1	IN THE SUPREME COURT OF THE UNITED STATES
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3	JOHN DOE #1, ET AL., :
4	Petitioners :
5	v. : No. 09-559
6	SAM REED, WASHINGTON SECRETARY :
7	OF STATE, ET AL. :
8	x
9	Washington, D.C.
10	Wednesday, April 28, 2010
11	
12	The above-entitled matter came on for oral
13	argument before the Supreme Court of the United States
14	at 10:11 a.m.
15	APPEARANCES:
16	JAMES BOPP, JR., ESQ., Terre Haute, Indiana; on behalf
17	of Petitioners.
18	ROBERT M. McKENNA, ESQ., Attorney General, Olympia,
19	Washington; on behalf of Respondents.
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1	PROCEEDINGS
2	(10:11 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear
4	argument this morning in Case 09-559, John Doe v. Reed,
5	Washington Secretary of State.
6	Mr. Bopp.
7	ORAL ARGUMENT OF JAMES BOPP, JR.,
8	ON BEHALF OF THE PETITIONERS
9	MR. BOPP: Thank you, Mr. Chief Justice, and
10	may it please the Court:
11	No person should suffer harassment for
12	participating in our political system, and the First
13	Amendment protects citizens from intimidation resulting
14	from compelled disclosure of their identity and beliefs
15	and their private associations.
16	JUSTICE SCALIA: What what about
17	requiring disclosure of campaign contributions?
18	MR. BOPP: Well, the
19	JUSTICE SCALIA: Do you think that is
20	unconstitutional?
21	MR. BOPP: This Court has upheld the
22	disclosure in Buckley v. Valeo in 1976.
23	JUSTICE SCALIA: Right. Now, why doesn't
24	that fall within your principle that no person should be
25	exposed to criticism for

1	MR. BOPP: Well, it could
2	JUSTICE SCALIA: his political beliefs?
3	MR. BOPP: It could potentially, and but
4	this Court subjected those requirements to the
5	appropriate constitutional First Amendment analysis,
6	found that there was sufficiently important governmental
7	interest, some of which are not present when we're
8	talking about a referendum or initiative, and then also
9	created an exception from even a generally valid statute
10	where there is a reasonable probability of harassment of
11	that particular individual or or group.
12	So the First Amendment analysis regarding
13	the privacy of association, the privacy of identity and
14	beliefs, the potential of of intimidation are all
15	elements of the analysis that was employed by the Court
16	in Buckley.
L7	JUSTICE SOTOMAYOR: I'm I'm trying to separate
18	out the harassment aspects of this case from the working
19	proposition that there's some sort of freedom of
20	association, of privacy.
21	Your theory, putting harassment aside, would
22	invalidate all of the State laws that require disclosure
23	of voter registration lists, correct? All of those
24	States like New York that permit public review of voter
25	registration lists and party affiliations, et cetera

- 1 that's illegal?
- 2 MR. BOPP: No.
- JUSTICE SOTOMAYOR: That's unconstitutional?
- 4 MR. BOPP: No. We believe they would not.
- 5 They would certainly be subject to First Amendment
- 6 analysis. But in -- in those -- in the instance of
- 7 voter registration, there are other governmental
- 8 interests that are not present in petition signings for
- 9 referendums.
- 10 JUSTICE SOTOMAYOR: Explain to me the
- 11 difference. And -- well, one other aspect of State
- 12 legislative -- I can only work from New York because I
- 13 know it intimately, but it is a State that also permits
- 14 or requires that petitions for candidate listing on the
- 15 ballot be public as well. New York relies in part, as
- 16 this State does, on the public reviewing those
- 17 petitions. Would that be invalid as well, for a
- 18 candidate's running?
- MR. BOPP: Well, we believe it would be
- 20 subject to First Amendment analysis. But, again, there
- 21 are different governmental interests when you have
- 22 candidates involved.
- JUSTICE SOTOMAYOR: So explain to me what
- 24 the difference is in those three situations.
- MR. BOPP: Well, one is you have candidates

- 1 involved --
- JUSTICE SOTOMAYOR: With -- with the State's
- 3 interest.
- 4 MR. BOPP: One is you have candidates
- 5 involved. And this Court recognized in Buckley that
- 6 there were disclosure interests that related
- 7 specifically, and actually only, to candidates. For
- 8 instance, people who contribute to a candidate, that
- 9 information, to the voter, can signal the interests that
- 10 the candidate, once he or she takes office, will be
- 11 responsive to.
- 12 When we have an initiative, we know what the
- 13 law is that is being voted upon. It's not a matter
- 14 of -- of electing a representative.
- 15 JUSTICE SOTOMAYOR: You don't think that --
- 16 putting aside this kind of referendum, just a
- 17 hypothetical referendum having to do with a certain tax
- 18 scheme -- you don't think the voters would be interested
- in knowing what kinds of people in what occupations are
- 20 interested in that particular tax benefit or not?
- MR. BOPP: Well, a few -- few might be, but
- 22 we think this is marginal information. First, they are
- 23 adopting a law. And so we know what the law is. And --
- 24 and while it might be marginal information for a few
- 25 people, once the measure qualifies for the ballot, this

- 1 is only -- the petition signature and distribution is
- 2 only for a very limited governmental interest.
- 3 CHIEF JUSTICE ROBERTS: Counsel --
- 4 MR. BOPP: And that -- and that --
- 5 CHIEF JUSTICE ROBERTS: I'm sorry, go ahead
- 6 and finish your answer.
- 7 MR. BOPP: And that limited governmental
- 8 interest is to preserve State money, to not conduct an
- 9 election on the matter unless there is sufficient public
- 10 support. So --
- 11 CHIEF JUSTICE ROBERTS: Now, counsel, the
- 12 responses you have given to a couple of the questions
- 13 has been that the First Amendment analysis would apply.
- 14 But given you have a facial challenge, is that enough?
- 15 Don't you have to indicate that the First Amendment
- 16 analysis would prevail in either all of the other cases,
- 17 most of the other cases, a significant portion?
- 18 This is a facial challenge. And if the
- 19 challenge is going to fail in some of those other cases,
- 20 I think your facial challenge fails as well.
- 21 MR. BOPP: Well, we're only challenging the
- 22 application of the Public Records Act to petitions and
- 23 referendum petitions. We're not challenging it as it
- 24 would be applied to petitions to put people on the
- 25 ballot.

1 CHIEF JUSTICE ROBERTS: So we have to decide, in assessing your claim that, no matter what the 2 3 referendum issue was, that there's a significant 4 intrusion on First Amendment rights? 5 MR. BOPP: Yes. 6 CHIEF JUSTICE ROBERTS: So that if, for 7 example, the referendum involves a bond issue as to 8 which people may have particular views, but they're not 9 going to get terribly excited about it, we'd still have to say that that's protected under the First Amendment? 10 MR. BOPP: Well, actually, under -- with 11 12 modern technology, it only takes a few dedicated 13 supporters, and a computer, who are willing to map -- to 14 put this information on the Internet, MapQuest it, as 15 they did with respect to the contributors of 16 Proposition 8, which resulted in -- and then encourage people to harass and intimidate them, which resulted in 17 18 hundreds of examples of harassment --19 CHIEF JUSTICE ROBERTS: Well, my point is, 20 though, you're not likely to get that with respect to, 21 you know, a debt issue, raising the debt ceiling from 0.8 percent to 0.9 percent. You're not going to get a 22 23 crowd outside your house because you signed that 24 petition.

MR. BOPP: Well, it may not manifest itself

25

- 1 in -- in any particular initiative. We agree with that,
- 2 but we think the potential is there, and there is
- 3 usually a group of supporters of any measure that, you
- 4 know, are passionate about that particular issue.
- 5 JUSTICE GINSBURG: But -- but don't you
- 6 have -- I thought we were dealing with count 1 of the
- 7 complaint.
- 8 MR. BOPP: Yes.
- 9 JUSTICE GINSBURG: Count 2 would be the
- 10 counterpart to the exception that's made from the
- 11 disclosure requirement with regard to contributions with
- 12 certain organizations whose members might be harassed.
- MR. BOPP: Well, with this --
- 14 JUSTICE GINSBURG: That's -- that's not --
- 15 that would still be open if you lose the first part of
- 16 this case.
- 17 So going back to the question you were
- 18 asked, how does this differ -- that Justice Scalia
- 19 asked -- how does this differ from the contributor who
- 20 says, well, I might be harassed? The contributor
- 21 would have an opportunity to show that.
- MR. BOPP: Buckley dealt with that exact
- 23 question. And first -- the first step of the analysis
- 24 is whether or not the law is -- is valid under the First
- 25 Amendment. And then there is an exception to even a

- 1 valid constitutional -- a constitutionally valid law.
- JUSTICE GINSBURG: So, that's why I'm asking
- 3 you why, on the first part, should it be any different,
- 4 as long as you have the door open to show that if you
- 5 were going to suffer reprisals, harassment, that an
- 6 exception would have to be made?
- 7 MR. BOPP: Well, we don't think that the exception
- 8 is a substitute for considering the initial validity of
- 9 the law, which --
- JUSTICE GINSBURG: May I -- may I ask you
- 11 one -- something that was not in your brief, but was in
- 12 the Secretary's brief. Is this list available to
- 13 Project Marriage? And specifically on page 34 of
- 14 Secretary Reed's brief, the statement is made that the
- 15 sponsoring organizations sometimes sell or trade these
- 16 lists. They use them for fundraising purposes. So that
- 17 would be the end of a person's privacy, at least on one
- 18 side. Is that true, that the initiative sponsor uses
- 19 these lists?
- MR. BOPP: Yes.
- JUSTICE GINSBURG: Yes?
- 22 MR. BOPP: Yes, this is an act of private
- 23 association. The petition signers are associating with
- 24 the referendum committee for purposes of placing this
- 25 measure on the ballot --

1 JUSTICE GINSBURG: They don't say: Now, I 2 agree you can use my name for fundraising purposes. But that's -- it's implicit, you say, in their signing the 3 4 petition that the --MR. BOPP: Well, what --5 6 JUSTICE GINSBURG: -- signature collector 7 can sell the names, use them for its own fundraising 8 purposes? 9 MR. BOPP: What is implicit is they are 10 associating with this group for a purpose, and that is 11 support for, in this case, Referendum 71. And so they 12 use those names for valid purposes. But --JUSTICE SCALIA: Mr. Bopp, do you have any 13 case in which we have held that the First Amendment 14 15 applies to activity that consists of the process of legislation, of legislating --16 17 MR. BOPP: Yes, Buckley II. 18 JUSTICE SCALIA: -- or of adopting legislation? 19 What is that? MR. BOPP: Buckley II. You struck down the 20 requirement that the person who is soliciting signatures 21 22 self-identify. 23 JUSTICE SCALIA: That is --24 MR. BOPP: That is a process --25 JUSTICE SCALIA: Soliciting signatures is not

- 1 taking part in the process of legislating.
- 2 MR. BOPP: Well --
- JUSTICE SCALIA: The person who requests a
- 4 referendum is taking -- when there's a certain number of
- 5 signatures required to achieve it, is taking part in
- 6 that.
- 7 And in light of the fact that for the first
- 8 century of our existence, even voting was public -- you
- 9 either did it raising your hand or by voice, or later,
- 10 you had a ballot that was very visibly red or blue so
- 11 that people knew which party you were voting for -- the
- 12 fact is that running a democracy takes a certain amount
- 13 of civic courage. And the First Amendment does not
- 14 protect you from criticism or even nasty phone calls
- 15 when you exercise your political rights to legislate or
- 16 to take part in the legislative process.
- MR. BOPP: Well, the --
- 18 JUSTICE SCALIA: You are asking us to enter
- into a whole new field where we've never gone before.
- 20 MR. BOPP: Well, with all due respect, you
- 21 have already opined in Buckley II that the person on the
- 22 other side of the clipboard is protected by the First
- 23 Amendment.
- 24 JUSTICE GINSBURG: I don't think that's --
- 25 that's true of Buckley II. What was -- what this Court

- 1 said could not be done is that the solicitor could not
- 2 be made to wear a badge that says "I am a paid
- 3 solicitor," but that the solicitor's name had to be
- 4 identified for the State. Certainly, the solicitor --
- 5 there was an affidavit, and there was the filings with
- 6 whatever was the State agency.
- 7 So what was -- what this Court said could
- 8 not be done was this kind of in-your-face big button
- 9 that says "I am a paid solicitor," but the solicitor's
- 10 name and address certainly had to be disclosed.
- 11 MR. BOPP: That is true. You've correctly
- 12 described Buckley II. But as we can see in the facts of
- 13 this case, the public disclosure of the petition names
- 14 in this case -- there was a planned harassment and
- 15 intimidation of these voters by --
- JUSTICE KENNEDY: Well, let me -- let me ask
- 17 you, could the opponents of a particular ballot measure
- 18 organize a boycott for -- and picket businesses whose
- 19 managers had supported that boycott?
- MR. BOPP: Yes.
- 21 JUSTICE KENNEDY: Had supported that
- 22 initiative?
- MR. BOPP: Yes. Under the --
- JUSTICE KENNEDY: Well, if that's so,
- 25 then under Claiborne Hardware, which I -- I notice you

- 1 didn't cite in your brief, but if -- if that's so,
- 2 then it seems to me that the State's -- or
- 3 that -- that the signers' interest in keeping their
- 4 names private is somewhat diminished.
- 5 MR. BOPP: Yes.
- 6 JUSTICE KENNEDY: It's a First Amendment
- 7 activity.
- 8 MR. BOPP: But what we're -- but what is
- 9 involved here that is not involved there is the
- 10 requirement by the government that you publicly disclose
- 11 your identity and beliefs on a matter that then --
- JUSTICE GINSBURG: But -- just --
- MR. BOPP -- subjects you to the boycott.
- 14 JUSTICE GINSBURG: Let me stop you there,
- 15 because I think your -- your own brief, I think you said
- 16 twice that you cannot tell anything about the signer's
- 17 belief from the mere signature. You said it could be
- 18 support for -- for the proposition or it could be just
- 19 support for letting the people decide.
- MR. BOPP: That is --
- JUSTICE GINSBURG: Or it could even be, you
- 22 say, that this solicitor is pesky, and in order to
- 23 placate the solicitor, to get rid of the solicitor, we'll
- 24 just sign. So you -- you have said that -- that
- 25 the signing itself is ambiguous. You don't know what

- 1 the reason is. It doesn't necessarily mean that the
- 2 person is a supporter of the proposition.
- 3 MR. BOPP: But, with all due respect, we
- 4 did not say the third. We did say the first and the
- 5 second. And -- but either of those are political
- 6 statements. The highlighted box at the top, you know,
- 7 states -- states that by signing R-71, we can reverse that
- 8 decision, meaning the passage of a law, and protect
- 9 marriage between a man and a woman.
- 10 JUSTICE GINSBURG: May I call your attention
- 11 to page 20 of your reply brief? Because I don't think
- 12 that your response was correct. You say: Do petition
- 13 signers support the repeal, simply indicate they would
- 14 like public election to be held, or simply sign to avoid
- 15 any further discussion with the petition circulator?
- 16 MR. BOPP: I acknowledge that we said that,
- 17 Justice Ginsburg. And, of course, the second statement
- 18 is -- and which I think is the dominant statement and
- 19 certainly sufficient -- and that is that we want a measure
- 20 to be placed on the ballot in order for the people to
- 21 vote. That is one of the central --
- JUSTICE ALITO: Well, Mr. Bopp, if a voter --
- 23 if the legislature passes a statute and someone is -- is
- 24 satisfied with that statute, how likely is it that that
- 25 person is going to sign a petition to have a referendum

- 1 to see whether the statute should be blocked?
- 2 MR. BOPP: I think it's very unlikely. But
- 3 it -- we acknowledge it's possible, but we think it's
- 4 very unlikely.
- 5 JUSTICE ALITO: It's possible --
- 6 JUSTICE KENNEDY: But --
- 7 JUSTICE ALITO: -- but if you were in the
- 8 real world, if you were to poll the people who sign a
- 9 referendum petition with respect to a statute that was
- 10 passed by the State legislature, what percentage do you
- 11 think would be opposed to that legislation?
- MR. BOPP: Very few.
- 13 JUSTICE KENNEDY: And so Justice Alito's
- 14 question points out that this would be a very slim basis
- 15 upon which to rest a holding in your favor.
- And just to go back to the line of questions
- 17 of the first, the State of California has very
- 18 complicated referendum and initiative matters. Don't
- 19 you think it's relevant for the public to know that,
- 20 say, a public employees union had paid solicitors to put
- 21 those signatures on the ballot, or that the Chamber of
- 22 Commerce or the National Association of Manufacturers had
- 23 paid solicitors to put this on the ballot?
- 24 Isn't that part of assessing the -- the
- 25 reasons why this initiative was proposed? And isn't

- 1 that vital to the voters -- to the voter in making an
- 2 informed decision?
- MR. BOPP: Well, actually, after your
- 4 Buckley II decision, the Ninth Circuit struck down the
- 5 requirement of disclosing the paid circulators. And, of
- 6 course, in California, petitions are not public.
- 7 JUSTICE GINSBURG: They did that. It wasn't
- 8 due to Buckley II, because as you just acknowledged,
- 9 under Buckley II, the solicitor is disclosed.
- 10 MR. BOPP: Well, the Ninth Circuit thought
- 11 it was Buckley.
- 12 JUSTICE KENNEDY: Correct me, but the point is,
- isn't -- isn't there an interest in knowing this
- 14 information? Not -- not that it's paid.
- 15 MR. BOPP: There is no evidence --
- JUSTICE KENNEDY: We'll leave that out.
- 17 But -- but to know that -- that -- the persons that
- 18 supported the amendment.
- MR. BOPP: There's no evidence in the
- 20 record that that is pertinent information, and, at most,
- 21 we think it is marginal information.
- JUSTICE SOTOMAYOR: Counsel, if we create
- 23 this right of -- this constitutional right of
- 24 association in the manner that you are describing it,
- 25 why is it limited to the voting area?

- 1 Would we be inviting review if a group of
- 2 citizens get together and send a letter to an agency
- 3 that says please pass X regulation, or rescind Y
- 4 regulation? Would the agency be prohibited from making
- 5 that letter public?
- 6 MR. BOPP: Well, potentially. And -- and
- 7 this Court -- I -- because it would be required to be
- 8 subject to a First Amendment analysis. It's this Court
- 9 that created, in the NAACP case --
- JUSTICE SOTOMAYOR: So you're -- you're
- 11 suggesting --
- MR. BOPP: -- the right of private
- 13 association.
- JUSTICE SOTOMAYOR: -- that when a
- 15 petitioner or a person engages in political discourse
- 16 with the government, that they -- when they choose to do
- 17 it, because the government is not compelling them to
- 18 write to it; it's not compelling them to sign the
- 19 referendum. It's just --
- 20 MR. BOPP: And they're not compelling
- 21 Ms. McIntyre to distribute her brochure, either. But
- 22 this Court held that --
- JUSTICE SOTOMAYOR: But it's -- but
- 24 Ms. McIntyre wasn't asking the government to engage its
- 25 process in her favor. She was asking for political

- 1 reform, but she wasn't asking to engage the government
- 2 process on her behalf.
- MR. BOPP: Well, the government, you know,
- 4 has a lot of options. For instance, they don't have to
- 5 conduct elections for the election of judge. But if
- 6 they opt to do that and provide that procedure, well,
- 7 then, the First Amendment applies to the political
- 8 speech.
- 9 JUSTICE ALITO: Well, to follow up on
- 10 Justice Sotomayor's question, do you think an agency
- 11 could say, if you want to comment on proposed -- on a
- 12 proposed rule, you have to disclose to us your name and
- 13 your address and your telephone number and your
- 14 political affiliation, and all sorts of -- your marital
- 15 status and your income level and all sorts of other
- 16 demographic information?
- 17 MR. BOPP: And your employer, as in this
- 18 case here.
- 19 JUSTICE ALITO: Could they do that?
- MR. BOPP: No -- no, because there is no
- 21 sufficient governmental interest that would justify it.
- JUSTICE SCALIA: Not even just your name, so
- 23 they can check that this thing isn't phony and that all
- 24 the names on it aren't -- aren't made up by one person?
- MR. BOPP: They, of course, can -- can check

- 1 that.
- 2 JUSTICE SCALIA: Of course, they can. So
- 3 they can get your name, right?
- 4 MR. BOPP: Yes, they can get your name --
- JUSTICE SCALIA: Okay.
- 6 MR. BOPP: -- and we're not objecting to
- 7 filing of a petition.
- 8 JUSTICE SCALIA: But you're objecting to
- 9 the public being able to check whether the agency is
- 10 indeed finding out whether this is a genuine petition or
- 11 not, correct?
- 12 MR. BOPP: No. No, I'm not objecting to
- 13 that.
- 14 JUSTICE SCALIA: Really?
- 15 MR. BOPP: They have procedures to check and
- 16 verify these signatures that do not involve public
- 17 disclosure.
- 18 JUSTICE SCALIA: Didn't you have some
- 19 options, too? Have you started a referendum to repeal
- 20 the -- the California law that requires disclosure?
- 21 MR. BOPP: California law does not require
- 22 disclosure of the petitions, and that has been upheld by
- 23 the courts of California. And you can verify these
- 24 signatures.
- 25 JUSTICE SCALIA: I don't understand. I

- 1 thought that's what you're challenging. The --
- 2 MR. BOPP: Well, but you asked about
- 3 California --
- 4 JUSTICE SCALIA: I'm sorry. I'm sorry.
- 5 MR. BOPP: -- if I heard your question.
- 6 JUSTICE SCALIA: Washington. I got the wrong State.
- 7 MR. BOPP: Okay. It --
- 8 JUSTICE BREYER: Can you go back --
- 9 JUSTICE SCALIA: The people of
- 10 Washington -- the people Washington evidently think that
- 11 this is not too much of an imposition upon people's
- 12 courage, to -- to stand up and sign something and be
- 13 willing to stand behind it.
- MR. BOPP: In a sense --
- 15 JUSTICE SCALIA: Now, if you don't like
- 16 that, I can see doing it another way. But -- but the
- 17 people of Washington have chosen to do it this -- this
- 18 way.
- MR. BOPP: Actually, for --
- 20 JUSTICE SCALIA: And you're saying that the
- 21 First Amendment absolutely forbids that.
- MR. BOPP: Actually, for a century, they
- 23 chose not to do this. It wasn't until 2006 --
- 24 JUSTICE SCALIA: That's fine. Proving my
- 25 point.

- 1 MR. BOPP: They did not publicly disclose
- 2 the petitions for a century.
- 3 JUSTICE SCALIA: It might have been a good
- 4 idea.
- 5 MR. BOPP: Well --
- 6 CHIEF JUSTICE ROBERTS: I suppose the -- a
- 7 majority of the voters in Washington decided that, and
- 8 one of the purposes of the First Amendment is to protect
- 9 minorities.
- MR. BOPP: Well, only in the most general
- 11 sense. They adopted a Public Records Act. They didn't
- 12 adopt a law that specifically required the disclosure of
- 13 these petitions. But in a general sense, they did.
- 14 JUSTICE GINSBURG: Mr. Bopp, this is not a
- 15 peculiar thing to the State of Washington; that's
- 16 correct, isn't it? Aren't there about 20-odd States
- 17 that require disclosure of the names of signers to
- 18 initiatives, referenda?
- MR. BOPP: That is true. Some -- some in
- 20 their initiative and referendum statute, because they
- 21 actually provide some public input on verification where
- 22 Washington does not; others under their Public Records
- 23 Act. Some do not, such as California.
- 24 JUSTICE GINSBURG: So -- but what you're
- 25 saying with respect to Washington would go for most of

- 1 those other States that have -- that have public
- 2 disclosure of initiative and referendum petitions.
- 3 MR. BOPP: Well, one -- one thing we say is
- 4 different between Washington and these other States is
- 5 that Washington provides no way for the public, even if
- 6 they get access to the petitions, to participate in the
- 7 verification process.
- 8 The only thing the public can do is have --
- 9 observe -- a limited number of observers. These
- 10 observers are prohibited from --
- 11 JUSTICE GINSBURG: I thought that there were
- 12 instances where the State official missed something and
- 13 a member of the public who had access to the list of
- 14 signers said: Wait a minute; I know so-and-so was my
- 15 neighbor who died 5 years ago.
- 16 MR. BOPP: That's not allowed in the State
- 17 of Washington. The instructions from the Secretary of
- 18 State is while you can have observers to observe the
- 19 process, the people --
- 20 JUSTICE GINSBURG: But you mean if -- that was
- 21 over. It passed the screen of the Secretary of State.
- 22 It's disclosed to the public. If someone then said
- 23 you've got a lot of dead souls on these lists, the State
- 24 would do nothing about it?
- MR. BOPP: There is absolutely no procedure

- 1 under Washington statute to do anything with that
- 2 information.
- JUSTICE GINSBURG: Well, we'll ask --
- 4 we'll ask the --
- 5 MR. BOPP: Nothing.
- 6 JUSTICE GINSBURG: We'll ask the Attorney
- 7 General of Washington.
- 8 MR. BOPP: Yes.
- 9 JUSTICE SOTOMAYOR: Weren't two of the
- 10 Petitioners here -- weren't two of the Petitioners here
- 11 seeking the list so that they could go over the
- 12 certification process the State had done to ensure that
- 13 they had certified all the right people, et cetera?
- 14 MR. BOPP: Well, one of -- one of the
- 15 intervenors sought an exception from the -- from the
- 16 injunction, which we did not object to, that -- that
- 17 they would have access to the list. But under
- 18 confidentiality and protective order --
- JUSTICE SOTOMAYOR: I'm not going to the
- 20 privacy questions. You responded to Justice Ginsburg by
- 21 saying that there was no way to challenge the State's
- 22 process of validation, and that -- I don't think that's
- 23 correct.
- 24 MR. BOPP: With all respect, I didn't say
- 25 that.

- 1 JUSTICE SOTOMAYOR: Oh.
- 2 MR. BOPP: What I -- what I said is there's
- 3 no role for the public in verifying signatures. You can
- 4 ask for judicial review --
- 5 JUSTICE SOTOMAYOR: That's assuming the
- 6 answer, meaning if they don't have the right to access,
- 7 they can't. But, legally, they can challenge it if they
- 8 find on the petitions that things were erroneously
- 9 counted by the State. They can go into court and prove
- 10 that.
- 11 MR. BOPP: The only thing that they could do
- 12 is request that the court does its own count. In other
- words, there's judicial review available. But the
- 14 public has no role in the verification, but they can
- 15 trigger judicial review. And then the court conducts
- 16 its own count.
- 17 In other words, this is not an adversary
- 18 process in which people come in and present evidence
- 19 of -- of people's -- of invalid signatures.
- 20 JUSTICE GINSBURG: Why would you involve the
- 21 court? If the State's -- the executive representative
- 22 of the State says: Oh, we missed that. Now we're going
- 23 to have to deal with it. We don't need any court to
- 24 order us to do it.
- MR. BOPP: Well, the observers can observe

- 1 the process, and if they feel --
- JUSTICE GINSBURG: No, this is after the
- 3 observers. This is --
- 4 MR. BOPP: Well, but --
- 5 JUSTICE GINSBURG: We're talking about a member of
- 6 the public noticing that there are people on the list
- 7 who shouldn't be there.
- 8 MR. BOPP: Well, the -- the observer --
- 9 under the Washington procedure, observers can observe
- 10 the process, and if they feel, or if anyone feels,
- 11 that there has been an inadequate job in -- in
- 12 verification, then they can ask for judicial review.
- 13 And then the court conducts the --
- 14 JUSTICE GINSBURG: Why would they ask for
- 15 judicial review instead of going first to the State's
- 16 Attorney General and saying, look, you -- your people
- 17 missed it?
- MR. BOPP: Well, there's no procedure for
- 19 that.
- 20 JUSTICE GINSBURG: Why involve the court?
- 21 MR. BOPP: That's not -- there's no
- 22 procedure for that. You know, if they wanted to involve
- 23 the public -- and that's the difference, I said, between
- 24 this procedure and other procedures. They're claiming
- 25 the need for public disclosure so the public can be

- 1 involved in verification. Well, there's no
- 2 procedure --
- JUSTICE STEVENS: Isn't there another --
- 4 MR. BOPP: -- to be involved in verification.
- 5 JUSTICE STEVENS: Isn't there another
- 6 possible public interest? Would it be a legitimate public
- 7 interest to say I'd like to know who signed the
- 8 petition because I would like to try to persuade them
- 9 that their views should be modified?
- 10 Is there a public interest in encouraging
- 11 debate on the underlying issue?
- MR. BOPP: Well, it's possible, but we think
- 13 this information is marginal. In other words, the --
- 14 it's much more important --
- 15 JUSTICE STEVENS: Well, it does identify
- 16 people who have a -- a particular point of view on a
- 17 public issue. And if you had the other point of view,
- 18 don't you have an interest in finding out who you'd
- 19 like to convince to change their minds?
- MR. BOPP: Well, we -- we think it's a -- a
- 21 very marginal interest. The Ninth Circuit recently
- 22 ruled that if you give a small contribution to an
- 23 initiative, there's not -- I mean, nobody cares. So why
- 24 should it be publicly disclosed when it's so marginal?
- JUSTICE SCALIA: What about just -- just --

- 1 what about just wanting to know their names so you can
- 2 criticize them?
- 3 (Laughter.)
- 4 MR. BOPP: Well --
- 5 JUSTICE SCALIA: Is -- is that such a bad
- 6 thing in a democracy?
- 7 MR. BOPP: Well, what is bad is not the
- 8 criticism; it's the public -- it's the government
- 9 requiring you to disclose your identity and beliefs.
- 10 JUSTICE SCALIA: But part of the reason is
- 11 so you can be out there and be responsible for the
- 12 positions you've taken.
- MR. BOPP: Well, then why don't they require
- 14 both sides?
- 15 JUSTICE SCALIA: So that people -- people
- 16 can criticize you for the position you have taken.
- 17 MR. BOPP: Then why don't they require both
- 18 sides if that was the purpose?
- JUSTICE SCALIA: What do you mean, "both
- 20 sides"? The other side hasn't signed anything. When they
- 21 sign something --
- (Laughter.)
- MR. BOPP: Well, but the other side --
- 24 JUSTICE SCALIA: When they sign something,
- 25 they'll be out there for public criticism as well.

1 MR. BOPP: Okay. But this is a one-way 2 street. 3 JUSTICE SCALIA: Oh, this is such a 4 touchy-feely, oh, so sensitive about -- about any --5 (Laughter.) 6 JUSTICE SCALIA: You know, you can't run a 7 democracy this way, with everybody being afraid of 8 having his political positions known. 9 MR. BOPP: I'm sorry, Justice Scalia, but 10 the campaign manager of this initiative had his family 11 sleep in his living room because of the threats --JUSTICE GINSBURG: Well, then that's --12 JUSTICE SCALIA: Well, that's bad. 13 threats should be moved against vigorously --14 15 MR. BOPP: And -- and --JUSTICE SCALIA: -- but just because there 16 17 can be criminal activity doesn't mean that you -you have to eliminate a procedure that is 18 19 otherwise perfectly reasonable. 20 MR. BOPP: But all we're asking for is a First Amendment analysis of the compelled disclosure of 21 22 the identity of these people and whether or not these 23 interests are sufficient. 24 Could I reserve the balance of my time? 25 CHIEF JUSTICE ROBERTS: Thank you, Mr. Bopp.

1	General McKenna.
2	ORAL ARGUMENT OF GENERAL ROBERT M. McKENNA
3	ON BEHALF OF THE RESPONDENTS
4	MR. McKENNA: Mr. Chief Justice, and may
5	it please the Court:
б	I'd like to begin with the question of
7	how the public can bring to the attention of the
8	government that errors and fraud have been discovered.
9	First of all, it's important to understand
10	that the petitions do not become public records after
11	the verification process but, in fact, are made available
12	as public records before the verification process even
13	begins.
14	This is because the Secretary of State's
15	first step after receiving submitted petitions is to
16	take them to his archiving section and to have them
17	digitized. As soon as they're digitized, they're
18	available on disks for anyone who requests them. Then
19	the verification process begins.
20	During the verification process, it is
21	possible
22	JUSTICE SOTOMAYOR: How much time are we
23	talking about in those processes?
24	MR. McKENNA: The verification process,
25	Justice Sotomayor?

1 JUSTICE SOTOMAYOR: Yes. 2 MR. McKENNA: The verification process 3 will depend on how many signatures have been 4 submitted --JUSTICE SOTOMAYOR: No, no, no. I'm trying 5 6 to get the relationship between the disks being made 7 available and the verification process. 8 So is there a time for the public to look 9 through the disks before the people who are sent into the room are sent into the room? 10 11 JUSTICE GINSBURG: That's what you've just said --12 13 MR. McKENNA: Yes, they're --14 JUSTICE GINSBURG: -- that they're --15 that they are immediately available on the disk, and so while the checking is going on by the Secretary, 16 the public has the list. Is that what you've just said? 17 18 MR. McKENNA: Yes, that's correct. For 19 example, in the case of Referendum 71, the proponents of 20 the referendum submitted the petition sheets on 21 Saturday, July 25, 2009, and on Tuesday, July 28, a 22 records request was already submitted. And so they 23 can obtain records --24 CHIEF JUSTICE ROBERTS: Would these 25 records --

1	JUSTICE KENNEDY: Was that pursuant to the
2	Public Records Act that we're talking about
3	MR. McKENNA: Yes.
4	JUSTICE KENNEDY: or was that part of the
5	initiative and referendum structure before the Public
6	Records Act was passed?
7	MR. McKENNA: Justice Kennedy, this is
8	part of the Public Records Act. This is as a result of
9	the Public Records Act that these petition sheets are
10	made available.
11	CHIEF JUSTICE ROBERTS: Counsel
12	JUSTICE KENNEDY: So all right. So
13	this the public record pardon me. The in
14	California, we call it an initiative and referendum
15	process existed and was in place before the Public
16	Records Act added this additional feature of disclosure?
17	MR. McKENNA: Yes, that's correct.
18	JUSTICE KENNEDY: So there was a judgment at
19	one time by the State of Washington that it didn't
20	that it didn't need the public records disclosure?
21	MR. McKENNA: Well, when the initiative
22	and referendum processes were created by public vote on
23	a constitutional amendment of 1912, there was no
24	Public Records Act at all. And the Public Records Act,
25	an Act of general applicability, was adopted by the

- 1 voters in 1973 as part of an initiative which also
- 2 enacted comprehensive campaign finance reform.
- 3 CHIEF JUSTICE ROBERTS: Counsel, if the
- 4 State had a law that you could disclose voters and for
- 5 whom they voted, would that implicate First Amendment
- 6 interests?
- 7 MR. McKENNA: Yes, Mr. Chief Justice, we
- 8 would -- we do believe that First Amendment interests
- 9 would be implicated by revealing how people voted, and
- 10 we don't see a legitimate State interest in knowing how
- 11 people voted, only in who voted.
- 12 JUSTICE SCALIA: So the country was acting
- 13 unconstitutionally for a whole century before we adopted
- 14 the Australian secret ballot? Do you really think that?
- 15 MR. McKENNA: No. No, Justice Scalia. I --
- 16 JUSTICE SCALIA: That it was
- 17 unconstitutional --
- MR. McKENNA: No, Justice Scalia.
- 19 JUSTICE SCALIA: -- for a whole century
- 20 not to have a secret ballot?
- 21 MR. McKENNA: No, Justice Scalia, I
- 22 didn't say that I thought that the secret ballot was
- 23 constitutionally required. I was asked by the Chief
- 24 Justice whether some First Amendment interests would be
- 25 implicated. They probably would be.

- 1 CHIEF JUSTICE ROBERTS: What would the First
- 2 Amendment interests be?
- 3 MR. McKENNA: Well, the First Amendment
- 4 interest in how you vote?
- 5 CHIEF JUSTICE ROBERTS: Yes.
- 6 MR. McKENNA: You know, it might be
- 7 implicated by a potential chill from voting, if you know
- 8 your vote is going to be revealed.
- 9 CHIEF JUSTICE ROBERTS: Do you think having
- 10 your name revealed on a petition of this sort might have
- 11 a chilling effect on whether you sign it?
- 12 MR. McKENNA: Mr. Chief Justice, some
- 13 chill may result, just as some chill may result from
- 14 having your campaign contributions disclosed or the
- 15 fact that you have registered to vote and provided your
- 16 name, address, your voting history is being disclosed.
- 17 So some chill might be -- might result, but we do not
- 18 think that it is significant enough.
- 19 CHIEF JUSTICE ROBERTS: You don't think
- 20 revealing that you're a voter has the same chilling
- 21 effect as revealing how you voted, do you?
- MR. McKENNA: No, I do not. I think how
- 23 you voted would have a much greater chilling effect than
- 24 the fact that you are registered to vote.
- 25 And -- and, of course, this Court has not

- 1 ruled on whether the secret ballot is, you know, a
- 2 constitutional right. If -- if it is, then is town hall
- 3 voting in New England unconstitutional? Is the caucus
- 4 system in Iowa for presidential candidates
- 5 unconstitutional? The Court in this case does not
- 6 have --
- 7 JUSTICE ALITO: -- in the last questions --
- 8 CHIEF JUSTICE ROBERTS: Well, I thought you
- 9 told me that the First Amendment interests were implicated
- 10 with respect to the secret ballot, that you couldn't
- 11 require people to reveal how they voted.
- 12 MR. McKENNA: We don't -- we don't know
- 13 if this Court would rule that the vote could never be
- 14 revealed. We know that in some places, votes are done
- 15 in public. We know that before the late 1800s, there
- 16 was no secret ballot. We just -- we don't know what the
- 17 constitutional ruling would be. But we -- we do know
- 18 that in this case, it's not necessary for the Court to
- 19 reach that -- that determination, because in this
- 20 case --
- JUSTICE ALITO: Well, I'd like to know
- 22 how far you -- you are -- you want to go. You say in
- 23 your brief that the availability of the referendum
- 24 signature petitions allows Washington voters to engage
- 25 in discussion of referred measures with persons whose

1 acts secured the election and suspension of State law. 2 So would -- would it be consistent with the 3 First Amendment to require anybody who signs a petition to put down not just the person's name and address, but 4 also telephone number, so that they could be engaged in 5 a conversation about what they had done? 6 7 MR. McKENNA: It -- it would depend on the strength of the State interest in having the 8 9 telephone number. The State does not have an interest 10 in the telephone number on the petition form, because 11 the State has -- only needs to know from the petition 12 form the name and the address in order to verify --13 JUSTICE ALITO: I thought that you were 14 saying that one of the interests that's served by this 15 is to allow people who -- to allow Washington citizens 16 to discuss this matter with those who signed the 17 petition. So putting down the telephone number would assist them in doing that. 18 19 MR. McKENNA: It -- yes, it probably 20 would make it easier for people to contact. 21 JUSTICE ALITO: So you would --22 MR. McKENNA: But the policy --23 JUSTICE ALITO: You would endorse that? 24 That would be a policy MR. McKENNA: 25 determination for the legislature to make,

- 1 Justice Alito.
- 2 JUSTICE ALITO: No, I'm not asking the
- 3 policy question. I'm asking whether the First Amendment
- 4 would permit that.
- 5 MR. McKENNA: I believe it could permit
- 6 that, yes, Justice Alito.
- 7 JUSTICE ALITO: Now, one of your
- 8 Co-Respondents says that supplying this information
- 9 provides insight whether support comes predominantly
- 10 from members of particular political or religious
- 11 organizations.
- 12 Would it be consistent with the First
- 13 Amendment to require anybody who signs a petition to
- 14 list the person's religion?
- 15 MR. McKENNA: No, I do not believe it
- 16 would, Justice Alito.
- 17 JUSTICE BREYER: Suppose that in 1957 in
- 18 Little Rock, a group of Little Rock citizens had wanted
- 19 to put on the ballot a petition to require the school
- 20 board to reopen Central High School, which had been
- 21 closed because there was a sentiment in the community
- 22 that they didn't want integration. And it was pointed
- 23 out that if they signed this petition, there was a very
- 24 good chance that their businesses would be bombed, that
- 25 they would certainly be boycotted, that their children

- 1 might be harassed.
- Now, is there no First Amendment right in
- 3 protecting those people? And if there is, how does it
- 4 differ from your case?
- 5 MR. McKENNA: Justice Breyer, that is
- 6 count 2. That is count 2 of the Petitioners'
- 7 complaint. This Court ruled as recently as Citizens
- 8 United that such situations should be evaluated on a
- 9 case-by-case basis to evaluate the reasonable
- 10 probability of threats, harassments, and reprisals. But
- 11 that --
- 12 JUSTICE SCALIA: So you -- you would have no
- 13 objection to as an-applied challenge to disclosing the
- 14 names of individuals to a particular cause, where it is
- 15 demonstrated that the opponents of that cause are
- 16 violent and will do violence to the people who signed
- 17 the petition?
- 18 MR. McKENNA: Yes, Justice Scalia. That
- 19 would be the Socialist Workers Party case. This -- this
- 20 Court has ruled that, on a case-by-case basis, it is
- 21 possible that some information otherwise disclosed --
- JUSTICE KENNEDY: What about a business
- 23 boycott?
- 24 JUSTICE SCALIA: So this is just a general
- 25 challenge to ever, ever disclosing the names of petition

- 1 signers?
- 2 MR. McKENNA: Of any type of petition
- 3 including nominating petitions --
- 4 JUSTICE SCALIA: Right.
- 5 MR. McKENNA: -- initiative petitions,
- 6 and the rest.
- 7 JUSTICE KENNEDY: What about a business
- 8 boycott? Suppose that were a -- a likely outcome of
- 9 disclosing the name?
- MR. McKENNA: Well, of course, boycotts
- 11 have been upheld under the First Amendment in Claiborne
- 12 Hardware, and so if someone wanted to boycott a business
- 13 because it turned out that the manager of the business
- 14 had been a supporter of a particular ballot measure,
- 15 that would be allowable, of course, to that person
- 16 choosing to boycott.
- 17 CHIEF JUSTICE ROBERTS: Counsel, your answer
- 18 to Justice Breyer was that they can bring an as-applied
- 19 challenge. Now, that as-applied challenge would be
- 20 small comfort unless the names were protected pending
- 21 the resolution of that challenge, correct?
- MR. McKENNA: Yes.
- 23 CHIEF JUSTICE ROBERTS: So you think a stay
- 24 should be granted in this case to allow the Petitioners
- 25 to pursue an as-applied challenge.

- MR. McKENNA: Yes, of course -- yes, 1 2 they could apply for another preliminary injunction if this Court upholds the court of appeals. They were able 3 4 to obtain that preliminary injunction in this case, 5 which is why these petition forms have not been released 6 to date, except under a protective order by the court to 7 the opponents. 8 JUSTICE GINSBURG: And that would --9 CHIEF JUSTICE ROBERTS: Do you think that the disclosure of the names, pending the resolution of 10 11 their as-applied challenge, would subject them to incidents of violence and intimidation? 12 MR. McKENNA: We -- there is no evidence 13 of that in the record. There's no evidence --14 15 JUSTICE GINSBURG: Does -- is it --CHIEF JUSTICE ROBERTS: There's no 16 evidence -- there's no evidence of episodes of violence 17 or intimidation? 18 19 MR. McKENNA: Involving the 20 Referendum 71 signers? No. The evidence in the record is about people who were out circulating petitions, 21 22 people who were out, you know, campaigning for the 23 petitions, the campaign manager for the measure. But

40

none of the evidence in the record speaks to petition

signers, and none of the evidence in the record speaks

24

25

- 1 to petition signers for other, similar measures which
- 2 were cited by the Petitioners.
- JUSTICE GINSBURG: Is that because nobody
- 4 got to count 2? And the district court -- this whole
- 5 case in the lower courts was on count 1 alone; wasn't
- 6 that so?
- 7 MR. McKENNA: Yes, that is -- yes, that
- 8 is correct.
- 9 JUSTICE GINSBURG: And count 2 is the one
- 10 that deals with the harassment.
- 11 MR. McKENNA: That is true,
- 12 Justice Ginsburg. Of course, in several other States,
- 13 Arkansas, Florida, and Massachusetts, which had similar
- 14 measures regarding gay civil rights or same-sex marriage
- 15 on the ballot -- in those three States, the petition
- 16 forms were obtained under public records, were put on
- 17 the Internet, and no evidence has been provided that's
- 18 in the record that anyone who signed any of those
- 19 petitions in those three States was subjected to
- 20 harassment --
- JUSTICE ALITO: Well, let's say somebody is
- 22 thinking of circulating a petition on a sensitive
- 23 subject and fears that people may be dissuaded from
- 24 signing because they fear retaliation. At what point
- 25 could they bring this as-applied challenge?

- 1 Do they have to -- could they do it before 2 they even begin to circulate the petition, arguing that if -- if these names -- if people are not assured ahead 3 4 of time that their name and address is not going to be 5 revealed to the public on the Internet, they're not 6 going to sign this? 7 MR. McKENNA: Justice Alito, it would be 8 possible procedurally for them to bring the motion for 9 an injunction even before collecting the signatures --10 JUSTICE ALITO: And how would they --11 MR. McKENNA: -- if they had sufficient 12 evidence. 13 JUSTICE ALITO: How would they prove that there's -- that there's a -- a threat, a sufficient 14 15 threat of harassment in that particular case, before the 16 petition is even signed? 17 MR. McKENNA: I believe that the sponsors of the measure would bring to the court 18 19 evidence, if they have any, of -- because of the
- 20 controversial nature of that particular measure, that is
- 21 based on what's happened to some of the people who were
- 22 planning to put the measure on the ballot.
- 23 JUSTICE SCALIA: But -- but you -- you've
- 24 rejected that here. You've said there's no evidence here
- 25 that any of the petition -- petition signers were

- 1 subjected to any harassment.
- 2 MR. McKENNA: Right.
- JUSTICE SCALIA: Of course there isn't,
- 4 because the names haven't gotten out yet. How could you
- 5 possibly demonstrate before the names get out that
- 6 petition signers are going to be subjected to
- 7 harassment?
- 8 MR. McKENNA: One could look to --
- 9 JUSTICE SCALIA: Or otherwise, don't insist
- 10 upon evidence that these very petition signers will be
- 11 harassed.
- 12 MR. McKENNA: I imagine, Justice Scalia,
- 13 that the individuals moving for that preliminary
- 14 injunction would do what the Petitioners have done in
- 15 this case. They would cite to an example from another
- 16 State involving a comparable measure.
- 17 JUSTICE SCALIA: And you think that would be
- 18 an acceptable type of evidence?
- 19 MR. McKENNA: They could bring it into the
- 20 court. I'm not saying the court would accept it,
- 21 because I don't know --
- JUSTICE SCALIA: Well, if you don't think
- 23 it's acceptable, then -- then -- then you're not making
- 24 an argument.
- 25 MR. McKENNA: Justice Scalia, I didn't

- 1 say it couldn't be acceptable. I'm saying this is a
- 2 hypothetical, so I don't know what the evidence would
- 3 look like in the hypothetical example.
- 4 JUSTICE ALITO: Well, the -- the
- 5 hypothetical is that before this petition is circulated,
- 6 the supporters came into court, and they said: Look what
- 7 has happened in California with -- with Proposition 8.
- 8 Don't disclose -- enter an order prohibiting the public
- 9 disclosure of the names and addresses here.
- 10 Would that be sufficient?
- 11 MR. McKENNA: Justice Alito, I think
- 12 that the evidence would have to be very strong. It
- 13 would have to rise above criticism. I think it would
- 14 have to rise to the level of threatened violence. It
- 15 would have to rise to the level of the Socialist Workers
- 16 Party case, for example, or the NAACP case.
- 17 I think the standard would be very high.
- 18 But it would be up to the trial judge to decide whether
- 19 or not the evidence was sufficient to issue the
- 20 preliminary injunction.
- 21 JUSTICE GINSBURG: Is it -- the State has
- 22 had this procedure now for some time, and there have
- 23 been controversial ballot initiatives. Is there any
- 24 history in the State of Washington that signers have
- 25 been subject to harassment?

- 1 MR. McKENNA: There has not,
- 2 Justice Ginsburg, and that's even though a half a dozen
- 3 initiatives on a variety of topics have been released.
- 4 Another half dozen are pending.
- 5 CHIEF JUSTICE ROBERTS: What -- what's the
- 6 most sensitive similar petition for a referendum?
- 7 MR. McKENNA: There has been no measure
- 8 on domestic partner benefits or same-sex marriage in
- 9 Washington State --
- 10 CHIEF JUSTICE ROBERTS: No, but what's the --
- MR. McKENNA: -- but there are other --
- 12 CHIEF JUSTICE ROBERTS: What's -- what's
- 13 the other one that's going to get people -- that's the
- 14 most controversial public issue?
- MR. McKENNA: Justice --
- JUSTICE SOTOMAYOR: Proposition 8?
- MR. McKENNA: Well --
- 18 CHIEF JUSTICE ROBERTS: No, I'm talking
- 19 about in Washington, counsel.
- 20 MR. McKENNA: In Washington State.
- 21 Mr. Chief Justice, we have had measures on assisted
- 22 suicide, for example, which was very controversial,
- 23 and -- and there's no evidence involving that set of
- 24 petitions.
- 25 CHIEF JUSTICE ROBERTS: Was the referendum

- 1 in favor or opposed to assisted suicide?
- MR. McKENNA: It was -- well, the
- 3 referendum challenges the assisted suicide law. So if
- 4 you vote for the referendum, you vote to uphold the
- 5 legislature's adoption of that law, which -- which
- 6 allowed assisted suicide.
- 7 So there have been controversial measures.
- 8 JUSTICE KENNEDY: This case will likely be
- 9 controlled by our First Amendment precedents, because
- 10 that's the most fully developed.
- 11 Did you look at the Petition Clause at all?
- 12 In the early days of the republic, the petitions were
- 13 the way in which you communicated with your legislator.
- MR. McKENNA: Yes.
- 15 JUSTICE KENNEDY: And I tried to look it up.
- 16 I have a recollection, but I'm not sure, that those
- 17 petitions were sometimes put in the Congressional
- 18 Record. But did you look at the history of the Petition
- 19 Clause?
- 20 MR. McKENNA: Justice Kennedy, we have
- 21 considered the history of the Petition Clause, and we
- 22 see a basic difference between the kinds of petitions
- 23 under the Petition Clause and the petitions at issue
- 24 here because, essentially, petitioning the government
- 25 under the Petition Clause is asking the government to do

- 1 something. You're petitioning them: Please do
- 2 something.
- 3 The petitions for a referendum or an
- 4 initiative are telling the government to do something.
- 5 The petition form says that I, the signer, am directing
- 6 the Secretary of State to conduct an election. And by
- 7 submitting these petitions in a referendum, I am
- 8 suspending the law which the legislature has already
- 9 approved until the election has taken place.
- 10 Tell versus ask. I think that's a pretty
- 11 big -- a significant difference.
- 12 JUSTICE KENNEDY: But, of course, that can
- 13 cut the other way, too, because then it's more like a
- 14 vote. And there -- there is strong interest in keeping
- 15 the -- the vote private.
- MR. McKENNA: And, Justice Kennedy, I'd
- 17 like to speak to that question, because several
- 18 Justices asked: Well, what can we tell from what, you
- 19 know, someone who signed? Do we know how they're going
- 20 to vote.
- 21 I -- I agree that many people signing a
- 22 petition are going to vote in favor of -- in the case of
- 23 an initiative, in favor of the law the initiative would
- 24 put on the ballot. But also we know from the social
- 25 science research, which is cited, for example, in the

- 1 Direct Democracy Scholars green brief, that many people
- 2 sign simply because they believe it's important for
- 3 the -- for the public to have an opportunity to vote.
- 4 And, of course, as the Petitioners have acknowledged and
- 5 we also point out, some people vote just to get around the
- 6 circulator and get into the store.
- 7 CHIEF JUSTICE ROBERTS: What percentage --
- 8 what percentage of the people who signed this petition
- 9 to put this law on the referendum do you think signed it
- 10 because they think these sort of things should be
- 11 generally put to a public vote as opposed to because
- 12 they opposed the law?
- MR. McKENNA: The percentage of people
- 14 who believe simply that there should be a vote held has
- 15 not been quantified by the research, except that several
- 16 scholars indicate that it is significant. So, whether
- 17 it's 20 percent or 40 percent, I -- I really can't say
- 18 within a certain --
- 19 CHIEF JUSTICE ROBERTS: You think as much as
- 20 20 percent of the people who signed this petition are
- 21 actually in favor of the law that it's aimed to repeal?
- MR. McKENNA: It is possible. But it's
- 23 also possible some of those 20 percent don't have an
- 24 opinion on the law, Mr. Chief Justice. They simply
- 25 think that there should be a vote held, and they'll

- 1 make their mind up later on.
- There are plenty of people who aren't aware
- 3 when certain laws are -- are adopted that are subjected
- 4 to a referendum, and they may not have decided at all.
- 5 In fact, one of the reasons they may sign the petition
- 6 is to say: Well, I'm not sure how I'm going to vote,
- 7 but, you know, I think a public vote would be a good
- 8 idea. So, I'm going to let it go forward to be on the
- 9 ballot, and I'll decide.
- 10 JUSTICE ALITO: Can I ask you this question?
- 11 It seems to me your -- the strongest State interest here
- 12 is detecting fraud. And you mentioned that the records
- 13 are digitized. And maybe you can correct my impression
- 14 of this, but it seems to me that if the records are
- 15 digitized, there are very simple ways of detecting fraud
- 16 that would not require the disclosure of the list to the
- 17 public.
- 18 If somebody wants to see whether his or her
- 19 name has been fraudulently put on the list, wouldn't it
- 20 be very simple to set up a Web site where the person
- 21 could put in a little bit of identifying information and
- 22 see whether that person's name is on the list? And if
- 23 the -- the purpose is to see whether a particular person
- 24 lives at a particular address, couldn't you just
- 25 cross-reference by means of a computer program the

- 1 information on the referendum with the -- with the
- 2 voting lists?
- 3 So if you've got John Jones who lives at 10
- 4 Main Street, you see whether there really is a
- 5 registered voter John Jones who lives at 10 Main Street?
- 6 Why does this all have to be put out on the Internet?
- 7 MR. McKENNA: Justice Alito, the -- just
- 8 to be clear, you are right. They do use computer --
- 9 computers because when -- in the verification process,
- 10 the Secretary of State's staff, with the observers looking
- 11 over their shoulder, will look at the petition and look
- 12 up that voter in an -- in an electronic voter
- 13 registration database.
- 14 This is exactly why the information is so
- 15 useful to the public as well. They have access to
- 16 electronic online voter registration history as well,
- 17 and they can also check.
- 18 In -- in Massachusetts, under their public
- 19 records law in 2006, petition forms obtained by public
- 20 records requests were put online, and over 2,000 people,
- 21 as has been documented in the Lambda amicus brief,
- 22 discovered that they -- their names are on petitions
- 23 that they claimed did not sign, and discovered that they
- 24 had been, in some cases, misled.
- 25 JUSTICE ALITO: Well, what's the answer to

- 1 my question? Couldn't you -- couldn't this be done very
- 2 simply? If I want to see whether somebody has
- 3 fraudulently signed my name, very quickly go to a
- 4 Web site, wouldn't be expensive to set up, put in your
- 5 voter ID number, and see whether -- and your name, and
- 6 see whether you're on the -- on the --
- 7 MR. McKENNA: Yes.
- JUSTICE ALITO: -- whether you --
- 9 somebody signed your name to the petition?
- 10 MR. McKENNA: Yes, Justice Alito,
- 11 that -- that could be done. In our State and the
- 12 other States that's done when somebody requests the public
- 13 records and chooses them to put online. The State
- 14 doesn't -- does not put the petition forms online
- 15 itself, although, you know, other information is put
- 16 online by the State.
- 17 JUSTICE KENNEDY: Do -- do we take this case
- 18 on the assumption -- do you make the contention before
- 19 us that the Secretary of State and those who assist it
- 20 are not capable of determining whether the petition
- 21 signatures are valid?
- MR. McKENNA: No, we are not taking
- 23 that position, Justice Kennedy. Of course --
- 24 JUSTICE KENNEDY: I mean without public
- 25 disclosure?

- 1 MR. McKENNA: What we know,
- 2 Justice Kennedy, is that in dozens of States around
- 3 the -- around the country, as recently as 2009 in
- 4 Maryland, 2006 in Massachusetts, and so on, it was
- 5 the -- it was the public who requested ballot petitions
- 6 by public records request who found significant fraud
- 7 and error. This isn't just about fraud -- fraud is very
- 8 important -- it's also about finding plain old mistakes
- 9 which the State, Secretary of State, or auditor has
- 10 missed.
- 11 That -- that does happen with regularity in
- 12 this country, and we cite cases in our brief where error
- is not fraud, but errors in Washington State have been
- 14 discovered by people who look at these public records.
- 15 And --
- 16 JUSTICE SCALIA: Sometimes the public may
- 17 not trust the Secretary of State.
- 18 MR. McKENNA: Yes, sir. Justice Scalia,
- 19 we agree.
- 20 JUSTICE SCALIA: It -- it may be an issue in
- 21 which his administration has taken a particularly firm
- 22 stand, and the public may not trust the job that the
- 23 Secretary of State does.
- 24 MR. McKENNA: That goes to the heart to
- 25 the Public Records Act, Justice Scalia: Trust but

- 1 verify. The people did not leave to the State the idea
- 2 that, well, we'll let you know what you need to know.
- 3 The people want a --
- 4 JUSTICE SCALIA: Trust but verify -- I like
- 5 that.
- 6 (Laughter.)
- 7 JUSTICE GINSBURG: You did say something
- 8 about this category of speech. You said, well, this is
- 9 in the category that -- it's like O'Brien. It has speech
- 10 elements and non-speech elements. And I was trying to
- 11 figure out which -- what is it in the signature that
- 12 speaks and what is it in the signature that doesn't
- 13 speak?
- 14 MR. McKENNA: The speech element could
- 15 be construed in the fact that someone has chosen to sign
- 16 a petition which we know means they want something to be
- 17 put on the ballot. So, they favor having it on the
- 18 ballot. That -- that much we know.
- 19 But we also looked to Burdick, of course,
- 20 because in this -- in -- in the Burdick decision this
- 21 Court held that write-in voting could be prohibited by
- 22 the State of Hawaii. That was upheld by the court of
- 23 appeals and this Court. And this Court found that
- 24 writing in a candidate's name was not even expressive
- 25 conduct.

- 1 So we look to the Burdick level of intermediate scrutiny, to the O'Brien level of 2 3 intermediate scrutiny for the test. 4 Justice Ginsburg, the other point I wanted 5 to bring up is something about Buckley II, which --6 JUSTICE ALITO: Well, what is the -- to finish 7 your answer to Justice Ginsburg's question, what is the 8 non-speech component of signing a petition? 9 MR. McKENNA: The non-speech component is suspension of law in the case of a referendum or the 10 11 legislative effect. We believe this is a legislative 12 act fundamentally. In --13 JUSTICE ALITO: And what's the State's interest 14 in regulating the non-speech component? When you --15 when you talk about the vote cast by an elected 16 representative, of course, there's a strong interest in
- 18 representative is answerable to the voters. But
- 19 somebody who signs a petition isn't answerable to
- 20 anybody -- any other citizen. So what's your interest?

knowing how an elected representative voted, because the

- 21 MR. McKENNA: The interest, Justice
- 22 Alito, is knowing, first of all, that there were a
- 23 sufficient number of signatures submitted to qualify the
- 24 measure for the ballot.

17

25 JUSTICE ALITO: It's the fraud interest?

1	MR. McKENNA: That's the fraud interest.
2	And, secondly, there is a valid informational
3	interest in knowing who is it exactly who's calling for
4	this election and suspending the
5	JUSTICE ALITO: Well, but how far does that
6	go? When I asked whether you could you want to know
7	the religion of the people who signed, no, you can't do
8	that. How much more demographic information could be
9	could be does the does the State of
10	Washington have an interest in making publicly available
11	about the people who support this election?
12	Let's say it's it's a referendum about
13	immigration. Does the State of Washington have an
14	interest in providing information to somebody who says
15	I want to know how many people with Hispanic names
16	signed this, or how many people with Asian names signed
17	this? Is that that what you want to facilitate?
18	MR. McKENNA: No, Justice Alito, we
19	don't need to know that. We need to know whether there
20	were a sufficient number of registered voters who
21	signed we need to know whether they signed more than
22	once. We need to know they are registered in Washington
23	State.
24	Informational interest I think that you could
25	the information you could collect to satisfy

- 1 informational interest might include other information
- 2 that's in the voter registration records. You might
- 3 want to know --
- 4 CHIEF JUSTICE ROBERTS: I thought one of the
- 5 reasons you wanted to do this was so people would have
- 6 information that would allow them to participate in the
- 7 civic process, and there are people who -- might think
- 8 it makes a difference whether a referendum was requested
- 9 by -- primarily by members of a particular ethnic group
- 10 or not. So isn't -- doesn't -- I thought your brief
- 11 would say the State has an interest in that type of
- 12 disclosure.
- 13 MR. McKENNA: I don't see what the valid
- 14 State interest would be of knowing the ethnicity of the
- 15 person. I mean, of course, anyone could look at the
- 16 petition ballot forms and, I suppose, divine something about
- 17 the ethnicity based on the last name, but the State's
- 18 interest doesn't go -- go to that. That we -- we
- 19 don't believe we need to know that. We believe we need
- 20 to know what is requested -- required on the -- on the
- 21 petition form.
- JUSTICE ALITO: Then I don't understand what
- 23 information is being -- what information you think you're
- 24 providing to the public. Outside of the fraud area,
- 25 if I see that John Jones from Seattle signed this

- 1 petition, that tells me absolutely nothing.
- 2 MR. McKENNA: Well, Justice Alito, it
- 3 might -- if you know John Jones, that would tell you
- 4 something. Number two, we know from the -- we know from
- 5 the, you know, Direct Democracy Scholars green brief
- 6 that intermediaries and especially the press and
- 7 sometimes social science researchers and others will --
- 8 will look at the names, and they'll be able to tell,
- 9 for example, that a large number of employees at one
- 10 company signed a measure; maybe it's a measure that would cut
- 11 a tax break for a particular industry. Or perhaps members
- 12 of a union, in large numbers, have signed. They have been --
- 13 CHIEF JUSTICE ROBERTS: How can they --
- 14 MR. McKENNA: -- able to provide
- 15 that information.
- 16 CHIEF JUSTICE ROBERTS: How can they find that out
- 17 with just the name and address, that a large number of people
- 18 from a company signed it?
- MR. McKENNA: Well --
- 20 CHIEF JUSTICE ROBERTS: You don't have to
- 21 put on who you work for, do you?
- MR. McKENNA: No, you do not. I'm
- 23 saying intermediaries might discover this, for example,
- 24 by taking a close look at who's paying for the
- 25 signature gathering. If it's paid signature gathering,

- 1 they might be aware of prominent sponsors. In fact,
- 2 the -- the importance of knowing who the sponsors is, is
- 3 demonstrated --
- 4 CHIEF JUSTICE ROBERTS: I'm sorry. I'm still
- 5 on the companies. How -- how does knowing who the
- 6 sponsors are tell you how many people from a particular
- 7 company signed the petition?
- 8 MR. McKENNA: Well, a voter who -- who
- 9 works at that same company or does business with that
- 10 same company might know that, gosh, I know these
- 11 employees, and they've -- they have all signed this
- 12 petition. The press might be able to do the research to
- 13 find that out. Intermediaries do play an important role.
- 14 The last point, if I may, I wanted to make
- 15 about -- about Buckley II is that the Petitioners have
- 16 stressed that Buckley II struck down the requirement to wear
- 17 the name badge. But in that same decision this Court
- 18 upheld the requirement by Colorado that affidavits
- 19 signed by the petition circulators, including the
- 20 petition circulator's name and address, can be disclosed
- 21 as public records.
- 22 And the Court ruled that -- found that and
- 23 compared it favorably to the badge requirement because
- 24 the disclosures of public record occurred after the heat
- of the moment, after the moment of interactive discussion.

- 1 It happened later on. And we believe, of all the Court's
- 2 rulings, that -- that approval of the disclosure requirement
- 3 of the -- of the affidavit, in contrast to the badges, is the
- 4 most similar to requiring after the fact or allowing
- 5 after the fact for petitions to be disclosed under the
- 6 Public Records Act.
- 7 JUSTICE ALITO: You know, if somebody called
- 8 your office and said I'd like the -- the home
- 9 address of all the attorneys who work in the Attorney
- 10 General's Office because we want to -- we want to go to
- 11 their homes and have uncomfortable conversations with
- 12 them --
- 13 (Laughter.)
- 14 JUSTICE ALITO: -- which is what has been
- 15 alleged here, would you release that information?
- MR. McKENNA: We would not, Justice
- 17 Alito. We would not release it because they can come to
- 18 the office and have uncomfortable conversations with
- 19 them --
- 20 (Laughter.)
- 21 MR. McKENNA: -- which I can personally
- 22 attest happens with some regularity.
- 23 (Laughter.)
- JUSTICE SCALIA: Isn't that information, at
- 25 least the names of those people -- isn't it probably

- 1 public information anyway?
- 2 MR. McKENNA: Yes, it is,
- 3 Justice Scalia.
- 4 JUSTICE SCALIA: Can it be obtained under
- 5 the Freedom of Information Act in this case?
- 6 MR. McKENNA: Yes, it can. Their names,
- 7 their office locations, their office phone numbers,
- 8 their office e-mails is all a matter of public record in
- 9 our State.
- 10 Thank you very much.
- 11 CHIEF JUSTICE ROBERTS: Thank you, General
- 12 McKenna.
- Mr. Bopp, you have 2 minutes remaining.
- 14 REBUTTAL ARGUMENT OF JAMES BOPP, JR.,
- 15 ON BEHALF OF THE PETITIONERS
- 16 MR. BOPP: Thank you. First a clarification
- 17 of what we sought in the preliminary injunction. We
- 18 were -- we sought to base our preliminary injunction on
- 19 both count 1 and count 2. Of course, the district
- 20 court and the Ninth Circuit did not reach -- in either
- 21 case -- reach count 2.
- Secondly, with respect to whether or not
- 23 there's any conduct here, I don't think signing a
- 24 written statement is conduct. And, of course, by signing
- 25 the statement, the person is adopting the statement on

- 1 the petition, one of which involves their preference on
- 2 the referendum, and the second is the -- the request
- 3 that the matter goes on the ballot. And, of course, it has
- 4 no legal effect unless 122,000 make the same political
- 5 statement.
- 6 Third, evidence of harassment comes in,
- 7 as in Citizens United, because the weight of the
- 8 interest that is required depends upon the burden of the
- 9 First Amendment -- to the First Amendment speech
- 10 involved; and this Court specifically referred in
- 11 Citizens United to the lack of evidence of harassment of
- 12 the donors that might occur if they were disclosed
- 13 through the reports which Citizens United upheld.
- 14 Here we do have evidence of harassment, and
- 15 we believe that that requires a greater burden in the
- 16 First Amendment analysis --
- 17 JUSTINE GINSBURG: But that's out of the
- 18 case up till now. That's count 2. You put it in your
- 19 pleading, but it wasn't reached by the court.
- MR. BOPP: Actually not. Many is the case --
- 21 JUSTICE GINSBURG: So everybody agrees
- 22 that that's still in the case.
- 23 MR. BOPP: Yes, but it is relevant to count
- 24 1. Bates, for instance, looked to the evidence of
- 25 harassment in protecting the membership list of the

1	NAACP from disclosure.
2	JUSTICE GINSBURG: The court did not rule on
3	whether there was a risk of harassment here.
4	MR. BOPP: Well, that
5	JUSTICE GINSBURG: It dealt only with count 1.
6	MR. BOPP: That is that is true, Your Honor
7	There are there were several First Amendment claims
8	made made under count 1, and this decision was
9	was based on other claims.
10	I see my time is up. Thank you.
11	CHIEF JUSTICE ROBERTS: Thank you, Mr. Bopp.
12	The case is submitted.
13	(Whereupon, at 11:08 a.m., the case in the
14	above-entitled matter was submitted.)
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