1	IN THE SUPREME COURT OF THE UNITED STATES
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3	PERCY DILLON, :
4	Petitioner : No. 09-6338
5	v. :
6	UNITED STATES. :
7	x
8	Washington, D.C.
9	Tuesday, March 30, 2010
10	
11	The above-entitled matter came on for oral
12	argument before the Supreme Court of the United States
13	at 10:11 a.m.
14	APPEARANCES:
15	LISA B. FREELAND, ESQ., Federal Public Defender,
16	Pittsburgh, Pennsylvania; on behalf of Petitioner.
17	LEONDRA R. KRUGER, ESQ., Assistant to the Solicitor
18	General, Department of Justice, Washington, D.C.; on
19	behalf of Respondent.
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1	PROCEEDINGS
2	(10:11 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear
4	argument first this morning in Case 09-6338, Dillon v.
5	United States.
6	Ms. Freeland.
7	ORAL ARGUMENT OF LISA B. FREELAND
8	ON BEHALF OF THE PETITIONER
9	MS. FREELAND: Thank you, Mr. Chief Justice,
10	and may it please the Court:
11	Believing its hands were tied by a policy
12	statement created to prevent application of this Court's
13	decision in Booker to section 3582(c) proceedings, the
14	district court imposed a mandatory guideline sentence
15	that exceeded the maximum authorized by the jury's
16	verdict by more than six years. Sentencing Commission
17	policy cannot override this Court's clear and
18	unambiguous directive to courts to treat the guidelines
19	as advisory in all cases moving forward, and any
20	interpretation of section 3582(c) that permits the
21	Commission to mandate sentences must be rejected, not
22	only as a matter of statutory stare decisis, but because
23	it would violate the Sixth Amendment.
24	In an effort to avoid this result, the
25	government elevates form over substance arguing that

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- 2 the -- and that the sentence imposed is not a new
- 3 sentence. The government is wrong.
- 4 Section 3582(c) proceedings are sentencings
- 5 at which Booker's constitutional and remedial holdings
- 6 must apply. The --
- 7 JUSTICE GINSBURG: Ms. Freeland --
- 8 CHIEF JUSTICE ROBERTS: What if the
- 9 Sentencing Commission just issued a new guideline and
- 10 said anyone with a -- a crack sentence, their sentence
- 11 is going to be reduced by 10 percent? That wouldn't
- 12 present any problems, would it?
- MS. FREELAND: Mr. Chief Justice, I think it
- 14 would present precisely the same problems here. By
- 15 indicating an amount of time that a sentence could be
- 16 reduced, the Sentencing Commission would be doing
- 17 precisely what it did here, which is setting a lower
- 18 level, mandatory lower level, on sentences --
- 19 CHIEF JUSTICE ROBERTS: But there's no --
- 20 MS. FREELAND: -- that the district court
- 21 could impose.
- 22 CHIEF JUSTICE ROBERTS: But 3553(a) doesn't
- 23 even come into play under my hypothetical.
- 24 MS. FREELAND: I'm sorry, Your Honor.
- 25 CHIEF JUSTICE ROBERTS: 3553(a) doesn't even

- 1 come into play, so there's not a resentencing by a
- 2 judge. It is just an across-the-board policy, like
- 3 increasing good time credits.
- 4 MS. FREELAND: I disagree, Your Honor,
- 5 because Congress in this case decided to enact a
- 6 statute, 3582(c), that invokes the discretionary
- 7 sentencing power of the court.
- 8 CHIEF JUSTICE ROBERTS: Right, in -- in this
- 9 case, but in my hypothetical Congress hasn't done that.
- 10 It hasn't -- or the Sentencing Commission hasn't done
- 11 that. They haven't invoked the discretionary
- 12 sentencing. They just said: Across the board, 10
- 13 percent off.
- 14 MS. FREELAND: And, Your Honor, perhaps I
- 15 misunderstood, but once the Sentencing Commission acts
- 16 to revise a guideline under its power under 994(u) and
- 17 opts to make that amendment retroactive under -- I mean,
- 18 994(o) -- and opts to make it retroactive under 994(u),
- 19 only the court has power to lower a sentence. Congress
- 20 enacted --
- JUSTICE KENNEDY: Well, why is that? It's
- 22 the same hypothetical of the Chief Justice. I'm not
- 23 interrupting your -- your discussion with him. Suppose,
- 24 pursuant to a permissible regulation, the BOP says: Just
- 25 file paperwork with us, and if -- and if you show that

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1	VO11	were	under	а	crack	cocaine	sentence,	vou re	anına

- 2 to be reduced by X number of months, period. Just file
- 3 it. What --
- 4 MS. FREELAND: And, Justice Kennedy --
- 5 JUSTICE KENNEDY: Is there a problem with that?
- 6 MS. FREELAND: Justice Kennedy, I think there
- 7 would be. And, again, I don't mean to be dodging the question.
- 8 The problem is section 3582(c). Congress clearly indicated
- 9 that it wanted these types of reductions to be treated
- 10 differently than those under 3624(b), which are
- 11 delegated to the Bureau of Prisons. And those types of
- 12 reductions --
- JUSTICE KENNEDY: No, but the -- the
- 14 hypothetical is Congress doesn't do this. The
- 15 hypothetical is that Congress just tells the BOP: Find
- 16 out who's in on crack cocaine and release them --
- 17 whatever, 20 months early.
- MS. FREELAND: Your Honor --
- 19 JUSTICE KENNEDY: What's wrong with that?
- 20 MS. FREELAND: Your Honor, if
- 21 Congress chose to draft a statute similar to 3624(b) to
- 22 empower the Bureau of Prisons to act whenever the
- 23 Commission lowered a quideline or -- or changed a
- 24 guideline under 994(o), I agree with Your Honor. It
- 25 would not present the problems here.

1 JUSTICE KENNEDY: Okay. Then the (Chief
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- 2 Justice's hypothetical, at least for me, meant: What --
- 3 why should there be a difference?
- 4 MS. FREELAND: The difference, again -- and
- 5 I don't mean to be dodging your questions. The
- 6 difference is that Congress acted in two very different
- 7 ways here. It enacted 3582(c) to deal with reductions
- 8 in sentence that are -- that are prompted by revisions
- 9 to the guidelines, and it enacted 3624(b) to deal with
- 10 revisions that are prompted by good behavior. And
- 11 it --
- 12 JUSTICE SOTOMAYOR: What is the
- 13 constitutional difference that would inure a Sixth
- 14 Amendment right in the resentencing by the court that
- 15 doesn't when it's a resentencing or a modification of a
- 16 sentence by the Bureau of Prisons?
- 17 MS. FREELAND: Justice Sotomayor, I think
- 18 the difference would be that when it is delegated to the
- 19 courts under a statute like 3582(c), the court is then
- 20 dealing with a mandatory guideline range, and the Sixth
- 21 Amendment problem arises when the court sentences --
- 22 JUSTICE SOTOMAYOR: But is this truly a new
- 23 sentence, meaning a person serving a sentence? This is
- 24 an act of clemency. Whether the Bureau of Prisons does
- 25 it or the court does it, why should we introduce a

- 1 different binding or non-binding constitutional limit on
- 2 one body rather than the other?
- 3 MS. FREELAND: Justice Sotomayor, I disagree
- 4 with the premise of your question that this is an act of
- 5 clemency similar to the reductions for good time
- 6 behavior.
- 7 The -- the term "clemency," "leniency,"
- 8 "grace," connotes the idea that you deserve to be
- 9 punished for this, but we're going to -- we're going
- 10 to exercise leniency --
- 11 JUSTICE GINSBURG: Ms. Freeland --
- 12 MS. FREELAND: -- and give you a lesser
- 13 sentence.
- 14 JUSTICE GINSBURG: May I take
- 15 you on another path, not the question of the difference
- 16 between the two -- two sections. We have a large
- 17 prison population, and then Booker comes down, and then
- 18 the guidelines change only as to crack cocaine, nothing
- 19 else. All of the others whose sentence has become final
- 20 cannot get into the court's door because they don't have
- 21 the entering wedge.
- 22 In what system -- what fair system would
- 23 say, aha, because the crack cocaine guideline was
- 24 reduced -- the disparity was reduced -- these people are
- 25 now going to overcome the finality bar, but all of the

- 1 others who are identically situated with respect to all
- 2 other factors, they don't get their sentences revised?
- MS. FREELAND: Justice Ginsburg, I have a
- 4 couple of responses to your question. The first is that
- 5 I think that a decision by a district court judge to
- 6 correct a sentence under 3582(c) should be analogous to
- 7 a decision of a district court judge to correct a
- 8 sentence under 2255.
- 9 Once the decision is made that the sentence
- 10 should be corrected, finality is extinguished, and when
- 11 the court goes about imposing the new sentence, it must
- 12 comport with the law of the land at the time.
- 13 JUSTICE GINSBURG: But why should, bearing
- in mind that entire prison population similarly
- 15 situated, the courts say it's -- the finality bar is lifted
- 16 only to the extent that the crack cocaine guideline disparity
- 17 has been reduced?
- MS. FREELAND: And, Your Honor, once the
- 19 finality bar is lifted, the concerns about retroactivity
- 20 should slip away. But with respect to fairness, which I
- 21 think is at the heart of your question, the fact that
- 22 this partial remedy for an urgent and compelling
- 23 problem, that is, the crack guideline, was afforded to
- 24 some should not prevent the court from seeing justice
- 25 for those like Mr. Dillon, even though there are some in

- 1 prison that are serving unconstitutional sentences that
- 2 will not be able to seek relief.
- 3 Mr. Dillon is properly compared to other
- 4 defendants who were sentenced under the amended crack
- 5 guideline, not the original crack guideline. His
- 6 sentence is an amended crack guideline sentence, and for
- 7 purposes of avoiding unwarranted disparities, Mr. Dillon
- 8 is most comparable to those other defendants that are
- 9 sentenced after the crack guideline.
- 10 JUSTICE GINSBURG: If you -- if you are
- 11 right that this benefit goes to -- only to the class
- 12 that can get in the door, wouldn't that be a powerful
- 13 motive to the Sentencing Commission not to make its
- 14 quidelines reductions retroactive?
- 15 MS. FREELAND: Your Honor, I would certainly
- 16 hope not. 994 clearly contemplates that the Commission
- 17 will undertake a constant review and revision of the
- 18 guidelines to make sure that they serve the purposes of
- 19 punishment. And 994(u) clearly shows that Congress
- 20 contemplated that some of those decisions would warrant
- 21 retroactive application.
- 22 If the Commission were to respond to a
- 23 decision by this Court in Mr. Dillon's favor by refusing
- 24 to revise the guidelines in the future or refusing to
- 25 make any of those revisions retroactive, I submit that

- 1 it would be abdicating its duty under 994.
- JUSTICE SCALIA: It wouldn't say that. It
- 3 just wouldn't do it. That's all.
- 4 MS. FREELAND: You're right, Your Honor.
- 5 It just wouldn't do that, but history would speak for
- 6 itself. The Commission has constantly undertaken this
- 7 duty under 994(o).
- 8 JUSTICE SCALIA: But it's certainly a
- 9 factor that if I were -- if I were on the Commission, I
- 10 would certainly take that factor into account. Every
- 11 time I make it retroactive, it's going to reopen --
- 12 going to reopen the whole sentencing and -- and allow
- 13 a Booker application where -- where it didn't apply
- 14 before. How can I close my eyes to that if --
- MS. FREELAND: Well, Your Honor --
- 16 JUSTICE SCALIA: -- if I'm making the
- 17 retroactivity determination?
- 18 MS. FREELAND: Your Honor, if I could, I'm
- 19 not suggesting that the Commission close its eyes to
- 20 anything. However, what we're asking for in this case
- 21 is not a full resentencing where all sentencing
- 22 decisions would be reopened. We're simply saying that
- 23 when a court imposes a new sentence, that new sentence
- 24 must comply with this Court's decision in Booker, and --
- 25 CHIEF JUSTICE ROBERTS: Only Booker?

1	JUSTICE	SOTOMAYOR:	But	that	means	it	
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- 2 CHIEF JUSTICE ROBERTS: Only Booker?
- 3 What if there is another constitutional objection
- 4 to the sentence, and he goes back under --
- 5 under the crack cocaine? Can you say, oh, and, you
- 6 know, also, it violated my rights under equal
- 7 protection? You didn't notice that before, but here's
- 8 the argument. Is that before the sentencing court?
- 9 MS. FREELAND: Your Honor, I would have to
- 10 say that it is. The -- the new sentence --
- 11 CHIEF JUSTICE ROBERTS: Yes, you would you
- 12 have to say. So --
- MS. FREELAND: The sentence imposed would
- 14 have to comply with the Constitution.
- 15 CHIEF JUSTICE ROBERTS: So it's not only as
- 16 unfair as Justice Ginsburg hypothesized. It's even more
- 17 unfair than that, because just because of the crack
- 18 cocaine change, somebody with an equal protection
- 19 challenge gets to raise that. While somebody in prison
- 20 without an equal -- with an equal protection challenge
- 21 but not the crack cocaine one is still stuck.
- MS. FREELAND: That's true,
- 23 Mr. Chief Justice, but that's the case in any situation
- 24 where a defendant has raised an issue on appeal or has
- 25 presented a claim in a 2255 petition, and just by

- 1 happenstance the decision awarding them a new -- a new
- 2 trial or a new sentence happens when a new
- 3 constitutional rule is announced by this Court.
- 4 Now, certain --
- 5 CHIEF JUSTICE ROBERTS: Well, that's a new
- 6 constitutional rule. This is an old one. This is one
- 7 that was -- you know, the equal protection clause has
- 8 been in the books for a while. And -- and he -- he just
- 9 gets the chance to raise it solely because of the fact
- 10 that his conviction involved crack cocaine.
- 11 MS. FREELAND: And, again, Your Honor, I
- 12 think that he would get to raise it, but I want to point
- out that not every constitutional issue would be ripe
- 14 for the court's decision at that proceeding. If there
- 15 were an opportunity to raise -- as you said, equal
- 16 protection isn't a new law like -- we're talking about
- 17 Booker being a new law for Mr. -- for Mr. Dillon. If
- 18 there were an equal protection challenge that had not
- 19 been lodged at the original sentencing, the district
- 20 court would apply the law of the case and then --
- 21 CHIEF JUSTICE ROBERTS: Oh, no, no, no. I
- 22 thought under your theory this is a whole new
- 23 sentencing. So who cares whether he waived it at the
- 24 first one? We're starting from -- from point zero.
- 25 MS. FREELAND: Mr. Chief Justice, I must

- 1 have misspoken. That is certainly not what I meant to
- 2 indicate. What I meant to say is, certainly, all
- 3 sentences imposed ought to comply with the Constitution,
- 4 but we live in a system that has waiver principles, law
- 5 of the case, mandate rule. These are all obstacles that
- 6 a defendant seeking to overturn a sentencing or raise an
- 7 issue on equal protection grounds that weren't presented
- 8 before would serve as obstacles to their doing so.
- 9 CHIEF JUSTICE ROBERTS: And my point is that
- 10 they shouldn't under your theory. The fact that he
- 11 waived it at the earlier sentencing under your theory
- 12 should not matter at all, because your theory is that
- 13 this is a whole new sentencing, so who cares what went
- 14 on in the prior sentencing?
- 15 MS. FREELAND: I disagree, Your Honor, that
- 16 my theory does not encompass that part of the rule. And
- 17 I think with respect to our criminal history issue that
- 18 we've raised that's precisely what we've said, that
- 19 there are errors that a district court may not be able
- 20 to correct in a 3582(c) proceeding --
- JUSTICE SCALIA: Well, why do you -- why do
- 22 you pick on -- on Booker as -- as not carrying over? I
- 23 mean, if you say that there carries over from the prior
- 24 sentencing his failure to raise the equal protection
- 25 claim, why can't you say it's also law of the case that

- 1 the Booker objection doesn't stand? It's law of the case.
- 2 It was decided before Booker, and that's the law of the
- 3 case as far as that's concerned.
- 4 MS. FREELAND: Well, Your Honor, if the law
- of the case were in place before Booker were decided, the
- 6 new law would be an exception to the law of the case if
- 7 Mr. Dillon were resentenced as he were after the Court's
- 8 decision in Booker.
- 9 I'm not sure if that answers your question
- 10 precisely. For Mr. Dillon, Booker is new law at his new
- 11 sentencing. He did not have an opportunity to raise a
- 12 Booker objection or ask for a sentence below the
- 13 guideline range at his original sentencing.
- 14 JUSTICE SOTOMAYOR: Under your theory,
- 15 there's no bar to an upward sentence by the -- by the
- 16 judge, because if it's a brand new hearing subject to
- 17 Booker, which mandates complete discretion under 3553,
- 18 the judges define the sentence that fits the crime and
- 19 the defendant. So you don't mind an upward --
- 20 MS. FREELAND: Well, under 3582(c), Your
- 21 Honor, statute -- the statute provides that it --
- JUSTICE SOTOMAYOR: I know you want --
- MS. FREELAND: -- a sentence be only reduced
- JUSTICE SOTOMAYOR: You only want part of
- 25 -- the statute, not the whole statute?

1 MS. FREELAND:	Your Honor,	I	think	we	want
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- 2 the whole statute, the statute that allows --
- JUSTICE SOTOMAYOR: If you want the whole
- 4 statute, then it's a new sentence. You can't beg and say
- 5 the statute limits up and down, but I only want the
- 6 down --
- 7 MS. FREELAND: Your Honor --
- 8 JUSTICE SOTOMAYOR: -- and I want a new sentence.
- 9 It doesn't make sense.
- 10 MS. FREELAND: I think I understand your
- 11 point. The -- the problem is with the statute. 3582(c)
- 12 does not authorize a court to increase the sentence.
- 13 The fact that a sentence cannot be increased
- 14 does not divest the proceeding at which it's imposed --
- 15 JUSTICE BREYER: So what's -- what's the --
- 16 the words in the statute is that it may reduce the term.
- 17 You can't change the term -- you cannot change a
- 18 sentence, except that you can reduce the term if such
- 19 reduction is consistent with applicable policy
- 20 statements.
- MS. FREELAND: That's correct, Your Honor.
- 22 JUSTICE BREYER: Do you see anything --
- 23 what's -- what's unconstitutional about that? Then they
- 24 issue a policy statement, and the policy statement says
- 25 you can't reduce it except insofar as our new --

- 1 whatever the new thing is -- applies.
- 2 If you're arguing that that violates the
- 3 Constitution, what I'm missing is why? What violates
- 4 the Constitution in that?
- 5 MS. FREELAND: Your Honor, post-Booker, what
- 6 violates the Constitution is the policy statement's use
- 7 of the word "shall." That's a -- that's a
- 8 significant change --
- JUSTICE BREYER: I don't remember --
- 10 MS. FREELAND: -- in the wording of 1.10
- JUSTICE BREYER: I thought in Booker --
- 12 and I have to go back and look at it, but I thought in
- 13 Booker the Court held that certain specific -- like
- 14 certain specific words in certain specific provisions
- 15 of the -- the sentencing statutes were unconstitutional
- 16 because of Apprendi and because of the other part,
- 17 Booker itself.
- 18 Well, 3582 wasn't one of them. So -- so
- 19 there must be something unconstitutional if you're
- 20 right. I don't see how you get around this statute.
- MS. FREELAND: Your Honor, in our view
- 22 3582(c) is not unconstitutional. It --
- JUSTICE BREYER: All right. If it's not
- 24 unconstitutional, then why don't you have to follow it?
- 25 Because what it says is, you cannot get a reduction

- 1 except in respect to what the policy guideline says.
- 2 And the policy guideline says you don't get a reduction,
- 3 except insofar as we've reduced a sentence in a
- 4 particular respect.
- 5 What's unconstitutional about that? I'm --
- 6 I'm not saying there isn't. I want to know what your
- 7 argument is that it is unconstitutional.
- 8 MS. FREELAND: Justice Breyer, post-Booker,
- 9 if 3582(c) is interpreted as you just said, that the
- 10 sentence -- the reduction in sentence must be consistent
- 11 with applicable policy statements absolutely --
- 12 JUSTICE BREYER: Well, that's what it says.
- 13 I don't know how you'd interpret it some other way --
- MS. FREELAND: And that the --
- 15 JUSTICE BREYER: -- if that's what it says.
- 16 MS. FREELAND: And that the policy statement
- 17 then requires the court to impose a mandatory guideline
- 18 sentence --
- JUSTICE BREYER: No, it just says what we're
- 20 doing is we're reopening the sentencing in respect to
- 21 the particular way we reduce people's sentence, not in
- 22 respect to something else. That's what I read the
- 23 policy statement to say. That if there were 19 things
- that were considered in the sentence and one of those 19
- 25 is changed in a downward direction, then it says we make

- 1 an exception, says the policy statement. You can reopen
- 2 number 19, but not the first 18.
- Now, why is that unconstitutional? What in
- 4 the Constitution prohibits doing that?
- 5 MS. FREELAND: The -- Your Honor, if I could,
- 6 the constitutional problem with section 1B1.10(b)(2)(A)
- 7 is that it requires the district court to impose a
- 8 sentence within the guideline range. Therein lies
- 9 the problem. The requirement, a mandatory guideline
- 10 sentence based on a judicially enhanced range --
- 11 therein lies the problem.
- 12 Section 3582(c) does not mandate guideline
- 13 sentencing. It's 1B1.10.
- 14 JUSTICE GINSBURG: Ms. Freeland, what would
- 15 happen if the -- the motion is made with respect to the
- 16 crack cocaine, and the judge said, I'm -- I'm not going
- 17 to -- I deny the motion? Would the judge then have to
- 18 go on and consider Booker and say, now, on this piece,
- 19 I deny the motion, but now I can just do whatever I want
- 20 with the rest of it?
- MS. FREELAND: Your Honor, if I could, if a
- 22 judge is presented with a 3582(c) motion and denies the
- 23 motion, all the court has done is enter an order denying
- 24 a motion.
- It's not until the district court decides to

- 1 grant the 3582(c) motion and reduce the defendant's
- 2 sentence that 3553(a) factors come into play, the policy
- 3 statements come into play, and a new sentence is
- 4 thereafter imposed.
- 5 JUSTICE GINSBURG: Well, if -- suppose
- 6 Congress and the Sentencing Commission had made it
- 7 crystal clear that they are authorizing a reopening but
- 8 only with respect to one piece. You say -- you say no
- 9 matter how clear it is, as a matter of constitutional
- 10 law, because Booker has been decided, the whole sentence
- 11 is up for --
- MS. FREELAND: Justice Ginsburg --
- 13 JUSTICE GINSBURG: -- consideration?
- 14 MS. FREELAND: Justice Ginsburg, that's
- 15 correct. And the reason is because 3582(c) is a
- 16 provision that is used by courts to correct sentences.
- 17 Once the court decides that the defendant is worthy of a
- 18 correction, that the sentence should be corrected, it
- 19 grants a motion extinguishing the old sentence and
- 20 imposes a new sentence.
- 21 Our position is simply that when it imposes
- the new sentence, it must comply with Booker's
- 23 constitutional and remedial holdings.
- 24 JUSTICE SCALIA: I have a better answer to
- 25 Justice Breyer's question. You want my better answer?

1	MS. FREELAND: Please, Justice Scalia.
2	JUSTICE SCALIA: Sure.
3	(Laughter.)
4	JUSTICE SCALIA: It's it's it's not
5	it's not section 3582 that's unconstitutional, and it
6	isn't even the provision for being guided by a policy
7	statement of the Sentencing Commission that's
8	unconstitutional. It is the nature of the Sentencing
9	Commission's policy statement that is unconstitutional.
10	Surely, if the Sentencing Commission had a
11	policy statement which said you will reduce it for white
12	prisoners but not for black prisoners, that would surely
13	be unconstitutional, right?
14	MS. FREELAND: Absolutely, Your Honor.
15	JUSTICE SCALIA: And your point here is that
16	the policy statement which says you effectively will
17	disregard Booker is unconstitutional?
18	MS. FREELAND: I agree.
19	JUSTICE SCALIA: That works, doesn't it?
20	MS. FREELAND: I agree. And, Justice
21	Breyer
22	JUSTICE BREYER: You agree. Now now,
23	what that's what I I could understand that if 3582
24	said to the judge you resentence him. But it doesn't
25	say that. So there's a sentence in effect, and what

- 1 3582 says is a -- a sentence can be reduced just as if,
- 2 to go back to the beginning, Congress passed a statute
- 3 or the Commission said everybody's sentence will be
- 4 reduced. That doesn't change what the sentence was. It
- 5 says there's a reduction, like for good -- good time.
- 6 So it says a defendant, if he has been
- 7 sentenced based on factor 19 in the case, the court may
- 8 reduce the term of imprisonment, the term of
- 9 imprisonment there under the sentence. So I'm back to
- 10 my question.
- 11 What's unconstitutional about that?
- MS. FREELAND: Justice --
- 13 JUSTICE BREYER: And what the court just says
- 14 is the court -- the Commission says: That's right. You
- 15 may reduce it in respect to what we've considered; you
- 16 may not reduce it in respect to something which is
- 17 not considered.
- 18 So I'm still puzzled about the constitutional
- 19 problem.
- 20 MS. FREELAND: And, Justice Breyer, I
- 21 apologize because I may not be --
- 22 JUSTICE BREYER: No, no, you don't have to
- 23 apologize.
- 24 MS. FREELAND: -- I may not be understanding
- 25 your question.

1 JUSTICE BREYER:	I understand your	argument
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- 2 now. And I didn't quite and now I do. So there's nothing
- 3 to apologize for.
- 4 MS. FREELAND: Okay. I'd like to --
- 5 JUSTICE BREYER: You wanted to get the idea
- 6 in my mind. I'm not expressing that in my question, but
- 7 I got your answer.
- 8 MS. FREELAND: Okay, thank you.
- 9 (Laughter.)
- 10 MS. FREELAND: A couple of points, though,
- 11 that I -- that -- from reading the text of the statute
- 12 that I'd like to point out. Justice Breyer, notice
- it doesn't say "sentence." It says "term of
- 14 imprisonment." "Term of imprisonment" is -- is a term
- 15 of art in the Federal Code. A "term of imprisonment"
- 16 is an authorized sentence under 3551 of the United
- 17 States Code. And under 3621, the Bureau of Prisons
- 18 cannot hold someone in custody absent a sentence of
- 19 imprisonment.
- 20 And in this case, there are two judgments,
- 21 two sentences of imprisonment. The Bureau of Prisons
- 22 would not have been able to hold Mr. Dillon for
- 23 270 months under the 1993 judgment, and the Bureau of
- 24 Prisons certainly cannot hold Mr. Dillon for 322 months
- 25 under the --

1	CHIEF JUSTICE ROBERTS: I'm sorry. Why
2	MS. FREELAND: the June 2008 judgment.
3	CHIEF JUSTICE ROBERTS: Why could they
4	the first part of that, why could they not hold him for
5	the term under the 1993 sentence?
6	MS. FREELAND: Under 3621, it's very clear
7	that the the Bureau of Prisons, absent a reduction
8	under 3624(b) for good time, is directed to hold the
9	defendant in the custody of Bureau of Prisons for the
10	term of imprisonment on the judgment.
11	In this case, following the
12	JUSTICE SCALIA: What what if the
13	President reduces the sentence using his pardon
14	power? He cuts it back; he cuts it in half. The Bureau
15	of Prisons has to hold him for the full term of the
16	imprisonment? No, that can't be true.
17	MS. FREELAND: Justice Scalia, I'm certain
18	that that's not true. And I mean, there I'm not
19	familiar
20	JUSTICE SCALIA: So why is this any
21	different?
22	MS. FREELAND: with those provisions.
23	JUSTICE SCALIA: Why is this any different?
24	I mean, there are obviously what it proves is that
25	there are exceptions to that requirement that they hold

- 1 him for the term of imprisonment. They -- they have to
- 2 hold him for the term of imprisonment unless it has been
- 3 shortened, right, by -- by pardon or remission of part
- 4 of the term by the President or what the argument for
- 5 the government is, or by application of this retroactive
- 6 rule by -- by the Commission.
- 7 MS. FREELAND: And again, Your Honor, I'm
- 8 not familiar with the clemency and commutation
- 9 procedures. I would have to think that some piece of
- 10 paper, some order directing the Bureau of Prisons to
- 11 reduce the sentence, just as a new judgment in a 3582(c)
- is a new sentence of 270 months, would have to be
- 13 presented so that the Bureau of Prisons would release.
- 14 But -- but that aside, the -- the real point
- 15 here is that the new judgment is a new judgment; it is a
- 16 new sentence. This is not a reduction in the old
- 17 sentence. It --
- 18 JUSTICE BREYER: Well, that's what it says.
- 19 It's -- the other thing that has bothered me, to tell you
- 20 the truth, is -- is the part of the point that was brought
- 21 up previously, that it is up to the Commission whether to
- 22 make it retroactive. It is.
- MS. FREELAND: I agree.
- JUSTICE BREYER: I don't know why they
- 25 couldn't take into account that to make any drug-related

- 1 change, you see, and then make that retroactive, is
- 2 going to reopen the sentencing for every single person
- 3 who has already been convicted of a drug crime in the
- 4 Federal courts, of which there are probably tens of
- 5 thousands.
- 6 And -- and I think they would properly take
- 7 that into account. And, therefore, they might properly
- 8 say, we're not going to make this retroactive.
- 9 MS. FREELAND: Your Honor, the -- the
- 10 practice in the court of appeals post-Booker of
- 11 remanding all of the cases that were in the pipeline
- 12 shows that the Federal courts are able to handle
- 13 revisiting thousands of sentences imposed under a
- 14 mandatory system to revisit them under 3553(a). And as
- 15 Justice Walton said in testifying before the Sentencing
- 16 Commission with respect to this issue, that the courts
- 17 are fully prepared to handle any administrative burden
- 18 and believes that such a burden would be sufficiently
- 19 justified for people like Mr. Dillon who are deserving.
- 20 JUSTICE KENNEDY: I'll make this brief
- 21 because your -- your rebuttal light is on. Your answer
- 22 made sense when we talk about term as opposed to
- 23 sentence, if you just look at (c). But (b) says,
- 24 "Notwithstanding the fact that a sentence to imprisonment
- 25 can be modified ... a judgment of conviction that

- 1 includes such a sentence constitutes a final judgment."
- 2 Does (b) not apply to (c)?
- 3 MS. FREELAND: (b) does apply to (c), Your
- 4 Honor, and I'm glad that you raised that because 3582(b)
- 5 is significant in that it does not distinguish the
- 6 effect of finality of remands, modifications under
- 7 3582(c); it's the judgment of conviction -- the
- 8 conviction that remains final. The sentence is no
- 9 longer final if modified under any of the provisions
- 10 listed in 3582(b).
- If there are no further questions, I'd
- 12 like to reserve the remainder of my time.
- 13 CHIEF JUSTICE ROBERTS: Thank you, Ms.
- 14 Freeland.
- 15 Ms. Kruger.
- 16 ORAL ARGUMENT OF LEONDRA R. KRUGER
- 17 ON BEHALF OF THE RESPONDENT
- 18 MS. KRUGER: Mr. Chief Justice, and may it
- 19 please the Court:
- 20 The provisions of the Sentencing Reform Act
- 21 at issue in this case, unlike the provisions that were
- 22 at issue in Booker, do not govern the imposition of
- 23 sentence. They instead provide a discretionary
- 24 mechanism for the exercise of leniency for defendants
- 25 who have already been sentenced. The district court in

- 1 this case properly exercised its authority under the
- 2 statute to reduce Petitioner's sentence by a little bit
- 3 more than 4 years, which was the maximum amount of
- 4 reduction that was consistent with the Sentencing
- 5 Commission's specification under section 994(u) about
- 6 whether and to what extent its crack cocaine amendments
- 7 warranted reductions in already imposed sentences.
- 8 The district court had neither a further
- 9 obligation nor indeed the authority to set Petitioner's
- 10 sentence aside altogether and resentence Petitioner
- 11 under the advisory guidelines regime announced in
- 12 Booker.
- 13 JUSTICE GINSBURG: Does that extend to --
- 14 which was part of this case -- the court notices that
- 15 there was a technical error; it was a calculation error
- 16 the first time, and the judge says, well, I'll fix
- 17 that up, too. That was an arithmetic error.
- 18 MS. KRUGER: Yes, Justice Ginsburg, the same
- 19 rule applies to the calculation error that Petitioner is
- 20 raising.
- 21 Section 3582(c)(2) wasn't designed by
- 22 Congress to serve as effectively a less restrictive
- 23 substitute for raising such challenges on direct appeal
- 24 or where otherwise available under section 2255. It was
- 25 instead designed for the limited purpose of providing an

- 1 opportunity to extend leniency to defendants whose
- 2 sentences are otherwise final, nonappealable, and
- 3 therefore not subject to any modification.
- 4 Justice Sotomayor, you had asked earlier
- 5 whether this provision was designed as an act of
- 6 clemency or was instead designed as a kind of adjunct to
- 7 the court's judicial review power, a kind of 2255-type
- 8 power. We think the answer is clearly that it was
- 9 designed as a mechanism for the exercise of a type of
- 10 clemency power. I think that's particularly clear if
- 11 you look at the parallel provisions in section
- 12 3582(c)(1), which are reprinted at pages 3a and 4a of the
- 13 appendix to the government's brief.
- 14 Those provisions also provide for similar
- 15 types of sentence reductions in cases where the director
- 16 of the Bureau of Prisons makes a motion for sentence
- 17 reduction based either on extraordinary and compelling
- 18 reasons or because the defendant is over the age of 70
- 19 and has served more than 30 years in prison.
- 20 In all of these cases, the district court
- 21 exercises a discretionary power to reduce the sentence
- 22 in the exercise of leniency, not because of legal error
- 23 but for equitable reasons.
- JUSTICE SOTOMAYOR: Well, that gets tied up,
- 25 doesn't it, to your argument that this is not a part of

- 1 the criminal prosecution, that this is a -- not a Sixth
- 2 Amendment proceeding, but -- not a Sixth Amendment
- 3 proceeding, so it doesn't require constitutional
- 4 protection?
- 5 MS. KRUGER: That's correct, Justice
- 6 Sotomayor. We think that what Congress has called for
- 7 in all of the sentence reduction provisions of section
- 8 3582(c) is a kind of discretionary mechanism for
- 9 reducing sentences that are already final and
- 10 nonappealable and can't be modified in any other respect.
- 11 It hasn't called for a de novo plenary resentencing so
- 12 to allow defendants in the position that Petitioner is
- in to come into court and reopen every aspect of their
- 14 sentence, requiring reconsideration of quidelines
- 15 determinations made in this case more than a decade ago
- 16 or requiring application of intervening changes in the
- 17 law.
- 18 JUSTICE STEVENS: Am I correct in
- 19 understanding that 3582(c) was enacted prior to our
- 20 decision in Booker?
- MS. KRUGER: Yes, it -- it was.
- 22 JUSTICE STEVENS: And is it clear that it
- 23 should be construed as though the system was mandatory
- 24 before the decision in Booker, rather than construed in
- 25 the light of the remedial decision in Booker?

1 MS. KRUGER: Well, I think there are to	1	MS.	KRUGER:	well,	l think	there	are	tν
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- 2 questions that are raised here about how this statutory
- 3 scheme comports with Booker. One is the Sixth Amendment
- 4 question, whether this is a statutory provision that
- 5 calls for a resentencing, at which Booker and its
- 6 decision about the Sixth Amendment would apply.
- 7 And then the second question is even if you
- 8 think there is no constitutional problem with reading
- 9 the statute in accordance with its plain terms, whether
- 10 Booker's remedial analysis requires treating the
- 11 reference to the policy statements in section 3582(c)(2)
- 12 as purely advisory.
- 13 We think with respect to that question, not
- 14 even Petitioner is quite willing to embrace the full
- 15 consequences of that argument. It would mean severing
- and excising the portion of 3582(c)(2) that requires
- 17 consistency with the applicable policy statements.
- 18 JUSTICE STEVENS: Right. Is that any more
- 19 a dramatic change than was made in the Booker remedial
- 20 opinion itself?
- 21 MS. KRUGER: I'm not sure whether or not
- 22 it's a more dramatic change in terms of the number of
- 23 cases that would be affected, but it's --
- 24 JUSTICE STEVENS: But the remedial opinion
- 25 basically rewrote the whole statute on -- except first

- 1 it didn't have to reach 3582(c), but it certainly
- 2 changed the -- the nature of the mandatory provisions
- 3 that were previously in the statute.
- 4 MS. KRUGER: That's true, and it did so in
- 5 order to solve the constitutional difficulties that were
- 6 created by a mandatory guidelines system with respect to
- 7 the imposition of sentence.
- 8 Without that same kind of Sixth Amendment
- 9 violation in the context of discretionary sentence
- 10 reduction proceedings, we think there is no basis in
- 11 Booker's analysis for severing and excising any portion
- of 3582(c)(2), but I would note that the consequence of
- 13 severing and excising the requirement of consistency with
- 14 the applicable policy statements would be to free
- 15 district courts not only from the Sentencing
- 16 Commission's specifications about how much to reduce
- 17 sentences, but also which of its amendments to the
- 18 guidelines would justify retroactive application,
- 19 because it is after all in a policy statement, section
- 20 1B1.10, that the Sentencing Commission has specified
- 21 which of its amendments, among many, justify sentence
- 22 reductions under 3582(c)(2). That would mean that every
- 23 time the Sentencing Commission revises its guidelines
- 24 and reduces applicable sentencing ranges, any number of
- 25 defendants would be free to come to the district courts

- 1 and ask them to make their own independent judgment
- 2 about whether or not they should be effectively
- 3 resentenced as a consequence. And that would certainly
- 4 provide a significant disincentive for the Sentencing
- 5 Commission ever to revise sentencing quidelines in a
- 6 downward direction.
- 7 JUSTICE GINSBURG: Have there been other
- 8 guidelines ranges that have been reduced, and, if so,
- 9 were those also retroactive?
- 10 MS. KRUGER: There have -- there have been
- 11 many guidelines ranges that have been reduced over the
- 12 course of the existence of the sentencing guidelines. I
- 13 believe that right now, the current number of -- of
- 14 quidelines amendments the Sentencing Commission has made
- 15 retroactive stands at 27.
- 16 This was the -- one of the first decisions
- 17 that the Sentencing Commission made about retroactivity
- 18 in the wake of Booker, and it did so with full
- 19 consciousness of the potential for broadly reopening
- 20 sentences. And it certainly weighed very heavily in the
- 21 Sentencing Commission's deliberations that the
- 22 traditional understanding, existing long before Booker
- 23 was ever decided, was that 3582(c)(2) proceedings do not
- 24 constitute plenary resentencings that incorporate all
- 25 intervening changes to the law.

- 1 JUSTICE BREYER: Can -- can I ask you a
- 2 different question on the secondary argument that they
- 3 make? When I read the secondary argument, that there
- 4 was an error in the calculation of the quidelines and
- 5 she would like to have that error corrected, I didn't
- 6 see anything in the policy statement that would prevent
- 7 her from getting that correction.
- 8 JUSTICE GINSBURG: I think you told me -- I
- 9 asked you that question, and you told me it could not be
- 10 corrected, because everything was final.
- 11 MS. KRUGER: That's correct --
- JUSTICE BREYER: But why?
- 13 MS. KRUGER: -- and the policy statement
- 14 actually also --
- 15 JUSTICE BREYER: What in the policy
- 16 statement? Because what it says is: What you're
- 17 supposed to do is: Judge, you go and look at the
- 18 guidelines that were applied. Now, substitute the
- 19 new one reducing the sentence for the old one, and
- 20 then go apply it.
- 21 And, therefore, that seems to me not to
- 22 block a claim that would say, when you apply these
- 23 guidelines with the new one substituted for the old one,
- 24 apply them; that is, if, in fact, there was an error
- 25 the first time.

1	Now, she may have a hard time showing that,
2	but if she can show it, what in the words that are right
3	there in (b)(1) stops her from getting that correction?
4	MS. KRUGER: It's on page if you look at
5	page 8a of the appendix
6	JUSTICE BREYER: I have it.
7	MS. KRUGER: in the government's brief
8	JUSTICE BREYER: I have it in front of me.
9	MS. KRUGER: In the final sentence, it says,
10	"In making such determination, the court shall
11	substitute only the amendments listed in subsection (c)"
12	JUSTICE BREYER: That's right.
13	MS. KRUGER: "for the corresponding provisions
14	that were applied"
15	JUSTICE BREYER: That's right.
16	MS. KRUGER: "and shall leave all other
17	guideline application decisions unaffected."
18	JUSTICE BREYER: Well, all other guideline
19	application decisions, and the claim is that they just
20	made an error, like a clerical error, a clear error,
21	manifest injustice, in that first math. Do you think
22	that this would leave them unaffected?
23	MS. KRUGER: I do.
24	JUSTICE BREYER: Yes. I see.
25	MS KRIIGER: I think that that is the plain

- 1 meaning of the Sentencing Commission's directive there.
- 2 I would say that the question --
- JUSTICE SCALIA: I guess they also made a
- 4 mistake in not applying Booker, right?
- 5 (Laughter.)
- 6 MS. KRUGER: Well, the premise of that
- 7 question, I think, assumes two things. It assumes that
- 8 3582(c)(2) proceedings are plenary sentencings at which
- 9 Booker applies, which the Sentencing Commission, based
- 10 on a very long history of rejections and precisely
- 11 that parameter.
- 12 JUSTICE BREYER: No, no. I would have thought
- 13 the objection to that is: No, they didn't make a mistake
- 14 in applying Booker, because this Court has said that Booker
- 15 isn't retroactive.
- MS. KRUGER: Well --
- JUSTICE BREYER: And, of course, they did make
- 18 a mistake if it is retroactive. And then the Court should
- 19 have said it is retroactive, in which case there would
- 20 be no problem.
- MS. KRUGER: Well, if Booker were
- 22 retroactive, I think that our position would still be
- 23 that this is not the proper vehicle for applying it,
- 24 that the proper vehicle would be to file a motion for --
- 25 to vacate or set aside the sentence under section 2255.

- 1 Congress didn't intend these sentence
- 2 reduction proceedings to serve essentially the same
- 3 purpose. It -- it intended them to serve simply the
- 4 purpose of reducing otherwise final, nonappealable
- 5 sentences. I would say --
- JUSTICE BREYER: I know my word was: It
- 7 shall leave all other guideline application decisions.
- 8 Well, that's -- that's -- their claim is not that the
- 9 guideline -- it's that they -- they chose the wrong
- 10 guideline or they didn't apply the right words. You
- 11 see, so they weren't applying that -- they just applied
- 12 the wrong thing. Now you say I'm working too hard.
- 13 Okay.
- 14 MS. KRUGER: I think you may be working a
- 15 little hard, Justice Breyer.
- 16 (Laughter.)
- MS. KRUGER: I would say that, on that
- 18 point, we also fundamentally disagree with Petitioner's
- 19 submission that there was any error in the calculation
- 20 of his criminal history score in 1993.
- 21 But to the extent that Petitioner wanted to
- 22 raise any challenges to that determination, we think the
- 23 appropriate time and place to do that would have been on
- 24 direct appeal of that sentencing decision, rather than
- 25 waiting a decade and attempting to use the 3582(c)(2)

- 1 proceedings as a kind of vehicle for collaterally
- 2 reopening that aspect of his sentence.
- 3 JUSTICE KENNEDY: When the judge considers
- 4 the adjustment motion under the -- under the section,
- 5 does he consider how the prisoner has behaved in prison;
- 6 i.e., if he has behaved very badly, he doesn't give
- 7 the -- the reduction?
- 8 MS. KRUGER: The district court can consider
- 9 any disciplinary proceedings that have occurred in the
- 10 course of the defendant's imprisonment, yes.
- JUSTICE KENNEDY: But he -- but he can't go
- 12 below.
- 13 The -- the Petitioner makes the argument
- 14 metaphysically that there's just a new sentence. In
- 15 your view, is that refuted by the language of (c)(2)
- 16 because they talk about in the case of a defendant who
- 17 has been sentenced to a term of imprisonment, and then
- 18 they say the term can be reduced, and it doesn't use the
- 19 words "a new sentence shall be imposed"? I assume that's
- 20 your argument.
- 21 MS. KRUGER: I mean, I think that that
- 22 certainly reinforces the conclusion that I think also
- 23 arises from other aspects of the provision, that what
- 24 Congress had in mind wasn't the imposition of a
- 25 brandnew sentence, but simply a discretionary reduction

- 1 of the old one. I think that's right, Justice Kennedy.
- 2 CHIEF JUSTICE ROBERTS: I'm troubled by
- 3 your response to Justice Kennedy's previous question,
- 4 that the judge can take into account conduct in prison
- 5 and all these other things. It does seem to open it up
- 6 to other factors than the crack cocaine disparity, and
- 7 once you're looking at other factors, why not look at
- 8 everything?
- 9 MS. KRUGER: Well, I think the answer to
- 10 that question is resolved by looking at the plain text
- of 3582(c)(2), Mr. Chief Justice, which directs that
- 12 district courts have a discretion to reduce sentences in
- 13 a manner that's consistent with applicable policy
- 14 statements, but after considering these statutory
- 15 sentencing factors under section 3553(a), which
- 16 include, of course, the need to protect the public from
- 17 future crimes committed by the defendant, as well as the
- 18 history and characteristics of the prisoner.
- 19 The reference to 3553(a) quides district
- 20 courts' discretion in deciding whether or not to grant a
- 21 reduction that's authorized by the Sentencing Commission
- 22 in the course of its statutory duty under 994(u) to
- 23 specify whether and to what extent its amendments
- 24 justify retroactive application.
- JUSTICE KENNEDY: So, it's a one-way ratchet?

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1 MS. KRUGER:	Effectively.	I mean	, it works
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- 2 in both directions. It can justify granting a
- 3 reduction; in the case of Petitioner, the district court
- 4 thought that the 3553(a) factors clearly pointed in
- 5 favor of granting the reduction. But it also -- those
- 6 factors can work in the direction of denying an
- 7 otherwise available reduction.
- 8 JUSTICE KENNEDY: The Petitioner's brief
- 9 opens with a statement about his rehabilitation. We
- 10 don't know if that has been contested. You don't
- 11 respond to it. But let's assume that's all true. He
- 12 established schools, and he helped young people and so
- 13 forth.
- 14 Does the Justice Department ever make
- 15 recommendations that prisoners like this have their
- 16 sentence commuted?
- MS. KRUGER: I am not aware of the answer to
- 18 that, Justice Kennedy. It is certainly true that
- 19 evidence of that type of rehabilitation factored into
- the government's recommendation in this case that
- 21 Petitioner --
- JUSTICE KENNEDY: And isn't the population
- of prisoners in the Federal prisons about 185,000 now?
- MS. KRUGER: I think --
- 25 JUSTICE KENNEDY: I think it is. And were

- 1 there -- how many commutations last year? None. How many
- 2 commutations the year before? Five.
- 3 Does this show that something is not working
- 4 in the system? 185,000 prisoners? I think that's the
- 5 number.
- 6 MS. KRUGER: I -- I'm not prepared to speak
- 7 to that question today, Justice Kennedy. I can tell you
- 8 that the government very much takes those considerations
- 9 into account when making recommendations about available
- 10 sentence reductions under section 3582(c)(2).
- 11 And, indeed, in this case, the government
- 12 agreed that the Petitioner should receive the full
- 13 measure of the benefit that the Sentencing Commission
- 14 had made available when it decided to make the crack
- 15 cocaine amendments retroactive, based in large part on
- 16 Petitioner's conduct in prison and his other
- 17 characteristics.
- 18 JUSTICE STEVENS: May I ask this question?
- 19 Accepting the point that there's no constitutional
- 20 compulsion that they had to open up for a full new
- 21 sentencing, and I understand one reason for not doing it
- 22 is that you don't impose too much work on the district
- 23 courts for doing it, but could you explain to me why
- 24 as -- just as a matter of policy and good judgment, the
- 25 Commission would say, well, you can take a look at all

- 1 the negative factors that would argue against reduction,
- 2 but you cannot look at any of the factors that would show
- 3 why you should have had the same sentence that if -- why
- 4 you should get the kind of sentence you would have
- 5 gotten if you had been sentenced in the first place
- 6 today?
- 7 Because I guess this particular man is
- 8 going to be -- spend 22 more years in jail than if he
- 9 -- if he had been sentenced today.
- 10 MS. KRUGER: The reason why the Commission
- 11 doesn't do that is because it wouldn't comply with its
- 12 statutory mandate under 28 U.S.C. 994(u) to specify both
- 13 which guidelines amendments justify retroactive
- 14 application and the amount by which sentences may be
- 15 reduced.
- 16 The Sentencing Commission would have no
- 17 power to simply say in its policy statement: District
- 18 courts, you are free to reduce sentences by however
- 19 much amount you believe is appropriate.
- 20 JUSTICE STEVENS: You think the statute
- 21 would have prohibited a more generous policy statement,
- 22 then?
- 23 MS. KRUGER: I do think the statute would
- 24 have prohibited such a policy statement. Yes, that's
- 25 correct, Justice Stevens.

- 1 JUSTICE SCALIA: But I -- I still don't
- 2 understand how you fit into that your answer to the
- 3 Chief Justice earlier that, in fact, the court can
- 4 consider other factors in -- in 3553 when it's making
- 5 the reduction.
- 6 MS. KRUGER: Justice Scalia, I think the
- 7 answer is simply that the 3553(a) factors and their
- 8 consideration under 3582(c)(2) is designed for a
- 9 different purpose than for the consideration that goes
- 10 into the initial imposition of the sentence.
- The point of considering the 3553(a)
- 12 factors, to the extent they are applicable, to use the
- 13 words of 3582(c)(2) --
- 14 JUSTICE SCALIA: Yes.
- 15 MS. KRUGER: -- is just to determine whether
- or not the district court will grant a reduction that is
- 17 authorized, to the extent it is authorized, by applicable
- 18 policy statements. It's not to determine whether the
- 19 resulting sentence, as an original matter, is greater
- 20 than necessary to comport with the statutory sentencing
- 21 factors. It's simply for the limited purpose of
- 22 deciding whether to exercise discretion to reduce the
- 23 sentence in a manner that's authorized by the statute.
- JUSTICE SCALIA: I see. But -- but I
- 25 thought you said you could take into account good

- 1 behavior in that determination? But that isn't --
- 2 that isn't a factor that would cause you to disallow
- 3 the reduction. It's a factor that --
- 4 MS. KRUGER: It's a factor that would cause
- 5 you to permit the reduction, presumably. It's one of
- 6 the many considerations that a district court can take
- 7 into account in deciding that it will, in fact, exercise
- 8 its discretion to reduce the sentence to the extent that
- 9 that's permitted by Congress and applicable policy
- 10 statements.
- 11 CHIEF JUSTICE ROBERTS: But it -- it's also
- 12 a factor that would -- might guide the district court to
- 13 a decision not to reduce the sentence.
- 14 MS. KRUGER: That's correct. It can point
- in either direction in any given case.
- But the critical point is that Congress has
- 17 set the parameters for the district court's exercise of
- 18 discretion in these proceedings. Whatever the district
- 19 court chooses to do has to be consistent with applicable
- 20 policy statements, including the Commission's policy
- 21 statement in which it's implemented its statutory
- 22 authority to specify whether and to what extent its
- 23 guidelines amendments will justify retroactive
- 24 application.
- 25 If the Court has no further questions, we

- 1 would ask that the judgment of the court of appeals be
- 2 affirmed.
- 3 CHIEF JUSTICE ROBERTS: Thank you,
- 4 Ms. Kruger.
- 5 Ms. Freeland, have you 3 minutes remaining.
- 6 MS. FREELAND: I'm sorry, Mr. Chief Justice
- 7 -- how many minutes?
- 8 CHIEF JUSTICE ROBERTS: Three.
- 9 REBUTTAL ARGUMENT OF LISA B. FREELAND
- 10 ON BEHALF OF THE PETITIONER
- 11 MS. FREELAND: Three minutes, thank you.
- 12 A couple of points. I wanted to answer
- 13 Justice Kennedy's question. The court is not only
- 14 permitted to consider bad behavior in prison; under
- 15 1B1.10, the court is required. The word is "shall."
- 16 And, so, all of these things and many of your questions
- 17 point to the fact that this is an adversarial
- 18 proceeding.
- 19 Section 3582(c) describes a sentencing. It
- 20 requires the court to consider all of the 3553(a)
- 21 factors. It requires the court to be consistent with
- 22 policy statements. It requires the court to impose a new
- 23 sentence.
- 24 And the word "modification" that's used in
- 25 3582(c) connotes correction, not leniency, not grace.

- 1 Those are the functions of the executive branch, not of the
- 2 judicial branch. And, in this context, the court decided,
- 3 after the Commission reduced the sentencing -- the
- 4 sentencing guidelines for crack offenders, that a
- 5 correction was warranted. There was an error in the
- 6 prior sentence that 3582(c) permitted the district court
- 7 to correct, and when it imposed a new sentence, that new
- 8 sentence has to comport with current law.
- JUSTICE GINSBURG: Can I get you to go back
- 10 to -- you said something that only the executive can grant
- 11 clemency or ought. What -- what about a program where a
- 12 district judge says I'm going to have this person undergo a
- 13 course -- a drug addiction course, and if the defendant
- 14 successfully passes the course, then I'll give a
- 15 lighter sentence? That -- that --
- 16 MS. FREELAND: Justice Ginsburg -- I'm
- 17 sorry.
- 18 JUSTICE GINSBURG: Did your answer exclude
- 19 that -- that possibility?
- 20 MS. FREELAND: Justice Ginsburg, your
- 21 hypothetical -- the court is imposing a sentence or
- 22 conditions of a sentence, and once those conditions have
- 23 been met, the defendant is relieved, just as when the
- 24 conditions of a sentence of imprisonment have been met,
- 25 the defendant is released from prison.

Official

1 One	e point	that I	'd	like	t.o	aet.	back	t.o

- 2 because there were many questions about it, is this
- 3 consistent with policy statements? Policy statements by
- 4 definition do not bind. The Sentencing Commission in
- 5 this case changed the 1B1.10 to say that the sentencing
- 6 court could not, shall not, impose a sentence below the
- 7 quideline range. 1B1.10 for all of the 26 retroactive
- 8 amendments that preceded the crack amendment read
- 9 district courts should sentence within the amended
- 10 guideline range, and that's appropriately a policy
- 11 statement. 1B1.10(b)(2)(A) is not a policy statement.
- 12 It purports to be a binding rule. And as this Court
- 13 knows, policy statements do not bind.
- 14 JUSTICE BREYER: Yes, but they do if
- 15 Congress says they do.
- MS. FREELAND: When Congress says consistent
- 17 with policy statements -- and, Your Honor, I would
- 18 direct you -- I see my red light is on, if I could
- 19 finish --
- 20 CHIEF JUSTICE ROBERTS: You can answer
- 21 Justice Breyer's question.
- 22 MS. FREELAND: I would direct you to the
- 23 amicus brief on behalf of the defenders, at pages 23 and
- 24 24, and our reply brief, at pages 25 and 26, for an
- 25 excellent --

Official

1	CHIEF JUSTICE ROBERTS: Thank you, counse.
2	MS. FREELAND: Thank you very much.
3	CHIEF JUSTICE ROBERTS: The case is
4	submitted.
5	(Whereupon, at 11:00 a.m., the case in the
6	above-entitled matter was submitted.)
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