1	IN THE SUPREME COURT OF THE UNITED STATES
2	x
3	GOODYEAR DUNLOP TIRES OPERATIONS, :
4	S.A., ET AL., :
5	Petitioners : No. 10-76
6	v. :
7	EDGAR D. BROWN, ET UX., :
8	CO-ADMINISTRATORS OF THE ESTATE OF:
9	JULIAN DAVID BROWN, ET AL. :
10	x
11	Washington, D.C.
12	Tuesday, January 11, 2011
13	· ·
14	The above-entitled matter came on for oral
15	argument before the Supreme Court of the United States
16	at 11:15 a.m.
17	APPEARANCES:
18	MEIR FEDER, ESQ., New York, New York; on behalf of
19	Petitioners.
20	BENJAMIN J. HORWICH, ESQ., Assistant to the Solicitor
21	General, Department of Justice, Washington, D.C.; on
22	behalf of the United States, as amicus curiae,
23	supporting Petitioners.
24	COLLYN PEDDIE, ESQ., Houston, Texas; on behalf of
25	

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1	PROCEEDINGS
2	(11:15 a.m.)
3	CHIEF JUSTICE ROBERTS: We'll hear argument
4	next in Case 10-76, Goodyear Dunlop Tires Operations v.
5	Brown.
6	Mr. Feder.
7	ORAL ARGUMENT OF MEIR FEDER
8	ON BEHALF OF THE PETITIONERS
9	MR. FEDER: Mr. Chief Justice, and may it
10	please the Court:
11	The North Carolina Court of Appeals asserted
12	jurisdiction over Petitioners in this case on claims
13	that arose from a bus accident in France that was
14	unrelated to any North Carolina contacts. Although the
15	Petitioners are located overseas and do not conduct any
16	business in North Carolina, the court held that North
17	Carolina had general jurisdiction over these defendants
18	based solely on the sale in North Carolina of a small
19	fraction of their products.
20	Under this Court's cases, the mere sale of a
21	defendant's products in a State does not permit the
22	State to reach out to assert judicial power over all of
23	that defendant's worldwide conduct. If that were
24	permissible, every significant seller of products would
25	be subject to suit everywhere on any claim arising

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- 1 anywhere.
- 2 JUSTICE GINSBURG: There's one piece of this
- 3 I don't quite comprehend. You -- there's no contest
- 4 that there is jurisdiction over the parent, right?
- 5 MR. FEDER: Yes, Your Honor. The parent
- 6 company consented to jurisdiction in North Carolina.
- 7 It's appointed an agent for service of process there.
- JUSTICE GINSBURG: So it's -- it's based on
- 9 consent?
- 10 MR. FEDER: Yes. They also have other
- 11 business that the parent does conduct in North Carolina,
- 12 but there is no objection to jurisdiction over the
- 13 parent here. This case solely concerns the Petitioners,
- 14 who are corporations from Turkey, France, and
- 15 Luxembourg.
- JUSTICE KAGAN: Do you think there is
- 17 general jurisdiction over the parent? If the consent
- 18 were not in the picture, is there? Does general
- 19 jurisdiction go beyond State of incorporation, principal
- 20 place of business?
- 21 MR. FEDER: I think that that is a hard
- 22 question, Your Honor. The short answer is I think the
- 23 answer is "no," but I think that that is probably a
- 24 close case -- again, putting aside the consent. But I
- 25 do think that general jurisdiction is about suing a Alderson Reporting Company

- 1 company -- at least in the case of corporations, is
- 2 about suing the corporation essentially where it's
- 3 located or at home. It's always fair to bring a suit
- 4 against the corporation there.
- I think that once you get beyond that, which
- 6 is a situation that would be analogous to a State's
- 7 power over a citizen or a resident of the State, I think
- 8 you run into great difficulty finding a basis for the
- 9 State to assert authority over claims completely
- 10 unrelated to any business that -- or any contacts that
- 11 the corporation has with the State.
- 12 That said, it wasn't contested here, and
- 13 there is a consent to service of process, which may or
- 14 may not create general jurisdiction. There's a
- 15 disagreement in the lower courts on that, but none of
- 16 that is contested in this case.
- 17 And without having to get to that particular
- 18 question of whether in fact it's limited to -- whether
- 19 general jurisdiction is limited to place of
- 20 incorporation or principal place of business, first of
- 21 all, there's much more directly controlling authority.
- 22 In this case, the most directly relevant cases are
- 23 Helicopteros and Consolidated Textile v. Gregory,
- 24 working in tandem.
- In Helicopteros, which was this Court's last
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- 1 corporate general jurisdiction case, the Court said that
- 2 there was no general jurisdiction based on \$4 million in
- 3 purchases in the State and some other contacts. And the
- 4 key is that -- on that point, is that the Court held
- 5 that mere purchases could not provide the basis for
- 6 general jurisdiction because the pre-International Shoe
- 7 decision in Rosenberg was controlling on that point.
- JUSTICE KENNEDY: Again, this is
- 9 preliminary, and it just goes back to Justice Ginsburg's
- 10 question. Suppose you could help me out. I assume that
- 11 there's general jurisdiction over the parent company.
- 12 Then under respondeat superior, it would be liable in
- 13 North Carolina for the -- all the acts of its agents.
- 14 MR. FEDER: I think that's a fair
- 15 assumption.
- 16 JUSTICE KENNEDY: Then why isn't it
- 17 automatically liable for all the acts of its
- 18 subsidiaries?
- 19 MR. FEDER: Well, because I think --
- JUSTICE KENNEDY: And -- and does that
- 21 get -- and does that get into what in the Federal
- 22 practice would be necessary parties?
- MR. FEDER: What -- Your Honor, I think that
- 24 really what it gets into is the difference between a
- 25 subsidiary and an agent, because a subsidiary is not Alderson Reporting Company

- 1 automatically acting as the agent of the parent company
- 2 in a way where you'd get respondeat superior. And I
- 3 think that part of what's going on in this case is that
- 4 when this does go back to North Carolina for trial or
- 5 for litigation against the parent company, I think that
- 6 under North Carolina or whatever State's or nation's
- 7 veil piercing or agency standards the North Carolina
- 8 courts will apply, the plaintiffs will have great
- 9 difficulty actually with the substantive case against
- 10 the parent company, because you would actually have to
- 11 show involvement in the actions that actually the claim
- 12 arose out of here.
- The mere general control that's inherent in
- 14 the parent-subsidiary relationship is not going to
- 15 create liability, and here -- important to remember --
- 16 we're talking about a tire manufactured in Turkey,
- 17 accident in France which Goodyear Tire and Rubber
- 18 Company -- and, now, this is outside the record, as I
- 19 understand it -- did not have any direct connection
- 20 with. Again, not relevant to the jurisdictional
- 21 question here, but I -- just for sort of setting the --
- 22 the context.
- 23 JUSTICE GINSBURG: You are met with an
- 24 argument that it's all one ball of wax. Parent and sub,
- 25 they merge; it's one enterprise. And so if the subs do Alderson Reporting Company

- 1 something any place, the parent is -- it's all part of
- 2 one thing, so -- and I think that was the principal
- 3 argument made by the Respondents.
- 4 MR. FEDER: Yes, Your Honor, and I would
- 5 say, again, when it comes to liability in Goodyear Tire
- 6 and Rubber, they'll be free to make that argument. That
- 7 argument is not properly presented here. It was never
- 8 made below.
- 9 It was never -- it was not made in the brief
- 10 in opposition to cert, so any argument for ignoring
- 11 corporate distinctions or an enterprise theory -- none
- 12 of that was made and, therefore, has been waived. I
- think that, secondly, as we indicated in our reply
- 14 brief --
- 15 JUSTICE SCALIA: We haven't resolved a whole
- 16 lot if we leave that question open, have we? You want
- 17 us to write an opinion that says, unless you -- unless
- 18 you ignore the separate corporate existence of the
- 19 subsidiary -- parenthesis, a question on which we
- 20 express no opinion, close parenthesis -- there can be no
- 21 jurisdiction in cases like this? Is that the kind of an
- 22 opinion that the world is waiting for?
- 23 MR. FEDER: Well, Your Honor, I think that
- 24 actually in -- if the Court were to write that, it would
- 25 be left with the important general jurisdiction question Alderson Reporting Company

- 1 that the court below decided incorrectly, and
- 2 incorrectly in a few ways.
- I think that, in fact, there would be
- 4 several ways for this Court to approach it, all of which
- 5 would actually help to clear up the law in this area. I
- 6 think that even if one gets past the waiver point -- and
- 7 the reason the Court shouldn't get past the waiver point
- 8 is, among other things, because it wasn't raised -- we,
- 9 of course, had no opportunity to put in evidence that,
- 10 in fact, these corporations are run separately,
- 11 independent decision making, observation of corporate
- 12 form, and all the other things that would normally go
- 13 into it.
- 14 If you want to reach it, first of all, there
- 15 is -- even on the standards articulated in the
- 16 Respondents' brief, there's nothing in the record to
- 17 support it, and --
- 18 JUSTICE SCALIA: I thought you were saying
- 19 we should not even -- not even address the situation
- 20 where there is no special basis for ignoring the -- the
- 21 separate incorporation. I -- of course, we should not
- 22 get into questions of whether, in fact, the subsidiary
- 23 was a sham --
- MR. FEDER: Oh.
- JUSTICE SCALIA: -- that there was control,
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- 1 all of that, but the simple question of whether, when
- 2 you have a totally owned subsidiary, its actions are
- 3 your actions.
- 4 MR. FEDER: That, I think, the Court --
- JUSTICE SCALIA: Don't we have to reach
- 6 that?
- 7 MR. FEDER: You certainly do have to reach
- 8 that, Your Honor. The Court has reached it and decided
- 9 it before, and has said that the mere parent-subsidiary
- 10 relationship does not create attribution one to the
- 11 other, most recently in Keeton, in which the Court cited
- 12 some of its older cases for that very proposition.
- 13 And I think that in -- another way to look
- 14 at it is, even if you wanted to treat the sales in North
- 15 Carolina as if they were made there directly by these
- 16 Petitioners -- in other words, even if you picked --
- 17 assuming arguendo that you could attribute those sales
- 18 directly to the Petitioners and not, as the court below
- 19 found, treat them as not having been caused by them,
- 20 that does not come close to satisfying what is required
- 21 for general jurisdiction.
- 22 And, in particular, in going back to
- 23 Helicopteros and Gregory, just as the Rosenberg case was
- 24 binding in Helicopteros on the point that mere purchases
- 25 are not enough for general jurisdiction, here
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- 1 Consolidated Textile v. Gregory is binding on the flip
- 2 side of that, which is that mere sales in the State are
- 3 not enough for general jurisdiction.
- 4 Even if Gregory weren't binding, I think
- 5 that you could look at Helicopteros and say there is no
- 6 real basis for a distinction between mere purchases and
- 7 mere sales. But, in fact, there is a case directly on
- 8 point, and as well as a lot of case law from the time of
- 9 Gregory more generally requiring much more substantial
- 10 -- a substantial physical presence in the State. In
- 11 terms of -- I think no personal jurisdiction argument
- 12 should go by without talking about International Shoe,
- 13 and if you look at just the International Shoe line of
- 14 cases, even aside from this issue of Gregory being
- 15 binding, the decision below is equally, if not more,
- 16 untenable.
- 17 International Shoe itself recognizes -- in
- 18 sort of carving out an area for what eventually came to
- 19 be called general jurisdiction, it recognizes the
- 20 extraordinary nature of the State power that we're
- 21 talking about when we talk about general jurisdiction,
- 22 which is this power to reach out and assert State power
- 23 over things that by hypothesis have no relationship to
- 24 contacts with the State. International Shoe uses the
- 25 language saying that you need continuous corporate

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- 1 operations within the State and says that these
- 2 continuous corporate operations have to be so
- 3 substantial and of such a nature as to justify this
- 4 jurisdiction over conduct that is entirely unconnected
- 5 to the State.
- 6 The one case where the Court has upheld
- 7 general jurisdiction since International Shoe over a
- 8 corporation is Perkins, which was a case that involved
- 9 the corporation's principal place of business. And in
- 10 Helicopteros, following Perkins, when the Court
- 11 articulated the standard there, the Court said that
- 12 we're looking to see whether there are contacts of the
- 13 sort that we found to exist in Perkins. So --
- 14 JUSTICE GINSBURG: But Perkins is kind of an
- 15 unusual case, because it was a company that at the time
- 16 was doing business only in Ohio. It was a Philippine
- 17 mining company, and it was World War II, so the mines
- 18 couldn't be run. So to the extent that the corporation
- 19 was existing anywhere, it was in Ohio.
- MR. FEDER: That's right, Your Honor, and I
- 21 guess what I would say about that is that it's
- 22 unusual -- those are unusual facts, but not unusual in
- 23 terms of what is required to be able to assert general
- 24 jurisdiction.
- The Court in Keeton later described Perkins
  Alderson Reporting Company

- 1 as essentially involving the corporation's principal
- 2 place of business, and I think that's right because in
- 3 order for the State to be able to assert jurisdiction
- 4 over things unrelated to the State, you need that type
- 5 of relationship equivalent to a citizen or resident that
- 6 gives a State authority over the corporation's actions
- 7 worldwide and not just -- because this goes far beyond
- 8 specific jurisdiction where the State has a manifest
- 9 interest in an accident or a claim that arose in the
- 10 State or connected to the State.
- 11 Helicopteros, just to circle back on that
- 12 point, does say we're looking for contacts of the sort
- 13 found to exist in Perkins, and -- and as we said, said
- 14 that even \$4 million in purchases were not enough. I
- 15 think that all of those cases help to make it clear why
- 16 the mere sales here are not enough.
- 17 And if there are no further questions, I'd
- 18 like to reserve the remainder of my time for rebuttal.
- 19 CHIEF JUSTICE ROBERTS: Thank you, counsel.
- Mr. Horwich.
- 21 ORAL ARGUMENT OF BENJAMIN J. HORWICH,
- ON BEHALF OF THE UNITED STATES, AS AMICUS CURIAE,
- 23 SUPPORTING THE PETITIONERS
- MR. HORWICH: Mr. Chief Justice, and may it
- 25 please the Court:

- 1 The North Carolina State court was wrong to 2 assert general personal jurisdiction over Petitioners, 3 extending potentially to any claim against them arising out of any conduct of theirs anywhere in the world, and 4 5 there are several ways to see why that's wrong. Even if б the Court were to accept the proposition that such 7 contacts with North Carolina as there are in the record 8 should be attributed to Petitioners, those contacts 9 still don't rise to the level of what this Court has --10 has demanded in terms of continuous and systematic 11 contacts. 12 And even setting those more -- those more precedential tests aside, I think there's also a -- the 13 14 result of the North Carolina court's decision is that 15 the jurisdictional consequences here would be quite 16 disproportionate to the contacts that -- that -- on 17 which it would be based. 18 So if I can, I quess, turn for a moment to 19 the continuous and systematic contacts proposition, 20 which this Court has certainly not elaborated in its 21 case law, but I think it -- it would be -- I think it's 22 useful to speak of, of what exactly the Court was trying 23 to get at. And what we think the Court was trying to 24 get at, particularly by -- as my friend referred to,
- 25 particularly by its reference in Helicopteros to Perkins
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- 1 as -- as being sort of a benchmark for what continuous
- 2 and systematic contacts are, I think it requires seeing
- 3 an active volitional undertaking by the -- by the
- 4 defendant. It can't be based on the contact -- conduct
- of third parties. Obviously, the continuous concept of
- 6 existing without interruption.
- 7 And with respect to systematic, we think
- 8 that that means there needs to be a plurality of
- 9 contacts, they have to be of different kinds or
- 10 qualities in a sense of perhaps employment as well as
- 11 contractual as well as regulatory as well as property as
- 12 well as sales or purchases, and that those contacts
- 13 together have to have some interrelationship that
- 14 results in something that might be thought of as more
- 15 than the sum of their parts. And --
- JUSTICE GINSBURG: Well, suppose it's just a
- 17 corporation that's registered to do business in North
- 18 Carolina, and the connection with that registration --
- 19 it says: I appoint so-and-so my agent to receive
- 20 process for any and all claims.
- 21 MR. HORWICH: Well, as -- as Mr. Feder
- 22 referred -- referred to, there -- there is a division in
- 23 the lower courts on whether that sort of a consent is
- 24 effective to permit the State general jurisdiction
- 25 over -- over the consenting party. That -- but the Alderson Reporting Company

- 1 Court has, I -- I think, been -- been fairly clear in --
- 2 in setting notions of -- of formal consent to one side
- 3 when considering contacts-based cases. And so, in part,
- 4 this case, therefore, doesn't present that question, and
- 5 we don't have a position, as the Government, on that
- 6 today with respect to whether that's effective.
- 7 But it certainly is the case that simply
- 8 because one entity in a Goodyear family of -- of related
- 9 corporations has consented, that somehow that consent
- 10 should extend to the entire enterprise. And if I can
- 11 maybe take a minute to talk about where we think the
- 12 Respondents' view of -- of this enterprise jurisdiction
- 13 goes wrong, because we touched on it only -- only
- 14 briefly in our brief, which was, of course, filed before
- 15 theirs.
- When -- when a court confronts a -- a set of
- 17 -- a corporate family, if you will, there seem to be two
- 18 principles that can be usefully applied in determining
- 19 the jurisdictional consequences of that relationship.
- 20 One is the alter ego concept, which certainly doesn't
- 21 seem to be supported on anything in the record here in
- 22 the sense that there's -- that there's no sound
- 23 suggestion in the record that -- that the European
- 24 entities were somehow a sham, that they didn't have any
- 25 separate existence, they were undercapitalized or any of Alderson Reporting Company

- 1 the other indicia that you would see.
- 2 And so, to your point, Justice Scalia, I
- 3 think it is certainly something the Court could say that
- 4 the record here is no basis for that kind of a decision
- 5 to disregard the corporate separateness.
- Then the other concept is the agency
- 7 concept. And I think that may be what -- what
- 8 Respondents are placing somewhat greater reliance on.
- 9 And we certainly -- we certainly have the view that an
- 10 agent, acting on behalf of a principal within the scope
- 11 of its agency, can take actions that create contacts
- 12 with the jurisdiction that are, by virtue of the agency
- 13 relationship, attributable back to the principal.
- 14 But there are -- there are two important
- 15 things to realize, that that -- that that proposition is
- 16 somewhat modest in that, first of all, simply because
- 17 a -- a parent owns a subsidiary does not mean the
- 18 subsidiary is the parent's agent. Plenty of parents
- 19 simply own subsidiaries as property or for various
- 20 business reasons. It doesn't mean the subsidiary is
- 21 automatically always acting as the agent of the parent
- 22 for all purposes or any at all.
- 23 And -- and the second thing to be cautious
- 24 about in applying the agency principle is that the
- 25 agency relationship only runs one way; that is to say
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- 1 the agent can do something that creates a contact on
- 2 behalf of the principal, but that's not to say that
- 3 everything the principal does in its independent
- 4 activities says anything about what contacts its agent
- 5 has. That's exactly backwards.
- In the -- and so, here the -- the allegation
- 7 actually in the complaint is that the European companies
- 8 are the agents of the parent -- of the -- of the
- 9 Goodyear U.S. entity. That's -- that's paragraph 16 of
- 10 the complaint at page 112 of -- 122 of the joint
- 11 appendix. So it -- it might be -- it -- there might be
- 12 an argument that something that the European
- 13 subsidiaries have done, say, in Turkey is something that
- 14 could be chargeable to the U.S. parent in a case where
- 15 it was relevant what the parent's relationship with
- 16 Turkey was.
- 17 But what Respondents are asking for here, in
- 18 effect, turns that completely around and suggests
- 19 implicitly that the -- the -- this -- the parent of the
- 20 Goodyear organization in the United States was somehow
- 21 doing the bidding, acting at the direction and control
- 22 of the European companies as principals.
- JUSTICE KAGAN: Mr. Horwich --
- MR. HORWICH: Yes.
- JUSTICE KAGAN: Could I ask you a different Alderson Reporting Company

- 1 kind of question? And I apologize in advance for taking
- 2 you a little bit far afield. But -- but I wanted to ask
- 3 you about a particular sentence in your brief that seems
- 4 to have some relevance to -- not the general
- 5 jurisdiction question but some relevance to specific
- 6 jurisdiction. So this is on page 20.
- 7 You say: "If mere 'purposeful availment' of
- 8 commercial opportunities in a particular State" -- which
- 9 is, of course, the test for specific jurisdiction -- if
- 10 that purposeful availment "were sufficient to subject an
- 11 enterprise to the general jurisdiction of that State's
- 12 courts, a corporation that sold its goods to an
- independent distributor, intending that they be resold
- in all 50 States, could potentially be brought to
- 15 judgment in any State, on any claim against it."
- So I -- I take that -- I understand that to
- 17 read that you think that it is purposeful availment that
- 18 subjects a company to specific jurisdiction -- not to
- 19 general jurisdiction, but to specific jurisdiction -- if
- 20 a corporation sold its goods to an independent
- 21 distributor intending that they be resold in all 50
- 22 States. Am I reading that correctly?
- 23 MR. HORWICH: No, I don't -- I don't believe
- 24 so, in the sense that I -- I think we were sort of
- 25 assuming arguendo a concept of purposeful availment Alderson Reporting Company

- 1 that -- that would be willing to attribute those --
- 2 those contacts for purposes of a specific-jurisdiction
- 3 -- excuse me -- for purposes of a specific-jurisdiction
- 4 analysis. I don't know if that helps with the -- with
- 5 the answer, but --
- 6 JUSTICE KAGAN: No, I was hoping that the
- 7 answer would be "yes," actually.
- 8 (Laughter.)
- 9 JUSTICE KAGAN: But --
- 10 CHIEF JUSTICE ROBERTS: There's at least one
- 11 other person in the courtroom who was hoping that, too.
- 12 (Laughter.)
- 13 JUSTICE KENNEDY: I -- I was wondering why
- 14 -- why is your interest in this case so much greater
- 15 than it would be in -- in the other case, and this --
- 16 I've been wondering that at the outset, and this
- 17 sentence that Justice Kagan points out brings that into
- 18 full focus.
- MR. HORWICH: Well, Justice Kennedy, let
- 20 me -- let me put it this way. It -- it -- the
- 21 difference in our interest in the two cases is at bottom
- 22 just a difference in magnitude, but we think it's a
- 23 fairly significant difference in magnitude in the
- 24 sense -- in the sense that the jurisdictional
- 25 consequences of an assertion of general jurisdiction are Alderson Reporting Company

- 1 that -- with that one determination, it is the case that
- 2 that defendant can -- could potentially be brought to
- 3 judgment in a forum for all -- for claims arising from
- 4 any of its conduct anywhere in the world.
- 5 And specific jurisdiction by construction,
- 6 by its very nature, is only going to be a determination
- 7 -- whatever the contours of the specific rules that are
- 8 used, it's going to never be more than a determination
- 9 that jurisdiction in a claim, considering the
- 10 relationship between the defendant, the forum, and the
- 11 particular litigation, gives rise to jurisdiction.
- 12 JUSTICE BREYER: So, why -- why -- I mean,
- 13 you've heard the argument in the last case. I mean, it
- 14 seemed that potentially can subject the smallest
- 15 manufacturer to liability throughout the world because
- 16 it uses the Internet. And -- and that -- I don't know
- 17 what the foreign policy -- you've heard treaties
- 18 discussed, et cetera. Do you want to say anything?
- 19 CHIEF JUSTICE ROBERTS: And briefly.
- MR. HORWICH: Yes. Yes, Mr. Chief Justice.
- 21 (Laughter.)
- 22 MR. HORWICH: The -- the brief answer
- 23 is that the Internet questions, in particular, are so
- 24 complicated and, indeed, so potentially far-reaching
- 25 that in a case that presented them, our interest might Alderson Reporting Company

- 1 very well be different.
- 2 (Laughter.)
- 3 CHIEF JUSTICE ROBERTS: Saved by the bell.
- 4 (Laughter.)
- 5 CHIEF JUSTICE ROBERTS: Ms. Peddie.
- 6 ORAL ARGUMENT OF COLLYN PEDDIE
- 7 ON BEHALF OF THE RESPONDENTS
- MS. PEDDIE: Mr. Chief Justice, and may it
- 9 please the Court:
- 10 The Goodyear Petitioners ask this Court to
- 11 assist them in avoiding the jurisdiction of the North
- 12 Carolina court. This Court should decline for two
- 13 reasons. First, there's nothing new here. Ample
- 14 evidence supports North Carolina's exercise of general
- 15 jurisdiction over the Petitioners under very well
- 16 established general jurisdiction and due process
- 17 principles. And --
- 18 JUSTICE GINSBURG: I think there's something
- 19 very new about this, because general jurisdiction is
- 20 all-purpose jurisdiction, and for a corporation it's
- 21 sort of like a residence for an individual. I think Mr.
- 22 Feder was making that point.
- 23 What's -- what's troubling here is that the
- 24 North Carolina court seems to be blending the two
- 25 together: specific jurisdiction based on the claim
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- 1 arising in the forum, and general jurisdiction with a
- 2 claim that has nothing to do with the forum, and its
- 3 insertion of jurisdiction over any and all claims. And
- 4 I do not know of any case post-International Shoe -- the
- 5 only thing that we have is Perkins v. Benguet.
- 6 Is there -- is there any case in which this
- 7 Court has sanctioned the assertion of general
- 8 jurisdiction based on some prior -- some product coming
- 9 into the State, not the product that caused the injury
- 10 abroad? I don't know of any case.
- MS. PEDDIE: Your Honor, if that's -- if
- 12 that's the characterization of the case and that's all
- 13 you had, then there wouldn't be a case. Our argument
- 14 here, and I think the -- what the -- what the evidence
- 15 in this case bears out is that is not the case here.
- 16 The characterization of the case by both the Government
- 17 and by Petitioners is that there is simply mere sales
- 18 here, and they ignore how the sales occurred.
- 19 Our focus is on how the sales occurred, and
- 20 I think Justice Scalia made a -- a correct distinction,
- 21 that what we're doing here is not talking about
- 22 attribution, that -- that sort of thing, and -- and
- 23 simply saying that because someone down the line sold
- them, without any other discussion, there's general
- 25 jurisdiction. That's not correct.

- JUSTICE KENNEDY: Well, then, you don't
- defend the reasoning of the State supreme court?
- 3 MS. PEDDIE: I think that the -- the State
- 4 supreme court did a lot of things right, but, as we say
- 5 in the brief, we think that they took a detour in using
- 6 inappropriate stream-of-commerce language that isn't
- 7 there. It's not that they didn't have help doing it.
- 8 For example, the Petitioners have changed their tune
- 9 here. They talked routinely about purposeful availment
- 10 in their briefs to the court of appeals and to the
- 11 supreme court. For example, page 327 of their brief
- 12 requests that they -- that they find purposeful
- 13 availment here. So the court had a lot of help.
- 14 But that part of the opinion we don't really
- 15 think is appropriate, nor is it necessary. The point
- 16 that I'm making about there being nothing new is that
- 17 there is ample evidence in this case to apply to the
- 18 general jurisdiction principles that were used in
- 19 Perkins and used in Hall and that can cause this Court
- 20 to reach the correct result.
- 21 As the Court is well aware, this Court can
- 22 affirm on any basis supported in the record, and we
- 23 believe that there is a basis in well-established rules
- 24 supported in the record, whether it agrees with the
- 25 court of appeals' decision or not. It's not bound by Alderson Reporting Company

- 1 that analysis, nor are we.
- 2 I'd like to address the question of waiver
- 3 because it's -- it's come up. I think that the
- 4 Solicitor General has correctly --
- JUSTICE SCALIA: You -- you've got -- you
- 6 have me in suspense.
- 7 (Laughter.)
- 8 JUSTICE SCALIA: Tell me why it is that the
- 9 general principles of jurisdiction do apply here, and
- 10 then we can get to waiver.
- MS. PEDDIE: Okay, okay.
- 12 JUSTICE SCALIA: Don't leave me dangling
- 13 like that.
- 14 (Laughter.)
- MS. PEDDIE: Your Honor, as far back as --
- 16 as Burger King, this Court recognized that commercial
- 17 activities, when they're conducted on behalf of an
- 18 out-of-State party, can sometimes be attributed. Even
- 19 the Solicitor General agrees that there's a different
- 20 jurisdictional analysis that may apply over and above
- 21 something like Cannon or Rush v. Savchuk, if there are
- 22 case-specific interactions between particular affiliated
- 23 corporations as you have here.
- JUSTICE SCALIA: Excuse me. Case-specific
- 25 is not talking about general jurisdiction.

- 1 MS. PEDDIE: No, no, but --
- 2 JUSTICE SCALIA: It's talking about specific
- 3 jurisdiction.
- 4 MS. PEDDIE: But what they're talking about
- 5 are that there are evidentiary case-specific
- 6 interactions between the parties that would lead to the
- 7 conclusion of general jurisdiction and thus subjecting
- 8 them to suit on -- in dispute-blind -- or dispute-blind
- 9 jurisdiction.
- 10 In addition, this Court has used in a
- 11 variety of other areas a unitary business principle for
- 12 local taxation in Mobil. And even as far back as 15
- 13 years ago, the Hague Convention, our trade partners that
- 14 are complained of here, talked about the fact that
- 15 using -- attributing contacts or counting contacts that
- 16 were based on conduct performed by others was
- 17 appropriate and was not really a sticking point and that
- 18 they were perfectly content to leave that to other
- 19 cases.
- JUSTICE SCALIA: Okay. Just give me a list
- 21 of -- of what -- what factors you think support general
- 22 jurisdiction here.
- MS. PEDDIE: In terms of the --
- JUSTICE SCALIA: One, two, three, four --
- MS. PEDDIE: Okay.

- 1 JUSTICE SCALIA: Which ones are they?
- MS. PEDDIE: Okay. In this case I think
- 3 it's the part -- and, frankly, we'll use the Solicitor
- 4 General's definition of a system, from their brief at --
- 5 at page 23, where they talked about conduct that forms a
- 6 system and aggregation of objects united by some form of
- 7 regular activity or interdependent.
- 8 Using their definition, I think you ask the
- 9 question: Is a defendant part of a continuous business
- 10 system or enterprise that conducts general business
- 11 activities in the forum? And the first question you
- 12 asked is: Is it a single system or an enterprise? This
- 13 Court has said in Mobil Oil that a unitary business is
- 14 identified by --
- 15 JUSTICE GINSBURG: Ms. Peddie, you haven't
- 16 -- you don't have anything in the record about this
- 17 being a unitary business. Unless you're trying to
- 18 present some sweeping piercing-the-corporate-veil
- 19 theory, there is nothing here that says that these
- 20 aren't corporations that are acting separately, that
- 21 have their own officers, have their own employees, keep
- their own books. There's nothing to show that it's all
- 23 part of one enterprise.
- MS. PEDDIE: I disagree with that, Your
- 25 Honor. Under the sort of traditional measures that the Alderson Reporting Company

- 1 Court has used in terms of -- of ownership, et cetera, I
- 2 don't think there is anything. But even the Solicitor
- 3 General concedes, at page 27 of their brief, that the
- 4 court of appeals effectively treated the parent and
- 5 subsidiary corporations as an undifferentiated entity
- 6 for distribution of the Petitioners' product, and that
- 7 was our position below. And I think if you look at --
- 8 JUSTICE SCALIA: Well, the question isn't
- 9 whether they did that; the question is whether it was
- 10 right to do that.
- MS. PEDDIE: That -- that's --
- 12 JUSTICE SCALIA: I mean, you don't make your
- 13 point by saying that the court of appeals made a
- 14 mistake.
- 15 MS. PEDDIE: No. no. But I think -- I think
- 16 what -- what -- at least as I understood her question --
- 17 and I may have misunderstood her question -- was is
- 18 there anything in the record where -- where they had
- 19 done that. I think the -- the evidence in the record is
- 20 part and parcel of the fact findings that the trial
- 21 court made about the existence of a highly integrated
- 22 supply and distribution system in the -- that operates
- 23 in the State.
- The number of tires, for example, that
- 25 Petitioners manufactured in North Carolina was Alderson Reporting Company

- 1 determined solely by orders that were solicited in North
- 2 Carolina by Goodyear and forecasts made by Goodyear
- 3 based on data that they gathered there. On the
- 4 distribution side, the testimony from Mr. Kramer was
- 5 that they don't send tires for distribution; they don't
- 6 do any distribution. It was an internal distribution
- 7 system.
- JUSTICE SCALIA: Well, I mean, those
- 9 arrangements could exist with a lot of distributors.
- 10 You don't want to ship a distributor stuff that the
- 11 distributor is not going to be using.
- 12 MS. PEDDIE: Your Honor, I think --
- JUSTICE SCALIA: I mean, my goodness. The
- 14 fact that you coordinate with your distributor how much
- 15 of your product you're going to ship to him doesn't --
- 16 doesn't really show --
- MS. PEDDIE: Your Honor --
- 18 JUSTICE SCALIA: -- that you are a unitary
- 19 business with your distributor.
- 20 MS. PEDDIE: Your Honor, I think this goes
- 21 way beyond coordination. For example, Mr. Kramer
- 22 testified that, quote, "Their job is just to be given a
- 23 forecast or a ticket, and then they just build widgets."
- 24 That's all they do. They were complete -- their --
- 25 their solicitation and their production was solely based
  Alderson Reporting Company

- 1 on the control and the requests from the parent. The
- 2 requests, as he testified, quote, "emanate from the
- 3 requests that Goodyear would make." And the production
- 4 and supply system was the same for all of them.
- 5 On the distribution side, the testimony was
- 6 even more -- I think was even more limited in that he
- 7 said the plant in Turkey doesn't control any
- 8 distribution. They wouldn't send anything into United
- 9 States without the approval and sanction of the parent,
- 10 and anything else, quote, "just doesn't happen."
- 11 So this was a closed system. It was
- 12 dominated by -- by the Goodyear parent, and there wasn't
- 13 a question of coordination. It was they didn't produce
- 14 unless the parent told them to; they sent it where the
- 15 parent said they should send it to; and when it got to
- 16 the United States, the testimony is, is that the parent
- 17 controlled it at that point.
- 18 JUSTICE GINSBURG: But the "it" wasn't the
- 19 product that caused the injury here. As I understand
- 20 the case, the tire that allegedly caused this bus to
- 21 turn over was designed for the European and Asian
- 22 markets, not the U.S. market.
- 23 MS. PEDDIE: The Goodyear Regional RHS tire
- 24 that was on the bus and that failed was not generally
- 25 designed for the U.S. market, although it was brought
  Alderson Reporting Company

- 1 over here under special circumstances.
- The tires we're talking about are of three
- 3 kinds. There are passenger and bus tires that you would
- 4 ordinarily see that would be sold individually;
- 5 second -- a second category are tires that were sold as
- 6 original equipment on cars and buses; and, third, and
- 7 the predominant type that were sent to the United
- 8 States, were specialty tires for so-called low boy
- 9 trailers which were horse trailers, boat trailers, of
- 10 which there are in many North Carolina.
- JUSTICE GINSBURG: But why did -- why should
- 12 -- Mr. Feder brought up the Helicopteros, and he said in
- that case, it was purchases; and in this case, it's
- 14 sales. And in the purchaser case, certainly we said no,
- 15 there's no general jurisdiction. Why should it be any
- 16 different?
- MS. PEDDIE: I think the distinction between
- 18 Hall and Perkins is not so much purchases and sales,
- 19 it's which contacts do you count? And the language that
- 20 is sort of forgotten in Hall is the language that they
- 21 talk about -- you needed to have the same general
- 22 business contacts that you had in Perkins.
- 23 I think the difference is, is that purchases
- 24 are sort of a one-shot deal. They may be supplies,
- 25 but -- but they're more irregular, but the core business
  Alderson Reporting Company

- 1 is selling -- is selling items, and so sales count more
- 2 than purchases.
- I apologize for interrupting.
- 4 JUSTICE GINSBURG: In Perkins, it was the
- 5 home of the corporation. There was no other at the
- 6 time, because their permanent home was not functioning
- 7 because of the war. So there was only one place.
- 8 MS. PEDDIE: Your Honor, I think the facts
- 9 of that case are -- is that one officer of the
- 10 corporation came home, and he was -- he maintained
- 11 two --
- 12 JUSTICE GINSBURG: He was the president of
- 13 the corporation.
- 14 MS. PEDDIE: He was the president of the
- 15 corporation.
- JUSTICE GINSBURG: And whatever business it
- 17 was doing, it was doing from that office in Ohio.
- 18 MS. PEDDIE: Right. And the Court
- 19 characterized that -- I think there's a difference
- 20 between what the Court did in Perkins and how it was
- 21 described in Keeton. That's the language that the
- 22 Petitioners have used.
- 23 But the language that the Court used in
- 24 Perkins was that the decision was based on the
- 25 supervisory activities; not the fact that it was the Alderson Reporting Company

- 1 principal place of business, but the fact that the
- 2 supervision over, for example, the renovation of the
- 3 factory after the war took place in the forum.
- 4 JUSTICE GINSBURG: May I ask you about the
- 5 ramifications of your theory that there's general
- 6 jurisdiction in North Carolina over these three
- 7 subsidiaries?
- 8 Suppose that one of the children on a bus
- 9 was a Canadian citizen, was going home to Canada. And
- 10 had the idea that juries in North Carolina are more
- 11 liberal than in France. Well, there wouldn't be any
- 12 jury in France, but -- so could the Canadian come and
- 13 sue because there's general jurisdiction in the United
- 14 States?
- 15 MS. PEDDIE: I think it would depend upon a
- 16 lot of factors, Your Honor. Are you assuming that
- 17 there's -- it's established that there's general
- 18 jurisdiction there, or are we in the same fact pattern
- 19 as here?
- JUSTICE GINSBURG: No, you -- we're talking
- 21 about Goodyear and these three subsidiaries. You say
- 22 there's general jurisdiction in North Carolina. Those
- 23 companies can be sued on any and all claims.
- So my question is: Could anyone on that bus
- 25 that turned over in Paris come to North Carolina to Alderson Reporting Company

- 1 bring the wrongful death, or whatever, suit?
- MS. PEDDIE: I think -- I think, in theory,
- 3 they could; I think, in practice, the case would never
- 4 stay there because of the controls that we talked about
- on forum shopping; about, particularly, forum non
- 6 conveniens. One of the suggestions, for example, that
- 7 we made is one may want to consider for due -- for due
- 8 process purposes the residence of the plaintiff. Is it
- 9 fair, for example, to have a case in North Carolina
- 10 where the plaintiff doesn't live in North Carolina, as
- 11 they do here, but lives in Canada?
- 12 So that's -- that's one limitation. And as
- 13 this Court said in the Sinochem case, you can look at
- 14 the forum non conveniens issue before you look at the
- 15 jurisdictional issue.
- JUSTICE KENNEDY: Well, that's an -- that's
- 17 an odd way to think about general jurisdiction. General
- 18 jurisdiction is principally status -- your residence,
- 19 the principal place of business, the place of
- 20 incorporation. And this -- these factors that you're
- 21 mentioning are -- in fact, some of the factors you
- 22 mention in the brief are quite different than that.
- 23 MS. PEDDIE: Your Honor, I think if -- if
- 24 the limitation -- if the Court's view is basically the
- 25 Petitioners', that you are limited to principal place of Alderson Reporting Company

- 1 business, State of incorporation, and physical presence,
- 2 which we don't think is the state of the law, and,
- 3 frankly, if it were the state of the law, then we would
- 4 have a Hague Convention now and it wouldn't have taken
- 5 20 years to negotiate.
- 6 If -- if that's the position that the Court
- 7 is taking, then I don't think that -- you know, that
- 8 this case represents something different. I think that
- 9 the state of the law is that -- or at least the
- 10 professed state of the law is that it is based on
- 11 continuous and systematic contacts.
- 12 JUSTICE SOTOMAYOR: Ms. Peddie, let's assume
- 13 that you're right, that on some level that some -- that
- 14 it's not just the place of incorporation or the
- 15 principal place of business, that it could be created by
- 16 something more. The only something more here is
- 17 Goodyear USA, so your adversary is right that what
- 18 you're asking us to do is sort of a reverse
- 19 principal-agent.
- You're saying that the subsidiary has used
- 21 the principal, its owner, as its agent. That's
- 22 really -- that's the core problem with your argument,
- 23 isn't it?
- MS. PEDDIE: Your --
- JUSTICE SOTOMAYOR: Because without the Alderson Reporting Company

- 1 Goodyear USA activities, there's no other activity by
- 2 the foreign corporations.
- 3 MS. PEDDIE: Your Honor, I think what --
- 4 what we're talking about is not so much attribution as
- 5 more of a merger or a joint activity. What we're saying
- 6 is that there is a system, by the Solicitor General's
- 7 own definition, the kind of interdependent relationship
- 8 that the Solicitor General --
- 9 JUSTICE SOTOMAYOR: Does -- do any of these
- 10 companies, the Goodyear Turkey Company, the others -- do
- 11 any of them sell the tires directly to Goodyear USA for
- 12 distribution to the United States? As I understand it,
- 13 these tires were sold to other entities, foreign
- 14 entities, who then sold them to the U.S.
- 15 MS. PEDDIE: That's -- that's not borne out
- 16 by the record. That -- it was represented by the
- 17 Petitioners. We put a footnote in the brief that the
- 18 citations that they give do not bear that out. There's
- 19 nothing in the record -- and we've read it twice since
- 20 then -- that indicates that they were sold. And they
- 21 have backed off on that in their reply brief.
- 22 Instead, the -- there were three methods of
- 23 distribution. They are discussed at page 265 of the
- 24 brief. Items were either sent directly from the factory
- 25 to the buyers that were identified by Goodyear. They Alderson Reporting Company

- 1 were either then sent to Goodyear that took ownership or
- 2 took possession of them when they arrived in the United
- 3 States. They were put in warehouses and sold outside of
- 4 those, but there were several distribution methods.
- 5 JUSTICE SCALIA: At page 265 of the brief?
- 6 I didn't read that many pages.
- 7 MS. PEDDIE: No, no. Excuse me. Page 265
- 8 of the Joint Appendix. I'm sorry, Your Honor.
- JUSTICE KAGAN: Ms. Peddie, this is just a
- 10 -- this is an I'm-just-curious question: Why do you
- 11 care? You have Goodyear USA, which has consented to
- 12 jurisdiction. Why does it make a difference to get
- these other companies in the North Carolina courts?
- 14 Does North Carolina not make Goodyear USA substantively
- 15 liable for this accident?
- 16 MS. PEDDIE: Your Honor, North Carolina has
- 17 particularly Draconian requirements for piercing the
- 18 corporate veil and alter eqo, some of which Petitioners
- 19 refer to. For example, the proximate causation of the
- 20 wrong has to be related to the domination and control,
- 21 and so ideally it would be great if we could go back and
- 22 simply deal with them and let them collect from their --
- JUSTICE KAGAN: So -- but what you're
- 24 saying, then, is that North Carolina treats the parent
- 25 and the subs very differently as a matter of substantive Alderson Reporting Company

- 1 law, but you would want identical treatment as a matter
- 2 of jurisdiction?
- MS. PEDDIE: Right. They have very -- in
- 4 most States, frankly, there's a -- a lesser requirement
- 5 for the exercise of jurisdiction, merely allowing the
- 6 suit to go forward, than there is for actual imputation
- 7 of liability or imposition of liability, and so North
- 8 Carolina, I think, is a very good example of that.
- 9 They have a fairly liberal requirement or
- 10 state of the law that we've cited in the Manley case,
- 11 where general personal jurisdiction exists over a
- 12 foreign corporation where it is controlled by or
- 13 controls a local corporation. And that's the Wyatt
- 14 Confectionary case that we cited in the -- excuse me --
- 15 the Chocolate Confectionary case cited in the Manley
- 16 case.
- 17 JUSTICE SOTOMAYOR: I'm not sure that that
- 18 answered -- I understood you to be saying that,
- 19 substantively, they might not be liable for the defect
- 20 that caused the accident. Is that it?
- 21 MS. PEDDIE: Yes. That -- I mean, we -- I
- 22 think you have to understand that this case is at a very
- 23 embryonic state. We've done no discovery in this case.
- 24 This was a -- an appeal, an interlocutory appeal from
- 25 the denial of a motion to dismiss, and so there's been Alderson Reporting Company

- 1 one deposition on a very limited jurisdictional issue.
- 2 So we've not had an opportunity to develop the facts.
- 3 We hope we will be able to develop those
- 4 facts, but what we're faced with here is a situation
- 5 where North Carolina would permit the exercise of
- 6 jurisdiction under its well-established law on general
- 7 personal jurisdiction, but when it comes to the
- 8 imposition of liability for substantive purposes, that
- 9 may be a much, much -- much tougher sledding. And so in
- 10 order to preserve the interests of our client, we've --
- 11 we've gone down this road as well.
- 12 JUSTICE GINSBURG: Do you have any case law
- 13 that supports your position, which, I take it -- and
- 14 correct me if I've got it wrong -- that a subsidiary is
- 15 subject to jurisdiction wherever the parent is, so long
- 16 as some products made by the subsidiary are shipped by
- 17 the parent to the -- to buyers in the forum State?
- 18 MS. PEDDIE: No, Your Honor, because that's
- 19 not our position here. Our position is that if you
- 20 participate in this kind -- not a general one, but in
- 21 this kind of very tightly controlled system,
- 22 distribution and supply system, then there is general
- 23 jurisdiction in the forum over the foreign subsidiary
- 24 that participates in this. But simply generally having
- 25 a parent-subsidiary relationship and shipping goods into Alderson Reporting Company

- 1 the forum, that's not what we're contending. And,
- 2 frankly, I don't think that that would be a situation in
- 3 which general jurisdiction would apply.
- 4 JUSTICE GINSBURG: I see nothing in the
- 5 North Carolina court's opinion that explains that this
- 6 is the -- this is a corporation where we can obliterate
- 7 the distinction between parent and sub.
- 8 MS. PEDDIE: Your Honor, they do talk
- 9 repeatedly about the existence of this highly integrated
- 10 distribution system. I think it might be helpful to
- 11 sort of flip it over and say what would happen if we
- 12 adopted the Petitioners' view that you ignore the
- 13 system, and all you look at is a -- is a few sales. I
- 14 think that then you would end up with a situation that
- 15 would be unfair to the State of North Carolina in terms
- 16 of providing a forum for its residents.
- 17 For example, if I may give a hypothetical,
- 18 if you have a -- not a manufacturing plant in Turkey,
- 19 but, let's say, in China that is producing a massive
- 20 amount of tires for importation into the United States,
- 21 thousands of tires, in this same distribution system.
- 22 Based on their view that it has to be principal place of
- 23 business, State of incorporation, and that mere sales
- 24 are not -- don't count, and it has the same jurisdiction
- 25 system, then even that -- that producer -- and, frankly, Alderson Reporting Company

- 1 Goodyear is one of those producers -- wouldn't be liable
- 2 in North Carolina if the injury occurred someplace else.
- JUSTICE GINSBURG: There's -- there's a --
- 4 you open your brief saying something to the effect of
- 5 this case is about outsourcing, jobs in the U.S. going
- 6 to some subsidiary port. But then these subsidiaries
- 7 are making tires which, on your own admission, very
- 8 rarely come to the United States because they're
- 9 designed specifically for vehicles in Asia and in
- 10 Europe. And so I would think that Turkey would be the
- 11 ideal location for -- for such a place. I don't get
- 12 your outsourcing pitch.
- MS. PEDDIE: Your Honor, our -- our position
- 14 here is that you will incentivize outsourcing if you
- 15 agree with the Petitioners' view. With regard to the
- 16 existing plants, 1,500 miles, which is the distance from
- 17 Istanbul to Paris, is -- is not exactly local
- 18 production. But what we're talking about is, again,
- 19 something like the example that I gave you of a
- 20 production in China, that you have -- and -- and it's
- 21 based on the definition that we make of outsourcing,
- 22 which are jobs that simply were in the United States.
- 23 If a CEO is faced with a situation of
- 24 locating a plant in -- in North Carolina and subjecting
- 25 the production of that plant, even if it's completely Alderson Reporting Company

- 1 for export, and particularly if it's completely for
- 2 export, to the jurisdiction, the general jurisdiction,
- 3 of the State courts and can put that plant in China and
- 4 send items around the world and not be subject to the
- 5 jurisdiction of North Carolina, where do you think
- 6 they're going to put that plant?
- 7 Now, I don't disagree that --
- 8 JUSTICE SCALIA: Do you think he'd rather be
- 9 sued in China?
- MS. PEDDIE: I think they would rather be
- 11 sued in China.
- 12 JUSTICE SCALIA: I wouldn't. I don't know
- 13 why anybody would.
- MS. PEDDIE: Well --
- 15 CHIEF JUSTICE ROBERTS: And don't you think
- 16 that's a question as to which we ought to have some
- 17 sensitivity to the views of the United States expressed
- 18 here by the Solicitor General? It certainly implicates
- 19 foreign relations concerns.
- MS. PEDDIE: Your Honor, I think that the --
- 21 well, let me answer this in two ways. The first way is
- the policy considerations, either on our side or their
- 23 side, are not due process issues.
- 24 And the second point that I was going to
- 25 make was the idea that the Due Process Clause doesn't Alderson Reporting Company

- 1 trump the exercise of jurisdiction over the Petitioners
- 2 here based on policy. Instead, it has to be a showing
- 3 of unfairness --
- 4 CHIEF JUSTICE ROBERTS: I thought your
- 5 argument about outsourcing sounded an awful lot like a
- 6 policy argument to me.
- 7 MS. PEDDIE: Well, it is a policy argument,
- 8 but I think, Your Honor, that -- in all candor, I think
- 9 we felt the need to -- to respond to the policy
- 10 arguments of not just the Government but also to the
- 11 other side.
- I would -- I would say, let me -- if I might
- 13 complete -- complete the other thought, is that all of
- 14 those considerations, as interesting as they are, as
- 15 compelling as they may seem, you know, are not due
- 16 process considerations. This Court really isn't
- 17 empowered to restrict the jurisdiction of State courts
- 18 based on assisting the United States in negotiating
- 19 trade treaties; instead, it has to be based on
- 20 unfairness and a showing of undue burden.
- 21 With regard to -- getting back to the --
- 22 JUSTICE SCALIA: It's just not unfairness
- 23 and undue burden. It's a matter of what -- what power a
- 24 sovereign has.
- MS. PEDDIE: That's -Alderson Reporting Company

- 1 JUSTICE SCALIA: I mean, it could be
- 2 perfectly fair if you announce that you're going to
- 3 assert jurisdiction over anybody who harms an American
- 4 citizen anywhere in the world, and you give notice to
- 5 every manufacturer in the world. That would be
- 6 perfectly fair, but you have no power to do that
- 7 under -- under accepted notions of what a sovereign can
- 8 do.
- 9 MS. PEDDIE: And -- and it would be tempered
- 10 by the -- the burdens test that is articulated in Asahi
- 11 and elsewhere, but none of that impacts, you know,
- 12 policy considerations such as trade negotiations,
- 13 et cetera. And the focus has been fairness and balance
- 14 of interests. And here you have a -- a manufacturer and
- 15 you have Petitioners who voluntarily participated in an
- 16 enterprise that operates in the State here. And we
- 17 think that there's nothing unfair about -- when they
- 18 agreed to deal with this, when they made money off of
- 19 doing this, when they do this on an ongoing basis,
- 20 there's nothing unfair about -- about subjecting them to
- 21 liability there.
- 22 And particularly when you look at the Asahi
- 23 factors, there's really no burden on the defendants
- 24 here. One of the things that the Petitioners did not
- 25 respond to in our -- our brief is the notion that no Alderson Reporting Company

- 1 matter what this Court decides, two of these Petitioners
- 2 are going to be litigating in a foreign country
- 3 anywhere. And the only thing that they have interjected
- 4 as a burden is the presumptive burden of litigating in
- 5 another country. They're going to be litigating in
- 6 another country unless a court atomizes this case and
- 7 says that the Petitioners have to litigate in four
- 8 different states.
- 9 So there's nothing -- there's nothing to
- 10 sort of suggest that there's -- there's any burden, nor,
- 11 therefore, a basis for restricting the jurisdiction of
- 12 the North Carolina State courts based on due process
- 13 concerns.
- 14 By contrast -- and the other thing that --
- 15 JUSTICE SCALIA: Only if you believe that
- 16 burden is the only issue. The issue is power, not just
- 17 burden.
- 18 MS. PEDDIE: That's -- that's correct, but
- 19 the -- but at least this Court has said, since Pennoyer
- 20 v. Neff, that the power is tempered only by the Due
- 21 Process Clause, not about policy concerns. And so,
- 22 it -- as -- as -- I think the Court is correct that it
- 23 may be perfectly fair to announce this to the world, but
- 24 it's up to this Court to determine whether due process
- 25 would restrict the exercise of that power, and it does
  Alderson Reporting Company

- 1 it on an enunciated set of factors, none of which
- 2 includes assisting the United States in negotiating
- 3 trade treaties.
- The Petitioners, I think, as we've
- 5 suggested, have not really shown any sort of burden
- 6 here, and they would be litigating with the same lawyers
- 7 in the -- same lawyers in the same forum as their
- 8 parent. And the Court has observed that even the kinds
- 9 of litigation that would take place, the burdens on
- 10 litigating in a foreign -- foreign forum are much
- 11 reduced, and that was in 1957, the year that I was born.
- 12 The primary objections here are based on
- 13 trade. I think it's -- it's interesting that the
- 14 Government has -- has talked about those, but I don't
- 15 think that's a basis for restricting jurisdiction.
- 16 JUSTICE GINSBURG: Could you go back?
- 17 You -- you said something about the two of them are
- 18 subject to suit. You said this is a question of one
- 19 lawsuit instead of four. Could you explain that?
- MS. PEDDIE: Well, for example, the parent
- 21 and the three Petitioners all have principal places of
- 22 business in four different countries. And we have
- 23 general jurisdiction over the parent in the forum. We
- 24 have the same lawyers that are representing all the
- 25 parties in the forum, and we intend to go forward in Alderson Reporting Company

- 1 the forum.
- JUSTICE GINSBURG: What about France?
- 3 MS. PEDDIE: Well, in France the -- the
- 4 Petitioners from Luxembourg and from Turkey -- if they
- 5 litigate in France, they're going to be litigating in a
- 6 foreign country as well. And so, our question is: Why
- 7 is it somehow more convenient to litigate in France than
- 8 it is in the United States when you've got the same
- 9 lawyers and the --
- 10 JUSTICE GINSBURG: It's not a question of
- 11 more convenience. It's a question that the claim arose
- 12 there, and then, of course, there was -- just because
- 13 the claim arose there, there would be some convenience
- 14 factors. All the witnesses to the accident are there;
- 15 whatever is left of the bus is there.
- MS. PEDDIE: Well, those -- those are the
- 17 forum non conveniens issues that -- that a -- that a
- 18 court would consider. But I'm talking about the due
- 19 process question in terms of investigating the -- the
- 20 actual burden on the Petitioners in litigating in the
- 21 forum. And the only thing that they've really focused
- 22 on is their preference for that forum, which is not a
- 23 due process concern.
- Thank you.
- 25 CHIEF JUSTICE ROBERTS: Thank you, counsel.

  Alderson Reporting Company

1	Mr. Feder, you have 5 minutes remaining.
2	REBUTTAL ARGUMENT OF MEIR FEDER
3	ON BEHALF OF THE PETITIONERS
4	MR. FEDER: Thank you, Your Honor.
5	The purported integrated distribution that
6	Respondents are pointing to as the basis for ignoring
7	the corporate separation here, whatever else you could
8	say about it and whether it's really any different from
9	normal coordination, it only relates to the tiny
10	fraction of Petitioners' business that involved tires
11	going to the United States.
12	So where they didn't normally market
13	their products, and so, of course, everything that they
14	sent to the United States was only when the U.S.
15	affiliate reached out to get tires. That does not
16	under any theory of which I'm aware, even the most
17	aggressive enterprise theory, that would not amount to a
18	basis for merging the two companies and treating parent
19	and sub as if they were one.
20	As far as the hypothetical about China goes,
21	I wanted to briefly address that. Of course, to the
22	extent that there are a lot of tires sent in from China
23	or anywhere else to North Carolina or any other State,
24	there will be specific jurisdiction most likely in those
25	cases.

- 1 Our position here is simply that the fact
- 2 that tires are coming in, over which you may have
- 3 specific jurisdiction, is no basis to say that you can
- 4 also bring in North Carolina what general jurisdiction
- 5 would allow you to bring: claims from workplace
- 6 accidents in China, lease disputes, and whatever else.
- 7 JUSTICE SCALIA: What about special
- 8 jurisdiction? Why don't -- why we decide this on the
- 9 basis of special jurisdiction? It's an accepted basis
- 10 of jurisdiction. Citizenship is. Countries can make it
- 11 a crime -- in fact, I think Italy does -- to kill an
- 12 Italian citizen abroad, and that person can be tried for
- 13 that crime in Italy. So I assume that -- that that is
- 14 an acceptable basis of jurisdiction.
- So why don't we say that there's a
- 16 specialized jurisdiction when a citizen of -- of North
- 17 Carolina is -- is injured abroad, so long as there is --
- 18 what -- what is the word? The submission to the -- to
- 19 the courts of North Carolina by having enough contacts
- 20 with North Carolina. The previous case -- what's that
- 21 crazy word that's --
- MR. FEDER: Availment.
- 23 JUSTICE SCALIA: Availment. I meant to look
- 24 that up. I'm not sure --
- 25 (Laughter.)

- 1 JUSTICE SCALIA: I'm not sure it's ever been
- 2 used except in this courtroom.
- 3 (Laughter.)
- 4 JUSTICE SCALIA: Why -- why don't we decide
- 5 it that way?
- 6 MR. FEDER: Well, Your Honor, I don't -- I
- 7 don't think our law has a concept of special
- 8 jurisdiction like that, as consistent with the Due
- 9 Process Clause, and I think that even -- I won't purport
- 10 to speak for the Respondents in the other case, but I
- 11 think that they would probably agree that if the
- 12 accident had happened to a New Jersey citizen in France,
- 13 that that would not create, even under their
- 14 stream-of-commerce theory, jurisdiction.
- Under our due process precedents, you need
- 16 purposeful availment. And for general jurisdiction, of
- 17 course, you need quite a bit more than that. And so,
- 18 while creative, I don't think that would guite carry the
- 19 day.
- 20 JUSTICE GINSBURG: There is -- there is a
- 21 country that has this -- what Justice Scalia -- France,
- 22 in the Civil Code, says that any French citizen can sue
- 23 anybody on any claim in France. But we consider that an
- 24 exorbitant jurisdictional rule.
- MR. FEDER: We -- we do, Your Honor, and Alderson Reporting Company

Τ.	obviously we wouldn't recognize that under our bue
2	Process Clause, and I think it points up some of the
3	reasons why, at least at the margins, it is important to
4	be able to negotiate treaties so that we can avoid
5	having that sort of jurisdiction exercised against our
6	citizens, just as within the European Community they
7	have an agreement that it's not exercised within that
8	community.
9	If there are no further questions
L O	CHIEF JUSTICE ROBERTS: Thank you, counsel.
L1	The case is submitted.
L2	(Whereupon, at 12:16 p.m., the case in the
L3	above-entitled matter was submitted.)
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