# Algorithms

Lecture Topic: Approximation Algorithms (Part 3)

# Roadmap of this lecture:

- 1. Understand approximation algorithms by solving the "Set Covering Problem"
  - 1.1 Define "Set Covering Problem".
  - 1.2 A greedy approximation algorithm for "Set Covering Problem".
  - 1.3 Analyze the approximation ratio of the algorithm.

## Set-Covering Problem

Input: A set  $X = \{x_1, x_2, \dots, x_n\}$  of n elements.

A family  $F = \{S_1, S_2, \dots, S_m\}$  of m subsets of X, whose union equals X. That is,  $S_i \subseteq X$  for  $i = 1, 2, \dots, m$ ; and  $X = \bigcup S_i$ .

Output: A minimum-size subfamily  $C \subseteq F$  whose members cover all of X.

(That is,  $X = \bigcup S$ , and |C| is minimized.)  $S \in C$ 

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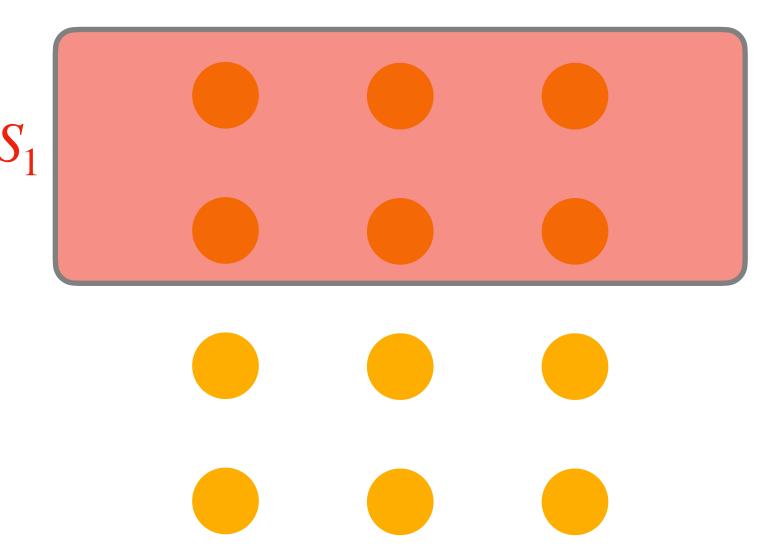
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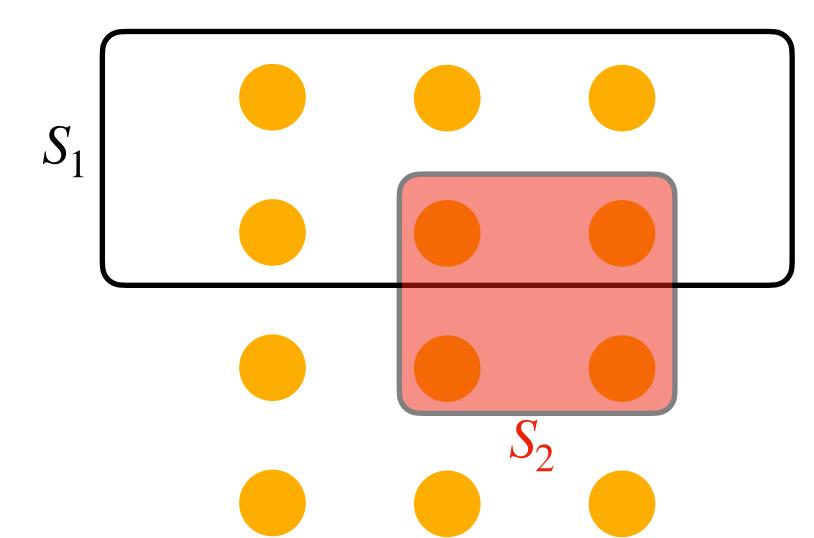
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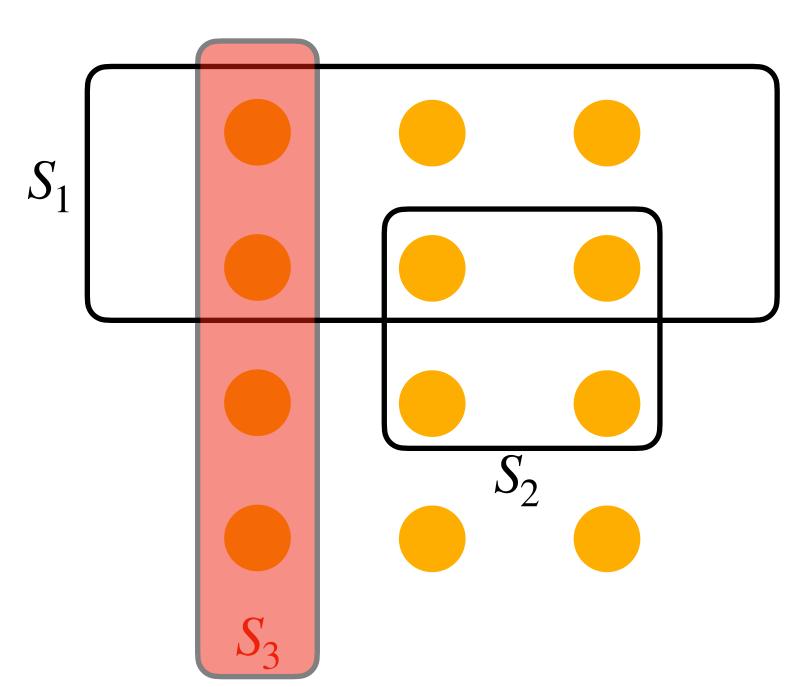
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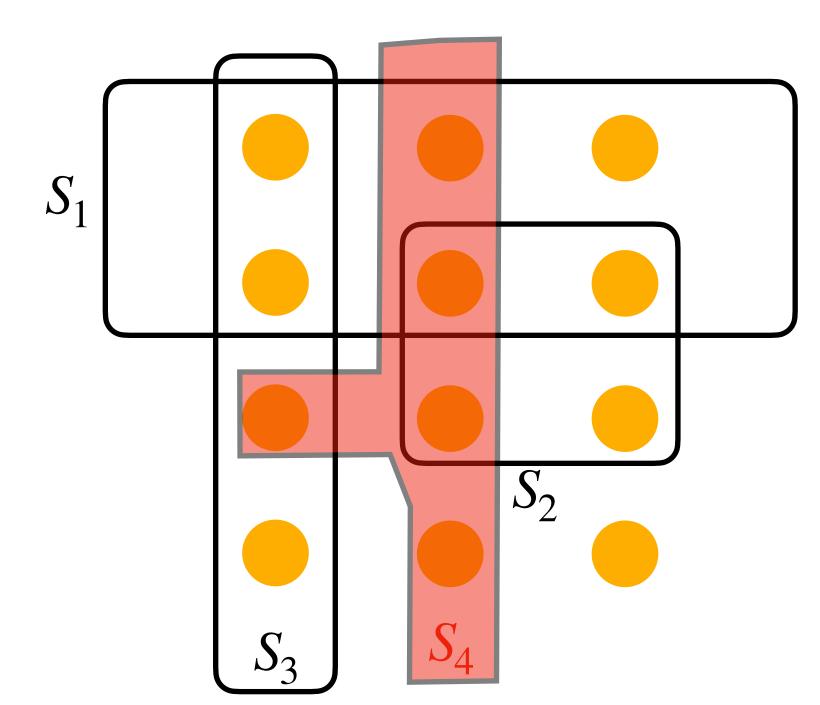
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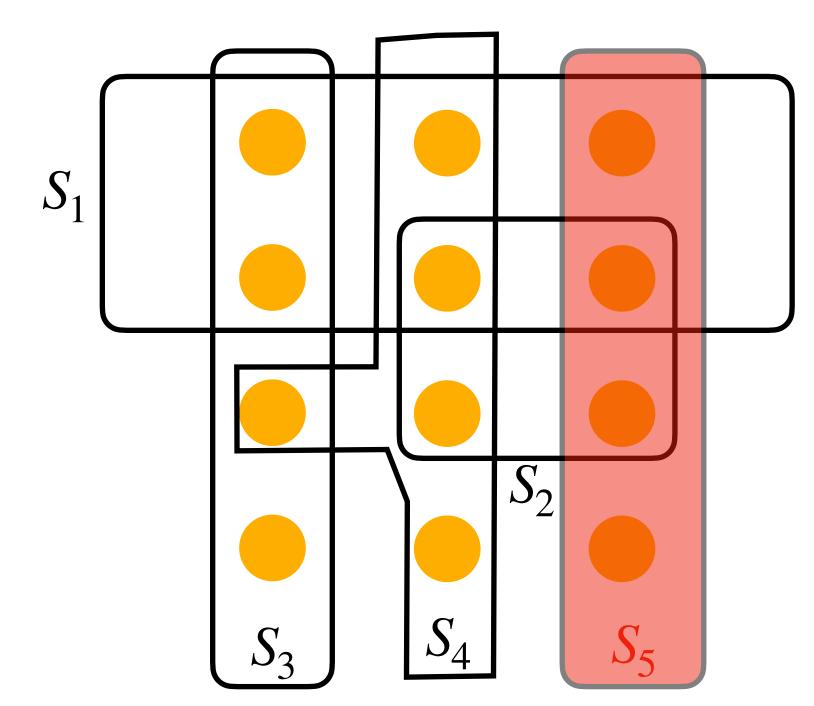
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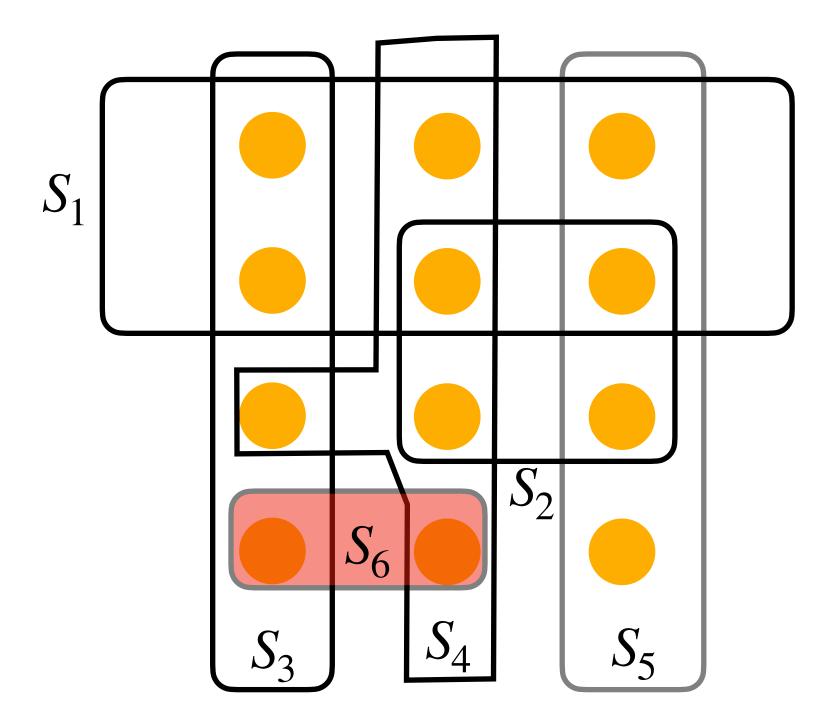
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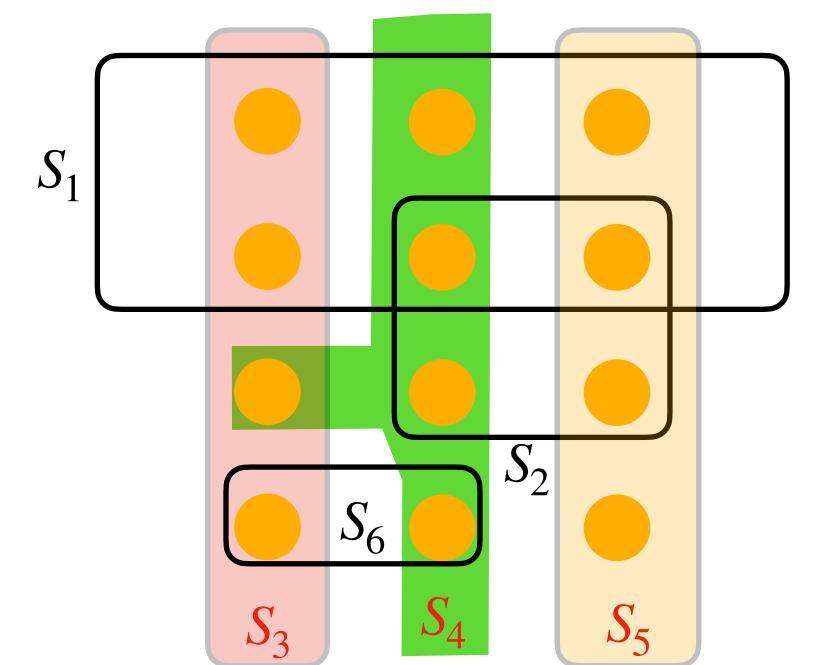
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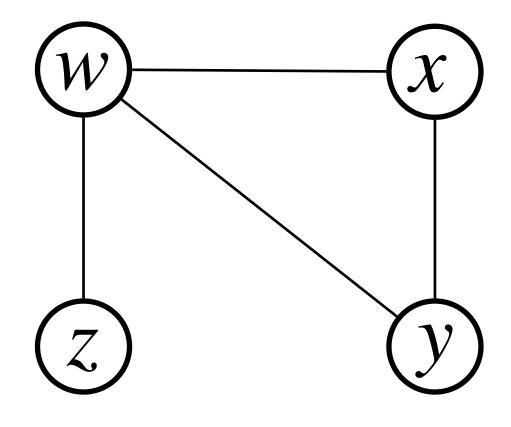
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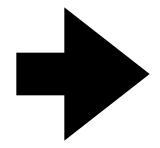
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#### Vertex Cover Problem

## Set-Covering Problem



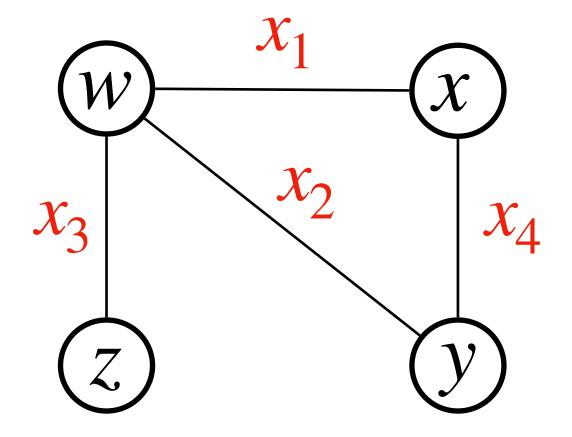
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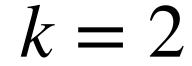


$$k = 2$$

reduction

#### Vertex Cover Problem



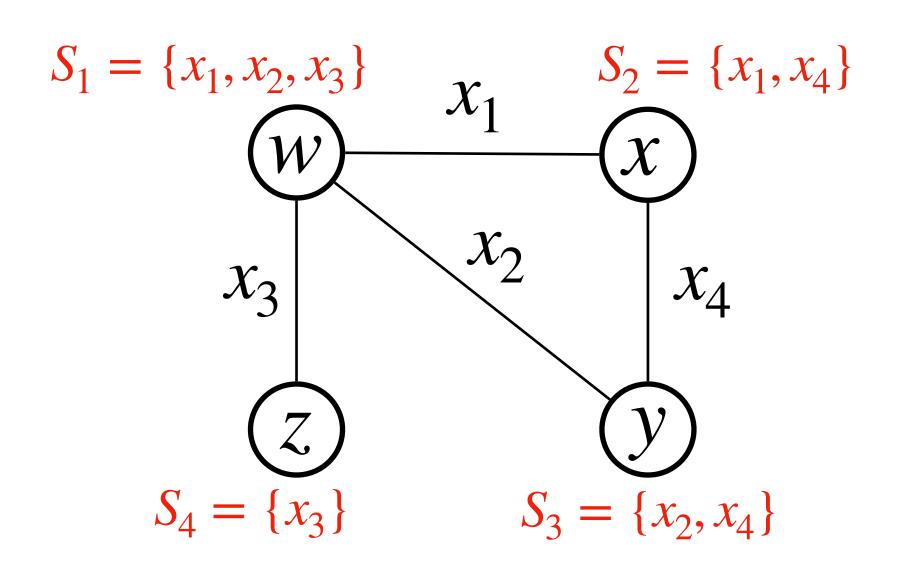


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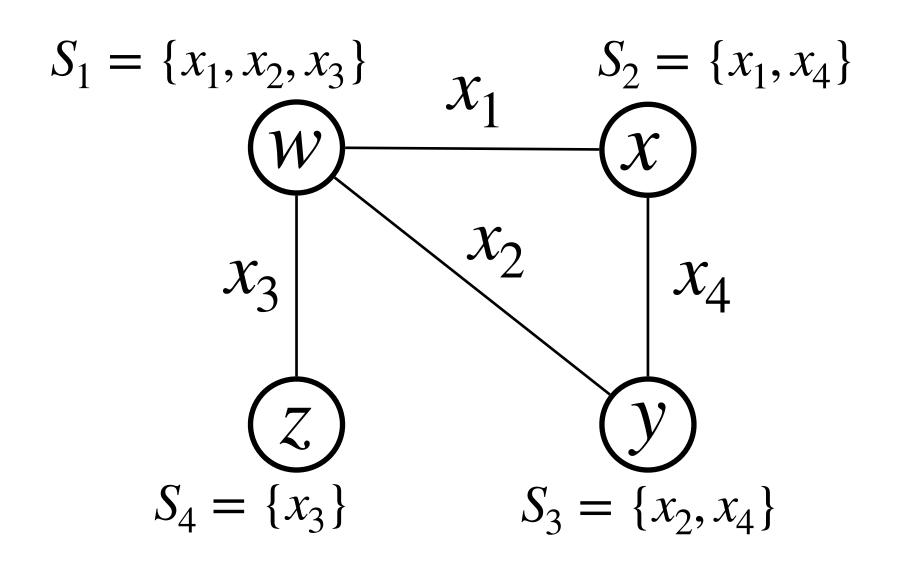


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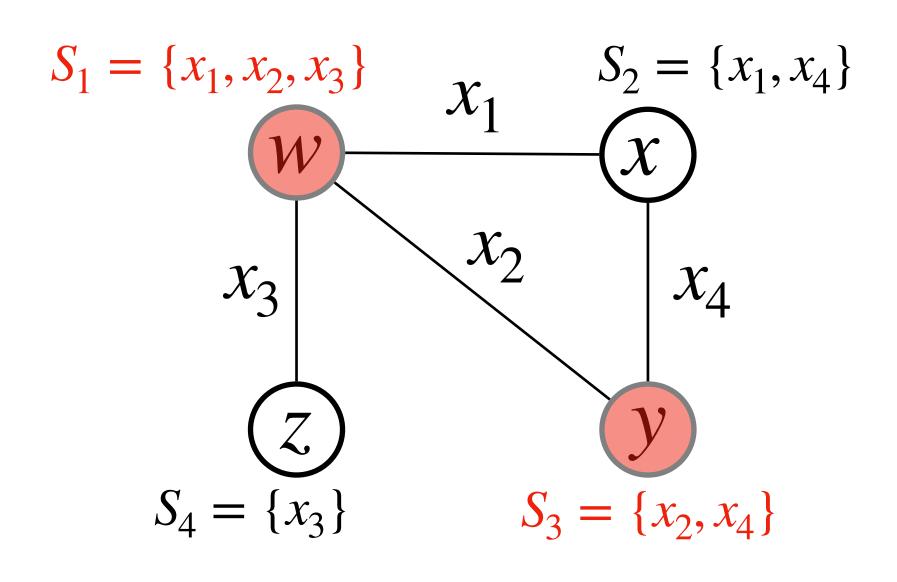
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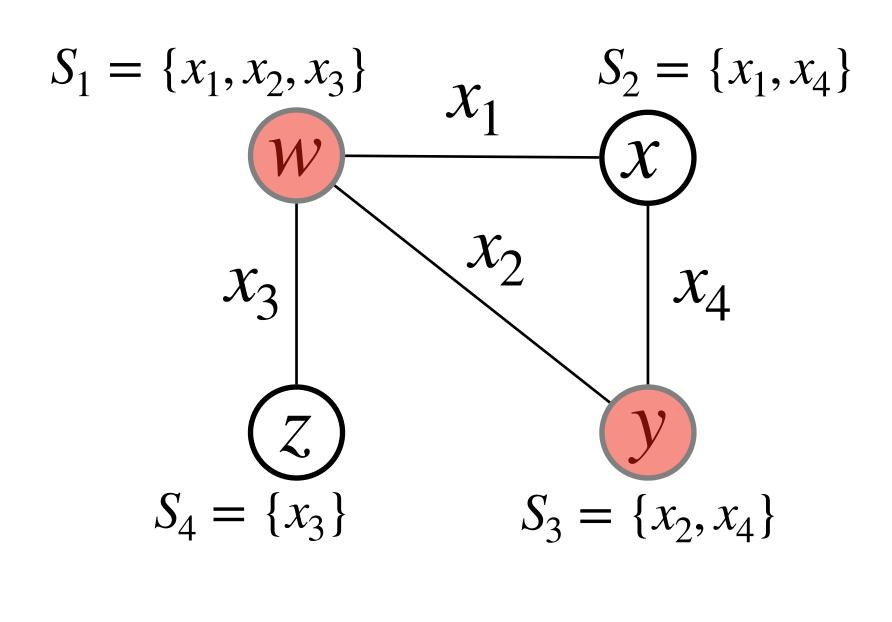
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The Set-Covering Problem (as a decision problem) is NP-complete.

## Quiz questions:

- I. What is the relation between the "Set Covering Problem" and the "Vertex Cover Problem"?
- 2. Can we apply an approximation algorithm for "Vertex Cover Problem" to "Set Covering Problem" and get the same approximation ratio?

# Roadmap of this lecture:

- 1. Understand approximation algorithms by solving the "Set Covering Problem"
  - 1.1 Pefine "Set Covering Problem".
  - 1.2 A greedy approximation algorithm for "Set Covering Problem".
  - 1.3 Analyze the approximation ratio of the algorithm.

Greedy-Set-Cover (X, F)

1. 
$$U_0 = X$$

2. 
$$C = \emptyset$$

3. 
$$i = 0$$

- 4. while  $U_i \neq \emptyset$
- 5. select  $S \in F$  that maximizes  $|S \cap U_i|$

6. 
$$U_{i+1} = U_i - S$$

7. 
$$C = C \cup \{S\}$$

8. 
$$i = i + 1$$

9. return *C* 

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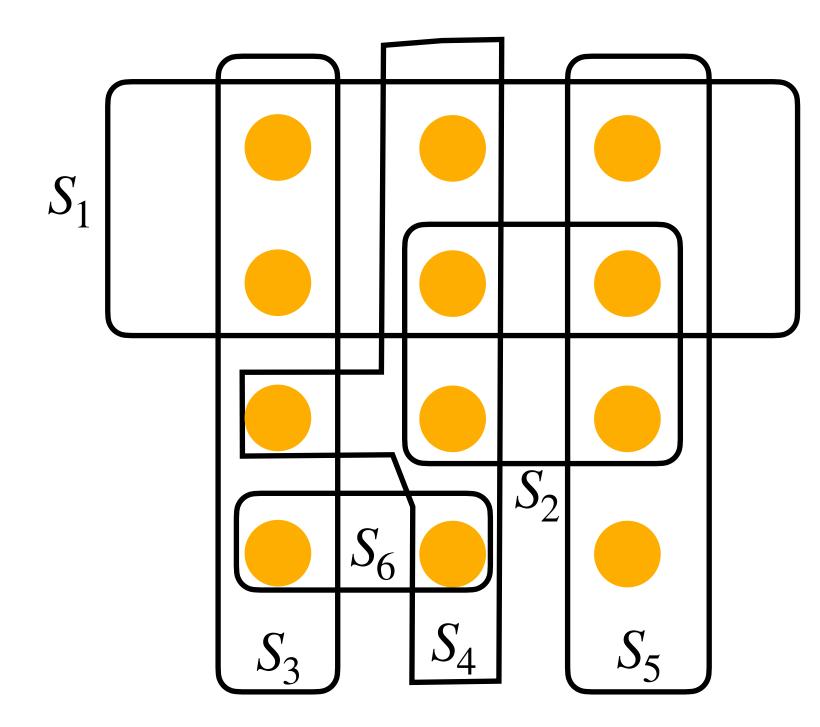
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Idea: Each time, pick a subset that covers as many new elements as possible.



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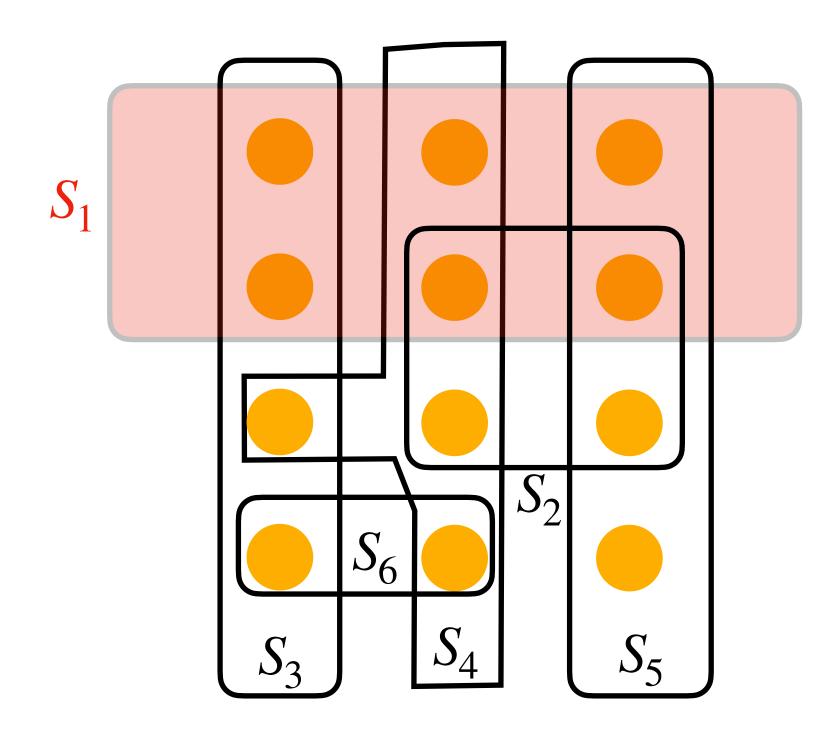
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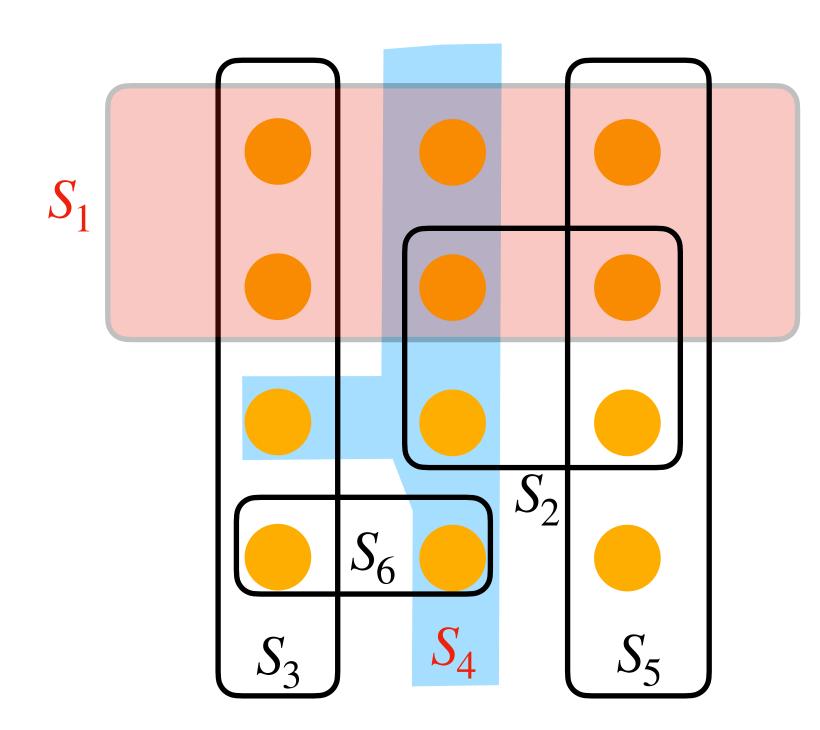
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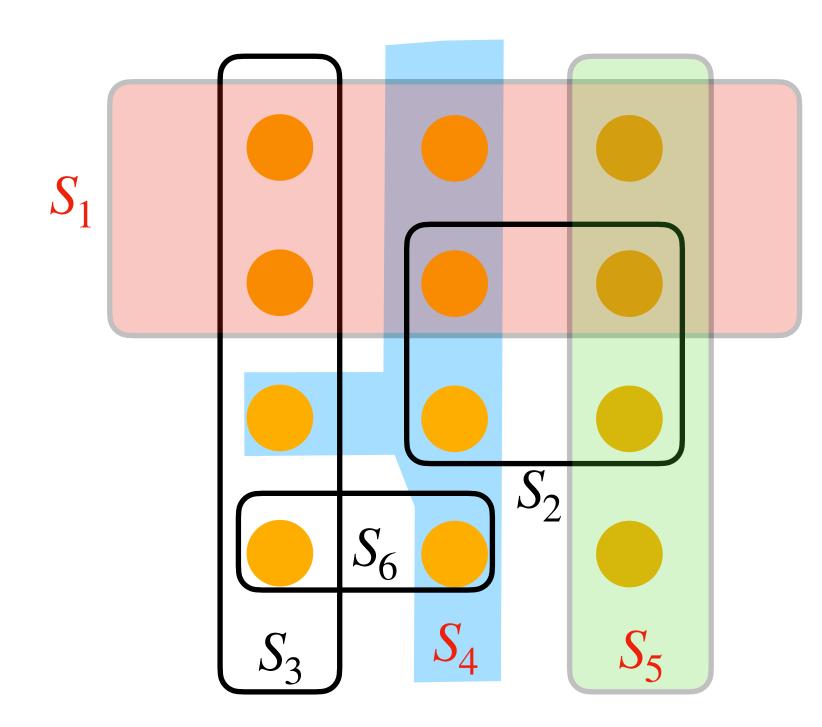
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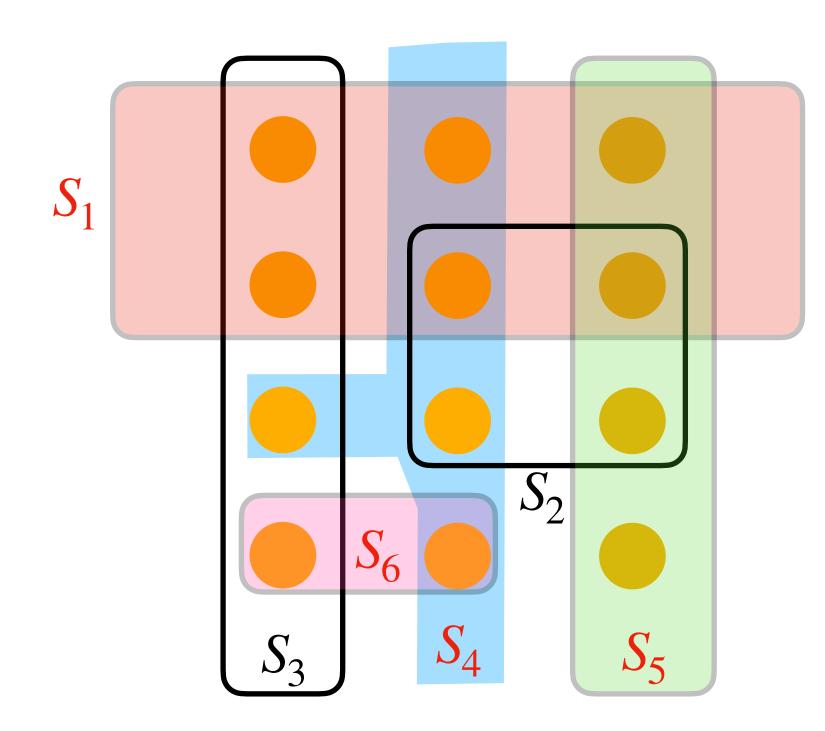
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## Quiz questions:

- 1. What is main idea of the above approximation algorithm for "Set Covering Problem"?
- 2. Can you think of an instance for which the above algorithm outputs an optimal solution, and an instance for which it does not?

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- 1. Understand approximation algorithms by solving the "Set Covering Problem"
  - 1.1 Define "Set Covering Problem".
  - 1.2 A greedy approximation algorithm for "Set Covering Problem".
  - 1.3 Analyze the approximation ratio of the algorithm.

Proof: Polynomial time.

Greedy-Set-Cover (X, F)

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$$U_0 = X$$

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$$C = \emptyset$$

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- 4. while  $U_i \neq \emptyset$  At most min{|X|, |F|} = O(|X| + |F|) iterations
- 5. select  $S \in F$  that maximizes  $|S \cap U_i|$
- 6.  $U_{i+1} = U_i S$  In each iteration, time complexity is at most  $O(|X| \cdot |F|)$
- 7.  $C = C \cup \{S\}$
- 8. i = i + 1
- 9. return *C*

Time complexity of algorithm:  $O(|X| \cdot |F| \cdot (|X| + |F|))$ 

Proof: Let's analyze the approximation ratio.

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 $k^*$ : size of an optimal set cover

k: size of the set cover returned by the algorithm

Claim: Every  $U_i$  can be covered by at most  $k^*$  subsets

Proof: Let's analyze the approximation ratio.

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$$|U_{i+1}| \le |U_i| - \frac{|U_i|}{k^*} = |U_i|(1 - 1/k^*)$$

Proof: Let's analyze the approximation ratio.

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Claim: Every  $U_i$  can be covered by at most  $k^*$  subsets

Claim: S covers at least  $\frac{|U_i|}{k^*}$  elements in  $U_i$ 

$$|U_{i+1}| \le |U_i| - \frac{|U_i|}{k^*} = |U_i|(1 - 1/k^*)$$

$$|U_0| = |X|$$

$$|U_1| \le |U_0|(1 - 1/k^*) = |X|(1 - 1/k^*)$$

$$|U_2| \le |U_1|(1 - 1/k^*) \le |X|(1 - 1/k^*)^2$$

• • •

$$|U_i| \le |X| (1 - 1/k^*)^i$$

Proof: Let's analyze the approximation ratio.

Greedy-Set-Cover (X, F)

1. 
$$U_0 = X$$

2. 
$$C = \emptyset$$

3. 
$$i = 0$$

4. while 
$$U_i \neq \emptyset$$

5. select  $S \in F$  that maximizes  $|S \cap U_i|$ 

6. 
$$U_{i+1} = U_i - S$$

7. 
$$C = C \cup \{S\}$$

8. 
$$i = i + 1$$

9. return *C* 

 $k^*$ : size of an optimal set cover

k: size of the set cover returned by the algorithm

$$|U_i| \le |X| (1 - 1/k^*)^i$$

Let  $c = \lceil \ln |X| \rceil$ , then

$$|U_{ck^*}| \le |X|(1-1/k^*)^{ck^*} = |X|[(1-1/k^*)^{k^*}]^c$$

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Known fact:  $1 + x \le e^x$  for all real x

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$$|U_{ck^*}| < |X| [(e^{-1/k^*})^{k^*}]^c = |X| e^{-c} = |X| e^{-\lceil \ln|X| \rceil}$$

$$\le |X| e^{-\ln|X|} = |X| \cdot \frac{1}{|X|} = 1$$

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$$k \le ck^*$$

The algorithm is a polynomial-time  $O(\lg X)$ -approximation algorithm. Theorem:

Let's analyze the approximation ratio. Proof:

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$$\leq ck^*$$

$$k \le ck^* \qquad \qquad \frac{k}{k^*} \le c = \lceil \ln|X| \rceil$$

 $O(\ln X)$ 

## Quiz questions:

- I. What is the main method we used to find the approximation ratio of the algorithm for "Set Covering Problem"?
- 2. Is the above approximation ratio a constant, or a function that grows with the input size of the problem?