# Assignment 5 Report:

Done By : Ashwath Raghav

Course: IST 597 - Deep Learning

Github Link:

https://github.com/ashwathraghav/IST597-Assignment5.git

# Introduction:

In this assignment, we were asked to explore Transformers and RNN [types of RNN]. Pre-trained models are deep learning models which are trained on a large dataset to perform specific NLP tasks. We'll use these PTM whose weights are already trained to train our dataset and achieve a better accuracy. I employed Bert-Small and Electra-base models to train the IMDB dataset on pretrained models. I employed LSTM(Long short-term memory) which is an artificial recurrent neural network architecture, to train the IMDB dataset. Experiments were conducted to observe what hyperparameters improve the model as a whole. Validation Accuracy and Validation Loss were taken into account to choose the best hyperparameters for my model. Hyper parameter Optimization was carried out to choose the best optimizer/learning function, batch size and learning rate. The best hyperparameters are then chosen and the model is re-trained and the model is tested using a few examples to get their score[negative or positive].

# Core Experiment 1 - Bert-Small vs Electra:

IMDB dataset has 50K movie reviews for natural language processing or Text analytics. This is a dataset for binary sentiment classification containing substantially more data than previous benchmark datasets. The training set comprises 25,000 highly polar movie reviews and the testing set comprises 25,000 movie reviews for testing. So, the main goal of this dataset is to predict the number of positive and negative reviews using either classification or deep learning algorithms.

## Data Preprocessing/Feature Engineering:

- 1. The IMDB dataset is retrieved from Stanford website using keras.utils.get\_file.
- 2. The dataset is already split into Train and Test but it lacks a validation set. A validation set is created using an 80:20 split of the training data by using the validation split.
- 3. Then, training data is split into batches of batch\_size = n and passed to the model for further training.

# Experiments performed on this dataset:

# 1. Optimizer Tuning:

In this experiment, I employed all 4 optimizers SGD, RMSProp, Adam and AdamW on both Electra-Base and Bert. For both these models, I observed that AdamW performed better and it took less "time" to train and the model was stable and converged better than when I used other optimizers. In all these cases, I observed that Electra-base took less time to train the dataset and it also achieved a better accuracy than Bert. The results for BERT are present in the following screenshots:

## Assignment 5

## Ashwath Raghav

#### 1. SGD:

## 2. Adam:

## 3. RMSProp:

#### 4. Adamw(Best Optimizer):

# Ashwath Raghav

## The results for Electra are present in the following screenshots:

#### 1. SGD:

#### 2. Adam:

## 3. RMSProp:

## 4. AdamW(Best Optimizer):

```
Training model with https://tfhub.dev/google/electra_base/2

Epoch 1/5
625/625 [==========] - 656s 1s/step - loss: 0.0167 - binary_accuracy: 0.9774 - val_loss: 0.7738 - val_binary_accuracy: 0.9012
Epoch 2/5
625/625 [========] - 645s 1s/step - loss: 0.0156 - binary_accuracy: 0.9966 - val_loss: 0.8039 - val_binary_accuracy: 0.9040
Epoch 3/5
625/625 [========] - 644s 1s/step - loss: 0.0111 - binary_accuracy: 0.9980 - val_loss: 0.7336 - val_binary_accuracy: 0.9076
Epoch 4/5
625/625 [=========] - 643s 1s/step - loss: 0.0055 - binary_accuracy: 0.9987 - val_loss: 0.8088 - val_binary_accuracy: 0.9070
Epoch 5/5
625/625 [==========] - 642s 1s/step - loss: 0.0015 - binary_accuracy: 0.9996 - val_loss: 0.8071 - val_binary_accuracy: 0.9074
```

## 2. Batch Size Tuning:

I employed different batch sizes to find the optimal batch size to train. I trained the model with batch size = 16 and batch size = 32. When I was training BERT, I observed that, smaller the batch size, the better the performance of the model. Thus the optimal batch size was 16 for BERT. But this was not the case for Electra. Electra performed well when the batch size was bigger. The optimal batch size for Electra was 32. When Batch size was decreased, it took more time to train but the model was more stable and validation loss and accuracy were slowly improving, thanks to stable convergence of the model. There was no overfitting too. The results for BERT are presented in the following screenshots:

## 1. Batch Size: 16 (Optimal Batch Size)

#### 2. Batch Size: 32

# Case 3: Batch Size = 32

```
We've already run this, Val accuracy = 0.8798
```

B + B + L 61 46

The results for Electra are presented in the following screenshots:

#### 1. Batch Size: 16

## 2. Batch Size: 32 (Optimal Batch Size)

# Case 2: Batch Size = 32

We've already run this, Val accuracy = 0.9074. But val\_loss: 0.8071

# 3. Learning Rate Tuning:

In this experiment, I employed 3 different learning rates to understand how learning rate affects a pre trained model. I passed 1e-4, 5e-5 and default 3e-5 as learning rates to Bert and Electra and observed that the provided default learning rate Ir = 3e-5 was the best/optimal LR for BERT and Electra. From these experiments, I could observe how LR plays a very vital role in convergence and if it's too large it may converge quickly but it may miss the minima, whereas if it's too small, it took more time to converge but it could reach the minima atleast. Learning rate should not be too big nor should it be too small. Learning rate determines the stability of the model as well as its performance on the validation set. The results for BERT are present in the following screenshots:

# 1. Learning Rate: 1e-4

# 2. Learning Rate: 3e-5 (Optimal Learning Rate)

1230/1230 [-----]

# Case 3: Learning Rate = 3e-5

We've already run this, Val accuracy = 0.8798

#### Learning Rate : 5e-5

The results for Electra are present in the following screenshots:

# 1. Learning Rate: 1e-4

# 2. Learning Rate: 3e-5

# Case 3: Learning Rate = 3e-5

We've already run this, Val accuracy = 0.9074

Best Hyperparameters for BERT: Optimizer = AdamW, Batch Size = 16, Learning Rate = 3e-5

Best Hyperparameters for Electra: Optimizer = AdamW, Batch Size = 32, Learning Rate = 3e-5

#### Training the optimal BERT model:

# Training the optimal Electra model:

# Evaluating the optimal BERT model:

Loss: 0./381528615951538 Accuracy: 0.8838800191879272

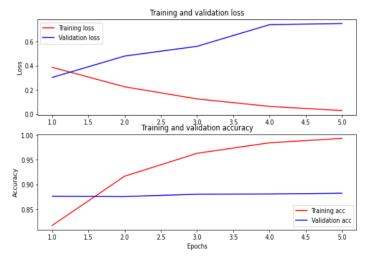
# Evaluating the optimal Electra model:

```
782/782 [===================] - 534s 683ms/step - loss: 0.4450 - binary_accuracy: 0.9118
```

Loss: 0.44502535462379456 Accuracy: 0.9118000268936157

## Accuracy vs loss over time for the optimal BERT model:

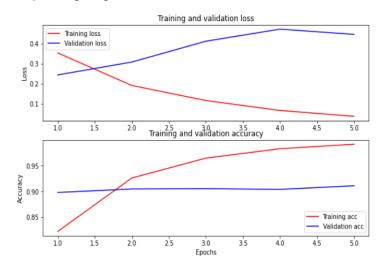
```
dict_keys(['loss', 'binary_accuracy', 'val_loss', 'val_binary_accuracy'])
<matplotlib.legend.Legend at 0x7f1cc691cad0>
```



In this plot, the red lines represent the training loss and accuracy, and the blue lines are the validation loss and accuracy.

# Accuracy vs loss over time for the optimal Electra model:

dict\_keys(['loss', 'binary\_accuracy', 'val\_loss', 'val\_binary\_accuracy'])
<matplotlib.legend.Legend at 0x7fc5188e2e90>



In this plot, the red lines represent the training loss and accuracy, and the blue lines are the validation loss and accuracy.

# **Core Experiment 2 - RNN-LSTM:**

IMDB dataset has 50K movie reviews for natural language processing or Text analytics. This is a dataset for binary sentiment classification containing substantially more data than previous benchmark datasets. The training set comprises 25,000 highly polar movie reviews and the testing set comprises 25,000 movie reviews for testing. So, the main goal of this dataset is to predict the number of positive and negative reviews using either classification or deep learning algorithms.

# Data Preprocessing/Feature Engineering:

- 1. The IMDB dataset is retrieved from Stanford website using keras.utils.get\_file.
- 2. The dataset is already split into Train and Test but it lacks a validation set. A validation set is created using an 80:20 split of the training data by using the validation\_split.
- 3. Then, training data is split into batches of batch\_size = n and passed to the model for further training.

## Experiments performed on this dataset:

# 1. Optimizer Tuning:

In this experiment, I employed all 4 optimizers SGD, RMSProp, Adam and AdamW on RNN-LSTM. I observed that Adam and RMSProp performed better and it took less "time" to train and the model was stable and converged better than when I used other optimizers. Adam outperformed RMSprop by around 1% on validation accuracy and was faster and stable. The results are present in the following screenshots:

# Adam(Best Optimizer):

Eval accuracy at epoch 6: 0.9321289 Train accuracy at epoch 7: 0.9735948 Eval accuracy at epoch 7: 0.9344727 Train accuracy at epoch 8: 0.9791235 Eval accuracy at epoch 8: 0.9359375 Train accuracy at epoch 9: 0.9838747 Eval accuracy at epoch 9: 0.9393555 Train accuracy at epoch 10: 0.9878772 Eval accuracy at epoch 10: 0.9416992 Train accuracy at epoch 11: 0.9897489 Eval accuracy at epoch 11: 0.93789065 Train accuracy at epoch 12: 0.98946095 Eval accuracy at epoch 12: 0.94316405 Train accuracy at epoch 13: 0.9849689 Eval accuracy at epoch 13: 0.9397461 Train accuracy at epoch 14: 0.9925708 Eval accuracy at epoch 14: 0.9442383 Train accuracy at epoch 15: 0.9951048 Eval accuracy at epoch 15: 0.95039064 Train accuracy at epoch 16: 0.99550796 Eval accuracy at epoch 16: 0.9489258 Train accuracy at epoch 17: 0.9964006 Eval accuracy at epoch 17: 0.94970703 Train accuracy at epoch 18: 0.9971781 Eval accuracy at epoch 18: 0.95097655 Train accuracy at epoch 19: 0.99591106 Eval accuracy at epoch 19: 0.9500977 Train accuracy at epoch 20: 0.99729323 Eval accuracy at epoch 20: 0.95107424

## Adamw:

Train accuracy at epoch 6: 0.9042847 Eval accuracy at epoch 6: 0.8780273 Train accuracy at epoch 7: 0.92115873 Eval accuracy at epoch 7: 0.890625 Train accuracy at epoch 8: 0.9274937 Eval accuracy at epoch 8: 0.9013672 Train accuracy at epoch 9: 0.9405091 Eval accuracy at epoch 9: 0.91308594 Train accuracy at epoch 10: 0.9478519 Eval accuracy at epoch 10: 0.9129883 Train accuracy at epoch 11: 0.953179 Eval accuracy at epoch 11: 0.9208008 Train accuracy at epoch 12: 0.9556266 Eval accuracy at epoch 12: 0.92089844 Train accuracy at epoch 13: 0.96170235 Eval accuracy at epoch 13: 0.9242188 Train accuracy at epoch 14: 0.96602166 Eval accuracy at epoch 14: 0.9259766 Train accuracy at epoch 15: 0.96924675 Eval accuracy at epoch 15: 0.9274414 Train accuracy at epoch 16: 0.9691891 Eval accuracy at epoch 16: 0.9291992 Train accuracy at epoch 17: 0.9710608 Eval accuracy at epoch 17: 0.92978513 Train accuracy at epoch 18: 0.97137755 Eval accuracy at epoch 18: 0.92978513 Train accuracy at epoch 19: 0.9727885 Eval accuracy at epoch 19: 0.928125 Train accuracy at epoch 20: 0.97287494 Eval accuracy at epoch 20: 0.9296875

# 2. Batch Size Tuning:

I employed different batch sizes to find the optimal batch size to train. I trained the model with batch size = 512 and batch size = 256. When I was training RNN, I observed that, smaller the batch size, the better the performance of the model. Thus the optimal batch size was 256 for RNN-LSTM. When Batch size was decreased, it took more time to train but the model was more stable and validation loss and accuracy were slowly improving, thanks to stable convergence of the model. There was no overfitting too. The results are presented in the following screenshots:

## Optimal Batch Size: 256

2 1

Eval accuracy at epoch 6: 0.9321289 Train accuracy at epoch 7: 0.9735948 Eval accuracy at epoch 7: 0.9344727 Train accuracy at epoch 8: 0.9791235 Eval accuracy at epoch 8: 0.9359375 Train accuracy at epoch 9: 0.9838747 Eval accuracy at epoch 9: 0.9393555 Train accuracy at epoch 10: 0.9878772 Eval accuracy at epoch 10: 0.9416992 Train accuracy at epoch 11: 0.9897489 Eval accuracy at epoch 11: 0.93789065 Train accuracy at epoch 12: 0.98946095 Eval accuracy at epoch 12: 0.94316405 Train accuracy at epoch 13: 0.9849689 Eval accuracy at epoch 13: 0.9397461 Train accuracy at epoch 14: 0.9925708 Eval accuracy at epoch 14: 0.9442383 Train accuracy at epoch 15: 0.9951048 Eval accuracy at epoch 15: 0.95039064 Train accuracy at epoch 16: 0.99550796 Eval accuracy at epoch 16: 0.9489258 Train accuracy at epoch 17: 0.9964006 Eval accuracy at epoch 17: 0.94970703 Train accuracy at epoch 18: 0.9971781 Eval accuracy at epoch 18: 0.95097655 Train accuracy at epoch 19: 0.99591106 Eval accuracy at epoch 19: 0.9500977 Train accuracy at epoch 20: 0.99729323 Eval accuracy at epoch 20: 0.95107424

## 3. Learning Rate Tuning:

In this experiment, I employed 3 different learning rates to understand how learning rate affects a pre trained model. I passed 1e-4, 5e-5 and default 3e-5 as learning rates to RNN-LSTM and observed that the learning rate Ir = 1e-4 was the best/optimal LR for RNN. From these experiments, I could observe how LR plays a very vital role in convergence and if it's too large it may converge quickly but it may miss the minima, whereas if it's too small, it took more time to converge but it could reach the minima atleast. Learning rate should not be too big nor should it be too small. Learning rate determines the stability of the model as well as its performance on the validation set. The results are present in the following screenshots:

## Optimal Learning Rate: 1e-4:

```
Eval accuracy at epoch 6: 0.9321289
Train accuracy at epoch 7: 0.9735948
Eval accuracy at epoch 7: 0.9344727
Train accuracy at epoch 8: 0.9791235
Eval accuracy at epoch 8: 0.9359375
Train accuracy at epoch 9: 0.9838747
Eval accuracy at epoch 9: 0.9393555
Train accuracy at epoch 10: 0.9878772
Eval accuracy at epoch 10: 0.9416992
Train accuracy at epoch 11: 0.9897489
Eval accuracy at epoch 11: 0.93789065
Train accuracy at epoch 12: 0.98946095
Eval accuracy at epoch 12: 0.94316405
Train accuracy at epoch 13: 0.9849689
Eval accuracy at epoch 13: 0.9397461
Train accuracy at epoch 14: 0.9925708
Eval accuracy at epoch 14: 0.9442383
Train accuracy at epoch 15: 0.9951048
Eval accuracy at epoch 15: 0.95039064
Train accuracy at epoch 16: 0.99550796
Eval accuracy at epoch 16: 0.9489258
Train accuracy at epoch 17: 0.9964006
Eval accuracy at epoch 17: 0.94970703
Train accuracy at epoch 18: 0.9971781
Eval accuracy at epoch 18: 0.95097655
Train accuracy at epoch 19: 0.99591106
Eval accuracy at epoch 19: 0.9500977
Train accuracy at epoch 20: 0.99729323
Eval accuracy at epoch 20: 0.95107424
```

## Final Test Acc:

```
evaluate(test_dataset)
```

Test accuracy is 0.9463434219360352

## **Conclusion:**

From all these experiments, we can observe that the hyperparameter tuning plays a vital role in improving the model's performance and test accuracy. The test accuracy achieved by optimal BERT was around 88% and optimal Electra achieved 91% but optimal RNN-LSTM achieved 94%. This shows that for our dataset(IMDB) and for the selection of hyperparameters, RNN performs better than pretrained models like BERT and Electra. By performing these experiments, I could understand that the overall performance depends on the complexity of the model and the selection of the hyper parameters. When we have a business problem, we need to first perform exploratory data analysis to understand the data and the anomalies. After performing feature engineering and selecting the correlated features, we need to look into the models that are available to employ. Now, we start with simple models and if they can't handle it, only then should we move to complex models. From what I understood, we need to select a model that has optimal overall performance, takes less inference time and is easily interpretable. But as we know, there is usually a tradeoff between these factors. We need to choose a model in such a way that it performs well on the validation test as this will help us predict its performance on out of sample data points, aka test dataset. We perform hyperparameter tuning to reduce the overall time taken to train the model and to improve the overall performance of the model. Thus, we need to select a model that has optimal performance and at the same time take less time to train and test. Lower the complexity, the better. This is to avoid overfitting/memorization of data.

Problems Faced: The models took a long time to run, with each epoch taking more than 20 mins to run and each training run iteration of the model took 2 hours to run. But, this is due to the batch size and the size of the dataset. LSTM and BERT are complex models which might also contribute to this. But the model was stable with uniform convergence and I did not notice any jumps in validation loss which means it converged to the minima without a problem.