.
- 22 QUESTION: First the changes were sufficient to
- 23 convince the district judge that it was on the other side
- 24 of the constitutional line?
- 25 GENERAL OLSON: Notwithstanding the fact that

- 1 the -- the University -- we -- we respectfully disagree
- 2 with that conclusion, because the -- the University itself
- 3 admitted that it only changed the mechanics. It intended
- 4 to produce the same --
- 5 QUESTION: Yes, but isn't -- isn't -- I mean,
- 6 mechanics is another word for tailoring. And they're
- 7 saying we have tailored it differently. Our objectives
- 8 are the same. We may be reaching those objectives in
- 9 roughly the -- the same proportions, but the argument is
- 10 an argument about tailoring and we've changed the
- 11 tailoring.
- 12 GENERAL OLSON: We submit Justice Souter, that
- 13 the changes which they referred to as mechanics were
- 14 cosmetics, that ultimately, the system was intended to,
- and they acknowledge, to produce the same outcome as the
- 16 prior system.
- 17 QUESTION: Yes. The stipulation is that it did
- 18 not change the substance of how race and ethnicity were
- 19 consi dered.
- 20 GENERAL OLSON: Correct, Justice Kennedy. And
- 21 what the Court only needs to look at the operation of the
- 22 system. That 20 point bonus means that if you pass the
- 23 minimum qualification standards at the University of
- 24 Michigan, you were admitted.
- 25 Everyone else just like in the Davis program,

- 1 had to compete -- people that were not in the preferred
- 2 races, who were not on the preferred class had to compete
- 3 with one another.
- 4 QUESTION: It was the same 20 points given --
- 5 socioeconomic status also had 20 points?
- 6 GENERAL OLSON: Yes, Justice Ginsburg.
- 7 QUESTION: And atlethics, too, I think?
- 8 GENERAL OLSON: Yes, and you couldn't get both.
- 9 But if you had -- whether -- whatever your background,
- 10 whether you were an athlete or not, you got the 20 points
- 11 solely because of your race. There were other systems,
- 12 that the Constitution doesn't implicate.
- 13 QUESTION: I thought you got only one 20?
- 14 GENERAL OLSON: That's correct.
- 15 QUESTION: So if you were an athlete you
- 16 wouldn't get race?
- 17 GENERAL OLSON: That's correct. But if you --
- 18 irrespective of those other factors, if you didn't get the
- 19 -- the 20 point bonus for being an athlete or -- for
- 20 socioeconomic conditions, the only thing that was required
- 21 was to be a member of the preferred race. Like the other
- 22 program that we're hearing today, the same State, the
- 23 state Board of Regents, this plan violates every standard
- 24 that this Court has set for the examination of racial
- 25 preferences.

- 1 It is a thinly disguised quota because there's
- 2 only one path, a segment -- Justice 0'Connor put it this
- 3 way in Croson -- a segment of the class reserved
- 4 exclusively for certain minority groups. It isn't tied to
- 5 a particular number. It's a segment of the class reserved
- 6 on the basis of race.
- 7 It is -- it is based upon the stigmatizing
- 8 notion that if you are a certain race, you think a certain
- 9 way or if you're a certain race, you have certain
- 10 experience that's are common.
- 11 QUESTION: What do you say to the argument that
- 12 number one, it's not stigmatizing, because the box study
- 13 certainly didn't show that it was, and number two, the
- 14 objective is not to show that there is a correlation
- 15 between race and one point of view. The objective is to
- 16 show students what the correlation or no correlation is
- 17 between races and points of view. And it seems to me that
- 18 the Michigan plan is equally consistent with the latter
- 19 interpretation as with the former.
- 20 GENERAL OLSON: What we're saying is that if you
- 21 assume that because you are white or you are red or you
- 22 are brown or you are black, you must have certain
- 23 experiences and you must have certain viewpoints.
- 24 QUESTION: The argument is that you need to have
- enough of them to demonstrate that the point of view does

- 1 not always fit just one person.
- 2 GENERAL OLSON: Well, but Justice Stevens --
- 3 QUESTION: And that was a finding I think?
- 4 GENERAL OLSON: -- that's a self-contradictory
- 5 rationale that they've come up with. They've said first
- 6 of all you have these characteristics because you're black
- 7 but we must admit enough of you into the class to prove to
- 8 the other students that -- that black isn't the reason
- 9 you' re --
- 10 QUESTION: No that is not -- the argument is
- 11 basically that, look, people who have grown up in America
- 12 and are black, regardless of race, no, not regardless of
- 13 race, regardless of socioeconomic background have
- 14 probably, though not certainly, shared the experience of
- 15 being subject to certain stereotypical reactions from
- 16 people throughout their lives.
- Now, that may have led them to react one way, or
- 18 another way or not react at all.
- 19 And indeed many of the students in our class
- 20 will have stereotypical reactions. And it's good for them
- 21 as well as for everyone else to rid themselves of those
- 22 reactions. And we want people in this school of all kinds
- 23 who are black, because that will be helpful education.
- Now, that's their argument, I think, in that
- 25 respect, not the argument that all black people are poor,

- 1 not the argument that all black people have been
- 2 discriminated against, not the argument that all black
- 3 people share a point of view.
- 4 As I read it, that's their argument. And so
- 5 you're reply to that argument is what?
- 6 GENERAL OLSON: Well, their argument, A, takes
- 7 several forms, at one point it's that, at one point, it's
- 8 the need to get more people elite -- of different
- 9 backgrounds, it's a -- but what this Court has said that
- 10 racial preferences, racial stereotyping, which it is, is
- 11 stigmatizing, it's divisive, it's damaging to the fabric
- 12 of society, it's damaging to the goal ultimately to
- 13 eliminate the problems that racial discrimination and
- 14 racial differences have created.
- 15 QUESTION: General Olson -- we're part of a
- 16 world, and this problem is a global problem. Other
- 17 countries operating under the same equality norm have
- 18 confronted it. Our neighbor to the north, Canada, has,
- 19 the European Union, South Africa, and they have all
- 20 approved this kind of, they call it positive
- 21 discrimination. Do we -- they have rejected what you
- 22 recited as the ills that follow from this. Should we shut
- 23 that from our view at all or should we consider what
- 24 judges in other places have said on this subject?
- 25 GENERAL OLSON: I submit, Justice Ginsburg that

- 1 none of those countries has our history, none of those
- 2 countries has the Fourteenth Amendment, none of those
- 3 histories has the history of the statements by this Court
- 4 which has examined the question over and over again that
- 5 the ultimate damage that is done by racial preferences is
- 6 such that if there ever is a situation in which such
- 7 factors must be used that they must be -- race neutral
- 8 means must be used to accomplish those objective, narrow
- 9 tailoring must be applied, and this -- this -- these
- 10 programs fail all of those tests.
- 11 QUESTION: General Olson, do you know whether
- 12 any of those countries that Justice Ginsburg referred to
- 13 that have gone down the road of racial preferences, racial
- 14 entitlements, have ever gotten rid of racial preferences
- 15 or racial entitlements?
- 16 GENERAL OLSON: There --
- 17 QUESTION: Has it been the road to ultimately a
- 18 color blind society or has it been the road to a society
- 19 that has percentage entitlements for the various races?
- 20 GENERAL OLSON: Sadly, I believe that that is
- 21 correct, Justice Scalia, and let me conclude by saying
- 22 that the Michigan Law School and the University of
- 23 Michigan ultimately must make a choice. It may maintain
- 24 its elitist, as it refers to it, selection process without
- 25 regard to race, or it may achieve the racial diversity it

- 1 seeks with race neutral compromises in its admission
- 2 standards.
- 3 But the one thing that it may not do is
- 4 compromise its admission standards or change its admission
- 5 requirements for one race and not another.
- 6 That is forbidden by the Equal Protection Clause
- 7 of the Constitution.
- 8 QUESTION: Is it also forbidden for the United
- 9 States military academy?
- 10 GENERAL OLSON: It may well be Justice Stevens.
- 11 We're not defending the specifics of those programs, but
- 12 we have not examined them individually. We -- we believe
- 13 that the ultimate solution to the problem that race has
- 14 created -- that difference in race has created in this
- 15 country has got to be according to what this Court has
- 16 said, the most neutral race -- neutral means possible.
- 17 QUESTION: Thank you General Olson.
- Mr. Payton, we'll hear from you.
- 19 ORAL ARGUMENT OF JOHN PAYTON
- 20 ON BEHALF OF THE RESPONDENTS
- 21 MR. PAYTON: Mr. Chief Justice and, may it
- 22 please the Court:
- I think I want to spend just a few minutes
- 24 briefly setting the record straight on why it is the
- 25 educational judgment of the University of Michigan that

- 1 the educational benefits that come from a racially and
- 2 ethnically diverse student body are crucial for all of our
- 3 students and why those benefits do not depend in any way
- 4 on the assumption that, for example, all African Americans
- 5 think alike.
- 6 LS&A, our premiere undergraduate institution, is
- 7 an undergraduate college, most of its entering students
- 8 come in as 18-year-olds, about two-thirds come from
- 9 Michigan, and about half from Detroit or the greater
- 10 Detroit area. Michigan, I think as everyone knows is a
- 11 very segregated State.
- 12 QUESTION: Half of the ones who come from
- 13 Michigan come from Detroit?
- 14 MR. PAYTON: Yes. Half of our students come
- 15 from -- yes.
- 16 Michigan is a very segregated State. Detroit is
- 17 overwhelmingly black. Its suburbs and the rest of the
- 18 state are overwhelmingly white. While Michigan is extreme
- 19 in this regard, it's not that extreme from the rest of the
- 20 country. The University's entering students come from
- 21 these settings and have rarely had experiences across
- 22 racial or ethnic lines. That's true for our white
- 23 students. It's true for our minority students.
- 24 They've not lived together. They've not played
- 25 together. They've certainly not gone to school together.

- 1 The result is often that these students come to
- 2 college not knowing about individuals of different races
- 3 and ethnicities. And often not even being aware of the
- 4 full extent of their lack of knowledge. This gap allows
- 5 stereotypes to come into existence.
- 6 Ann Arbor is a residential campus, just about
- 7 every single entering student lives on campus in a dorm.
- 8 On campus, these 18-year olds interact with students very
- 9 different from themselves in all sorts of ways, not just
- 10 race, not just ethnicity, but in all sorts of ways.
- 11 Students, I think as we know, learn a tremendous amount
- 12 from each other.
- 13 Their education is much more than the classroom.
- 14 It's in the dorm, it's in the dining halls, it's in the
- 15 coffee houses. It's in the daytime, it's in the
- 16 nighttime. It's all the time.
- 17 Here's how critical mass works in these
- 18 circumstances. If there are too few African-American
- 19 students, to take that same example, there's a risk that
- 20 those students will feel that they have to represent their
- 21 group, their race. This comes from isolation and it's
- 22 well understood by educators. It results in these token
- 23 students not feeling completely comfortable expressing
- 24 their individuality.
- 25 On the other hand, if there are meaningful

- 1 numbers of African-American students, this sense of
- 2 isolation dissipates.
- 3 QUESTION: Mr. Payton, what is a meaningful
- 4 number?
- 5 MR. PAYTON: It's what we've been referring to
- 6 as critical mass.
- 7 QUESTION: Okay, what is critical mass?
- 8 MR. PAYTON: Critical mass is when you have
- 9 enough of those students so they feel comfortable acting
- 10 as individuals.
- 11 QUESTION: How do you know that?
- 12 MR. PAYTON: I think you know it, because as
- 13 educators, the educators see it in the students that come
- 14 before them, they see it on the campus.
- 15 QUESTION: Do they -- professors at the
- 16 University of Michigan spend a lot of time with the
- 17 students?
- 18 MR. PAYTON: Yes, they do. This is a incredibly
- 19 vibrant and complex campus that has diversity in every
- 20 conceivable way. And I think --
- 21 QUESTION: Do they spend a lot of time with them
- 22 other than lecturing to them?
- 23 MR. PAYTON: They do. In the record, we
- 24 actually have an expert report that's not contradicted in
- any way by Professor Raudenbush and by Professor Gurin,

- 1 just on the issue of how do you know when you have enough
- 2 students in different contexts and circumstances so that
- 3 there will be these meaningful numbers.
- 4 QUESTION: What do they say?
- 5 MR. PAYTON: They said that given the numbers
- 6 that have been coming through in the last several years,
- 7 we are just getting to that critical mass. And the way
- 8 they analyzed it was to look at the circumstances in which
- 9 students interact. A entering seminar, a dorm context, a
- 10 student activities context, student newspaper context, to
- 11 see what would happen if you distribute the students
- 12 across these small encounter opportunities.
- 13 QUESTION: Does Michigan have, as some schools I
- 14 know have, schools that have affirmative action program,
- does it have a minority dormitory?
- MR. PAYTON: No. The answer is no. We have
- 17 dormitories like I said. Just about every single entering
- 18 student stays in a dormitory. We do not have any
- 19 dormitories where your entrance into it is governed by
- 20 your race. But we have tremendous representation in our
- 21 dormitories because everybody has to stay there, okay?
- So the answer is --
- 23 QUESTION: I mean, apart from being excluded, if
- 24 -- it is in fact the residential pattern quite mixed and
- 25 there are no dormitories that are, you know, just as

- 1 sometimes there is -- there is the jocks dormitory, there
- 2 is really no African American dormitory?
- 3 MR. PAYTON: The answer is there is no African
- 4 American dormitory, put it -- the full answer is more
- 5 complex. After students are there for their first year,
- 6 they can choose to move off campus. They can choose to
- 7 stay on campus. Many stay on campus, many move off
- 8 campus. Ann Arbor is a college town and off campus is
- 9 actually in the larger campus community and what they do
- 10 off campus is obviously up to the students themselves, but
- 11 I think that's -- you know, that's the real world. If you
- 12 have the meaningful numbers of minority students, what
- 13 then happens is that students will see a range of ideas, a
- 14 range of viewpoints from and among those students and they
- 15 will then see things that they may not have expected,
- 16 similarities and differences, and those in turn will have
- 17 the result of undermining stereotypes, you know, and this
- 18 happens for the minority students, and the white students.
- 19 This happens for all the students. You know,
- 20 the benefits from this affect every single student that
- 21 comes through. And they're dependent on their being
- 22 meaningful numbers, or critical mass, of minority
- 23 students, or the benefits don't come about.
- 24 That's the interest that the University is
- 25 asserting. That's why they think that this is so crucial.

- 1 Education, understanding, produces citizens and leaders in
- 2 our complex society.
- 3 QUESTION: But where we are is, there's an
- 4 assumption, you may not agree with it, but it's one
- 5 beginning assumption in this area, that there may not be a
- 6 quota, every -- all of the eloquent things you said could
- 7 be easily met by a quota. That -- let's just assume for
- 8 argument, we cannot do.
- 9 I have to say that in -- in looking at your
- 10 program, it looks to me like this is just a -- a disguised
- 11 quota. You have a -- a minority student who works very,
- 12 very hard, very proud of his athletics, he gets the same
- 13 number of points as a minority person who doesn't have any
- 14 athletics -- that to me looks like an overt quota.
- 15 MR. PAYTON: Here's how our system works and I
- 16 believe it's not a quota at all and I can believe -- I can
- 17 simply explain this. The way it works, an application
- 18 comes in, it is reviewed on the basis -- every single
- 19 application is read in its entirety by a counselor, every
- 20 single application. It is in fact judged on the basis of
- 21 the selection index, which has the 20 points for race and
- 22 20 points for athletics, but it also has all sorts of
- 23 other things that it values, in state, underrepresented
- 24 state, underrepresented county within Michigan,
- 25 socioeconomic status, what your school is like, what the

- 1 curriculum that you took at your school is like.
- 2 QUESTION: But none of that matters.
- 3 MR. PAYTON: Your grades --
- 4 QUESTION: None of that matters if you're
- 5 minimally qualified and you're one of the minority races
- 6 that gets the 20 points, you're in, correct? The rest is
- 7 really irrelevant?
- 8 MR. PAYTON: The way it works is that every
- 9 application comes through and it's read in its entirety,
- 10 it is evaluated taking all of these factors into account,
- and then based upon the number that comes off the
- 12 selection index which can go up to 150, the students are
- 13 all competing against each other. There is a score that
- 14 is evaluated throughout the year, because there's an
- overenrollment problem that always has to be managed and
- 16 if the score is higher, you are in, and that doesn't
- 17 matter about anything other than what the score is. In
- 18 addition, the counselor can on the basis of three factors
- 19 see that an application is reviewed by the admissions
- 20 review committee.
- 21 QUESTION: Mr. Payton, in your brief, you say
- 22 the volume of applications and the presentation of
- 23 applicant information may get impractical for LSA to use
- 24 the same admissions system as the much smaller University
- of Michigan Law School.

- Now, you're saying that every single application
- 2 for admission to LSA is read individually?
- 3 MR. PAYTON: Yes. Sometimes twice. Because
- 4 every application is read when it comes in, and those that
- 5 a counselor flags that -- because they find that there's
- 6 three factors you have to have flag an application --
- 7 academically able to do the work, above a certain
- 8 selection index score and also contributes at least one of
- 9 various factors that we want to see in our student body,
- 10 including underrepresented minority status, but also very
- 11 high class rank and a whole range of other things.
- 12 QUESTION: When you say underrepresented
- 13 minorities, what comparison are you making to say that
- 14 it's underrepresented?
- 15 MR. PAYTON: I think we're taking that term as
- 16 the Federal Government has used it, and the reason Asians
- 17 aren't included, just to pick up one of the --
- 18 QUESTION: How does the Federal Government use
- 19 it?
- 20 MR. PAYTON: I think there are three minority
- 21 groups, you know. Let me just go back and answer what we
- 22 want.
- 23 QUESTION: Well, I think perhaps I could get a
- 24 more direct answer. How do you decide whether, say,
- 25 African Americans or Hispanics are quote underrepresented,

- 1 close quote?
- 2 MR. PAYTON: I think this is actually a very
- 3 important point. They are underrepresented in our
- 4 applicant pool.
- 5 QUESTION: Compared to what?
- 6 MR. PAYTON: Compared to -- we have very small
- 7 pools of African Americans, for example, that are
- 8 qualified to the extent that we require students to be
- 9 qualified to do the work at the University of Michigan and
- 10 what that means is that if we didn't take race into
- 11 account, we would not be able to get the numbers of those
- 12 students, the critical mass, necessary for the educational
- 13 benefits that we want.
- 14 QUESTION: But --
- MR. PAYTON: That's underrepresented.
- 16 QUESTION: When you say underrepresented, it
- 17 sounds like something almost mathematical, that you're
- 18 saying, we only have a certain percentage of -- and we
- 19 should have this percentage, well, what is this
- 20 percentage?
- MR. PAYTON: It's actually not a percentage at
- 22 all and it really is driven by the educational benefits
- 23 that we want from our diverse student body.
- 24 If we had in our applicant pool sufficient
- 25 numbers of minority students, African Americans, for

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1 example --
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- 2 QUESTION: What is a sufficient number?
- 3 MR. PAYTON: So that when we made our selection
- 4 --
- 5 QUESTION: I asked you, what is a sufficient
- 6 number?
- 7 MR. PAYTON: Yes.
- 8 QUESTION: An answer -- would you answer it?
- 9 MR. PAYTON: A sufficient number so that when we
- 10 made our selections, we were achieving the critical mass
- 11 of students that we need for the benefits I described.
- 12 That is not a fixed precise number at all, as you've
- 13 heard. It is -- that's simply not the nature of the
- 14 critical mass. But when you're trying to figure out
- 15 whether or not in your applicant pool, you have sufficient
- 16 numbers, so that the normal operation of our process would
- 17 yield a critical mass, that's underrepresented. We are
- 18 underrepresented with respect to Hispanics, with respect
- 19 to African Americans and with respect to Native Americans.
- 20 QUESTION: Because your standards are so high,
- 21 you say that there are very few of those who can meet your
- 22 standards. So why don't you lower your standards,
- 23 actually, I mean if this is indeed a significant
- 24 compelling State interest, why don't you lower your
- 25 standards?

- 1 MR. PAYTON: We do have sufficient numbers in
- 2 our applicant pool to achieve the critical mass that we're
- 3 achieving. We're not taking -- you're right we're not --
- 4 QUESTION: By taking race into account, you can
- 5 you can do it. But --
- 6 MR. PAYTON: But we're not taking students that
- 7 aren't qualified, you are correct about that, Justice
- 8 Scalia.
- 9 QUESTION: But just lower your qualification
- 10 standards, if -- if this value of -- of having everybody
- in a mix with people of other races is so significant to
- 12 you, just lower your qualifications.
- 13 MR. PAYTON: It is that significant to us. But
- 14 I think that --
- 15 QUESTION: You don't have to be the great
- 16 college you are, you can be a lessor college if that value
- 17 is important enough to you.
- 18 MR. PAYTON: I think that decision which would
- 19 say that we have to choose, would be a Hobbesian choice
- 20 here. Our premiere institutions of higher education, I'd
- 21 say, are part of our crown jewels. We have great
- 22 educational institutions in this country. The University
- 23 of Michigan is one of them. I think we are the envy of
- 24 the world. If we had to say, gee, our educators tell us
- 25 that it is crucial that for the full education they want

- 1 for those students, all of those students we needed for a
- 2 student body, that the decision is, oh, gee, we want to
- 3 you decide to either have a poor education for the
- 4 essentially white students and/or you can say, change what
- 5 you are as an institution. I think we get to decide what
- 6 our mission is. I think the Constitution gives us some
- 7 leeway in deciding what our mission is and how we define
- 8 ourselves.
- 9 QUESTION: And anything that contradicts that
- 10 mission is automatically a compelling State interest?
- 11 MR. PAYTON: No. I think what we're saying is
- 12 we can achieve both of those things, because, in fact,
- 13 achieving the educational benefits that come from a
- 14 diverse student body can be achieved, given our mission,
- 15 if we can go about selecting students in a way to achieve
- 16 the critical mass of minority students that we need. We
- 17 want both of those things. We think that --
- 18 QUESTION: Go ahead. Are you finished?
- 19 MR. PAYTON: Yes.
- 20 QUESTION: I wanted to go back to Justice
- 21 Kennedy's question. The point system here, does it meet
- 22 the opinion of Justice Powell in Bakke when that was
- 23 called for individualized consideration?
- Now, the concern that it does not, is that you
- 25 under this system would seem to have the possibility that

- 1 two students -- one is a minority, African American, one
- 2 is not, majority, and they seem academically approximately
- 3 the same and now we give the black student 20 points and
- 4 the white student, let's say, is from the poorest family
- 5 around and is also a great athlete, and he just can't
- 6 overcome that 20 points -- the best he can do is tie.
- 7 And so that's the argument that this is not
- 8 individualized consideration. And I want to be sure I
- 9 know what your response is to that argument.
- 10 MR. PAYTON: I have two responses. The first is
- 11 to say that it is individualized if that white student
- 12 actually was socioeconomically disadvantaged, that could
- 13 be taken into account.
- 14 QUESTION: But remember he has that and gets 20
- 15 points for it?
- 16 MR. PAYTON: Yes.
- 17 QUESTION: And he also is a great athlete and
- 18 I've constructed this example to make it difficult for
- 19 you, and -- but I mean you see he can only get 20 points,
- 20 no matter how poor he is. And no matter how great an
- 21 athlete he is as well, and the -- let's say the black
- 22 student who has neither ties him?
- 23 MR. PAYTON: Yes.
- 24 QUESTION: But on individualized consideration,
- 25 the black student might lose, if there were the

- 1 individualized consideration.
- 2 MR. PAYTON: Well, he might --
- 3 QUESTION: And that's -- and that's what you're
- 4 giving him. Now what is the answer I'm -- I'm trying to
- 5 find your answer?
- 6 MR. PAYTON: The answer is we value both of
- 7 those aspects of diversity. We want both of those
- 8 represented in our student body, all right, if they tie,
- 9 they will being judged exactly the same as far as how the
- 10 selection index works.
- 11 QUESTION: What you're saying is that race is
- individualized consideration?
- 13 MR. PAYTON: I'm saying that each student --
- 14 QUESTION: Otherwise you're saying that only in
- 15 the hypothetical given that only the white student
- 16 receives individualized consideration?
- 17 MR. PAYTON: No, no. They both --
- 18 QUESTION: Some are more equal than others?
- 19 MR. PAYTON: They both receive individualized
- 20 consideration. They're both reviewed in their totality.
- 21 They both may be sent to the admissions review committee
- 22 where they get a second reading. In Bakke --
- 23 QUESTION: If in those circumstances, because we
- 24 have the white student who is both a good athlete and also
- 25 very poor, and the other student, the minority is not,

- 1 could that be sent to the -- the individual -- could that
- 2 be sent to the review committee and the review committee
- 3 would say, well, we have a special circumstance here, and
- 4 even though the points tie, nonetheless when we look at it
- 5 carefully, we see that the white student has these extra
- 6 pluses, despite the points, we let in the white student?
- 7 MR. PAYTON: The admissions review committee --
- 8 about 70 percent of the applications that it reviews in
- 9 any given year are white student applications that are
- 10 sent to it. Okay. It can reach its judgment irrespective
- 11 of whatever happened in the selection index score.
- 12 QUESTION: So they can ignore the points?
- 13 MR. PAYTON: They can -- actually once it goes
- 14 to them they simply look at the application and make a
- 15 judgment.
- 16 QUESTION: So I want a clear answer to this.
- 17 That review committee can look at the applications
- individually and ignore the points?
- 19 MR. PAYTON: It does.
- QUESTION: Yes. The answer is yes?
- 21 MR. PAYTON: The answer is yes.
- 22 QUESTI ON: Okay.
- 23 MR. PAYTON: And it does. In Bakke, where
- 24 Justice Powell says that he could look at one example of
- 25 an admissions policy and he discusses briefly the Harvard

- 1 plan and then he has a long quote from it, there is the
- 2 footnote 50 that Ms. Mahoney mentioned. In both footnote
- 3 50 and footnote 51 there is a citation to this study by
- 4 Carnegie and he introduces that by saying in the footnote
- 5 there are in this study examples of the actions by other
- 6 leading institutions, trying to get diverse student
- 7 bodies. That study indicates that there are plenty of
- 8 other models where in fact some effort to come up with a
- 9 system to handle these different factors was successful.
- 10 QUESTION: Mr. Payton, it's easy to say they can
- 11 ignore the points. Easy to say. Do you know of any case
- where a minority applicant, one of the minorities favored
- in your program, who was minimally qualified, got the
- 14 20-point favor and was rejected?
- MR. PAYTON: I don't know, Justice Scalia.
- 16 QUESTION: Well, it's important, I mean, to say
- 17 theoretically, it's fine, yes, theoretically, you can
- 18 reject it. But as I understand what -- what the other
- 19 side is saying, it is automatic, if you are minimally
- 20 qualified, and you get those 20 points, you are in, that's
- 21 what they claim?
- 22 MR. PAYTON: Actually --
- QUESTION: Now, do you assert that is
- 24 false?
- 25 MR. PAYTON: That is not correctly describing

- 1 what happens. The way the policy works and the way it is
- 2 implemented is how I described the policy. In fact, the
- 3 results of the policy are that most of the qualified
- 4 minority applications do end up getting admitted. That's
- 5 not the design. The design is here's how you do it,
- 6 here's how the decisions are made, either on the selection
- 7 index score, some are sent to the admissions review
- 8 committee. Most of those that are sent to the admissions
- 9 review committee are in fact not minority applications,
- 10 but the design is not gee, admit all qualified minorities,
- 11 the design is to take these different factors into account
- 12 in order to achieve the student body that we think is
- 13 crucial here.
- 14 QUESTION: So there are some qualified
- 15 minorities who get the 20 points and who are rejected?
- 16 MR. PAYTON: I believe that is the case, all the
- 17 record says in this is that virtually all of the minority
- 18 students, as a result of the policy ended up being
- 19 admitted. I think there are certainly some, I can't give
- 20 you one, I can't give you one, but there are certainly
- 21 some where if you work it out, you can see that won't
- happen.
- 23 QUESTION: But the design is to admit a higher
- 24 percentage of the qualified minority applicants that you
- 25 get, given the numbers that there are today, because if

- 1 you don't do that you won't get your mix?
- 2 MR. PAYTON: The design is to make sure we get
- 3 to the critical mass of the meaningful numbers and given
- 4 the small pool size we have, the way it operates is as you
- 5 just described, but that's the way it operates, the design
- 6 is to make sure we get the critical mass of students that
- 7 are, in fact, necessary for the educational benefits that
- 8 we are asserting here.
- 9 QUESTION: Has anyone at Michigan ever defined
- 10 critical mass as being anything more specific than
- 11 something beyond token numbers?
- 12 MR. PAYTON: I think that the reason I
- 13 referenced the two expert reports by Professor Raudenbush
- 14 and Professor Gurin is to try to see this -- those two
- 15 reports try to put this in sort of an everyday example,
- 16 you know, students don't interact with the student body as
- 17 a whole, they interact in small settings and it's to see
- 18 if you see what our minority student population is how
- 19 that would distribute into these small settings. And on
- 20 the basis of how that distribution works, Professor Gurin
- 21 looked at it to see whether or not that looked like that
- 22 would be generating the interactions that she would expect
- 23 for these educational benefits.
- 24 QUESTION: But in the criteria used by the
- 25 admissions committee, did anyone put a percentage figure

- 1 or a specific number --
- 2 MR. PAYTON: No.
- 3 QUESTION: -- beyond the concept you've got to
- 4 get more than just token representation?
- 5 MR. PAYTON: No. The answer is no. And --
- 6 QUESTION: Mr. Payton, do you know the origin of
- 7 critical mass that is being spoken of here as though it
- 8 were something that were invented? I know it goes back at
- 9 least with respect to the enrollment of women in law
- 10 school, the schools talked about we want to get a critical
- 11 mass, so women will feel welcome because when they were
- 12 one at a time curiosities they did have to do as you said
- 13 defend -- they were representatives of their sex and if
- 14 they failed, all women failed. Once they had a critical
- 15 mass, it was no longer necessary, the woman was free to be
- 16 who she was.
- But that term I certainly was familiar with that
- 18 term used in that setting. It's -- it comes from
- 19 sociology, doesn't it?
- 20 MR. PAYTON: It does, and I think you've
- 21 described it exactly as it has come about with respect to
- 22 diversity and critical mass. In the Harvard plan, in
- 23 Justice Powell's discussion of the Harvard plan, he
- 24 clearly acknowledges and -- because the plan acknowledges
- 25 that you must have meaningful numbers and it means more

- 1 than token numbers and there's clearly an acknowledgement
- 2 that if you have too few numbers you get the dynamics of
- 3 isolation that you just discussed.
- 4 QUESTION: In the law school context, there was
- 5 testimony, I think from one of the admissions officers
- 6 that said 5 percent is too few, 10 percent might suffice.
- 7 And he's talking in respect to what is a
- 8 critical mass. Now, do people coalesce around numbers
- 9 like that or is that just out of -- what do I do with that
- 10 piece of testimony?
- 11 MR. PAYTON: I think that in all of this, you
- 12 know, there's a false precision here that everybody wants,
- which is tell me exactly what this is, and I don't think
- 14 it exactly works like that. You know, we have a lot of
- 15 experience as, you know, an educational institution about
- 16 what has happened on our campus and what has worked. The
- 17 class that we've had, the entering classes that we've had
- 18 over the last 4 years or so, have ranged from 12 percent
- 19 to 17 percent, okay? Twelve percent to 17 percent. I'm
- 20 not saying it's a percent and I'm not saying it's that
- 21 fixed range, but 12 percent to 17 percent is sort of how
- 22 it is ranged and that has generated the representation in
- 23 the small groups that is what is working to achieve some
- of these educational benefits that we're talking about.
- But it's not quite that precise as far as how

- 1 all of this works.
- 2 QUESTION: Mr. Payton, let me ask Justice
- 3 0'Connor's question, when does all of this come to an end?
- 4 MR. PAYTON: I think that we all certainly
- 5 expect it to come to an end. I think we're all quite
- 6 surprised if we looked back at Bakke, in 1978, I think all
- 7 of us would be quite surprised from that vantage point to
- 8 realize that today in Michigan students live in such
- 9 segregated circumstances growing up, it's really quite
- 10 unbelievable. We could not have foreseen that. I think
- 11 people thought that we were coming together in a way and
- 12 that hasn't occurred. That's created some educational
- 13 challenges and opportunities.
- 14 The test score gap, I think is narrowing -- we
- put that in our brief. I think we're all quite optimistic
- 16 about how this is going to progress. There is progress.
- 17 I think the pool is increasing. But I can't give you how
- 18 long is it going to last. I think we're all quite
- 19 confident that it's only going to last for X number of
- 20 finite years, I just can't answer with any precision that
- 21 question either.
- 22 QUESTION: Suppose the Court were to say that
- 23 the 20-point system and the law school system looked just
- 24 too much like a quota and that quotas are impermissible?
- 25 As of that point, is it our burden to tell you what other

- 1 systems to use or is it your burden to come up with some
- 2 other system, say, more individualized assessment in order
- 3 to attain some of the goals you wish to attain?
- 4 MR. PAYTON: I guess I'm not sure what the more
- 5 individualized assessment would be here. I'm not saying
- 6 that obviously there are things that could be done
- 7 differently. We've done things differently. The two
- 8 schools do things quite differently. But I think we're
- 9 both trying to achieve the critical mass, that I think
- 10 there's no dispute at all from anyone that the critical
- 11 mass is essential to get the educational benefits that
- we're talking about.
- 13 If this goal is a compelling interest, then
- 14 critical mass is essential to its attainment, given the
- 15 small pool size that we're talking about. Can it be
- 16 crafted in another way? Obviously, from the amicus
- 17 briefs, there are a lot of schools that do it in different
- 18 ways. We're doing it in a very individualized way that in
- 19 fact does allow students to compete. Every student is
- 20 evaluated on the same criteria. You know, head to head.
- 21 We do take race into account in the way that you've heard
- 22 described. But I'm not sure that lacks the individuality
- 23 that you would be striving for.
- 24 This is, you know, an enormously important case.
- 25 When Justice Powell said in Bakke that it's not too much

- 1 to say that the Nation's future depends upon leaders
- 2 trained through wide exposure to the ideas and mores of
- 3 students as diverse as this nation of many peoples, I
- 4 think that statement was absolutely correct then. I think
- 5 it is, you know, it has never been truer than it is today.
- 6 This is of enormous importance and correct, not just to
- 7 the University of Michigan, but I'd say to all of higher
- 8 education and I think to our country as a whole to be able
- 9 to do things that bring us together, that bring us
- 10 understanding, that result in tolerance and, I'd say, make
- 11 us the -- more -- closer to the day that we all look
- 12 forward to when, in fact, we are beyond some of these
- problems that we've been discussing rather intensely here
- 14 today.
- 15 QUESTION: Mr. Payton, do you think that your
- 16 admissions standards overall at least provide some
- 17 headwind to the efforts that you're taking about?
- 18 MR. PAYTON: Yes, I do. I think they do in all
- 19 sorts of ways. They are certainly producing black
- 20 students, white students, Hispanic students, Native
- 21 American students who go out into our communities and
- 22 change their communities.
- 23 QUESTION: You may have misunderstood me. I
- 24 mean the -- Ms. Mahoney said earlier that the problem of
- 25 law school admissions, in response to Justice O'Connor,

- 1 that it was for the elite schools, it was more a problem
- 2 at the elite schools, when she was talking about Boalt
- 3 Hall, for example, you meant -- you suggested or alluded
- 4 to in your argument today that, you know, you don't want
- 5 to choose between being an elite school and the whole
- 6 diversity issue.
- 7 It -- would it be easier to accomplish the
- 8 latter if the former were adjusted, that is the overall
- 9 admissions standard?
- 10 MR. PAYTON: I think that --
- 11 QUESTION: Now, I know you don't want to make
- 12 the choice, but will you at least acknowledge that there
- is a tension?
- 14 MR. PAYTON: I think that, you know, some of our
- other schools, the non-selective schools, actually some
- can end up with completely undiverse populations as well;
- 17 that the fact that a school does not have selectivity
- 18 doesn't mean that the community college, in fact, is
- 19 di verse.
- 20 So I don't think it necessarily follows at all
- 21 that if you lower your standards and distribute this all
- 22 across the country, we will get these educational
- 23 benefits, you know, throughout our educational system
- 24 QUESTION: Now -- about 10 terms ago, we had the
- 25 University of Mississippi higher ed. case in here --

- 1 MR. PAYRON: Yes.
- 2 QUESTION: -- and the argument was made that the
- 3 historically -- the HBCs, the historically black colleges
- 4 provided a different benefit to minorities. Would the
- 5 same arguments with respect to diversity apply to those
- 6 institutions?
- 7 MR. PAYTON: Yes. You mean do they benefit if
- 8 they had a racially and ethnically diverse student body?
- 9 I believe most every single one of them do have diverse
- 10 student bodies.
- 11 QUESTION: Thank you, Mr. Payton.
- 12 Mr. Kolbo, you have two minutes remaining -- you
- 13 have three minutes remaining.
- 14 REBUTTAL ARGUMENT OF KIRK O. KOLBO
- ON BEHALF OF THE PETITIONERS.
- 16 MR. KOLBO: With respect to the point system,
- 17 Counsel has made it sound as if it's sort of a fortuity
- 18 that the University of Michigan has an admissions system
- 19 that ends up admitting -- admitting virtually all minority
- 20 students. In fact, I want to talk a little bit about the
- 21 record here. We put in the record the guidelines from the
- 22 original system that was in place in 1995 and 1997. At
- 23 the joint appendix, at page 80, it's made very clear that
- 24 the guidelines were set in 1995, when Jennifer Gratz
- 25 applied to admit all qualified minority students. It's

- 1 also undisputed in this record that the way the University
- 2 got to the 20 points was to statistically design it based
- 3 on the old model. So what they've done is they've taken
- 4 the old guidelines that were set to admit all qualified
- 5 minority students, statistically figured out how many
- 6 points they needed to give -- to give to students under
- 7 the new system to replicate the old system, and that's how
- 8 we ended up with 20 points.
- 9 So it -- it strikes me as disingenuous to
- 10 suggest that it's simply an accident.
- 11 These policies have a purpose. They grant a
- 12 preference for a purpose. And the new system does what
- 13 the old system did -- did, which is to create a two-track
- 14 system It's not enough if you're Jennifer Gratz or
- 15 Patrick Hamacher to be merely qualified to get admitted to
- 16 the University. To be admissible is not simply enough
- 17 because of their skin color. If however you're a member
- 18 of one of the minority students and you meet those minimum
- 19 qualifications, that's sufficient. If that's not a
- 20 two-track system, I can't imagine what one -- what one
- 21 would actually look like.
- With respect to test scores, a question was
- 23 made -- a question was asked about how long are these
- 24 systems going to last. There's actually evidence, and
- 25 this was not put in the -- in the record by the

- 1 University, with respect to test scores and disparities,
- 2 but there's -- there's also opposing opinion which has
- 3 indicated that as long as we have these preferences, they
- 4 create perverse incentives. We've cited the work of John
- 5 McWhorter, for example, in our reply brief indicating that
- 6 test scores to the extent that they're not narrowing, or
- 7 to the extent that the gaps are increasing may, in fact,
- 8 be to the fact -- due to the fact of these -- of these
- 9 preferences. With respect to the Hobbesian choice that
- 10 Mr. Payton has talked about, they have resolved a
- 11 different Hobbesian choice. The University has decided
- 12 that they are willing to lower their academic standards to
- 13 get their critical mass.
- 14 They' ve resolved that -- that Hobbesi an choice
- 15 that way. But they've resolved the other Hobbesian
- 16 choice, how to get those objectives and stay selective,
- 17 they've resolved that Hobbesian choice on the backs of the
- 18 constitutional rights of individuals like Jennifer Gratz
- 19 and Patrick Hamacher. They are the ones that are paying
- 20 for the Hobbesian choice that the University has resolved
- 21 with -- by the use of a two-track admission system.
- With respect to the concept of critical mass,
- 23 all I have to say, if one can't ascertain from the way
- 24 it's defined, meaningful means sufficient, sufficient
- 25 means critical, critical means sufficient, that meets the

- 1 definition, it seems to me, of an interest that's too
- 2 amorphous, too ill-defined, too indefinite, just like the
- 3 role model theory, just like a remedy for societal
- 4 discrimination, too indefinite to support the use of a
- 5 compelling -- to suit -- to use -- to be a basis for
- 6 racial preferences.
- 7 CHI EF JUSTI CE REHNQUI ST: Thank you Mr. Kol bo.
- 8 The case is submitted.
- 9 (Whereupon, at 12:02 p.m., the case in the
- 10 above-entitled matter was submitted.)