

DECOHERENCE EFFECT OF FLUCTUATING PATCH POTENTIALS ON OPTICAL TRAPPING

by

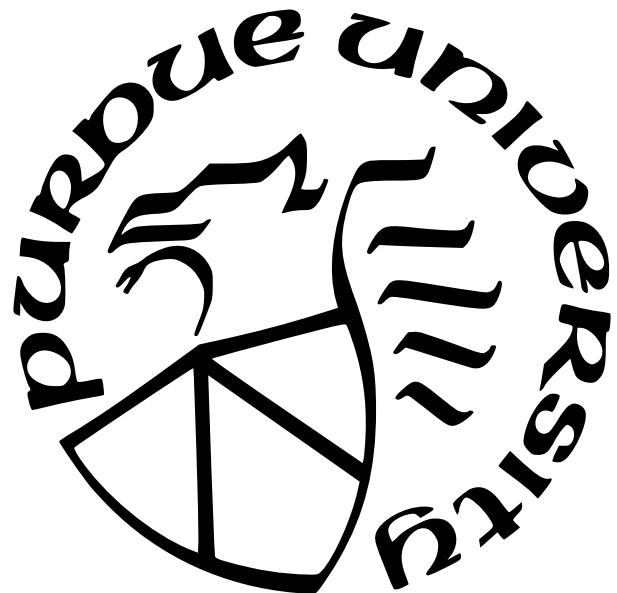
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A Master's Bypass Report

Submitted to the Faculty of Purdue University

In Partial Fulfillment of the Requirements for the degree of

Master of Science



Department of Physics

Indianapolis, Indiana

December 2024

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To my family and friends

TABLE OF CONTENTS

LIST OF TABLES	6
LIST OF FIGURES	7
ABSTRACT	9
1 INTRODUCTION	10
2 POLARIZATION OF QUANTUM DOT	16
2.1 Classical Polarizability of a Quantum Dot	17
2.2 Unperturbed Wavefunctions of a Quantum Dot	19
2.3 Corrected Wavefunctions with Coulomb Interaction Perturbation	26
2.4 Interaction of the Quantum Dot with a Weak Electric Field	27
3 ELECTRIC FIELD DUE TO CONDUCTING DISK	31
3.1 Surface Charge Density of a Conducting Disk	31
3.2 Potential due to the Conducting Disk in Space	34
3.3 Electric Field due to Conducting Disk in Space	37
4 ELECTROSTATIC INTERACTION OF A QUANTUM DOT WITH MOVING PATCH POTENTIALS	39
4.1 Simulating Patch Potentials	40
4.2 Electrostatic Interaction with Moving Patches	42
4.3 Mapping of Electric Fields and Electrostatic Energy for N=3000 Disks	49
5 VARIATION IN TRAP POTENTIAL PARAMETERS	54
5.1 Dispersion of Energy Levels due to Electric Field	54
5.2 Dispersion of Energy Levels due to Shot Noise	56
REFERENCES	61
A SUPPLEMENTARY MATERIAL FOR CHAPTER 2	64

A.1	Classical Polarizability of a Quantum Dot	64
A.2	Unperturbed Wavefunctions of a Quantum Dot : Analytic Derivation	67
A.3	Unperturbed Wavefunctions of a Quantum Dot : Computational Solution . .	69
A.4	Corrected Wavefunction with Coulomb Interaction Perturbation : Computational Solution	94
A.5	Interaction of Quantum Dot with a Weak Electric Field : Computational Solution	104
A.6	Conversion to Natural Units	110
B	SUPPLEMENTARY MATERIAL FOR CHAPTER 3	112
B.1	Surface Charge Density of a Conducting Disk	112
B.2	Potential due to the Conducting Disk in Space	117
B.3	Electric Field due to Conducting Disk in Space	119
C	SUPPLEMENTARY MATERIAL FOR CHAPTER 4	124
C.1	Simulating Patch Potentials : Computational Code	124
C.2	Electrostatic Interaction with Moving Patches : Computational Code	125

LIST OF TABLES

2.1	Mass values for electron and holes	21
2.2	n , l_{CE} and l_{VH} represent energy levels for the bounded exciton, conduction band electrons and valence band holes respectively. $n = 0$, $l_{CE} = 0$ and $l_{VH} = 0$ represent respective ground states. E_l^{CE} , E_l^{VH} and E_n^{exc} represent energy values for the corresponding energy levels of the conduction band electron, valence band hole and bounded exciton respectively.	23

LIST OF FIGURES

1.1 Parameter space of α - λ coupling of the Yukawa-like gravitational potential adapted from [12]. The shaded regions have been excluded by experiment or observational data.	11
1.2 Possible experimental setup	14
2.1 CdSe-CdS core-shell quantum dot	17
2.2 Potential well of a quantum dot showing confinement potentials of the core and shell for both valence and conduction bands	19
2.3 Ground state : $n = 0, l_{CE} = 0, l_{VH} = 0$	24
2.4 First excited state : $n = 1, l_{CE} = 0, l_{VH} = 1$	24
2.5 Second excited state : $n = 2, l_{CE} = 0, l_{VH} = 2$	25
2.6 Third excited state : $n = 3, l_{CE} = 1, l_{VH} = 0$	25
2.7 Unperturbed and corrected ground state wavefunction	27
2.8 Attractor mass with quantum dot	27
3.1 Ellipsoid	32
3.2 Line charge	33
3.3 Potential along z-axis	35
4.1 Coverage for (a) N=1, (b) N=5, (c) N=100, (d) N=1000, (e) N=3000 and (f) N=5000 randomly generated disks	41
4.2 Energy for $y_0 = 2.0$ trajectory with $N = 1$ disk	44
4.3 Energy for $y_0 = 8.0$ trajectory with $N = 1$ disk	44
4.4 Energy for $y_0 = 10.0$ trajectory with $N = 1$ disk	45
4.5 Energy for $y_0 = 8.0$ trajectory with $N = 2$ disks	46
4.6 Energy for $y_0 = 10.0$ trajectory with $N = 2$ disks	46
4.7 Energy for $y_0 = 20.0$ trajectory with $N = 5$ disks	47
4.8 Energy for $y_0 = 60.0$ trajectory with $N = 5$ disks	47
4.9 Energy for $y_0 = 20.0$ trajectory with $N = 100$ disks	48
4.10 Energy for $y_0 = 50.0$ trajectory with $N = 100$ disks	48
4.11 Energy for $y_0 = 60.0$ trajectory with $N = 100$ disks	49
4.12 Energy for $y_0 = 90.0$ trajectory with $N = 100$ disks	49

4.13 Attractor mass surface with N=3000 patch potentials	50
4.14 Grid produced by trajectories above attractor mass	50
4.15 Variation of $ E_x ^2$ over attractor mass	51
4.16 Variation of $ E_y ^2$ over attractor mass	51
4.17 Variation of $ E_z ^2$ over attractor mass	52
4.18 Variation of electrostatic energy over attractor mass	52
5.1 Harmonic oscillator potential	55
A.1 Quantum Dot in Electric Field	64
A.2 Conduction electrons wavefunction vs energy	81
A.3 Valence holes wavefunction vs energy	81
B.1 Line charge	112

ABSTRACT

Panda, Ashmita, Masters, Purdue University, November 2024. Decoherence Effect of Fluctuating Patch Potentials on Optical Trapping. Major Professor: Dr. Ricardo S. Decca.

The electric trapping potential produced by an optical tweezer can be approximated to be a simple harmonic oscillator near the focal point of the trap. A trapped quantum dot cooled down to the ground state of the oscillator potential can be a novel tool for probing weak interactions. The weak gravitational forces at sub-micron ranges can be probed using such a setup designing a mass-modulated attractor mass, which is free to move in the plane perpendicular to its surface. The quantum dot can be placed at close proximity to the attractor mass, at distances of about 200 nm. The center of mass of the quantum dot can be cooled down ground state of the harmonic oscillator potential by cavity cooling techniques. The attractor mass can then be designed to be one of the mirrors of the cavity, with a metallic coating to obtain a mirror-like surface, which leads to the formation of patch potentials on its surface. The moving patch potentials produce moving electric fields in space, which lead to unwanted decoherence effects on the energy levels of the harmonic trapping potential. The effect of these moving patch potentials on the lifetime of the energy levels of the oscillator are obtained in this report, by utilizing several analytic and computational methods. Another major source of noise present in all optical systems with a laser is the shot noise. But it is found to have no influence on the decoherence effect of the energy levels. In conclusion, we observe that the electrostatic interaction effect due to moving patch potentials produces the greatest dispersion in the energy levels and reduces the lifetime of the states to $\sim 43.60 \mu\text{s}$.

1. INTRODUCTION

The gravitational force is one of the four fundamental forces in physics which has been studied for the longest time by physicists. From Newton's laws of gravity, to Einstein's theory of general relativity [1], our understanding of gravity has undergone several changes. The general theory of relativity has stood the test of experiments and is relevant for cosmic distance scales. While Newtonian gravity is valid as an approximation to general theory of relativity, there has developed a strong notion that it does not give the complete picture of interactions at close distances [2]. The efforts for understanding the quantum nature of gravity and unification theories for gravity with standard model of physics is still ongoing, but these extension models predict the possibility of a low mass boson [3]. The existence of such a boson would also indicate the existence of another fundamental force mediated by them.

Bosons with a non-zero mass can be parametrized by a Yukawa-like potential, which can be added to the standard Newtonian gravity, with some interaction strength α and range λ given by the compton wavelength,

$$V = -\frac{Gm_1m_2}{r} \left(1 + \alpha e^{-r/\lambda}\right). \quad (1.1)$$

Till date, a large region of the α - λ parameter space has been excluded by a range of experiments. There have been several long range experiments designed for studying planetary orbits, such as Earth-LAGEOS [4] , LAGEOS-Lunar [5] and Lunar precession [6]. Their results are summarized in figure 1.1 None of them have observed any deviations from General Relativity. There have also been several geophysics experiments which explore the ten to ten-thousand meter range in the parameter space, such as mine/borehole [7], ocean [8], lake [9], [10] and tower [11] experiments. Their results are also summarized in figure 1.1. There is still a large area which is unexplored in the α - λ parameter space, specially for $\lambda < 10^{-6}$ m. One major challenge encountered by any experiment trying to probe the sub-micron range is the interaction of the system with its thermal environment, as the force to be measured is too weak. One possible method of isolating a system of interest could be optically trapping it

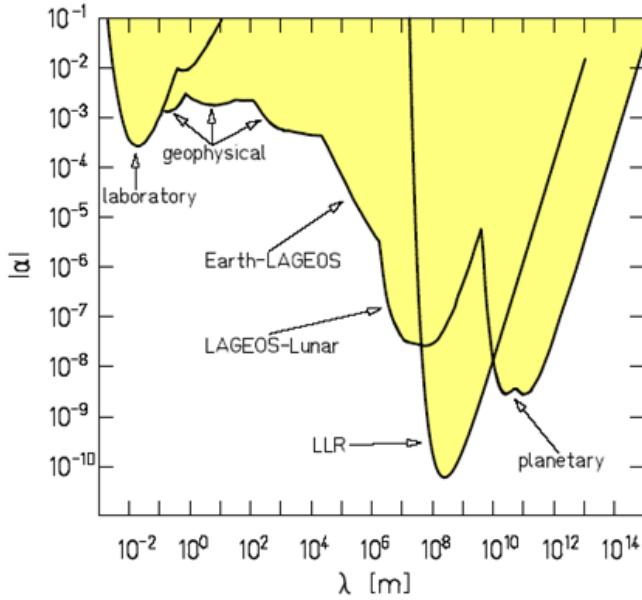


Figure 1.1. Parameter space of α - λ coupling of the Yukawa-like gravitational potential adapted from [12]. The shaded regions have been excluded by experiment or observational data.

using optical tweezers [13]. In an optical tweezer, the gradient force points towards the focal point of the laser beam, i.e., in the direction of the beam's maximum intensity, which causes any dielectric material to be effectively trapped and levitated at the focal point of the laser beam. The trapped dielectric can also be moved, allowing us to control its dynamics [14]. This gives us a novel tool to probe the sub-micron range for weak gravitational interactions.

The trapping potential of the optical tweezers can be approximated to a harmonic oscillator about the focal point. If we can cool the trapped dielectric down to the ground state of the harmonic oscillator, it gives us a probe to detect weak interactions, by looking for the excitations of the dielectric to higher energy states. The temperature we need to cool the dielectric down to depends on the mechanical frequency, Ω of the harmonic oscillator. The mechanical frequency Ω is related to the spring constant of the optical trap and mass of the trapped dielectric as

$$\Omega = \sqrt{\frac{k_{sp}}{M}}. \quad (1.2)$$

The spring constant of the trapping laser is obtained to be [15]

$$\vec{k}_{sp} = \begin{cases} k_{sp}(x) = \frac{\alpha_{dielectric}}{x} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{4\text{Re}(\alpha_{dielectric})(NA)^4 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}, \\ k_{sp}(y) = \frac{\alpha_{dielectric}}{y} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{4\text{Re}(\alpha_{dielectric})(NA)^4 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}, \\ k_{sp}(z) = \frac{\alpha_{dielectric}}{z} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{2\text{Re}(\alpha_{dielectric})(NA)^6 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}. \end{cases} \quad (1.3)$$

In the above expression, $\alpha_{dielectric}$ is the polarizability of the trapped dielectric, NA is the numerical aperture, λ_{laser} is the wavelength of the laser, P_{laser} is the laser power and \vec{E} , \vec{E}^* are the electric field of the laser and its complex conjugate. Thus, we can observe that k_{sp} is proportional to the power of the laser and polarizability of the trapped dielectric, i.e.,

$$k_{sp} \propto \alpha_{dielectric} P_{laser} \implies k_{sp} = \kappa \alpha_{dielectric} P_{laser}. \quad (1.4)$$

κ is an appropriate proportionality constant. The temperature we need to cool the system down to get the ground state populated and have the first excited state almost empty, is approximately given as

$$T \lesssim \frac{\hbar\Omega}{k_B}, \quad (1.5)$$

where k_B is the Boltzmann constant and \hbar is the Planck's constant. Thus, we need to increase the mechanical frequency of the harmonic oscillator trap to facilitate cooling into the ground state.

The polarizability of any spherical dielectric with core-shell structure is derived in chapter 2.2 and is found to be proportional to the volume of the sphere. The complete expression for polarizability is given as

$$\alpha_{dielectric} = 4\pi\epsilon_0 r_{shell}^3 \left(\frac{\epsilon_e - \epsilon_0}{\epsilon_e + 2\epsilon_0} \right), \quad (1.6)$$

$$\epsilon_e = \epsilon_S \frac{r_{shell}^3 (\epsilon_C + 2\epsilon_S) + 2r_{core}^3 (\epsilon_C - \epsilon_S)}{r_{shell}^3 (\epsilon_C + 2\epsilon_S) - r_{core}^3 (\epsilon_C - \epsilon_S)}. \quad (1.7)$$

In the above expressions, r_{shell} is the radius of the particle, r_{core} is the radius of the core of the particle, ϵ_e is the effective dielectric constant, ϵ_C is the dielectric constant of the core

material and ϵ_s is the dielectric constant of the shell material. The mass of any particle is proportional to its volume, i.e.,

$$M = \rho_e \frac{4\pi}{3} r_{shell}^3, \quad (1.8)$$

such that ρ_e is the effective density of the material and r_{shell} is the radius of the sphere. The expression for ρ_e can be obtained in terms of ρ_{core} , the density of the core material and ρ_{shell} , the density of the shell material as

$$\rho_e = \left(\frac{r_{core}}{r_{shell}} \right)^3 (\rho_{core} - \rho_{shell}) + \rho_{shell}. \quad (1.9)$$

This allows us to write the expression for the spring constant as

$$\Omega = \sqrt{\frac{\kappa \alpha_{dielectric} P_{laser}}{M}} = \sqrt{\frac{\kappa P_{laser}}{\rho_e} 4\pi \epsilon_0 \left(\frac{\epsilon_e - \epsilon_0}{\epsilon_e + 2\epsilon_0} \right)}, \quad (1.10)$$

and to first order, effect r_{shell}^3 gets canceled. So, we can increase the mechanical frequency by increasing the power of the laser and the effective dielectric constant of the material being used. Increasing the laser power also increases the risk of melting the trapped particle. Thus we need to use materials which can sustain their structure at high laser powers.

We can significantly increase the dielectric constant of the material by using quantum dots [16] as our trapped particles. Quantum dots are nanocrystalline semiconductors with radii in the nanometer ranges. We specifically choose CdSe-CdS quantum dots [17] due to their high dielectric constants for a high wavelength light, in the order of $\lambda_{laser} \sim 1.0 \mu\text{m}$. Also quantum dots have an inherent cooling mechanism of its internal temperature, which would prevent them from melting in the presence of a high power laser [18].

The significant challenge of the project is to cool the center of mass of the trapped quantum dot to the ground state of the harmonic oscillator. In recent studies, Delic *et al.* [19] have reported the 3-dimensional cooling of a trapped silica nanosphere in an optical cavity. They used lasers of wavelength $\lambda_{laser} = 1064 \text{ nm}$, power $P \approx 0.17 \text{ W}$, and a high-finesse ($\mathcal{F} = 73.0$) cavity inside a vacuum chamber to cool down silica nanospheres of radius 71.5 nm. They also used an elliptical trap with non-degenerate mechanical frequencies, $(\Omega_x, \Omega_y, \Omega_z) = 2\pi(190, 170, 38) \text{ kHz}$ and obtained a minimal temperature of $\sim 1 \text{ K}$. The

ground state cooling temperature for the given Delic *et al.*[19] trap parameters is in the order of $\sim 10^{-5}$ K.

We can use a setup similar to Delic *et al.* [19] to probe the weak interactions at sub-micron ranges. One major modification would be to replace one of the cavity mirrors with a mass-modulated attractor mass, free to move in the plane perpendicular to the axis of the cavity, with the trapped and cooled quantum dot located around 200 nm from its surface. We would also need to the trapping laser directly behind the attractor mass, outside of the cavity, to ensure that the focal point of the laser lies close enough to the attractor mass.

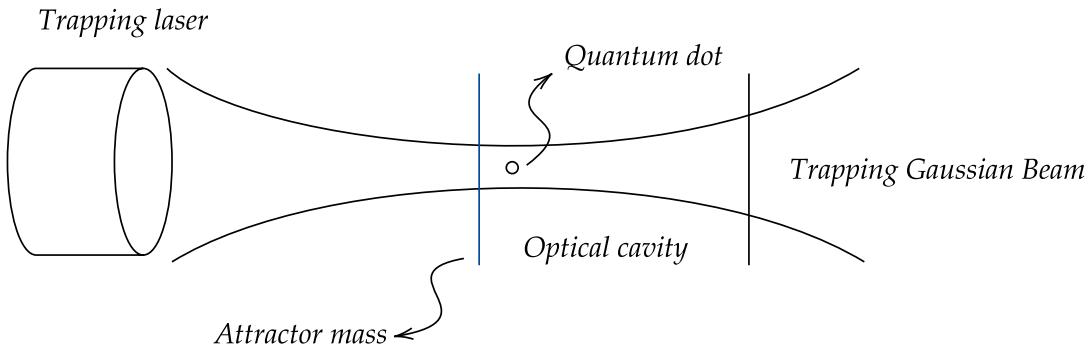


Figure 1.2. Possible experimental setup

In this setup, to ensure the optical cavity is formed, we need to design the attractor mass such that it is transparent to the trapping laser beam, but opaque to the cavity-filling beam. Also, we need to obtain mirror finish on the side of the attractor mass facing the quantum dot, which would require a metallic coating on its surface. Thus, we can approximate the attractor mass surface to a conductor plate. As it is a conductor plate, it would have spatial variations in its electrostatic potential, known as patch potentials. The patch potentials would produce electric fields in space, which would interact with the trapped quantum dot placed in close proximity. The patch potentials, and consequently the electric fields produced, are also moving in space as the attractor mass is free to rotate. The moving electric fields would cause a dispersion of the energy levels of the harmonic trapping potential. The amount

of dispersion would depend on the polarizability of the quantum dot and the strength of the fluctuating patch potentials.

In this report, we have attempted to obtain an estimation of the dispersion of the energy levels, and thus the lifetime of the energy levels of the harmonic trapping potential. We first obtain the polarizability of the quantum dot in chapter 2. In chapter 3, we evaluate the electric field for a single conducting disk. In chapter 4, we simulate the surface of the conductor plate to be composed of $N = 3000$ conducting disks and then evaluate the spatial variations in the electric fields. In the final chapter 5, we use the results from the previous chapters and obtain the lifetime of the energy levels of the trapped quantum dot. We also consider the shot noise of the laser and evaluate its effect on lifetime of the oscillator states.

2. POLARIZATION OF QUANTUM DOT

Quantum dots are semiconductor nanocrystalline particles with optical and electronic properties influenced by quantum mechanical effects. In our system, we will be using a CdSe-CdS quantum dot [18] with a core-shell structure as the particle in our optical trap. The physical properties of the dot have an effect on the properties of the trap. Polarizability of the dot is the most important one, which directly affects the spacing of the energy levels in the trap potential. We can estimate the polarizability by classically solving the Laplace equation. We can also solve for the wavefunction of the quantum dot and obtain the polarizability of the dot quantum mechanically via the Stark effect.

We first consider the classical model for a CdSe-CdS dot with a core-shell structure in Section 2.1. The Laplace equation is solved to find the potential for the dot. From the expansion of the potential outside the dot, we identify the dipole term and obtain the effective permittivity and classical polarizability of the dot.

In Section 2.2 we consider the quantum model for the CdSE-CdS dot. We write the unperturbed Hamiltonian for the same, with potential bounds of the core-shell structure for the electrons and holes in the conduction and valence bands. We solve the Schrodinger's equation for the system and numerically obtain the unperturbed wavefunctions.

The Coulomb interaction between the electrons and holes in the quantum dot produces a non-negligible effect on the energy levels and wavefunctions, which we explore in Section 2.3. We add the Coulomb interaction as a perturbation to the Hamiltonian and obtain the first order correction to the ground state.

In Section 2.4 we consider the quantum dot to be placed in an electric field and explore the weak Stark effect. We add the Stark energy to the previously perturbed Hamiltonian as a further perturbation, and obtain the second order correction to the ground state energy. The quantum approximation for the polarizability of the quantum dot can then be obtained as the change in the energy of the state with respect to the electric field.

2.1 Classical Polarizability of a Quantum Dot

We plan to use a CdSe-CdS quantum dot with a core-shell structure in our optical trap setup, illustrated in figure 2.1. We can estimate the polarizability of the quantum dot classically, by solving for the Laplace equation to find the electric potential for the neutral dot. We can start with the general solution.

$$\Phi(r, \theta) = \sum_{l=0}^{\infty} \left(A_l r^l + \frac{B_l}{r^{l+1}} \right) P_l(\cos \theta). \quad (2.1)$$

It has a cadmium selenide core of radius $r = r_{core}$, permittivity ϵ_C and a cadmium sulfide shell of radius $r = r_{shell}$, permittivity ϵ_S . We assume vacuum outside the dot. $P_l(\cos \theta)$

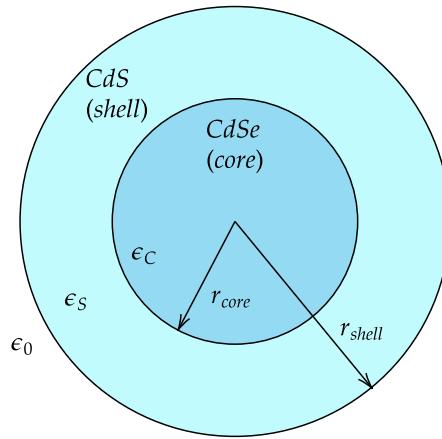


Figure 2.1. CdSe-CdS core-shell quantum dot

are the Legendre polynomials. The potential has different expansions inside the core, in the shell and outside the dot, as shown in figure (2.1).

$$\Phi_{core}(r, \theta) = \sum_{l=0}^{\infty} A_l r^l P_l(\cos \theta), \quad (2.2)$$

$$\Phi_{shell}(r, \theta) = \sum_{l=0}^{\infty} \left(B_l r^l + \frac{C_l}{r^{l+1}} \right) P_l(\cos \theta), \quad (2.3)$$

$$\Phi_{out}(r, \theta) = -E_0 r \cos \theta + \sum_{l=0}^{\infty} \frac{F_l}{r^{l+1}} P_l(\cos \theta). \quad (2.4)$$

We now need to enforce boundary conditions to ensure the continuity of potential and rate of change of potential across at each boundary, i.e., the core-shell boundary and the shell-vacuum boundary, illustrated in figure (2.1). Also, far outside the dot, the potential goes to infinity, i.e., $\Phi_{out} \rightarrow -E_0 r \cos \theta$ as $r \gg r_{shell}$.

We can derive the polarizability for the dot using the above expressions. The complete derivation is included in Appendix A.1. The final expression for polarizability is given as

$$\alpha_{dot} = 4\pi\epsilon_0 r_{shell}^3 \left(\frac{\epsilon_e - \epsilon_0}{\epsilon_e + 2\epsilon_0} \right), \quad (2.5)$$

$$\epsilon_e = \epsilon_S \frac{r_{shell}^3 (\epsilon_C + 2\epsilon_S) + 2r_{core}^3 (\epsilon_C - \epsilon_S)}{r_{shell}^3 (\epsilon_C + 2\epsilon_S) - r_{core}^3 (\epsilon_C - \epsilon_S)}. \quad (2.6)$$

The real part of the permittivity of any material is given as :

$$\epsilon_R = (n^2 - k^2)\epsilon_0. \quad (2.7)$$

n and k are the real and imaginary part of the refractive index of the material at given wavelengths of light. For CdSe [20] and CdS [21], at $\lambda = 1 \mu\text{m}$, the values are :

$$n_{\text{CdSe}} = 2.3847, \quad k_{\text{CdSe}} = 0.065147, \quad (2.8)$$

$$n_{\text{CdS}} = 2.2708, \quad k_{\text{CdS}} = -5.158 \times 10^{-18}. \quad (2.9)$$

We consider light of wavelength $\lambda = 1 \mu\text{m}$ as a $\lambda = 1064 \text{ nm} = 1.064 \mu\text{m}$ wavelength laser used by Delic *et al.* [19] in their setup for optical cooling. The value of absorption coefficient of CdSe, k_{CdSe} is too large and for our actual experimentation higher values of λ will be used. This gives us the permittivity for CdSe and CdS as

$$\epsilon_{\text{CdSe}} = \epsilon_C = 5.683 \epsilon_0, \quad (2.10)$$

$$\epsilon_{\text{CdS}} = \epsilon_S = 5.322 \epsilon_0. \quad (2.11)$$

Now using equation (2.6) to find the effective permittivity of the dot,

$$\epsilon_e = 5.367 \epsilon_0 . \quad (2.12)$$

Thus, using equation (2.5) we can find the classical polarizability of the quantum dot

$$\alpha_{dot} = 1.608 \times 10^{-36} \text{ C m}^2 \text{ V}^{-1} . \quad (2.13)$$

2.2 Unperturbed Wavefunctions of a Quantum Dot

A quantum dot is effectively a particle in a box system. We have electrons and holes in the conduction and valence bands respectively, interacting with each other via the Coulomb interaction. The confinement potential energy for the quantum dot, illustrated in figure 2.2,

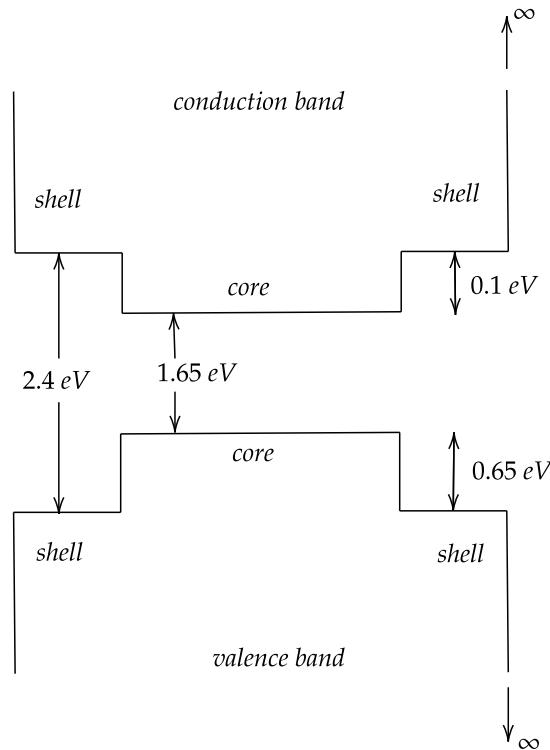


Figure 2.2. Potential well of a quantum dot showing confinement potentials of the core and shell for both valence and conduction bands

can be written as

$$V^{c/v} = \begin{cases} V_{core}^{c/v} & : r \leq r_{core} \\ V_{shell}^{c/v} & : r_{core} < r < r_{shell} \\ \infty & : r \geq r_{shell} \end{cases} \quad (2.14)$$

The c/v stand for “conduction band” and “valence band” respectively. We always treat the $V_{core}^{c/v} = 0$ eV and define the rest of the potential energies accordingly. Electrons reside in the conduction band and holes in the valence band, in both core and shell.

The Hamiltonian of the quantum dot system, disregarding Coulomb interaction, is

$$H_0 = \left(\frac{\hat{p}_e^2}{2m_e} + V_e \right) + \left(\frac{\hat{p}_h^2}{2m_h} + V_h \right) = H_0^e + H_0^h. \quad (2.15)$$

Then the Schrödinger equation for the system and the wavefunction for the exciton states of the quantum dot are obtained,

$$\begin{aligned} H_0 \Psi^{exc} &= E^{exc} \Psi^{exc}, \\ \implies (H_0^e + H_0^h) \Psi^{exc} &= E^{exc} \Psi^{exc}. \end{aligned}$$

The bound state of an electron and hole is known as an exciton state. We can write the exciton wavefunction as a product of the individual wavefunctions of the conduction electrons and valence holes as

$$\Psi^{exc}(r) = \psi^e(r) \psi^h(r). \quad (2.16)$$

The product of the wavefunction can be put into the RHS of the Schrodinger equation to obtain

$$\begin{aligned} \implies E^{exc} \Psi^{exc} &= (H_0^e + H_0^h) \psi^e \psi^h, \\ \implies E^{exc} \Psi^{exc} &= (H_0^e \psi^e) \psi^h + (H_0^h \psi^h) \psi^e, \\ \implies E^{exc} \Psi^{exc} &= E^e \psi^e \psi^h + E^h \psi^e \psi^h, \\ \implies E^{exc} \Psi^{exc} &= (E^e + E^h) \psi^e \psi^h = (E^e + E^h) \Psi^{exc}. \end{aligned}$$

Thus, we obtain the energy of the exciton as the sum of the individual conduction band electron and valence band hole states,

$$E^{exc} = E^e + E^h . \quad (2.17)$$

The wavefunctions for the electrons and holes can be separated in two regions, core and shell, as

$$\psi_e^c = \psi_e^{c,core} + \psi_e^{c,shell} , \quad \psi_h^v = \psi_h^{v,core} + \psi_h^{v,shell} . \quad (2.18)$$

The radial part of the conduction electrons/valence holes wavefunctions can be written using spherical Bessel functions of first kind (j_l) and second kind (n_l) [18], given as

$$\psi^{c/v,core}(r, \theta, \varphi) = A_{e/h,l}^{c/v,core} j_l(k_{e/h,l}^{c/v,core} r) Y_l^m(\theta, \varphi) , \quad (2.19)$$

$$\psi^{c/v,shell}(r, \theta, \varphi) = \left[A_{e/h,l}^{c/v,shell} j_l(k_{e/h,l}^{c/v,shell} r) + B_{e/h,l}^{c/v,shell} n_l(k_{e/h,l}^{c/v,shell} r) \right] Y_l^m(\theta, \varphi) . \quad (2.20)$$

$A_{e/h,l}^{c/v,core}$, $A_{e/h,l}^{c/v,shell}$ and $B_{e/h,l}^{c/v,shell}$ are the normalization constants, and $Y_l^m(\theta, \varphi)$ are the spherical harmonics. The k values obtained from Muchuan Hua's thesis [18] are

$$k_{e/h}^{c/v,core/shell} = \sqrt{\frac{2(\varepsilon_{e/h}^{c/v,core/shell} - V^{c/v,core/shell}) m_{e/h}^{c/v,core/shell}}{\hbar^2}} . \quad (2.21)$$

$\varepsilon_{e/h}^{c/v,core/shell}$ is the energy for conduction electrons or valence holes, which can be found by enforcing the boundary conditions for the wavefunctions. We must also note that the conduction band electrons and valence band holes have different effective masses in the core and the shell. They can be written in terms of the electron's rest mass m_0 [18], as shown in table 2.1.

Table 2.1. Mass values for electron and holes

Material	Electron Effective Mass	Heavy Hole Effective Mass
CdSe	$0.11 m_0$	$0.8 m_0$
CdS	$0.14 m_0$	$0.51 m_0$

The wavefunctions and their derivatives need to be continuous across the core-shell boundary, and the shell-wavefunction should vanish at the shell-boundary [18]. Hence,

$$\psi_{e/h}^{c/v,core}\Big|_{r=r_{core}} = \psi_{e/h}^{c/v,shell}\Big|_{r=r_{core}}, \quad (2.22)$$

$$\frac{1}{m_{e/h}^{c/v,core}} \frac{\partial}{\partial r} \psi_{e/h}^{c/v,core}\Big|_{r=r_{core}} = \frac{1}{m_{e/h}^{c/v,shell}} \frac{\partial}{\partial r} \psi_{e/h}^{c/v,shell}\Big|_{r=r_{core}}, \quad (2.23)$$

$$\psi_{e/h}^{c/v,shell}\Big|_{r=r_{shell}} = 0. \quad (2.24)$$

We can enforce the first two boundary conditions, (2.22) and (2.23), and obtain the expressions of A and B coefficients [18]. The complete derivation is included in Appendix A.2.

$$A_{e/h,l}^{c/v,shell} = \frac{j_l(k_{e/h,l}^{c/v,core} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core}) - \frac{m_{e/h}^{c/v,shell} k_{e/h,l}^{c/v,core}}{m_{e/h}^{c/v,core} k_{e/h,l}^{c/v,shell}} j'_l(k_{e/h,l}^{c/v,core} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core})}{j_l(k_{e/h,l}^{c/v,shell} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core}) - j'_l(k_{e/h,l}^{c/v,shell} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core})} A_{e/h,l}^{c/v,core}, \quad (2.25)$$

$$B_{e/h,l}^{c/v,shell} = \frac{j_l(k_{e/h,l}^{c/v,core} r_{core}) j'_l(k_{e/h,l}^{c/v,shell} r_{core}) - \frac{m_{e/h}^{c/v,shell} k_{e/h,l}^{c/v,core}}{m_{e/h}^{c/v,core} k_{e/h,l}^{c/v,shell}} j'_l(k_{e/h,l}^{c/v,core} r_{core}) j_l(k_{e/h,l}^{c/v,core} r_{core})}{j'_l(k_{e/h,l}^{c/v,shell} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core}) - j_l(k_{e/h,l}^{c/v,shell} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core})} A_{e/h,l}^{c/v,core}. \quad (2.26)$$

The A^{core} can be obtained by normalizing the complete wavefunction of the quantum dot for both electrons and holes.

This problem needs to solved computationally. The detailed code and algorithm are included in Appendix A.3, and the results are summarized here.

The quantum dot considered for this problem has a radius of 2.9 nm, with $r_{core} = 1.5$ nm and $r_{shell} = 2.9$ nm. Thus, the shell thickness is about 1.4 nm. The core potential for both conduction electron and valence holes are taken to be 0, i.e., $V^{c/v,core} = 0.0$ eV. So, the potential for the shell can be taken as the difference between the core and the shell for each band, shown in figure (2.2). The allowed energy values, in increasing order of energy of the

exciton, obtained computationally, is given in table 2.2. The energy level for the exciton is represented by “ n ”.

Table 2.2. n , l_{CE} and l_{VH} represent energy levels for the bounded exciton, conduction band electrons and valence band holes respectively. $n = 0$, $l_{CE} = 0$ and $l_{VH} = 0$ represent respective ground states. E_l^{CE} , E_l^{VH} and E_n^{exc} represent energy values for the corresponding energy levels of the conduction band electron, valence band hole and bounded exciton respectively.

n	l_{CE}	l_{VH}	E_l^{CE}	E_l^{VH}	E_n^{exc}
0	0	0	0.37468117 eV	0.15986651 eV	0.53454768 eV
1	0	1	0.37468117 eV	0.32234768 eV	0.69702885 eV
2	0	2	0.37468117 eV	0.52251117 eV	0.89719234 eV
3	1	0	0.76754997 eV	0.15986651 eV	0.92741648 eV
4	1	1	0.76754997 eV	0.32234768 eV	1.08989765 eV
5	0	3	0.37468117 eV	0.75589044 eV	1.13057161 eV
6	1	2	0.76754997 eV	0.52251117 eV	1.29006114 eV
7	2	0	1.22241281 eV	0.15986651 eV	1.38227932 eV
8	1	3	0.76754997 eV	0.75589044 eV	1.52344041 eV
9	2	1	1.22241281 eV	0.32234768 eV	1.54476049 eV
10	2	2	1.22241281 eV	0.52251117 eV	1.74492398 eV
11	3	0	1.73634639 eV	0.15986651 eV	1.89621290 eV
12	2	3	1.22241281 eV	0.75589044 eV	1.97830325 eV
13	3	1	1.73634639 eV	0.32234768 eV	2.05869407 eV
14	3	2	1.73634639 eV	0.52251117 eV	2.25885756 eV
15	3	3	1.73634639 eV	0.75589044 eV	2.49223683 eV

The energy values reported are with respect to $V^{c/v,core} = 0$ and $V^{c,shell} = 0.1$ eV and $V^{h,shell} = 0.65$ eV. The first four wavefunctions in order of exciton energy are illustrated in figures 2.3-2.6.

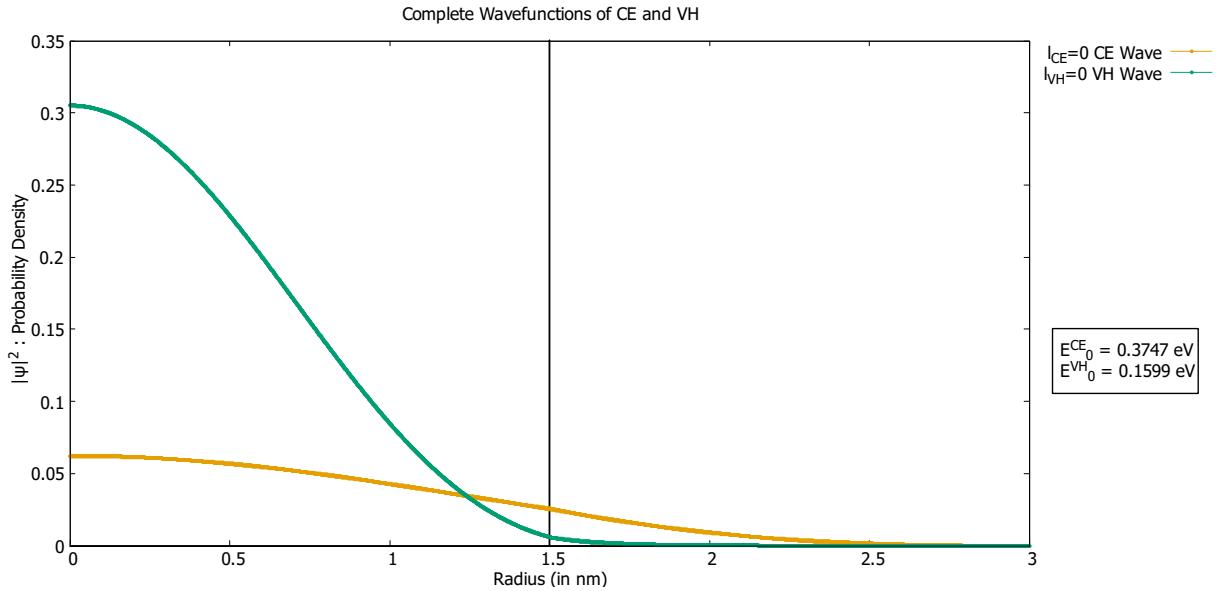


Figure 2.3. Ground state : $n = 0$, $l_{CE} = 0$, $l_{VH} = 0$

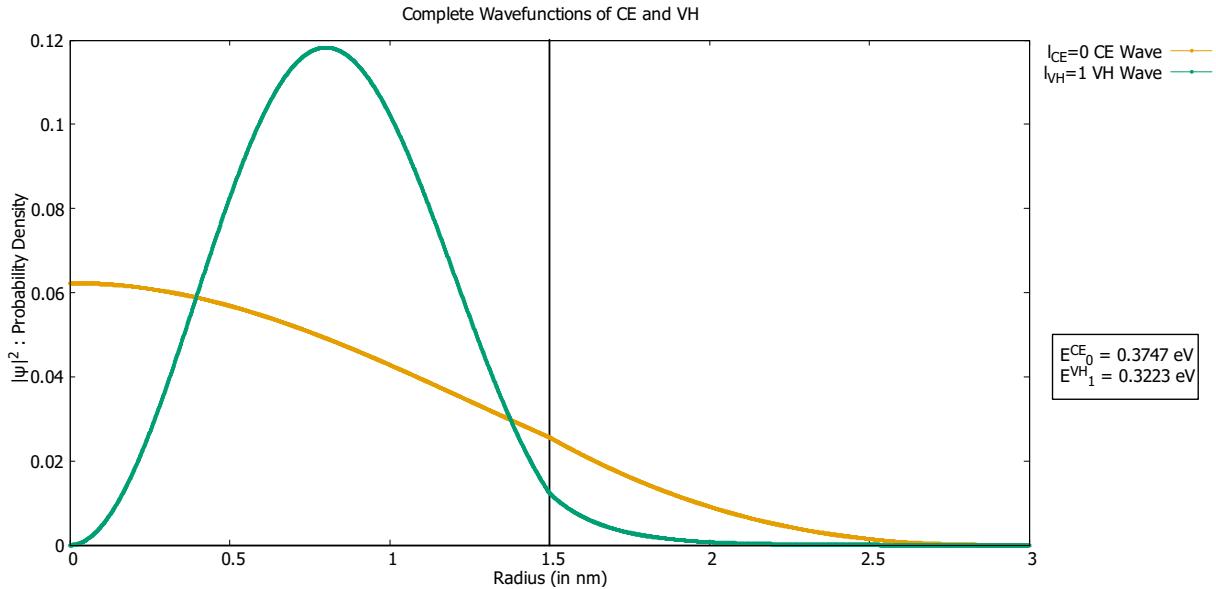


Figure 2.4. First excited state : $n = 1$, $l_{CE} = 0$, $l_{VH} = 1$

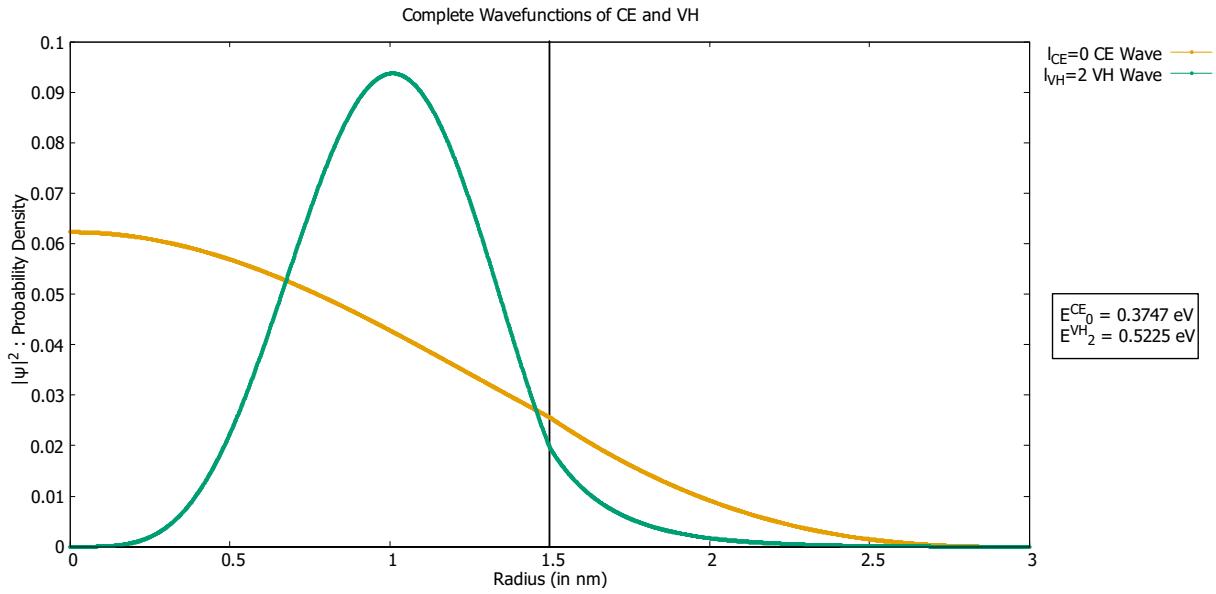


Figure 2.5. Second excited state : $n = 2$, $l_{CE} = 0$, $l_{VH} = 2$

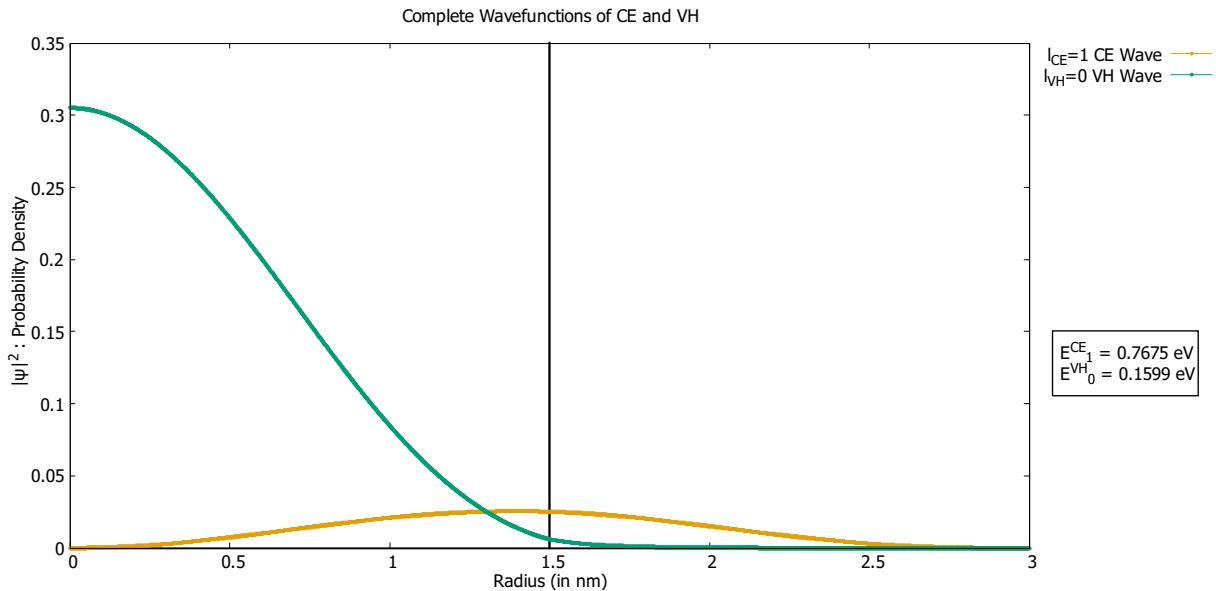


Figure 2.6. Third excited state : $n = 3$, $l_{CE} = 1$, $l_{VH} = 0$

2.3 Corrected Wavefunctions with Coulomb Interaction Perturbation

Once we have the unperturbed wavefunctions due to the free Hamiltonian, we can add the Coulomb interaction in the Hamiltonian as a perturbation, and find the corrections to the energy states and wavefunctions.

$$H_C = -\frac{1}{4\pi\epsilon_e(r)} \frac{e^2}{|\vec{r}_e - \vec{r}_h|}. \quad (2.27)$$

The first order correction to the energy and wavefunctions are given as :

$$E_n = E_n^{(0)} + \langle n^{(0)} | H_C | n^{(0)} \rangle, \quad (2.28)$$

$$|n\rangle = |n^{(0)}\rangle + \sum_{m \neq n} \frac{\langle m^{(0)} | H_C | n^{(0)} \rangle}{(E_n^{(0)} - E^{(0)})} |m^{(0)}\rangle. \quad (2.29)$$

The wavefunction for the exciton can be written as a product of the electron and hole wavefunctions.

$$|n\rangle = |\psi_e(r_e)\rangle |\psi_h(r_h)\rangle. \quad (2.30)$$

We have found the corrections numerically, and have included the detailed code in the Appendix A.4. The solutions are generated with the assumption that the valence hole is located at $r = 0$ and the conduction electron is free to move. The assumption is strong, but is made to simplify the calculation and get an estimate for the correction due to the Coulomb interaction. Numerically, the first order correction to the ground state of the exciton is given as

$$E_0^{(1)} = -0.034381123 \text{ eV}. \quad (2.31)$$

We can then find the corrections to the ground state wavefunction as well. We have terminated the summation at 15 terms, as the contribution from each excited wavefunction kept getting smaller as the energy increased.

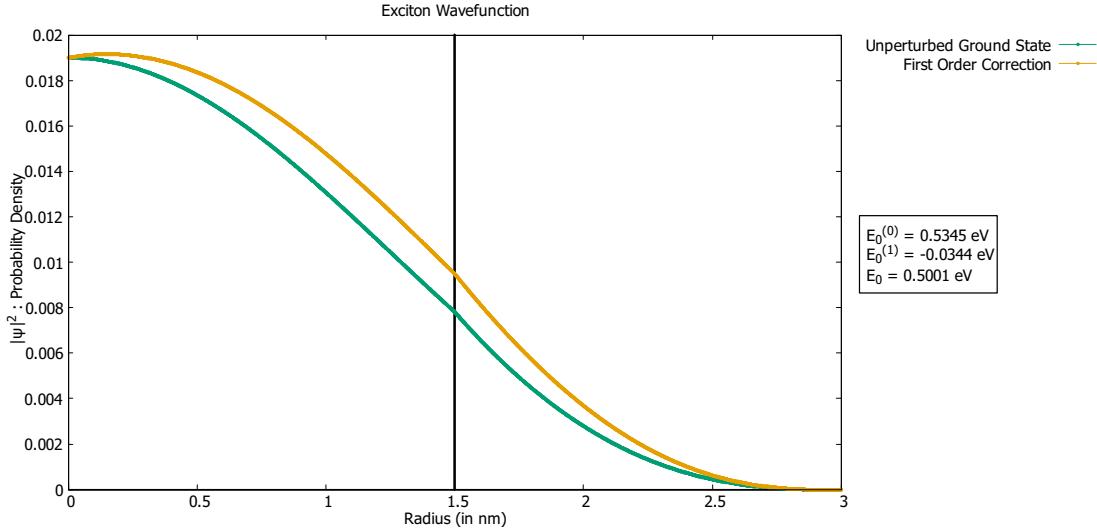


Figure 2.7. Unperturbed and corrected ground state wavefunction

2.4 Interaction of the Quantum Dot with a Weak Electric Field

The trapped quantum dot will be placed in close proximity to the mass-modulated attractor mass. We consider the origin of the coordinate system to lie at the center of the attractor mass and the quantum dot to lie along the z -axis, as shown in figure 2.8. Then the attractor mass is free to move along the xy -plane. The attractor mass will have some spatial variations of electrostatic potentials on its surface, which are known as patch potentials. The patch potentials on the surface of the attractor mass produces an electric field in space which interacts with the quantum dot. We can estimate the polarization of the dot arising due to this weak quantum mechanical interaction. The interaction Hamiltonian can be written as

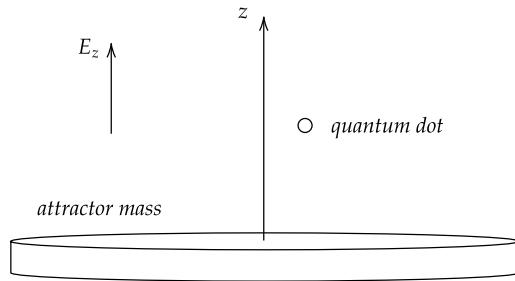


Figure 2.8. Attractor mass with quantum dot

$$\hat{H}_S = -\vec{p} \cdot \vec{F} = e\vec{r} \cdot \vec{F} = eFz. \quad (2.32)$$

To qualify as a weak interaction, the Stark energy must be smaller than the separation between adjacent energy levels. The average electric field at 200 nm from the disk due to the patch potentials moving in space will be estimated in the next chapter, but we can use the results here,

$$F_z^{avg} = 1.007 \times 10^3 \text{ Vm}^{-1}. \quad (2.33)$$

We use only the electric field along z -axis, as we see in chapter 4.3 that the fluctuations of electric field due to the patch potentials is the largest along the z -axis. We place the quantum dot at a height of $z = 200$ nm above the attractor mass. With this, we get the Stark energy is

$$eFz = 3.227 \times 10^{-23} \text{ J}.$$

We obtain the difference between the ground state and the first excited state from the table 2.2 and convert it to Joules as

$$\Delta E_n^{(0)} = 3.154 \times 10^{-20} \text{ J}.$$

Thus, we see that the Stark energy is much lower than the energy difference between adjacent states, and we can use the weak field effect approximation.

The calculation is done numerically, and the code is included in Appendix A.5. The first order correction to the ground state energy will be zero, due to the parity of the ground state. So, we will look at second order correction due to the perturbation, which is given as

$$E_n^{(2)} = \sum_{k=0, k \neq n}^{\infty} \frac{|\langle n | \hat{H}_S | k \rangle|^2}{E_n^{(0)} - E_k^{(0)}}. \quad (2.34)$$

We are only concerned with the ground state, so for us the expression will look like

$$E_0^{(2), Stark} = \sum_{k \neq 0}^{\infty} \frac{|\langle n = 0 | \hat{H}_S | k \rangle|^2}{E_0^{(0),C} - E_k^{(0),C}}. \quad (2.35)$$

We will use the corrected wavefunctions from the previous section for this calculation.

As the energy of the state $E_k^{(0)}$ increases, the denominator keeps increasing as well. So the contributing terms as a whole, keep decreasing. We can get an upper limit on the correction, if we replace all denominators with $E_0^{(0)} - E_1^{(0)}$, as

$$E_0^{(2),Stark} < \frac{e^2 F^2}{E_0^{(0),C} - E_1^{(0),C}} \sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2. \quad (2.36)$$

Now focusing on the summation term, we obtain

$$\sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = \sum_k |\langle 0|z|k\rangle|^2 - |\langle 0|z|0\rangle|^2.$$

We know that the expectation value of z for the ground state is zero, which allows us to drop the second term and write

$$\begin{aligned} &\implies \sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = \sum_k \langle 0|z|k\rangle \langle k|z|0\rangle, \\ &\implies \sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = \langle 0|z^2|0\rangle. \end{aligned}$$

Using the corrected ground state exciton wavefunction, $|n = 0\rangle = \psi_g^{exc}(r)$ from section 2.3 we calculate the correction term as

$$\implies \sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = \int_0^{2\pi} \int_0^{\pi} \int_{r=0}^{r=b} |\psi_g^{exc}(r)|^2 z^2 r^2 \sin(\theta) dr d\theta d\phi.$$

We also use $z = r \cos(\theta)$ in the above expression,

$$\implies \sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = 2\pi \int_0^{\pi} \cos^2(\theta) \sin(\theta) d\theta \int_{r=0}^{r=b} |\psi_g^{exc}(r)|^2 r^4 dr.$$

Thus we finally obtain

$$\sum_{k \neq 0}^{\infty} |\langle 0|z|k\rangle|^2 = \frac{4\pi}{3} \int_{r=0}^{r=b} |\psi_g^{exc}(r)|^2 r^4 dr. \quad (2.37)$$

We then get the upper limit to the second order correction as

$$E_0^{(2),Stark} < \frac{e^2 F^2}{E_0^{(0),C} - E_1^{(0),C}} \frac{4\pi}{3} \int_{r=0}^{r=b} |\psi_g^{exc}(r)|^2 r^4 dr. \quad (2.38)$$

The energy values of the states are the corrected values due to Coulomb interaction.

Once we have an upper limit to the second order correction to the energy due to Stark effect, we can find the upper limit to the quantum polarization of the dot. The dipole polarizability is the rate of change of the dipole moment with respect to the applied electric field. The dipole moment, in turn, is the change in energy with respect to the change in the electric field.

$$\alpha_{dot} = \frac{dp}{dF} = -\frac{d^2 E^{(2),Stark}}{dF^2}. \quad (2.39)$$

Thus, we obtain the upper limit on α_{dot} as

$$\alpha_{dot} < -\frac{8\pi}{3} \left(\frac{e^2}{E_0^{(0),C} - E_1^{(0),C}} \right) \int_{r=0}^{r=b} |\psi_g^{exc}(r)|^2 r^4 dr. \quad (2.40)$$

The integration is performed numerically and the detailed code is included in Appendix A.5.

We obtain the final result as

$$\alpha_{dot} < 2.48171631237367 \times 10^{-37} \text{ C m}^2 \text{ V}^{-1}. \quad (2.41)$$

We thus find that the quantum mechanically obtained upper limit to the polarizability is an order of magnitude smaller than the classical polarizability of the CdSe-CdS quantum dot obtained in equation (2.13). This can be due to the strong assumption made in chapter 2.4 that valence hole is located at $r = 0$.

For further calculations, we have used the classical polarizability of the quantum dot. The classical approximation is valid as the polarizability is a phenomenon exhibited by the complete quantum dot containing several electron-hole pairs, not just a single one. The radius of the quantum dot is also in the order of a few nanometers and classical approximations for its behavior can be made.

3. ELECTRIC FIELD DUE TO CONDUCTING DISK

The electrostatic potential on the surface of a conductor is not a constant quantity, and has spatial variations, which we can call as patch potentials [22]. These patch potentials give rise to a non-uniform electric field which in turn can give rise to a non-zero electrostatic energy between the conductor plate and any particle held in close proximity to it. In this chapter, we will derive and visualize the electric field generated in space due to patch potentials from a conductor plate.

We will first start with a single conducting disk and derive the electric field in space due to it. We will then derive the electrostatic interaction of a quantum dot placed 200 nm away from the conducting disk, as it moves over it along a straight line. This mimics the effect of moving the potential patch underneath the quantum dot.

In Section 3.1, we will first obtain the surface charge density of a conducting disk by approximating it to be a limiting case of an ellipsoid with one axis flattened. We will observe that the charge density tends to blow up the boundary of the disk, but has finite values everywhere else.

Once we have the surface charge density, in Section 3.2 we obtain the analytic expression for potential in space due to the conducting disk. We first start with potential along z -axis of the disk, perform a Taylor expansion about $z = a$, where a is the radius of the disk and compare it with a general power series expansion to obtain the coefficients. Then, the potential expression is generalized to all space.

In Section 3.3, we start with the expression for potential in all space and obtain the electric field due to the conducting disk in space. The calculations are all performed in the cylindrical coordinate system, and separate expressions are obtained regions inside and outside the sphere of radius a .

3.1 Surface Charge Density of a Conducting Disk

The first step to obtaining the electric field for a disk is to obtain its surface charge density. To calculate the surface charge density of the conducting disk, we need to consider it as a limiting case of a squashed ellipsoid, as shown in figure 3.1.

Let us consider an ellipsoid of focal length L , semi-major axis length b and semi-minor axis length a . Let distance of any point P from the two focii be r_+ and r_- respectively. Then, the ellipsoid surface containing the point P is the locus of points such that

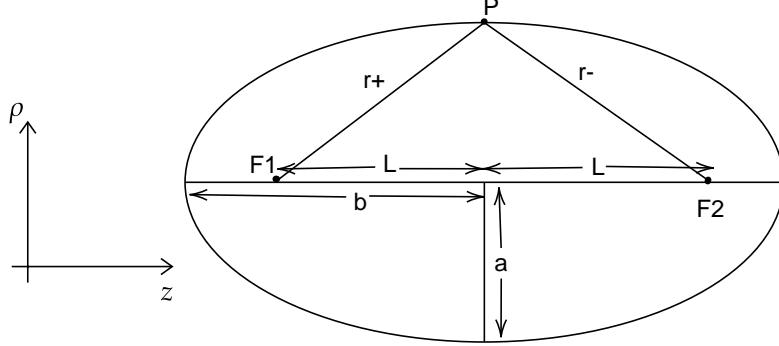


Figure 3.1. Ellipsoid

$$r_+ + r_- = 2b. \quad (3.1)$$

The charge density for the ellipsoid can be found the derivative of its surface potential.

$$\sigma = -\epsilon_0 \nabla \varphi \cdot \hat{n} \Big|_S. \quad (3.2)$$

To obtain the potential distribution in the ellipsoidal surface, we can solve for the equipotential surface of a line charge distribution.

Let us consider a line charge of length $2L$ and total charge Q . Let us assume it has uniform line charge density λ_{charge} . We can write the potential at any point $P(z, \rho)$ as

$$\varphi(z, \rho) = \frac{\lambda_{charge}}{4\pi\epsilon_0} \int_{-L}^L \frac{dz'}{\sqrt{(z' - z)^2 + \rho^2}}. \quad (3.3)$$

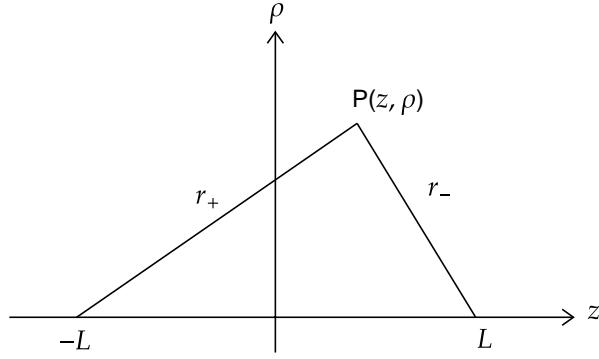


Figure 3.2. Line charge

Solving the above integral we obtain

$$\therefore \varphi(z, \rho) = \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{z + L + \sqrt{(L+z)^2 + \rho^2}}{z - L + \sqrt{(L-z)^2 + \rho^2}} \right]. \quad (3.4)$$

We can now define a new coordinate system.

$$r_{\pm} = \sqrt{\rho^2 + (L \pm z)^2}, \quad (3.5)$$

$$u = \frac{1}{2}(r_+ + r_-), \quad (3.6)$$

$$t = \frac{1}{2}(r_+ - r_-). \quad (3.7)$$

Rewriting the potential (3.4) in the new coordinate system, we obtain

$$\varphi(u, L) = \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{u + L}{u - L} \right]. \quad (3.8)$$

So, we observe that φ is independent of t . As L is a constant, φ is constant when u is constant. So, equipotential surfaces defined by the above potential are surfaces of constant u , i.e., they are ellipsoids.

Setting $u = b$, we can superimpose a conducting ellipsoid on any of the equipotential surfaces. Now we can use (3.2) and (3.8) to find $\sigma(r_S)$ for the ellipsoid. The detailed derivation is performed in Appendix B.1 and the final expression is obtained as

$$\sigma(z, \rho) = \frac{Q}{4\pi a^2 b} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)^{-1/2}. \quad (3.9)$$

The above equation is valid for any ellipsoid. In the $b \rightarrow 0$ limit it collapses to a flat circular disk of radius a . To avoid singularity issues in this limit, we can rewrite (3.9) as

$$\boxed{\sigma(\rho) = \frac{Q}{4\pi a^2} \left[\frac{\rho^2}{a^2} \left(\frac{b^2}{a^2} - 1 \right) + 1 \right]^{-1/2}}. \quad (3.10)$$

In the $b \rightarrow 0$ limit, we obtain

$$\boxed{\sigma(\rho) = \frac{Q}{4\pi a} \frac{1}{\sqrt{a^2 - \rho^2}}}. \quad (3.11)$$

This relationship tells us that at the center of the disk, the charge density is the least, and it steadily increases as we approach the boundary. As $\rho \rightarrow a$, the charge density blows up as $\sigma \rightarrow \infty$.

3.2 Potential due to the Conducting Disk in Space

Now that we have obtained the surface charge density of the disk (3.11), we can calculate the potential in space due to it. We will first focus on potential along the z-axis of the disk, then generalize it to all space.

The circular disk has some radius a and the point P lies along the z-axis. The potential at point P can be written as

$$V(\rho, z) = \frac{1}{4\pi\epsilon_0} \int \frac{\sigma(\rho') \rho' d\rho' d\phi'}{\sqrt{z^2 + \rho'^2}}. \quad (3.12)$$

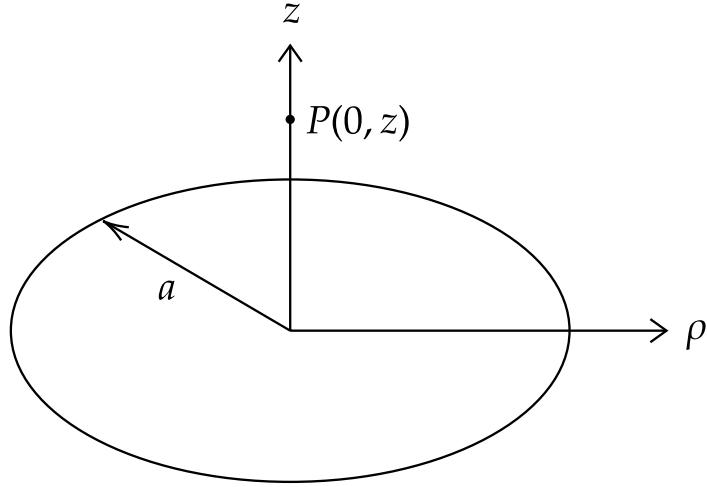


Figure 3.3. Potential along z-axis

Due to azimuthal symmetry, $d\phi'$ integrates out to 2π . The detailed derivation is performed in Appendix B.2. We find the final expression for the potential as

$$V(z) = \frac{Q}{8\pi\epsilon_0 a} \left[\frac{\pi}{2} - \tan^{-1} \left(\frac{z}{a} \right) \right] = \frac{Q}{8\pi\epsilon_0 a} \tan^{-1} \left(\frac{a}{z} \right). \quad (3.13)$$

We can write the most general expression for $V(z)$ as a power series,

$$V(z) = \sum_{l=0}^{\infty} \left[\frac{A_l}{z^{l+1}} + B_l z^l \right]. \quad (3.14)$$

For a sphere of radius a centered at the origin, the potential has different expansions inside and outside the sphere,

$$\text{For } z < a : \quad V(z) = \sum_{l=0}^{\infty} B_l z^l; \quad A_l = 0 \text{ as } z^{-(l+1)} \rightarrow \infty, \quad (3.15)$$

$$\text{For } z > a : \quad V(z) = \sum_{l=0}^{\infty} \frac{A_l}{z^{l+1}}; \quad B_l = 0 \text{ as } z^l \rightarrow \infty. \quad (3.16)$$

We need to use the two different expressions for the potential in (3.13) for the two regions in space.

Case 1 : $z \leq a$

We use the first expression for the potential in (3.13) as in this case we have $\frac{z}{a} < 1$ as $z < a$. We can then do a Taylor expansion of $\tan^{-1}\left(\frac{z}{a}\right)$ about 0,

$$V(z) = \frac{Q}{8\pi\epsilon_0 a} \left[\frac{\pi}{2} - \frac{z}{a} + \frac{1}{3} \left(\frac{z}{a} \right)^3 - \frac{1}{5} \left(\frac{z}{a} \right)^5 + \dots \right]. \quad (3.17)$$

Comparing the equations (3.17) and (3.15), we can obtain a general equation for the coefficients B_l for the expansion,

$$B_n = \begin{cases} \frac{Q}{16\epsilon_0 a} & : n = 0, \\ \frac{Q}{8\pi\epsilon_0} \frac{(-1)^{\frac{n+1}{2}}}{na^{n+1}} & : n = \text{odd}, \\ 0 & : n = \text{even, } n \neq 0. \end{cases} \quad (3.18)$$

Case 2 : $z \geq a$

We now use the second expression for the potential in (3.13) as in this case we have $\frac{a}{z} < 1$ as $a < z$. We can then do a Taylor expansion of $\tan^{-1}\left(\frac{a}{z}\right)$ about 0,

$$V(z) = \frac{Q}{8\pi\epsilon_0 a} \left[\frac{a}{z} - \frac{1}{3} \left(\frac{a}{z} \right)^3 + \frac{1}{5} \left(\frac{a}{z} \right)^5 + \dots \right]. \quad (3.19)$$

Comparing the equations (3.19) and (3.16), we can obtain a general equation for the coefficients A_l for the expansion,

$$A_n = \begin{cases} \frac{Q}{8\pi\epsilon_0} \frac{(-1)^{\frac{n}{2}} a^n}{(n+1)} & : n = \text{even}, \\ 0 & : n = \text{odd}. \end{cases} \quad (3.20)$$

Now that we have the expression for potential along z -axis, we can generalize it to all space. The coefficients determined remain the same,

$$V(r, \theta) = \sum_{l=0}^{\infty} \left[\frac{A_l}{r^{l+1}} + B_l r^l \right] P_l(\cos(\theta)) . \quad (3.21)$$

We can also perform a coordinate transformation and write the potential in the cylindrical coordinate system as

$$V(\rho, z) = \sum_{l=0}^{\infty} \left\{ \frac{A_l}{(\rho^2 + z^2)^{\frac{l+1}{2}}} + B_l (\rho^2 + z^2)^{\frac{l}{2}} \right\} P_l \left(\frac{z}{\sqrt{\rho^2 + z^2}} \right) . \quad (3.22)$$

3.3 Electric Field due to Conducting Disk in Space

We start with the expression for the potential in the cylindrical coordinate system given in (3.22). The electric field can be calculated separately for regions inside and outside the sphere of radius a .

Case 1 : $r \leq a \implies (\rho^2 + z^2)^{1/2} \leq a$

For inside the sphere, the potential is written as

$$V^{in}(\rho, z) = \sum_{l=0}^{\infty} B_l (\rho^2 + z^2)^{l/2} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) . \quad (3.23)$$

The electric field inside the sphere can be obtained by taking the gradient of the potential,

$$\vec{E}^{in}(\rho, z) = -\vec{\nabla} V^{in}(\rho, z) ,$$

$$\therefore \vec{E}^{in}(\rho, z) = - \left[\underbrace{\frac{\partial V^{in}}{\partial \rho}(\rho, z) \hat{\rho}}_{E_\rho^{in}} + \frac{1}{\rho} \underbrace{\frac{\partial V^{in}}{\partial \phi}(\rho, z) \hat{\phi}}_0 + \underbrace{\frac{\partial V^{in}}{\partial z}(\rho, z) \hat{z}}_{E_z^{in}} \right].$$

The ρ and z components can be solved separately for ease of calculation. The complete derivation for the same can be found in Appendix B.3. The final expressions are obtained as

$$E_{\rho}^{in} = \sum_{l=0}^{\infty} B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \left\{ \frac{z}{\rho} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - \frac{(\rho^2 + z^2)}{\rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\}, \quad (3.24)$$

$$E_z^{in} = \sum_{l=0}^{\infty} \left\{ -B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\}. \quad (3.25)$$

Case 2 : $r \geq a \implies (\rho^2 + z^2)^{1/2} \geq a$

The potential outside the sphere can be written as

$$V^{out}(\rho, z) = \sum_{l=0}^{\infty} \frac{A_l}{(\rho^2 + z^2)^{\frac{l+1}{2}}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right). \quad (3.26)$$

We obtain the electric field by taking the gradient of the potential, similar to the previous case. The detailed derivation can be found in Appendix B.3. The final results are given as

$$E_{\rho}^{out} = \sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[\frac{l(\rho^2 - z^2) + \rho^2}{\rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + l \frac{z}{\rho} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right], \quad (3.27)$$

$$E_z^{out} = \sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[z(2l+1) P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - l \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \quad (3.28)$$

4. ELECTROSTATIC INTERACTION OF A QUANTUM DOT WITH MOVING PATCH POTENTIALS

Now that we have the electric field in space due to a single conducting disk, we can numerically solve for electric field in space for multiple overlapping disks. For our setup, we have a mass modulated attractor mass placed approximately 200 nm away from the quantum dot. The attractor mass would form one of the mirrors for the cavity which will be movable, and is expected to have patch potentials on the surface due to its metallic nature.

As a consequence of the patch potentials, we expect to see a non-negligible electrostatic interaction between the quantum dot and the attractor mass, which would be time varying, or moving with respect to the dot. We will start by estimating the electrostatic interaction energy of the quantum dot with one moving patch, and then obtain the results for higher number of moving patches and multiple possible trajectories.

In Section 4.1 we simulate the patch potentials and attempt to fill up the attractor mass surface of a given size with randomly generated conducting disks with varying sizes and potentials distributed in space. We find that using $N = 3000$ disks gives us sufficient spatial coverage.

In Section 4.2 we use the attractor mass surfaces generated for various number of conducting disks N , and obtain the electrostatic interaction energy of the quantum dot with the surface. For these simulations, we assume the quantum dot to be placed 200 nm above the surface, and moving on a straight line. We look into the variation of electrostatic interaction for a limited number of trajectories for varying number of conductor disks.

In Section 4.3, we only consider the attractor mass surface with $N = 3000$ conducting disks. We then consider closely spaced trajectories, constant X and constant Y lines, spaced at every $0.2 \mu\text{m}$ from $0 \mu\text{m}$ to $100 \mu\text{m}$ along both x - and y -axes. The electric field experienced at any spatial point by the dot is a superposition of the electric fields due to all 3000 disks making up the surface. This gives us the complete mapping of the electrostatic interaction energy between the quantum dot and attractor mass in space.

4.1 Simulating Patch Potentials

We will first start with simulating a conductor plate of size $100\text{ }\mu\text{m} \times 100\text{ }\mu\text{m}$ composed of conducting disks of varying radii and base potential values. Assuming we have a gold plating on our conductor plate, we take the following steps to generate the surface [22] :

1. The radius (a) of each disk is chosen from a Gaussian distribution with $a_{avg} = 3\text{ }\mu\text{m}$ and standard deviation= $1\text{ }\mu\text{m}$, motivated by [22].
2. The x - and y -coordinate of the center (x, y) of each circle is chosen randomly from a uniform distribution between $0\text{ }\mu\text{m}$ to $100\text{ }\mu\text{m}$, respectively.
3. The z -coordinate of all disks is 0.
4. The potential (V_0) for each disk is chosen from a Gaussian distribution with $V_0^{avg} = 0$ V and standard deviation= 10^{-3} V, motivated by [22].

The charge for each patch can be related to the voltage by using equation (3.23). We can evaluate the potential at $z = 0$, which should give us V_0 . Thus, we obtain

$$Q = 16\epsilon_0 a V_0 . \quad (4.1)$$

The goal of generating these patch potentials is to fill the $100\text{ }\mu\text{m} \times 100\text{ }\mu\text{m}$ conductor plate. The complete code is provided in Appendix C.1. The results for $N = 1, 5, 100, 1000, 3000, 5000$ are included in figure 4.1.

We find that using $N = 3000$ disks gives us sufficient coverage, and we use the same for the complete analysis of electrostatic energies. We do not use the $N = 5000$ disks surface, even though it provides complete coverage, in favor of better computational speed.

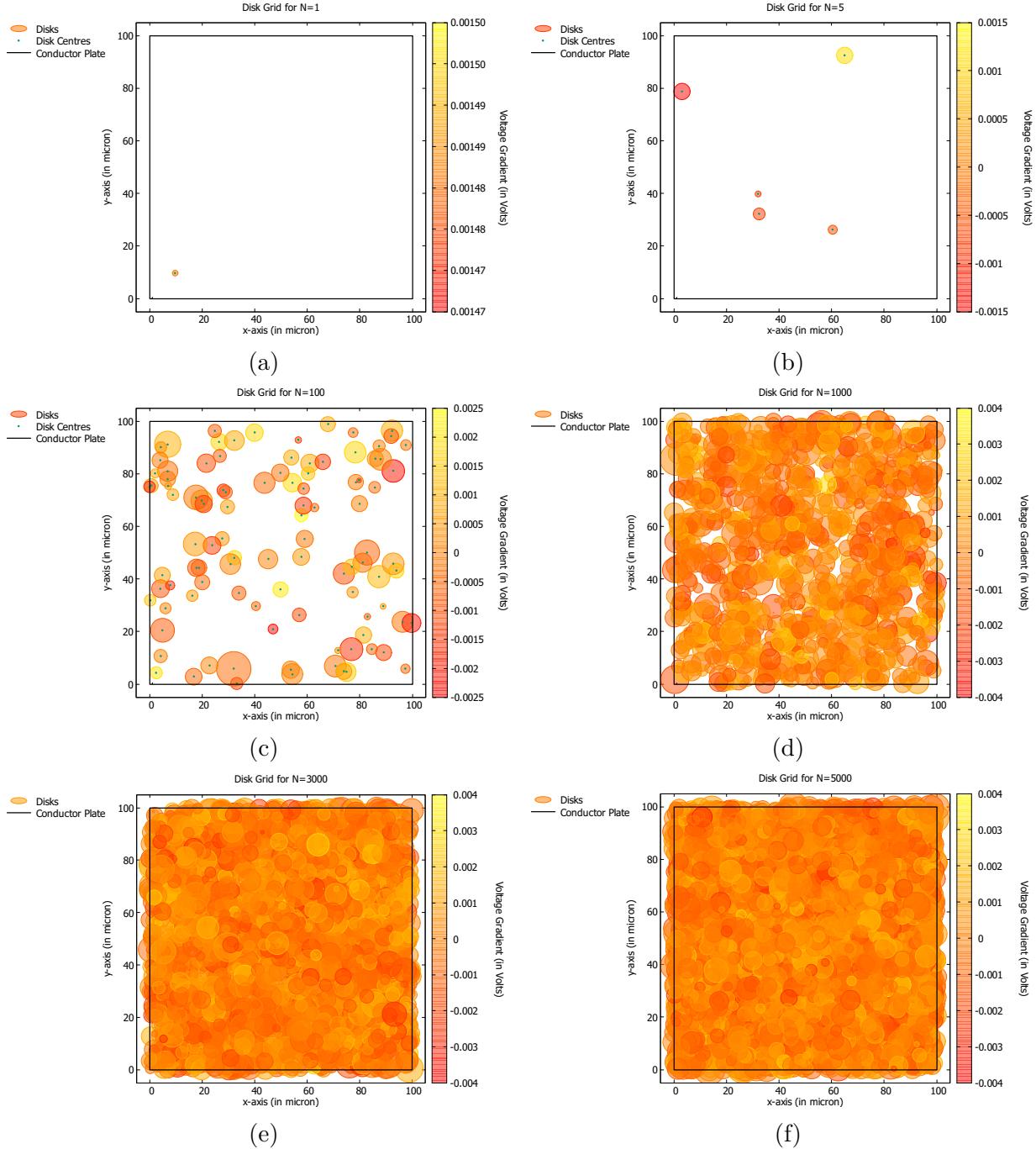


Figure 4.1. Coverage for (a) $N=1$, (b) $N=5$, (c) $N=100$, (d) $N=1000$, (e) $N=3000$ and (f) $N=5000$ randomly generated disks

4.2 Electrostatic Interaction with Moving Patches

The electrostatic interaction energy of a polarizable particle with an electric field in space is given as

$$U = \alpha |\vec{E}|^2. \quad (4.2)$$

α is the polarizability of the particle. The net electric field at any spatial point due to the patch potentials can be obtained by taking the vector sum of the electric fields due to each patch.

We can mimic the effect of the attractor mass moving by considering the quantum dot to be moving in defined trajectories over it. The electrostatic energy fluctuates as the dot moves and the solution needs to be found numerically due to its complexity. The general algorithm followed is outlined below. The complete code can be found in Appendix C.2.

1. Generate the attractor mass surface as a superposition of conducting disks. This has been performed in the previous section.
2. Define the trajectory of the dot over the attractor mass and parametrize it. This forms the outermost loop of the code.
3. For every point (x, y, z_{dot}) in the chosen/defined trajectory, the electric field due to every disk needs to be evaluated. This needs to be done in a few steps.
 - i.) For each disk, identify if the point (x, y, z_{dot}) lies inside or outside the sphere defined by it, as that decides which expression for the electric field to use, i.e.,

$$\sqrt{(x - x_{disk})^2 + (y - y_{disk})^2 + z_{dot}^2} \geq a_{disk} \implies \text{dot outside sphere} \implies E_\rho^{out} \text{ and } E_z^{out},$$

$$\sqrt{(x - x_{disk})^2 + (y - y_{disk})^2 + z_{dot}^2} \leq a_{disk} \implies \text{dot inside sphere} \implies E_\rho^{in} \text{ and } E_z^{in}.$$

- ii.) Once we know which expressions to use, an estimation needs to be obtained for the infinite series. The series $E_{\rho/z}^{in/out}$ are assumed to converge when the higher terms change the value of $E_{\rho/z}^{in/out}$ by less than 0.01%. The converged series gives us the ρ - and z -electric fields as $E_{\rho,disk}$ and $E_{z,disk}$ respectively.

- iii.) From the calculated $E_{\rho,disk}$, obtain the $E_{x,disk}$ and $E_{y,disk}$ at that point (x, y) . $E_{z,disk}$ obtained is used as is.

$$E_{x,disk} = \frac{x - x_{disk}}{\sqrt{(x - x_{disk})^2 + (y - y_{disk})^2}} E_{\rho,disk}, \quad (4.3)$$

$$E_{y,disk} = \frac{y - y_{disk}}{\sqrt{(x - x_{disk})^2 + (y - y_{disk})^2}} E_{\rho,disk}. \quad (4.4)$$

4. Repeat step 3 for all disks in the attractor mass surface. The total electric field along each axis at point (x, y, z_{dot}) is obtained by summing them all up.

$$E_{x/y/z}^{tot}(x, y, z_{dot}) = \sum_{all\ disks} E_{x/y/z,disk}. \quad (4.5)$$

5. Calculate the electrostatic energy at point (x, y, z_{dot}) .

$$U(x, y, z_{dot}) = \alpha \left[(E_x^{tot})^2 + (E_y^{tot})^2 + (E_z^{tot})^2 \right]. \quad (4.6)$$

6. Repeat steps 3, 4 and 5 for every point on the trajectory.
 7. Repeat steps 2-6 for multiple chosen trajectories at desired spacing to generate a map of electrostatic energy at all points above the attractor mass.

In this section, we will be including the results for a limited number of trajectories for a varying number of conductor disks to understand how the electrostatic energy varies in space.

Case 1 : N=1 Disk

We will start with generating one disk, and obtaining the electrostatic energy plot as the quantum dot moves in a straight line across it.

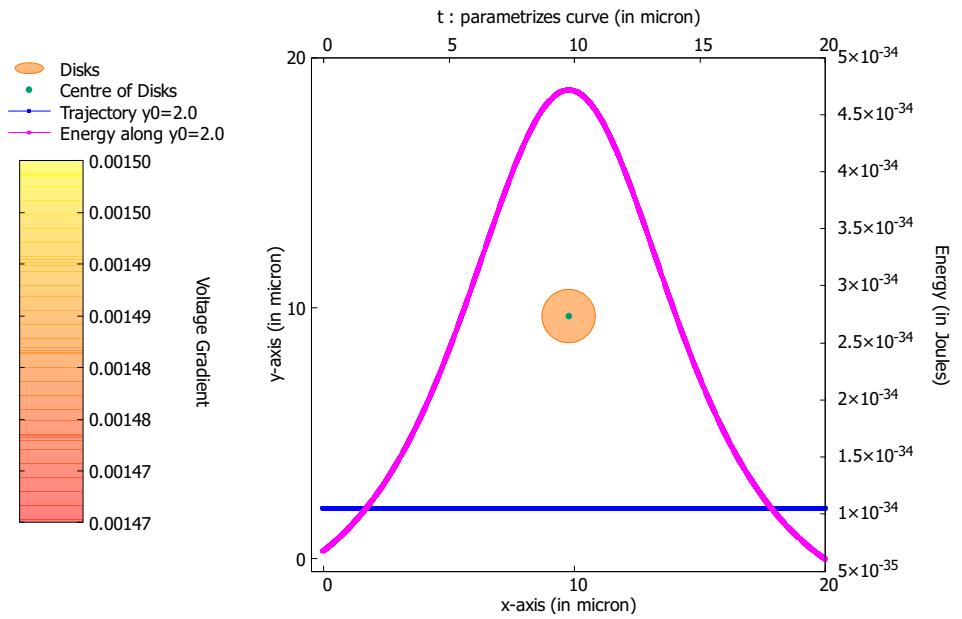


Figure 4.2. Energy for $y_0 = 2.0$ trajectory with $N = 1$ disk

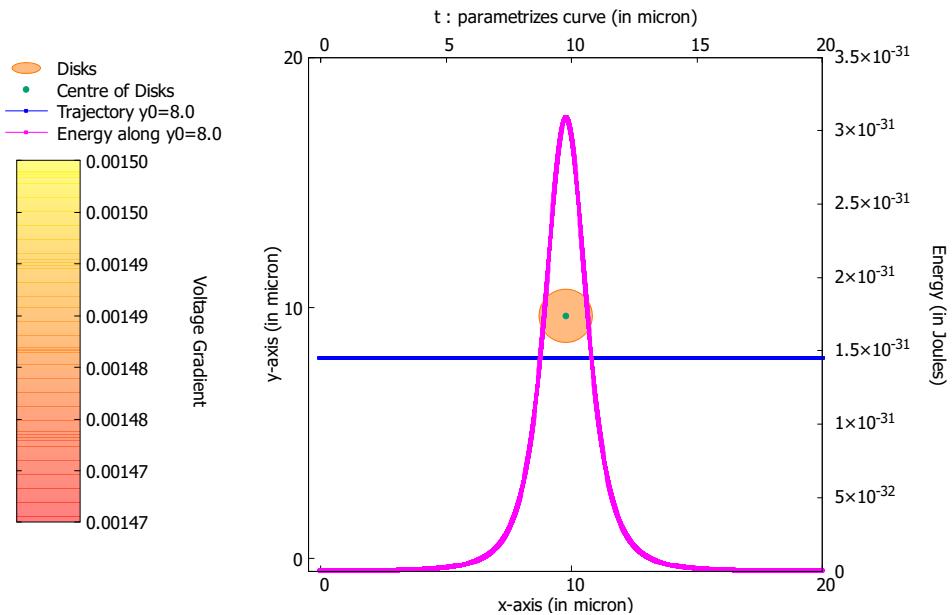


Figure 4.3. Energy for $y_0 = 8.0$ trajectory with $N = 1$ disk

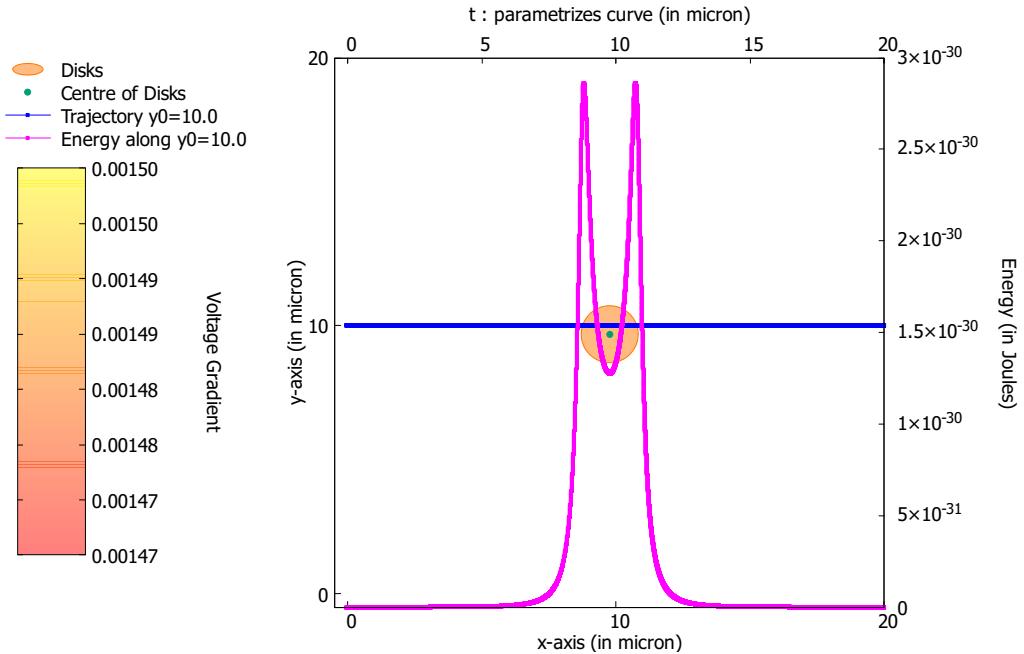


Figure 4.4. Energy for $y_0 = 10.0$ trajectory with $N = 1$ disk

Here we have considered constant y-lines for our trajectories. We observe in figures 4.2, 4.3 and 4.4 that the electrostatic energy peaks around the edges of the patch potential. We expect this behavior as the surface charge density of a patch tends to infinity at the boundary, as illustrated in equation (2.24). For all the plots, the dot remains at a height of $z_{dot} = 200$ nm above the patch potential surfaces.

Case 2 : N=2 Disks

As the number of disks increase, the dependence of energy also becomes more and more complicated. For $N = 2$ disks, we see illustrated in figures 4.5 and 4.6, a dependence similar to $N = 1$ when the trajectory is closer to one of the disks, but it changes for trajectories going between the two disks.

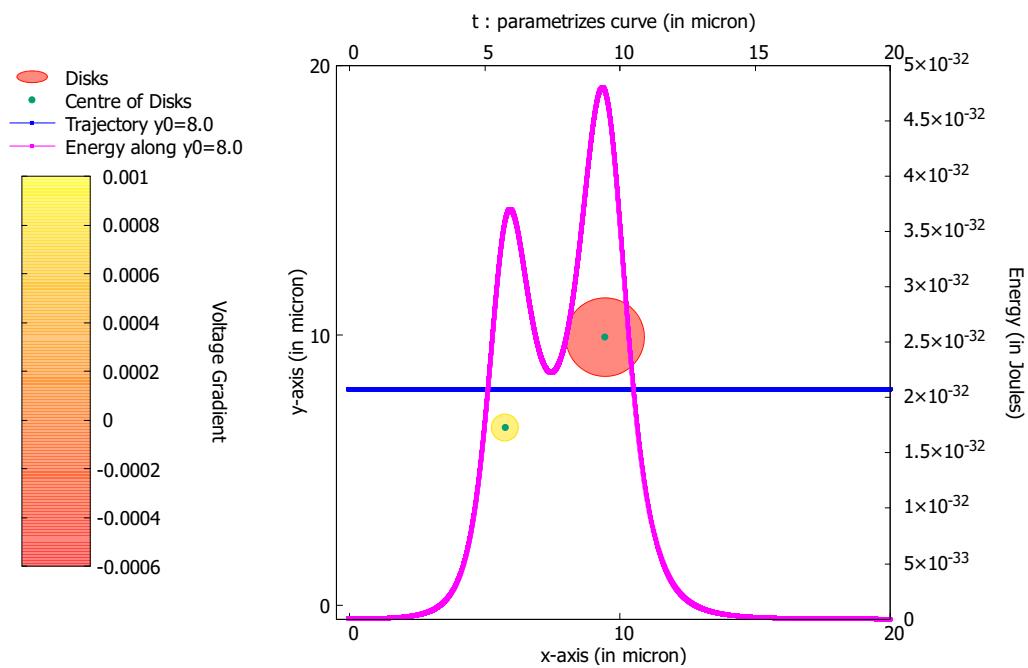


Figure 4.5. Energy for $y_0 = 8.0$ trajectory with $N = 2$ disks

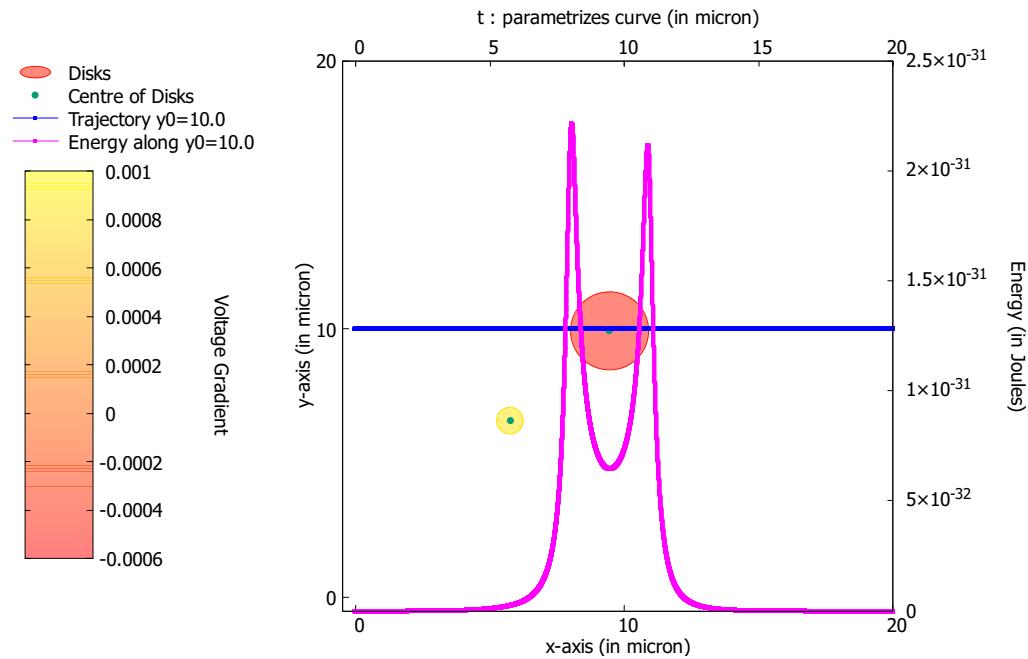


Figure 4.6. Energy for $y_0 = 10.0$ trajectory with $N = 2$ disks

Case 3 : N=5 Disks

We now have 5 disks sparsely distributed in space, illustrated in figures 4.7 and 4.8.

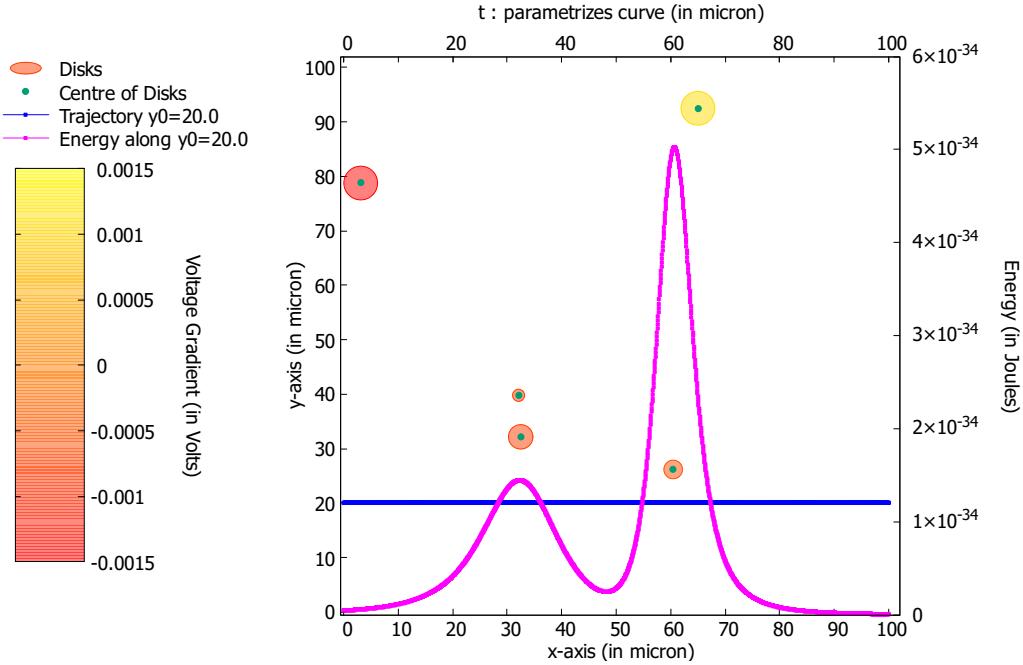


Figure 4.7. Energy for $y_0 = 20.0$ trajectory with $N = 5$ disks

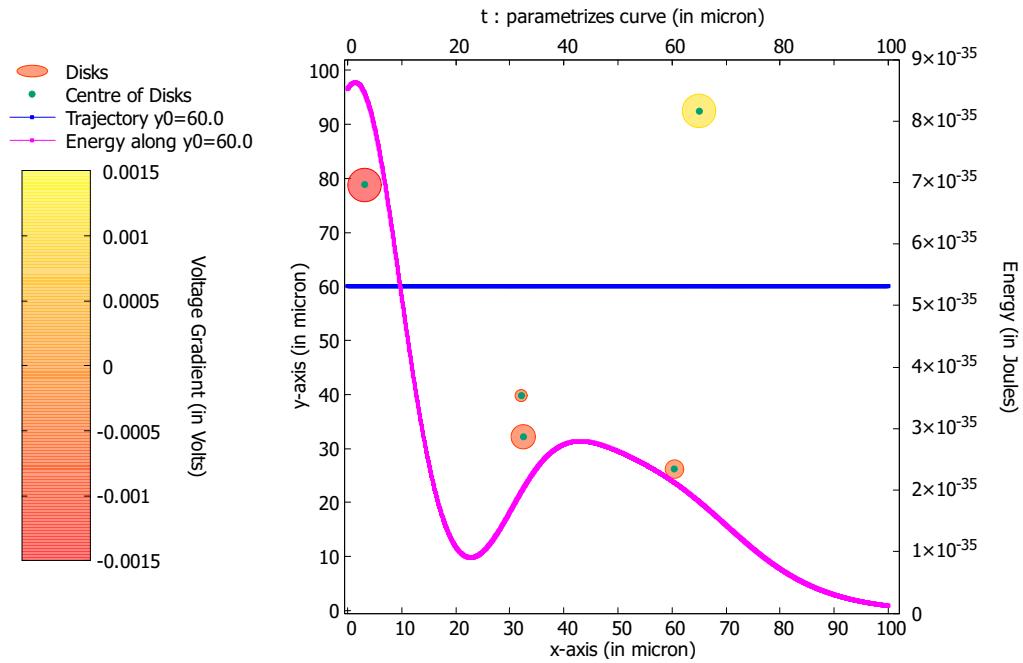


Figure 4.8. Energy for $y_0 = 60.0$ trajectory with $N = 5$ disks

Case 4 : N=100 Disks

On increasing the number of disks, we start seeing the fluctuations more prominently, illustrated in figures 4.9, 4.10, 4.11 and 4.12.

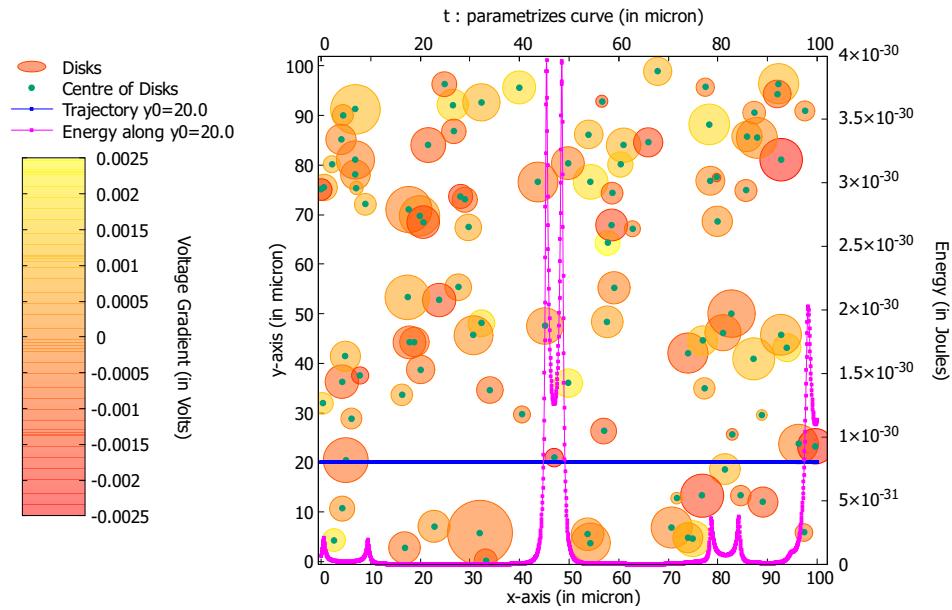


Figure 4.9. Energy for $y_0 = 20.0$ trajectory with $N = 100$ disks

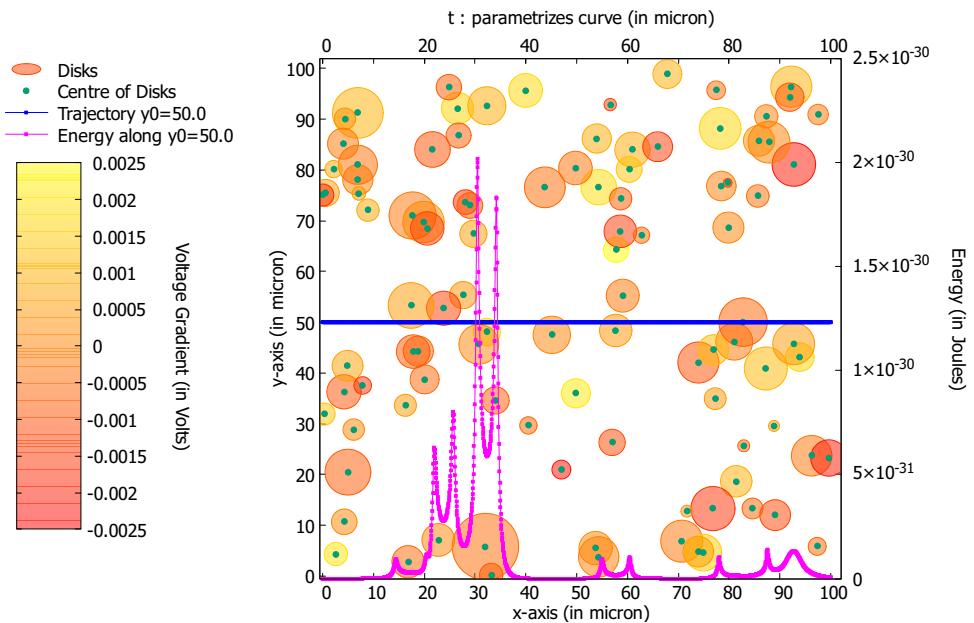


Figure 4.10. Energy for $y_0 = 50.0$ trajectory with $N = 100$ disks

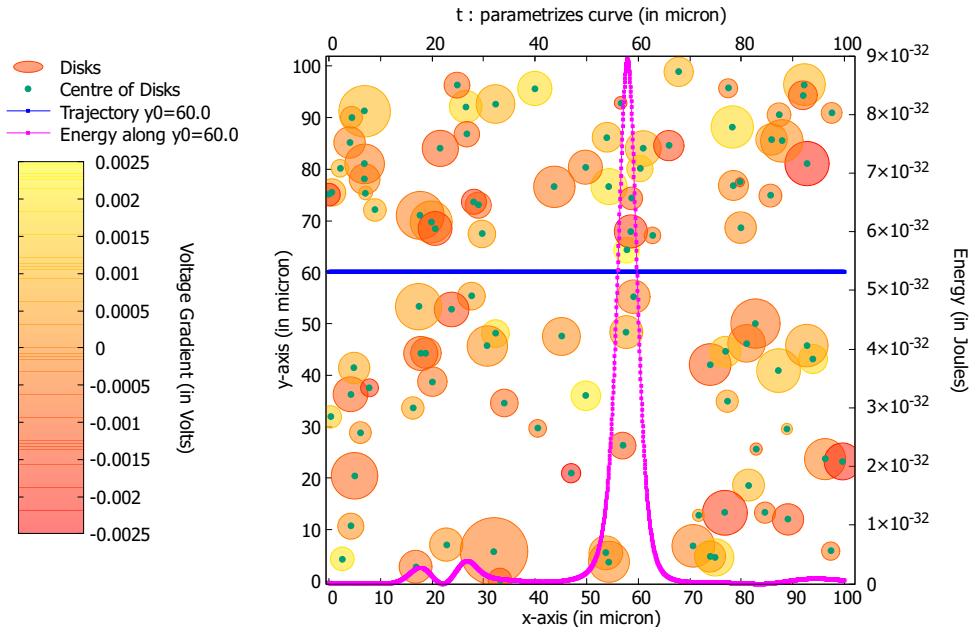


Figure 4.11. Energy for $y_0 = 60.0$ trajectory with $N = 100$ disks

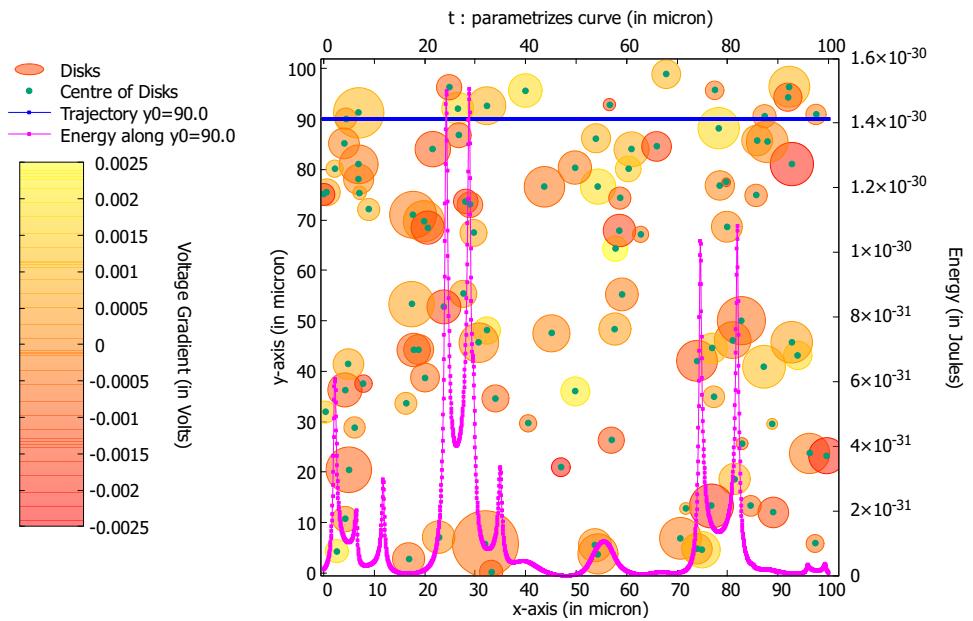


Figure 4.12. Energy for $y_0 = 90.0$ trajectory with $N = 100$ disks

4.3 Mapping of Electric Fields and Electrostatic Energy for N=3000 Disks

In this section, we use the simulation of $N = 3000$ randomly generated conducting disks in superposition to produce the surface of the attractor mass, as illustrated in figure 4.13.

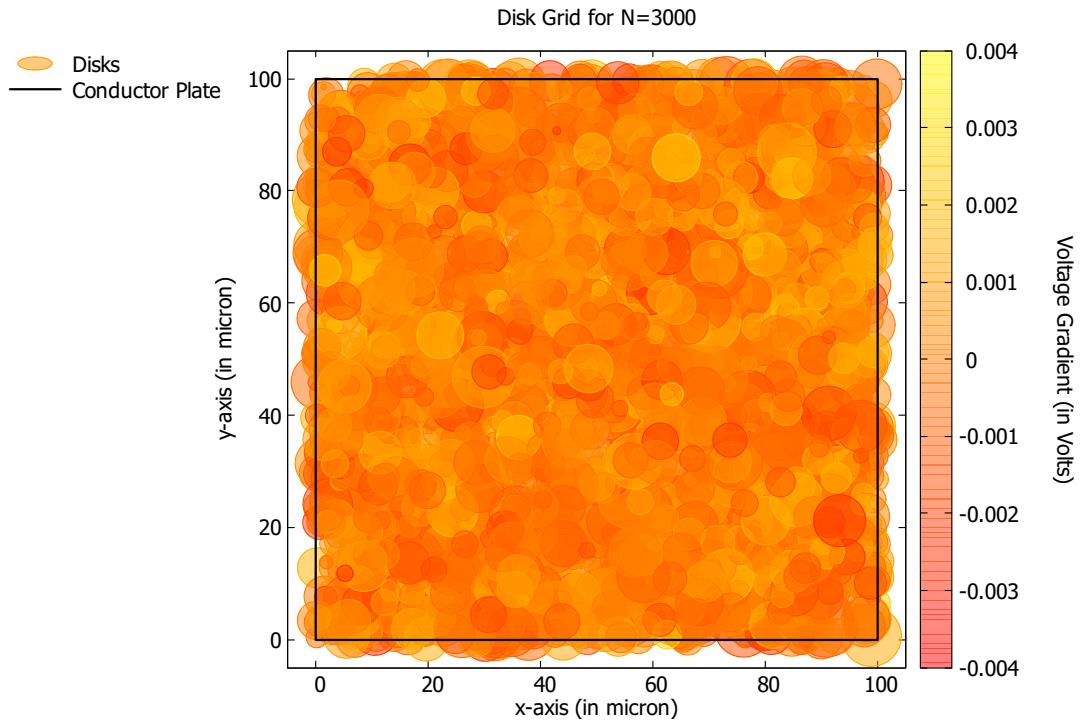


Figure 4.13. Attractor mass surface with N=3000 patch potentials

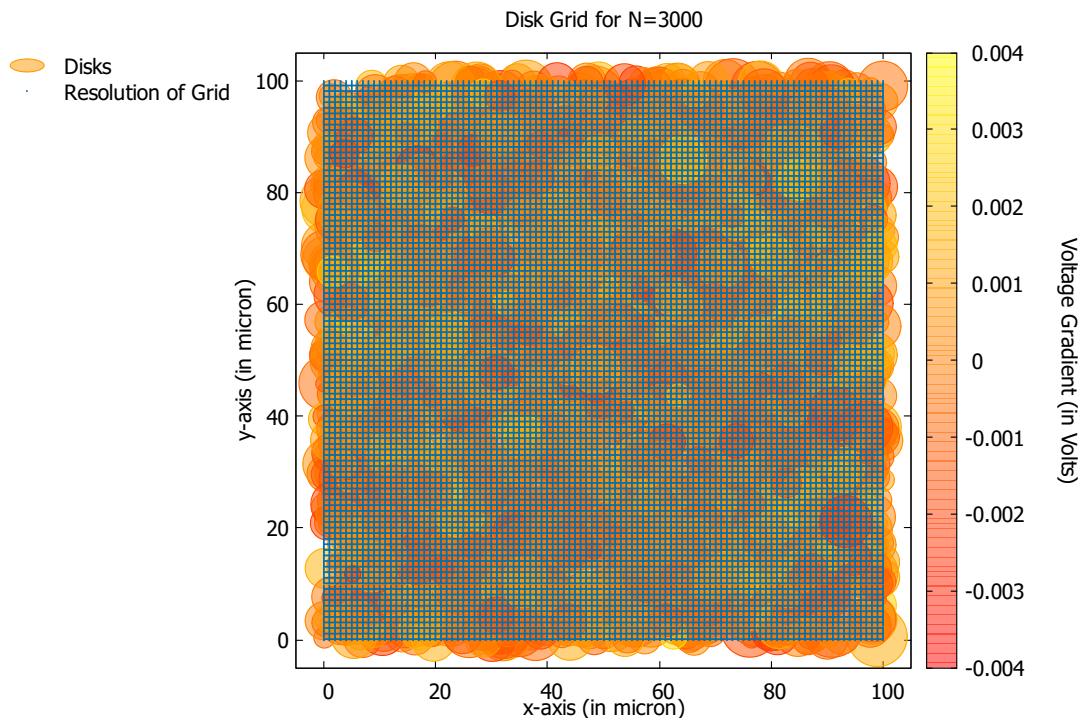


Figure 4.14. Grid produced by trajectories above attractor mass

Once we have the attractor mass surface, we consider closely spaced trajectories of constant X and Y lines, generated at spacings of $0.2\text{ }\mu\text{m}$ from $0\text{ }\mu\text{m}$ to $100\text{ }\mu\text{m}$, shown in figure 4.14. The grid generated by trajectory maps the variation of electric fields and electrostatic energies over the entire surface of the conductor plate, at a height of $z_{dot} = 200\text{ nm}$ above it.

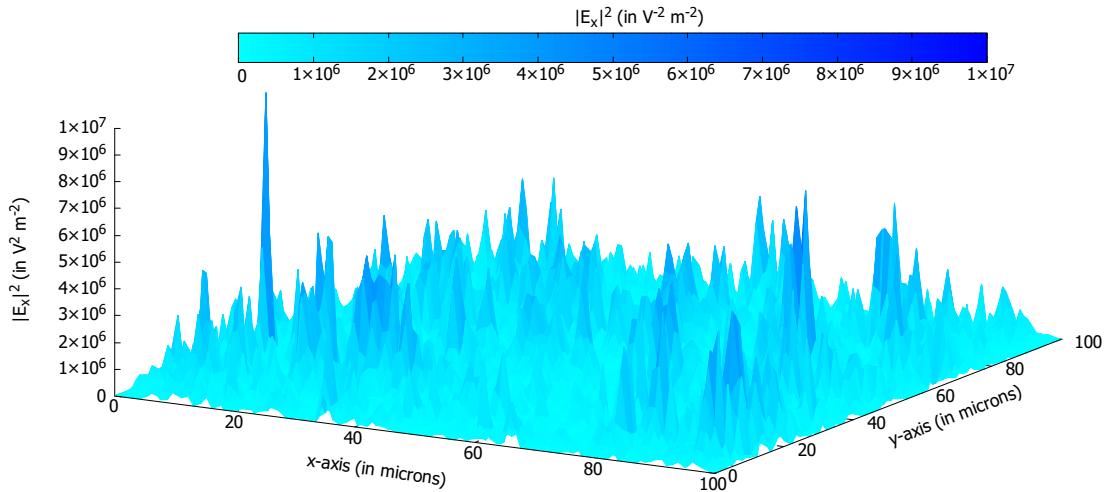


Figure 4.15. Variation of $|E_x|^2$ over attractor mass

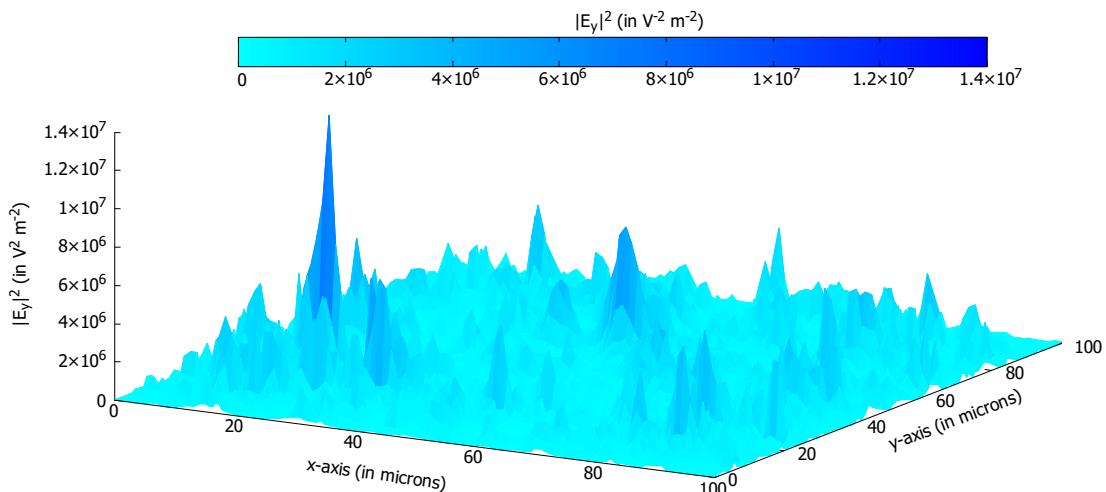


Figure 4.16. Variation of $|E_y|^2$ over attractor mass

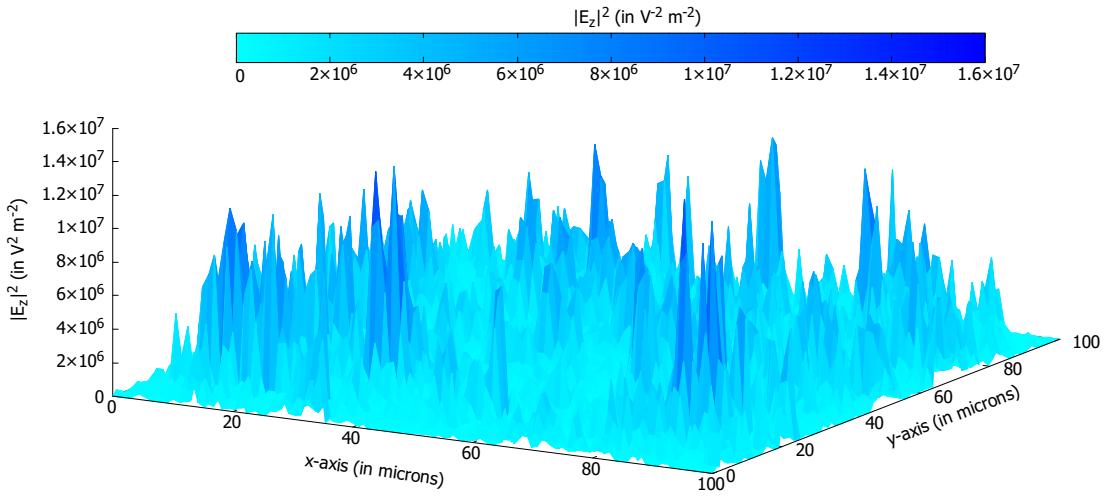


Figure 4.17. Variation of $|E_z|^2$ over attractor mass

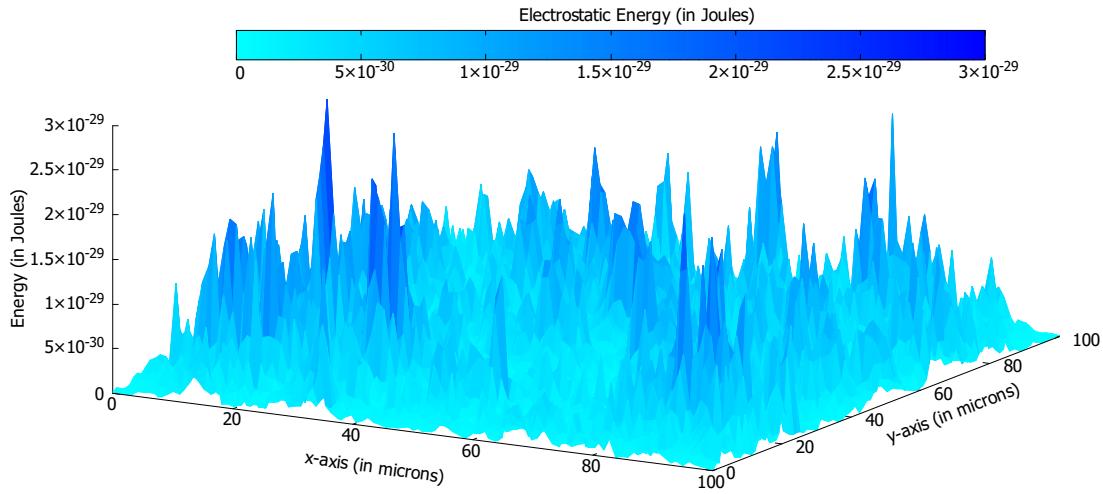


Figure 4.18. Variation of electrostatic energy over attractor mass

The fluctuations of electric fields along x -, y - and z -directions, along with the variation in electrostatic potential energy of the system at every point above the surface of the conductor, obtained numerically, is illustrated in figures 4.15, 4.16, 4.17 and 4.18, respectively. We observe that the fluctuations in $|E_z|^2$ are the largest, compared to $|E_x|^2$ and $|E_y|^2$. We can

perform statistical analysis on the mod-square of the electric fields to obtain the average and standard deviation values.

$$\text{Average value of } |E_x|^2 \text{ over all trajectories} = 474210.62365 \text{ V}^2 \text{ m}^{-2}, \quad (4.7)$$

$$\text{Standard Deviation of } |E_x|^2 \text{ over all trajectories} = 730011.950865 \text{ V}^2 \text{ m}^{-2}, \quad (4.8)$$

$$\text{Average value of } |E_y|^2 \text{ over all trajectories} = 467177.47843 \text{ V}^2 \text{ m}^{-2}, \quad (4.9)$$

$$\text{Standard Deviation of } |E_y|^2 \text{ over all trajectories} = 731587.38545 \text{ V}^2 \text{ m}^{-2}, \quad (4.10)$$

$$\text{Average value of } |E_z|^2 \text{ over all trajectories} = 966950.97306 \text{ V}^2 \text{ m}^{-2}, \quad (4.11)$$

$$\text{Standard Deviation of } |E_z|^2 \text{ over all trajectories} = 1503264.05289 \text{ V}^2 \text{ m}^{-2}, \quad (4.12)$$

The electric field squared along the z -direction is the strongest compared to the x - and y -directions. It makes sense as the electric fields along the z -axis get all added up, while the electric fields along x - and y -directions can have some contributions canceled out.

5. VARIATION IN TRAP POTENTIAL PARAMETERS

Optical trapping is an experimental technique in which highly focused laser beams are used to trap or levitate particles by counteracting the gravitational force using optical forces. The electric trapping potential, which has a Gaussian intensity profile, can be approximated to a simple harmonic potential about the focal point. To observe the quantum mechanical nature of the harmonic oscillator, the trapped particle must be cooled down to an appropriate temperature. But even under ideal temperature conditions, the discrete energy levels of the oscillator obtain a spread due to interactions with other components of the experimental setup or the inherent noise of a laser. In this chapter, we will be summarizing the effect of two major noise contributions which deform the harmonic oscillator levels.

In Section 5.1, we will be estimating the spread in the energy levels due to presence of a weak electric field in space. We are interested in this scenario as our experimental setup includes an optically trapped quantum dot in close proximity to a mass-modulated attractor mass which would have patch potentials on its surface due to its metallic nature. The electric field produced due to these patch potentials produces a non-negligible correction to the energy levels.

In Section 5.2, we will consider the shot noise of the trapping laser beam, which is a property of the electromagnetic field itself and cannot be ignored. The shot noise of the laser affects the power of the laser, which in turn affects the spring constant of the trap potential. The spring constant of the trap potential directly affects the separation of energy levels of the harmonic oscillator and causes a spread in its levels.

5.1 Dispersion of Energy Levels due to Electric Field

The trapping potential of the laser can be approximated with a 3-dimensional harmonic oscillator, about the focal point of the trap, where Ω represents the frequency of the oscillator.

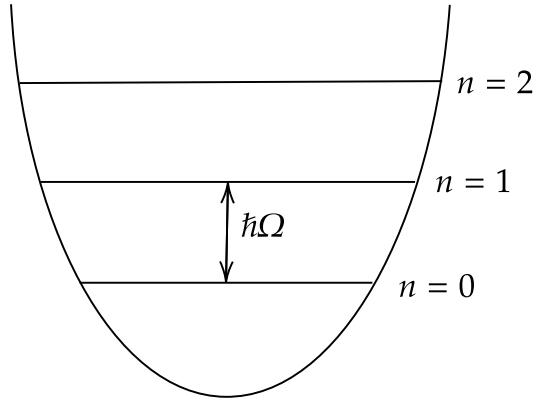


Figure 5.1. Harmonic oscillator potential

The energy levels of a harmonic oscillator can be written as

$$E_n = \hbar\Omega \left(n + \frac{1}{2} \right). \quad (5.1)$$

We observed in the previous chapter 4.3 that the fluctuations of electric field was the strongest along the z -direction. Also, we know from the expression of the spring constant of the harmonic oscillator trap in equation (1.3) that the value of k_{sp} is different along z -direction than along x - or y -direction. Thus, to obtain an estimate on the maximum dispersion of the energy levels, we can consider the electric field only along the z -direction. This reduces the problem to a one-dimensional harmonic oscillator along the z -axis. Then, the perturbation to the oscillator Hamiltonian can be added as

$$H_1 = \alpha_{dot} |E_z|^2. \quad (5.2)$$

Here α_{dot} is the polarizability of the quantum dot and E_z is the electric field along the z -axis due to the patch potentials derived in the previous chapter.

Performing statistical analysis on the electric field data obtained previously, we obtain the average and standard deviation of the electric field square in equations (4.11, 4.12). The

spread in the energy levels can be characterized using the spread in the electric field, i.e., standard deviation of $|E_z|^2$, as

$$\Delta E = \alpha_{dot}\sigma = \alpha_{dot}|E_z|^2. \quad (5.3)$$

The spread in the energy values also gives us the spread in the frequency of the oscillator. Plugging in the value of α_{dot} from equation (2.13) and σ from equation (4.12), we obtain

$$\Delta\Omega = \frac{\Delta E}{\hbar} = \frac{2.417 \times 10^{-30}}{1.054 \times 10^{-34}} \text{ s}^{-1} = 2.293 \times 10^4 \text{ s}^{-1}. \quad (5.4)$$

The lifetime of the energy levels can be obtained as the reciprocal of the spread of the states, given as

$$t_{lifetime} = 4.36 \times 10^{-5} \text{ s}. \quad (5.5)$$

Thus, the states have a lifetime of approximately $43.60 \mu\text{s}$ due to the dispersion caused by the electric field along z -direction arising from the patch potentials.

5.2 Dispersion of Energy Levels due to Shot Noise

Let us consider we have a laser of wavelength λ_{laser} and power P_{laser} . Then, the energy of the laser is given as

$$E = P_{laser} \times t. \quad (5.6)$$

Here, t is the pulse time of the laser. The total energy is carried by say n photons

$$E = nh\nu = n \frac{hc}{\lambda_{laser}}. \quad (5.7)$$

Comparing the two energy expressions, we obtain the total number of photons as

$$n = P_{laser} \times t \times \frac{\lambda_{laser}}{hc}. \quad (5.8)$$

We can also estimate the number of photons per unit time

$$N = P_{laser} \frac{\lambda_{laser}}{hc}. \quad (5.9)$$

The shot noise of the laser or photon shot noise is a noise which originates due to the discrete nature of photons and the random nature of the photon emission process. It is a random noise and can be modeled with a Poisson distribution as [23]

$$\text{Shot Noise} \propto \sqrt{\frac{\lambda_{laser} P_{laser}}{hc}} \propto \sqrt{N}. \quad (5.10)$$

It is independent of the electronics of the devices and its effect can be reduced by reducing the power of the laser.

The spacing between the harmonic oscillator levels can be approximated using the spring constant and mass of the quantum dot, which has been defined in equation (1.2) as

$$\Omega = \sqrt{\frac{k_{sp}}{M}}. \quad (5.11)$$

The spring constant can then be written as [24]

$$\vec{k}_{sp} = \begin{cases} k_{sp}(x) = \frac{\alpha_{dot}}{x} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{4\text{Re}(\alpha_{dot})(NA)^4 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}, \\ k_{sp}(y) = \frac{\alpha_{dot}}{y} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{4\text{Re}(\alpha_{dot})(NA)^4 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}, \\ k_{sp}(z) = \frac{\alpha_{dot}}{z} \nabla(\vec{E} \cdot \vec{E}^*) \Big|_{y,z=0} = \frac{2\text{Re}(\alpha_{dot})(NA)^6 \pi^3}{c\epsilon_0 \lambda_{laser}^4} P_{laser}. \end{cases} \quad (5.12)$$

Here (NA) is the numerical aperture of the laser beam, P_{laser} is the power of the laser, λ_{laser} is the wavelength and α_{dot} is the polarizability of the trapped particle. From the above expression, we can also write the spring constant as a linear function of $\alpha_{dot} P_{laser}$, with κ being a constant.

$$k_{sp} = \sqrt{k_{sp}^2(x) + k_{sp}^2(y) + k_{sp}^2(z)} = \kappa \alpha_{dot} P_{laser}. \quad (5.13)$$

Thus, using equations (5.13) and (5.11), we can write the spacing between levels as a function of P_{laser} ,

$$\Omega = \sqrt{\frac{\kappa \alpha_{dot} P_{laser}}{M}} = \sqrt{\frac{\kappa \alpha_{dot}}{M}} (P_{laser})^{1/2}. \quad (5.14)$$

The error or spread in Ω can be written as

$$\sigma_\Omega = \frac{1}{2} \Omega \left| \frac{\sigma_{P_{laser}}}{P_{laser}} \right|. \quad (5.15)$$

The power of the laser can be written in terms of the number of photons per unit time

$$P_{laser} = \frac{hc}{\lambda_{laser}} N. \quad (5.16)$$

Then the error in P_{laser} can be written as

$$\sigma_{P_{laser}} = \left| P_{laser} \frac{\sigma_N}{N} \right|. \quad (5.17)$$

The error in N is obtained as the shot noise of the laser

$$\sigma_N \propto \sqrt{N}. \quad (5.18)$$

Putting everything together, we obtain the uncertainty in the laser power as

$$\sigma_{P_{laser}} \propto \sqrt{\frac{hc}{\lambda_{laser}} P_{laser}}. \quad (5.19)$$

Compiling together the equations (5.19), (5.15) and (5.14), we obtain

$$\sigma_\Omega \propto \frac{1}{2} \sqrt{\frac{\kappa \alpha_{dot}}{M}} \left(\frac{hc}{\lambda_{laser}} \right), \quad (5.20)$$

$$\kappa = 2(NA)^4 \sqrt{8 + (NA)^4} \frac{\pi^3}{c\epsilon_0 \lambda_{laser}^4}. \quad (5.21)$$

We can find the mass of the quantum dot by considering the density of CdSe [25] and CdS [26] given as

$$\rho_{CdSe} = 5.82 \text{ g/cm}^3, \quad (5.22)$$

$$\rho_{CdS} = 4.82 \text{ g/cm}^3. \quad (5.23)$$

The volume of CdSe and CdS is obtained for $r_{core} = 1.5 \text{ nm}$ and $r_{shell} = 2.9 \text{ nm}$ as

$$V_{CdSe} = \frac{4\pi}{3}(1.5 \times 10^{-9})^3 = 1.414 \times 10^{-26} \text{ m}^{-3},$$

$$V_{CdS} = \frac{4\pi}{3} \times (2.9 - 1.5)^3 \times (10^{-9})^3 = 1.149 \times 10^{-26} \text{ m}^{-3}.$$

Then we obtain the masses of CdSe, CdS and the total quantum dot as

$$m_{CdSe} = 8.229 \times 10^{-23} \text{ kg}, \quad (5.24)$$

$$m_{CdS} = 5.538 \times 10^{-23} \text{ kg}, \quad (5.25)$$

$$M = 1.377 \times 10^{-22} \text{ kg}. \quad (5.26)$$

For the rest of the parameters in equation (5.20), we will first obtain the error in Ω for the experimental parameters used by Delic *et al.* [19] in their experiment

$$(NA)_{Delic} = 0.8, \quad (5.27)$$

$$\lambda_{laser, Delic} = 1064 \text{ nm}. \quad (5.28)$$

Using all these parameters, the uncertainty in Ω for the Delic *et al.* setup [19] using a CdSe-CdS quantum dot is given as

$\sigma_{\Omega, Delic} \approx 3.436 \times 10^{-3} \text{ s}^{-1}.$

(5.29)

The lifetime of energy levels can then be obtained as the reciprocal of the spread of the states as

$$t_{lifetime} \approx 2.910 \times 10^2 \text{ s.} \quad (5.30)$$

Thus, the electrostatic interactions have a greater effect on reducing the lifetime of energy states of he oscillator levels while the shot noise of the laser has no influence on the same.

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A. SUPPLEMENTARY MATERIAL FOR CHAPTER 2

A.1 Classical Polarizability of a Quantum Dot

We can find the classical polarizability for a quantum dot by solving the Laplace equation for the dot in an electric field.

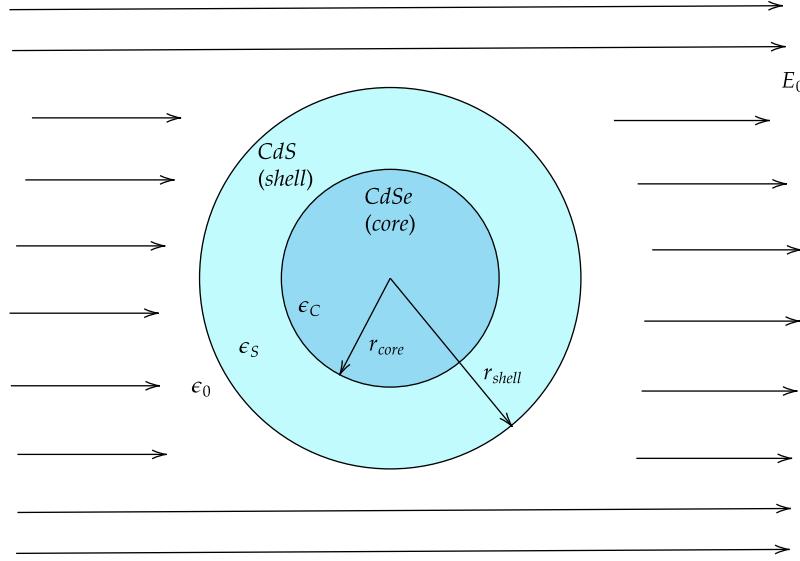


Figure A.1. Quantum Dot in Electric Field

We can write the general solution for the potential in terms of Legendre polynomials

$$\Phi_{core}(r, \theta) = \sum_{l=0}^{\infty} A_l r^l P_l(\cos \theta), \quad (\text{A.1})$$

$$\Phi_{shell}(r, \theta) = \sum_{l=0}^{\infty} \left(B_l r^l + \frac{C_l}{r^{l+1}} \right) P_l(\cos \theta), \quad (\text{A.2})$$

$$\Phi_{out}(r, \theta) = \sum_{l=0}^{\infty} \left(D_l r^l + \frac{F_l}{r^{l+1}} \right) P_l(\cos \theta). \quad (\text{A.3})$$

We know that at $r \gg r_{shell}$, the potential takes the form $\Phi_{out} \rightarrow -E_0 r \cos \theta$. This acts as our first boundary condition. On enforcing this, we conclude that only D_1 survives, and all other values for $D_{l,l \neq 0} = 0$

$$D_1 = -E_0. \quad (\text{A.4})$$

Now, the potential outside the box can be written as

$$\Phi_{out}(r, \theta) = D_1 r \cos \theta + \frac{F_0}{r} + \frac{F_1}{r^2} \cos \theta + \dots . \quad (\text{A.5})$$

The term corresponding to F_1 in the above expression encapsulates the dipole moment of the quantum dot

$$\vec{p}_{dipole} = \alpha_{dot} \vec{E}_0 . \quad (\text{A.6})$$

So, we need to solve for F_1 in terms of the background electric field E_0 . We can do so by enforcing the continuity of the electric field across all boundaries boundaries

$$-\epsilon_S \frac{\partial \Phi_{shell}}{\partial r} \Big|_{r=r_{shell}} = -\epsilon_0 \frac{\partial \Phi_{out}}{\partial r} \Big|_{r=r_{shell}} , \quad (\text{A.7})$$

$$-\frac{1}{r_{shell}} \frac{\partial \Phi_{shell}}{\partial \theta} \Big|_{r=r_{shell}} = -\frac{1}{r_{shell}} \frac{\partial \Phi_{out}}{\partial \theta} \Big|_{r=r_{shell}} , \quad (\text{A.8})$$

$$-\epsilon_C \frac{\partial \Phi_{core}}{\partial r} \Big|_{r=r_{core}} = -\epsilon_S \frac{\partial \Phi_{shell}}{\partial r} \Big|_{r=r_{shell}} , \quad (\text{A.9})$$

$$-\frac{1}{r_{core}} \frac{\partial \Phi_{core}}{\partial \theta} \Big|_{r=r_{core}} = -\frac{1}{r_{core}} \frac{\partial \Phi_{shell}}{\partial \theta} \Big|_{r=r_{core}} . \quad (\text{A.10})$$

Writing the $l = 1$ terms for the above boundary conditions

$$\text{Boundary 1 : } B_1 - \frac{2C_1}{r_{shell}^3} = \frac{\epsilon_0}{\epsilon_S} \left(D_1 - \frac{2F_1}{r_{shell}^3} \right) , \quad (\text{A.11})$$

$$\text{Boundary 2 : } B_1 + \frac{C_1}{r_{shell}^3} = D_1 + \frac{F_1}{r_{shell}^3} , \quad (\text{A.12})$$

$$\text{Boundary 3 : } A_1 = \frac{\epsilon_S}{\epsilon_C} \left(B_1 - \frac{2C_1}{r_{core}^3} \right) , \quad (\text{A.13})$$

$$\text{Boundary 4 : } A_1 = B_1 + \frac{C_1}{r_{core}^3} . \quad (\text{A.14})$$

Now, using Boundary 4 in equation (A.14) we can write B_1 in terms of A_1 and C_1 as

$$B_1 = A_1 - \frac{C_1}{r_{core}^3} .$$

Putting this in Boundary 3, we can now get C_1 completely in terms of A_1 as

$$C_1 = \left(\frac{\epsilon_S - \epsilon_C}{\epsilon_C} \right) \frac{r_{core}^3}{3} A_1. \quad (\text{A.15})$$

Thus, we can also obtain B_1 purely as a function of A_1 as

$$B_1 = \frac{2\epsilon_S + \epsilon_C}{3\epsilon_S} A_1. \quad (\text{A.16})$$

Now using equations (A.16, A.15) in Boundary 1 (equation A.11) as

$$F_1 = \frac{r_{shell}^3}{2\epsilon_0} \left[\epsilon_0 D_1 - \left\{ \left(\frac{2\epsilon_S + \epsilon_C}{3} \right) - \frac{2r_{core}^3}{3r_{shell}^3} (\epsilon_S - \epsilon_C) \right\} A_1 \right]. \quad (\text{A.17})$$

Plugging equation (A.17) into Boundary 2 (equation A.12), we obtain

$$\begin{aligned} & \left[\left(\frac{2\epsilon_S + \epsilon_C}{3\epsilon_S} \right) + \frac{r_{core}^3}{3r_{shell}^3} \left(\frac{\epsilon_S - \epsilon_C}{\epsilon_S} \right) \right] A_1 = D_1 + \frac{F_1}{r_{shell}^3}, \\ \Rightarrow & \left[\left(\frac{2\epsilon_S + \epsilon_C}{3\epsilon_S} \right) + \frac{r_{core}^3}{3r_{shell}^3} \left(\frac{\epsilon_S - \epsilon_C}{\epsilon_S} \right) \right] A_1 = D_1 + \frac{D_1}{2} - \frac{1}{2\epsilon_0} \left[\frac{2\epsilon_S + \epsilon_C}{3} + \frac{2r_{core}^3}{3r_{shell}^3} (\epsilon_C - \epsilon_S) \right] A_1, \\ \Rightarrow & \left[\left(\frac{2\epsilon_S + \epsilon_C}{3} \right) \left(\frac{1}{\epsilon_S} + \frac{1}{2\epsilon_0} \right) + \frac{r_{core}^3}{2r_{shell}^3} (\epsilon_C - \epsilon_S) \left(\frac{1}{\epsilon_0} - \frac{1}{\epsilon_S} \right) \right] A_1 = \frac{3D_1}{2}, \\ \Rightarrow & \left[\frac{(2\epsilon_S + \epsilon_C)(2\epsilon_0 + \epsilon_S)}{2\epsilon_0\epsilon_S} + \frac{r_{core}^3}{r_{shell}^3} \frac{(\epsilon_C - \epsilon_S)(\epsilon_S - \epsilon_0)}{\epsilon_0\epsilon_S} \right] \frac{A_1}{3} = \frac{3D_1}{2}, \\ \Rightarrow & \left[\frac{r_{shell}^3(2\epsilon_S + \epsilon_C)(2\epsilon_0 + \epsilon_S) + 2a^3(\epsilon_C - \epsilon_S)(\epsilon_S - \epsilon_0)}{2r_{shell}^3\epsilon_0\epsilon_S} \right] \frac{A_1}{3} = \frac{3D_1}{2}. \end{aligned}$$

We finally get A_1 in terms of D_1 , thus in terms of E_0 as

$$\therefore A_1 = -9E_0 \left[\frac{r_{shell}^3 \epsilon_0 \epsilon_S}{r_{shell}^3 (2\epsilon_S + \epsilon_C) (2\epsilon_0 + \epsilon_S) + 2r_{core}^3 (\epsilon_C - \epsilon_S) (\epsilon_S - \epsilon_0)} \right]. \quad (\text{A.18})$$

We can then find F_1 in terms of E_0 as

$$\begin{aligned} F_1 &= \frac{r_{shell}^3}{2\epsilon_0} \left[\epsilon_0 D_1 - \frac{A_1}{3} \left\{ \frac{(2\epsilon_S + \epsilon_C)r_{shell}^3 + 2r_{core}^3(\epsilon_C - \epsilon_S)}{r_{shell}^3} \right\} \right], \\ \Rightarrow F_1 &= \frac{r_{shell}^3 D_1}{2} - \frac{A_1}{3\epsilon_0} \left\{ (2\epsilon_S + \epsilon_C)r_{shell}^3 + 2r_{core}^3(\epsilon_C - \epsilon_S) \right\}, \end{aligned}$$

$$\begin{aligned} \Rightarrow F_1 &= \frac{r_{shell}^3 D_1}{2} - \frac{3D_1}{2\epsilon_0} \frac{r_{shell}^3 \epsilon_0 \epsilon_S \{(2\epsilon_S + \epsilon_C)r_{shell}^3 + 2r_{core}^3(\epsilon_C - \epsilon_S)\}}{r_{shell}^3(2\epsilon_S + \epsilon_C)(2\epsilon_0 + \epsilon_S) + 2a^3(\epsilon_C - \epsilon_S)(\epsilon_S - \epsilon_0)}, \\ \Rightarrow F_1 &= -\frac{r_{shell}^3 E_0}{2} \times 2 \frac{[r_{shell}^3(2\epsilon_S + \epsilon_C) - r_{core}^3(\epsilon_C - \epsilon_S)]\epsilon_0 - [r_{shell}^3(2\epsilon_S + \epsilon_C) + 2a^3(\epsilon_C - \epsilon_S)]\epsilon_S}{[r_{shell}^3(2\epsilon_S + \epsilon_C) - r_{core}^3(\epsilon_C - \epsilon_S)]2\epsilon_0 + [r_{shell}^3(2\epsilon_S + \epsilon_C) + 2a^3(\epsilon_C - \epsilon_S)]\epsilon_S}, \\ \Rightarrow F_1 &= -r_{shell}^3 E_0 \left[\frac{\epsilon_0 - \left\{ \frac{r_{shell}^3(2\epsilon_S + \epsilon_C) + 2r_{core}^3(\epsilon_C - \epsilon_S)}{r_{shell}^3(2\epsilon_S + \epsilon_C) - r_{core}^3(\epsilon_C - \epsilon_S)} \right\} \epsilon_S}{2\epsilon_0 + \left\{ \frac{r_{shell}^3(2\epsilon_S + \epsilon_C) + 2r_{core}^3(\epsilon_C - \epsilon_S)}{r_{shell}^3(2\epsilon_S + \epsilon_C) - r_{core}^3(\epsilon_C - \epsilon_S)} \right\} \epsilon_S} \right]. \end{aligned}$$

We can define effective permittivity ϵ_e and get the final expression for F_1 as

$$F_1 = r_{shell}^3 E_0 \left(\frac{\epsilon_e - \epsilon_0}{\epsilon_e + 2\epsilon_0} \right), \quad (\text{A.19})$$

$$\epsilon_e = \epsilon_S \frac{r_{shell}^3(\epsilon_C + 2\epsilon_S) + 2a^3(\epsilon_C - \epsilon_S)}{r_{shell}^3(\epsilon_C + 2\epsilon_S) - r_{core}^3(\epsilon_C - \epsilon_S)}. \quad (\text{A.20})$$

Thus, we get α as

$$\alpha = 4\pi\epsilon_0 r_{shell}^3 \left(\frac{\epsilon_e - \epsilon_0}{\epsilon_e + 2\epsilon_0} \right). \quad (\text{A.21})$$

A.2 Unperturbed Wavefunctions of a Quantum Dot : Analytic Derivation

The quantum dot is a particle in a box system for conduction electrons and valence holes. The wavefunctions for the conduction electrons/valence holes can be written using spherical Bessel functions of first (j_l) and second (n_l) kind as

$$\psi^{c/v,core}(r, \theta, \varphi) = A_{e/h,l}^{c/v,core} j_l(k_{e/h,l}^{c/v,core} r) Y_m^l(\theta, \varphi), \quad (\text{A.22})$$

$$\psi^{c/v,shell}(r, \theta, \varphi) = \left[A_{e/h,l}^{c/v,shell} j_l(k_{e/h,l}^{c/v,shell} r) + B_{e/h,l}^{c/v,shell} n_l(k_{e/h,l}^{c/v,shell} r) \right] Y_m^l(\theta, \varphi). \quad (\text{A.23})$$

The k value can be written as

$$k_{e/h}^{c/v,core/shell} = \sqrt{\frac{2(\varepsilon_{e/h}^{c/v,core/shell} - V^{c/v,core/shell}) m_{e/h}^{c/v,core/shell}}{\hbar^2}}. \quad (\text{A.24})$$

The wavefunctions and its derivatives must be continuous across the core-shell boundary, and the shell-wavefunction should vanish at the shell-boundary,

$$\psi_{e/h}^{c/v,core}\Big|_{r=r_{core}} = \psi_{e/h}^{c/v,shell}\Big|_{r=r_{core}}, \quad (\text{A.25})$$

$$\frac{1}{m_{e/h}^{c/v,core}} \frac{\partial}{\partial r} \psi_{e/h}^{c/v,core}\Big|_{r=r_{core}} = \frac{1}{m_{e/h}^{c/v,shell}} \frac{\partial}{\partial r} \psi_{e/h}^{c/v,shell}\Big|_{r=r_{core}}, \quad (\text{A.26})$$

$$\psi_{e/h}^{c/v,shell}\Big|_{r=r_{shell}} = 0. \quad (\text{A.27})$$

The first boundary condition can be explicitly written as

$$A_{e/h,l}^{c/v,core} j_l(k_{e/h,l}^{c/v,core} r_{core}) = A_{e/h,l}^{c/v,shell} j_l(k_{e/h,l}^{c/v,shell} r_{core}) + B_{e/h,l}^{c/v,shell} n_l(k_{e/h,l}^{c/v,shell} r_{core}). \quad (\text{A.28})$$

The second boundary condition can be written as

$$\begin{aligned} \frac{m_{e/h}^{c/v,shell}}{m_{e/h}^{c/v,core}} \left[A_{e/h,l}^{c/v,core} k_{e/h,l}^{c/v,core} j_l(k_{e/h,l}^{c/v,core} r_{core}) \right] &= A_{e/h,l}^{c/v,shell} k_{e/h,l}^{c/v,shell} j'_l(k_{e/h,l}^{c/v,shell} r_{core}) \\ &\quad + B_{e/h,l}^{c/v,shell} k_{e/h,l}^{c/v,shell} n'_l(k_{e/h,l}^{c/v,shell} r_{core}). \end{aligned} \quad (\text{A.29})$$

Now, we can multiply equation (A.29) with $\frac{n_l(k_{e/h,l}^{c/v,shell} r_{core})}{n'_l(k_{e/h,l}^{c/v,shell} r_{core})}$ and subtract it from equation (A.28) to finally obtain

$$A_{e/h,l}^{c/v,shell} = \frac{j_l(k_{e/h,l}^{c/v,core} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core}) - \frac{m_{e/h}^{c/v,shell} k_{e/h,l}^{c/v,core}}{m_{e/h}^{c/v,core} k_{e/h,l}^{c/v,shell}} j'_l(k_{e/h,l}^{c/v,core} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core})}{j_l(k_{e/h,l}^{c/v,shell} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core}) - j'_l(k_{e/h,l}^{c/v,shell} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core})} A_{e/h,l}^{c/v,core}. \quad (\text{A.30})$$

We can then multiply equation (A.29) with $\frac{j_l(k_{e/h,l}^{c/v,shell} r_{core})}{j'_l(k_{e/h,l}^{c/v,shell} r_{core})}$ and subtract it from equation (A.28) to obtain

$$B_{e/h,l}^{c/v,shell} = \frac{j_l(k_{e/h,l}^{c/v,core} r_{core}) j'_l(k_{e/h,l}^{c/v,shell} r_{core}) - \frac{m_{e/h}^{c/v,shell} k_{e/h,l}^{c/v,core}}{m_{e/h}^{c/v,core} k_{e/h,l}^{c/v,shell}} j'_l(k_{e/h,l}^{c/v,core} r_{core}) j_l(k_{e/h,l}^{c/v,core} r_{core})}{j'_l(k_{e/h,l}^{c/v,shell} r_{core}) n_l(k_{e/h,l}^{c/v,shell} r_{core}) - j_l(k_{e/h,l}^{c/v,shell} r_{core}) n'_l(k_{e/h,l}^{c/v,shell} r_{core})} A_{e/h,l}^{c/v,core}. \quad (\text{A.31})$$

A.3 Unperturbed Wavefunctions of a Quantum Dot : Computational Solution

Since the wavefunctions of the quantum dot are complicated functions of Bessel functions, they need to be solved for numerically. I have used Python to produce a working code for the same. In this section, I will first attempt to explain the different sections of the code written, in the order of execution, and then finally include the complete codes.

Step 1 : Import all libraries needed and define all general functions

I first import all the libraries I would need for the code and then define all the functions necessary, like numerical integration, writing into files, reading files, matrix multiplication, root finding and so on.

```
1 # Generating difference of wavefunctions of Quantum Dot and finding what
2 # is the root energy for CE or VH for various l values (Boundary 3 -
3 # infinite well)
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18 # all external functions needed for the code
19
20 # READ FILE
```

```

21 def read_matrix(x): #more than one column #parameter: x ==> name of file
22     f=open(x, 'r') #'r' ==> read only
23     X=[[float(num) for num in line.split(' ')] for line in f]
24     f.close()
25     return(X)
26 #
27
28 # APPEND FILE
29 def append_file(name, str): #arguments: name ==> name of file, str ==>
30     string to append
31     f=open(name, 'a') #'a' ==> append file
32     f.write(str)
33     f.close()
34     #
35
36 #MOD SQUARE OF A COMPLEX NUMBER
37 def modulus(x): #x ==> complex number
38     real=x.real**2
39     imag=x.imag**2
40     modsq=real+imag
41     return(modsq)
42 #
43
44 # DERIVATIVE
45 def derivative(f, x, h): # arguments = equation, point at which derivative
46     is to be found, h
47     y=(f(x+h)-f(x-h))/(2*h)
48     return(y)
49
50 # NEWTON RAPHSON
51 def newton_raphson(f, a, max_itr, nm): #arguments ==> formula, trial root,
52     maximum iterations, name of file to store errors
53     err=pow(10, -10)

```

```

54 X=[0 for i in range(max_itr)]
55 while n<max_itr: #so that it does not cross max number of allowed
56 iterations
57
58     if n==0:
59         X[n]=a
60     else:
61         h=X[n-1]*0.0001
62         X[n]=X[n-1]-(f(X[n-1])/derivative(f, X[n-1], h))
63         #error = x_{n+1}-x_{n} o equivalently, x_{n}-x_{n-1}
64         append_file(nm, f'{n} {abs(X[n] - X[n - 1])}\n') #appends
65         absolute error value with iteration number to file
66         if abs(X[n]-X[n-1])<err: return(X[n], True)
67         #
68         n+=1
69         #
70     return(X[n-1], False) #if root not obtained even after max_iterations
71 #
72
73 def simpson(f, lower, upper, N, h, name):
74     I=0
75     X=[0 for i in range(N+1)] #for storing N+1 values, including upper and
76     lower
77     X[0]=lower
78     for i in range(1,N+1):
79         X[i]=lower+h*i #finding the next point
80         mid=(X[i-1]+X[i])/2 #finding mid value
81         h1=(X[i]-X[i-1])/2 #diving every slice in two equal slices, and
82         finding h relevant to that slice
83         #calculating the integration
84         I=I+(h1/3)*(f(X[i-1])+4*f(mid)+f(X[i]))
85         #
86         #storing N vs I value in file
87         append_file(name, f'{N} {I}\n')
88     return(I)
89 #

```

Step 2: Choose a unit system for the code and define all constants

I am using natural units for the codes developed here, and I will include the full conversions in detail in Appendix A.6.

```
1 #----- MAIN CODE STARTS -----#
2
3 #clock beginning time to measure time taken by code to run
4 begin=time.time()
5
6 #setting path of file
7 path=os.path.dirname(os.path.realpath(__file__))
8 sys.path.append(path)
9 # -----
10 #defining all constants => masses (natural units, electron volts)
11 hbar=1.0
12 m0=0.511*(10**6) #rest mass of electron in natural units
13 mcore=0.11*m0
14 meshell=0.14*m0
15 mhcore=0.8*m0
16 mhshell=0.51*m0
17 # -----
18 #
19
20 #defining all constants => radii (in nanometers)
21 rcorenm=1.5
22 shellthicknessnm=1.4
23 rshellnm=rcorenm+shellthicknessnm
24 # converting to natural units
25 confac=5.066*(10**(-3))
26 rcore=confac*rcorenm
27 rshell=confac*rshellnm
28 # ----- #
```

Step 3 : Define the variables of the problem

Now, the variables of the problem, like $k_{e/h}^{c/v,core/shell}$, $V_{e/h}^{c/v,core/shell}$, the wavefunctions and its coefficients can be defined, symbolically.

```
1 #defining "k" as a function of energy, potential and mass (for e/h in c/v
2 bands respectively)
3 def k(E,V,M):
4     hbar=1.0
5     if (E-V)>=0 : kval=math.sqrt((2*(E-V)*M)/(hbar**2))
6     else : kval=cmath.sqrt((2*(E-V)*M)/(hbar**2))
7     #print(f'E-V={E-V}\n k={kval}')
8     return(kval)
9 #
10 #
11 #-----#
12 #defining potential for conduction (for e) band as a function of r (in eV)
13 def Vc(r,core,shell):
14     #defining all constants => potentials (natural units, electron volts)
15     Vcshell=0.0
16     Vccore=-0.1 #wrt Vcshell=0
17     #note, Vccore and Vvcore are not zero wrt each other
18     #
19     if abs(r)<=core: V=Vccore
20     elif abs(r)>core and abs(r)<=shell: V=Vcshell
21     else: V=10**15 #tends to infinity
22     return(V)
23 #
24 #defining potential for valence (for h) band as a function of r (in eV)
25 def Vv(r,core,shell):
26     #defining all constants => potentials (natural units, electron volts)
27     Vvcore=-0.65 #wrt Vcshell=0
28     Vvshell=0.0
29     #note, Vccore and Vvcore are not zero wrt each other
30     #
```

```

31     if abs(r)<=core: V=Vvcore
32
33     elif abs(r)>core and abs(r)<=shell: V=Vvshell
34
35     else: V=-10**15 #tends to infinity
36
37     return(V)
38
39 # -----
40 # ----- functions for wavefunction (CE and VH)
41 # -----
42
43 #
44
45 # defining wavefunction of core for electrons (in conduction band)
46 def psi_CE_core(Acecore,l,kcecore,r):
47     psicecore=Acecore*spherical_jn(l, kcecore*r)
48
49     return(psicecore)
50
51 #
52
53 # defining wavefunction of shell for electrons (in conduction band)
54 def psi_CE_shell(Aceshell,Bceshell,l,kcesshell,r):
55     psiceshell=Aceshell*spherical_jn(l,kcesshell*r)+Bceshell*spherical_yn(l
56     ,kcesshell*r)
57
58     return(psiceshell)
59
60 #
61
62 # defining wavefunction of core for holes (in valence band)
63 def psi_VH_core(Avhcore,l,kvhcore,r):
64     psivhcore=Avhcore*spherical_jn(l,kvhcore*r)
65
66     return(psivhcore)
67
68 #
69
70 # defining wavefunction for shell for holes (in valence band)
71 def psi_VH_shell(Avhshell,Bvhshell,l,kvhshell,r):
72     psivhshell=Avhshell*spherical_jn(l,kvhshell*r)+Bvhshell*spherical_yn(l
73     ,kvhshell*r)
74
75     return(psivhshell)
76
77 #
78 # -----
79 # ----- A,B functions (CE and VH)
80 # -----

```

```

63
64 # defining A_CE_shell
65 def A_CE_shell(Acecore,l,kcecore,kceshell,rcore,Mcecore,Mceshell):
66     numceshell=(spherical_jn(l,kcecore*rcore).item()*spherical_yn(l,
67     kceshell*rcore,derivative=True).item() -((Mceshell*kcecore)/(Mcecore*
68     kceshell))*spherical_jn(l,kcecore*rcore,derivative=True).item()*
69     spherical_yn(l,kceshell*rcore).item())
70     denomceshell=spherical_jn(l,kceshell*rcore).item()*spherical_yn(l,
71     kceshell*rcore,derivative=True).item()-spherical_jn(l,kceshell*rcore,
72     derivative=True).item()*spherical_yn(l,kceshell*rcore).item()
73     #
74     #print((kceshell*rcore).imag,spherical_in(l,(kceshell*rcore).imag).
75     item(),spherical_kn(l,(kceshell*rcore).imag),spherical_in(l,kceshell*
76     rcore,derivative=True),spherical_kn(l,kceshell*rcore,derivative=True).
77     tolist(),denomceshell)
78     Aceshell=Acecore*(numceshell/denomceshell)
79     return(Acleshell)
80 #
81
82 # defining B_CE_shell
83 def B_CE_shell(Acecore,l,kcecore,kceshell,rcore,Mcecore,Mceshell):
84     numceshell=(spherical_jn(l,kcecore*rcore).item()*spherical_jn(l,
85     kceshell*rcore,derivative=True).item() -((Mceshell*kcecore)/(Mcecore*
86     kceshell))*spherical_jn(l,kcecore*rcore,derivative=True).item()*
87     spherical_jn(l,kceshell*rcore).item())
88     denomceshell=spherical_jn(l,kceshell*rcore,derivative=True).item()*
89     spherical_yn(l,kceshell*rcore).item()-spherical_jn(l,kceshell*rcore).
90     item()*spherical_yn(l,kceshell*rcore,derivative=True).item()
91     Bceshell=Acecore*(numceshell/denomceshell)
92     return(Bceshell)
93 #
94
95 # defining A_VH_shell
96 def A_VH_shell(Avhcore,l,kvhcore,kvhshell,rcore,Mvhcore,Mvhshell):
97     numvhshell=(spherical_jn(l,kvhcore*rcore).item()*spherical_yn(l,
98     kvhshell*rcore,derivative=True).item() -((Mvhshell*kvhcore)/(Mvhcore*

```

```

    kvhshell))*spherical_jn(l,kvhcore*rcore,derivative=True).item()*
spherical_yn(l,kvhshell*rcore).item())
85    denomvhshell=spherical_jn(l,kvhshell*rcore).item()*spherical_yn(l,
kvhshell*rcore,derivative=True).item()-spherical_jn(l,kvhshell*rcore,
derivative=True).item()*spherical_yn(l,kvhshell*rcore).item()
86    Avhshell=Avhcore*(numvhshell/denomvhshell)
87    return(Avhshell)
88 #
89
90 # defining B_CE_shell
91 def B_VH_shell(Avhcore,l,kvhcore,kvhshell,rcore,Mvhcore,Mvhshell):
92     numvhshell=(spherical_jn(l,kvhcore*rcore).item()*spherical_jn(l,
kvhshell*rcore,derivative=True).item() -((Mvhshell*kvhcore)/(Mvhcore*
kvhshell))*spherical_jn(l,kvhcore*rcore,derivative=True)*spherical_jn(l
,kvhshell*rcore).item())
93     denomvhshell=spherical_jn(l,kvhshell*rcore,derivative=True).item()*
spherical_yn(l,kvhshell*rcore).item()-spherical_jn(l,kvhshell*rcore).
item()*spherical_yn(l,kvhshell*rcore,derivative=True).item()
94     Bvhshell=Avhcore*(numvhshell/denomvhshell)
95     return(Bvhshell)
96 #

```

Step 4 : Find Allowed Energy Values

To generate the complete wavefunction, we first need to know the allowed values of energy, $\varepsilon_{e/h}^{c/v}$. We have already enforced the first two boundary conditions, given in equations (A.25, A.26). We now need to enforce the last boundary condition in equation (A.27) numerically. For that, we will first choose a value of l and generate $\psi_{e/h}^{c/v,shell}$ for continuous values of energy. We then plot the generated data, and identify the points where the wavefunction goes to 0. We then use the identified points as guess root values and find the exact roots of the wavefunction using root-finding algorithms, like Newton-Rhapson. The lowest root value for each l is our required energy value.

I define two separate functions for conduction electrons and valence holes. I also store the wavefunction generated as function of energy as text files, and plot them using Gnuplot. Finally, I store the identified energy values for each l for both conduction electrons and valence holes in a text file as well.

```

1 # ----- CONDUCTION ELECTRONS ----- #
2 # defining big file to store roots of CE wavefunctions
3 nmrootsCE=path+"\\Wavefunctions\\"+f'CE_Roots(B3).txt'
4 frootsCE=open(nmrootsCE, "w")
5 frootsCE.close()
6 # defining function to call for generating roots
7 def CE_rootsfunc(lwave):
8     # defining constants and logistics about terms to generate (step sizes
9     , initial points, final points, number of terms)
10    hE=10**(-3) #step size in energy (in eV)
11    E0=-0.1
12    NE=200
13    # first need to define a simple function of psidiff as E to easily
14    # call Newton Rhapson later
15    def psiCEShellasE(E):
16        Vceshell=Vc(rshell,rcore,rshell) #potential for the iteration
17        Vcecore=Vc(rcore,rcore,rshell)
18        kcecore0=k(E,Vcecore,mecore)
19        kceshell0=k(E,Vceshell,meshell)
20        Aceshell0=A_CE_shell(1,lwave,kcecore0,kceshell0,rcore,mecore,
21        meshell)
22        Bceshell0=B_CE_shell(1,lwave,kcecore0,kceshell0,rcore,mecore,
23        meshell)
24        psiceshell0=psi_CE_shell(Aceshell0,Bceshell0,lwave,kceshell0,
25        rshell)
26        return(psiceshell0)
27    #
28    # naming files ==> may not use always in the code
29    nmdiffCE=path+"\\Wavefunctions\\\"+"\\EnergyVals\\\"+f'CE_1(B3)={lwave}.
30    txt'
31    fdiffCE=open(nmdiffCE, "w")

```

```

26     fdfiffCE.close()
27
28     #now calling the above function => we will only do this to generate
29     #plots (rest of the time, this will be turned off)
30
31     for iCE in range(NE):
32         E=E0+(iCE**(.3/2))*hE #defines E for that iteration
33
34         psival=psiCEShellasE(E)
35
36         append_file(nmdiffCE,f'{E} {psival.real} {psival.imag}\n')
37
38     #
39
40     # from plots, we define a guess root, then call Newton Raphson
41     maxiterCE=1000
42
43     trialrootCEReal=float(input(f'Please enter value of trial root for l={lwave} (CE-Real).\n'))
44
45     nmCEerr=path+"\\"+Wavefunctions+"\\"+EnergyVals+"\\"+f'CE_err_l={lwave}.txt'
46
47     fCEerr=open(nmCEerr,"w")
48     fCEerr.close()
49
50     #as the function outputs a value and a bool function, the first term
51     #is the root (if found), the second is the bool
52
53     ErootCE,ErootCEbool=newton_raphson(psiCEShellasE,trialrootCEReal,
54     maxiterCE,nmCEerr)
55
56     #ErootCEImag,ErootCEboolImag=newton_raphson(psiCEShellasEImag,
57     trialrootCEReal,maxiterCE,nmCEerr)
58
59     if ErootCEbool==True:
60
61         #print(f'\nRoot found for CE, and the value is given as = {ErootCE}\n')
62
63         append_file(nmrootsCE, f'{lwave} {ErootCE}\n')
64
65     #
66
67     else: print(f'\nRoot not found.\n')
68
69     return(0)
70
71 #
72
73 # ----- VALENCE HOLES ----- #
74
75 # defining big file to store roots of CE wavefunctions
76 nmrootsVH=path+"\\"+Wavefunctions+"\\"+f'VH_Roots(B3).txt'
77
78 frootsVH=open(nmrootsVH, "w")
79
80 frootsVH.close()

```

```

55 def VH_rootsfunc(lwave):
56     # defining constants and logistics about terms to generate (step sizes
57     , initial points, final points, number of terms)
58     hE=10**(-4) #step size in energy (in eV)
59     E0=-0.65
60     NE=500
61     # first need to define a simple function of psidiff as E to easily
62     call Newton Rhapsone later
63     def psiVHShellasE(E):
64         Vvhshell=Vv(rshell,rcore,rshell) #potential for the iteration
65         Vvhcore=Vv(rcore,rcore,rshell)
66         kvhcore0=k(E,Vvhcore,mhcore)
67         kvhshell0=k(E,Vvhshell,mhshell)
68         Avhshell0=A_VH_shell(1,lwave,kvhcore0,kvhshell0,rcore,mhcore,
69         mhshell)
70         Bvhshell0=B_VH_shell(1,lwave,kvhcore0,kvhshell0,rcore,mhcore,
71         mhshell)
72         psivhshell0=psi_VH_shell(Avhshell0,Bvhshell0,lwave,kvhshell0,
73         rshell)
74         return(psivhshell0.real)
75     #
76     # naming files ==> may not use always in the code
77     nmdiffVH=path+"\\Wavefunctions\\\"+"\\EnergyVals\\\"+f'VH_l(B3)={lwave}.
78     txt'
79     fdiffVH=open(nmdiffVH,"w")
80     fdiffVH.close()
81     #now calling the above function => we will only do this to generate
82     plots (rest of the time, this will be turned off)
83     for iVH in range(NE):
84         E=E0+(iVH**((3/2)))*hE #defines E for that iteration
85         psival=psiVHShellasE(E)
86         append_file(nmdiffVH,f'{E} {psival.real} {psival.imag}\n')
87     #
88     # from plots, we define a guess root, then call Newton Rhapsone
89     maxiterVH=1000

```

```

83     trialrootVH=float(input(f'Please enter value of trial root for l={lwave} (VH).\n'))
84     nmVHerr=path+"\\"+Wavefunctions+"\"+"EnergyVals\""+f'VH_err_l={lwave}.txt'
85     fVHerr=open(nmVHerr,"w")
86     fVHerr.close()
87     #as the function outputs a value and a bool function, the first term
88     #is the root (if found), the second is the bool
89     ErootVH,ErootVHbool=newton_raphson(psiVHShellasE,trialrootVH,maxiterVH,
90     ,nmVHerr)
91     if ErootVHbool==True:
92         #print(f'\nRoot found for CE, and the value is given as = {ErootCE}\n')
93         append_file(nmrootsVH,f'{lwave} {ErootVH}\n')
94     else: print(f'\nRoot not found.\n')
95     return(0)
96 #
97 # now, we can generate roots for any of the two ==> CE or VH
98 lmax=4
99 for lw in range(lmax):
100     ceroots=CE_rootsfunc(lw)
101     vhroots=VH_rootsfunc(lw)
102 #
103 # ----- #
104 end=time.time()
105 print(f'Time taken for code to run = {end-begin}\n')

```

The wavefunction vs energy graphs are obtained as follows :

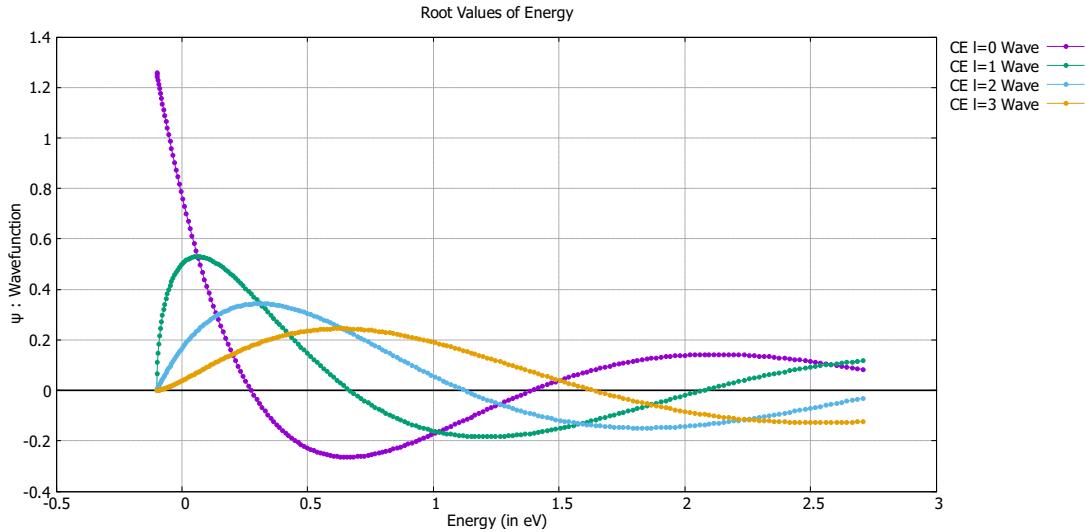


Figure A.2. Conduction electrons wavefunction vs energy

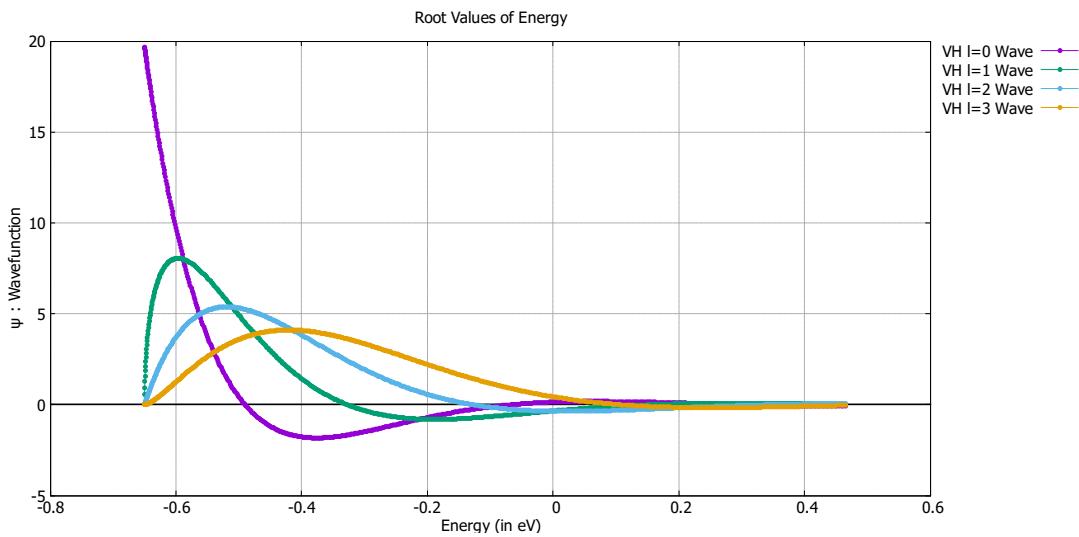


Figure A.3. Valence holes wavefunction vs energy

Step 5 : Generating wavefunctions

Now that we have found the allowed energy for each l , we can build the complete wavefunction as a function of r . I import the energy values, define a small step size for r in natural units, normalize the wavefunction first, then store the normalized wavefunction data in a text file.

```

1 # Generating wavefunctions of Quantum Dot
2
3 import math
4 import cmath
5 import sys
6 import time
7 import os
8 import numpy as np
9 import scipy
10 from scipy.special import spherical_jn
11 from scipy.special import spherical_yn
12 from scipy.special import spherical_in
13 from scipy.special import spherical_kn
14
15
16 # all external functions needed for the code
17
18 # READ FILE
19 def read_matrix(x): #more than one column #parameter: x ==> name of file
20     f=open(x, 'r') #'r' ==> read only
21     X=[[float(num) for num in line.split(',')] for line in f]
22     f.close()
23     return(X)
24 #
25
26 # APPEND FILE
27 def append_file(name, str): #arguments: name ==> name of file, str ==>
28     string to append
29     f=open(name, 'a') #'a' ==> append file
30     f.write(str)
31     f.close()
32 #
33
34 #MOD SQUARE OF A COMPLEX NUMBER
35 def modulus(x): #x ==> complex number

```

```

36     real=x.real**2
37     imag=x.imag**2
38     modsq=real+imag
39     return(modsq)
40 #
41
42 # DERIVATIVE
43 def derivative(f, x, h): # arguments = equation, point at which derivative
   is to be found, h
44     y=(f(x+h)-f(x-h))/(2*h)
45     return(y)
46 #
47
48 # NEWTON RAPHSON
49 def newton_raphson(f, a, max_itr, nm): #arguments ==> formula, trial root,
   maximum iterations, name of file to store errors
50     err=pow(10, -9)
51     n=0
52     X=[0 for i in range(max_itr)]
53     while n<max_itr: #so that it does not cross max number of allowed
   iterations
54         if n==0:
55             X[n]=a
56         else:
57             h=X[n-1]*0.001
58             X[n]=X[n-1]-(f(X[n-1])/derivative(f, X[n-1], h))
59             #error = x_{n+1}-x_{n} o equivalently, x_{n}-x_{n-1}
60             append_file(nm, f'{n} {abs(X[n] - X[n - 1])}\n') #appends
   absolute error value with iteration number to file
61             if abs(X[n]-X[n-1])<err: return(X[n], True)
62             #
63             n+=1
64             #
65     return(X[n-1], False) #if root not obtained even after max_iterations
66 #
67

```

```

68 # Integration
69 def simpson(f, lower, upper, h, name):
70     N=int(abs(upper-lower)/h)
71     I=0
72     X=[0 for i in range(N+1)] #for storing N+1 values, including upper and
73     lower
74     X[0]=lower
75     for i in range(1,N+1):
76         X[i]=lower+h*i #finding the next point
77         mid=(X[i-1]+X[i])/2 #finding mid value
78         h1=(X[i]-X[i-1])/2 #diving every slice in two equal slices, and
79         finding h relevant to that slice
80         #calculating the integration
81         I=I+(h1/3)*(f(X[i-1])+4*f(mid)+f(X[i]))
82         #
83     #storing N vs I value in file
84     append_file(name, f'{N} {I}\n')
85     return(I)
86 #
87 #----- MAIN CODE STARTS -----#
88
89 #clock beginning time to measure time taken by code to run
90 begin=time.time()
91
92 #setting path of file
93 path=os.path.dirname(os.path.realpath(__file__))
94 sys.path.append(path)
95 # -----
96
97 #defining all constants => masses (natural units, electron volts)
98 hbar=1.0
99 m0=0.511*(10**6) #rest mass of electron in natural units
100 mecore=0.11*m0
101 meshell=0.14*m0

```

```

102 mhcore=0.8*m0
103 mhshell=0.51*m0
104 # -----
105 #
106 #defining all constants => radii (in nanometers)
107 rcorenm=1.5
108 shellthicknessnm=1.4
109 rshellnm=rcorensm+shellthicknessnm
110 # converting to natural units
111 confac=5.066*(10**(-3))
112 rcore=confac*rcorensm
113 rshell=confac*rshellnm
114 wavefuncsqnmcon=1/((1.974*100)**(3))
115 # -----
116
117 #defining "k" as a function of energy, potential and mass (for e/h in c/v
118 # bands respectively)
118 def k(E,V,M):
119     hbar=1.0
120     if (E-V)>=0 : kval=math.sqrt((2*(E-V)*M)/(hbar**2))
121     else : kval=cmath.sqrt((2*(E-V)*M)/(hbar**2))
122     #print(f'E-V={E-V}\n k={kval}')
123     return(kval)
124 #
125 # -----
126
127 #defining potential for conduction (for e) band as a function of r (in eV)
128 def Vc(r,core,shell):
129     #defining all constants => potentials (natural units, electron volts)
130     Vcshell=0.0
131     Vccore=-0.1 #wrt Vcshell=0
132     #note, Vccore and Vvcore are not zero wrt each other
133     #
134     if abs(r)<=core: V=Vccore
135     elif abs(r)>core and abs(r)<=shell: V=Vcshell

```

```

136     else: V=10**15 #tends to infinity
137
138     #
139
140 #defining potential for valence (for h) band as a function of r (in eV)
141 def Vv(r,core,shell):
142
143     #defining all constants => potentials (natural units, electron volts)
144     Vvccore=-0.65 #wrt Vcshell=0
145
146     Vvshell=0.0
147
148     #note, Vccore and Vvccore are not zero wrt each other
149
150     #
151
152
153     # ----- functions for wavefunction (CE and VH)
154     #
155
156     # defining wavefunction of core for electrons (in conduction band)
157     def psi_CE_core(Acecore,l,kcecore,r):
158
159         psicecore=Acecore*spherical_jn(l, kcecore*r)
160
161         return(psicecore)
162
163
164     # defining wavefunction of shell for electrons (in conduction band)
165     def psi_CE_shell(Aceshell,Bceshell,l,kceshell,r):
166
167         psiceshell=Aceshell*spherical_jn(l,kceshell*r)+Bceshell*spherical_yn(l,
168         ,kceshell*r)
169
170         return(psiceshell)
171
172
173     #defining wavefunction of core for holes (in valence band)
174     def psi_VH_core(Avhcore,l,kvhcore,r):
175
176         psivhcore=Avhcore*spherical_jn(l,kvhcore*r)

```

```

170     return(psivhcore)
171 #
172
173 # defining wavefunction for shell for holes (in valence band)
174 def psi_VH_shell(Avhshell,Bvhshell,l,kvhshell,r):
175     psivhshell=Avhshell*spherical_jn(l,kvhshell*r)+Bvhshell*spherical_yn(l,
176     ,kvhshell*r)
177     return(psivhshell)
178 #
179 # ----- A,B functions (CE and VH)
180 # defining A_CE_shell
181 def A_CE_shell(Acecore,l,kcecore,kceshell,rcore,Mcecore,Mceshell):
182     numceshell=(spherical_jn(l,kcecore*rcore).item()*spherical_yn(l,
183     kceshell*rcore,derivative=True).item() - ((Mceshell*kcecore)/(Mcecore*
184     kceshell))*spherical_jn(l,kcecore*rcore,derivative=True).item()*
185     spherical_yn(l,kceshell*rcore).item())
186     denomceshell=spherical_jn(l,kceshell*rcore).item()*spherical_yn(l,
187     kceshell*rcore,derivative=True).item() - spherical_jn(l,kceshell*rcore,
188     derivative=True).item()*spherical_yn(l,kceshell*rcore).item()
189     #
190     #print((kceshell*rcore).imag,spherical_in(l,(kceshell*rcore).imag).
191     item(),spherical_kn(l,(kceshell*rcore).imag),spherical_in(l,kceshell*
192     rcore,derivative=True),spherical_kn(l,kceshell*rcore,derivative=True).
193     tolist(),denomceshell)
194     Acesshell=Acecore*(numceshell/denomceshell)
195     return(Acesshell)
196 #
197
198 # defining B_CE_shell
199 def B_CE_shell(Acecore,l,kcecore,kceshell,rcore,Mcecore,Mceshell):
200     numceshell=(spherical_jn(l,kcecore*rcore).item()*spherical_jn(l,
201     kceshell*rcore,derivative=True).item() - ((Mceshell*kcecore)/(Mcecore*
202     kceshell))*spherical_jn(l,kcecore*rcore,derivative=True).item()*
203     spherical_jn(l,kceshell*rcore).item())

```

```

193     denomceshell=spherical_jn(l,kceshell*rcore,derivative=True).item()*  

194     spherical_yn(l,kceshell*rcore).item()-spherical_jn(l,kceshell*rcore).  

195     item()*spherical_yn(l,kceshell*rcore,derivative=True).item()  

196     Bceshell=Acecore*(numceshell/denomceshell)  

197  

198 # defining A_VH_shell  

199 def A_VH_shell(Avhcore,l,kvhcore,kvhshell,rcore,Mvhcore,Mvhshell):  

200     numvhshell=(spherical_jn(l,kvhcore*rcore).item()*spherical_yn(l,  

201     kvhshell*rcore,derivative=True).item()-(Mvhshell*kvhcore)/(Mvhcore*  

202     kvhshell))*spherical_jn(l,kvhcore*rcore,derivative=True).item()*  

203     spherical_yn(l,kvhshell*rcore).item()  

204     denomvhshell=spherical_jn(l,kvhshell*rcore).item()*spherical_yn(l,  

205     kvhshell*rcore,derivative=True).item()-spherical_jn(l,kvhshell*rcore,  

206     derivative=True).item()*spherical_yn(l,kvhshell*rcore).item()  

207     Avhshell=Avhcore*(numvhshell/denomvhshell)  

208  

209 # defining B_CE_shell  

210 def B_VH_shell(Avhcore,l,kvhcore,kvhshell,rcore,Mvhcore,Mvhshell):  

211     numvhshell=(spherical_jn(l,kvhcore*rcore).item()*spherical_jn(l,  

212     kvhshell*rcore,derivative=True).item()-(Mvhshell*kvhcore)/(Mvhcore*  

213     kvhshell))*spherical_jn(l,kvhcore*rcore,derivative=True)*spherical_jn(l  

214     ,kvhshell*rcore).item()  

215     denomvhshell=spherical_jn(l,kvhshell*rcore,derivative=True).item()*  

216     spherical_yn(l,kvhshell*rcore).item()-spherical_jn(l,kvhshell*rcore).  

217     item()*spherical_yn(l,kvhshell*rcore,derivative=True).item()  

218     Bvhshell=Avhcore*(numvhshell/denomvhshell)  

219  

220 # ----- defining constants and building  

221 # up wavefunctions ----- #

```

```

215 #defining logistics about terms to generate (step sizes, initial points,
216     final points, number of terms)
217 hr=10**(-3)*confac #step size in r (in converted units)
218 hE=10**(-7) #step size in energy (in eV)
219 r0=0.0
220 E0=10**(-10)
221 rf=confac*3.0
222 Ef=0.0002
223 Nr=int(math.ceil((rf-r0)/hr))
224 NE=int(math.ceil((Ef-E0)/hE))
225 #
-----#
226
227
228 # ----- CONDUCTION ELECTRONS
229     #
230 nmrootsCE=path+"\Wavefunctions\"+f'CE_Roots(B3)_final.txt'
231 frootsCE=open(nmrootsCE,'r')
232 RootsCE=[[float(num) for num in line.split(' ')] for line in frootsCE] #
233     read entire file
234
235 #After this, all functions will be in a for loops. Outermost loops will be
236     "l" and "m" which define the orders.
237 #Then, the loops will be for "r" and "E". This is the final generation of
238     data section.
239
240 for itr in range(len(RootsCE)):
241     lwave=RootsCE[itr][0]
242     ErootCE=round(RootsCE[itr][1],4)
243     print(lwave, ErootCE)
244     #
245     #Next, we need to normalise the complete wavefunction to find Acore,
246     which will allow us to obtain all Ace and Bce, thus psice (first for
247     conduction electrons)

```

```

242     # for this, we need a function which squares psicoreCE*r^2 for given
243     # root energy value
244
245     def psiCEmodsquare(rce):
246
247         if abs(rce)<=rcore:
248
249             # defining potential and K for iteration
250
251             Vce=Vc(rce,rcore,rshell) #potential for the iteration
252
253             kcecoreMod=k(ErootCE,Vce,mecore) # k for iteration
254
255             psiCEcoresquare=(rce**2)*modulus(psi_CE_core(1,lwave,
256             kcecoreMod,rce))*4*math.pi
257
258             #print(Vce,kcecoreMod,psiCErsquare)
259
260             return(psiCEcoresquare)
261
262         #
263
264         elif abs(rce)>rcore and abs(rce)<=rshell:
265
266             Vceshell00=Vc(rce,rcore,rshell) #potential for the iteration
267
268             Vcecore00=Vc(rcore,rcore,rshell) #constant potential of core
269
270             kcecore00=k(ErootCE,Vcecore00,mecore)
271
272             kceshell00=k(ErootCE,Vceshell00,meshell)
273
274             Aceshell00=A_CE_shell(1,lwave,kcecore00,kceshell00,rcore,
275             mecore,meshell)
276
277             Bceshell00=B_CE_shell(1,lwave,kcecore00,kceshell00,rcore,
278             mecore,meshell)
279
280             psiCErshellsquare=(rce**2)*modulus(psi_CE_shell(Aceshell00,
281             Bceshell00,lwave,kceshell00,rce))*4*math.pi
282
283             return(psiCErshellsquare)
284
285         #
286
287         else: return(0)
288
289         #
290
291         #now calling the numerical integration function
292
293         nmpsiCEnorm=path+"\\"Wavefunctions"\\"+f'Normalisation of psiCEcore.txt'
294
295         fpsiCEnorm=open(nmpsiCEnorm,"w")
296
297         fpsiCEnorm.close()
298
299         IntCEcore=simpson(psiCEmodsquare,r0,rshell,hr,nmpsiCEnorm)
300
301         #print(IntCEcore)
302
303         AcoreCE=math.sqrt(1/IntCEcore)
304
305         print(f'Normalization constant AcoreCE = {AcoreCE}\n')
306
307         #

```

```

273     #now generating the complete wavefunction for given lwave , CE for a
274     # given energy value =>ErootCE
275
276     nmCEwave=path+"\\Wavefunctions\\"+f'CE_wavefunc_l={int(lwave)}.txt'
277     fCEwave=open(nmCEwave,"w")
278     fCEwave.close()
279
280     for rvals in range(Nr):
281         r=r0+rvals*hr
282
283         if abs(r)<=rcore:
284
285             Vcecore00=Vc(r,rcore,rshell) #potential for the iteration
286             kcecore00=k(ErootCE,Vcecore00,mecore)
287
288             psiCErcore=psi_CE_core(AcoreCE,lwave,kcecore00,r)
289
290             append_file(nmCEwave,f'{r/confac} {psiCErcore.real*(
291                 wavefuncsqnmcon**((1/2))} {psiCErcore.imag*(wavefuncsqnmcon**((1/2)))} {
292                 modulus(psiCErcore)*(wavefuncsqnmcon)}\n')
293
294             #
295
296             elif abs(r)>rcore and abs(r)<=rshell:
297
298                 Vceshell00=Vc(r,rcore,rshell) #potential for the iteration
299                 Vcecore00=Vc(rcore,rcore,rshell) #constant potential of core
300
301                 kcecore00=k(ErootCE,Vcecore00,mecore)
302
303                 kcessel00=k(ErootCE,Vceshell00,meshell)
304
305                 Acessel00=A_CE_shell(AcoreCE,lwave,kcecore00,kcessel00,rcore
306                 ,mecore,meshell)
307
308                 Bcessel00=B_CE_shell(AcoreCE,lwave,kcecore00,kcessel00,rcore
309                 ,mecore,meshell)
310
311                 psiCErsheell=psi_CE_shell(Acessel00,Bcessel00,lwave,
312                 kcessel00,r)
313
314                 append_file(nmCEwave,f'{r/confac} {psiCErsheell.real*(
315                     wavefuncsqnmcon**((1/2))} {psiCErsheell.imag*(wavefuncsqnmcon**((1/2)))} {
316                     modulus(psiCErsheell)*(wavefuncsqnmcon)}\n')
317
318             #
319
320             else: append_file(nmCEwave,f'{r/confac} {0} {0} {0}\n')
321
322             #
323
324     #

```

```

300 #
301 -----
302 VALENCE HOLES
303 -----
304 #
305
306 nmrootsVH=path+"\\Wavefunctions\\\"+f'VH_Roots(B3)_final.txt'
307 frootsVH=open(nmrootsVH,'r')
308 RootsVH=[[float(num) for num in line.split(' ')] for line in frootsVH] #
309     read entire file
310
311 #After this, all functions will be in a for loops. Outermost loops will be
312     "l" and "m" which define the orders.
313 #Then, the loops will be for "r" and "E". This is the final generation of
314     data section.
315
316 for itr in range(len(RootsVH)):
317     lwave=RootsVH[itr][0]
318     ErootVH=round(RootsVH[itr][1],4)
319     print(lwave, ErootVH)
320     #
321     #Next, we need to normalise the core wavefunction to find Acore, which
322     will allow us to obtain all Ace and Bce, thus psice (first for
323     conduction electrons)
324     # for this, we need a function which squares psicoreCE*r^2 for given
325     root energy value
326     def psicoreVHmodsquare(rvh):
327         if abs(rvh)<=rcore:
328             # defining potential and K for iteration
329             Vvh=Vv(rvh,rcore,rshell) #potential for the iteration
330             kvhcoreMod=k(ErootVH,Vvh,mhcore) # k for iteration
331             psiVHrcoresquare=(rvh**2)*modulus(psi_VH_core(1,lwave,
332             kvhcoreMod,rvh))*4*math.pi
333             return(psiVHrcoresquare)
334         #
335         elif abs(rvh)>rcore and abs(rvh)<=rshell:
336             Vvhshell100=Vv(rvh,rcore,rshell) #potential for the iteration

```

```

326         Vvhcore00=Vv(rcore,rcore,rshell) #constant potential of core
327         kvhcore00=k(ErootVH,Vvhcore00,mhcore)
328         kvhshell00=k(ErootVH,Vvhshell00,mhshell)
329         Avhshell00=A_VH_shell(1,lwave,kvhcore00,kvhshell00,rcore,
330         mhcore,mhshell)
330         Bvhshell00=B_VH_shell(1,lwave,kvhcore00,kvhshell00,rcore,
331         mhcore,mhshell)
331         psiVHrshellsquare=(rvh**2)*modulus(psi_VH_shell(Avhshell00,
332         Bvhshell00,lwave,kvhshell00,rvh))*4*math.pi
333         return(psiVHrshellsquare)
334     #
335     else: return(0)
336     #
337     #now calling the numerical integration function
338     nmpsiVHnorm=path+"\Wavefunctions\f'Normalisation of psiVHcore.txt",
339     fpsiVHnorm=open(nmpsiVHnorm,"w")
340     fpsiVHnorm.close()
341     IntVHcore=simpson(psicoreVHmodsquare,r0,rshell,hr,nmpsiVHnorm)
342     AcoreVH=math.sqrt(1/IntVHcore)
343     print(f'Normalization constant AcoreVH = {AcoreVH}\n')
344     #
345     #now generating the complete wavefunction for given lwave, CE for a
346     given energy value =>ErootCE
347     # we will also generate |psi|^2
348     nmVHwave=path+"\Wavefunctions\f'VH_wavefunc_l={int(lwave)}.txt",
349     fVHwave=open(nmVHwave,"w")
350     fVHwave.close()
351     for rvals in range(Nr):
352         r=r0+rvals*hr
353         if abs(r)<=rcore:
354             Vvhcore00=Vv(r,rcore,rshell) #potential for the iteration
355             kvhcore00=k(ErootVH,Vvhcore00,mhcore)
356             psiVHrcore=psi_VH_core(AcoreVH,lwave,kvhcore00,r)
357             append_file(nmVHwave,f'{r/confac} {psiVHrcore.real*(
358             wavefuncsqnmcon**{(1/2)})} {psiVHrcore.imag*(wavefuncsqnmcon**{(1/2)})} {
359             modulus(psiVHrcore)*(wavefuncsqnmcon)}\n')

```

```

356     #
357
358     elif abs(r)>rcore and abs(r)<=rshell:
359         Vvhshell00=Vv(r,rcore,rshell) #potential for the iteration
360         Vvhcore00=Vv(rcore,rcore,rshell) #constant potential of core
361         kvhcore00=k(ErootVH,Vvhcore00,mhcore)
362         kvhshell00=k(ErootVH,Vvhshell00,mhshell)
363         Avhshell00=A_VH_shell(AcoreVH,lwave,kvhcore00,kvhshell00,rcore
364 ,mhcore,mhshell)
365         Bvhshell00=B_VH_shell(AcoreVH,lwave,kvhcore00,kvhshell00,rcore
366 ,mhcore,mhshell)
367         psiVHrshell=psi_VH_shell(Avhshell00,Bvhshell00,lwave,
368 kvhshell00,r)
369         append_file(nmVHwave,f'{r/confac} {psiVHrshell.real*(
370 wavefuncsqnmcon**({1/2}))} {psiVHrshell.imag*(wavefuncsqnmcon**({1/2}))} {
371 modulus(psiVHrshell)*(wavefuncsqnmcon)}\n')
372         #
373     else: append_file(nmVHwave,f'{r/confac} {0} {0} {0}\n')
374     #
375     #
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5 import time
6 import os
7 import numpy as np
8 import scipy
9 from scipy.special import spherical_jn
10 from scipy.special import spherical_yn
11 from scipy.special import spherical_in
12 from scipy.special import spherical_kn
13
14 # all external functions needed for the code
15
16 # READ FILE
17 def read_matrix(x): #more than one column #parameter: x ==> name of file
18     f=open(x,'r') #'r' ==> read only
19     X=[[float(num) for num in line.split(' ')] for line in f]
20     f.close()
21     return(X)
22 #
23
24 # APPEND FILE
25 def append_file(name, str): #arguments: name ==> name of file, str ==>
26     string to append
27     f=open(name, 'a') #'a' ==> append file
28     f.write(str)
29     f.close()
30     #
31
32 #MOD SQUARE OF A COMPLEX NUMBER
33 def modulus(x): #x ==> complex number
34     real=x.real**2
35     imag=x.imag**2
36     modsq=real+imag
37     return(modsq)
38 #
39

```

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40 #MOD SQUARE OF A COMPLEX NUMBER
41 def modulus(x): #x ==> complex number
42     real=x.real**2
43     imag=x.imag**2
44     modsq=real+imag
45     return(modsq)
46 #
47
48 # Integration
49 def simpson(f, lower, upper, h, name):
50     N=int(abs(upper-lower)/h)
51     I=0
52     X=[0 for i in range(N+1)] #for storing N+1 values, including upper and
53     lower
54     X[0]=lower
55     for i in range(1,N+1):
56         X[i]=lower+h*i #finding the next point
57         mid=(X[i-1]+X[i])/2 #finding mid value
58         h1=(X[i]-X[i-1])/2 #diving every slice in two equal slices, and
59         finding h relevant to that slice
60         #calculating the integration
61         I=I+(h1/3)*(f(X[i-1])+4*f(mid)+f(X[i]))
62         #
63     #storing N vs I value in file
64     append_file(name, f'{N} {I}\n')
65
66     return(I)
67 #
68
69 # sorting array with respect to certain column ==> bubble algortihm
70 def arraysort(X,col):
71     n=len(X)
72     ncol=len(X[0])
73     for i in range(n):
74         for j in range(i+1,n):
75             if (X[i][col]>X[j][col]):
76                 for k in range(ncol) :

```

```

74         temp=X[i][k]
75         X[i][k]=X[j][k]
76         X[j][k]=temp
77         #
78         #
79         #
80         #
81     return(X)
82 #
83
84 # SHIFT VALUES OF MATRIX BY MULTIPLCATION ==> multiply some constant/
85 # variable to terms of a matrix
86 def shift_matrix_multiply(M,val,col): #arguments: M ==> original matrix
87     which needs shifting , val ==> value to add to the matrix (all terms),
88     col ==> which column of the matrix to multiply (if 1D matrix , then col
89     =0)
90
91     n=len(M) #number of rows
92
93     if col==0:
94
95         CopyM=[0 for i in range(n)]
96
97         for i in range(n):
98
99             CopyM[i]=M[i]*val
100
101         #
102
103     else:
104
105         m=len(M[0])
106
107         CopyM=[[0 for j in range(m)] for i in range(n)]
108
109         for i in range(n):
110
111             for j in range(m):
112
113                 if j ==col : CopyM[i][col]=M[i][col]*val
114
115                 else : CopyM[i][j]=M[i][j]
116
117                 #
118
119                 #
120
121             return(CopyM)
122 #
123

```

```

105 #----- Main Code Starts
106
107 #clock beginning time to measure time taken by code to run
108 begin=time.time()
109
110 #setting path of file
111 path=os.path.dirname(os.path.realpath(__file__))
112 sys.path.append(path)
113 # -----
114
115 # defining constants in natural units
116 confac=5.066*(10**(-3))
117 epsilon0=1
118 epsilon=5.367
119 k=(1/(4*math.pi*epsilon0*epsilon))
120 k0=9*(10**9)
121 el0=1.602*(10**(-19))
122 elconfac=1.0/(5.289*(10**(-19)))
123 el=el0*elconfac
124 wavefuncsqnmcon=((1.974*100)**(3))
125 Jouletoev=1/(1.602*(10**(-19)))
126
127 # reading energy from files
128 nmexcitonEN=path+"\Wavefunctions\"+'Energy of Exciton.txt'
129 fecxitonEN=open(nmexcitonEN,'r')
130 header_line=next(fecxitonEN) # ignoring the first line of the file
131 EnergyVals=[[float(num) for num in line.split(' ')] for line in fecxitonEN
132 ] #read entire file
132 fecxitonEN.close()
133
134 # as we only careabout the first two energies for the first order energy
135 # correction, we will store them
135 E0=EnergyVals[0][4]
136 E1=EnergyVals[1][4]
137

```

```

138 # we only care about the ground state, so we will import only that
139 lCE=0
140 lVH=0
141 # ground state
142 nmCE=path+"\\"+f'CE_wavfunc_l={lCE}.txt'
143 fileCE=open(nmCE,'r')
144 # storing data in array
145 CE=[[float(num) for num in line.split(' ')] for line in fileCE] #0 :
146     position ; 3 : |\psi|^2 # l=0 for CE
147 fileCE.close()
148 nmVH=path+"\\"+f'VH_wavfunc_l={lVH}.txt'
149 fileVH=open(nmVH,'r')
150 # storing data in array
151 VH=[[float(num) for num in line.split(' ')] for line in fileVH] #0 :
152     position ; 3 : |\psi|^2 # l=0 for VH
153 fileVH.close()
154 #
155 # first excited state
156 nmCE1=path+"\\"+f'CE_wavfunc_l={lCE}.txt'
157 fileCE1=open(nmCE1,'r')
158 # storing data in array
159 CE1=[[float(num) for num in line.split(' ')] for line in fileCE1] #0 :
160     position ; 3 : |\psi|^2 # l=0 for CE
161 fileCE1.close()
162 nmVH1=path+"\\"+f'VH_wavfunc_l={lVH+1}.txt'
163 fileVH1=open(nmVH1,'r')
164 # storing data in array
165 VH1=[[float(num) for num in line.split(' ')] for line in fileVH1] #0 :
166     position ; 3 : |\psi|^2 # l=0 for VH
167 fileVH1.close()
168
169
170 # -----
171 FIRST ORDER ENERGY CORRECTION
172 -----#

```

```

167 # performing integration over CE by keeping VH constant at r=0 => ground
      state
168 psiVH=VH[0][3]
169 #print(psiVH)
170 Int=0.0 # total integration value for CE
171 delr=CE[1][0]-CE[0][0]
172 itr=int(1) # counter
173 while itr<(len(CE)-1):
174     r1=CE[itr][0]
175     psisq1=CE[itr][3]
176     HC1=-(k0/epsilon)*(el0**2)*(1/r1)
177     Int1=4*math.pi*psisq1*HC1*(r1**2)*delr # first point
178     #
179     r2=CE[int(itr+1)][0]
180     psisq2=CE[int(itr+1)][3]
181     HC2=-(k0/epsilon)*(el0**2)*(1/r2)
182     Int2=4*math.pi*psisq2*HC2*(r2**2)*delr # second point
183     #
184     Intavg=(Int1+Int2)/2.0
185     Int=Int+Intavg
186     #
187     itr=int(itr+2)
188 #
189 print(Int, psiVH)
190 print(f'\nGround State Energy = {E0} eV')
191 print(f'First Order Energy Correction Due to Coulomb Interaction = {Int*
      psiVH*Jouletoev*(10**9)} eV\n')
192
193 # performing integration over CE by keeping VH constant at r=0 => first
      excited state
194 psiVH1=VH1[0][3]
195 #print(psiVH)
196 Int=0.0 # total integration value for CE
197 itr=int(1) # counter
198 while itr<(len(CE1)-1):
199     r1=CE1[itr][0]*(10**(-9))

```

```

200 psisq1=CE1(itr)[3]
201 HC1=-(k0/epsilon)*(el0**2)*(1/r1)
202 Int1=4*math.pi*psisq1*HC1*(r1**2)*delr # first point
203 #
204 r2=CE1[int(itr+1)][0]*(10**(-9))
205 psisq2=CE1[int(itr+1)][3]
206 HC2=-(k0/epsilon)*(el0**2)*(1/r2)
207 Int2=4*math.pi*psisq2*HC2*(r2**2)*delr # second point
208 #
209 Intavg=(Int1+Int2)/2.0
210 Int=Int+Intavg
211 #
212 itr=int(itr+2)
213 #
214 print(f'\nFirst Excited State Energy = {E1} eV')
215 print(f'First Order Energy Correction Due to Coulomb Interaction = {Int*psiVH1*Jouletoev*(10**9)} eV\n')
216
217 # -----
#-----#
218
219 # to find the first order correction to the wavefunction, we need to (
#      technically) know all the states, but we can make an estimate to that.
220 # we first need to sort the energy vals array with respect to the exciton
#      energy
221 EnergyValsSort=arraysort(EnergyVals,4)
222
223
224 # ----- we need to find
#      contributiong factors for each energy level ==> for wavefunction
#      correction
225 EcorrWave=[0 for i in range(len(EnergyVals))] # storing correction factor
226 for i in range(1,len(EnergyValsSort)):
227     IntState=0.0 # total integration value

```

```

228 lCE=int(EnergyValsSort[i][0])
229 lVH=int(EnergyValsSort[i][1])
230 # importing CE wavefunction for that state
231 nmce=path+"\\"Wavefunctions"\\"+f'CE_wavefunc_l={lCE}.txt'
232 filece=open(nmce,'r')
233 # storing data in array
234 CEext=[[float(num) for num in line.split(' ')] for line in filece] #0
: position ; 3 : |\psi|^2
235 filece.close()
236 # importing VH wavefunction for that state
237 nmvh=path+"\\"Wavefunctions"\\"+f'VH_wavefunc_l={lVH}.txt'
238 filevh=open(nmvh,'r')
239 # storing data in array
240 VHext=[[float(num) for num in line.split(' ')] for line in filevh] #0
: position ; 3 : |\psi|^2
241 filevh.close()
242 # storing the r=0 val for psi_VH
243 psivh1=VHext[0][1]
244 psivhg1=VH[0][1]
245 # performing the integration
246 itr=int(1) # counter
247 while itr<(len(CEext)-1):
248     r1=CEext[itr][0]
249     psi1=CEext[itr][1]
250     psig1=CE[itr][1]
251     HC1=-(k0*(10**27)/epsilon)*(el0**2)*(1/r1) # in nm units
252     IntS1=4*math.pi*psi1*psig1*HC1*(r1**2)*delr # first point
253     #
254     r2=CEext[int(itr+1)][0]
255     psi2=CEext[int(itr+1)][1]
256     psig2=CE[int(itr+1)][1]
257     HC2=-(k0*(10**27)/epsilon)*(el0**2)*(1/r2)
258     IntS2=4*math.pi*psi2*psig2*HC2*(r2**2)*delr # second point
259     #
260     IntStateavg=(IntS1+IntS2)/2.0
261     IntState=IntState+IntStateavg

```

```

262     #
263     itr=int(itr+2)
264     #
265     Ei=EnergyValsSort[i][4]
266     IntState=IntState/((E0-Ei)*1.602*(10**(-1))) # converting to nmJoules
267     EcorrWave[i]=IntState*psivh1*psivhg1
268     #print(IntState)
269     #print(f'Correction factor for m={i} (lCE={lCE}, lVH={lVH}) : {IntState*psivh1*psivhg1*(10**9)} eV')
270     #print(EcorrWave)
271     #
272
273     # ----- generating corrected wavefunctions
274     nmcorr=path+"\\"Wavefunctions"\\"+f'FirstCorrectedExciton.txt'
275     fcorr=open(nmcorr,"w")
276     fcorr.close()
277     nmoriginal=path+"\\"Wavefunctions"\\"+f'UnperturbedExciton.txt'
278     forig=open(nmoriginal,"w")
279     forig.close()
280     # restoring ground state wavefunction
281     CorrWave=shift_matrix_multiply(CE,VH[0][1],1)
282     Correction=[0 for i in range(len(CE))]
283     # running loop to generate corrections
284     for i in range(1,len(EcorrWave)):
285         lCE=int(EnergyValsSort[i][0])
286         lVH=int(EnergyValsSort[i][1])
287         # importing CE wavefunction for that state
288         nmce=path+"\\"Wavefunctions"\\"+f'CE_wavefunc_l={lCE}.txt'
289         filece=open(nmce,'r')
290         # storing data in array
291         CEext=[[float(num) for num in line.split(' ')] for line in filece] #0
292         : position ; 3 : |\psi|^2
293         filece.close()
294         # importing VH wavefunction for that state
295         nmvh=path+"\\"Wavefunctions"\\"+f'VH_wavefunc_l={lVH}.txt'
296         filevh=open(nmvh,'r')

```

```

296     # storing data in array
297     VHext=[[float(num) for num in line.split(' ')] for line in filevh] #0
298     : position ; 3 : |\psi|^2
299     filevh.close()
300 #
301 # corrected wavefunctions
302 for i in range(len(CorrWave)):
303     append_file(nmoriginal,f'{CorrWave[i][0]} {CorrWave[i][1]} {0.0} {modulus(CorrWave[i][1])}\n')
304     CorrWave[i][1]=CorrWave[i][1]+Correction[i]
305     append_file(nmc当地,f'{CorrWave[i][0]} {CorrWave[i][1]} {0.0} {modulus(CorrWave[i][1])}\n')
306 # -----
307 end=time.time()
308 print(f'Time taken for code to run = {end-begin}\n')

```

A.5 Interaction of Quantum Dot with a Weak Electric Field : Computational Solution

We use the corrected ground state wavefunction generated by Coulomb interaction to obtain the upper limit to the second order energy correction given in equation (2.38).

```

1 # energy correction due to stark effect
2
3 import math
4 import cmath
5 import sys
6 import time
7 import os
8 import numpy as np
9 import scipy
10 from scipy.special import spherical_jn
11 from scipy.special import spherical_yn
12 from scipy.special import spherical_in
13 from scipy.special import spherical_kn

```

```

14
15 # all external functions needed for the code
16
17 # READ FILE
18 def read_matrix(x): #more than one column #parameter: x ==> name of file
19     f=open(x, 'r') #'r' ==> read only
20     X=[[float(num) for num in line.split(' ')] for line in f]
21     f.close()
22     return(X)
23 #
24
25 # APPEND FILE
26 def append_file(name, str): #arguments: name ==> name of file, str ==>
27     string to append
28     f=open(name, 'a') #'a' ==> append file
29     f.write(str)
30     f.close()
31     #
32
33 #MOD SQUARE OF A COMPLEX NUMBER
34 def modulus(x): #x ==> complex number
35     real=x.real**2
36     imag=x.imag**2
37     modsq=real+imag
38     return(modsq)
39 #
40
41 #MOD SQUARE OF A COMPLEX NUMBER
42 def modulus(x): #x ==> complex number
43     real=x.real**2
44     imag=x.imag**2
45     modsq=real+imag
46     return(modsq)
47 #
48

```

```

49 # Integration
50 def simpson(f, lower, upper, h, name):
51     N=int(abs(upper-lower)/h)
52     I=0
53     X=[0 for i in range(N+1)] #for storing N+1 values, including upper and
54     lower
55     X[0]=lower
56     for i in range(1,N+1):
57         X[i]=lower+h*i #finding the next point
58         mid=(X[i-1]+X[i])/2 #finding mid value
59         h1=(X[i]-X[i-1])/2 #diving every slice in two equal slices, and
60         finding h relevant to that slice
61         #calculating the integration
62         I=I+(h1/3)*(f(X[i-1])+4*f(mid)+f(X[i]))
63         #
64     #storing N vs I value in file
65     append_file(name, f'{N} {I}\n')
66     return(I)
67 #
68
69 # sorting array with respect to certain column ==> bubble algortihm
70 def arraysort(X,col):
71     n=len(X)
72     ncol=len(X[0])
73     for i in range(n):
74         for j in range(i+1,n):
75             if (X[i][col]>X[j][col]):
76                 for k in range(ncol) :
77                     temp=X[i][k]
78                     X[i][k]=X[j][k]
79                     X[j][k]=temp
80                     #
81                     #
82     return(X)

```

```
83 #
84
85 # SHIFT VALUES OF MATRIX BY MULTIPLCATION ==> multiply some constant/
86 # variable to terms of a matrix
87
88 def shift_matrix_multiply(M, val, col): #arguments: M ==> original matrix
89     which needs shifting, val ==> value to add to the matrix (all terms),
90     col ==> which column of the matrix to multiply (if 1D matrix, then col
91     =0)
92
93     n=len(M) #number of rows
94
95     if col==0:
96
97         CopyM=[0 for i in range(n)]
98
99         for i in range(n):
100
101             CopyM[i]=M[i]*val
102
103             #
104
105             #
106
107             #
108             #
109             #
110             #
111             #
112             #
113             #
```

```

114 # ----- #
115
116 # defining constants in natural units
117 confac=5.066*(10**(-3))
118 epsilon0=1
119 epsilon=5.367
120 k=(1/(4*math.pi*epsilon0*epsilon))
121 e10=1.602*(10**(-19))
122 elconfac=1.0/(5.289*(10**(-19)))
123 el=e10*elconfac
124 evJoule=1.602*(10**(-19))
125
126 EF2=1014223.237
127
128
129 # reading energy from files
130 nmexcitonEN=path+"\Wavefunctions\"+"Energy of Exciton.txt"
131 fecxitonEN=open(nmexcitonEN,'r')
132 header_line=next(fecxitonEN) # ignoring the first line of the file
133 EnergyVals=[[float(num) for num in line.split(' ')] for line in fecxitonEN
134 ] #read entire file
135 fecxitonEN.close()
136
137 # as we only careabout the first two energies for the first order energy
138 # correction, we will store them
139 E0=EnergyVals[0][4]
140 E1=EnergyVals[1][4]
141 Ecorr0=-0.0465 #first order correction to ground state
142
143 # first importing the ground state
144 # ground state
145 nmExc=path+"\Wavefunctions\"+f'FirstCorrectedExciton.txt',
146 fileExc=open(nmExc,'r')
147 # storing data in array
148 Exc=[[float(num) for num in line.split(' ')] for line in fileExc] #0 :
149 position ; 3 : |\psi|^2 # l=0 for CE

```

```

147 fileExc.close()
148
149 # performing the two integrations
150 IntH1=0.0
151 IntH2=0.0
152 itr=int(1) # counter
153 delr=Exc[1][0]-Exc[0][0]
154 while itr<(len(Exc)-1):
155     r1=Exc[itr][0]
156     psisq1=Exc[itr][3]
157     HC2r1=r1**2
158     # first point
159     Int2r1=4*math.pi*psisq1*HC2r1*(r1**2)*(1/3)*delr
160     #
161     r2=Exc[int(itr+1)][0]
162     psisq2=Exc[int(itr+1)][3]
163     HC2r2=r2**2
164     # second point
165     Int2r2=4*math.pi*psisq2*HC2r2*(r2**2)*(1/3)*delr
166     #
167     IntavgH2=(Int2r1+Int2r2)/2.0
168     IntH2=IntH2+IntavgH2
169     #
170     itr=int(itr+2)
171 #
172
173 EcorrStark=(-(e10**2))*2*(IntH2*(10**(-18)))/((E0+Ecorr0-E1)*evJoule)
174 print(f'Correction={EcorrStark}')
175
176 # ----- #
177 end=time.time()
178 print(f'Time taken for code to run = {end-begin}\n')

```

A.6 Conversion to Natural Units

Natural units is a system of units in which we set the speed of light and Planck's constant to be 1, and re-frame all other dimension-full quantities appropriately.

$$c = 3 \times 10^{-8} \text{ m s}^{-1}, \quad \hbar = 1.0546 \times 10^{-34} \text{ kg m}^2 \text{s}^{-1}. \quad (\text{A.32})$$

Any physical quantity in Si units has dimensions given as

$$[x] = [\text{kg}^\alpha \text{m}^\beta \text{s}^\gamma]. \quad (\text{A.33})$$

We can express it in terms of E , \hbar and c as well, as

$$[x] = [E^p \hbar^q c^r]. \quad (\text{A.34})$$

Comparing the two unit systems in equations (A.34) and (A.33), we get

$$\begin{aligned} [\text{kg}^\alpha \text{m}^\beta \text{s}^\gamma] &= [E^p \hbar^q c^r] \\ \implies [\text{kg}^\alpha \text{m}^\beta \text{s}^\gamma] &= [\text{kg}^p \text{m}^{2p} \text{s}^{-2p} \cdot \text{kg}^q \text{m}^{2q} \text{s}^{-q} \cdot \text{m}^r \text{s}^{-r}], \\ \implies [\text{kg}^\alpha \text{m}^\beta \text{s}^\gamma] &= [\text{kg}^{p+q} \text{m}^{2p+2q+r} \text{s}^{-2p-2q-r}]. \end{aligned}$$

We then get a system of three equations as

$$\alpha = p + q,$$

$$\beta = 2p + 2q + r,$$

$$\gamma = -2p - q - r.$$

Solving the above equations, we can obtain p , q and r in terms of α , β and γ as

$$p = \alpha - \beta - \gamma, \quad (\text{A.35})$$

$$q = \beta + \gamma, \quad (\text{A.36})$$

$$r = \beta - 2\alpha . \quad (\text{A.37})$$

Thus, we can now express the physical quantity in terms of E , \hbar and c as

$$[x] = [\text{kg}^\alpha \text{m}^\beta \text{s}^\gamma] = [E^{\alpha-\beta-\gamma} \hbar^{\beta+\gamma} c^{\beta-2\alpha}] . \quad (\text{A.38})$$

By setting $\hbar = c = 1$, we can express all physical units in some powers of energy. The above equation gives us the required conversion factors for mass and length as

$$(1 \text{ eV})_{\text{mass}} = 1.780 \times 10^{-36} \text{ kg} , \quad (\text{A.39})$$

$$(1 \text{ eV})_{\text{length}} = 1.974 \times 10^{-7} \text{ m} . \quad (\text{A.40})$$

If we now also set $\epsilon_0 = 1$, we get charge to be a dimensionless quantity, with

$$(1 \text{ charge})_{\text{Natural}} = 5.289 \times 10^{-19} \text{ Coulomb} . \quad (\text{A.41})$$

We must also remember that wavelengths have dimensions of $(\text{length})^{-3/2}$, and we have defined lengths in terms of nanometers. We must perform appropriate conversions where needed. Some fundamental conversions can be obtained as

$$(1 \text{ nm})_{\text{Natural}} = 5.066 \times 10^{-3} \text{ eV}^{-1} , \quad (\text{A.42})$$

$$([\psi] = [\text{nm}^{-3/2}])_{\text{Natural}} = (1.974)^{3/2} \times 10^3 \text{ eV}^{3/2} , \quad (\text{A.43})$$

$$(m_0)_{\text{Natural}} = 0.511 \text{ MeV} , \quad (\text{A.44})$$

$$(e)_{\text{Natural}} = 0.303 . \quad (\text{A.45})$$

B. SUPPLEMENTARY MATERIAL FOR CHAPTER 3

B.1 Surface Charge Density of a Conducting Disk

In this section, I provide the detailed derivations for the surface charge density of a conducting disk.

The surface charge density of any surface can be obtained by taking a derivative of the potential of the surface. To obtain the potential of an ellipsoidal surface, we can solve the problem of equipotential surfaces of a line charge.

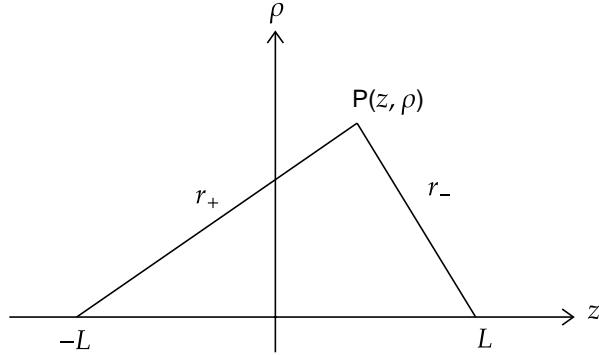


Figure B.1. Line charge

For a given line charge system of length $2L$ and uniform line charge density λ_{charge} , the potential at any point $P(z, \rho)$ can be written as

$$\varphi(z, \rho) = \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{z + L + \sqrt{(L+z)^2 + \rho^2}}{z - L + \sqrt{(L-z)^2 + \rho^2}} \right]. \quad (\text{B.1})$$

We can define a new coordinate system to simplify the above equation as

$$r_{\pm} = \sqrt{\rho^2 + (L \pm z)^2}, \quad (\text{B.2})$$

$$u = \frac{1}{2}(r_+ + r_-), \quad (\text{B.3})$$

$$t = \frac{1}{2}(r_+ - r_-). \quad (\text{B.4})$$

From this, we can obtain ut as well as

$$\begin{aligned} ut &= \frac{1}{4}(r_+^2 - r_-^2), \\ \implies ut &= \frac{1}{4} [\rho^2 + (L+z)^2 - \rho^2 - (L-z)^2], \\ \therefore ut &= zL. \end{aligned} \tag{B.5}$$

We can also write r_+ and r_- in terms of u and t as

$$r_+ = u + t, \quad r_- = u - t. \tag{B.6}$$

Rewriting the potential (B.1) in terms of the new coordinate system,

$$\begin{aligned} \varphi(u, L) &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{z + L + (u + t)}{z - L + (u - t)} \right], \\ \implies \varphi(u, L) &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{ut/L + L + (u + t)}{ut/L - L + (u - t)} \right], \\ \implies \varphi(u, L) &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{u + L + t + ut/L}{u - L - t + ut/L} \right], \\ \implies \varphi(u, L) &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{(u + L) + (t/L)(u + L)}{(u - L) + (t/L)(u - L)} \right], \\ \implies \varphi(u, L) &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{(u + L)(1 + t/L)}{(u - L)(1 + t/L)} \right]. \end{aligned}$$

Thus we finally obtain

$$\varphi(u, L) = \frac{\lambda_{charge}}{4\pi\epsilon_0} \ln \left[\frac{u + L}{u - L} \right]. \tag{B.7}$$

The equipotential surfaces are ellipsoids with semi-major axis as $u = b$. As we expect the electric field to be normal to the surface of the ellipsoid, i.e.,

$$\vec{\nabla}\varphi \parallel \hat{n} \implies \vec{\nabla} \parallel \varphi(c_1\hat{\rho} + c_2\hat{z}).$$

c_1 and c_2 can depend on where we are on the ellipsoid. The surface charge density can then be written as

$$\begin{aligned}\sigma &= \epsilon_0 |\nabla \varphi|_S = \epsilon_0 \left| \frac{\partial \varphi}{\partial \rho} \hat{\rho} + \frac{\partial \varphi}{\partial z} \right|_S, \\ \implies \sigma &= \epsilon_0 \sqrt{\left(\frac{\partial \varphi}{\partial \rho} \right)^2 + \left(\frac{\partial \varphi}{\partial z} \right)^2} |_S, \\ \therefore \sigma &= \epsilon_0 \left| \frac{\partial \varphi}{\partial u} \right|_{u=b} \sqrt{\left(\frac{\partial u}{\partial \rho} \right)^2 + \left(\frac{\partial u}{\partial z} \right)^2} |_S.\end{aligned}\quad (\text{B.8})$$

We now need to calculate the terms individually. Starting with $\left| \frac{\partial \varphi}{\partial u} \right|_{u=b}$,

$$\begin{aligned}\frac{\partial \varphi}{\partial u} &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \frac{\partial}{\partial u} [\ln(u+L) - \ln(u-L)], \\ \implies \frac{\partial \varphi}{\partial u} &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \left[\frac{1}{u+L} - \frac{1}{u-L} \right], \\ \implies \frac{\partial \varphi}{\partial u} &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \left[\frac{u-L - u+L}{(u+L)(u-L)} \right], \\ \implies \frac{\partial \varphi}{\partial u} &= \frac{\lambda_{charge}}{4\pi\epsilon_0} \frac{(-2L)}{(u^2 - L^2)}.\end{aligned}$$

Now, we have $\lambda_{charge} = \frac{Q}{2L}$ and at the surface of the ellipsoid $u = b$. As $L^2 = b^2 - a^2$ for an ellipsoid, we also have $u^2 - L^2 = a^2$. Thus, we get the final expression as

$$\therefore \left| \frac{\partial \varphi}{\partial u} \right|_{u=b} = \frac{Q}{4\pi\epsilon_0 a^2}. \quad (\text{B.9})$$

Calculating the next term

$$\begin{aligned}\left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{1}{2} \left[\frac{\partial r_+}{\partial \rho} + \frac{\partial r_-}{\partial \rho} \right]_S, \\ \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{1}{2} \left[\frac{2\rho}{2r_+} + \frac{2\rho}{2r_-} \right]_S, \\ \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{\rho}{2} \left[\frac{r_- + r_+}{r_+ r_-} \right]_S.\end{aligned}$$

Recalling that at the surface, we have $u = \frac{1}{2}(r_+ + r_-) = b$, we get

$$\implies \left| \frac{\partial u}{\partial \rho} \right|_S = \left. \frac{b\rho}{r_+ r_-} \right|_S.$$

Now evaluating $r_+ r_-$, we can write

$$\begin{aligned} r_+ r_- &= u^2 - t^2, \\ \implies r_+ r_- \Big|_S &= \left(u^2 - \frac{z^2 L^2}{u^2} \right) \Big|_S, \\ \implies r_+ r_- \Big|_S &= b^2 - \frac{z^2(b^2 - a^2)}{b^2}, \\ \implies r_+ r_- \Big|_S &= \frac{1}{b^2} [b^4 - z^2(b^2 - a^2)]. \end{aligned}$$

The equation of an ellipsoid is given as

$$\begin{aligned} \frac{x^2}{a^2} + \frac{y^2}{a^2} + \frac{z^2}{b^2} &= 1, \\ \therefore \frac{\rho^2}{a^2} + \frac{z^2}{b^2} &= 1. \end{aligned}$$

Going back to the partial derivative of u with respect to ρ that we were evaluating, we obtain

$$\begin{aligned} \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= b\rho \frac{b^2}{b^4 - z^2(b^2 - a^2)}, \\ \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{\rho b^3}{b^4 - z^2 b^2 + z^2 a^2}, \\ \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{\rho/b}{1 - \frac{z^2}{a^2} + \frac{z^2 a^2}{b^4}}, \\ \implies \left| \frac{\partial u}{\partial \rho} \right|_S &= \frac{\rho/b}{\frac{\rho^2}{a^2} + \frac{z^2 a^2}{b^4}}. \end{aligned}$$

Thus we finally obtain

$$\therefore \left| \frac{\partial u}{\partial \rho} \right|_S = \frac{\rho}{a^2 b} \left[\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right]^{-1}. \quad (\text{B.10})$$

Now calculating the derivative of u with respect to z , we get

$$\begin{aligned}
& \left| \frac{\partial u}{\partial z} \right|_S = \frac{1}{2} \left(\frac{\partial r_+}{\partial z} + \frac{\partial r_-}{\partial z} \right) \Bigg|_S, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{1}{2} \left[\frac{1}{2r_+} \cdot 2(L+z) - \frac{1}{2r_-} \cdot 2(L-z) \right] \Bigg|_S, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{1}{2} \left(\frac{L+z}{r_+} - \frac{L-z}{r_-} \right) \Bigg|_S, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{1}{2} \left[\frac{r_-(L+z) - r_+(L-z)}{r_+r_-} \right] \Bigg|_S, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{-Lt + zu}{r_+r_-} \Bigg|_S = \frac{-L^2z/u + zu}{r_+r_-} \Bigg|_S, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{-(b^2 - a^2)z/b + zb}{b^2(b^2 - z^2b^2 + z^2a^2)}, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{[(a^2 - b^2)z + zb^2]b^2}{b(b^4 - z^2b^2 + z^2a^2)}, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{za^2b}{b^4 - z^2b^2 + z^2a^2} = \frac{za^2/b^3}{1 - \frac{z^2}{b^2} + \frac{z^2a^2}{b^4}}, \\
\implies & \left| \frac{\partial u}{\partial z} \right|_S = \frac{za^2/b^3}{\frac{\rho^2}{a^4} + \frac{z^2a^2}{b^4}} = \frac{za^2/b^3}{\mathcal{A} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)}.
\end{aligned}$$

Thus we obtain the final expression as

$$\therefore \left| \frac{\partial u}{\partial z} \right|_S = \frac{z}{b^3} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)^{-1}. \quad (\text{B.11})$$

Putting all the equations together, we can find σ

$$\begin{aligned}
& \sigma = \epsilon_0 \left| \frac{\partial \varphi}{\partial u} \right|_{u=b} \sqrt{\left(\frac{\partial u}{\partial \rho} \right)^2 + \left(\frac{\partial u}{\partial z} \right)^2} \Bigg|_S, \\
\implies & \sigma = \epsilon_0 \frac{Q}{4\pi\epsilon_0 a^2} \sqrt{\frac{\rho^2}{a^4b^2} + \frac{z^2}{b^4b^2}} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)^{-1},
\end{aligned}$$

$$\implies \sigma = \epsilon_0 \frac{Q}{4\pi\epsilon_0 a^2 b} \sqrt{\frac{\rho^2}{a^4} + \frac{z^2}{b^4}} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)^{-1}.$$

Thus, we obtain the final expression for σ as

$$\sigma = \frac{Q}{4\pi a^2 b} \left(\frac{\rho^2}{a^4} + \frac{z^2}{b^4} \right)^{-1/2}.$$

(B.12)

B.2 Potential due to the Conducting Disk in Space

The general expression for potential at some point P along the z-axis of a conducting disk can be written as (3.12)

$$V(\rho, z) = \frac{1}{4\pi\epsilon_0} \int \frac{\sigma(\rho') \rho' d\rho' d\phi'}{\sqrt{z^2 + \rho'^2}}.$$
(B.13)

Due to azimuthal symmetry $d\phi'$ integrates out to 2π . The integral then simplifies as

$$\begin{aligned} \implies V(\rho, z) &= \frac{1}{4\pi\epsilon_0} 2\pi \int \frac{\sigma(\rho') \rho' d\rho'}{\sqrt{z^2 + \rho'^2}}, \\ \implies V(\rho, z) &= \frac{1}{2\epsilon_0} \frac{Q}{4\pi a} \int_0^a \frac{\rho' d\rho'}{\sqrt{a^2 - \rho'^2} \sqrt{z^2 + \rho'^2}}, \\ \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \int_0^a \frac{\rho' d\rho'}{\sqrt{a^2 - \rho'^2} \sqrt{z^2 + \rho'^2}}. \end{aligned}$$

We can define a new variable “ s ” such that

$$s = \sqrt{z^2 + \rho'^2} \implies ds = \frac{\rho' d\rho'}{\sqrt{z^2 + \rho'^2}}.$$

We also get new limits of integration due to this change of variables, as

$$\rho' = 0 \implies s = z,$$

$$\rho' = a \implies s = \sqrt{z^2 + a^2}.$$

Rewriting the integral in the new coordinate system

$$\implies V(\rho, z) = \frac{Q}{8\pi\epsilon_0 a} \int_z^{\sqrt{z^2 + a^2}} \frac{ds}{\sqrt{a^2 + z^2 - s^2}}.$$

We will now define another new variable “ m ” such that

$$m = \frac{s}{\sqrt{a^2 + z^2}} \implies dm = \frac{ds}{\sqrt{a^2 + z^2}}.$$

Rewriting the integral again in terms of m ,

$$\begin{aligned} \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \int_{limits} \frac{\sqrt{a^2 + z^2} dm}{\sqrt{a^2 + z^2 - m^2(a^2 + z^2)}}, \\ \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \int_{limits} \frac{\sqrt{a^2 + z^2} dm}{\sqrt{(1 - m^2)(a^2 + z^2)}}, \\ \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \int_{limits} \frac{dm}{\sqrt{1 - m^2}}. \\ \implies V(\rho, z) &= \left. \frac{Q}{8\pi\epsilon_0 a} \sin^{-1}(m) \right|_{limits} \end{aligned}$$

Changing back to the original variables

$$\begin{aligned} \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \sin^{-1} \left(\sqrt{\frac{z^2 + \rho'^2}{a^2 + z^2}} \right) \Big|_{\rho'=0}^{\rho'=a}, \\ \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \left[\sin^{-1} \left(\sqrt{\frac{a^2 + z^2}{a^2 + z^2}} \right) - \sin^{-1} \left(\sqrt{\frac{z^2}{a^2 + z^2}} \right) \right], \\ \implies V(\rho, z) &= \frac{Q}{8\pi\epsilon_0 a} \left[\frac{\pi}{2} - \sin^{-1} \left(\frac{z}{\sqrt{a^2 + z^2}} \right) \right]. \end{aligned}$$

Using trigonometric identities, we can finally obtain

$$V(z) = \frac{Q}{8\pi\epsilon_0 a} \left[\frac{\pi}{2} - \tan^{-1} \left(\frac{z}{a} \right) \right] = \frac{Q}{8\pi\epsilon_0 a} \tan^{-1} \left(\frac{a}{z} \right).$$

(B.14)

B.3 Electric Field due to Conducting Disk in Space

To simplify the problem, the electric field can be derived separately for regions inside and outside the sphere of radius a .

Case 1 : $r \leq a \implies (\rho^2 + z^2)^{1/2} \leq a$: $\hat{\rho}$ Part

The potential inside the sphere is given in equation (3.23). We can take its derivative with respect to ρ and z to find E_ρ^{in} and E_z^{in} . We will first solve it for E_ρ^{in} , as

$$\begin{aligned} E_\rho^{in} &= -\frac{\partial V^{in}}{\partial \rho}(\rho, z), \\ \implies E_\rho^{in} &= -\sum_{l=0}^{\infty} \left[B_l \frac{l}{2} (\rho^2 + z^2)^{\frac{l}{2}-1} 2\rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + B_l (\rho^2 + z^2)^{\frac{l}{2}} \frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \end{aligned}$$

We now need to find the derivative of the Legendre polynomial function. Let us define a new variable “ x ”, as

$$x = \frac{z}{\sqrt{z^2 + \rho^2}} \implies x^2 - 1 = \frac{-\rho^2}{\rho^2 + z^2}. \quad (\text{B.15})$$

The derivative of Legendre polynomial can then be computed as follows

$$\begin{aligned} \frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \frac{\partial}{\partial \rho} P_l(x) = \frac{\partial P_l}{\partial x} \frac{\partial x}{\partial \rho}, \\ \implies \frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= z \left(\frac{-1}{2} \right) (\rho^2 + z^2)^{-3/2} 2\rho \frac{dP_l(x)}{dx}, \\ \therefore \frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \frac{-z\rho}{(\rho^2 + z^2)^{3/2}} \frac{dP_l(x)}{dx}. \end{aligned}$$

The Legendre Polynomials follow a recurrence relation given as

$$\frac{dP_l(x)}{dx} = \frac{l}{x^2 - 1} [x P_l(x) - P_{l-1}(x)]. \quad (\text{B.16})$$

Putting all of this together, we obtain the complete derivative of $P_l(x)$ w.r.t ρ , as

$$\frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) = \frac{l z}{\rho(\rho^2 + z^2)^{1/2}} \left[\frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \quad (\text{B.17})$$

We now need to put all of this back in the expression for E_ρ^{in} , which gives us,

$$\begin{aligned} \implies E_\rho^{in} &= -\sum_{l=0}^{\infty} B_l \left[(\rho^2 + z^2)^{\frac{l}{2}-1} l \rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + \frac{lz}{\rho} \frac{1}{(\rho^2 + z^2)^{1/2}} (\rho^2 + z^2)^{l/2} \right. \\ &\quad \times \left. \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right], \\ \implies E_\rho^{in} &= -\sum_{l=0}^{\infty} B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \left[\rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + \frac{z}{\rho} \sqrt{z^2 + \rho^2} \right. \\ &\quad \times \left. \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right], \\ \implies E_\rho^{in} &= -\sum_{l=0}^{\infty} B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \left[\left\{ \rho + \frac{z^2}{\rho} \right\} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - \frac{z}{\rho} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \end{aligned}$$

Thus we finally obtain the final expression as

$$E_\rho^{in} = \sum_{l=0}^{\infty} B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \left\{ \frac{z}{\rho} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - \frac{(\rho^2 + z^2)}{\rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\}. \quad (\text{B.18})$$

Case 2 : $r \leq a \implies (\rho^2 + z^2)^{1/2} \leq a$: \hat{z} Part

We can now focus on the z -component of the electric field, given as

$$\begin{aligned} E_z^{in} &= -\frac{\partial V^{in}}{\partial z}, \\ E_z^{in} &= -\sum_{l=0}^{\infty} \left[B_l \frac{l}{2} (\rho^2 + z^2)^{\frac{l}{2}-1} 2z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + B_l (\rho^2 + z^2)^{\frac{l}{2}} \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \end{aligned}$$

We now need to find the derivative of the Legendre polynomial with respect to z . We can use the previously defined variable x in (B.15), as

$$\begin{aligned} \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \frac{\partial}{\partial z} P_l(x) = \frac{\partial P_l}{\partial x} \frac{\partial x}{\partial z}, \\ \implies \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \left\{ \frac{1}{\sqrt{z^2 + \rho^2}} - \frac{1}{2} \frac{2z^2}{\sqrt{(\rho^2 + z^2)^{3/2}}} \right\} \frac{dP_l}{dx}. \end{aligned}$$

Using the recurrence relation for Legendre polynomials in (B.16), we get

$$\begin{aligned} \implies \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \frac{1}{\sqrt{z^2 + \rho^2}} \left\{ 1 - \frac{z^2}{\sqrt{z^2 + \rho^2}} \right\} \frac{l(\rho^2 + z^2)}{(-\rho^2)} \\ &\quad \times \left[\frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right], \\ \implies \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) &= \frac{1}{\sqrt{z^2 + \rho^2}} \frac{\rho^2}{(\rho^2 + z^2)} (-l) \frac{(\rho^2 + z^2)}{\rho^2} \\ &\quad \times \left[\frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \end{aligned}$$

So we finally get the derivative of P_l w.r.t z as

$$\therefore \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) = \frac{-l}{\sqrt{z^2 + \rho^2}} \left[\frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \quad (\text{B.19})$$

Putting this back in the expression for E_z^{in} , we obtain

$$\begin{aligned} \implies E_z^{in} &= - \sum_{l=0}^{\infty} \left[B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - l B_l (\rho^2 + z^2)^{\frac{l}{2}} \frac{1}{\sqrt{z^2 + \rho^2}} \right. \\ &\quad \left. \times \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right], \\ \implies E_z^{in} &= - \sum_{l=0}^{\infty} B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \left[z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - \sqrt{z^2 + \rho^2} \right. \\ &\quad \left. \times \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right]. \end{aligned}$$

Thus, we obtain the final expression for E_z^{in} as

$$E_z^{in} = \sum_{l=0}^{\infty} \left\{ -B_l l (\rho^2 + z^2)^{\frac{l}{2}-1} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\}. \quad (\text{B.20})$$

Case 3 : $\mathbf{r} \geq \mathbf{a} \implies (\rho^2 + z^2)^{1/2} \geq \mathbf{a}$: $\hat{\rho}$ Part

The potential outside the sphere is given in the equation (3.26). We can take its derivative with respect to ρ to find the ρ -component of the electric field, as

$$E_\rho^{out} = -\frac{\partial V^{out}}{\partial \rho}(\rho, z),$$

$$\implies E_\rho^{out} = \sum_{l=0}^{\infty} \left[(-1) \frac{l+1}{2} A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} 2\rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} \frac{\partial}{\partial \rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right].$$

We have already found the derivative of P_l with respect to ρ in (B.17). Putting that in the expression for E_ρ^{out} , we get

$$\implies E_\rho^{out} = -\sum_{l=0}^{\infty} \left[(-1)(l+1) A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} l \frac{z}{\rho(\rho^2 + z^2)^{1/2}} \right. \\ \times \left. \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right],$$

$$\implies E_\rho^{out} = -\sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[(-1)(l+1) \rho P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + l \frac{z^2}{\rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right. \\ \left. - l \frac{z\sqrt{z^2 + \rho^2}}{\rho} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right].$$

Thus, we can finally write

$$E_\rho^{out} = \sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[\frac{l(\rho^2 - z^2) + \rho^2}{\rho} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right. \\ \left. + l \frac{z}{\rho} \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \quad (\text{B.21})$$

Case 4 : $\mathbf{r} \geq \mathbf{a} \implies (\rho^2 + z^2)^{1/2} \geq \mathbf{a}$: \hat{z} Part

We can take the derivative of V^{out} with respect to z to find the z -component of the electric field, as

$$E_z^{out} = -\frac{\partial V^{out}}{\partial z}(\rho, z),$$

$$\implies E_{\rho}^{out} = \sum_{l=0}^{\infty} \left[(-1) \frac{l+1}{2} A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} 2z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} \frac{\partial}{\partial z} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right].$$

We have already obtained the partial derivative of P_l with respect to z in (B.19). Using that in the expression for E_z^{out} , we get

$$\begin{aligned} \implies E_{\rho}^{out} &= \sum_{l=0}^{\infty} \left[(-1) \frac{l+1}{2} A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} 2z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right. \\ &\quad \left. + A_l (\rho^2 + z^2)^{-\frac{l+1}{2}} \frac{-l}{\sqrt{z^2 + \rho^2}} \left\{ \frac{z}{\sqrt{z^2 + \rho^2}} P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right\} \right], \\ \implies E_{\rho}^{out} &= \sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[(l+1)z P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) + z l P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right. \\ &\quad \left. - l \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \end{aligned}$$

Thus we finally obtain the expression as

$$E_z^{out} = \sum_{l=0}^{\infty} A_l (\rho^2 + z^2)^{-\frac{l+3}{2}} \left[z(2l+1) P_l \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) - l \sqrt{z^2 + \rho^2} P_{l-1} \left(\frac{z}{\sqrt{z^2 + \rho^2}} \right) \right]. \quad (\text{B.22})$$

C. SUPPLEMENTARY MATERIAL FOR CHAPTER 4

C.1 Simulating Patch Potentials : Computational Code

The code to simulate patch potentials on the attractor mass surface is given here.

```
1 #python code to generate disks
2
3 #first we will import some basic libraries
4 import math
5 import os
6 import time
7 import sys
8 import numpy as np
9
10 path=os.path.dirname(os.path.realpath(__file__))
11 sys.path.append(path)
12
13 epsl0=8.85*(10**(-18)) #in Farad micrometer-inverse units
14 #
15 N=5 #defined length ==> number of disks
16 #
17 X=np.random.uniform(0,100,N) #chosen from a uniform distribution between 0
18     and 100 (X-coordinate)
19 Y=np.random.uniform(0,100,N) #chosen from a uniform distribution between 0
20     and 100 (Y-coordinate)
21 R=abs(np.random.normal(3,1,N)) #chosen from a Gaussian distribution with
22     mean=3,std=1 (radius)
23 V0=np.random.normal(0,10**(-3),N) #choose from gaussian distribution
24     centred at 0
25 #
26 Q=[16*epsl0*R[i]*V0[i] for i in range(N)] #defining Q's for the disks
27 #
28
29 #Creating File to store information about disks
30 nmdisk=path+'\\Results\\'+f'DiskGrid(N={N}).txt' #information of the disks
31 fd1=open(nmdisk,'w')
```

```

28 fd1.close()
29 fd2=open(nmdisk,"a")
30 #
31
32 #storing information about the disk (x,y,radi,Q,V0)
33 for i in range(N): fd2.write(f'{X[i]} {Y[i]} {0.0} {R[i]} {V0[i]} {Q[i]}\n'
    ')

```

C.2 Electrostatic Interaction with Moving Patches : Computational Code

The code to generate electrostatic interaction energy due to interaction with trajectories is given below.

```

1 #python code to obtain electrostatic energies, generating polynomials
  through recurrence relation and changes Al's and Bl's to prevent
  blowups.
2 #Also, this code attempts to parallelize the functioning
3
4 #first we will import some basic libraries
5 import math
6 import os
7 import time
8 import sys
9 import numpy as np
10 import multiprocessing
11
12 path=os.path.dirname(os.path.realpath(__file__))
13 sys.path.append(path)
14
15 #measuring beginning time to find time taken by code to run
16 begin=time.time()
17
18 #defining additional functions which might be needed
19 #
20 #Legendre Polynomial of given order
21 def P(n,x,Pnm1,Pnm2):

```

```

22     if n>=2 : Pln=(1/n)*((2*n-1)*x*Plnm1-(n-1)*Plnm2)
23
24     if n==1 : Pln=Plnm1
25
26     if n==0 : Pln=Plnm2
27
28     return Pln
29
30 #declare fixed constants, epsilon0 and position of the dot on z-axis
31
32 epsilon0=8.85*(10**(-18))
33 zdot=0.2
34
35 #defining polarizability of complete CdSe-CdS dot ==> all in SI units
36 epsilon0SI=epsilon0*(10**6) #epsilon naught in SI units
37 b=2.9*(10**(-9)) #radius of quantum dot in meters
38 a=1.5*(10**(-9)) # radius of core of quantum dot in meters
39 nCDSEref=2.3847 #real part of refractive index of CdSe for 1 micron
40          wavelength
41 kCDSEref=0.065147 #imaginary part of refractive index of CdSe for 1 micron
42          wavelength
43 nCDSref=2.307 #real part of refractive index of CdS for 1 micron
44          wavelength
45 kCDSref=0.0 #imaginary part of refractive index of CdS for 1 micron
46          wavelength
47 epsilon1CdSeR=((nCDSEref**2)-(kCDSEref**2))*epsilon0SI #real part of dielectric
48          constant of CdSe dot
49 epsilon1CdSR=((nCDSref**2)-(kCDSref**2))*epsilon0SI
50 numeff=(b**3)*(epsilon1CdSeR+2*epsilon1CdSR)+ 2*(a**3)*(epsilon1CdSeR-epsilon1CdSR)
51 denomeff=(b**3)*(epsilon1CdSeR+2*epsilon1CdSR)-(a**3)*(epsilon1CdSeR-epsilon1CdSR)
52 epsilonEff=epsilon1CdSR*(numeff/denomeff)
53 alpha=(4*math.pi*epsilon0SI*(b**3))*((epsilonEff-epsilon0SI)/(epsilonEff+2*epsilon0SI)) #
54          polarizability for CdSe-CdS dot
55
56 #b=10*(10**(-9)) #radius of quantum dot in meters
57 #nref=2.3847 #real part of refractive index of CdSe for 1 micron
58          wavelength
59 #kref=0.065147 #imaginary part of refractive index of CdSe for 1 micron
60          wavelength

```

```

50 #eps1CdSeR=((nref**2)-(kref**2))*eps1OSI #real part of dielectric constant
      of CdSe dot
51 #alpha=(4*math.pi*eps1OSI*(b**3))*((eps1CdSeR-eps1OSI)/(eps1CdSeR+2*
      eps1OSI)) #polarizability for CdSe dot (not shell structure yet)
52 #
53 #defining A's, B's, Erho's and Ez's as functions
54 def A(Q,l): return (Q/(8*math.pi*eps10))*((( -1)**(l/2))/(l+1)) #only for
      even numbers
55 def B(Q,l): return (Q/(8*math.pi*eps10))*((( -1)**((l+1)/2))/(l)) #only for
      odd numbers
56 #
57 def Erhoin(Q,a,l,rho,z,Pln,Plnm1):
58     #cscth=abs(z/(math.sqrt(z**2 + rho**2))) #cos theta term ==>
      coefficient of the Legendre polynomials
59     return B(Q,l)*l*((rho**2 + z**2)/(a**2))**((l/2))*(1/((rho**2 + z**2)*a
      ))*((z/rho)*math.sqrt(z**2 + rho**2)*Plnm1-((rho**2 + z**2)/rho)*Pln)
60 #
61 def Ezin(Q,a,l,rho,z,Pln,Plnm1):
62     #cscth=abs(z/(math.sqrt(z**2 + rho**2))) #cos theta term ==>
      coefficient of the Legendre polynomials
63     return -B(Q,l)*l*((rho**2 + z**2)/(a**2))**((l/2))*(1/(a*math.sqrt(rho
      **2 + z**2)))*Plnm1
64 #
65 def Erhoout(Q,a,l,rho,z,Pln,Plnm1):
66     cscth=abs(z/(math.sqrt(z**2 + rho**2))) #cos theta term ==>
      coefficient of the Legendre polynomials
67     return A(Q,l)*(((a**2)/(rho**2 + z**2))**((l/2))*((rho**2 + z**2)
      **(-3/2))*(((l*(rho**2 - z**2)+rho**2)/rho)*Pln+l*(z/rho)*math.sqrt(z
      **2 + rho**2)*Plnm1)
68 #
69 def Ezout(Q,a,l,rho,z,Pln,Plnm1):
70     cscth=abs(z/(math.sqrt(z**2 + rho**2))) #cos theta term ==>
      coefficient of the Legendre polynomials
71     return A(Q,l)*(((a**2)/(rho**2 + z**2))**((l/2))*((rho**2 + z**2)
      **(-3/2))*(z*(2*l+1)*Pln-l*math.sqrt(z**2 + rho**2)*Plnm1)
72 #

```

```

73
74 #next, we need to import grid data from grid text file
75 N=3000 #number of disks
76 nmdisk=path+'\\Results\\'+f'DiskGrid(N={N}).txt' #information of the disks
77 fd=open(nmdisk,'r')
78 gridarray=[[float(num) for num in line.split(' ')] for line in fd] #read
    entire file
79 numrows=len(gridarray) #number of rows
80 numcol=len(gridarray[0]) #number of columns
81 #defining empty arrays
82 X=[0 for i in range(numrows)]
83 Y=[0 for i in range(numrows)]
84 R=[0 for i in range(numrows)]
85 V0=[0 for i in range(numrows)]
86 Q=[0 for i in range(numrows)]
87 #storing values appropriately
88 for i in range(numrows):
89     X[i]=gridarray[i][0]
90     Y[i]=gridarray[i][1]
91     R[i]=gridarray[i][3]
92     V0[i]=gridarray[i][4]
93     Q[i]=gridarray[i][5]
94 #
95 #next, we need to define the trajectory of the dot moving with zdot
    constant
96 #
97 #If we have a straight and parallel line, this section of the code will be
    used. We need to choose the type of line. specify choice by converting
    desired line variable to "True"
98 parallelx=True
99 parallely=False
100 slopeLine=False
101 #
102 # Now, we will define a function to generate results for one line at a
    time. We will then call this function using a multiprocessing tool.
103 def MultiLine(ln):

```

```

104 # If lines parallel to x-axis (constant y lines) ==> parallelx==True
105 if parallelx==True:
106     c0=0.0; c1=1.0 #coefficients for x (c0 gives value of x for
107     constant x line)
108     d0=lowlim+prec*ln; d1=0.0 #coefficients of y (d0 gives value of y
109     for constant y line)
110     #defining file name
111     nmener=path+'\\Results\\'+N=3000(old)\\'+f'Energy(N={N},y0={
112     round(d0,1)},Constant Y line).txt' #energy data file
113     #
114     # If lines parallel to y-axis ==> parallely==True
115     if parallely==True:
116         c0=lowlim+prec*ln; c1=0.0 #coefficients for x (c0 gives value of x
117         for constant x line)
118         d0=0.0; d1=1.0 #coefficients of y (d0 gives value of y for
119         constant y line)
120         #defining file name
121         nmener=path+'\\Results\\'+N=3000(old)\\'+f'Energy(N={N},x0={
122         round(c0,1)},Constant X line).txt' #energy data file
123         #
124         # If line has a non-zero slope (and non-infinity) ==> slopline==True
125         if slopline==True:
126             m=1.0 #slope of line
127             c=0.0 #y-intercept
128             c0=0.0; c1=1.0 #coefficients for x (c0 also determines x-intercept
129             )
130             d0=m*c0+c; d1=m*c1 #coefficients for y
131             #defining file name
132             nmener=path+'\\Results\\'+f'Energy(N={N},m={m},x0={c0},y0={d0},
133             Sloped line).txt' #energy data file
134             #
135             t0=0.0; tmax=100.0 #limits on t
136             inc=0.05
137             t=t0
138             #
139             #defining file to store values of energy in

```

```

132 fn1=open(nmener,"w")
133 fn1.close()
134 fn2=open(nmener,"a")
135 #fn2.write('Xdot Ydot Zdot tDot Udot\n')
136 #
137 #I am choosing to define it as a straight line. ==> This is the first
138 (and outermost loop).
139 while t<=tmax:
140     #calculate x and y from t
141     x=round(c0+c1*t,3)
142     y=round(d0+d1*t,3)
143     #calculate rho for dot
144     rhodot=math.sqrt((x**2)+(y**2))
145     #defining total electric field
146     Etotx=0.0
147     Etoty=0.0
148     Etotz=0.0
149     Utot=0.0
150     #now we need to run a loop through all disks ==> This is the
151     second loop
152     for i in range(N):
153         #rholim=(R[i]**2) - (zdot**2)
154         rhodotdisk=((X[i]-x)**2)+((Y[i]-y)**2) #rho squared of point
155         with respect to disk
156         disdotdisk=rhodotdisk+(zdot**2) #actual distance of dot from
157         center of disk
158         rhodotdisksqrt=math.sqrt(rhodotdisk)
159         Etotrhodisk=0.0
160         Etotzdisk=0.0
161         if disdotdisk<=(R[i]**2): #in this condition we have B's
162             P1=[]

```

```

163             if disdotdisk<=(0.000001*(R[i]**2)): #doing an additional
cutoff check ==> if the point is very close to center, only one term is
enough
164                 Ezinterm=Ezin(Q[i],R[i],l,rhodotdisksqrt,zdot,P1[1],P1
[0])
165                 Erhointerm=Erhoin(Q[i],R[i],l,rhodotdisksqrt,zdot,P1
[1],P1[0])
166                 Etotrhodisk+=Erhointerm
167                 Etotzdisk+=Ezinterm
168                 #
169             else:
170                 Ein=False #variable to decide whether to end while
loop to count number of terms
171                 while Ein==False: #loop runs until sum is under a
tolerable level
172                     if l==1: Pln=P1[1]; Plnm1=P1[0]
173                     else :
174                         Plnm1=P(l-1,zdot/math.sqrt(disdotdisk),P1[l
-2],P1[l-3])
175                         Pl.append(Plnm1)
176                         Pln=P(l,zdot/math.sqrt(disdotdisk),P1[l-1],P1[
l-2])
177                         Pl.append(Pln)
178                         #
179                         Ezinterm=Ezin(Q[i],R[i],l,rhodotdisksqrt,zdot,Pln,
Plnm1)
180                         Erhointerm=Erhoin(Q[i],R[i],l,rhodotdisksqrt,zdot,
Pln,Plnm1)
181                         #print(x,y,l,Plnm1, f'Erhointerm={Erhointerm}', f'
Erhoinsum={Etotrhodisk}', f'Ezterm={Ezinterm}', f'Ezsum={Etotzdisk}')
182                         if abs(Erhointerm)<=(0.0001*abs(Etotrhodisk)) and
abs(Ezinterm)<=(0.0001*abs(Etotzdisk)): Ein=True #if wihtin tolerance,
end loop
183                         Etotrhodisk+=Erhointerm
184                         Etotzdisk+=Ezinterm
185                         l+=2

```

```

186          #
187          #
188          #
189      else: #in this condition we have A's
190          P1=[]
191          P1.append(1)
192          P1.append(zdot/(math.sqrt(disdotdisk)))
193          #
194          l=0 #beginning count on number of terms
195          if disdotdisk>=(10000*(R[i]**2)): #doing additioobnal
196              cutoff check ==> if point is very far away from disk, use only one term
197              Erhooutterm=Erhoout(Q[i],R[i],l,rhodotdisksqrt,zdot,P1
198              [0],0)
199              Ezoutterm=Ezout(Q[i],R[i],l,rhodotdisksqrt,zdot,P1
200              [0],0)
201              Etotrhodisk+=Erhooutterm
202              Etotzdisk+=Ezoutterm
203              #
204          else:
205              Ein=False #variable to decide whether to end while
206              loop to count number of terms
207              while Ein==False: #loop runs until sum is under a
208                  tolerable level
209                  #print(l,P(l,zdot/math.sqrt(disdotdisk)))
210                  if l==0: Pln=P1[0]; Plnm1=0
211                  elif l==2:
212                      Plnm1=P1[1]
213                      Pln=P(l,zdot/math.sqrt(disdotdisk),P1[l-1],P1[
214                      l-2])
215                      Pl.append(Pln)
216                      #
217                  else :
218                      Plnm1=P(l-1,zdot/math.sqrt(disdotdisk),P1[l
219                      -2],P1[l-3])
220                      Pl.append(Plnm1)

```

```

214             Pln=P(l,zdot/math.sqrt(disdotdisk),Pl[l-1],Pl[
215             l-2])
216             Pl.append(Pln)
217             #
218             Erhooutterm=Erhoout(Q[i],R[i],l,rhodotdisksqrt,
219             zdot,Pln,Plnm1)
220             Ezoutterm=Ezout(Q[i],R[i],l,rhodotdisksqrt,zdot,
221             Pln,Plnm1)
222             if abs(Erhooutterm)<=(0.0001*abs(Etotrhodisk)) and
223             abs(Ezoutterm)<=(0.0001*abs(Etotzdisk)): Ein=True #if wihtin tolerance
224             , end loop
225             Etotrhodisk+=Erhooutterm
226             Etotzdisk+=Ezoutterm
227             l+=2
228             #
229             #
230             #
231             #Erho needs to be added vectorially, so we need to convert it
232             to Ex and Ey
233             Etotxdisk=((x-X[i])/(rhodotdisksqrt))*Etotrhodisk
234             Etotydisk=((y-Y[i])/(rhodotdisksqrt))*Etotrhodisk
235             Etotx+=Etotxdisk
236             Etoty+=Etotydisk
237             Etotz+=Etotzdisk
238             #this gets repeated for all disks
239             #
240             Utot=alpha*((Etotx**2)+(Etoty**2)+(Etotz**2))*(10**(12)) #total
241             energy at the point(x,y,zdot) due to all disks
242             #storing the values in a file
243             fn2.write(f'{round(x,3)} {round(y,3)} {zdot} {round(t,3)} {Utot} {
244             Etotx*(10**6)} {Etoty*(10**6)} {Etotz*(10**6)}\n')
245             #increments go in last
246             t=t+inc
247             #if blowup==True: fcon2.write(f'\n\nPoint Change\n')
248             #
249             fn2.close()

```

```

242 #
243 #
244 # Now that we have our function completely defined, we will call the
245 # function from a multiprocessing unit.
246 prec=0.2
247 uplim=0.0
248 lowlim=0.0
249 noflines=int((uplim-lowlim)/prec) #number of lines to generate
250 if __name__ == '__main__':
251     pool_obj=multiprocessing.Pool(processes=5)
252     pool_obj.map(MultiLine,range(0,noflines+1))
253     pool_obj.close()
254 #
255 #measuring end time
256 end=time.time()
257 #printing time taken for code to run
258 print(f'Time taken by code to run = {end-begin}')

```