

Deformation Theory of the Trivial mod p Galois Representation for GL_n

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We study the rigid generic fiber $\mathcal{X}_{\bar{\rho}}^{\square}$ of the framed deformation space of the trivial representation $\bar{\rho} : G_K \rightarrow \mathrm{GL}_n(k)$ where k is a finite field of characteristic $p > 0$ and G_K is the absolute Galois group of a finite extension K/\mathbf{Q}_p . Under some mild conditions on K we prove that $\mathcal{X}_{\bar{\rho}}^{\square}$ is normal. When $p > n$ we describe its irreducible components and show Zariski density of its crystalline points.

1 Introduction

The p -adic local Langlands correspondence for $\mathrm{GL}_n(\mathbf{Q}_p)$ is a hypothetical correspondence between continuous unitary L -Banach space representations of $\mathrm{GL}_n(\mathbf{Q}_p)$ and continuous representations $\mathrm{Gal}(\overline{\mathbf{Q}_p}/\mathbf{Q}_p) \rightarrow \mathrm{GL}_n(L)$, where L is a p -adic local field. Thus far, such a correspondence is only known to exist when $n = 1, 2$. When $n = 1$ the construction boils down to local class field theory, and when $n = 2$ such a correspondence has been defined and studied by Colmez in [13]. A candidate correspondence (which *a priori* may depend on various choices) for $\mathrm{GL}_n(\mathbf{Q}_p)$ in one direction has been defined in [9] when $p \nmid 2n$.

Crystalline Galois representations are in the image of Colmez's correspondence for $\mathrm{GL}_2(\mathbf{Q}_p)$. To show that the correspondence is surjective, Kisin suggested that one should try to show that the crystalline representations form a Zariski dense subset

Received May 7, 2019; Revised January 8, 2020; Accepted January 14, 2020
 Communicated by Prof. Gaetan Chenevier

of the space of all representations. This was carried out in [28] and [13], except for when $p = 2$ and the $\text{Gal}(\overline{\mathbf{O}_2}/\mathbf{O}_2)$ representation has trivial mod 2 reduction up to semisimplification and twisting by a character. This was resolved in [14] by studying the universal deformation ring of the mod 2 trivial representation.

In this paper, we describe the framed deformation ring of the mod p trivial representation of $\text{Gal}(\overline{K}/K)$ for K a p -adic local field where now p and n are allowed to vary. Assuming $p > n$ and assuming some mild conditions on K , we first give a description of its irreducible components, which answers a particular case of a question of Böckle and Juschka written in the introduction to [4]. We then prove that crystalline points are dense in the deformation space associated to the trivial representation. We hope that one day this result could be similarly useful once a p -adic local Langlands correspondence for $\text{GL}_n(K)$ has been constructed and developed. Since our results are about unrestricted local deformation spaces at p , we also hope the results could be useful when proving automorphy lifting theorems for p -adic automorphic forms.

In the rest of the introduction we will state the two main theorems more precisely and briefly discuss the methods used in the proofs.

1.1 Main results

Fix a prime p and finite extensions K/\mathbf{O}_p and L/\mathbf{O}_p . Let \mathcal{O}_L denote the ring of integers of L with maximal ideal \mathfrak{m}_L and uniformizer ϖ_L , and let $k_L = \mathcal{O}_L/\mathfrak{m}_L$ denote the residue field. Let $\mu_{p^\infty}(F)$ denote the p -power roots of unity in any field F . Throughout this paper we will assume $|\mu_{p^\infty}(L)| \geq |\mu_{p^\infty}(K)|$.

Given any continuous residual representation $\overline{\rho} : G_K := \text{Gal}(\overline{K}/K) \rightarrow \text{GL}_n(k_L)$, we can define the framed deformation functor $D_{\overline{\rho}}^\square$ on the category of complete local Noetherian \mathcal{O}_L -algebras with residue field k_L , which is always represented by a complete local Noetherian ring $R_{\overline{\rho}}^\square$.

Let $\mathcal{X}_{\overline{\rho}}^\square$ denote the rigid generic fiber associated to $\text{Spf } R_{\overline{\rho}}^\square$ in the sense of Berthelot (see [16, Section 7] for a construction). In [4], Böckle and Juschka pose the following question about the geometry of $\mathcal{X}_{\overline{\rho}}^\square$, which we state as a conjecture (this is actually a slight rephrasing of what they write: see Remark 1.7.1).

Conjecture 1.1 ([4, Question 1.10]). For any prime p , any positive integer n , any finite extension K/\mathbf{O}_p , and any continuous $\overline{\rho} : G_K \rightarrow \text{GL}_n(k_L)$, let $d : \mathcal{X}_{\overline{\rho}}^\square \rightarrow \mathcal{X}_{\det \overline{\rho}}^\square$ denote the map induced by mapping a deformation of $\overline{\rho}$ to its determinant. Then d induces a

bijection between the irreducible components of both spaces, and the irreducible and connected components of $\mathcal{X}_{\bar{\rho}}^{\square}$ coincide.

This should work as follows. Fix a generator $\zeta_K \in \mu_{p^\infty}(K)$ and an element $\zeta \in \mu_{p^\infty}(L)$ and define the subfunctor

$$D_{\bar{\rho}}^{\square, \zeta}(R) = \{\rho \in D_{\bar{\rho}}^{\square}(R) : \det \rho(\text{rec}_K(\zeta_K)) = \zeta\} \subset D_{\bar{\rho}}^{\square}(R),$$

where rec_K is the local Artin reciprocity map. Then $D_{\bar{\rho}}^{\square, \zeta}(R)$ is relatively representable, and one should be able to show that the generic fiber $\mathcal{X}_{\bar{\rho}}^{\square, \zeta}$ is irreducible. Thus, the components should be parametrized by the set $\mu_{p^\infty}(K)$.

Our 1st main result is a special case of the conjecture, and the proof is given in Section 4.5.

Theorem 1.2. Assume K contains a primitive 4th root of unity if $p = 2$. Let $\bar{\rho} : G_K \rightarrow \text{GL}_n(k_L)$ be the trivial representation. Then $\mathcal{X}_{\bar{\rho}}^{\square}$ is normal, and in particular its connected components are irreducible.

If in addition either $p > n$ or $\mu_{p^\infty}(K) = \{1\}$, then the determinant map $\mathcal{X}_{\bar{\rho}}^{\square} \rightarrow \mathcal{X}_{\det \bar{\rho}}^{\square}$ induces a bijection on irreducible components, which are canonically in bijection with $\mu_{p^\infty}(K)$.

Conjecture 1.1 is resolved in many other cases already, and we give a brief survey of results here.

1. When $n = 1$, the theorem follows straightforwardly from local class field theory. We recall this short and explicit computation in Section 4.4.
2. In the same paper [4], Böckle and Juschka verify Conjecture 1.1 when $p > 2$, $n = 2$, K/\mathbf{Q}_p is any finite extension, and $\bar{\rho}$ is any continuous representation.
3. The main result of [14] is that Conjecture 1.1 is true when $p = 2$, $n = 2$, $K = \mathbf{Q}_2$, and $\bar{\rho}$ is the trivial representation.
4. Let $\epsilon : G_K \rightarrow k_L^\times$ denote the mod p cyclotomic character. If p , n , and K are arbitrary and $H^0(G_K, \text{ad}^0 \bar{\rho} \otimes \epsilon) = 0$, then the proof of [31, Theorem 4.4] shows that Conjecture 1.1 is true: in this case the irreducible components are actually smooth. In the paper Nakamura also requires that $\bar{\rho}$ has only scalar endomorphisms, but this assumption can be removed by adding framings.

A consequence of the description of the irreducible components of $\mathcal{X}_{\bar{\rho}}^{\square}$ is that its crystalline points, that is, points whose induced representations are crystalline, are dense in the whole space. More specifically, one first shows that the Zariski closure of the crystalline points are the union of *some* irreducible components, so it then suffices to describe all of the components and write down a crystalline point in each one. For technical reasons, it is usually more convenient to show that *regular* crystalline points are dense, which means that the labeled Hodge–Tate weights are distinct (cf. Definition 5.5). We are thus led to the following conjecture.

Conjecture 1.3. For $(p, n, K, \bar{\rho})$ as in Conjecture 1.1, the set of regular crystalline points is dense in $\mathcal{X}_{\bar{\rho}}^{\square}$.

As a consequence of Theorem 1.2 we come to our 2nd main result.

Theorem 1.4 (Theorem 5.11). Assume K contains a primitive 4th root of unity if $p = 2$, and assume either $p > n$ or $\mu_{p^{\infty}}(K) = \{1\}$. Let $\bar{\rho}$ be the trivial representation. Then the set of regular crystalline points is dense in $\mathcal{X}_{\bar{\rho}}^{\square}$.

As before, the conjecture has been proven in many other cases already:

1. Again, when $n = 1$, the result follows because Conjecture 1.1 is true in this case.
2. In [4] for $p > 2$, $n = 2$ and arbitrary K and $\bar{\rho}$, the authors apply Nakamura’s work in [31] to prove Conjecture 1.3 as a consequence of their proof of Conjecture 1.1.
3. In [14] for $p = 2$, $n = 2$, $K = \mathbf{O}_2$, and $\bar{\rho}$ the trivial representation, the authors apply Kisin’s work in [28] to prove Conjecture 1.3 as a consequence of their proof of Conjecture 1.1.
4. In [31, Theorem 4.4], Conjecture 1.3 is proven when $H^0(G_K, \mathrm{ad}^0 \bar{\rho} \otimes \epsilon) = 0$ and either $\mu_{p^{\infty}}(K) = \{1\}$ or $p \nmid n$ (as above we ignore the issue of framing). In fact the theorem also requires the existence of crystalline lifts with regular Hodge–Tate weights, which was recently proven in [18].

1.2 Methods

First, we give an overview of the proof of Theorem 1.2 and some auxiliary results along the way. Following [14] we use the description of the maximal pro- p quotient of G_K given

in [17] to explicitly realize $R_{\bar{\rho}}^{\square}$ as a formal moduli space of tuples of matrices satisfying a certain “Demuškin equation”: this is Corollary 2.6. Using this description and some facts about moduli spaces of matrices proven by Helm in [22], we show the following.

Proposition 1.5 (Proposition 2.9). The ring $R_{\bar{\rho}}^{\square}$ is a complete intersection ring of dimension $1 + n^2([K : \mathbf{O}_p] + 1)$, and is \mathcal{O}_L -flat. In particular, $R_{\bar{\rho}}^{\square}$ is Cohen–Macaulay.

Points of $\mathcal{X}_{\bar{\rho}}^{\square}$ parametrize characteristic 0 deformations of $\bar{\rho}$ valued in finite extensions of L . A standard Galois cohomology argument allows us to show that at singular points these deformations are reducible, which allows us to bound the dimension of the singular locus: here we follow the dimension counting technique introduced in [19, Section 3]. Using Serre’s criterion for normality, we deduce the following.

Theorem 1.6 (Theorem 3.14). $\mathcal{X}_{\bar{\rho}}^{\square}$ is normal. In particular, its connected components are irreducible.

We then compute the deformation theory of the trivial character $\mathbf{1} : G_K^{\text{ab}} \rightarrow k_L^{\times}$, and show that two closed points in $\mathcal{X}_{\bar{\rho}}^{\square}$ whose determinants land in the same connected component of \mathcal{X}_1^{\square} actually live in the same connected component of $\mathcal{X}_{\bar{\rho}}^{\square}$. We show this by reducing to the case $n = 2$, which is handled in [4].

Second, we say a quick word about the proof of Theorem 1.4. Our proof that the Zariski closure of regular points is a union of some irreducible components of $\mathcal{X}_{\bar{\rho}}^{\square}$ is almost exactly the same as Nakamura’s proof in [31]. His argument is inspired by, and directly generalizes, Chenevier’s work in [10] and [11], which in turn generalizes Gouvêa–Mazur’s “infinite fern” argument that they originally introduced in [30]. However, since Nakamura assumes throughout the paper that $\bar{\rho}$ has only scalar endomorphisms, we use the trianguline variety as studied in [7] in lieu of Nakamura’s finite slope subspace, which is defined in terms of framed deformation functors and is thus better suited to our purposes.

We end the introduction with a few remarks.

Remark 1.7.

1. Böckle and Juschka use a versal hull $R_{\bar{\rho}}^{\text{ver}}$ of the associated unframed deformation problem instead of $R_{\bar{\rho}}^{\square}$, but there is always a formally smooth

map $D_{\bar{\rho}}^{\square} \rightarrow h_{R_{\bar{\rho}}^{\text{ver}}}$, so for our purposes it suffices to study either ring. Actually, since $\bar{\rho}$ is trivial, $R_{\bar{\rho}}^{\square} = R_{\bar{\rho}}^{\text{ver}}$: this is Lemma 2.1.

2. Deformations of the trivial $\bar{\rho}$ factor through the maximal pro- p -quotient of G_K , which has a nice presentation that we can work with. A general representation $\bar{\rho} : G_K \rightarrow \text{GL}_n(k_L)$ trivializes over some finite extension K'/K because $\text{GL}_n(k_L)$ is discrete, and this induces a map $D_{\bar{\rho}}^{\square} \rightarrow D_{\bar{\rho}|_{K'}}^{\square}$, but we are not sure how much can be said about this map for general $\bar{\rho}$: this paper only studies the target of the map.
3. For convenience, we work mostly with the scheme-theoretic generic fiber $X_{\bar{\rho}}^{\square} := \text{Spec } R_{\bar{\rho}}^{\square}[1/\varpi_L]$. To see that this is enough, note first of all $X_{\bar{\rho}}^{\square}$ is a normal rigid space if and only if $X_{\bar{\rho}}^{\square}$ is a normal scheme by [16, Lemma 7.1.9]. Secondly, the irreducible components of $X_{\bar{\rho}}^{\square}$ are in canonical bijection with the irreducible components of $\text{Spf } R_{\bar{\rho}}^{\square}$ by [15, Theorem 2.3.1], that is, the images of the connected components of a normalization of $\text{Spf } R_{\bar{\rho}}^{\square}$. But these are in canonical bijection with the irreducible components of $X_{\bar{\rho}}^{\square}$ as explained in Remark 2.7 below.
4. The assumption that $p > n$ is a hypothesis that we hope to be able to remove; for now, we do not see an easy way to deal with the extra technicalities that arise. We also avoid the case when $p = 2$ and $|\mu_{p^{\infty}}(K)| = 2$; see the discussion after Corollary 2.6 for an explanation.

2 Galois Deformation Rings

We now restate the set-up. Fix a prime p and a finite extension K/\mathbf{O}_p of degree $d = [K : \mathbf{O}_p]$. Fix another finite extension L/\mathbf{O}_p with ring of integers \mathcal{O}_L and maximal ideal \mathfrak{m}_L , and let $k_L = \mathcal{O}_L/\mathfrak{m}_L$ denote the residue field. We always assume $|\mu_{p^{\infty}}(L)| \geq |\mu_{p^{\infty}}(K)|$. Fix an integer $n > 1$. Let $\epsilon : G_K \rightarrow L^{\times}$ denote the p -adic cyclotomic character.

We are interested in deforming a continuous representation $\bar{\rho} : G_K \rightarrow \text{GL}_n(k_L)$. Let $\text{Art}_{\mathcal{O}_L}$ denote the category whose objects are local Artinian \mathcal{O}_L -algebras A together with a surjective reduction map $A \twoheadrightarrow k_L$, and whose morphisms are local \mathcal{O}_L -algebra homomorphisms $A \rightarrow B$ respecting the reduction maps to k_L . Then the framed deformation problem for $\bar{\rho}$ is the functor

$$D_{\bar{\rho}}^{\square} : \text{Art}_{\mathcal{O}_L} \rightarrow \text{Set}$$

$$A \mapsto \{\text{lifts of } \bar{\rho} \text{ to continuous representations } G_K \rightarrow \text{GL}_n(A)\}$$

and the unframed deformation problem is the functor

$$D_{\bar{\rho}} : \mathbf{Art}_{\mathcal{O}_L} \rightarrow \mathbf{Set}$$

$$A \mapsto D_{\bar{\rho}}^{\square}(A)/(1 + \mathrm{Mat}_n(\mathfrak{m}_A))\text{-conjugacy.}$$

Note $D_{\bar{\rho}}$ may not be pro-representable, but it always has a versal hull, that is, a complete local Noetherian ring $R_{\bar{\rho}}^{\mathrm{ver}}$ and a formally smooth map $h_{R_{\bar{\rho}}^{\mathrm{ver}}} \rightarrow D_{\bar{\rho}}$ of deformation functors that induces an isomorphism on tangent spaces.

For any functor $D : \mathbf{Art}_{\mathcal{O}_L} \rightarrow \mathbf{Set}$, let $T(D) = D(k_L[x]/x^2)$ denote its tangent space.

Lemma 2.1. The forgetful map $D_{\bar{\rho}}^{\square} \rightarrow D_{\bar{\rho}}$ factors through a (non-unique) formally smooth morphism $D_{\bar{\rho}}^{\square} \rightarrow h_{R_{\bar{\rho}}^{\mathrm{ver}}}$, which is an isomorphism if $\bar{\rho}$ is the trivial representation.

Proof. Note $D_{\bar{\rho}}^{\square} \rightarrow D_{\bar{\rho}}$ is formally smooth and is therefore a versal deformation. Then [36, Tag 06T5(3)] gives us the formally smooth map $D_{\bar{\rho}}^{\square} \rightarrow h_{R_{\bar{\rho}}^{\mathrm{ver}}}$. Let $T(D) = D(k_L[x]/x^2)$ denote the tangent space to a deformation functor $D : \mathbf{Art}_{\mathcal{O}_L} \rightarrow \mathbf{Set}$. Then a standard computation shows that the induced map of tangent spaces $T(D_{\bar{\rho}}^{\square}) \rightarrow T(D_{\bar{\rho}})$ is just the quotient map $Z^1(G_K, \mathrm{ad} \bar{\rho}) \rightarrow H^1(G_K, \mathrm{ad} \bar{\rho})$ (where Z^1 denotes continuous cocycles and H^1 is continuous group cohomology). If $\bar{\rho}$ is trivial, then the coboundaries $B^1(G_K, \mathrm{ad} \bar{\rho}) = 0$, so $D_{\bar{\rho}}^{\square} \rightarrow D_{\bar{\rho}}$ induces an isomorphism on tangent spaces and is thus a versal hull. ■

2.1 Presentation of $R_{\bar{\rho}}^{\square}$

For the rest of this article, take $\bar{\rho}$ to be the trivial representation.

Since lifts $\rho \in D_{\bar{\rho}}^{\square}(A)$ reduce to $\bar{\rho}$, they must factor through $1 + \mathrm{Mat}_n(\mathfrak{m}_A) \hookrightarrow \mathrm{GL}_n(A)$, where $\mathrm{Mat}_n(\mathfrak{m}_A)$ is the set of $n \times n$ matrices with entries in the maximal ideal $\mathfrak{m}_A \subset A$.

Lemma 2.2. For any A in $\mathbf{Art}_{\mathcal{O}_L}$, the group $1 + \mathrm{Mat}_n(\mathfrak{m}_A)$ is a p -group.

Proof. It suffices to count the number of elements in $\mathrm{Mat}_n(\mathfrak{m}_A)$, for which it suffices to count the number of elements in \mathfrak{m}_A . In the maximal ideal filtration $\mathfrak{m}_A \supset \mathfrak{m}_A^2 \supset \cdots \supset \mathfrak{m}_A^k = 0$, the successive quotients are finite k_L -vector spaces. The lemma follows. ■

So since any deformation $\rho : G_K \rightarrow 1 + \text{Mat}_n(\mathfrak{m}_A)$ is a map from G_K into a p -group, it must factor through $G_K \twoheadrightarrow G_K^p$, where G_K^p denotes the maximal pro- p -quotient of G_K . Let $q = |\mu_{p^\infty}(K)|$. We note the following results of Shafarevich and Demuškin:

Theorem 2.3.

1. (Shafarevich [34]) If $q = 1$, then G_K^p is a free pro- p -group of rank $d + 1$.
2. (Demuškin [17]) If $q \geq 3$ then G_K^p is isomorphic to the quotient of the free pro- p -group on $d + 2$ generators g_1, \dots, g_{d+2} by the relation

$$g_1^q [g_1, g_2] [g_3, g_4] \cdots [g_{d+1}, g_{d+2}] = 1,$$

where $[g, h] = ghg^{-1}h^{-1}$.

When $q = 2$, the group $G_K^{(2)}$ is again cut out by one relation, which looks a lot like the one in part 2 of Theorem 2.3. This is due to Serre [33] when d is odd and Labute [29] when d is even. We suspect that one could prove that X_ρ^\square is normal in these exceptional cases using the same method, but since our crystalline density result assumes $p > n$, we have decided not to pursue this.

Remark 2.4. In [17], Demuškin uses the convention that $[g, h] = g^{-1}h^{-1}gh$ rather than $[g, h] = ghg^{-1}h^{-1}$. However, following Serre's proof in [33, Section 6], we may use either convention: the basis for G_K^p that we get depends on which convention we use to define the lower exponent- p central series of G_K^p in the proof of part 2 of Theorem 2.3. See also the discussion preceding [32, Theorem 7.5.14].

We can use these presentations to determine the representing ring for D_ρ^\square .

Proposition 2.5. Suppose $G = \langle g_1, \dots, g_s : r(g_1, \dots, g_s) = 1 \rangle$ is the quotient of the free pro- p -group on s generators by the relation $r(g_1, \dots, g_s) = 1$. Then the framed deformation functor D_ρ^\square of the trivial representation ρ is pro-represented by the complete Noetherian local ring

$$R_\rho^\square = \mathcal{O}_L[[X_1, \dots, X_s]] / (r(\tilde{X}_1, \dots, \tilde{X}_s) - I),$$

where each X_i is an $n \times n$ matrix of indeterminates, and $\tilde{X}_i := X_i + I$.

Proof. For $A \in \mathbf{Art}_{\mathcal{O}_L}$, a continuous lift $\rho : G \rightarrow 1 + \mathrm{Mat}_n(\mathfrak{m}_A)$ is determined by where it sends g_1, \dots, g_{d+2} . Thus, we can define a map

$$f_\rho : R_\rho^\square \rightarrow A, X_i \mapsto \rho(g_i) - I$$

that is continuous because $\rho(g_i) - I \in \mathrm{Mat}_n(\mathfrak{m}_A)$, and well defined because

$$r(\tilde{X}_1, \dots, \tilde{X}_s) \mapsto r(\rho(g_1), \dots, \rho(g_s)) = \rho(r(g_1, \dots, g_s)) = I.$$

Conversely, given a continuous map $f : R_\rho^\square \rightarrow A$, we can define a continuous map $G \rightarrow 1 + \mathrm{Mat}_n(\mathfrak{m}_A)$ taking g_i to $f(\tilde{X}_i)$. These are inverse constructions and give an isomorphism of functors. \blacksquare

Let $\rho^\square : G_K \rightarrow \mathrm{GL}_n(R_\rho^\square)$ denote the universal representation.

Corollary 2.6. Let q be the largest power of p such that K contains the q th roots of unity. Then

$$R_\rho^\square = \begin{cases} \mathcal{O}_L[[X_1, \dots, X_{d+1}]] & q = 1 \\ \mathcal{O}_L[[X_1, \dots, X_{d+2}]] / (\tilde{X}_1^q [\tilde{X}_1, \tilde{X}_2] \cdots [\tilde{X}_{d+1}, \tilde{X}_{d+2}] - I) & q \geq 3. \end{cases}$$

We are interested in the irreducible components of $\mathrm{Spec} R_\rho^\square[1/\varpi_L]$. If $q = 1$, then there is clearly only one such component. Since we have decided not to treat $q = 2$, we assume $q > 2$ in the remainder of this article.

The problem with studying the geometry of $\mathrm{Spec} R_\rho^\square$ is that there is a particularly nasty singularity at the unique closed point. A nicer approach is to study the generic fiber, which has lots of closed points admitting a nice moduli description, and whose singularities are far easier to control. There are two (basically equivalent) ways of doing this: one can either study the rigid generic fiber \mathcal{X}_ρ^\square in the sense of Berthelot (see, e.g., [16, Section 7]), or one can just study the scheme-theoretic generic fiber

$$X_\rho^\square := \mathrm{Spec} R_\rho^\square[1/\varpi_L].$$

We will use both perspectives: to study irreducible components, it will suffice to use X_ρ^\square (although some of the path-connectedness arguments later on in the paper are best thought of rigid analytically). Later, when showing density of crystalline points, we will use \mathcal{X}_ρ^\square .

Remark 2.7. Restricting to the generic fiber still allows us to study irreducible components of $\mathrm{Spec} R_\rho^\square$ itself, and thus of $\mathrm{Spf} R_\rho^\square$ (taken with respect to the maximal ideal): we will show that R_ρ^\square is \mathcal{O}_L -flat, which implies that the map $R_\rho^\square \rightarrow R_\rho^\square[1/\varpi_L]$ induces a bijection on irreducible components. To see this, note that there is a bijection between irreducible components of X_ρ^\square and irreducible components of $\mathrm{Spec} R_\rho^\square$ that have nonempty intersection with X_ρ^\square . Then note that each irreducible component intersects the generic fiber, since R_ρ^\square is \mathcal{O}_L -flat. Therefore, it suffices to study the irreducible components of X_ρ^\square , but in fact we will first show that X_ρ^\square is normal and then just study the connected components.

2.2 Dimension of R_ρ^\square

First we note the following fact.

Lemma 2.8. Let $M(n, q+1)_{\mathcal{O}_L} \subset \mathrm{GL}_{n, \mathcal{O}_L} \times_{\mathcal{O}_L} \mathrm{GL}_{n, \mathcal{O}_L}$ be the closed subspace of pairs of invertible matrices X, Y satisfying $XYX^{-1} = Y^{q+1}$. Then $M(n, q+1)_{\mathcal{O}_L}$ is a local complete intersection and is Cohen–Macaulay and flat of relative dimension n^2 over $\mathrm{Spec} \mathcal{O}_L$.

Proof. The proof is given in the 1st two paragraphs of [35, Theorem 2.5], although Shotton attributes the proof to Helm in [22, Proposition 4.2], who in turn attributes the argument to Choi in [12]. ■

Proposition 2.9. The ring R_ρ^\square is a complete intersection ring of dimension $1+n^2(d+1)$, and \mathcal{O}_L -flat. In particular R_ρ^\square is Cohen–Macaulay.

Proof. Note $\mathcal{O}_L[[X_1, \dots, X_{d+2}]]$ has dimension $1+n^2(d+2)$ and we quotient out by n^2 equations so we would expect R_ρ^\square to have dimension $1+n^2(d+1)$. In fact, if we further quotient out by the n^2d indeterminates defining X_3, \dots, X_{d+2} we are left with

$$R' := \mathcal{O}_L[[X_1, X_2]]/(\tilde{X}_1^q[\tilde{X}_1, \tilde{X}_2] - I),$$

which can be rewritten as

$$\mathcal{O}_L[[X_1, X_2]]/(\tilde{X}_2\tilde{X}_1\tilde{X}_2^{-1} - \tilde{X}_1^{q+1}),$$

whose formal spectrum is just the formal completion of $M(n, q+1)_{\mathcal{O}_L}$ at the closed k_L -point x_0 defined by $X = Y = I$, which one can see by noting that $M(n, q+1)_{\mathcal{O}_L}^{\wedge_{x_0}}$ represents a functor $\mathrm{Art}_{\mathcal{O}_L} \rightarrow \mathrm{Set}$, which is also representable by R' . Thus, by Lemma 2.8, $\mathrm{Spec} R'$

is flat of relative dimension n^2 over $\operatorname{Spec} \mathcal{O}_L$ and thus has dimension $n^2 + 1$. Therefore, $\operatorname{Spec} R'/\varpi_L$ has dimension n^2 . In summary, taking the quotient of $\mathcal{O}_L[[X_1, \dots, X_{d+2}]]$ by the $n^2(d+1) + 1$ equations

$$\tilde{X}_2 \tilde{X}_1 \tilde{X}_2^{-1} - \tilde{X}_1^{q+1}, X_3, \dots, X_{d+2}, \varpi_L$$

gives a ring of dimension n^2 , so these $n^2(d+1) + 1$ equations must form a regular sequence (in any order since $\mathcal{O}_L[[X_1, \dots, X_{d+2}]]$ is local Noetherian) and thus $\dim R_\rho^\square = 1 + n^2(d+1)$. We conclude that R_ρ^\square is complete intersection and therefore also Cohen–Macaulay. Note ϖ by itself forms a regular sequence so R_ρ^\square is \mathcal{O}_L -torsion free and in particular \mathcal{O}_L -flat since \mathcal{O}_L is a DVR. ■

3 Normality

In this section, we show that X_ρ^\square is a normal scheme, so that in fact its irreducible components are just the connected components.

For any closed point $x \in X_\rho^\square$ we may define the residual representation

$$\rho_x : G_K \xrightarrow{\rho^\square} \operatorname{GL}_n(R_\rho^\square) \rightarrow \operatorname{GL}_n(R_\rho^\square[1/\varpi_L]) \rightarrow \operatorname{GL}_n(\kappa(x)),$$

where $\kappa(x)$ is the residue field of the stalk at $x \in X_\rho^\square$.

Lemma 3.1. If $x \in X_\rho^\square$ is a closed point, then the residue field $\kappa(x)$ is a finite extension of L , and the image of ρ_x lands in $\operatorname{GL}_n(\mathcal{O}_{\kappa(x)})$, where $\mathcal{O}_{\kappa(x)} \subset \kappa(x)$ is the ring of integers.

Proof. This proof is based on [8, Lemma 5.1.1]. Let $x = \mathfrak{m}$ be some maximal ideal in $R_\rho^\square[1/\varpi_L]$, and let \mathfrak{p} denote the corresponding prime ideal in R_ρ^\square . Note $(R_\rho^\square/\mathfrak{p})[1/\varpi_L] \cong R_\rho^\square[1/\varpi_L]/\mathfrak{m}$, which is a field, so in particular $\dim((R_\rho^\square/\mathfrak{p})[1/\varpi_L]) = 0$. By \mathcal{O}_L -flatness, $\varpi_L \in R_\rho^\square$ is not nilpotent and $R_\rho^\square/\mathfrak{p}$ is a local Noetherian domain, hence equidimensional, so Lemma 2.3 in [14] tells us that $\dim(R_\rho^\square/\mathfrak{p}) = 1$. Since $\varpi_L \notin \mathfrak{p}$, it follows that $\dim R_\rho^\square/(\varpi_L, \mathfrak{p}) = 0$, that is, $R_\rho^\square/(\varpi_L, \mathfrak{p})$ is a local Artinian \mathcal{O}_L -algebra; hence, its underlying set is finite.

Now fix a (finite) set \tilde{S} consisting of a lift in $R_\rho^\square/\mathfrak{p}$ for each residue class in $R_\rho^\square/(\varpi_L, \mathfrak{p})$. Then given an element $a_0 \in R_\rho^\square/\mathfrak{p}$, by reducing mod ϖ_L we can find b_0 generated by elements of \tilde{S} over \mathcal{O}_L such that

$$a_0 - b_0 \in \varpi_L R_\rho^\square/\mathfrak{p}.$$

So there is some $a_1 \in R_{\bar{\rho}}^{\square}/\mathfrak{p}$ such that $a_0 - b_0 = \varpi_L a_1$. Repeating this for a_1 , then a_2 , etc., we find after rearranging that

$$a_0 = b_0 + \varpi_L b_1 + \varpi_L^2 b_2 + \cdots,$$

which converges, and by rearranging the terms we can express a_0 in terms of elements of \tilde{S} over \mathcal{O}_L .

Therefore, $R_{\bar{\rho}}^{\square}/\mathfrak{p}$ is a finitely generated \mathcal{O}_L -module and thus

$$(R_{\bar{\rho}}^{\square}/\mathfrak{p})[1/\varpi_L] = \kappa(x)$$

is a finite extension of L . Furthermore, the image of $R_{\bar{\rho}}^{\square}$ in $\kappa(x)$ lands in $\mathcal{O}_{\kappa(x)}$; this follows from the remarks in [16, Section 7.1.8]. ■

Thus, we may write $\rho_x : G_K \rightarrow \mathrm{GL}_n(\mathcal{O}_{\kappa(x)})$.

Proposition 3.2. If $x = \mathfrak{m} \in X_{\bar{\rho}}^{\square}$ is a singular (i.e., not regular) closed point, then ρ_x is reducible.

Proof. Kisin [27, Section 2.3] (in particular, Lemma 2.3.3 and Proposition 2.3.5) shows that the \mathfrak{m} -adic completion $(R_{\bar{\rho}}^{\square})_{\mathfrak{m}}^{\wedge}$ represents the framed deformation functor $D_{\rho_x}^{\square} : \mathbf{Art}_{\kappa(x)} \rightarrow \mathbf{Set}$. Since x is singular, $(R_{\bar{\rho}}^{\square})_{\mathfrak{m}}^{\wedge}$ is not formally smooth, and thus the deformation problem for ρ_x is obstructed, that is, $H^2(G_K, \mathrm{ad} \rho_x) \neq 0$, but by local Tate duality, this is the same as $H^0(G_K, \mathrm{ad} \rho_x \otimes \epsilon) \neq 0$ (note the coadjoint representation is isomorphic to the adjoint representation for GL_n), which can be rewritten as

$$\mathrm{Hom}_{\kappa(x)[G_K]}(\rho_x, \rho_x \otimes \epsilon) \neq 0.$$

Thus, there exists some nonzero $\kappa(x)[G_K]$ -map $\psi : \rho_x \rightarrow \rho_x \otimes \epsilon$, which can never be an isomorphism because $\det(\rho_x)$ and $\det(\rho_x \otimes \epsilon) = \epsilon^n \det(\rho_x)$ are non-isomorphic characters, so ρ_x is reducible. ■

3.1 The reducible locus

To control the singular locus, we imitate Geraghty's approach in [19, Section 3] and try to bound the locus of points of $X_{\bar{\rho}}^{\square}$ whose corresponding representation is reducible. The only real difference between what we do here and what Geraghty does in his thesis is that we will need to consider flag varieties for every parabolic subgroup (not just the

Borel), and we need to parametrize pairs $(\rho, \text{Fil}^\bullet)$ where ρ fixes Fil^\bullet but does not fix a finer flag (see Remark 3.6).

Pick a k -tuple of positive integers $\underline{n} = (n_1, \dots, n_k)$ such that $\sum_{i=1}^k n_i = n$, and let $\mathcal{F}_{\underline{n}} \in \text{Sch}_{\mathcal{O}_L}$ be the flag variety associated to \underline{n} , that is, the scheme representing the functor $\mathcal{F}_{\underline{n}} : \text{Alg}_{\mathcal{O}_L} \rightarrow \text{Set}$ defined by

$$\mathcal{F}_{\underline{n}} : A \mapsto \left\{ \begin{array}{l} \text{filtrations } 0 \subset \text{Fil}^1 \subset \dots \subset \text{Fil}^k = A^n \text{ by projective} \\ A\text{-submodules that are locally direct summands,} \\ \text{and that satisfy } \text{rank}_A(\text{Fil}^i) = n_1 + \dots + n_i \end{array} \right\}.$$

Then $\text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}$ is an \mathcal{O}_L -scheme whose A -points (for $A \in \text{Alg}_{\mathcal{O}_L}$) are pairs (f, Fil^\bullet) , where Fil^\bullet is as above, and $f : R_{\bar{\rho}}^{\square} \rightarrow A$ is an \mathcal{O}_L -algebra morphism. Note f induces a representation

$$\rho_f : G_K \xrightarrow{\rho^{\square}} \text{GL}_n(R_{\bar{\rho}}^{\square}) \xrightarrow{f} \text{GL}_n(A).$$

Define a subfunctor $\mathcal{G}_{\underline{n}} \hookrightarrow \text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}$ by

$$\mathcal{G}_{\underline{n}}(A) = \{(f, \text{Fil}^\bullet) : \text{the action of } G_K \text{ on } A^n \text{ via } \rho_f \text{ preserves } \text{Fil}^\bullet\}.$$

Proposition 3.3. $\mathcal{G}_{\underline{n}}$ is represented by a closed subscheme of $\text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}$.

Proof. It suffices to show that for any $A \in \text{Alg}_{\mathcal{O}_L}$ and any A -point of $\text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}$, there exists an ideal $I \subseteq A$ and a map $\text{Alg}_{\mathcal{O}_L}(A/I, -) \rightarrow \mathcal{G}_{\underline{n}}$ such that

$$\begin{array}{ccc} \text{Alg}_{\mathcal{O}_L}(A/I, -) & \longrightarrow & \mathcal{G}_{\underline{n}} \\ \downarrow & & \downarrow \\ \text{Alg}_{\mathcal{O}_L}(A, -) & \longrightarrow & \text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}} \end{array}$$

is Cartesian.

Fix an A -point of $\text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}$, which gives a pair (f, Fil^\bullet) as before. Then since Fil^\bullet is a filtration of direct summands, we can fix complementary A -submodules $N_i \subset A^n$ such that $\text{Fil}^i \oplus N_i = A^n$. These come with surjective projection maps $A^n \xrightarrow{\pi_i} N_i$.

We can now define the ideal $I \subseteq A$ generated by the coefficients of $\pi_i \rho_f(g)v$ with respect to the standard basis of A^n for all $g \in G_K$ and all $v \in \text{Fil}^i$, for each $i = 1, \dots, r$. If

we write

$$\rho_{f,I} : G_K \xrightarrow{\rho_f} \mathrm{GL}_n(A) \rightarrow \mathrm{GL}_n(A/I)$$

then $(R_\rho^\square \xrightarrow{f} A \rightarrow A/I, \mathrm{Fil}^\bullet \otimes_A A/I)$ is an A/I -point of $\mathcal{G}_{\underline{n}}$ (because $\rho_{f,I}$ is now forced to fix $\mathrm{Fil}^\bullet \otimes_A A/I$), which thus gives us the desired map $\mathrm{Alg}_{\mathcal{O}_L}(A/I, -) \rightarrow \mathcal{G}_{\underline{n}}$. Now given a diagram

$$\begin{array}{ccc} F & \xrightarrow{\quad \exists! \quad} & \mathrm{Alg}_{\mathcal{O}_L}(A/I, -) \longrightarrow \mathcal{G}_{\underline{n}} \\ & \searrow & \downarrow \qquad \downarrow \\ & & \mathrm{Alg}_{\mathcal{O}_L}(A, -) \longrightarrow \mathrm{Spec} R_\rho^\square \times \mathcal{F}_{\underline{n}} \end{array}$$

one checks easily that we get a unique map $F \rightarrow \mathrm{Alg}_{\mathcal{O}_L}(A/I, -)$. ■

Definition 3.4. For a positive integer m , denote by $P(m)$ the finite set of ordered partitions (viewed as ordered tuples) of the integer m . If $\underline{m} = (m_1, \dots, m_k)$ is a k -tuple of integers for $k \geq 1$, then let $P(\underline{m})$ be the image of the natural concatenation map

$$P(m_1) \times \dots \times P(m_k) \rightarrow P(m_1 + \dots + m_k).$$

Finally, let $P(\underline{m})^\circ = P(\underline{m}) \setminus \{\underline{m}\}$ and $P(m)^\circ = P(m) \setminus \{(m)\}$.

Lemma 3.5. If $\underline{n}' \in P(\underline{n})^\circ$, then the natural map $\mathcal{G}_{\underline{n}'} \rightarrow \mathcal{G}_{\underline{n}}$ (taking a filtration of shape \underline{n}' and only remembering that it gives a filtration of shape \underline{n}) is proper.

Proof. The partial flag varieties $\mathcal{F}_{\underline{n}'}$ and $\mathcal{F}_{\underline{n}}$ are proper over $\mathrm{Spec} \mathcal{O}_L$, so the map $\mathcal{F}_{\underline{n}'} \rightarrow \mathcal{F}_{\underline{n}}$ is proper. We want the top arrow in the following diagram (which is not Cartesian!) to be proper:

$$\begin{array}{ccc} \mathcal{G}_{\underline{n}'} & \longrightarrow & \mathcal{G}_{\underline{n}} \\ \downarrow & & \downarrow \\ \mathrm{Spec} R_\rho^\square \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}'} & \xrightarrow{\text{proper}} & \mathrm{Spec} R_\rho^\square \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}} \end{array}$$

But all of the other arrows are proper, so the top is as well. ■

Putting together these maps, we obtain a map

$$\bigsqcup_{\underline{n}' \in P(\underline{n})^\circ} \mathcal{G}_{\underline{n}'} \rightarrow \mathcal{G}_{\underline{n}}.$$

Since each $\mathcal{G}_{\underline{n}'} \rightarrow \mathcal{G}_{\underline{n}}$ is closed (by properness), and the disjoint union is taken over a finite set, the (set-theoretic) image of this map is closed: denote by $\mathcal{G}_{\underline{n}}^{\text{irr}}$ its open complement with the natural subscheme structure.

Remark 3.6. To motivate this definition, note that $\mathcal{G}_{\underline{n}}^{\text{irr}}$ should parametrize pairs (f, Fil^\bullet) where the induced representation ρ_f fixes Fil^\bullet but does not fix any finer filtration in $\mathcal{F}_{\underline{n}'}$ for $\underline{n}' \in P(\underline{n})^\circ$ after base changing to an algebraic closure: in fact, $\mathcal{G}_{\underline{n}}^{\text{irr}}$ represents the functor that takes an \mathcal{O}_L -algebra A to the set of pairs (f, Fil^\bullet) such that ρ_f fixes Fil^\bullet and such that for all geometric points \bar{s} of $\text{Spec } A$, the representation $\rho_{f, \bar{s}}$ does not fix any filtration strictly refining Fil_s^\bullet .

Finally, consider the map

$$\bigsqcup_{\underline{n} \in P(n)^\circ} \mathcal{G}_{\underline{n}}^{\text{irr}} \rightarrow \bigsqcup_{\underline{n} \in P(n)^\circ} \mathcal{G}_{\underline{n}} \rightarrow \bigsqcup_{\underline{n} \in P(n)^\circ} (\text{Spec } R_{\bar{\rho}}^\square \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}}) \rightarrow \text{Spec } R_{\bar{\rho}}^\square.$$

After passing to the generic fiber, we obtain a map

$$\bigsqcup_{\underline{n} \in P(n)^\circ} \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L] \rightarrow X_{\bar{\rho}}^\square.$$

The image is closed; to see this note the image is the same as the image of

$$\bigsqcup_{\underline{n} \in P(n)^\circ} \mathcal{G}_{\underline{n}}[1/\varpi_L] \rightarrow X_{\bar{\rho}}^\square,$$

(this follows from the moduli description of $\mathcal{G}_{\underline{n}}^{\text{irr}}$ given in Remark 3.6) and then note that $\mathcal{G}_{\underline{n}} \rightarrow \text{Spec } R_{\bar{\rho}}^\square \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}} \rightarrow \text{Spec } R_{\bar{\rho}}^\square$ is proper. So the scheme-theoretic image is a closed subscheme $X_{\bar{\rho}}^{\square, \text{red}} \subset X_{\bar{\rho}}^\square$. Note furthermore, that since $R_{\bar{\rho}}^\square$ is excellent (as it is complete local Noetherian) the singular locus $X_{\bar{\rho}}^{\square, \text{sing}} \subset X_{\bar{\rho}}^\square$ is closed. In fact,

Corollary 3.7. $X_{\bar{\rho}}^{\square, \text{sing}} \subseteq X_{\bar{\rho}}^{\square, \text{red}}.$

Proof. Grothendieck and Dieudonné [20, Corollaire 10.5.9 and Proposition 10.3.2] imply that $X_{\bar{\rho}}^{\square}$ is a Jacobson scheme so it again follows from Proposition 10.3.2 that the closed subset $X_{\bar{\rho}}^{\square, \text{sing}}$ is Jacobson, which implies that it suffices to show that singular closed points are contained in $X_{\bar{\rho}}^{\square, \text{red}}$. So pick $x \in X_{\bar{\rho}}^{\square}$ that is a singular closed point. By Proposition 3.2, ρ_x stabilizes a flag $\text{Fil}_x^{\bullet} \in \mathcal{F}_{\underline{n}}(\kappa(\bar{x}))$ of some shape determined by $\underline{n} \in P(n)^{\circ}$ and we can assume that \underline{n} is minimal for this property (extending to a larger algebraically closed field will not affect minimality), so we get a point $(f_{\bar{x}}, \text{Fil}_x^{\bullet}) \in \mathcal{G}_{\underline{n}}^{\text{irr}}(\kappa(\bar{x}))$, where f_x is the map to the algebraic closure of the residue field. Thus, ρ_x is in the image of the map $\mathcal{G}_{\underline{n}}^{\text{irr}}[1/\pi_L] \rightarrow X_{\bar{\rho}}^{\square}$. ■

Therefore,

$$\dim X_{\bar{\rho}}^{\square, \text{sing}} \leq \dim X_{\bar{\rho}}^{\square, \text{red}} \leq \max_{\underline{n} \in P(n)^{\circ}} \dim \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L],$$

so in the remainder of this section, we will bound the dimension of each $\mathcal{G}_{\underline{n}}^{\text{irr}}$: later, in Proposition 3.10, we will see why we need to restrict to $\mathcal{G}_{\underline{n}}^{\text{irr}}$ inside $\mathcal{G}_{\underline{n}}$.

3.2 Dimension counting

To compute the dimension of $\mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L]$, we can compute

$$\max_{x \in \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L] \text{ closed}} \dim \mathcal{O}_{\mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L], x} = \max_{x \in \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L] \text{ closed}} \dim \mathcal{O}_{\mathcal{G}_{\underline{n}}, x} = \max_{x \in \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L] \text{ closed}} \dim \widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}}, x}.$$

We showed in Lemma 3.1 that the residue field of a closed point $x \in X_{\bar{\rho}}^{\square}$ is a finite extension of L . Given a closed point $x \in \mathcal{G}_{\underline{n}}^{\text{irr}}[1/\varpi_L]$, what can we say about its residue field? The map

$$\mathcal{G}_{\underline{n}}[1/\varpi_L] \hookrightarrow (\text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}})[1/\pi_L] \rightarrow X_{\bar{\rho}}^{\square}$$

is locally of finite type, so by [20, Corollaire 10.4.7], the image of x in $X_{\bar{\rho}}^{\square}$ is a closed point, and thus we can take the field of definition F of x to be a finite extension of the residue field of its image in $X_{\bar{\rho}}^{\square}$, which is in turn a finite extension of L . Note

$$\mathcal{G}_{\underline{n}} \hookrightarrow \text{Spec } R_{\bar{\rho}}^{\square} \times_{\mathcal{O}_L} \mathcal{F}_{\underline{n}} \rightarrow \text{Spec } R_{\bar{\rho}}^{\square}$$

is proper, so we apply the valuative criterion of properness to the diagram

$$\begin{array}{ccc} \mathrm{Spec} F & \xrightarrow{x} & \mathcal{G}_{\underline{n}} \\ \downarrow & \nearrow & \downarrow \\ \mathrm{Spec} \mathcal{O}_F & \longrightarrow & \mathrm{Spec} R_{\bar{\rho}}^{\square} \end{array}$$

to get a lift $x : \mathrm{Spec} \mathcal{O}_F \rightarrow \mathcal{G}_{\underline{n}}$ (by abuse of notation we call both points x). But this corresponds to some map $f_x : R_{\bar{\rho}}^{\square} \rightarrow \mathcal{O}_F$ and some $\mathrm{Fil}_x^{\bullet} \in \mathcal{F}_{\underline{n}}(\mathcal{O}_F)$. Note f_x induces a representation

$$\rho_x : G_K \rightarrow \mathrm{GL}_n(\mathcal{O}_F).$$

We also get a map

$$\mathrm{Spec} \widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}},x} \rightarrow \mathrm{Spec} \mathcal{O}_{\mathcal{G}_{\underline{n}},x} \rightarrow \mathcal{G}_{\underline{n}},$$

which determines a representation $\widehat{\rho}_x : G_K \rightarrow \mathrm{GL}_n(\widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}},x})$ and a filtration $\widehat{\mathrm{Fil}}_x^{\bullet} \in \mathcal{F}_{\underline{n}}(\widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}},x})$.

Let Art_F denote the category whose objects are local Artinian F -algebras A together with a surjective reduction map $A \twoheadrightarrow F$, and whose morphisms are local homomorphisms $A \rightarrow B$ respecting the reduction maps to F . Now let $D_{\rho_x, \underline{n}}^{\square} : \mathrm{Art}_F \rightarrow \mathrm{Set}$ be the functor taking B to the set of pairs $(\rho, \mathrm{Fil}^{\bullet})$ of continuous $\rho : G_K \rightarrow \mathrm{GL}_n(B)$ lifting ρ_x and $\mathrm{Fil}^{\bullet} \in \mathcal{F}_{\underline{n}}(B)$ lifting Fil_x^{\bullet} such that ρ preserves Fil^{\bullet} .

Proposition 3.8. $D_{\rho_x, \underline{n}}^{\square}$ is pro-representable by $\widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}},x}$ with the universal representation $\widehat{\rho}_x$ and universal filtration $\widehat{\mathrm{Fil}}_x^{\bullet}$.

Before proving the proposition, we note a lemma.

Lemma 3.9. If E/L is a finite extension, then the universal framed deformation problem $D_{\bar{\rho}_E}^{\square} : \mathrm{Art}_{\mathcal{O}_E} \rightarrow \mathrm{Set}$ for the trivial representation $\bar{\rho}_E : G_K \rightarrow \mathrm{GL}_n(k_E)$ is pro-represented by $R_{\bar{\rho}}^{\square} \otimes_{\mathcal{O}_L} \mathcal{O}_E$. In particular, a lift of $\bar{\rho}_E$ to $A \in \mathrm{Art}_{\mathcal{O}_E}$ is given by a unique \mathcal{O}_E -algebra map $R_{\bar{\rho}}^{\square} \otimes_{\mathcal{O}_L} \mathcal{O}_E \rightarrow A$ that descends uniquely to an \mathcal{O}_L -algebra map $R_{\bar{\rho}}^{\square} \rightarrow A$.

Proof. In this case, one can see the 1st part by, for example, looking at Proposition 2.5 and comparing the deformation rings. The 2nd part is clear from the fact that $R_{\bar{\rho}}^{\square} \otimes_{\mathcal{O}_L} \mathcal{O}_E \rightarrow A$ is an \mathcal{O}_E -algebra map. ■

Proof of Proposition 3.8. Given an F -algebra map $\widehat{\mathcal{O}}_{\mathcal{G}_n, x} \rightarrow B$, we can push forward $\widehat{\rho}_x$ and $\widehat{\text{Fil}}_x^\bullet$ to get a pair $(\rho, \text{Fil}^\bullet) \in D_{\rho_x, \underline{n}}^\square(B)$.

Conversely, suppose we are given $(\rho, \text{Fil}^\bullet) \in D_{\rho_x, \underline{n}}^\square(B)$. The idea is to try to use universality of R_ρ^\square , but B is an F -algebra and not a \mathcal{O}_F -algebra, so we cannot immediately reduce mod \mathfrak{m}_F . But in fact, we can first show that ρ factors through a finitely generated local \mathcal{O}_F -subalgebra $A \subset B$ with a surjective map onto \mathcal{O}_F : this is exactly Kisin's argument in [25, Proposition 9.5].

If we take the composition $G_K \xrightarrow{\rho} \text{GL}_n(A) \rightarrow \text{GL}_n(A/\mathfrak{m}_A)$ we get ρ_x . But note $R_\rho^\square \xrightarrow{f_x} \mathcal{O}_F$ is a local homomorphism, so commutativity of

$$\begin{array}{ccc} & & \text{GL}_n(A) \\ & \nearrow & \downarrow \\ \text{GL}_n(R_\rho^\square) & \xrightarrow{\rho_x} & \text{GL}_n(\mathcal{O}_F) \\ \downarrow & & \downarrow \\ \text{GL}_n(k_L) & \hookrightarrow & \text{GL}_n(k_F) \end{array}$$

shows that ρ reduces to the trivial representation valued in k_F . By Lemma 3.9, ρ is induced by a local \mathcal{O}_L -algebra map $a : R_\rho^\square \rightarrow A$.

Suppose we have a different $a' : R_\rho^\square \rightarrow B$ inducing ρ . Then $R_\rho^\square \xrightarrow{a'} B \rightarrow B/\mathfrak{m}_B = F$ is f_x and thus factors through \mathcal{O}_F . The aforementioned argument of Kisin in [25] also implies in this case that a' factors through a finitely generated \mathcal{O}_F -subalgebra $A' \subset B$, which we can take large enough so that it contains A . Then by universality of $R_\rho^\square \otimes_{\mathcal{O}_L} \mathcal{O}_F$, we have $a = a'$.

The map $a : R_\rho^\square \rightarrow B$ specializes to f_x under the reduction map $B \twoheadrightarrow F$. Similarly Fil^\bullet specializes to Fil_x^\bullet , so in other words, we have constructed a B -point of \mathcal{G}_n that specializes to x . Thus, we get a map

$$\mathcal{O}_{\mathcal{G}_n, x} \rightarrow B$$

that factors through the completion, since B is complete. ■

To compute the dimension of $\widehat{\mathcal{O}}_{\mathcal{G}_n, x}$, we can find another object representing $D_{\rho_x, \underline{n}}^\square$ whose dimension can be computed explicitly. Note $D_{\rho_x, \underline{n}}^\square$ contains data about lifting representations, but also data about lifting filtrations, and we can consider these

separately, essentially by picking a basis (which we do by fixing a parabolic determined by a fixed element of \mathcal{F}_n).

Let P denote the parabolic subgroup of $\mathrm{GL}_{n,F}$ corresponding to Fil_x^\bullet , so that ρ_x naturally lands in $P(F)$. Let \mathfrak{p} denote the Lie algebra of P , which naturally comes equipped with a G_K -action via the adjoint action of $P(F)$ on \mathfrak{p} : in other words $\sigma \cdot M = \rho_x(\sigma)M\rho_x(\sigma)^{-1}$.

We define a functor

$$D_{\rho_x, P}^\square : \mathrm{Art}_F \rightarrow \mathrm{Set}, B \mapsto \{\rho : G_K \xrightarrow{\mathrm{cts}} P(B) : \rho \text{ lifts } \rho_x\}$$

parametrizing P -deformations of ρ_x to local Artinian F -algebras with residue field F .

Proposition 3.10. The deformation problem $D_{\rho_x, P}^\square$ is pro-represented by a complete local Noetherian F -algebra $R_{\rho_x, P}^\square$ such that

$$\dim R_{\rho_x, P}^\square \leq (d+1)(\dim \mathfrak{p}) + (n^2 - \dim \mathfrak{p}).$$

Proof. The existence of $R_{\rho_x, P}^\square$ is standard and follows from (for example) a slightly modified version of [3, Proposition 1.3.1], replacing GL_n with P . The tangent space of $D_{\rho_x, P}^\square$ consists of the $F[\epsilon]/(\epsilon^2)$ -points of $D_{\rho_x, P}^\square$. A standard argument shows that any such lift $\rho \in D_{\rho_x, P}^\square(F[\epsilon]/(\epsilon^2))$ can be written uniquely as $\sigma \mapsto (1 + c(\sigma)x)\rho_x(\sigma)$ for some continuous 1-cocycle $c : G_K \rightarrow \mathfrak{p}$. Therefore, the tangent space at the closed point has dimension $\dim Z^1(G_K, \mathfrak{p})$, and another standard argument says that $R_{\rho_x, P}^\square$ can be written as a quotient of a power series ring over F in $\dim Z^1(G_K, \mathfrak{p})$ variables. But we have

$$\begin{aligned} \dim Z^1(G_K, \mathfrak{p}) &= \dim H^1(G_K, \mathfrak{p}) + \dim B^1(G_K, \mathfrak{p}) \\ &= \dim H^1(G_K, \mathfrak{p}) + (\dim \mathfrak{p} - \dim \mathfrak{p}^{G_K}) \\ &= \dim H^1(G_K, \mathfrak{p}) + \dim \mathfrak{p} - \dim H^0(G_K, \mathfrak{p}) \\ &= (d+1) \dim \mathfrak{p} + \dim H^2(G_K, \mathfrak{p}) \end{aligned}$$

by the local Euler characteristic formula: here Z^1 denotes 1-cocycles and B^1 denotes 1-coboundaries.

It now suffices to bound $\dim H^2(G_K, \mathfrak{p})$, which by local Tate duality is $\dim H^0(G_K, \mathfrak{p}^\vee \otimes \epsilon)$. If \mathfrak{n}_P is the Lie algebra of the unipotent radical of P , then we have

short exact sequence of $F[G_K]$ -modules

$$0 \rightarrow \mathfrak{n}_P \rightarrow \mathfrak{p} \rightarrow \mathfrak{l}_P \rightarrow 0,$$

where \mathfrak{l}_P is the Levi quotient. Dualizing, twisting by the cyclotomic character ϵ , and taking the associated G_K -cohomology long exact sequence, we get

$$0 \rightarrow H^0(G_K, \mathfrak{l}_P^\vee \otimes \epsilon) \rightarrow H^0(G_K, \mathfrak{p}^\vee \otimes \epsilon) \rightarrow H^0(G_K, \mathfrak{n}_P^\vee \otimes \epsilon) \rightarrow \cdots.$$

Note $\dim H^0(G_K, \mathfrak{n}_P^\vee \otimes \epsilon) \leq \dim \mathfrak{n}_P = n^2 - \dim \mathfrak{p}$, so we are done if we can show that

$$H^0(G_K, \mathfrak{l}_P^\vee \otimes \epsilon) = 0.$$

Since $x \in \mathcal{G}_n^{\text{irr}}(F)$, we can find a basis respecting Fil_x^\bullet in which

$$\rho_x \cong \begin{pmatrix} \alpha_1 & * & * \\ & \ddots & * \\ & & \alpha_m \end{pmatrix}$$

for some (absolutely) irreducible representations α_i of dimension n_i . One can compute that \mathfrak{l}_P is isomorphic, as a G_K -representation, to $\bigoplus_{i=1}^m \mathfrak{gl}_{n_i}$, where each \mathfrak{gl}_{n_i} is the Lie algebra of $\text{GL}_{n_i}(F)$ equipped with the G_K -action induced by $G_K \xrightarrow{\alpha_i} \text{GL}_{n_i}(F) \xrightarrow{\text{ad}} \text{GL}(\mathfrak{gl}_{n_i})$. Thus, we have an isomorphism of G_K -representations

$$\mathfrak{l}_P^\vee \otimes \epsilon \cong \bigoplus_{i=1}^m (\mathfrak{gl}_{n_i}^\vee \otimes \epsilon),$$

and we conclude that

$$H^0(G_K, \mathfrak{l}_P^\vee \otimes \epsilon) = \bigoplus_{i=1}^m H^0(G_K, \mathfrak{gl}_{n_i}^\vee \otimes \epsilon) = \bigoplus_{i=1}^m \text{Hom}_{F[G_K]}(\alpha_i, \alpha_i \otimes \epsilon) = 0,$$

where the last equality follows from the fact that the α_i are irreducible and $\alpha_i \not\cong \alpha_i \otimes \epsilon$ (e.g., they have nonisomorphic determinant). \blacksquare

Now define a functor

$$D_{\text{Fil}_x^\bullet} : \mathbf{Art}_F \rightarrow \mathbf{Set}, B \mapsto \{\text{lifts of } \text{Fil}_x^\bullet \text{ in } B^n\}.$$

This is represented by the completed local ring $\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet}$, which is isomorphic to a power series ring over F in $n^2 - \dim \mathfrak{p}$ variables (the flag variety is smooth and isomorphic to $\text{GL}_{n,F}/P$). Let $\widehat{\text{Fil}}_x^\bullet \in D_{\text{Fil}_x^\bullet}(\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet})$ denote the universal filtration for this deformation problem. Each $\widehat{\text{Fil}}_x^i$ is free since $\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet}$ is local, and moreover there exists some $\varphi \in \text{GL}_n(\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet})$ such that

$$\widehat{\text{Fil}}_x^\bullet = \varphi(\text{Fil}_x^\bullet \otimes_F \widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet}),$$

and such that φ reduces to the identity map mod the maximal ideal of $\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet}$.

Proposition 3.11. There is an isomorphism of functors

$$D_{\rho_x, \underline{n}}^\square = D_{\rho_x, P}^\square \times D_{\text{Fil}_x^\bullet}.$$

Proof. Fix a point $(\rho, \text{Fil}^\bullet) \in D_{\rho_x, \underline{n}}^\square(A)$ lifting $(\rho_x, \text{Fil}_x^\bullet)$ so that ρ fixes Fil^\bullet . The filtration Fil^\bullet is induced by a map $\widehat{\mathcal{O}}_{\mathcal{F}_{\underline{n}}, \text{Fil}_x^\bullet} \rightarrow A$, and if we push forward φ along this map then we get some $\varphi_A \in \text{GL}_n(A)$ such that $\text{Fil}^\bullet = \varphi_A(\text{Fil}_x^\bullet \otimes_F A)$, and such that φ_A reduces to 1 mod \mathfrak{m}_A . Therefore, $\varphi_A^{-1} \rho \varphi_A$ fixes Fil_x^\bullet and lands in $P(A)$. Then the map $D_{\rho_x, \underline{n}}^\square \rightarrow D_{\rho_x, P}^\square \times D_{\text{Fil}_x^\bullet}$ given by $(\rho, \text{Fil}^\bullet) \mapsto (\varphi_A^{-1} \rho \varphi_A, \text{Fil}^\bullet)$ is a functorial bijection, with inverse $(\rho, \text{Fil}^\bullet) \mapsto (\varphi_A \rho \varphi_A^{-1}, \text{Fil}^\bullet)$. ■

Corollary 3.12. The ring $\widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}}, x}$ is isomorphic to a power series ring over $R_{\rho_x, P}^\square$ in $n^2 - \dim \mathfrak{p}$ variables.

Now we can simply compute. Recall that we assumed $x \in \mathcal{G}_{\underline{n}}^{\text{irr}}$.

Proposition 3.13. The ring $\widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}}, x}$ satisfies

$$\dim \widehat{\mathcal{O}}_{\mathcal{G}_{\underline{n}}, x} \leq (d+1)(\dim \mathfrak{p}) + 2(n^2 - \dim \mathfrak{p}).$$

Proof. This follows from Proposition 3.10 and Corollary 3.12. ■

The upshot is the following theorem.

Theorem 3.14. Assume $q > 2$. Then X_{ρ}^\square is regular in codimension 1. In particular, since it is Cohen–Macaulay, X_{ρ}^\square is normal (by Serre’s criterion for normality).

Proof. We have shown that

$$\dim X_{\bar{\rho}}^{\square, \text{sing}} \leq \max_{\underline{n} \in P(n)^{\circ}} \dim \mathcal{G}_{\underline{n}}^{\text{irr}} \leq \max_{\underline{n} \in P(n)^{\circ}} (d+1)(\dim \mathfrak{p}_{\underline{n}}) + 2(n^2 - \dim \mathfrak{p}_{\underline{n}}),$$

where $\mathfrak{p}_{\underline{n}}$ is the Lie algebra of a parabolic $P_{\underline{n}}$ of shape determined by \underline{n} . But note that $\dim X_{\bar{\rho}}^{\square} = n^2(d+1)$ by Proposition 2.9, so the singular locus has codimension

$$\dim X_{\bar{\rho}}^{\square} - \dim X_{\bar{\rho}}^{\square, \text{sing}} \geq \min_{\underline{n} \in P(n)^{\circ}} (d-1)(n^2 - \dim \mathfrak{p}_{\underline{n}}),$$

which is strictly bigger than 1 whenever $d > 2$. If our bound is exactly 1, then we must have $d = 2$. This means that either $p = 2$ and $K = \mathbf{O}_2(\mu_4)$, or $p = 3$ and $K = \mathbf{O}_3(\mu_3)$. In either case we must have $n = 2$.

One can still prove the theorem in these cases, but it requires a slight modification, so we say a few words about how to do this. By [14, Proposition 4.2], if $x \in X_{\bar{\rho}}^{\square}(F)$ is a singular closed point, there is an exact sequence $0 \rightarrow \delta \rightarrow \rho_x \rightarrow \delta \otimes \epsilon \rightarrow 0$. In other words, ρ_x fixes some full flag $0 \subset \text{Fil}^1 \subset \text{Fil}^2 = F^2$, and $\rho_x|_{\text{Fil}^1} \otimes \epsilon = \rho_x|_{\text{Fil}^2/\text{Fil}^1}$. So we can leverage this to get a sharper bound on $\dim H^2(G_K, \mathfrak{p})$ in Proposition 3.10.

First of all, proper parabolics are Borels, so

$$\bigsqcup_{\underline{n} \in P(2)^{\circ}} \mathcal{G}_{\underline{n}}^{\text{irr}} = \mathcal{G}_{(1,1)}.$$

We can define a closed subspace $\mathcal{G}_{(1,1)}^{\epsilon} \subset \mathcal{G}_{(1,1)}$.

$$\mathcal{G}_{(1,1)}^{\epsilon}(A) = \{(f, \text{Fil}^{\bullet}) \in \mathcal{G}_{(1,1)} : \rho_f|_{\text{Fil}^1} = \rho_f|_{\text{Fil}^2/\text{Fil}^1} \otimes \epsilon\}.$$

By the discussion above, the scheme theoretic image of $\mathcal{G}_{(1,1)}^{\epsilon}[1/\varpi_L] \rightarrow X_{\bar{\rho}}^{\square}$ still contains $X_{\bar{\rho}}^{\square, \text{sing}}$. Then if B is the Borel corresponding to Fil^{\bullet} , one can do a direct matrix computation and show that $H^0(G_K, \mathfrak{n}_B^{\vee} \otimes \epsilon) = 0$, which implies that $H^2(G_K, \mathfrak{b}) = 0$ where \mathfrak{b} is the Lie algebra of B . Thus, $\dim X_{\bar{\rho}}^{\square} = 12$, but the singular locus is at most 10-dimensional. ■

4 Irreducible Components

The goal of this section is to prove that the irreducible components of $X_{\bar{\rho}}^{\square}$ are exactly parametrized by the q th roots of unity.

To see why this might be true, notice that the equation

$$\tilde{X}_1^q[\tilde{X}_1, \tilde{X}_2] \cdots [\tilde{X}_{d+1}, \tilde{X}_{d+2}] = I \quad (1)$$

implies that $\det(\tilde{X}_1)^q = 1$ in $R_{\bar{\rho}}^{\square}[1/\varpi_L]$ and thus induces a map

$$\pi : X_{\bar{\rho}}^{\square} \rightarrow \mu_{q,L} := \operatorname{Spec} L[x]/(x^q - 1).$$

Recall $|\mu_{p^\infty}(L)| \geq q$, so $\mu_{q,L}$ is just the disjoint union of q copies of $\operatorname{Spec} L$, one for each q th root of unity in L . Since the image of any connected component of $X_{\bar{\rho}}^{\square}$ is connected, it must be sent to one of these points. Thus, for each point $\zeta \in \mu_{q,L}$, $X_{\zeta} := X_{\bar{\rho}}^{\square} \times_{\mu_{q,L}, \zeta} \operatorname{Spec} L$ is a union of connected components of $X_{\bar{\rho}}^{\square}$. In fact, we will show that Equation (1) provides enough leverage to connect every pair of points in X_{ζ} , and thus to conclude that X_{ζ} is connected. Thus, the goal is to prove the following.

Theorem 4.1. Under the assumptions of Theorem 1.4, the space $X_{\bar{\rho}}^{\square}$ breaks into the union of q connected (and therefore irreducible, since $X_{\bar{\rho}}^{\square}$ is normal) components

$$X_{\bar{\rho}}^{\square} = \bigsqcup_{\zeta \in \mu_q(L)} X_{\zeta}.$$

Remark 4.2. As mentioned in the introduction, the assumption that $p > n$ is a hypothesis that we hope to be able to remove; for now, we do not see an easy way to deal with the extra technicalities that arise.

4.1 Connectedness

Now fix a q th root of unity $\zeta \in L$. In [14], the notion of arc-connectedness between points is introduced to prove that a scheme is connected. The idea is that for any two closed points $x_0, x_1 \in X_{\zeta}(F)$ (where F/L is a finite extension) one should find a path from x_0 to x_1 by exhibiting $x_0 = x(0)$ and $x_1 = x(1)$ for some point $x \in X_{\zeta}(T_F)$, where T_F is the Tate algebra in one variable over F . From the rigid analytic viewpoint, this amounts to connecting x_0 and x_1 via a path parametrized by a closed unit disk.

More generally, suppose $x_0, x_1 \in X_{\zeta}(F)$ are two closed points. If we can find a connected L -scheme Y and a map $Y \rightarrow X_{\zeta}$ whose image contains both x_0 and x_1 then they are contained in the same connected component of X_{ζ} .

There are two examples of Y (as in the definition) that we will use.

- In [14] the following example is used: let T_F denote the Tate algebra in one variable, which is the subring of $F[[t]]$ consisting of power series $\sum_n c_n t^n$ for which $|c_n| \rightarrow 0$ as $n \rightarrow \infty$, equipped with the sup norm taken over the coefficients. We then take $Y = \operatorname{Spec} T_F$. As mentioned before, this is essentially the method of connecting the two points in the associated rigid analytic space attached to X_ζ via the rigid closed unit disk.
- Consider the following affinoid version of GL_n :

$$\mathcal{O}_{\operatorname{GL}_n, F} = (\mathcal{O}_F[x_{ij}]_{i,j=1,\dots,n}, b]_{\mathfrak{m}_F}^\wedge / (\det(x_{ij})b - 1)[1/\varpi_F].$$

We then take $Y = \operatorname{Spec} \mathcal{O}_{\operatorname{GL}_n, F}$. Note that $Y(F) = \operatorname{GL}_n(\mathcal{O}_F)$ and thus the $\operatorname{GL}_n(\mathcal{O}_F)$ -orbit of an F -valued deformation (which is essentially given by some tuple of invertible matrices and here $\operatorname{GL}_n(\mathcal{O}_F)$ acts by conjugating such a tuple) is contained in a single connected component.

The rest of this section will be devoted to proving the following proposition.

Proposition 4.3. For any finite extension F/L , any two closed points in $X_\zeta(F)$ are contained in the same connected component of X_ζ .

We note the following corollary.

Proof. of Theorem 4.1 If X_ζ is not connected, then since X_ζ is Jacobson, we can find two *closed* points $x, y \in X_\zeta(F)$ living on different connected components, where F/L can be taken to be finite by Lemma 3.1. The result then follows immediately from Proposition 4.3. ■

4.2 Restriction to a closed subspace

For F/L a finite extension, an F -point of X_ζ is the data of a tuple $(M_1, \dots, M_{d+2}) \subset 1 + \operatorname{Mat}_n(\mathfrak{m}_F)$ satisfying the equations

$$M_1^q[M_1, M_2] \cdots [M_{d+1}, M_{d+2}] = I, \det(M_1) = \zeta.$$

It is difficult to get any useful intuition for this equation in its full form, so it is helpful to first restrict to a certain nicely chosen closed subspace of X_ζ whose F -points satisfy a more useful equation. To see that this suffices, we note the following fact.

Proposition 4.4 ([14, Proposition 5.1]). Suppose A is a Cohen–Macaulay Noetherian local ring and x_1, \dots, x_k, x is a regular sequence in A . Then every irreducible component of $\operatorname{Spec} A[1/x]$ meets the closed subset $\operatorname{Spec} A/(x_1, \dots, x_k)[1/x]$.

In the proof of Proposition 2.9 we showed that the coefficients of (X_3, \dots, X_{d+2}) (in any order) along with ϖ_L form a regular sequence in $R_{\bar{\rho}}^{\square}$, so in particular, we want to consider the closed subspace $V \subset X_{\bar{\rho}}^{\square}$ defined by

$$V = \operatorname{Spec} R_{\bar{\rho}}^{\square}/(X_3, \dots, X_{d+2})[1/\varpi_L].$$

Let $V_{\zeta} = X_{\zeta} \times_{X_{\bar{\rho}}^{\square}} V$.

Corollary 4.5. Fix a finite extension F/L . If any two closed points in $V_{\zeta}(F)$ are contained in the same connected component of X_{ζ} , then any two closed points in $X_{\zeta}(F)$ are contained in the same connected component of X_{ζ} .

Proof. This is implied by Proposition 4.4, as follows: let x_0, x_1 denote two closed points in $X_{\zeta}(F)$ and let Z_0 and Z_1 denote the connected component of X_{ζ} containing them, respectively. Note these are also connected components of $X_{\bar{\rho}}^{\square}$. By normality of $X_{\bar{\rho}}^{\square}$ and Proposition 4.4, Z_0 and Z_1 both meet $V \cap X_{\zeta} = V_{\zeta}$. Note Z_0 and Z_1 are both connected, so we can replace x_0, x_1 with some closed (note V_{ζ} is Jacobson) points $v_0, v_1 \in V_{\zeta}(F)$, after possibly extending F by a finite extension. But these are contained in the same connected component by assumption. ■

In the remainder of the section, we show that any two points in $V_{\zeta}(F)$ are contained in the same connected component of X_{ζ} . To do this, we connect every point in $V_{\zeta}(F)$ to the point corresponding to

$$M_1 = \operatorname{diag}(\zeta, 1, \dots, 1), M_2 = \dots = M_{d+2} = I.$$

4.3 Constructing paths

Fix a point $x \in V_{\zeta}(F)$ for some finite extension F/L . This is the same as giving a pair $M_1, M_2 \in 1 + \operatorname{Mat}_n(\mathfrak{m}_F)$ such that

$$M_2 M_1 M_2^{-1} = M_1^{q+1}$$

(all other M_i are equal to I in this subspace). Enlarge F if needed so that F contains every eigenvalue of M_1 . The equation above implies that the $(q+1)$ -power map from the set of eigenvalues of M_1 to itself is a bijection, so if λ is an eigenvalue of M_1 , then there exists some a in the range $[1, n]$ such that $\lambda^{(q+1)^a} = \lambda$. In other words, $\lambda^{q(qm+a)} = 1$ for some positive integer m . So λ^q is a $(qm+a)$ th root of unity that reduces to 1 mod \mathfrak{m}_F , but $p > n$ and thus $p \nmid a$, so actually $\lambda^q = 1$ by Hensel's lemma and the fact that $\gcd(qm+a, p) = 1$.

Remark 4.6. It is the possibility of the eigenvalues being higher order p -power roots of unity that forces us, for the time being, to use the assumption that $p > n$. We hope to be able to find another argument that works for higher order eigenvalues of M_1 .

Proposition 4.7. The point $x \in V_\zeta(F)$ is in the same connected component of X_ζ as the point defined by $(\text{diag}(\lambda_1, \dots, \lambda_n), I)$, where the λ_i is some ordering of the eigenvalues of M_1 .

Proof. We regard M_1, M_2 as elements in $\text{GL}(F^n)$. Since the eigenvalues of M_1 are contained in $\mu_q(F)$, we have a decomposition into generalized eigenspaces

$$F^n = \bigoplus_{\lambda \in \mu_q(F)} W_\lambda.$$

For any eigenvalue $\lambda \in \mu_q(F)$, consider the filtration $\text{Fil}_\lambda^\bullet$ defined by

$$0 \subset \text{Fil}_\lambda^1 = \ker(X_1 - \lambda)^1 \subset \dots \subset \text{Fil}_\lambda^{m_\lambda} = \ker(X_1 - \lambda)^{m_\lambda} = W_\lambda,$$

where m_λ is the maximum size of a Jordan block of M_1 with eigenvalue λ . Let

$$f(x) = \frac{x^{q+1} - \lambda}{x - \lambda} = \prod_{i=1}^q (x - \zeta_{q+1}^i \lambda),$$

where ζ_{q+1} is a primitive $(q+1)$ th root of unity in F (enlarge F if needed). Note $\zeta_{q+1}^i = 1$ if and only if $\zeta_{q+1}^i \equiv 1 \pmod{\mathfrak{m}_F}$ by Hensel's lemma. Then $M_1^{q+1} - \lambda = f(M_1)(M_1 - \lambda)$, and each of the $M_1 - \zeta_{q+1}^i \lambda$ are invertible because $\zeta_{q+1}^i \lambda \not\equiv 1 \pmod{\mathfrak{m}_F}$, so $f(M_1)$ is invertible. If $v \in \ker(M_1 - \lambda)^a$ then

$$f(M_1)^a (M_1 - \lambda)^a M_2 v = (M_1^{q+1} - \lambda)^a M_2 v = M_2 (M_1 - \lambda)^a v = 0,$$

so multiplying by $f(M_1)^{-a}$ shows that M_2 preserves both W_λ and the filtration Fil_λ . Let $n_\lambda = \dim W_\lambda$ and let $e_1, \dots, e_{n_\lambda}$ be a basis of W_λ constructed by picking a basis for each Fil_λ^i for which M_2 is upper triangular, and then concatenating them together in order. Note M_1 clearly respects Fil_λ and acts via the scalar λ on $\text{Fil}_\lambda^i/\text{Fil}_\lambda^{i-1}$ so in particular M_1 is upper triangular for $e_1, \dots, e_{n_\lambda}$. Thus, if $E \in \text{GL}_n(F)$ is the change of basis matrix that takes the standard basis of F^n into the basis determined by the e_i , then EM_1E^{-1} and EM_2E^{-1} are both upper triangular. But by the Iwasawa decomposition we can write $E = NE_0$ where $N \in B(F)$ is an element of the standard Borel and $E_0 \in \text{GL}_n(\mathcal{O}_F)$, and thus $M'_1 := E_0M_1E_0^{-1}$ and $M'_2 := E_0M_2E_0^{-1}$ are still upper triangular. But this allows us to define a map

$$\text{Spec } \mathcal{O}_{\text{GL}_n, F} \rightarrow V_\zeta$$

taking $g \mapsto (gM_1g^{-1}, gM_2g^{-1})$. Specializing at $g = I$ gives x , and specializing at $g = E_0 \in \text{GL}_n(\mathcal{O}_F)$ gives a point $x' \in V_\zeta(F)$ determined by M'_1 and M'_2 , so both (M_1, M_2) and (M'_1, M'_2) live in the same connected component of V_ζ . Now we define a path

$$\text{Spec } T_F \rightarrow V_\zeta$$

by $t \mapsto (g(t)M'_1g(t)^{-1}, g(t)M'_2g(t)^{-1})$ where

$$g(t) = \text{diag}(t^{n-1}, t^{n-2}, \dots, t, 1).$$

This is well defined since M'_1, M'_2 are upper-triangular (in particular, the $g(t)$ -conjugated matrix has no negative powers of t and does not affect the diagonal) and has the effect of “killing the strictly upper-triangular part”, in the sense that specializing at $t = 1$ gives the point x' and specializing at $t = 0$ gives the point x^* with corresponding matrices

$$M_1^* = \text{diag}(\lambda_1, \dots, \lambda_n) \text{ and } M_2^* = \text{diag}(1 + m_1, \dots, 1 + m_n)$$

for some $m_i \in \mathfrak{m}_F$. But then the path $M_1^*(t) = M_1^*$ and $M_2^*(t) = \text{diag}(1 + tm_1, \dots, 1 + tm_n)$ connects M_2^* to I . ■

Proposition 4.8. If F/L is a finite extension and $x \in X_\zeta(F)$ is the point

$$M_1 = \text{diag}(\lambda_1, \dots, \lambda_n), M_2 = \dots = M_{d+2} = I$$

with $\lambda_1 \cdots \lambda_n = \zeta$ then x is in the same connected component of X_ζ as the point $x_\zeta \in X_\zeta(F)$ corresponding to

$$M_1 = \text{diag}(\zeta, 1, \dots, 1), M_2 = \dots = M_{d+2} = I.$$

Proof. The idea is to treat the $n = 2$ case and then focus on the 2×2 diagonal blocks and replace $M_1 = \text{diag}(\lambda_1, \dots, \lambda_n)$ by $\text{diag}(\lambda_1, \dots, \lambda_{n-1}\lambda_n, 1)$ and then $\text{diag}(\lambda_1, \dots, \lambda_{n-2}\lambda_{n-1}\lambda_n, 1, 1)$ etc.

For each $\lambda \in \mu_q(F)$, define a character

$$c_\lambda : G_K \rightarrow (G_K^p)^{\text{ab}} \cong \langle x_1, \dots, x_{d+2} : x_1^q = 1 \rangle^{\text{ab}} \rightarrow \mathcal{O}_L^\times$$

$$(x_1, x_2, \dots, x_{d+2}) \mapsto (\lambda, 1, \dots, 1)$$

and let $R_{\bar{\rho}, 2}^{\square, \lambda}$ denote the 2-dimensional universal framed deformation ring for $\bar{\rho}$ with fixed determinant c_λ , which comes with a universal lift $\rho^\lambda : G_K \rightarrow \text{GL}_2(R_{\bar{\rho}, 2}^{\square, \lambda})$ and generic fiber $X_{\bar{\rho}, 2}^{\square, \lambda} = \text{Spec } R_{\bar{\rho}, 2}^{\square, \lambda}[1/p]$.

By universality, the representation

$$c_{\lambda_1} \oplus \dots \oplus c_{\lambda_{n-2}} \oplus \rho^{\lambda_{n-1}\lambda_n} : G_K \rightarrow \text{GL}_n(R_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n})$$

induces a map $R_{\bar{\rho}}^{\square} \rightarrow R_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n}$ and thus a map $X_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n} \rightarrow X_{\bar{\rho}}^{\square}$ which, by definition, descends to a map $X_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n} \rightarrow X_\zeta$. By [4, Theorem 1.5 and Remark 1.7] (combined with the fact that “versal” and “framed” are interchangeable for the trivial representation, cf. Lemma 2.1), $R_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n}$ is an integral domain, so $X_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n}$ is irreducible. But this $(X_{\bar{\rho}, 2}^{\square, \lambda_{n-1}\lambda_n})$ -point of X_ζ specializes to both x and the point given by

$$M_1 = \text{diag}(\lambda_1, \dots, \lambda_{n-1}\lambda_n, 1), M_2 = \dots = M_{d+2} = I$$

so these points are contained in the same irreducible component of X_ζ . Now we repeat the process with $c_{\lambda_1} \oplus \dots \oplus c_{\lambda_{n-3}} \oplus \rho^{\lambda_{n-2}\lambda_{n-1}\lambda_n} \oplus 1$, then $c_{\lambda_1} \oplus \dots \oplus c_{\lambda_{n-4}} \oplus \rho^{\lambda_{n-3}\lambda_{n-2}\lambda_{n-1}\lambda_n} \oplus 1 \oplus 1$, etc. After $n - 1$ iterations of this process, we specialize to x_ζ . ■

Remark 4.9. In a previous version of this paper [23], we constructed an explicit path between the two points, which worked under the hypothesis $[K : \mathbf{Q}_p] \geq 6$. At the suggestion of the anonymous referee, we used the results from [4] to remove this extra assumption. We thank the referee for this suggestion.

Proof. of Proposition 4.3 By Propositions 4.7 and 4.8, any two points in $V_\zeta(F)$ are contained in the same connected component of X_ζ . Then apply Corollary 4.5. ■

4.4 Deforming 1

To prove the main theorem, we need to describe $X_{\det \bar{\rho}}^{\square} = X_1^{\square}$.

Let $1 : G_K^{\text{ab}} \rightarrow k_L^{\times}$ denote the trivial character, and let $D_1 : \text{Art}_{\mathcal{O}_L} \rightarrow \text{Set}$ denote the universal deformation problem for 1. Deformations of the trivial character to a ring $A \in \text{Art}_{\mathcal{O}_L}$ are valued in the p -group $1 + \mathfrak{m}_A$, so they are really representations of $(G_K^p)^{\text{ab}}$, which by part 2 of Theorem 2.3 is the free abelian pro- p group on generators g_1, \dots, g_{d+2} subject to the single relation $g_1^q = 1$. Therefore, Proposition 2.5 (with $n = 1$) implies the following lemma.

Lemma 4.10. D_1 is pro-represented by the complete local Noetherian ring

$$R_1 = \mathcal{O}_L[[x_1, \dots, x_{d+2}]] / ((1 + x_1)^q - 1).$$

Corollary 4.11. R_1 is \mathcal{O}_L -torsion free and has q irreducible components, given by $x_1 = \zeta - 1$ for each $\zeta \in \mu_q(L)$. These are also the connected components.

Proof. Note ϖ_L does not divide $(1 + x_1)^q - 1$, so R_1 is \mathcal{O}_L -torsion free. We have

$$\mathcal{O}_L[[x_1, \dots, x_{d+2}]] / ((1 + x_1)^q - 1) \cong \mathcal{O}_L[x_1] / ((1 + x_1)^q - 1) \otimes_{\mathcal{O}_L} \mathcal{O}_L[[x_2, \dots, x_{d+2}]],$$

but $\mathcal{O}_L[[x_2, \dots, x_{d+2}]]$ is an integral domain, so it suffices to describe the irreducible components of $\mathcal{O}_L[x_1] / ((1 + x_1)^q - 1)$. Furthermore, since R_1 is \mathcal{O}_L -torsion free it is \mathcal{O}_L -flat, so it suffices to check the description of the irreducible components on the generic fiber $L[x_1] / ((1 + x_1)^q - 1)$. But Spec of this ring is just the finite set of L -points $x_1 = \zeta - 1$ for each $\zeta \in \mu_q(L)$. ■

Remark 4.12. The local reciprocity map $\widehat{K^{\times}} \xrightarrow{\sim} G_K^{\text{ab}} \twoheadrightarrow (G_K^p)^{\text{ab}}$ sends some primitive q th root of unity ζ to g_1 . The irreducible component containing a closed point $x \in X_1$ with residue field F/L and corresponding character $\chi_x : G_K^{\text{ab}} \rightarrow R_1^{\times} \rightarrow F^{\times}$ is determined by the element $\chi_x(g_1) = (\chi_x \circ \text{rec}_K)(\zeta) \in \mu_q(L)$.

4.5 Proof of Theorem 1.2

Proof. If $q = 1$, then $R_{\bar{\rho}}^{\square}$ and $R_{\det \bar{\rho}}^{\square}$ are formally smooth, so there is nothing to prove. Assume $q > 2$. Then Theorem 3.14 says that $\mathcal{X}_{\bar{\rho}}^{\square}$ is normal. If $p > n$, the set $\mu_q(K)$ classifies the connected components of both $X_{\det \bar{\rho}}^{\square}$ and $X_{\bar{\rho}}^{\square}$, and this classification is visibly compatible with respect to the determinant map $d : X_{\det \bar{\rho}}^{\square} \rightarrow X_{\bar{\rho}}^{\square}$.

Since the preimage of a connected component is the union of connected components, d must induce a bijection between the connected components, which are irreducible. ■

5 Crystalline Density

In this section we prove that the crystalline closed points of $X_{\bar{\rho}}^{\square}$ (i.e., closed points whose induced p -adic representations are crystalline) are Zariski dense. In particular, we show that each irreducible component contains a crystalline point, and the work of Nakamura in [31] (following Chenevier and Gouvêa–Mazur) shows that the Zariski closure of the crystalline points is the union of some collection of the irreducible components. However, Nakamura assumes that $\text{End}_{k_L[G_K]}(\bar{\rho}) = k$ and works with the unframed deformation space, so we need to slightly modify the arguments to work in the framed case. To do so, we use work of Breuil–Hellmann–Schraen in [7] (and related papers) on the *trianguline deformation space* that is related to the finite slope subspace used by Nakamura and is already framed.

Note throughout this section we will actually work with the *rigid* generic fiber $\mathcal{X}_{\bar{\rho}}^{\square}$.

5.1 Review of trianguline deformation theory

Here we recall the definition of the trianguline deformation space, following [7]. From now on, assume that L contains the Galois closure of K , that is, L contains every embedding of K into $\overline{\mathbf{O}_p}$. Let $f = [K_0 : \mathbf{O}_p]$, where K_0 is the maximal unramified extension of \mathbf{O}_p contained in K . Let Rig_L denote the category of rigid analytic spaces over L . We define $\mathcal{T} : \text{Rig}_L \rightarrow \text{Ab}$ taking

$$\mathcal{T}(X) = \{\text{continuous characters } K^{\times} \rightarrow \mathcal{O}_X(X)^{\times}\}$$

whose group structure is given by multiplication of characters. This is represented by a rigid analytic group variety, also denoted $\mathcal{T} \in \text{Rig}_L$. If $\mathbf{h} \in \mathbf{Z}^{\text{Hom}(K, L)}$, then we define the *algebraic* character

$$(\cdot)^{\mathbf{h}} : K^{\times} \mapsto L^{\times}, z \mapsto \prod_{\tau: K \hookrightarrow L} \tau(z)^{h_{\tau}}.$$

Fix a uniformizer ϖ_K of K . Let $|\cdot|_K$ denote the normalized ϖ_K -adic absolute value on K ,

and let val_K denote the corresponding valuation. Then the set of L -points

$$\{(\cdot)^{-\mathbf{h}}, |\cdot|_K(\cdot)^{\mathbf{h}+1} : \mathbf{h} \in (\mathbb{Z}_{\geq 0})^{\text{Hom}(K, L)}\}$$

is Zariski closed in \mathcal{T} , and we define \mathcal{T}_{reg} to be its open complement inside \mathcal{T} . Further, let $\mathcal{T}_{\text{reg}}^n$ denote the Zariski open subset of \mathcal{T}^n defined by

$$\mathcal{T}_{\text{reg}}^n = \{(\delta_1, \dots, \delta_n) : \delta_i/\delta_j \in \mathcal{T}_{\text{reg}} \text{ whenever } i \neq j\}.$$

The theory of trianguline representations is built on the theory of (φ, Γ_K) -modules over the Robba ring, where $\Gamma_K = \text{Gal}(K(\mu_p^\infty)/K)$. Denote by

$$R_K = \{f(z) \in K[[z, z^{-1}]] : f \text{ converges on } \{z \in \mathbb{C}_p : r < |z| < 1\} \text{ for some } 0 < r < 1\}$$

the usual Robba ring with coefficients in K , and if A is a K -module-finite K -algebra, then define the relative Robba ring $R_{A,K} := R_K \otimes_{\mathbb{Q}_p} A$. If L'/L is a finite extension and $\rho : G_K \rightarrow \text{GL}_n(L')$ is a Galois representation, we denote by $\mathbf{D}_{\text{rig}}(\rho)$ the associated (φ, Γ_K) -module over $R_{L',K}$.

Proposition 5.1 ([24, Construction 6.2.4 and Theorem 6.2.14]). If L'/L is a finite extension, then there is a canonical bijection $\delta \mapsto R_{L',K}(\delta)$ between $\mathcal{T}(L')$ and the set of isomorphism classes of rank 1 (φ, Γ_K) -modules over $R_{L',K}$.

Definition 5.2. Let $\rho : G_K \rightarrow \text{GL}_n(L')$ be a continuous representation, and fix an n -tuple $\delta = (\delta_1, \dots, \delta_n) \in \mathcal{T}^n(L')$. Then we say that ρ is *trianguline of parameter δ* if the (φ, Γ_K) -module $\mathbf{D}_{\text{rig}}(\rho)$ admits a full flag Fil^\bullet of sub- (φ, Γ_K) -modules (which are free and direct summands as $R_{L',K}$ -modules) such that each graded piece $\text{Fil}^i/\text{Fil}^{i-1}$ is isomorphic to $R_{L',K}(\delta_i)$ (cf. Lemma 5.1). We call Fil^\bullet a *triangulation* of ρ .

Suppose $\rho : G_K \rightarrow \text{GL}_n(L')$ is crystalline. Then the associated filtered φ -module $\mathbf{D}_{\text{cris}}(\rho)$ is finite free rank of rank n over $(K_0 \otimes_{\mathbb{Q}_p} L') \cong \bigoplus_{\tau: K_0 \hookrightarrow L'} L'$, and breaks up under this identification as

$$\mathbf{D}_{\text{cris}}(\rho) = \bigoplus_{\tau: K_0 \hookrightarrow L'} \mathbf{D}_{\text{cris}, \tau}(\rho),$$

where $\mathbf{D}_{\text{cris}, \tau}(\rho) = (B_{\text{cris}} \otimes_{K_0, \tau} \rho)^{G_K}$, such that φ^f acts L' -linearly on each $\mathbf{D}_{\text{cris}, \tau}$. But these τ -labeled φ^f operators are all mutually conjugate, so the characteristic polynomial of φ^f

is independent of τ , and thus we get a multi-set of φ^f -eigenvalues $\{\alpha_1, \dots, \alpha_n\}$ living in $\overline{\mathbf{Q}_p}$, independent of $\tau : K_0 \hookrightarrow L'$.

There is an alternative characterization of triangulations of a trianguline crystalline representation.

Definition 5.3. Let $\rho : G_K \rightarrow \mathrm{GL}_n(L')$ be a crystalline representation. Then a *refinement* of ρ is a full filtration F^\bullet of $\mathbf{D}_{\mathrm{cris}}(\rho)$ by free and φ -stable $(K_0 \otimes_{\mathbf{Q}_p} L')$ -modules.

In fact, refinements are the same as triangulations: given a triangulation Fil^\bullet of ρ , one can construct a refinement

$$F^i := (\mathrm{Fil}^i[\frac{1}{t}])^{\Gamma_K},$$

(where $t = \log(1+z) \in R_K$ is the usual crystalline period: recall $\mathbf{D}_{\mathrm{cris}}(\rho) = (\mathbf{D}_{\mathrm{rig}}(\rho)[\frac{1}{t}])^{\Gamma_K}$ and [2, Proposition 2.4.1] (In [2] this is proven when $K = \mathbf{Q}_p$, but the proof generalizes straightforwardly to our case: the point is that an ordering on the Hodge–Tate weights and on the φ^f -eigenvalues determine the parameter δ of the triangulation.)) shows that this map is a bijection.

In particular, suppose the φ^f -eigenvalues $\{\alpha_i\}$ are distinct. By enlarging L' , we may assume that $\{\alpha_i\} \subset L'$. By picking a corresponding eigenvector in $\mathbf{D}_{\mathrm{cris},\tau}(\rho)$ for each $\tau : K_0 \hookrightarrow L'$ and putting them all together, we get a $(K_0 \otimes_{\mathbf{Q}_p} L')$ -linear decomposition

$$\mathbf{D}_{\mathrm{cris}}(\rho) = (K_0 \otimes_{\mathbf{Q}_p} L')e_1 \oplus \cdots \oplus (K_0 \otimes_{\mathbf{Q}_p} L')e_n,$$

such that $\varphi^f(e_i) = \alpha_i e_i$. Since refinements are required to be φ -stable, every refinement must be of the form

$$F_\sigma^i = \bigoplus_{j=1}^i (K_0 \otimes_{\mathbf{Q}_p} L')e_{\sigma(j)}$$

for some $\sigma \in \Sigma_n$, where Σ_n is the symmetric group on n letters. We denote the corresponding triangulation $\mathrm{Fil}_\sigma^\bullet$ for each $\sigma \in \Sigma_n$: these are all of the triangulations.

Lemma 5.4 ([6, Lemma 2.1]). If $\rho : G_K \rightarrow \mathrm{GL}_n(L')$ is crystalline and trianguline of parameter δ , then there is some ordering $(h_{\tau,1}, \dots, h_{\tau,d})_{\tau:K \hookrightarrow L'}$ of the labeled Hodge–Tate weights of ρ and some ordering $(\alpha_1, \dots, \alpha_n)$ of the φ^f -eigenvalues such that

$$\delta_i = (\cdot)^{h_i} \mathrm{unr}(\alpha_i),$$

where $\text{unr}(\alpha_i)$ is the unramified character of K^\times taking ϖ_K to α_i .

In particular, if the φ^f -eigenvalues are all distinct, then there exists a unique triangulation of ρ with parameter δ .

We note a few useful types of crystalline representation. Our definition of Hodge–Tate weights is normalized so that the cyclotomic character has weight $+1$.

Definition 5.5. Let $\rho : G_K \rightarrow \text{GL}_n(L')$ be a crystalline representation with τ -labeled Hodge–Tate weights $\{h_{\tau,1} \geq \dots \geq h_{\tau,n}\}_{\tau:K \hookrightarrow L'}$ and φ^f -eigenvalues $\{\alpha_1, \dots, \alpha_n\}$.

- If $h_{\tau,i} \neq h_{\tau,j}$ for all $i \neq j$ and all τ , then we say ρ is *regular* or *regular crystalline*.
- If $\alpha_i \neq \alpha_j$ for all $i \neq j$, we say ρ is φ^f -*generic*.
- If ρ is regular and Fil^\bullet is a triangulation of ρ such that the Hodge–Tate weights of Fil^i are exactly $\{h_{\tau,1} > \dots > h_{\tau,i}\}$ for each τ , we say that Fil^\bullet is *noncritical*.
- If ρ is regular and every triangulation of ρ is noncritical, then we say that ρ is *noncritical*.
- If ρ is regular, φ^f -generic, and noncritical, and if additionally $\alpha_i \neq p^{\pm f}\alpha_j$ for all $i \neq j$, then we say that ρ is *benign*.

Now recall that a point of \mathcal{X}_ρ^\square is the same as a surjection $f : R_\rho^\square[1/p] \twoheadrightarrow L'$ for some finite extension L'/L , which gives rise to a p -adic representation $\rho_f : G_K \rightarrow \text{GL}_n(L')$. We define the subset

$$\mathcal{U}_{\text{tri},\bar{\rho}}^\square = \{(f, \delta) \in \mathcal{X}_\rho^\square \times_L \mathcal{T}_{\text{reg}}^n : \rho_f \text{ is trianguline of parameter } \delta\}.$$

Then the *trianguline deformation space* $\mathcal{X}_{\text{tri},\bar{\rho}}^\square$ is the Zariski closure of $\mathcal{U}_{\text{tri},\bar{\rho}}^\square$ in $\mathcal{X}_\rho^\square \times_L \mathcal{T}_{\text{reg}}^n$.

Recall that at any point $f \in \mathcal{X}_\rho^\square$, the completion $\mathcal{O}_{\mathcal{X}_\rho^\square, f}^\wedge$ is the universal framed deformation ring for the induced representation $\rho_f : G_K \rightarrow \text{GL}_n(L')$. In fact, a similar result holds for a point $x = (f_x, \delta_x) \in \mathcal{X}_{\text{tri},\bar{\rho}}^\square$ such that $\rho_x := \rho_{f_x}$ is benign, as we recall now.

Since ρ_x is in particular φ^f -generic, Lemma 5.4 says that there is a *unique* triangulation Fil_x^\bullet of ρ_x with parameter δ_x . So we define the deformation problem

$$D_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square : \text{Art}_{L'} \rightarrow \text{Set}$$

taking an Artinian local L' -algebra A to the set of pairs $(\rho, \text{Fil}^\bullet)$ where $\rho : G_K \rightarrow \text{GL}_n(A)$ of ρ lifts ρ_x , and Fil^\bullet is a full filtration (by direct summands) of $\mathbf{D}_{\text{rig}}(\rho)[\frac{1}{t}]$ by finite free $R_{A,K}$ -modules whose successive quotients are of the form $R_{A,K}(\delta)[\frac{1}{t}]$, and which lifts the filtration $\text{Fil}_x^\bullet[\frac{1}{t}]$.

Proposition 5.6. The natural forgetful map $D_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square \rightarrow D_{\rho_x}^\square$ is injective and relatively representable. We denote the representing ring $R_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square$.

Proof. Since ρ_x is φ^f -generic, δ_x is regular in the sense of [21, (3.1)]. The result then follows from [21, Proposition 3.5] and the identification (see [5, Section 3.6] for more details)

$$D_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square \cong D_{\rho_x}^\square \times_{D_{\mathbf{D}_{\text{rig}}(\rho_x)}} D_{\mathbf{D}_{\text{rig}}(\rho_x), \text{Fil}_x^\bullet[\frac{1}{t}]}.$$

Proposition 5.7. There is an isomorphism

$$\mathcal{O}_{\mathcal{X}_{\text{tri}, \bar{\rho}}, X}^\square \cong R_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square$$

of integral domains.

Proof. Let $G = \text{Spec } L' \times_{\text{Spec } \mathbf{O}_p} \text{Res}_{K/\mathbf{O}_p} \text{GL}_{n,K} \cong \prod_{\tau: K \hookrightarrow L'} \text{GL}_{n, L'}$ with Weyl group $W = \prod_{\tau: K \hookrightarrow L'} \Sigma_n$. In [5], the authors show that one can associate to $(\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}])$ an element $w_x \in W$ such that the irreducible components of $\text{Spec } R_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square$ are in bijection with the set $\{w \in W : w \geq w_x\}$, where \geq denotes the Bruhat ordering on W (see Theorem 3.6.2 and the proof of Corollary 4.3.2). Roughly speaking, w_x measures the relative position of $\text{Fil}_x^\bullet[\frac{1}{t}]$ with respect to the Hodge filtration on $\mathbf{D}_{\text{dR}}(\rho_x)$.

However, the assumption that ρ_x is noncritical ensures that w_x is the maximal element for the Bruhat ordering, that is, each $w_{x, \tau}$ is the order-reversing permutation. Therefore, $\text{Spec } R_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square$ is irreducible, so [5, Corollary 3.7.8] exactly says that

$$\mathcal{O}_{\mathcal{X}_{\text{tri}, \bar{\rho}}, X}^\square \cong R_{\rho_x, \text{Fil}_x^\bullet[\frac{1}{t}]}^\square$$

and [5, Theorem 3.6.2(a)] says that this ring is reduced. ■

5.2 Proof of density

Now we prove the main theorem.

Definition 5.8. Let

$$\mathcal{X}_{\text{reg-cris}}^{\square} = \{x \in \mathcal{X}_{\bar{\rho}}^{\square} : \rho_x \text{ is regular crystalline}\}$$

and let $\overline{\mathcal{X}}_{\text{reg-cris}}^{\square}$ be its Zariski closure in $\mathcal{X}_{\bar{\rho}}^{\square}$. Let

$$\mathcal{X}_{\text{cr}} = \{(f', \delta') \in \mathcal{X}_{\text{tri}, \bar{\rho}}^{\square} : \rho_{f'} \text{ is regular crystalline, } \varphi^f\text{-generic, and noncritical}\}$$

We will need the following result about density of crystalline points in the trianguline deformation space.

Proposition 5.9 ([5, Proposition 4.1.4]). Suppose $x = (f_x, \delta_x) \in \mathcal{X}_{\text{tri}, \bar{\rho}}^{\square}$ is benign. Then there exists an affinoid open neighborhood $\mathcal{U} \subseteq \mathcal{X}_{\text{tri}, \bar{\rho}}^{\square}$ of x such that $\mathcal{U} \cap \mathcal{X}_{\text{cr}}$ is Zariski dense in \mathcal{U} .

Proposition 5.10. $\overline{\mathcal{X}}_{\text{reg-cris}}^{\square}$ is a union of irreducible components of $\mathcal{X}_{\bar{\rho}}^{\square}$.

Proof. Let \mathcal{Z} be an irreducible component of $\overline{\mathcal{X}}_{\text{reg-cris}}^{\square}$. Note the singular locus $\mathcal{Z}_{\text{sing}} \subset \mathcal{Z}$ is a proper Zariski closed subset, so its complement \mathcal{U} is an admissible open in \mathcal{Z} . Thus, we may pick a smooth point $x \in \mathcal{U}$ with corresponding representation $\rho_x : G_K \rightarrow \text{GL}_n(L')$, and by density we assume $x \in \mathcal{X}_{\text{reg-cris}}^{\square}$. By [26, Corollary 2.7.7] (In fact, we use a slight modification: we first use the space of semi-stable deformations with fixed Hodge–Tate weights constructed in [26, Corollary 2.6.2] and then consider the zero locus of the monodromy operator N), there is a Zariski closed subspace $\mathcal{X}_{\bar{\rho}, \text{cris}}^{\square, \mathbf{k}_x} \subset \mathcal{X}_{\bar{\rho}}^{\square}$ consisting of the crystalline representations with Hodge–Tate weights \mathbf{k}_x , where \mathbf{k}_x denotes the Hodge–Tate weights of ρ_x , as above. By [31, Lemma 4.2] (with $U = \mathcal{U} \cap \mathcal{X}_{\bar{\rho}, \text{cris}}^{\square, \mathbf{k}_x}$) we may assume ρ_x is benign.

In fact, x is smooth in $\mathcal{X}_{\bar{\rho}}^{\square}$. To see this, note that

$$\mathcal{O}_{\mathcal{X}_{\bar{\rho}}^{\square}, x}^{\wedge} \cong R_{\rho_x}^{\square},$$

where $R_{\rho_x}^{\square}$ is the universal deformation ring of the framed deformation problem for ρ_x . Thus, it suffices to show that $H^2(G_K, \text{ad } \rho_x) = 0$, which is the same as showing that $\text{Hom}_{\kappa(\rho_x)[G_K]}(\rho_x, \rho_x \otimes \epsilon) = 0$ by local Tate duality. But a morphism $g : \rho_x \rightarrow \rho_x \otimes \epsilon$ induces a φ^f -equivariant map $\mathbf{D}_{\text{cris}}(\rho_x) \rightarrow \mathbf{D}_{\text{cris}}(\rho_x \otimes \epsilon)$. If $\{\alpha_i\}_i$ denotes the set of (distinct)

eigenvalues of φ^f on $\mathbf{D}_{\text{cris}}(\rho_x)$, then the eigenvalues of φ^f on $\mathbf{D}_{\text{cris}}(\rho_x \otimes \epsilon)$ are exactly $\{p^f \alpha_i\}_i$. But ρ_x is benign, so in particular, $\alpha_i \neq p^{\pm f} \alpha_j$ for $i \neq j$, and thus $g = 0$.

The irreducible set \mathcal{Z} admits a closed immersion $\mathcal{Z} \hookrightarrow \mathcal{V}$ where \mathcal{V} is an irreducible component of $\mathcal{X}_{\bar{\rho}}^{\square}$. We wish to show that this map is an equality, for which it suffices to show that $\dim \mathcal{Z} = \dim \mathcal{V}$. Since dimension can be computed as the dimension of the tangent space at smooth points, it suffices to show that the natural injection

$$T_x \mathcal{Z} \hookrightarrow T_x \mathcal{X}_{\bar{\rho}}^{\square} = T_x \mathcal{V}$$

is an isomorphism.

As noted above, the triangulations of ρ_x are exactly parametrized by $\sigma \in \Sigma_n$, and we write them as $\text{Fil}_{\sigma}^{\bullet}$. Each pair $(x, \text{Fil}_{\sigma}^{\bullet})$ defines a point $y_{\sigma} \in \mathcal{X}_{\text{tri}, \bar{\rho}}^{\square}$. Since ρ_x is benign, Proposition 5.7 says that $\mathcal{O}_{\mathcal{X}_{\text{tri}, \bar{\rho}}^{\square}, y_{\sigma}}^{\wedge} \cong R_{\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}]}^{\square}$ is an integral domain. This implies that there is a unique irreducible component of $\mathcal{X}_{\text{tri}, \bar{\rho}}^{\square}$ containing y_{σ} , which we call \mathcal{Y}_{σ} . By Proposition 5.9, there exists an affinoid open neighborhood \mathcal{U}_{σ} of y_{σ} such that $\mathcal{U}_{\sigma} \cap \mathcal{X}_{\text{cr}}$ is dense in \mathcal{U}_{σ} . But then $\mathcal{U}_{\sigma} \cap \mathcal{X}_{\text{cr}} \cap \mathcal{Y}_{\sigma}$ is dense in $\mathcal{U}_{\sigma} \cap \mathcal{Y}_{\sigma}$, which is a nonempty open and thus dense in \mathcal{Y}_{σ} . Thus, $\mathcal{X}_{\text{cr}} \cap \mathcal{Y}_{\sigma}$ is dense in \mathcal{Y}_{σ} .

Under the natural projection

$$\mathcal{X}_{\text{tri}, \bar{\rho}}^{\square} \rightarrow \mathcal{X}_{\bar{\rho}}^{\square} \times_L \mathcal{T}_{\text{reg}}^n \rightarrow \mathcal{X}_{\bar{\rho}}^{\square},$$

the subset \mathcal{X}_{cr} lands in $\mathcal{X}_{\text{reg-cris}}$ and \mathcal{Y}_{σ} lands in \mathcal{V} . By density, this descends to a map $\mathcal{Y}_{\sigma} \rightarrow \mathcal{Z}$, taking $y_{\sigma} \mapsto x$. Therefore, by considering all $\sigma \in \Sigma_n$, we get maps

$$\bigoplus_{\sigma \in \Sigma_n} T(R_{\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}]}^{\square}) = \bigoplus_{\sigma \in \Sigma_n} T_{y_{\sigma}} \mathcal{Y}_{\sigma} \rightarrow T_x \mathcal{Z} \hookrightarrow T_x \mathcal{V} \cong T(R_{\bar{\rho}}^{\square}).$$

which are actually induced by the forgetful maps $D_{\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}]}^{\square} \rightarrow D_{\rho_x}^{\square}$.

Let D_{ρ_x} and $D_{\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}]}$ be the unframed deformation functors of ρ_x and $(\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}])$, respectively. Let $\pi_{\sigma} : D_{\rho_x, \text{Fil}_{\sigma}^{\bullet}[\frac{1}{t}]} \rightarrow D_{\rho_x}$ denote the map forgetting the filtration. Then $D_{\rho_x}^{\square} \rightarrow D_{\rho_x}$ is formally smooth of relative dimension $e = n^2 - \dim H^0(G_K, \text{ad } \rho_x)$. So we may write $T(R_{\rho_x}^{\square}) = T(D_{\rho_x}) \oplus V^{\square}$ where V^{\square} is some e -dimensional L' -vector space, and such that

$$T(R_{\rho_x}^{\square}) = T(D_{\rho_x}) \oplus V^{\square} \twoheadrightarrow T(D_{\rho_x})$$

is just the natural projection map. There is a canonical isomorphism of functors

$$D_{\rho_x, \text{Fil}_\sigma^\bullet[\frac{1}{t}]}^\square \cong D_{\rho_x}^\square \times_{D_{\rho_x}} D_{\rho_x, \text{Fil}_\sigma^\bullet[\frac{1}{t}]},$$

so the map $\bigoplus_{\sigma \in \Sigma_n} T(R_{\rho_x, \text{Fil}_\sigma^\bullet[\frac{1}{t}]}^\square) \rightarrow T(R_{\rho_x}^\square)$ factors as

$$\bigoplus_{\sigma \in \Sigma_n} T(R_{\rho_x, \text{Fil}_\sigma^\bullet[\frac{1}{t}]}^\square) \cong \bigoplus_{\sigma \in \Sigma_n} (T(D_{\rho_x, \text{Fil}_\sigma^\bullet[\frac{1}{t}]}) \oplus V^\square) \xrightarrow{\sum (T(\pi_\sigma) \oplus \text{id}_{V^\square})} T(D_{\rho_x}) \oplus V^\square \cong T(R_{\rho_x}^\square)$$

By [21, Corollary 3.13], $\sum_{\sigma \in \Sigma_n} T(\pi_\sigma)$ is surjective, and the above map $\bigoplus_{\sigma \in \Sigma_n} V^\square \xrightarrow{\sum \text{id}_{V^\square}} V^\square$ is clearly surjective, so we conclude that the map is surjective, which is exactly what we wanted. Thus, $T_x \mathcal{Z} \hookrightarrow T_x \mathcal{V}$ is an isomorphism. \blacksquare

Theorem 5.11. Under the conditions described in the statement of Theorem 1.4,

$$\overline{\chi}_{\text{reg-cris}}^\square = \chi_{\bar{\rho}}^\square.$$

Proof. By Proposition 5.10, it suffices to show the existence of a regular crystalline point in each irreducible component of $\chi_{\bar{\rho}}^\square$: by restricting algebraic characters to \mathcal{O}_K^\times , we just construct them explicitly.

Let $\text{rec}_K : \widehat{K^\times} \xrightarrow{\sim} G_K^{\text{ab}}$ be the local reciprocity map, normalized so that ϖ_K is sent to a lift of the geometric Frobenius. Let $I = \{i \in \mathbb{Z} : 0 < i < q \text{ and } \gcd(i, q) = 1\}$ and $J = \{1, \dots, |\text{Hom}_{\mathbf{O}_p(\mu_q)}(K, L)|\}$, and pick some bijection $\iota : \text{Hom}(K, L) \xrightarrow{\sim} I \times J$ such that if $\tau_1|_{\mathbf{O}_p(\mu_q)} = \tau_2|_{\mathbf{O}_p(\mu_q)}$, then $p_I(\iota(\tau_1)) = p_I(\iota(\tau_2))$, where $p_I : I \times J \rightarrow I$ is the projection. Then for $\mathbf{h} = (h_{i,j}) \in \mathbf{Z}_{>0}^{I \times J}$, we define the character $\chi^{\mathbf{h}} : G_K^{\text{ab}} \rightarrow L^\times$ by setting $\chi^{\mathbf{h}}(\text{rec}_K(\varpi_K)) := 1$, and setting $(\chi^{\mathbf{h}} \circ \text{rec}_K)|_{\mathcal{O}_K^\times} := (\cdot)^{\iota^*(\mathbf{h})}|_{\mathcal{O}_K^\times}$ where $(\cdot)^{\iota^*(\mathbf{h})}$ is the algebraic character in $\mathcal{T}(L)$ defined at the beginning of this subsection. This is crystalline with labeled Hodge–Tate weights $\iota^*(\mathbf{h})$.

The point is that if $\zeta_0 \in K$ is some choice of primitive q th root of unity and $\tau_0 \in \text{Hom}(K, L)$ is some embedding such that $p_I(\iota(\tau_0)) = 1$, then

$$\chi^{\mathbf{h}}(\text{rec}_K(\zeta_0)) = \tau_0(\zeta_0)^{\sum_{(i,j) \in I \times J} i h_{i,j}}.$$

So pick some $\mathbf{h} \in \mathbf{Z}_{>0}^{I \times J}$ such that $\sum_{(i,j) \in I \times J} i h_{i,j}$ is coprime to q : this is possible since $(i, q) = 1$ for all $i \in I$. Then $\chi^{\mathbf{h}}(\text{rec}_K(\zeta_0))$ is actually a primitive q th root of unity.

Furthermore,

$$(\chi^{\mathbf{h}})^{\otimes(p^f-1)} \equiv 1 \pmod{\varpi_L},$$

which implies that $(\chi^{\mathbf{h}})^{\otimes(p^f-1)}$ is induced by a point in the generic fiber \mathcal{X}_1 of the deformation space of the trivial character.

Let $\chi_0 = (\chi^{\mathbf{h}})^{\otimes(p^f-1)}$. For $m = 0, \dots, q-1$ define

$$\rho_m = \left(\bigoplus_{i=1}^{n-1} \chi_0^{\otimes i} \right) \oplus \chi_0^{\otimes(n+m)}.$$

Then ρ_m is induced by a point in $\mathcal{X}_{\rho}^{\square}$. It is crystalline and its τ -labeled Hodge–Tate weights are exactly

$$(p^f - 1)h_{\tau}, 2(p^f - 1)h_{\tau}, \dots, (n-1)(p^f - 1)h_{\tau}, (n+m)(p^f - 1)h_{\tau},$$

which are distinct and nonzero since $h_{\tau} > 0$ by assumption (here $h_{\tau} := \iota^*(\mathbf{h})_{\tau}$) so ρ_m is actually regular. Finally, note that

$$\{\det(\rho_m(\mathrm{rec}_K(\zeta_0)))\}_{m=0,\dots,q-1} = \mu_q(L).$$

By Theorem 1.2 and Remark 4.12, we have thus found regular crystalline points in each of the irreducible components of $\mathcal{X}_{\rho}^{\square}$. ■

Funding

This work was supported by the Engineering and Physical Sciences Research Council [EP / L015234 / 1], The EPSRC Centre for Doctoral Training in Geometry and Number Theory (The London School of Geometry and Number Theory), University College London, and King’s College London.

Acknowledgments

I would like to thank James Newton for suggesting the problem, and for constant support and guidance. I would also like to thank Dougal Davis and Andrew Graham for helpful conversations and Toby Gee, Pol van Hoften, and Carl Wang-Erickson for comments on earlier drafts. Finally, I would like to thank the anonymous referee for various useful comments on the 1st submission.

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